Detection of Multiuser Orthogonal Space-Time Block Coded Signals via Ordered Successive Interference Cancellation

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Abstract—This paper investigates multiuser orthogonal spacetime block coded signal detection within the ordered successive interference cancellation (OSIC) framework. Both the zero-forcing and minimum-mean-square-error ordering criteria are considered. When each user terminal is equipped with no more than four transmit antennas, it is shown that orthogonal transmit redundancy leads to an appealing signal ordering property: in each processing layer the transmitted symbols of an arbitrary user are associated with an identical ordering metric. This guarantees the feasibility of (user based) group-wise symbol recovery through the OSIC mechanism. Analytic bit-error-rate performance is given. Computer simulations and flop count evaluations are also provided for comparing the OSIC based solution with existing multiuser detection schemes reported for the considered system.

Index Terms—Multiuser detection, ordered successive interference cancellation (OSIC), array processing, space-time block codes.

I. Introduction

ULTIUSER orthogonal space-time block code (MU-OSTBC) systems [9, chap. 11], [12], [13], can produce multiple fading-resistant links but the co-channel user interference then becomes the major impairment dominating system performance. Toward signal recovery, one may in general resort to the joint maximum-likelihood detection but this usually suffers from intensive computational effort. For MU-OSTBC systems in particular, the algebraic structure of OSTBC is exploited for developing various alternative signal detectors: typical such proposals are the Naguib's parallel interference cancellation (PIC) approaches [13], and the Stamoulis's userwise decoupled detection method [17], [12]. The successive interference cancellation (SIC) scheme, on the other hand, is first suggested in [20], and later in [2], [4], and [18], regarding the trellis coded transmission case. It has also been considered for separating multi-group OSTBC signals, either in a pointto-point environment [8], [22], or from a multiuser setting [10]. The SIC method combined with certain signal ordering mechanism, i.e., the so-called ordered SIC (OSIC) scheme [5], [21], is known to yield a performance advantage over the unordered case at the expense of algorithm complexity. Although the OSIC method is a well-recognized solution for spacetime signal detection [15, chap. 7], the related study in the MU-OSTBC scenario is nonetheless not fully investigated. In

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particular, the impacts of the OSTBC structural property on the algorithm characteristics, e.g., the optimal ordering strategy as well as the associated possible low-complexity algorithm implementation, remain important aspects yet to be addressed.

This paper studies the OSIC based signal detection for MU-OSTBC system, in which each user terminal is equipped with L transmit antennas. The underlying analysis builds on the linear matrix modulation representation [9] of codeword matrices and the assumption $L \leq 4$. The signal ordering rule can be either zero-forcing (ZF) or minimum-mean-squareerror (MMSE) criterion. By exploiting the algebraic structure of OSTBC it is shown that, in each processing layer, the transmitted symbol streams of an arbitrary user are associated with an identical ordering metric. The signal ordering in each stage, therefore, is arranged on a user-wise basis; this property is observed to be no longer true for L > 4. As a result, whenever multiuser interference is present, only a subclass of orthogonal codes allows for joint recovery of per user's symbols via the OSIC mechanism. Analytic bit-error-rate (BER) results are provided and are testified through numerical simulations. The established user-wise ordering property offers a potential advantage of computation reduction based on group-wise data processing; an associated low-complexity algorithm implementation which further exploits the OSTBC structure is derived in [7]. Recently it is reported that the uplink performance of MU-OSTBC systems can be further improved by incorporating the codeword rotation technique [14]. Our discussions below, however, will not take such a codeword mapping into account to better focus on the intrinsic properties introduced by OSTBC. It is noted that group-wise detectors are also proposed in [14] for multiuser signal separation. The MMSE based solution in [14, p-325] is basically a parallel interference suppression scheme but does not exploit the algebraic property of OSTBC; the associated refined version with signal ordering in [14, p-326] is however virtually similar to the one-step PIC method introduced in [13]. The rest of the context is organized as follows. Section II describes the system model. Section III presents the main results, and the related performance analysis is given in Section IV. Section V contains the simulation results. Finally, Section VI is the conclusion.

II. SYSTEM MODEL

A. System Description and Basic Assumptions

Consider an MU-OSTBC system over flat fading channels as shown in Figure 1. The qth user's symbol stream $s_q(\cdot)$, $1 \le q \le Q$, is first parsed into consecutive P-dimensional blocks, and the OSTBC encoder then associates per block of symbols $s_{q,p} := s_q(p-1)$, $1 \le p \le P$, with an $L \times K$

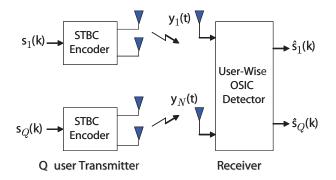


Fig. 1. The schematic diagram of the transceiver.

space-time codeword matrix¹

$$\mathbf{X}_q := \sum_{p=1}^{2P} \mathbf{A}_p \tilde{s}_{q,p},\tag{1}$$

where $\tilde{s}_{q,p}=\operatorname{Re}\{s_{q,p}\}$ for $1\leq p\leq P$, $\tilde{s}_{q,p}(k)=\operatorname{Im}\{s_{q,p-P}\}$ for $P+1\leq p\leq 2P$, and the matrix $\mathbf{A}_p\in\mathcal{C}^{L\times K}$ satisfies [9]

$$\mathbf{A}_i \mathbf{A}_i^H = \mathbf{I}_L$$
 and $\mathbf{A}_i \mathbf{A}_i^H + \mathbf{A}_j \mathbf{A}_i^H = \mathbf{0}_L$ for $i \neq j$. (2)

The structural properties of \mathbf{A}_p 's specified in (2) immediately implies that \mathbf{X}_q is orthogonal. Expression of the codeword matrix in the linear matrix modulation form (1) has the advantage of unifying both the codeword representation and the problem formulation, regardless of the rate of the OSTBC and hence the symbol constellations used. We assume that N antenna elements are located at the receiver. Let $y_n(\cdot)$ be the received discrete-time data, sampled at the symbol-rate, from the nth receive antenna and define $\mathbf{y}(\cdot) := [y_1(\cdot) \cdots y_N(\cdot)]^T \in \mathcal{C}^N$. By collecting $\mathbf{y}(\cdot)$ over K successive symbol periods to form $\mathbf{Y} := [\mathbf{y}(0) \cdots \mathbf{y}(K-1)]$, we have the following space-time data model (assuming that the Q users are symbol synchronized)

$$\mathbf{Y}(k) = \sum_{q=1}^{Q} \mathbf{H}_q \mathbf{X}_q + \mathbf{V}, \tag{3}$$

where $\mathbf{H}_q = \left[h_{mn}^{(q)}\right] \in \mathcal{C}^{N \times L}$ is the channel matrix from the qth user's antenna array to the receiver and $\mathbf{V} \in \mathcal{C}^{N \times K}$ is the channel noise matrix. The following assumptions are made in the sequel.

