# Thickness Scaling and Reliability Comparison for the Inter-Poly High- $\kappa$ Dielectrics

Yung-Yu Chen and Chao-Hsin Chien

Abstract—In this letter, the inter-poly dielectric (IPD) thickness, scaling, and reliability characteristics of  ${\rm Al_2O_3}$  and  ${\rm HfO_2}$  IPDs are studied, which are then compared with conventional oxide/nitride/oxide (ONO) IPD. Regardless of deposition tools, drastic leakage current reduction and reliability improvement have been demonstrated by replacing ONO IPD with high-permittivity (high- $\kappa$ ) IPDs, which is suitable for mass production applications in the future. Moreover, metal–organic chemical vapor deposition (MOCVD) can be used to further promote dielectric reliability when compared to reactive-sputtering deposition. By using the MOCVD, the charge-to-breakdown  $(Q_{\rm BD})$  can be significantly improved, in addition to enhanced breakdown voltage and effective breakdown field. Our results clearly demonstrate that high- $\kappa$  IPD, particularly deposited by MOCVD, possesses great potential for next-generation stacked-gate Flash memories.

Index Terms—High- $\kappa$  dielectric, inter-poly dielectric (IPD), metal-organic chemical vapor deposition (MOCVD).

### I. INTRODUCTION

ECENTLY, the Flash memory market has shown ex-R ponential increases, particularly in areas of mass storage applications. In the coming decade, we will be required to provide Flash technologies that are compatible with the read/write speed of embedded dynamic random access memory and high nonvolatility [1]. In order to meet the requirement, next-generation Flash memories must possess not only reduced leakage current through inter-poly dielectric (IPD) and tunnel oxide but must also feature improved breakdown field and  $Q_{\rm BD}$ of the dielectrics in order to suppress charge loss. However, it is not sufficient to meet the stringent insulating requirement of the IPD while applying thermal or chemical-vapor-deposited oxynitride technologies due to the unavoidable leakage current [2]. In order to accomplish this without a tradeoff between lowpower and high-speed operations, stacked-gate Flash memory with high gate coupling ratio should be achieved by increasing the floating gate capacitance [3]–[7].

There are three different approaches that can be used to increase the gate coupling ratio. The first method is to decrease the IPD thickness. Oxide/nitride/oxide (ONO) multilayered films have been extensively researched and frequently used

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as the dielectric layer in Flash memory devices and other applications [3]. However, decreasing the thickness of the IPD to increase the coupling ratio may cause serious leakage and reliability problems that are detrimental to the charge storage of Flash memories [4]. The second method involves increasing the area of the IPD capacitor. High capacitive-coupling ratio cell [5], 3-D IPD, and hemisphere grain [6] have all been proposed to effectively increase the capacitance area and to lower the control gate bias. Although the coupling ratio can be dramatically improved from the aforementioned cell structures, their inclusion into the fabrication process would require several additional process steps due to the complex structures involved, making the process difficult to control effectively. The final approach is to increase the dielectric constant  $(\kappa)$  of the IPD materials [7]. It is straightforward and effective to incorporate alternative high-permittivity (high- $\kappa$ ) materials on nonvolatile memories to replace ONO IPD for increasing floating gate capacitance and suppression of charge loss without increasing cell area and complexity of fabrication.

Previously, we had presented the effects of surface ammonia (NH $_3$ ) nitridation and post-deposition annealing (PDA) temperature on reactive-sputtered (RS) aluminum oxide (Al $_2$ O $_3$ ) IPD characteristics [8]. However, even after process optimization, the  $Q_{\rm BD}$  of RS-Al $_2$ O $_3$  IPD is relatively low. In this letter, thickness scaling and the reliability characteristics of inter-poly Al $_2$ O $_3$  and hafnium oxide (HfO $_2$ ) dielectrics are compared with the ONO IPD. Drastic leakage current reduction and reliability improvement can be demonstrated by replacing the ONO IPD with high- $\kappa$  IPDs, which are suitable for mass production applications in the future.

