Broadband Quasi-Chebyshev Bandpass Filters With Multimode Stepped-Impedance Resonators (SIRs)

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Abstract—Planar broadband bandpass filters of order up to 9 are synthesized based on the multimode property of stepped-impedance resonators (SIRs). Based on the transmission line theory, the modal frequencies of the SIRs are calculated based on the impedance and length ratios of its hi- and low-Z segments. In the synthesis, the SIR coupling schemes are determined by the split mode graphs. Using one, two, two, three, and three dual- or triple-mode SIRs, quasi-Chebyshev filters with four, six, six, eight, and nine transmission poles, respectively, are synthesized with a fractional bandwidth (BW) $\Delta = 50\%$. Emphasis is placed not only on designing the I/O coupling structures for matching the external $Q(Q_{ext})$ and the circuit BW, but also on matching the resonant peaks of the circuit with the nominal Chebyshev poles. Measured results of experimental circuits show good agreement with simulated responses.

Index Terms—Bandpass filter (BPF), broadband, external Q, multimode, stepped-impedance resonator (SIR).

I. INTRODUCTION

N THE RF front end of a modern communication system, especially for satellite and mobile applications, high-performance RF/microwave bandpass filters (BPFs) are essential devices. The multimode BPFs have many attractive features such as a simple design procedure, high selectivity, and low cost. Recently, many researches on multimode resonator filters have been published for innovative design and analysis methods [1]-[5]. In [1], a narrowband third-order Chebyshev filter is realized by a triple-mode cavity. A shorted waveguide stub is used to set up couplings between the degenerate modes. The method developed in [2] significantly extends the design possibilities for multimode cavity filters. Several degenerate resonances of a cavity are simultaneously coupled to the same I/O port. A third-order elliptic function filter is realized with one triple-mode cavity. In [3], a six-pole pseudoelliptic function BPF based on the sixfold degeneracy of a single cavity is presented. The design achieves significant savings in mass and volume when compared to six-pole dual- and/or triple-mode filters of equivalent performance. In [4], new common multimode cavity multiplexing and double-band filter methods are introduced. In [5], dual- and triple-mode resonators are used to implement miniaturized dielectric resonator filters.

It is noted that all of above-mentioned multimode resonator filters are three-dimensional and have a relatively narrow band. They are designed based on degenerated modes, i.e., modes with identical resonant frequencies. The stepped-impedance resonators (SIRs), on the other hand, have a planar structure and are advantageous in designing BPFs due to its versatile resonant characteristics [6]–[10]. One of the key features of an SIR is that its resonant frequencies can be easily altered by tuning its geometric parameters. For example, in [6], the SIR filters are designed to have a wide stopband by locating the first higher order resonance as far away from the fundamental frequency as possible.

Recently, periodic stepped-impedance ring resonators (PSIRRs) [7] have been proposed to design dual-mode filters. The two modes are orthogonal and have the same frequencies, and perturbation is required to split up the resonances and create a narrow passband. The filters in [8]–[10], on the other hand, have a broadband characteristic by incorporating the first three SIR modes at distinct frequencies into the passband. Five-pole filters can be built up with a single triple-mode SIR and an excitation structure with strong coupling. The impedance junctions between the excitation and the SIR are capable of creating two additional transmission poles.

In this paper, we aim at developing a systematic procedure for synthesizing broadband BPFs based on single or plural multimode SIRs. When only a single SIR is involved, the design is similar to those in [8]–[10]. Each SIR is treated as a multimode cavity and contributes two or three resonances at different frequencies to the circuit. The resonances of coupled SIRs are tuned to match the transmission poles of a Chebyshev passband. The BPFs will have a quasi-Chebyshev response since the final poles deviate slightly from the designated positions due to the I/O coupling. To this end, the impedance and length ratios of the SIRs are properly chosen based on resonant spectrum in readiness. Based on the external Q (Q_{ext}) formulas, proper I/O coupling is devised to meet the bandwidth (BW) specification. For demonstration, four-, six-, eight-, and nine-pole BPFs are fabricated and measured.