- (a) The symbol streams $s_q(k)$, $1 \le q \le Q$, are i.i.d. with zero mean and variance σ_s^2 .
- (b) The noise V is spatially and temporally white, each entry being with zero mean and variance σ_v^2 .
- (c) We assume that $L \leq 4$ and hence, according to [19], the symbol block length $P \in \{2,4\}$. The proposed scheme is exclusively applicable to this subclass of orthogonal codes.
- (d) For $3 \le L \le 4$ with complex-valued constellations, the half rate codes [19, p-1464] are used.

 1 Since block-by-block transmission is considered, we will not include the block index and consider only the first P symbols for notational simplicity.

B. Equivalent System Model

In the matrix data model (3), the source symbol blocks are spatially and temporally encoded to form the codeword matrices. To formulate the problem into a standard multiuser detection framework, in what follows we will present an equivalent linear system model in which all users' symbol blocks are "restored" as the signal of interest. Specifically, let us split each user's data block $\mathbf{s}_q := \left[s_{q,1},\ldots,s_{q,P}\right]^T$ and each received data vector $\mathbf{y}(i)$ into the respective real and imaginary parts to obtain $\tilde{\mathbf{s}}_q := \left[\operatorname{Re}\left\{\mathbf{s}_q^T\right\} \operatorname{Im}\left\{\mathbf{s}_q^T\right\}\right]^T \in \mathcal{R}^{2P}$, for $1 \leq q \leq Q$, and $\tilde{\mathbf{y}}(i) := \left[\operatorname{Re}\left\{\mathbf{y}^T(i)\right\} \operatorname{Im}\left\{\mathbf{y}^T(i)\right\}\right]^T \in \mathcal{R}^{2N}$, for $0 \leq i \leq K-1$. Associated with the qth user's channel matrix \mathbf{H}_q , we define the following matrix

$$\tilde{\mathbf{H}}_{q} \triangleq \mathbf{I}_{K} \otimes \begin{bmatrix} \operatorname{Re} \{\mathbf{H}_{q}\} & -\operatorname{Im} \{\mathbf{H}_{q}\} \\ \operatorname{Im} \{\mathbf{H}_{q}\} & \operatorname{Re} \{\mathbf{H}_{q}\} \end{bmatrix} \in \mathcal{R}^{2KN \times 2KL}, (4)$$

where the notation \otimes stands for the Kronecker product; also, with $\mathbf{a}_{l,q}$ denoting the lth column of the matrix \mathbf{A}_q and $\tilde{\mathbf{a}}_{l,q} := \left[\operatorname{Re}\left\{\mathbf{a}_{l,q}\right\}^T \operatorname{Im}\left\{\mathbf{a}_{l,q}\right\}^T\right]^T \in \mathcal{R}^{2L}$, we define

$$\tilde{\mathbf{A}} \triangleq \begin{bmatrix} \tilde{\mathbf{a}}_{1,1} & \cdots & \tilde{\mathbf{a}}_{1,2P} \\ \vdots & \ddots & \vdots \\ \tilde{\mathbf{a}}_{K,1} & \cdots & \tilde{\mathbf{a}}_{K,2P} \end{bmatrix} \in \mathcal{R}^{2KL \times 2P}.$$
 (5)

Then the matrix data model (3) can be rewritten, after some manipulations, as the following equivalent linear model for signal detection

$$\mathbf{y}_c = \mathbf{H}_c \mathbf{s}_c + \mathbf{v}_c, \tag{6}$$

where $\mathbf{y}_c := \left[\tilde{\mathbf{y}}^T(0) \cdots \tilde{\mathbf{y}}^T(K-1)\right]^T \in \mathcal{R}^{2KN}$ and $\mathbf{s}_c := \left[\tilde{\mathbf{s}}_1^T \cdots \tilde{\mathbf{s}}_Q^T\right]^T \in \mathcal{R}^{2PQ}$ are respectively the split real-valued received data and multiuser symbol block,

$$\mathbf{H}_c := \left[\tilde{\mathbf{H}}_1 \tilde{\mathbf{A}} \cdots \tilde{\mathbf{H}}_Q \tilde{\mathbf{A}} \right] \in \mathcal{R}^{2KN \times 2PQ} \tag{7}$$

is the effective channel matrix, and $\mathbf{v}_c \in \mathcal{R}^{2KN}$ is the corresponding noise component. It is noted that, upon restoration of the transmitted symbols into a vector $(\mathbf{s}_c \text{ in } (6))$, the structural information of the space-time codeword matrices is incorporated into the equivalent channel matrix \mathbf{H}_c (see (7)). It is such in-built structure in \mathbf{H}_c that will lead to the userwise signal ordering property, as will be shown in the next section. To manifest the core ideas, we will hereafter focus on the real-valued symbol case with unit-rate codes; for the complex-valued constellation case, essentially the same results can be obtained and these are included in the appendix.

III. MAIN RESULTS

To establish the user-wise ordering property, we shall first consider the ZF ordering criterion, in which the detection order is determined based on the post-detection SNR [21]; the MMSE counterpart can be readily deduced from the ZF results and is provided in the Appendix. At the first stage, the ZF scheme forms the decision statistic of the *l*th symbol stream as (cf. (6))

$$\mathbf{z}_l := \mathbf{g}_l^T \mathbf{y}_c = \mathbf{g}_l^T \mathbf{H}_c \mathbf{s}_c + \mathbf{g}_l^T \mathbf{v}_c, \quad 1 \le l \le PQ, \quad (8)$$

²The notations $(\cdot)^T$, $(\cdot)^H$, \mathbf{I}_m , and $\mathbf{0}_m$ respectively denote the transpose, the complex conjugate transpose, the $m \times m$ identity matrix, and the $m \times m$ zero matrix.