# II. EXPERIMENTAL

The n<sup>+</sup>-polysilicon/IPD/n<sup>+</sup>-polysilicon capacitors were fabricated on 6-in p-type (100)-oriented silicon wafers. A 200-nm bottom polysilicon film (Poly-I) was deposited on the buffer oxide by low-pressure chemical vapor deposition (LPCVD) system using SiH<sub>4</sub> gas at 620 °C and subsequently implanted with phosphorous at 5  $\times$  15 cm<sup>-2</sup>, 20 keV. It was then activated with rapid thermal annealing (RTA) at 950 °C for 30 s. Prior to the deposition of the IPD, the Poly-I was cleaned by conventional RCA cleaning and sequentially etched in diluted hydrofluoric acid for the removal of particles and native oxides. The samples were then subjected to NH<sub>3</sub> nitridation in the LPCVD furnace at 800 °C for 1 h to suppress interfacial layer growth and improve the dielectric properties [8]. Al<sub>2</sub>O<sub>3</sub> and HfO<sub>2</sub> IPDs were deposited either by RS in an Ar/O<sub>2</sub> ambient at room temperature

Y.-Y. Chen is with the Department of Electronic Engineering, Lunghwa University of Science and Technology, Taoyuan 333, Taiwan, R.O.C. (e-mail: yungyu@mail.lhu.edu.tw).

C.-H. Chien is with the Institute of Electronics, National Chiao-Tung University, Hsinchu 300, Taiwan, R.O.C.

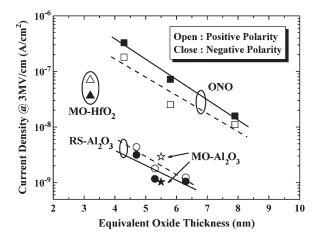


Fig. 1. Current density at low electric field (3 MV/cm) as a function of EOT for various IPDs.

or by metal-organic chemical vapor deposition (MOCVD) with oxygen gas. PDA of Al<sub>2</sub>O<sub>3</sub> and HfO<sub>2</sub> IPDs were carried out by RTA at 900 °C and 800 °C, respectively, for 30 s. Conventional ONO IPD was used for references. The ONO IPD consists of a 1.5-2-nm thermally grown bottom oxide on the Poly-I at 850 °C in an O<sub>2</sub>/N<sub>2</sub> environment, a 4–9-nm LPCVD nitride layer is then deposited on top at 700 °C using SiH<sub>2</sub>Cl<sub>2</sub> and NH<sub>3</sub> gases, and lastly, a 1–1.5-nm top oxide was formed by wet oxidation of the nitride layer at 950 °C in an O<sub>2</sub>/H<sub>2</sub> ambient. Subsequently, a 200-nm top polysilicon layer (Poly-II) was deposited by LPCVD and implanted with phosphorous at  $5 \times 15$  cm<sup>-2</sup>, 20 keV. Dopants were then activated with RTA at 950 °C for 30 s. After gate stacks were patterned, 500-nm TEOS oxide passivation and Al metallization were defined. Equivalent oxide thickness (EOT) was obtained from the highfrequency (100 kHz) capacitance-voltage measurement using a Hewlett-Packard (HP) 4284 LCR meter. The electrical properties and reliability characteristics of the inter-poly capacitors were measured using an HP4156 C semiconductor parameter analyzer.

## III. RESULTS AND DISCUSSIONS

Fig. 1 plots the leakage current density at relatively low electric field (3 MV/cm) for various high- $\kappa$  IPD candidates. The leakage current density is shown to be increasing as the EOT of the IPD is scaling down. Compared to the ONO IPD, high- $\kappa$  IPDs can reduce the leakage current density greater than one order of magnitude in both polarities at the same EOT. Since leakage path elimination between the Poly-I and Poly-II is a critical issue in the design criteria of the stacked-gate Flash memories to sustain charge storage, it is expected that Flash memories with high- $\kappa$  IPDs can significantly suppress charge loss than ONO IPD.

Breakdown voltage (BV) comparisons between the high- $\kappa$  IPDs and ONO IPD are shown in Fig. 2. As the EOT is scaled down, the BV decreases due to the reduced critical trapping density for dielectric breakdown [9]. RS-Al<sub>2</sub>O<sub>3</sub> IPD can provide BV increment of greater than 1 V when compared with the ONO IPD in both polarities, although the improve-

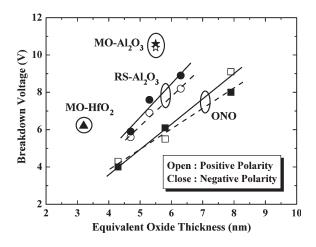


Fig. 2. BV comparison for RS-deposited, MOCVD-deposited high-  $\!\kappa$  IPDs and ONO IPD.

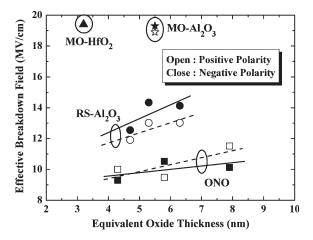


Fig. 3. Effective breakdown field as a function of EOT for RS-deposited, MOCVD-deposited high- $\kappa$  IPDs and ONO IPD.

ment seems to diminish for EOT less than 5-nm. Fortunately, the MO-Al $_2$ O $_3$  IPD exhibits a BV of larger than 10 V, which is nearly twice than that of the ONO IPD. By adopting the MO-HfO $_2$ , the BV can be maintained at greater than 6 V as the EOT = 3.3-nm regime.