II. DUAL-MODE AND TRIPLE-MODE SIRs

The resonant frequencies of an SIR in Fig. 1 can be calculated by the following two transcendental equations [6], [11]:

$$\tan \theta_1 = R \cot \theta_2 \text{ (odd mode)} \tag{1}$$

$$\cot \theta_1 = -R \cot \theta_2 \text{ (even mode)} \tag{2}$$

where $R = Z_2/Z_1$ is the impedance ratio and θ_1 and θ_2 are electrical lengths of the microstrip sections with characteristic

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Fig. 1. Geometry of an SIR. $Z_2 > Z_1$ in this study.



Fig. 2. Resonant spectrum of SIRs with R = 5, 7.25, and 9.5. All resonances are normalized with respect to the fundament frequency f_1 . The horizontal axis $u = \theta_2/(\theta_1 + \theta_2)$. (Color version available online at: http://ieeexplore.ieee. org.)

impedances Z_1 and Z_2 , respectively. The fundamental and consecutive higher order resonances occur alternatively in the odd and even modes. Fig. 2 plots f_2 through f_5 normalized with respect to the fundamental frequency f_1 for R = 5,7.25, and 9.5. The horizontal axis is the length ratio defined as

$$u = \frac{\theta_2}{\theta_1 + \theta_2}.$$
 (3)

The data shown in Fig. 2 form an important basis of our design for determining the SIR geometry. The SIRs used here are either dual- or triple-mode elements. The center frequency of a dual-mode element is defined as the arithmetic mean of the two resonances, and that of a triple-mode SIR is at its second resonance, provided that the three resonances are equally spaced. In the following demonstration for four-, six-, and nine-pole filters, either dual- or triple-mode SIRs with identical resonances are used; while for an eighth-order BPF, both dual- and triple-mode elements with distinct resonant frequencies are used.

III. CIRCUIT SYNTHESIS AND MEASUREMENT

The insertion loss function of a kth-order Chebyshev filter can be expressed as [12]

$$|S_{21}|^{-2} = 1 + \alpha^2 |T_k(x)|^2 \tag{4}$$

where $T_k(x)$ is the kth-order Chebyshev polynomial of the first kind and α specifies the passband ripple level. The frequencies corresponding to |x| = 0 and 1 are center frequency and band edges, respectively. The k transmission poles in (4), or the zeros of $T_k(x)$, are given as

$$x_n = \cos\left(\frac{2k+1-2n}{2k}\pi\right), \qquad n = 1, 2, \dots, k.$$
 (5)

For a kth-order Chebyshev BPF with a fractional BW Δ , the pole frequency f_n can be easily calculated by

$$\frac{f_n}{f_o} = 1 + x_n \times \frac{\Delta}{2} \tag{6}$$

where f_o is the center frequency. The idea of our design is to match the resonant frequencies of each BPF circuit with these transmission poles.

In a BPF, the couplings between the I/O feeder and end resonator and between adjacent resonators have to be properly designed. When the BPF has only one SIR, only the I/O coupling has to be considered. If there are two or more SIRs, the couplings among the SIRs are then chosen to match split-off resonant frequencies with the Chebyshev poles in (6). It is assumed that the I/O feeders do not shift the split-off frequencies significantly.

In this paper, all circuits are designed on a substrate with $\varepsilon_r = 2.2$ and thickness = 0.508 mm and have $\Delta = 50\%$ with a 0.1-dB ripple level. Before the I/O feeders are equipped, the split-off poles are detected by a loose coupling scheme [6]. All simulation are done via IE3D [13].