where the weighting vector \mathbf{g}_l nulls the interference from other substreams so that

$$\mathbf{g}_l^T \mathbf{H}_c = \mathbf{e}_l^T, \tag{9}$$

where \mathbf{e}_l is the lth unit standard vector in \mathcal{R}^{PQ} . The unique solution of \mathbf{g}_l^T , which minimizes noise amplification due to interference nulling and fulfills the constraint (9), is thus the lth row of the pseudo-inverse of \mathbf{H}_c , namely,

$$\mathbf{g}_{l}^{T} = \mathbf{e}_{l}^{T} \left(\mathbf{H}_{c}^{T} \mathbf{H}_{c} \right)^{-1} \mathbf{H}_{c}^{T}, \quad 1 \le l \le PQ. \quad (10)$$

Since the noise \mathbf{v}_c is white and the source symbols are i.i.d. with variance σ_s^2 , the post-detection SNR in the *l*th decision component [21, p-297] can be computed from (8)-(10) as

$$\rho_l^{(0)} := \frac{\sigma_s^2}{\sigma_v^2 \left\| \mathbf{e}_l^T \left(\mathbf{H}_c^T \mathbf{H}_c \right)^{-1} \mathbf{H}_c^T \right\|^2}.$$
 (11)

It is straightforward to verify that

$$\left\| \mathbf{e}_{l}^{T} \left(\mathbf{H}_{c}^{T} \mathbf{H}_{c} \right)^{-1} \mathbf{H}_{c}^{-1} \right\|^{2} = \mathbf{e}_{l}^{T} \mathbf{F}^{-1} \mathbf{e}_{l}, \tag{12}$$

with

$$\mathbf{F} := \mathbf{H}_c^T \mathbf{H}_c \in \mathcal{R}^{PQ \times PQ}. \tag{13}$$

Equations (11) and (12) imply that, given σ_s^2 and σ_v^2 , the SNR level depends entirely on the lth diagonal entry of the matrix \mathbf{F}^{-1} . In particular, small $\left[\mathbf{F}^{-1}\right]_{ll}$ implies large $\rho_l^{(0)}$, and hence better detection accuracy in the lth decision component. The optimal detection order at the first stage, therefore, is given by the index $1 \leq l \leq PQ$ at which $\left[\mathbf{F}^{-1}\right]_{ll}$ is minimal. With the adoption of OSTBC, the matrix \mathbf{F} defined in (13) will exhibit a distinctive structure as shown in the next lemma (see [6] for a proof). Based on this result, we can further specify the diagonal entries of \mathbf{F}^{-1} for determining the optimal index.

For a *fixed* symbol block length P, denote by $\mathcal{O}(P)$ the set of all $P \times P$ real orthogonal designs with constant diagonal entries as specified in [19, p-1458].

Lemma 3.1: Let $\mathbf{F}_{p,q} \in \mathcal{R}^{P \times P}$, $1 \leq p,q \leq Q$, be the (p,q)th $P \times P$ block submatrix of the matrix \mathbf{F} defined in (13). Then we have $\mathbf{F}_{q,q} = \alpha_q \mathbf{I}_P$, and $\mathbf{F}_{p,q} \in \mathcal{O}(P)$ for $p \neq q$.

It is noted that the matrix ${\bf F}$ defined in (13) can be interpreted as the space-time matched-filtered channel matrix in the multiuser case. In view of this point, the assertion ${\bf F}_{q,q}=\alpha_q{\bf I}_P$ in Lemma 3.1 reflects that, through space-time matched filtering, the intra-antenna symbol streams of each user remain decoupled. Also, since the off-diagonal blocks ${\bf F}_{p,q}$'s account for the effective multiuser interference, the assertion ${\bf F}_{p,q}\in {\mathcal O}(P)$ reveals that the interference signatures are orthogonal designs. This attractive fact, which is true only for the considered code subclass with $L\leq 4$, lays the foundation for proving the user-wise ordering property. Based on Lemma 3.1, we state in the following theorem the central result: the matrix ${\bf F}^{-1}$ "inherits" the key features of ${\bf F}$.

Theorem 3.1: For a fixed block length P and number of users Q, let $\mathcal{F}_P(Q)$ be the set consisting of all invertible real symmetric $PQ \times PQ$ matrices possessing the block-wise orthogonal structures as \mathbf{F} shown in Lemma 3.1. Then we have $\mathbf{F}^{-1} \in \mathcal{F}_P(Q)$.

Proof: The proof is based on a crucial fact about the orthogonal designs [19]. Specifically, it can be checked by

analytic computations that, if $O_1, O_2 \in \mathcal{O}(P)$, then so are $O_1 + O_2$ and O_1O_2 , that is,

Fact 1: The set $\mathcal{O}(P)$ is closed under addition and multiplication. Moreover, for any $\mathbf{O}_1 \in \mathcal{O}(P)$, we have $\mathbf{O}_1 + \mathbf{O}_1^T = \gamma \mathbf{I}_P$ for some scalar γ .

With Fact 1, the assertion can be shown by induction on Q and the details are relegated to Appendix I.

Theorem 3.1 implies that each $P \times P$ block diagonal submatrix of \mathbf{F}^{-1} , say $\mathbf{F}_{q,q}^{-1}$, is a scalar multiple of \mathbf{I}_P , i.e.,

$$\mathbf{F}_{q,q}^{-1} = \beta_q \mathbf{I}_P, \quad 1 \le q \le Q. \tag{14}$$

As a result, the PQ diagonal entries of \mathbf{F}^{-1} assume only Q distinct levels, one for a particular user. The optimal index in the first stage is thus

$$\bar{q}^{(0)} = \arg\min_{1 \le q \le Q} \beta_q; \tag{15}$$

the ZF weighting vectors for separating the $\bar{q}^{(0)}$ th user's signal are chosen to be

$$\begin{bmatrix} \mathbf{g}_{\left(\bar{q}^{(0)}-1\right)P+1}^{T} \\ \vdots \\ \mathbf{g}_{\left(\bar{q}^{(0)}-1\right)P+P}^{T} \end{bmatrix} = \begin{bmatrix} \mathbf{e}_{\left(\bar{q}^{(0)}-1\right)P+1}^{T} \\ \vdots \\ \mathbf{e}_{\left(\bar{q}^{(0)}-1\right)P+P}^{T} \end{bmatrix} \left(\mathbf{H}_{c}^{T}\mathbf{H}_{c}\right)^{-1}\mathbf{H}_{c}^{T}.$$

