

Strictly Nonblocking f -Cast $\text{Log}_d(N, m, p)$ Networks

Frank K. Hwang, Yang Wang, and Jinzhi Tan

Abstract—Necessary and sufficient conditions for $\text{Log}_d(N, m, p)$ network to be point-to-point strictly nonblocking are known. Recently, Kabacinski and Danilewicz obtained necessary and sufficient conditions for the $\text{Log}_2(N, 0, p)$ network to be broadcast strictly nonblocking. In this paper, we give necessary and sufficient conditions for $\text{Log}_d(N, m, p)$ to be f -cast strictly nonblocking for every f , thus covering the point-to-point case ($f = 1$) and the broadcast case ($f = N$) as special cases.

Index Terms— f -cast, broadcast, strictly nonblocking.

I. INTRODUCTION

LEA [1] first introduced the $\text{Log}_2(N, 0, p)$ network which has $N = 2^n$ inputs and outputs and $n + 2$ stages. The first (input) stage has $N \times 1 \times p$ crossbars, the last (output) stage has $N \times p \times 1$ crossbars, and the inner n stages consist of p copies of an n -stage inverse banyan network $\text{BY}^{-1}(n, 0)$, where each input (output) crossbar is connected to every copy of $\text{BY}^{-1}(n, 0)$. (See Fig. 1 for an example of $\text{BY}^{-1}(4, 0)$.)

Shyy and Lea [2] extended this network to $\text{Log}_2(N, m, p)$ by replacing $\text{BY}^{-1}(n, 0)$ in the middle with m -extra-stage inverse banyan networks $\text{BY}^{-1}(n, m)$ where the connection pattern of the m extra stages is a mirror reflection of the first m stages of the inverse banyan network. (See Fig. 1 for an example of $\text{BY}^{-1}(4, 2)$, Fig. 2 for an example of $\text{Log}_2(8, 1, 3)$.) Note that $\text{Log}_2(N, n - 1, p)$ is the Cantor network [3] with p copies of the Benes network [4] in the middle. The $\text{Log}_2(N, m, p)$ network can further be extended to the $\text{Log}_d(N, m, p)$ network by using d -ary crossbars. (See Fig. 3 for an example of $\text{Log}_3(27, 0, 2)$.)

Nonblocking networks are favorable in designing switching networks, since a conflict-free path is available for any pair of idle input and output. There are several kinds of nonblockingness. Strictly nonblocking means that any pair of idle input and output in a network can be connected regardless of the existing connections of other pairs in the network (all connecting paths must be link disjoint).

Hwang [5] extended a result of Shyy and Lea from binary to d -ary, as follows.

Paper approved by A. Pattavina, the Editor for Switching Architecture Performance of the IEEE Communications Society. Manuscript received September 1, 2005; revised March 3, 2006 and August 3, 2006.

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Digital Object Identifier 10.1109/TCOMM.2007.896055