A. I/O Coupling

For the broadband BPFs in [8]–[10], strong couplings are essentially required for the I/O structures. The couplings, however, seem to be lack of quantitative description. In this study, coupled-line stages are used to implement the required I/O couplings. The modal characteristic impedances of the stage Z_{oe} and Z_{oo} can be determined by the formulas in [14, Secs. 8.09 and 10.02] when the circuit BW is small and wide, respectively. Once Z_{oe} and Z_{oo} are known, the linewidth and gap size can be found. The linewidth, however, is one of geometric parameters of the SIR and has been fixed by the designated resonant frequencies. Thus, the I/O feeders need redesigning as follows. A coupled-line stage can be modeled as two quarter-wave lines with an admittance inverter in between [12]. The admittance value can be expressed in terms of the characteristic impedances of the stage

$$J_{01}Z_o = \frac{Z_{oe} - Z_{oo}}{2Z_o}$$
(7)

where Z_o is the reference port impedance. The external $Q(Q_{\text{ext}})$ of the stage and the admittance inverter J_{01} is related by

$$Q_{\rm ext} = \frac{\pi}{2} \frac{1}{(J_{01}Z_o)^2}.$$
(8)



Fig. 3. Simulated loaded Q (Q_L) for coupled-line stages versus gap size G_C . (Color version available online at: http://ieeexplore.ieee.org.)



Fig. 4. Simulated and measured results of the dual-mode BPF. $W_1 = 3.76$, $W_2 = W_c = 0.2$, $\ell_1 = 3.19$, $\ell_2 = 26.45$, $\ell_c = 22.95$, and $G_c = 0.13$ (all in millimeters). (Color version available online at: http://ieeexplore.ieee.org.)

The loaded $Q(Q_L)$ and the 3-dB BW Δf of a stage is related by

$$Q_L = \frac{f_o}{\Delta f}.$$
(9)

Note that Δf is specified by the stage rather than the passband response, i.e., the stage is required to have a Δf fulfilling $Q_{\text{ext}} = Q_L$ for lossless cases. Fig. 3 plots the simulated Q_L values against gap size G_C for three sets of linewidths W_{C1} and W_{C2} . It can be seen that the smaller the gap size or the linewidths, the smaller the Q_L values. When $G_C < 0.25$ mm, the Q_L values of the three cases are nearly the same. In simulation, for the particular substrate with linewidth of 0.15~0.2 mm, the stage shows two extra transmission poles when $G_c <$ 0.17 mm and no poles when $G_c > 0.19$ mm [8].

B. BPFs With a Single SIR

Suppose we are designing a dual-mode filter based on two resonances at f_1 and f_2 , which are designated by (5) and (6). Fig. 4 plots the simulated and measured responses of the dualmode BPF designed at $f_o = 2.45$ GHz with $\Delta = 50\%$. The geometric parameters of the dual-mode SIR are chosen by locating $f_1 = 2.02$ GHz and $f_2 = 2.88$ GHz at the two transmission poles of $T_2(x)$. For R = 5, there are two possible solutions in



Fig. 5. Design and results of two six-pole BPFs. (a) Circuit layout and mode graph of the two coupled dual-mode SIRs. (b) Circuit layout and mode graph of the two coupled triple-mode SIRs. (c) Simulated and measured results. Dual-mode BPF: $W_1 = 3.76$, $W_2 = 0.2$, $\ell_1 = 4.18$, $\ell_2 = 26.1$, $W_c = 0.2$, $\ell_c = 23.5$, $G_c = 0.165$, and S = 0.265. Triple-mode BPF: $W_1 = 7.24$, $W_2 = 0.15$, $\ell_1 = 21.5$, $\ell_2 = 23.8$, $W_c = 0.2$, $\ell_c = 24.2$, $G_c = 0.215$, and S = 0.371 (all in millimeters). (Color version available online at: http://iceexplore.ieee.org.)