$$(16)$$

To validate the user-wise ordering property in subsequent stages, the contribution of the detected user's signal (assuming error free) is first cancelled from the received data (6) to obtain a reduced-dimensional signal model for next layer detection. With such a detect-and-cancel process and by following essentially the same arguments as in (8)-(10), it can be successively verified that, at the (i+1)th processing layer $(1 \le i \le Q-1)$, the optimal detection index is determined by $1 \le l \le P(Q-i)$ at which $\begin{bmatrix} \mathbf{F}_i^{-1} \end{bmatrix}_{ll}$ is minimal, where $\mathbf{F}_i = \mathbf{H}_{c,i}^T \mathbf{H}_{c,i}$ and $\mathbf{H}_{c,i} \in \mathcal{R}^{KN \times P(Q-i)}$ is obtained by deleting i block(s) of P columns (corresponding to the previously detected signals) from \mathbf{H}_c . By construction of $\mathbf{H}_{c,i}$, it is easy to see that \mathbf{F}_i is directly obtained from $\mathbf{F} (= \mathbf{H}_c^T \mathbf{H}_c)$ by deleting from it the i associated block(s) of P rows and P columns. This immediately implies that $\mathbf{F}_i \in \mathcal{F}_P(Q-i)$, and hence $\mathbf{F}_i^{-1} \in$ $\mathcal{F}_P(Q-i)$ by Theorem 3.1: the user-wise ordering rule at any subsequent processing stage is thus preserved. The algorithm flow of the user-wise OSIC detector is outlined in Table I.

Remarks:

i) The major implementation concern of the OSIC algorithm is the computations of the pseudo-inverse $(\mathbf{H}_{c,i}^T\mathbf{H}_{c,i})^{-1}\mathbf{H}_{c,i}^T$ in each layer for determining the optimal detection index and for separating the desired signals [4]. In light of this fact, the advantages of the user-wise ordering characteristic are two-fold. For the first, since the norms of the P(Q-i) rows of $(\mathbf{H}_{c,i}^T\mathbf{H}_{c,i})^{-1}\mathbf{H}_{c,i}^T$, or equivalently, the P(Q-i) the diagonal entries of \mathbf{F}_i^{-1} , assume Q-i different levels, it suffices to determine only this level set for choosing the detection index; an exhaustive search can thus be avoided. Second, to compute the corresponding weighting matrices, it is plausible to exploit the signal redundancy (due to OSTBC) for further realizing a layer-wide computational efficacy. In [7] an efficient

TABLE I
ALGORITHM SUMMARY.

$$\begin{split} & \textbf{Initialization:} \\ & \textbf{H}_{c,0} = \textbf{H}_{c}; \textbf{F}_{0} = \textbf{F} = \textbf{H}_{c}^{T}\textbf{H}_{c}; \textbf{y}_{c,0} = \textbf{y}_{c}; \\ & \textbf{Recursion:} \quad \text{For } 0 \leq i \leq Q-1 \\ & \textbf{1.} \ \textbf{Q}_{i} = \begin{cases} & \textbf{F}_{i}^{-1} & \text{for ZF Criterion} \\ \left[\left(2\sigma_{s}^{2}/\sigma_{v}^{2}\right)\textbf{F}_{i} + \textbf{I}_{P(Q-i)}\right]^{-1} & \text{for MMSE Criterion} \end{cases} \\ & \textbf{2.} \ \beta_{q^{(i)}} = ((q^{(i)}-1)P+1) \text{th diagonal entry of } \textbf{Q}_{i} \\ & \textbf{3.} \ \overline{q}^{(i)} = \arg\min_{1 \leq q^{(i)} \leq Q-i} \beta_{q^{(i)}} \\ & \textbf{4.} \ \textbf{G}_{i} = \begin{cases} & \textbf{H}_{c,i} \left(\textbf{H}_{c,i}^{T}\textbf{H}_{c,i}\right)^{-1} & \text{for ZF Criterion} \\ \left[\sigma_{s}^{2}\textbf{H}_{c,i}\textbf{H}_{c,i}^{T} + \left(\sigma_{s}^{2}/2\right)\textbf{I}_{P(Q-i)}\right]^{-1} \textbf{H}_{c,i} & \text{for MMSE Criterion} \end{cases} \\ & \textbf{5.} \ \textbf{W}_{i} = \textbf{G}_{i} \left[\textbf{e}_{\overline{q^{(i)}-1}P+1} \cdots \textbf{e}_{\overline{q^{(i)}-1}P+p}\right] \\ & \textbf{6.} \ \hat{\textbf{s}}_{c,\overline{q}^{(i)}} = \textbf{Q}\left(\textbf{W}_{i}^{T}\textbf{y}_{c,i}\right), \textbf{Q}_{i} \text{ O: in the decision slice} \\ & \textbf{7.} \ \textbf{y}_{c,i+1} = \textbf{y}_{c,i} - \vec{\textbf{H}}_{c,d^{(i)}} \hat{\textbf{s}}_{c,\overline{q}^{(i)}}, \vec{\textbf{H}}_{c,d^{(i)}} \text{ contains the columns of } \textbf{H}_{c} \text{ corresponding to } \hat{\textbf{s}}_{c,\overline{q}^{(i)}} \\ & \textbf{8.} \ \textbf{F}_{i+1} = \textbf{H}_{c,i+1}^{T}\textbf{H}_{c,i+1}, \textbf{H}_{c,i} \right], \textbf{a}_{c,i} \text{ is obtained by deleting } i \text{ block(s) of } P \text{ columns from } \textbf{H}_{c} \end{cases} \end{aligned}$$

recursive algorithm is derived, within a more general multiuser context, for fulfilling the aforementioned implementation facilities.

ii) It is noted that the user-wise ordering property benefits uniquely from the distinctive structure of F specified in Lemma 3.1, which is true only when $L \leq 4$. For $5 \le L \le 8$ (hence with symbol block length P = 8[19]), the structure of \mathbf{F} will gradually deviate as Lincreases. Indeed, the off-diagonal block $\mathbf{F}_{p,q} \in \mathcal{R}^{8 \times 8}$ when L = 5 (or L = 6, respectively) can be shown to consist of four (sixteen) 4×4 (2 × 2) orthogonal design sub-blocks. For L = 7, 8, there is no particular imbedded structure in $\mathbf{F}_{p,q}$. As a result, for $5 \le L \le 8$, the diagonal blocks (with dimension 8×8) of \mathbf{F}^{-1} will not be proportional to the identity matrix I_8 : the transmitted symbols from a user will no longer share the same ordering metric, and the user-wise ordering characteristic does not hold. We finally note that the constraint $L \leq 4$ does not appear stringent in practice since, to economize the implementation cost and to also tolerate a sufficient antenna spacing, it is usually undesirable to place too many antenna elements on the user terminals.