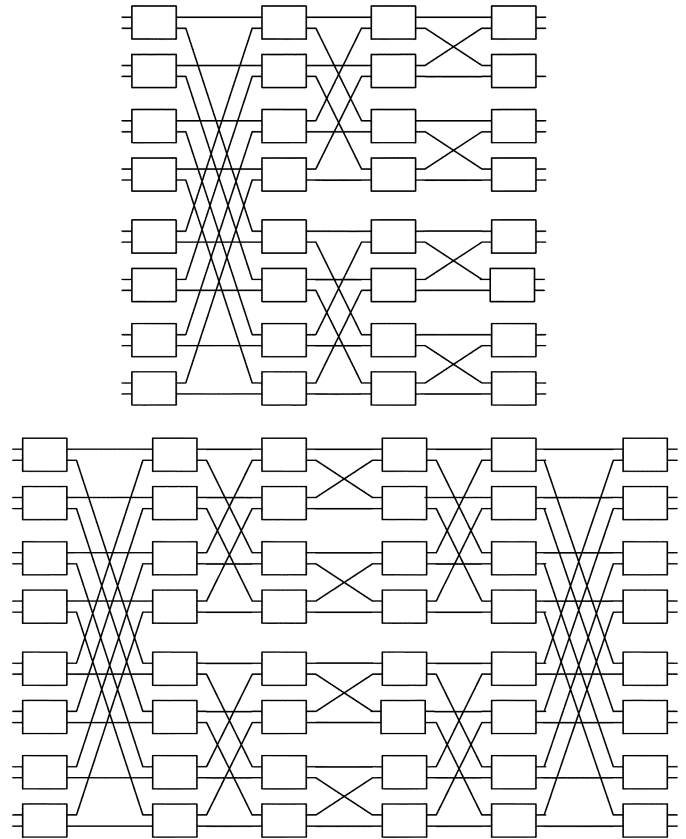


Fig. 1. $\text{BY}^{-1}(4, 0)$ and $\text{BY}^{-1}(4, 2)$.

Theorem 1: The sufficient condition for $\text{Log}_d(N, m, p)$ to be point-to-point strictly nonblocking is

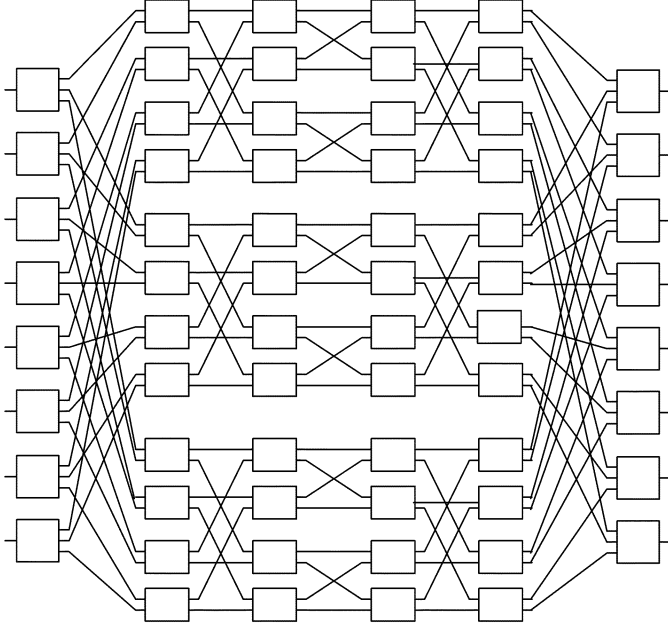
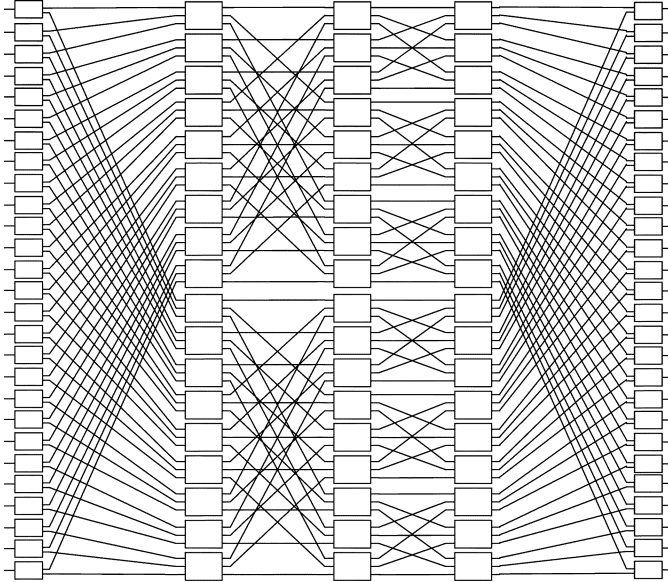
$$p \geq \frac{2m(d-1)}{d} + d^{\lfloor (n-m-1)/2 \rfloor} + d^{\lceil (n-m-1)/2 \rceil} - 1.$$

A careful examination shows that the worst-case scenario assumed in the proof of the theorem can be realized. So the condition in *Theorem 1* is also necessary.