Fig. 2, i.e., points A and B, to have $f_2/f_1 = 1.426$. Obviously, B is preferable to A since the next resonance of the former at B' is much higher than that of the latter at A'. At point B, u = 0.89. The $|S_{11}|$ response shows that the circuit has four transmission poles. The two extra poles are from the I/O stage [8]. The length

TABLE I Comparison of Frequencies in Transition Bands With Specific Attenuation Levels for Circuits in Fig. 5

Frequencies	The	eory	Measured			
(GHz)	k = 4	k = 6	Dual-Mode	Triple-Mode		
20 dB	1.56/3.34	1.75/3.15	1.55/3.25	1.70/3.13		
30 dB	1.38/3.52	1.67/3.23	1.39/3.38	1.64/3.21		
40 dB	1.20/3.70	1.57/3.33	1.20/3.54	1.55/3.31		



Fig. 6. Group delays for the six-pole BPFs in Fig. 5. (Color version available online at: http://ieeexplore.ieee.org.)

of the coupled-line stage $\ell_c = 22.95$ mm is a quarter-wavelength long at f_o . The measurement shows $\Delta = 52.5\%$ and agree well with the design. The poles at f_1 and f_2 shift to 1.85 and 3.03 GHz, respectively, after the I/O structures are applied. The shifts of these two poles are less than 9% and slightly increase the circuit BW. The transmission zero at 5 GHz is an inherent property of a parallel-coupled stage [15].

When the SIR is used as a triple-mode element with resonances at f_1 , f_2 , and f_3 , it will be convenient to choose u = 0.5, i.e., $\theta_1 = \theta_2$, since f_2 is the arithmetic average of f_1 and f_3 . It is because from (1), $\theta_1 = \tan^{-1}\sqrt{R}$ and $\pi - \tan^{-1}\sqrt{R}$ at f_1 and f_3 , respectively, and from (2), $\theta_1 = \pi/2$ at f_2 , which is the midpoint of the θ_1 values at f_1 and f_3 . Points C and C' in Fig. 2 show a good example. Note that this equal-space property is independent of the R value. The absolute BW of a third-order circuit based on this triple-mode resonator is close to $f_3 - f_1$, and it can be controlled by R. There have been many good BPF examples designed with a single triple-mode SIR in [8]–[10], and they will be not repeated here.

C. BPFs With Two and Three Triple-Mode SIRs

Two dual-mode SIRs are used to construct a six-pole BPF. The four resonant peaks of the coupled dual-mode SIRs, without the I/O stage, are symmetric about $f_o = 2.45$ GHz. Based on (6), the geometric parameters of each SIR are chosen to have two resonances at $f_a = (f_1 + f_2)/2$ and $f_b = (f_3 + f_4)/2$. Two triple-mode SIRs are also used to design a six-pole BPF with the same f_o . The width of the low-Z section is 7.24 mm to realize R = 9.5. The possible spurious corresponding to its transverse resonance is approximately 16 GHz > $6f_o$.

The split mode graphs in Fig. 5(a) and (b), respectively, investigate the split off of the resonant peaks of the two dual- and two triple-mode SIRs with respect to coupling gap size S. The split



Fig. 7 Synthesis and design of a ninth-order BPF with three triple-mode SIRs. (a) Half of the circuit layout. (b) Filter performance in a band from 5 to 11 GHz. (c) Simulation and measured responses in a broadband. (d) Circuit photograph. $W_1 = 7.60, W_2 = 0.17, W_3 = 8.35, W_4 = 0.15, \ell_1 = 6.29, \ell_2 = 6.11, \ell_{ov} = 0.70, W_c = 0.15, \ell_c = 6.76, G_c = 0.225, and S = 0.375 mm (all in millimeters). (Color version available online at: http://ieeexplore.ieee.org.)$

off increase as S is decreased, as expected. S = 0.265 mm and 0.371 mm are then chosen for the dual- and triple-mode cases, respectively, to match the frequencies with the nominal poles of the fourth- and the sixth-order BPF. The maximal relative deviation of the f_n values from those given in (6) is no larger than 1%. Fig. 5(c) shows the simulated and measured results of the two BPFs. For the sake of clarity, only measured data are plotted for S_{11} . Measured data indicate that the in-band insertion loss is 0.9 dB and the return loss is 15 dB. Note that both circuits