IV. PERFORMANCE ANALYSIS

The performance of the SIC/OSIC method is addressed in many works under the error-propagation free assumption, e.g., [11], among others. The general case in which erroneous decisions occur in each layer is recently discussed in [16], and also in [8] for variable-rate OSTBC systems. This section leverages the BER analysis in [8], and resorts to the technique in [3], to derive closed-form approximate BER at high SNR for the considered MU-OSTBC system; in what follows we assume that the symbol constellations are drawn from M-ary PSK constellations.

For a system of Q OSTBC user terminals, each equipped with L transmit antennas, the exact BER formulas for SIC based detection under a fixed SNR can be evaluated via equations (25)-(27) in [8, p-1207]; these results are derived based on the multi-channel receive performance reported in

[3], as well as the analysis framework for ZF group-wise detection shown in [20]. For $1 \leq i \leq M$, let us define the set $\Theta_i := [(2i-3)\pi/M, (2i-1)\pi/M)$; also, with fixed σ_s^2 and σ_v^2 , denote by $\Pr\left(\theta \in \Theta_i \mid d, \sigma_s^2, \sigma_v^2\right)$ the probability that the received symbol lies in the region Θ_i through maximal-ratio combining d receive branches (explicit formula for $\Pr\left(\theta \in \Theta_i \mid d, \sigma_s^2, \sigma_v^2\right)$ can be found in [8, p-1205]). For the particular Q=2 case and equally-probable source, the average BER over the two processing stages are computed, after some manipulations, as

$$P^b = \frac{1}{2} \sum_{q=1}^{2} P_q^b, \tag{17}$$

where

$$P_1^b = \frac{1}{\log_2 M} \sum_{i=1}^M \Pr\left(\theta \in \Theta_i \mid L(N-L), \sigma_s^2, \sigma_v^2\right)$$
 (18)

and

$$P_{2}^{b} = \sum_{\mathbf{z}_{1} \in \mathcal{A}_{1}} \sum_{\hat{\mathbf{z}}_{1} \in \mathcal{A}_{1}} \left\{ \left[\frac{1}{M^{L} \log_{2} M} \sum_{i=1}^{M} \right] \times \Pr\left(\theta \in \Theta_{i} \mid LN, \sigma_{s}^{2}, \sigma_{v}^{2} + \rho \left| \mathbf{z}_{1} - \hat{\mathbf{z}}_{1} \right|^{2} \right) \right] \times \Pr\left(\mathbf{z}_{1} \rightarrow \hat{\mathbf{z}}_{1} \mid L(N - L), \sigma_{s}^{2}, \sigma_{v}^{2} \right) \right\}$$
(19)

are respectively the BER at the first and the second processing layers; in (19), the L-dimensional vectors \mathbf{z}_1 and $\hat{\mathbf{z}}_1$ are respectively the transmitted and the detected symbol vectors in the first stages, ρ is a scaled version of the signal variance σ_s^2 [8, p-1207], and \mathcal{A}_1 denotes the L-fold Cartesian product of the constellation set $\{\exp{(j2\pi k/M)}\}_{0\leq k\leq M-1}$. For high SNR $\sigma_s^2/\sigma_v^2\gg 1$, it can be shown that [3]

$$\Pr\left(\theta \in \Theta_i \mid d, \sigma_s^2, \sigma_v^2\right) \approx \frac{\binom{2d}{d}M - 1}{M \left[\log_2 M \sin^2\left(\frac{\pi}{M}\right) 4 \left(\frac{\sigma_s^2}{\sigma_v^2}\right)\right]^d}.$$
(20)

The approximation (20) allows us to derive closed-form BER expressions (at high SNR) based on (18) and (19) for further quantifying the achievable diversity order in each processing stage. Specifically, from (18) and (20), it can be seen that, at high SNR, P_1^b roughly behaves as

$$P_1^b \approx G_1 \left(\sigma_s^2/\sigma_v^2\right)^{-L(N-L)}$$
 for some G_1 , (21)

confirming that the detected signal in the first stage enjoys a diversity order equal to L(N-L): L due to OSTBC transmit diversity and N-L from the receive diversity. In case that the initial symbol decision is correct, i.e., $\mathbf{z}_1 = \hat{\mathbf{z}}_1$, we have

$$\Pr\left(\mathbf{z}_1 \to \hat{\mathbf{z}}_1 \mid L(N-L), \sigma_s^2, \sigma_v^2\right) = 1,\tag{22}$$

and P_2^b in (19) reduces to [8]

$$P_2^b = \frac{1}{\log_2 M} \sum_{i=1}^M \Pr\left(\theta \in \Theta_i \mid LN, \sigma_s^2, \sigma_v^2\right). \tag{23}$$

Equations (23) and (20) in turn imply, at high SNR,

$$P_2^b \approx G_2 \left(\sigma_s^2/\sigma_v^2\right)^{-LN} \quad \text{for some } G_2, \tag{24}$$

that is, an LN-fold diversity gain can be attained in the second stage. However, if erroneous decision occurs so that $\mathbf{z}_1 \neq \hat{\mathbf{z}}_1$,

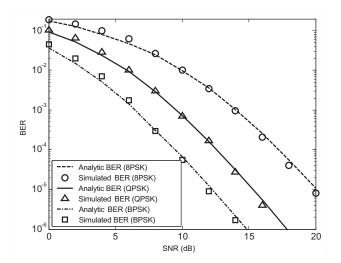


Fig. 2. Theoretical and simulated average BER for different constellations.

it can be deduced from (19)-(20) that, when σ_s^2/σ_v^2 is large and $\rho \left|\mathbf{z}_1 - \hat{\mathbf{z}}_1\right|^2$ is small,

$$P_2^b \approx \tilde{G}_2 \left[\sigma_s^2 / \left(\sigma_v^2 + \bar{\rho} \right) \right]^{-LN} \left(\sigma_s^2 / \sigma_v^2 \right)^{-L(N-L)}, \quad (25)$$

for some \tilde{G}_2 and $\bar{\rho}$. When the symbol power σ_s^2 is fixed and let the noise variance $\sigma_v^2 \to 0$, equation (25) then becomes

$$P_2^b \approx G_3 \left(\sigma_s^2/\sigma_v^2\right)^{-L(N-L)}, \text{ where } G_3 = \tilde{G}_2 \left(\sigma_s^2/\bar{\rho}\right)^{-LN}.$$

