

## Quantitative theory of thermal fluctuations and disorder in the vortex matter

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**Abstract.** A metastable supercooled homogeneous vortex liquid state exists down to zero fluctuation temperature in systems of mutually repelling objects. The zero-temperature liquid state therefore serves as a (pseudo) ‘fixed point’ controlling the properties of vortex liquid below and even around the melting point. Based on this picture, a quantitative theory of vortex melting and glass transition in Type II superconductors in the framework of Ginzburg–Landau approach is presented. The melting line location is determined and magnetization and specific heat jumps are calculated. The point-like disorder shifts the line downwards and joins the order–disorder transition line. On the other hand, the disorder induces irreversible effects via replica symmetry breaking. The irreversibility line can be calculated within the Gaussian variational method. Therefore, the generic phase diagram contains four phases divided by the irreversibility line and melting line: liquid, solid, vortex glass and Bragg glass. We compare various experimental results with the theoretical formula.

**Keywords.** Vortex phase diagram; melting transition; glass transition.

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### 1. Introduction and the theoretical background

Inhomogeneities both on the microscopic and mesoscopic scale greatly affect thermodynamic and especially dynamic properties of Type II superconductors in magnetic fields. The magnetic field penetrates the sample in the form of Abrikosov vortices which can be pinned by disorder. Thermal fluctuations also greatly influence the vortex matter in high  $T_c$  superconductors. For example, in some cases, thermal fluctuations will effectively reduce the effects of disorder and melt the vortex lattice. As a result the  $H$ – $T$  phase diagram of the high  $T_c$  superconductors is very complex due to the competition between thermal fluctuations and disorder, and is still far from being reliably determined, even in the best studied superconductor, the optimally-doped YBCO superconductor [1]. Experimentally, various phases with various (frequently overlapping) names like liquid [2] (sometimes differentiated into liquid I and liquid II [3]), vortex solid, Bragg glass [4] (=pinned

solid), vortex glass (=pinned liquid=entangled solid [5], the vortex slush [6]), were described. To differentiate various phases, one should understand the nature of the phase transitions between them. Although over the years the picture has evolved with various critical and tricritical points appearing and disappearing, several facts become increasingly clear.

1. The first order [7–9] melting line seems to merge with the ‘second magnetization peak’ line forming the universal order–disorder phase transition line [10,11]. At low temperatures, the location of this line strongly depends on disorder and generally exhibits a positive slope (termed also as the ‘inverse’ melting [12]), while in the ‘melting’ section, it is dominated by thermal fluctuations and has a large negative slope. The resulting maximum at which the magnetization and the entropy jump vanish was interpreted either as a tricritical point [3,13] or as a Kauzmann point [14]. This universal ‘order–disorder’ transition line (ODT), which appeared first in the strongly layered superconductors (BSCCO [10]) was extended to the moderately anisotropic superconductors (LaSCCO [11]) and to the more isotropic ones like YBCO [14,15]. The symmetry characterization of the transition is clear: spontaneous breaking of the translation and rotation symmetry.

2. The universal ‘order–disorder’ line is different from the ‘irreversibility line’ or the ‘glass’ transition (GT) line, which is a continuous transition [16,17]. The almost vertical glass line clearly represents the effects of disorder although the thermal fluctuations affect the location of the transition. Experiments in BSCCO [18] indicate that the line crosses the ODT line right at its maximum, and continues deep into the ordered (Bragg) phase. This proximity of the glass line to the Kauzmann point is reasonable since both signal the region of close competition of the disorder and the thermal fluctuations effects. In more isotropic materials the data are more confusing. In LaSCCO [19] the GT line is closer to the ‘melting’ section of the ODT line still crossing it. Most of the experiments [13] indicate that the GT line terminates at the ‘tricritical point’ in the vicinity of the maximum of the ODT line. It is more difficult to characterize the nature of the GT transition as a ‘symmetry breaking’. The common wisdom is that ‘replica’ symmetry is broken in the glass (either via ‘steps’ or via ‘hierarchical’ continuous process) as in most of the theories of spin glasses [20].

Theoretically, the problem of the vortex matter subject to thermal fluctuations or disorder has a long history. An obvious candidate to model the disorder is the Ginzburg–Landau model in which coefficients have random components. The Ginzburg–Landau (GL) approach is generally appropriate to describe thermal fluctuations near  $T_c$ . However, this model is too complicated and simplifications are required. The original idea of the vortex glass and the continuous glass transition exhibiting the glass scaling of conductivity diverging in the glass phase appeared early in the framework of the frustrated  $XY$  model (the gauge glass) [21,22]. In this approach one fixes the amplitude of the order parameter retaining the magnetic field with random component added to the vector potential. It was studied by the RG and the variational methods and has been extensively simulated numerically [6,23]. In analogy to the theory of spin glass, the replica symmetry is broken when crossing the GT line. The model ran into several problems (see [24] for a review): for finite penetration depth  $\lambda$ , it has no transition [25] and it was difficult to explain sharp Bragg peaks observed in the experiments at low magnetic fields. To address

the last problem, another simplified model had been proven to be more convenient: the elastic medium approach to a collection of interacting line-like objects subject to both the pinning potential and the thermal bath Langevin force [26,27]. The resulting theory was treated again using the Gaussian approximation [4,28] and RG [22]. The result was that when  $2 < D < 4$ , there was a transition to a glassy phase in which the replica symmetry was broken following the ‘hierarchical pattern’ (in  $D = 2$  the breaking is ‘one step’). The problem of the very fast destruction of the vortex lattice by disorder was solved with the vortex matter being in the replica symmetry broken (RSB) phase and it was termed ‘Bragg glass’ [4]. It is possible to address the problem of mesoscopic fluctuation using an approach in which one directly simulates the interacting line-like objects subject to both the pinning potential and the thermal bath Langevin force [26,27]. In this context the generalized replicated density functional theory [29] was also applied resulting in one-step RSB solution. Although the above approximations to the disordered GL theory are very useful in more ‘fluctuating’ superconductors like BSCCO, a problem arises with their application to YBCO at temperature close to  $T_c$  (where most of the experiments mentioned above are done): vortices are far from being line-like and even their cores significantly overlap. As a consequence the behavior of the dense vortex matter is expected to be different from that of a system of point-like vortices and of the XY model although the elastic medium approximation might still be meaningful [30,31].