Lea mentioned that his argument for the point-to-point network can also apply to multicast traffic. Tscha and Lee [6] gave necessary and sufficient conditions for $\text{Log}_2(N, 0, p)$ to be multicast strictly nonblocking, but the result is really for wide-sense nonblocking, since a special routing algorithm is used. Kabacinski and Danilewicz [7] gave the following result.

Theorem 2: The necessary and sufficient conditions for $\text{Log}_2(N, 0, p)$ to be broadcast strictly nonblocking are $p > 2^{n-1} - 1$.

To clarify our terminology, f -cast means an input can request to be connected to at most f outputs. When f is unspecified, we use the general term multicast. When $f = N$, i.e., f is unconstrained, then we use the term broadcast.

Fig. 2. $\text{Log}_2(8, 1, 3)$.Fig. 3. $\text{Log}_3(27, 0, 2)$.

In this paper, we give necessary and sufficient conditions for $\text{Log}_d(N, m, p)$ to be f -cast strictly nonblocking for all f , thus generalizing *Theorem 2* in three directions: 1) from binary network to d -ary network; 2) from no extra stage to m extra stages; and 3) from $f = N$ to general f . Our strategy is to deal with the $m = 0$ case first in Section II, and then extend the result to the general m case in Section III. We summarize our findings in Section IV.

II. $\text{Log}_d(N, 0, p)$

Consider a request from input i to output o . Then the (i, o) channel graph is simply the path from i to o consisting of $n + 1$ links L_0, L_1, \dots, L_n . A path from $i' \neq i$ to $o' \neq o$ is called

a j -intersecting path if it contains L_j . Note that a path can be both j -intersecting and j' -intersecting. An input is called a j -intersecting input if it can start a j -intersecting path. Note that a j -intersecting input is also a j' -intersecting input for $j < j' \leq n - 1$. An input is j -marginal if it is j -intersecting but not $(j - 1)$ -intersecting. Similarly, an output is j -intersecting if it can end a j -intersecting path. A j -intersecting output is also a j' -intersecting output for $1 \leq j' < j$. An output is j -marginal if it is j -intersecting but not $(j + 1)$ -intersecting. We use the following definitions.

- I_j the set of j -intersecting inputs;
- I'_j the set of j -marginal inputs;
- O_j the set of j -intersecting outputs;
- O'_j the set of j -marginal outputs.

Let $|S|$ denote the cardinality of the set S . Note that in the $\text{Log}_d(N, 0, p)$ network, $I_j \subset I_{j+1}$, $O_{j+1} \subset O_j$, and

$$\begin{aligned} |I_j| &= d^j - 1, & |I'_j| &= d^j - d^{j-1} \\ |O_j| &= d^{n-j} - 1, & |O'_j| &= d^{n-j} - d^{n-j-1} \\ |I_j| &= d^j - 1 < d^{j+1} - d^j = |I'_{j+1}| \\ |O'_j| &= d^{n-j} - d^{n-j-1} \geq d^{n-j-1} - 1 = |O_{j+1}|. \end{aligned}$$

Take $\text{Log}_2(32, 0, 3)$ as an example to explain the preceding definitions. Since $\text{Log}_2(32, 0, 3)$ contains three identical copies of $\text{BY}^{-1}(5, 0)$ in the middle, we will only use one middle copy to illustrate the concepts. In Fig. 4, suppose the request to be connected is from input 0 to output 0. Then, $I_1 = \{1\}$, $I_2 = \{1, 16, 17\}$, $I_3 = \{1, 8, 9, 16, 17, 24, 25\}$, $I_4 = \{1, 4, 5, 8, 9, 12, 13, 16, 17, 20, 21, 24, 25, 28, 29\}$, $I'_1 = \{1\}$, $I'_2 = \{16, 17\}$, $I'_3 = \{8, 9, 24, 25\}$, $I'_4 = \{4, 5, 12, 13, 20, 21, 28, 29\}$. $O_1 = \{1 - 15\}$, $O_2 = \{1 - 7\}$, $O_3 = \{1 - 3\}$, $O_4 = \{1\}$, $O'_1 = \{8 - 15\}$, $O'_2 = \{4 - 7\}$, $O'_3 = \{2 - 3\}$, $O'_4 = \{1\}$. Request $(\{1\}, \{8\})$ generates a 1-intersecting path, request $(\{12\}, \{1\})$ generates a 4-intersecting path.