As a result, whenever inter-layer error propagation takes place, the diversity order in the second layer would be limited to only L(N-L) as in the first stage; by further invoking the results in [8, p-1207], and following the above analysis procedures, it can be shown that, in the general multiuser case and subject to error propagation, the achievable diversity gain per layer is equal to that in the first stage. It is noted that a similar phenomenon has also been proved in [16] regarding an L-input N-output i.i.d. Rayleigh fading channel: imperfect symbol decision limits the diversity orders throughout the layers of an SIC receiver to N-L+1, which is just the attainable gain in the first layer. In our numerical test for the Q=2 case (see Simulation-A), the BER curve in the second layer, however, is seen to be very close to the corresponding error-propagationfree performance. A plausible rationale behind this would be that, in the first stage, each user's signal does already enjoy L-fold transmit diversity due to OSTBC: the imbedded signal reliability improves detection accuracy at the first layer and significantly reduces the effect of error leakage.

Remark: The above analysis does not take into account signal ordering and thus would only provide a BER performance upper bound with respect to the optimally ordered case. However, even though signal ordering can lead to certain performance gain, it does not enlarge the diversity order in each stage [11], [16].

V. SIMULATION RESULTS

This section uses several numerical examples for illustrating the performance of the proposed scheme. We consider a system of two users, each one using the Alamouti's code [1] (and hence with L=2 transmit antennas). The propagation

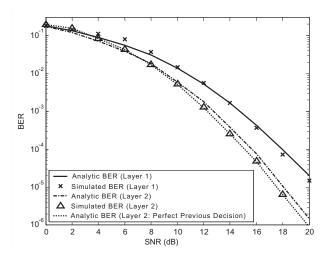


Fig. 3. Theoretical and simulated BER per layer (8-PSK).

channel between each user terminal and the receiver is quasistatic i.i.d. Rayleigh fading; the channels are perfectly known at the receiver. In the abscissa of all simulation figures, SNR denotes the ratio σ_s^2/σ_v^2 .

A. Corroboration of the Theoretical Performance

This simulation demonstrates the predicted BER performances in Section IV and the corresponding simulated outcomes (N=4 antenna elements are placed at the receiver). Figure 2 shows the average BER for three different symbol constellations: BPSK, QPSK, and 8-PSK; the theoretical values (cf. (17)-(19)) are computed based on the exact formula of Pr $(\theta \in \Theta_i \mid d, \sigma_s^2, \sigma_v^2)$ given in [8, p-1205] other than the simplified expression (20). As we can see from the figure, the simulated results closely match the theoretical solutions. For the particular 8-PSK case, Figure 3 explicitly depicts the BER at both processing layers; the theoretical BER in the second stage assuming perfect previous symbol decision is also included (this indicates the decision result attained with maximally achievable diversity gain). The figure shows that, in the presence of inter-layer error leakage, the simulated BER in the second stage is almost identical to the corresponding error-free benchmark solution. This tends to imply that the error-propagation effect could be slight (due to OSTBC), and the increase in diversity gain remains largely intact.

B. Comparisons with Existing Solutions

This simulation compares the user-wise OSIC method with several existing solutions: the Naguib's approaches [13], the Stamouli's method [17], and the MMSE parallel interference suppression method [14, p-325]. The system platform is the one considered in [12] with two receive antennas. Figure 4 shows the respective resultant average BER (8-PSK modulation is used). As we can see, the OSIC method leads to the best performance; the Naguib's two-step approach [13, p-1806] achieves a comparable BER level as the MMSE-based OSIC when SNR is low, but it deteriorates as SNR increases. Also, the OSIC method is seen to significantly outperform the Stamouli's decoupled based detector, which is free from error-propagation but the diversity gain for each user's signal branch is fixed to *L*. This might again confirm that, in the

 $\begin{tabular}{l} TABLE\ II \\ FLOP\ COUNTS\ OF\ THREE\ COMPARATIVE\ METHODS\ (D:\ CONSTELLATION\ SIZE). \end{tabular}$

г		
L	ZF-OSIC	$5P^{2}Q^{3} \left/ \right. 3 + PQ^{3} \left. \left/ \right. 6 - 5P^{2}Q^{2} + 3PQ^{2} + 11P^{2}Q \left. \right/ \right. 3 + Q^{2} \left. \left/ \right. 2 - 7PQ \left. \right/ \right. 4 + Q \left. \left/ \right. 2 - 2P - 1$
		$14P^2Q^3/3 - PQ^3 + (2^{D+1} - 5)P^2Q^2 +$
	step method	$\left(2^{D+1}+7/2\right)PQ^{2}+4P^{2}Q/3+\left(3\cdot2^{D}-11/2\right)PQ+(2-2^{D+1})Q+3P-2$
	Stamoulis method	$23P^{2}Q^{4} / 12 - 13P^{2}Q^{3} / 6 - 19P^{2}Q^{2} / 12 + PQ^{2} / 4 + Q^{2} / 2 - P^{2}Q / 3 - 8PQ / 3 - 3Q / 2 - 2P + 1$

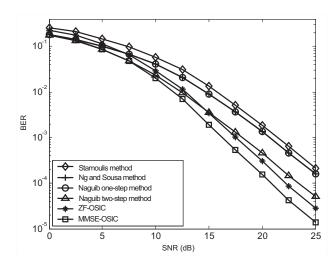


Fig. 4. BER performances for various signal detectors (8-PSK).

OSIC based detection, the effect of error propagation is slight so that a layer-wise increase in diversity gain is achieved. It is noted that the Naguib's approach, the Stamoulis's method, and the proposed user-wise OSIC detector all exploit the algebraic structure of OSTBC; the respective algorithm complexity measures are listed in Table II (the proposed method is implemented using the recursive scheme in [7]). As one can see, the proposed solution calls for least computational cost.

VI. CONCLUSIONS

In this paper we study the OSIC based signal detection for MU-OSTBC system. Subject to multiuser interference, it is proven that joint recovery of per user's signal, under either ZF or MMSE ordering criterion, can be achieved only for a restricted class of orthogonal block codes. The established user-wise ordering (detection) property potentially reduces the computations and decoding delays regarding OSIC based signal recovery. Average BER in closed-form is given and the attainable diversity order is quantified. Numerical study shows that, in the considered scenario, error propagation does not seem to incur severe loss in diversity gain. The proposed approach compares favorably with existing multiuser detection schemes reported for the MU-OSTBC system, in terms of both numerical performance and algorithm complexity.