TABLE II Chebyshev Poles (Theory) and Tuned Resonant Peaks (in Gigahertz) of the Ninth-Order BPF

n	1	2	3	4	5	6	7	8	9
Theory	6.03	6.27	6.71	7.32	8.00	8.68	9.29	9.73	9.97
Tuned	6.09	6.32	6.72	7.38	7.96	8.58	9.12	9.56	9.85
Meas.	6.11	6.22	6.62	7.23	7.98	8.70	9.06	9.53	9.85

have six transmission poles. Of the dual-mode BPF, again, two extra poles are resulted from the I/O stages with a gap size of 0.165 mm. In the triple-mode case, however, there is no extra pole since gap size of the I/O stages $G_c = 0.215$ mm corresponds to a relatively weak coupling.

Although both of the circuits in Fig. 5 have the same number of poles, one can easily identify that the two circuits have different attenuation rates in their transition bands by comparing the $|S_{21}|$ responses in Fig. 5(c). Table I compares the frequencies of the two circuits at specific attenuation levels with those obtained by theoretical calculations, i.e., Chebyshev function of the *k*th-order (k = 4 or 6) with a 0.1-dB ripple and $\Delta = 50\%$ [14]. The frequencies of the triple-mode circuit match well with those of k = 6, while those of the dual mode agree better with those of a fourth-order Chebyshev function. This means that the two extra poles created by the I/O stages in the dual-mode BPF have little contribution to rejection levels in the transition bands.

The layouts of the circuits in Fig. 5 involve asymmetric coupled lines. Rigorous analysis for the coupling and f_n based on the transmission line theory would be favorable for circuit design. The circuits, nevertheless, involve strong couplings and discontinuities, which need further simulation for final confirmation anyway. Thus, from the design point of view, the couplings and f_n for the structures are directly characterized by an electromagnetic software package.

For a broadband filter, variations of group delay within the passband can be of important concern. Fig. 6 plots group delay responses for the fabricated circuits in Fig. 5. The maximum group delay variations for the dual- and triple-mode SIR circuits are 1 and 2.5 ns, respectively. The latter shows a worse phase linearity in the passband.

Synthesis of a ninth-order BPF with three triple-mode SIRs is also studied. The circuit is designed at $f_o = 8$ GHz and the SIRs have R = 9.6 and u = 0.5. Fig. 7(a) shows half of the circuit layout on the left-hand side of its plane of symmetry p - q. Fig. 7(b) illustrates the performance of the BPF from 5 to 11 GHz. Detailed data indicate that the measurement has in-band insertion loss = 1.3 dB and $\Delta = 49.75\%$ for a 15-dB return loss. Before the I/O coupling is imposed, the chosen circuit poles together with the Chebyshev function poles are listed in Table II. The maximal relative deviation between the theory and tuned values is only 1.8%. In simulation of the entire circuit, linewidths W_3 and W_4 and the parameter ℓ_{ov} are finely adjusted for the in-band $|S_{11}|$ level. The poles in the measured response are also listed and show reasonably good agreement with the chosen values. Fig. 7(c) plots the simulated and measured responses up to 25 GHz. From Fig. 2, since $f_1 \sim f_3$ are used for the passband, the first spurious may occur at $f_4 \approx 2.5 f_1$ or $2 f_0$. The possible resonances in transverse direction corresponding