Lemma 3: If $f \geq d^{n-2j}$, then $(|I'_j| + |I'_{j+1}|)f \geq |O_j|$.

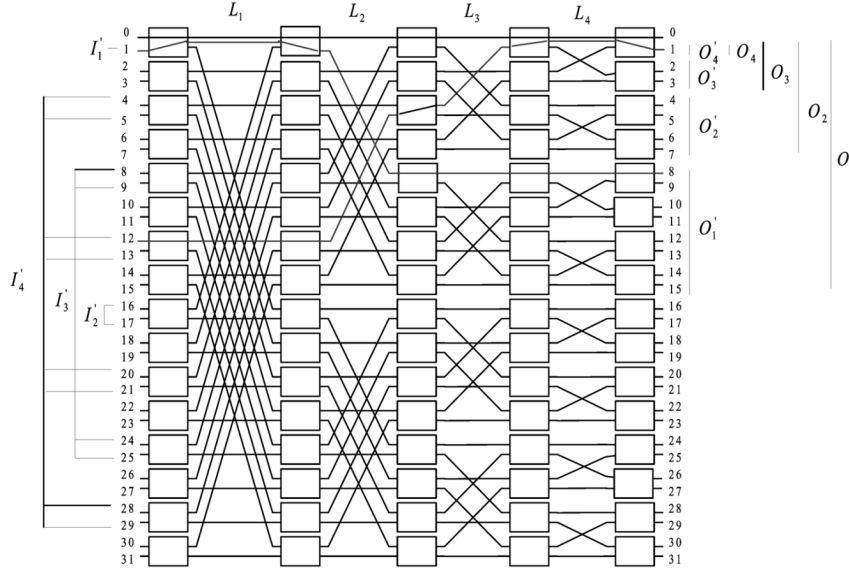
Proof:

$$\begin{aligned} (|I'_j| + |I'_{j+1}|)f - |O_j| &= (d^{j+1} - d^{j-1})f - (d^{n-j} - 1) \\ &\geq (d^{j+1} - d^{j-1})d^{n-2j} - d^{n-j} + 1 \\ &= d^{n-j-1}(d^2 - d - 1) + 1 > 0. \end{aligned}$$

Theorem 4: $\text{Log}_d(N, 0, p)$ is f -cast strictly nonblocking for $d^{n-2j} > f \geq d^{n-2j-2}$ if and only if

$$p > (d^j - 1)f + d^{n-j-1} - 1, \quad j = 0, 1, \dots, \left\lfloor \frac{n-1}{2} \right\rfloor.$$

Proof: Suppose the current request involves x outputs. Since we can connect the x outputs independently one by one, we may assume $x = 1$. Let the current request be (i, o) . Note that previous connections from i cannot block (i, o) since they can share links. Therefore, these connections will be ignored in the counting of intersecting paths.


 Fig. 4. $\text{BY}^{-1}(5, 0)$.

Suppose $d^{n-2j} > f \geq d^{n-2j-2}$. The upper bound implies

$$f < d^{n-2k}, \quad \text{for } 1 \leq k \leq j.$$

Hence

$$|I'_k| f < (d^k - d^{k-1})d^{n-2k} = d^{n-k} - d^{n-k-1} = |O'_k|$$

which implies that there are always enough outputs in O'_k to receive requests from I'_k . Thus the maximum number of k -intersecting paths is just $|I'_k|f$.