After eliminating the thickness dependence, the resulting effective breakdown electric field ( $BE_{\rm eff}$ ), which is defined as the ratio of BV to EOT, of high- $\kappa$  IPDs is still higher than the ONO IPD, as presented in Fig. 3.  $BE_{\rm eff}$  is extended to greater than 2 and 8 MV/cm for RS- and MO-Al $_2$ O $_3$  IPDs, respectively. Both MO-Al $_2$ O $_3$  and HfO $_2$  IPD can withstand breakdown fields as high as 19 MV/cm, which is enough to be applied for the next-generation Flash memory.

In terms of dielectric reliability, the high- $\kappa$  IPDs also exhibit superior  $Q_{\rm BD}$  than the ONO IPD, as shown in Fig. 4. Our results indicate that  $Q_{\rm BD}$ -value of IPDs is strongly dependent on the dielectric thickness. From the percolation model [9], the critical electron trap density is strongly dependent on the thickness and inherent physical properties of the dielectric. Therefore, thicker IPDs exhibit higher BV and  $Q_{\rm BD}$  mean value, contributing to the bulk-dominant dielectric breakdown

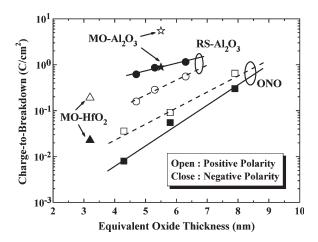


Fig. 4. Comparison of 63% failure rate  $Q_{\rm BD}$  between RS-deposited, MOCVD-deposited high- $\kappa$  IPDs and ONO IPD.

in both polarities. RS-Al $_2$ O $_3$  IPD reveals a near one order of magnitude  $Q_{\rm BD}$  improvement than the ONO IPD in both polarities.

On the other hand, despite the fact that the RS-Al $_2$ O $_3$  IPD can tolerate higher  $Q_{\rm BD}$  than the ONO IPD, the maximum  $Q_{\rm BD}$  observed is only  $\sim$ 1 C/cm $^2$ , which may present a major limitation for the RS-Al $_2$ O $_3$  IPD to replace conventional IPDs. Insufficient  $Q_{\rm BD}$  becomes more severe as the EOT is scaled down due to the bulk-dominant dielectric breakdown, as predicted by the percolation model [9]. Fortunately,  $Q_{\rm BD}$  can be drastically improved by the MOCVD instrument. The 63% failure  $Q_{\rm BD}$  of MO-Al $_2$ O $_3$  IPD is about 5.5 C/cm $^2$  in positive polarity, which is a near one order of magnitude improvement compared with the RS-Al $_2$ O $_3$  IPD in the same polarity. Nevertheless, negligible  $Q_{\rm BD}$  improvement is observed for negative polarity. For MO-HfO $_2$  IPD, the calculated  $Q_{\rm BD}$  is relatively poor partially from a thinner EOT than Al $_2$ O $_3$  IPD.

Although the real mechanisms for dielectric characteristic promotion of MOCVD-deposited IPDs are not clear as of yet, one possible explanation is speculated to be from the decreased defect density and uniform thickness of MOCVD-deposited dielectrics. Consequently, the EOT of IPDs can be further scaled down to 3.3 and 4.6-nm by  $HfO_2$  and  $Al_2O_3$  IPDs, respectively, without significant degradation of dielectric characteristics.

## IV. CONCLUSION

In the comparison of dielectric characteristics, including the leakage current density, BV, effective breakdown field, and  $Q_{\rm BD}$  between RS- or MOCVD-deposited high- $\kappa$  IPDs and conventional ONO IPD, the results clearly indicate that high- $\kappa$  IPDs, regardless of deposition tools, exhibit high potential as a replacement to conventional ONO IPD. Moreover, IPD reliability is also shown to be strongly dependent on deposition instruments; the MOCVD-deposited IPDs reveal superior reliability improvements than the RS-deposited dielectrics. The  $Q_{\rm BD}$  can be significantly improved, in addition to the enhanced BV and effective breakdown field through the use of MOCVD instead of RS. Our results clearly demonstrate that both MO-Al $_2O_3$  and MO-HfO $_2$  IPDs possess high potential for next-generation stacked-gate Flash memories.

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