Fig. 8. Synthesis and design of an eighth-order BPF with two triple-mode and a dual-mode SIRs. (a) Layout and the split mode graph. (b) Filter performance from 5 to 11 GHz. (c) Simulation and measured responses in broadband. (d) Photograph of the circuit. $W_1 = 7.72$, $W_2 = 0.15$, $W_3 = 3.86$, $W_4 = 0.15$, $\ell_1 = 6.29$, $\ell_2 = 6.07$, $\ell_3 = 1.02$, $\ell_4 = 7.42$, $\ell_{ov} = 0.65$, $W_c = 0.15$, $\ell_c = 6.52$, $G_c = 0.22$, and S = 0.358 (all in millimeters). (Color version available online at: http://ieeexplore.ieee.org.)

to widths of the low-Z sections, W_1 and W_3 , are also close to 16 GHz or $2f_o$. They are, however, suppressed by the zero created by the I/O coupled stage [14]. As a result, the 25-dB peak at 22.5 GHz is the next spurious $f_5 \approx 3.5f_1$ or $2.8f_o$ of the SIR. Fig. 7(d) shows a photograph of the fabricated circuit.

One practical important point of this study is as follows. Broadband BPFs are usually hardly realizable by the parallel-coupled configuration using the standard PCB process

TABLE III CHEBYSHEV POLES (THEORY) AND TUNED RESONANT PEAKS (IN GIGAHERTZ) OF THE EIGHTH-ORDER BPF

n	1	2	3	4	5	6	7	8
Theory	6.04	6.34	6.89	7.61	8.39	9.11	9.66	9.96
Tuned	6.17	6.46	7.00	7.73	8.35	8.95	9.50	9.79
Meas.	6.05	6.33	6.58	7.62	8.32	-	9.36	9.72

since the gap sizes are usually too small to fabricate. For example, for a ninth-order BPF with $\Delta = 50\%$ and 0.1-dB ripple on a substrate of $\varepsilon_r = 2.2$ and thickness = 0.508 mm, the gap sizes range from 5 to 66 μ m. Based on the proposed approach, however, the required gap sizes have no such difficulty, as shown in Fig. 7.

D. BPF With Both Dual- and Triple-Mode SIRs

Two triple-mode SIRs, with R = 10, and one dual-mode SIR, with R = 5.75, in the middle are organized to synthesize an eighth-order BPF. Half the circuit layout is shown in Fig. 8(a). In the mode split-off graph (not shown), the resonances f_3 and f_6 are from the dual-mode element, and they have negligible changes when S is varied. The triple-mode SIRs have $f_1 = f_2$, $f_4 = f_5$, and $f_7 = f_8$ when S is large. Note that the dual- and triple-mode SIRs have identical center frequencies, but distinct individual resonances. Table III lists the theoretical pole positions and tuned resonant peaks when S = 0.358 mm. The maximal deviation is only 2.2% and occurs at values of f_1 . Fig. 8(b) shows the filter performance from 5 to 11 GHz. The measurement shows that in-band insertion loss is 1.6 dB and $\Delta = 48\%$ for a 15-dB return loss. Fig. 8(c) plots the simulation and measured data up to 25 GHz. The circuit has a wide upper stopband. For example, if a 25-dB rejection is used, the stopband extends to over 25 GHz. This could be attributed to the fact that the circuit consists of SIRs with staggered higher order resonances [16]. Fig. 8(d) presents a photograph of the circuit.

IV. CONCLUSION

Quasi-Chebyshev BPFs of order up to 9 have been synthesized and implemented by either dual- or triple-mode SIRs. An eighth-order BPF has been realized by both of them, where they have distinct resonant frequencies. Mode graphs have been presented to depict the split off of the resonant frequencies of the coupled SIRs before the I/O feeders are applied. The resonant peaks of the multielement structure have been chosen to match the transmission poles of a Chebyshev bandpass function. It has been validated that their positions are not shifted significantly by the I/O couplings for such multielement circuits with $\Delta = 50\%$. A particular example has shown that the extra transmission poles created by the strong I/O couplings have little contributions to the rejection levels of the BPF in the transition bands. The measured responses show good agreement with the simulated results.

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