By *Lemma 3*, the lower bound implies

$$(|I'_{j+1}| + |I'_{j+2}|) f \geq |O_{j+1}|$$

i.e., the combined $(j+1)$ -marginal and $(j+2)$ -marginal inputs can use up all remaining outputs. Hence there is no need to count intersecting paths beyond L_{j+2} . Note that it does not matter whether I'_{j+1} alone can use up all of O_{j+1} , since in either case there is a total of $|O_{j+1}|$ paths intersecting L_{j+1} and L_{j+2} . Thus the total number of intersecting paths is

$$\begin{aligned} \sum_{k=1}^j |I'_k| f + |O_{j+1}| &= |I_j| f + |O_{j+1}| \\ &= (d^j - 1)f + d^{n-j-1} - 1. \end{aligned}$$

This maximum can be achieved since the proof assures the availability of inputs and outputs for all the intersecting paths counted (just make out a request frame according to the description given in the proof). ■

Again use $\text{BY}^{-1}(5, 0)$ as an example. When $f = 8$, consider requests $(\{1\}, \{8-15\})$, $(\{16\}, \{1-7\})$, which will use 15 copies. When $f = 5$, consider requests $(\{1\}, \{8-12\})$, $(\{16\}, \{3-7\})$, $(\{17\}, \{1-2\})$, which will use 12 copies. When $f = 2$, consider requests $(\{1\}, \{8-9\})$, $(\{16\}, \{4-5\})$, $(\{17\}, \{6-7\})$, $(\{8\}, \{2-3\})$, $(\{9\}, \{1\})$, which will use 9 copies.

Setting $f = N - 1$ ($j = 0$) and $f = 1$ ($j = \lfloor (n-1)/2 \rfloor$), respectively, in *Theorem 4*, we obtain the following.

Corollary 5: $\text{Log}_d(N, 0, p)$ is broadcast strictly nonblocking if and only if $p > d^{n-1} - 1$.

Corollary 6: $\text{Log}_d(N, 0, p)$ is point-to-point strictly nonblocking if and only if $p > d^{\lfloor (n-1)/2 \rfloor} + d^{\lceil (n-1)/2 \rceil} - 2$.

These two results are, of course, known in the literature, in [5] and [7].

III. $\text{LOG}_d(N, m, p)$

We now study the general m extra stages case.

Theorem 7: $\text{Log}_d(N, m, p)$ is f -cast strictly nonblocking if and only if the equation shown at the bottom of the page holds true.

$$p > \begin{cases} \frac{N-1}{d}, & \text{if } f \geq \frac{N-d}{d-1} \\ \frac{(d-1)(f+1)k}{d} + \frac{N-1-(d^k-1)(f+1)}{d^{k+1}}, & \text{if } \frac{N-d^k}{d^{k-1}} > f \geq \frac{N-d^{k+1}}{d^{k+1}-1}, 1 \leq k \leq m-1 \\ \frac{(d-1)(f+1)m}{d} + \frac{N-1-(d^m-1)(f+1)}{d^m}, & \text{if } \frac{N-d^m}{d^{m-1}} > f \geq \frac{N-d^{m-1}}{d^{m-1}-1} \\ \frac{(d-1)(f+1)m}{d} + d^{n-m-1} - 1, & \text{if } \frac{N-d^{m-1}}{d^{m-1}-1} > f \geq d^{n-m-2} \\ \frac{(d-1)(f+1)m}{d} + (d^j - 1)f + d^{n-m-j-1} - 1, & \text{if } d^{n-m-2j} > f \geq d^{n-m-2j-2}, 1 \leq j \leq \lfloor \frac{n-m-1}{2} \rfloor \end{cases}$$