$\begin{array}{c} \text{Appendix I} \\ \text{Detailed Proof of Theorem 3.1} \end{array}$

For the Q=1 case, the result is obvious since $\mathbf{F}=\alpha\mathbf{I}_P$. Assume that the result is true for an arbitrary Q>1, that is, $\mathbf{F}\in\mathcal{F}_P(Q)$ implies $\mathbf{F}^{-1}\in\mathcal{F}_P(Q)$ for such a Q. We have to check that $\mathbf{F}^{-1}\in\mathcal{F}_P(Q+1)$ whenever $\mathbf{F}\in\mathcal{F}_P(Q+1)$. To see this, let us partition an arbitrary $\mathbf{F}\in\mathcal{F}_P(Q+1)$ as

 $\mathbf{F} = \begin{bmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{B}^T & \mathbf{D} \end{bmatrix}, \text{ where } \mathbf{A} \in \mathcal{R}^{PQ \times PQ}, \ \mathbf{B} \in \mathcal{R}^{PQ \times P}, \\ \text{and } \mathbf{D} \in \mathcal{R}^{P \times P}. \text{ We note that, since } \mathbf{F} \in \mathcal{F}_P(Q+1), \\ \text{we have } (a) \ \mathbf{A} \in \mathcal{F}_P(Q) \text{ and hence } \mathbf{A}^{-1} \in \mathcal{F}_P(Q) \text{ by assumption, } (b) \ \mathbf{D} = c\mathbf{I}_P \text{ for some scalar } c, \text{ and } (c) \text{ if we write } \mathbf{B} = \begin{bmatrix} \mathbf{B}_1^T \cdots \mathbf{B}_Q^T \end{bmatrix}^T, \text{ where } \mathbf{B}_i \in \mathcal{R}^{P \times P}, \text{ then we have } \\ \mathbf{B}_i \in \mathcal{O}(P). \text{ Let us similarly write } \mathbf{F}^{-1} = \begin{bmatrix} \bar{\mathbf{A}} & \bar{\mathbf{B}} \\ \bar{\mathbf{B}}^T & \bar{\mathbf{D}} \end{bmatrix}, \\ \text{where } \bar{\mathbf{A}} \in \mathcal{R}^{PQ \times PQ}, \ \bar{\mathbf{B}} \in \mathcal{R}^{PQ \times P}, \text{ and } \bar{\mathbf{D}} \in \mathcal{R}^{P \times P}. \text{ To show that } \mathbf{F}^{-1} \in \mathcal{F}_P(Q+1), \text{ it suffices to check that } (I), \\ \bar{\mathbf{A}} \in \mathcal{F}_P(Q), (2) \ \bar{\mathbf{B}} = \begin{bmatrix} \bar{\mathbf{B}}_1^T \cdots \bar{\mathbf{B}}_Q^T \end{bmatrix}^T, \text{ where } \bar{\mathbf{B}}_i \in \mathcal{R}^{P \times P}, \text{ is such that each } \bar{\mathbf{B}}_i \in \mathcal{O}(P), \text{ and } (3) \ \bar{\mathbf{D}} = d\mathbf{I}_P \text{ for some scalar } d. \text{ Properties } (I)\text{-}(3) \text{ can be shown based on the inversion formula for block matrices, that is} \\ \\ \mathbf{B} = \mathbf$

$$\mathbf{M} = \begin{bmatrix} \mathbf{M}_{11} & \mathbf{M}_{12} \\ \mathbf{M}_{21} & \mathbf{M}_{22} \end{bmatrix} \Rightarrow \mathbf{M}^{-1} = \begin{bmatrix} \bar{\mathbf{M}}_{11} & \bar{\mathbf{M}}_{12} \\ \bar{\mathbf{M}}_{21} & \bar{\mathbf{M}}_{22} \end{bmatrix}, (27)$$

where

$$\begin{split} \bar{\mathbf{M}}_{11} &= \left(\mathbf{M}_{11} - \mathbf{M}_{12} \mathbf{M}_{22}^{-1} \mathbf{M}_{21}\right)^{-1}, \\ \bar{\mathbf{M}}_{12} &= -\left(\mathbf{M}_{11} - \mathbf{M}_{12} \mathbf{M}_{22}^{-1} \mathbf{M}_{21}\right)^{-1} \mathbf{M}_{12} \mathbf{M}_{22}^{-1}, \\ \bar{\mathbf{M}}_{21} &= -\left(\mathbf{M}_{22} - \mathbf{M}_{21} \mathbf{M}_{11}^{-1} \mathbf{M}_{12}\right)^{-1} \mathbf{M}_{21} \mathbf{M}_{11}^{-1}, \\ \bar{\mathbf{M}}_{22} &= \left(\mathbf{M}_{22} - \mathbf{M}_{21} \mathbf{M}_{11}^{-1} \mathbf{M}_{12}\right)^{-1}. \end{split}$$

Proof of (1): From (27), we have $\bar{\mathbf{A}} = (\mathbf{A} - \mathbf{B}\mathbf{D}^{-1}\mathbf{B}^T)^{-1} = (\mathbf{A} - c^{-1}\mathbf{B}\mathbf{B}^T)^{-1}$, where the last equality follows since $\mathbf{D} = c\mathbf{I}_P$. Since each $\mathbf{B}_i \in \mathcal{O}(P)$, with direct block matrix multiplication and using Fact 1 it is easy to show that $\mathbf{A} - c^{-1}\mathbf{B}\mathbf{B}^T \in \mathcal{F}_P(Q)$ and hence $\bar{\mathbf{A}} \in \mathcal{F}_P(Q)$, by assumption.

Proof of (2): From (27), we have $\bar{\mathbf{B}} = -\bar{\mathbf{A}}\mathbf{B}\mathbf{D}^{-1} = c^{-1}\bar{\mathbf{A}}\mathbf{B}$. Since $\bar{\mathbf{A}} \in \mathcal{F}_P(Q)$ and each $\mathbf{B}_i \in \mathcal{O}(P)$, direct block matrix multiplication together with Fact 1 shows that each submatrix $\bar{\mathbf{B}}_i \in \mathcal{O}(P)$.