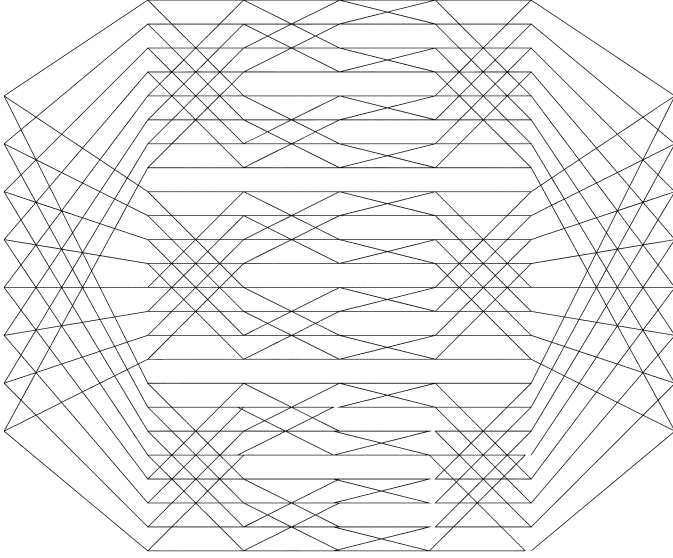


Fig. 5. Channel graph of $\text{Log}_2(8, 1, 3)$.

Proof: Again, we may assume that the current request is point-to-point and from input i to output o . For $1 \leq j \leq m$, the channel graph has d^j stage- j and d^j stage- $(n+m-j)$ links in every middle copy. Hence it takes d^j j -intersecting paths to block the channel graph of one middle copy (see Fig. 5). Using an argument of Shyy and Lea [2], each j -intersecting path blocks only $1/d^j$ portion of the channel graph of the middle copy, or blocks $1/d^j$ copy of the $\text{Log}_d(N, m, p)$ network. For $m+1 \leq j \leq n-1$, the channel graph of every middle copy has d^m links; hence each j -intersecting path blocks $1/d^m$ copy.

Let I be the set of all inputs except i , and O all outputs except o . Notice that an intersecting path originated from I_j , $j = 1, 2, \dots, m$, can arrive at every output of O . Similarly, any intersecting path to O_{n+m-j} , $j = 1, 2, \dots, m$, can start from any input of I . Furthermore, requests from I'_j , or to O'_{n+m-j} , $j = 1, 2, \dots, m$, can block $1/d^j$ copy, as mentioned above. In the worst case, requests from I'_j or to O'_{n+m-j} will take priority over connections from I'_{j+1} , or to $O'_{n+m-j-1}$ for $j = 1, 2, \dots, m-1$, since they have stronger blocking ability. In the $\text{Log}_d(N, m, p)$ network, we have $I_j = I$, for $n \leq j \leq m+n-1$, and $O_j = O$, for $1 \leq j \leq m$

$$|I_j| = \begin{cases} d^j - 1, & \text{if } j = 1, 2, \dots, n-1 \\ d^n - 1, & \text{if } j = n, n+1, \dots, m+n-1 \end{cases}$$

$$|O_j| = \begin{cases} d^n - 1, & \text{if } j = 1, 2, \dots, m \\ d^{n+m-j} - 1, & \text{if } j = m+1, \dots, n+m-1. \end{cases}$$

Note that

$$|I_j|f + |O_{n+m-j}| = (d^j - 1)f + d^j - 1 = (d^j - 1)(f + 1), \quad \text{for } j = 1, 2, \dots, m.$$

We proceed by counting intersecting paths in the order L_1 and L_{n+m-1} , L_2 and L_{n+m-2}, \dots, L_m and $L_n, L_{m+1}, L_{m+2}, \dots, L_{n-1}$, and stop whenever the counted intersecting paths have used up the remaining outputs. The proof is partitioned into cases depending on when the remaining outputs are used up.

$$1) f \geq (N - d)/(d - 1).$$

- Then $|I_1|f + |O_{n+m-1}| = (d-1)(f+1) \geq N-1$, which means the f -cast requests from I_1 and to O_{n+m-1} can use up O , and the number of blocked copies is $(N-1)/d$.
- 2) $(N - d^k)/(d^k - 1) > f \geq (N - d^{k+1})/(d^{k+1} - 1), 1 \leq k \leq m-1$.

The upper bound and the lower bound are equivalent to

$$|I_k|f + |O_{n+m-k}| < |O|$$

$$|I_{k+1}|f + |O_{n+m-k-1}| \geq |O|.$$

Thus, I'_j and O'_{n+m-j} , $j = 1, \dots, k$, all together will block

$$\sum_{j=1}^k |I'_j| f \frac{1}{d^j} + \sum_{j=1}^k |O'_{n+m-j}| \frac{1}{d^j} = \frac{d-1}{d} (f+1)k$$

copies. The remaining outputs will be used up by requests from $|I'_{k+1}|$ or to $|O'_{n+m-k-1}|$, each such intersecting path blocks $1/d^{k+1}$ copy. Therefore, the total number of blocked copies is

$$\frac{(d-1)(f+1)}{d}k + \frac{N-1 - (d^k-1)(f+1)}{d^{k+1}}.$$

- 3) $(N - d^m)/(d^m - 1) > f \geq (N - d^{n-1})/(d^m - 1)$.

The upper bound and the lower bound are equivalent to

$$|I_m|f + |O_n| < |O|$$

$$|I_m|f \geq |O| - |O_{m+1}| = |O'_m|.$$

Hence, the requests from I_m and to O_n cannot use up O , but the requests from I_m can overflow from O'_m to O_{m+1} . Further

$$|I_{m+1}|f + |O_n| \geq (d^{m+1} - 1) \frac{N - d^{n-1}}{d^m - 1} + d^m - 1$$

$$> d(N - d^{n-1}) + d^m - 1$$

$$\geq d^n + d^m - 1$$

$$> N - 1 = |O|.$$

Hence there is no need to count beyond stage $m+1$. Thus the total number of blocked copies is

$$\sum_{j=1}^m |I'_j| f \frac{1}{d^j} + \sum_{j=1}^m |O'_{n+m-j}| \frac{1}{d^j} + (|O| - |I_m|f - |O_n|) \frac{1}{d^m}$$

$$= \frac{(d-1)(f+1)}{d}m + \frac{N-1 - (d^m-1)(f+1)}{d^m}.$$

- 4) $(N - d^{n-1})/(d^m - 1) > f \geq d^{n-m-2}$.

The upper bound is equivalent to

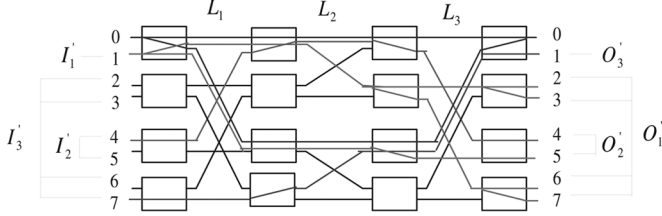
$$|I_m|f < |O'_m|.$$

Hence, requests from I_m do not overflow to O_{m+1} . The lower bound implies that, by setting $n = n+m$ and $j = m+1$ in Lemma 3

$$(|I'_{m+1}| + |I'_{m+2}|)f \geq |O_{m+1}|$$

i.e., all outputs available at step $m+1$ will be used up by the next step. Again, it does not matter whether they are

$$p > \begin{cases} \frac{N-1}{d}, & \text{if } f \geq \frac{N-d}{d-1} \\ \frac{(d-1)(f+1)k}{d} + \frac{N-1-(d^k-1)(f+1)}{d^{k+1}}, & \text{if } \frac{N-d^k}{d^k-1} > f \geq \frac{N-d^{k+1}}{d^{k+1}-1}, 1 \leq k \leq n-2 \\ \frac{(d-1)(f+1)(n-1)}{d}, & \text{if } \frac{N-d^{n-1}}{d^{n-1}-1} > f \end{cases}$$


 Fig. 6. $\text{BY}^{-1}(3, 1)$.

used up at step $m + 1$. Thus the total number of blocked copies is

$$\begin{aligned} \sum_{j=1}^m |I'_j| f \frac{1}{d^j} + \sum_{j=1}^m |O'_{n+m-j}| \frac{1}{d^j} + |O_{m+1} - O_n| \frac{1}{d^m} \\ = \frac{d-1}{d} (f+1)m + d^{n-m-1} - 1. \end{aligned}$$

5) $d^{n-m-2j} > f \geq d^{n-m-2j-2}$, $1 \leq j \leq \lfloor (n-m-1)/2 \rfloor$.