Proof of (3): Since $\bar{\mathbf{D}} = (\mathbf{D} - \mathbf{B}^T \mathbf{A}^{-1} \mathbf{B})^{-1}$ and $\mathbf{D} = c\mathbf{I}_P$, it suffices to check that $\mathbf{B}^T \mathbf{A}^{-1} \mathbf{B} = c_1 \mathbf{I}_P$ for some scalar c_1 . For $1 \leq p,q \leq Q$, denote by \mathbf{U}_{pq} the (p,q)th $P \times P$ block submatrix of \mathbf{A}^{-1} . Since $\mathbf{B} = \begin{bmatrix} \mathbf{B}_1^T \cdots \mathbf{B}_Q^T \end{bmatrix}^T$, it is easy to verify that

$$\mathbf{B}^{T}\mathbf{A}^{-1}\mathbf{B} = \sum_{p,q=1}^{Q} \mathbf{B}_{p}^{T}\mathbf{U}_{pq}\mathbf{B}_{q}$$

$$= \sum_{p=1}^{Q} \mathbf{B}_{p}^{T}\mathbf{U}_{pp}\mathbf{B}_{p}$$

$$+ \sum_{p,q=1, p\neq q}^{Q} \mathbf{B}_{p}^{T}\mathbf{U}_{pq}\mathbf{B}_{q}. \tag{28}$$

Since $\mathbf{A}^{-1} \in \mathcal{F}_P(Q)$, we have by definition $\mathbf{U}_{pp} = \eta_p \mathbf{I}_P$ for some scalar η_p : the first summation on the right-hand-side of the second equality in (28) thus simplifies as $\sum_{p=1}^Q \mathbf{B}_p^T \mathbf{U}_{pp} \mathbf{B}_p = \sum_{p=1}^Q \eta_p \mathbf{B}_p^T \mathbf{B}_p = \eta \mathbf{I}_P$. On the other hand, direct multiplication and using Fact 1 shows that each $\mathbf{B}_p^T \mathbf{U}_{pq} \mathbf{B}_q \in \mathcal{O}(P)$, which, again from Fact 1, implies that $\mathbf{B}_p^T \mathbf{U}_{pq} \mathbf{B}_q + \mathbf{B}_q^T \mathbf{U}_{qp} \mathbf{B}_p = \alpha_{p,q} \mathbf{I}_P$. The result shows that $\sum_{p,q=1,\ p\neq q}^Q \mathbf{B}_p^T \mathbf{U}_{pq} \mathbf{B}_q = \tilde{\eta} \mathbf{I}_P$ and the assertion follows.

APPENDIX II USER-WISE MMSE ORDERING

Consider the real constellation case. In the initial stage, the MMSE weight minimizing $E\left\{\left\|\mathbf{s}_c-\mathbf{W}^T\mathbf{y}_c\right\|_2^2\right\}$ is obtained as $\mathbf{W}=\left[\sigma_s^2\mathbf{H}_c\mathbf{H}_c^T+(\sigma_v^2/2)\,\mathbf{I}_{PQ}\right]^{-1}$. The lth symbol meansquare error, i.e., $E\left\{\left|\mathbf{e}_l^T\mathbf{s}_c-\mathbf{W}^T\mathbf{y}_c\right|^2\right\}$, is then computed as $\mathbf{e}_l^T\left[\left(2\sigma_s^2/\sigma_v^2\right)\mathbf{F}+\mathbf{I}_{PQ}\right]^{-1}\mathbf{e}_l$. Since $\mathbf{F}\in\mathcal{F}_P(Q)$, it is easy to see that $\left(2\sigma_s^2/\sigma_v^2\right)\mathbf{F}+\mathbf{I}_{PQ}\in\mathcal{F}_P(Q)$ and so is $\left[\left(2\sigma_s^2/\sigma_v^2\right)\mathbf{F}+\mathbf{I}_{PQ}\right]^{-1}$ by Theorem 3.1: user-wise MMSE ordering is thus achievable in the first stage. Starting from (6) and with per block detect-and-cancel process, it can be checked that, at the (i+1)th iteration $(1\leq i\leq Q-1)$, the symbol mean-square errors are computed as the diagonal entries of the matrix $\left[\left(2\sigma_s^2/\sigma_v^2\right)\mathbf{F}_i+\mathbf{I}_{P(Q-i)}\right]^{-1}$. Since $\mathbf{F}_i\in\mathcal{F}_P(Q-i)$, so is $\left[\left(2\sigma_s^2/\sigma_v^2\right)\mathbf{F}_i+\mathbf{I}_{P(Q-i)}\right]^{-1}$ and this guarantees user-wise MMSE ordering at each iteration.

Appendix III

COMPLEX-VALUED CONSTELLATION CASE

We only highlight the ZF case (the MMSE case similarly follows). It suffices to check that the associated ${\bf F}$ matrix in each case exhibits the structure shown in Lemma 3.1. For L=2 (thus full-rate code and ${\bf F}\in \mathcal{R}^{4Q\times 4Q}$), it is shown in [6] that ${\bf F}\in \mathcal{F}_P(Q)$: Theorem 3.1 implies that ${\bf F}^{-1}\in \mathcal{F}_P(Q)$ and hence the user-wise ordering property holds. For $2< L\leq 4$ (half-rate code, P=4 and ${\bf F}\in \mathcal{R}^{8Q\times 8Q}$), it is shown in [6] that, if we denote by ${\bf F}_{p,q}$ the (p,q)th 8×8 block submatrix of ${\bf F}$, then we have ${\bf F}_{p,p}=\alpha_p{\bf I}_8$ for some α_p , whereas, for $p\neq q$, we have

$$\mathbf{F}_{p,q} = \begin{bmatrix} \mathbf{O}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{O}_2 \end{bmatrix}$$
, where \mathbf{O}_1 and \mathbf{O}_2 lie in $\mathcal{O}(4)$.

The results can then be easily deduced based on the block diagonal structure of $\mathbf{F}_{p,q}$ in (29). Indeed, with (27) and by repeating the same procedures in Appendix I, the matrix \mathbf{F}^{-1} can be proved to be of an identical form as \mathbf{F} , and the assertion thus follows in the initial layer. At the *i*th layer, for either L=2 or $2 < L \le 4$, we first note that each \mathbf{F}_i matrix is of the same structure as \mathbf{F} but is of lower dimensions. It can be shown by construction that \mathbf{F}_i^{-1} is also and the result follows.

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