The middle $n-m$ stages consist of d^m copies of $\text{BY}_d^{-1}(n-m, 0)$, which we will refer to as the reduced inverse banyan networks. The upper bound of f says that the outputs of these reduced inverse banyan networks are intact, i.e., none of them is used by requests from I_m . Hence, we can apply *Theorem 4* with n replaced by $n-m$ everywhere. Note that for stage j in a reduced inverse banyan network

$$\begin{aligned} |I_j| &= d^{m+j} - d^m = d^m (d^j - 1) \\ |O_{n-j}| &= d^{m+j} - d^m = d^m (d^{n-j} - 1) \end{aligned}$$

which are d^m times of a normal $\text{BY}_d^{-1}(n-m, 0)$. However, to block a copy of $\text{BY}_d^{-1}(n, m)$ takes the blocking of d^m copies of the reduced inverse banyan networks. So the net effect of blocking in the reduced inverse banyan network is same as in the normal $\text{BY}_d^{-1}(n-m, 0)$, and *Theorem 4* applies.

Again, the worst case described above can be achieved, since the description in the proof assures the availability of the inputs and outputs counted in the intersecting paths. ■

Note that in the first three cases, outputs in O are used up. In the last two cases, O'_k may not be used up by I_k , but are not available for $I'_{k+1}, I'_{k+2}, \dots, I'_{n-1}$.

Let us take Fig. 6 as an example to see the concept of overflow. In the figure, we have $N = 8$, $m = 1$, $n = 3$. Setting $f = 5$, then it is the third case, that is, requests from I_1 and to O_3 cannot use up O , but requests from I_1 can overflow from O'_1 to O_2 . In the figure, input 1 generates requests to outputs $\{2, 3, 5, 6, 7\}$, which include one output of O_2 .

Again, setting $f = N - 1$ and $f = 1$, respectively, we obtain the following.

Corollary 8: $\text{Log}_d(N, m, p)$ is broadcast strictly nonblocking if and only if $p > d^{n-1} - 1$.

Corollary 9: $\text{Log}_d(N, m, p)$ is point-to-point strictly nonblocking if and only if

$$p > \frac{2m(d-1)}{d} + d^{\lfloor (n-m-1)/2 \rfloor} + d^{\lceil (n-m-1)/2 \rceil} - 2.$$

Setting $m = n - 1$, we obtain the following.

Corollary 10: The d -ary Cantor network is f -cast strictly nonblocking if and only if the equation shown at the top of the page holds true.

IV. CONCLUSION

Recently, Kabacinski and Danilewicz gave necessary and sufficient conditions for $\text{Log}_2(N, 0, p)$ to be broadcast strictly nonblocking. We extended it to $\text{Log}_d(N, m, p)$. Further, we obtained the surprising result that the conditions are independent of m (*Corollary 8*).

Bassalygo and Pinsker [8] proved that a strictly nonblocking broadcast network contains at least $O(N^2)$ crosspoints, not fewer than those of an $N \times N$ crossbar. Thus the only hope is to construct efficient f -cast strictly nonblocking networks.

We gave necessary and sufficient conditions for $\text{Log}_d(N, m, p)$ to be f -cast strictly nonblocking for every f , thus containing the point-to-point ($f = 1$) and broadcast ($f = N$) as special cases. Note that the number of copies of $\text{BY}^{-1}(n, m)$ in the middle decreases rapidly with f . For example, the number is d^{n-1} for $f = N$, and is the minimum integer larger than

$$\frac{2m(d-1)}{d} + d^{\lfloor (n-m-1)/2 \rfloor} + d^{\lceil (n-m-1)/2 \rceil} - 1$$

for $f = 1$. In particular, we obtain necessary and sufficient conditions for the Cantor network, i.e., $\text{Log}_d(N, n-1, p)$, to be f -cast strictly nonblocking. Though we get the strictly nonblocking condition as above, it only guarantees the existence of a path. How to find it efficiently is still an issue, and we will take it for future research.

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