To describe the non-point-like vortices, one has to return to the GL model and make a different simplification. One of the most developed schemes is the lowest Landau level (LLL) approximation valid close to the  $H_{c2}(T)$  line [32]. Such an attempt was made by Dorsey *et al* [33] in the liquid phase using the dynamic approach [34] and by Tesanovic and Herbut [35] for columnar defects in layered materials using supersymmetry.

The GL model is however highly nontrivial even within the lowest Landau level (LLL) approximation valid at relatively high fields. This simplified model has only one parameter: the dimensionless LLL scaled temperature  $a_T \rightarrow (T - T_{mf}(H))/(TH)^{2/3}$ . Over the last twenty years, so many theoretical methods were applied to study this model. However, all those approaches do not provide a quantitative theory since these are one-phase theories and in order, say, to calculate discontinuities at first-order transition an accurate description of both phases is necessary.

Two perturbative approaches were developed and greatly improved recently to describe both the solid and the liquid phases in the LLL GL model. On the solid side, long time ago, Eilenberger *et al* [36] calculated the fluctuations spectrum around Abrikosov’s mean field solution. They noticed that the vortex lattice phonon modes are softer than those of the acoustic phonons in atomic crystals and this leads to infrared (IR) divergences in certain quantities. This was initially interpreted as ‘destruction of the vortex solid by thermal fluctuations’ and the perturbation theory was abandoned. However the divergences look suspiciously similar to ‘spurious’ IR divergences in the critical phenomena theory and recently it was shown that all these IR divergences cancelled in physical quantities [37]. The series therefore are reliable, and are extended to two loops, so that the LLL GL theory on the solid side is now precise enough even around the melting point.

The perturbative approach on the liquid side was pioneered long ago by Ruggeri and Thouless [38]. They developed a perturbative expansion around a homogeneous (liquid) state in which all the ‘bubble’ diagrams are resummed. Unfortunately they found that the series are asymptotic. We recently obtained the optimized Gaussian series [39] which are convergent rather than asymptotic with radius of convergence of  $a_T = -5$ , which is still unfortunately above the melting point.

Therefore, the missing part is a theory in the region  $-10 < a_T < -5$  on the liquid side. Moreover, this theory should be very precise since free energies of solid and liquid happen to differ only by a few per cent around melting. The problem closely related to melting is the nature of the metastable phases of the theory. While it is clear that the overheated solid becomes unstable at some finite temperature, it is not generally clear whether the overcooled liquid becomes unstable at some finite temperature (like water and other molecular liquids, which however has a crucial attractive component of the intermolecular force) or exists all the way down to  $T = 0$  as a metastable state. The Gaussian (Hartree–Fock) variational calculation, although perhaps of a limited precision, is usually a very good guide as far as qualitative features of the phase diagram are concerned. Such a calculation in the liquid was performed long ago [38], while a significantly more complicated one sampling also inhomogeneous states (vortex lattice) was obtained recently [39,40]. The Gaussian results are as follows: The free energy of the solid state is lower than that of the liquid for all temperatures lower than melting temperature  $a_T^m$ . The solid state is therefore the stable one below  $a_T^m$ , becomes metastable at somewhat higher temperatures and is destabilized at  $a_T = -5.5$ . The experimental observation of overcooled liquid, superheated solid and the spinodal line was recently carried out by Rutgers group [41]. The liquid state becomes metastable below the melting temperature, but unlike the solid, does not lose measurability all the way down to  $a_T^m = -\infty$  ( $T = 0$ ). This general picture is supported by an exactly solvable large  $N$  Ginzburg–Landau model of vortex matter in Type II superconductors.

Assuming the absence of singularities on the liquid branch allows one to develop an essentially precise theory of the LLL GL model in vortex liquid (even including supercooled liquid, see also ref. [42]) using methods of theory of critical phenomena [43,44]. The generally effective mathematical tool to approach a nontrivial fixed point (in our case at zero temperature) is the Borel–Padé (BP) transformation [44]. As we showed in [39] (see also [45]), the BP liquid free energy combined with the correct two-loop solid energy computed recently gives scaled melting temperature  $a_T^m = -9.5$  in the 3D LLL GL model, and in addition predicts other characteristics of the model. The LLL GL model was also studied numerically in both Lawrence–Doniach model (a good approximation of the 3D GL for large number of layers) [46,47] and in 2D [48] and by a variety of nonperturbative analytical methods such as the density functional [49],  $1/N$  [50–52], dislocation theory of melting [53] and others [54]. However, all these methods do not provide us a good quantitative description of the experiments.

Now we come to discuss the disorder effect and we will consider only point disorder. The point disorder will influence the location of the melting line. Using perturbation around the solid and overcooled liquid, we found that the melting line would be bent and join the order–disorder line [14]. Thus a portion of the overcooled liquid region will be exposed and become thermodynamically stable. The

liquid which is originally overcooled in the zero disorder is called liquid II and the usual liquid is called liquid I. Liquid II is very viscous and locally looks like a solid. There will be no thermodynamical phase transition between the two liquids, though the two liquids are dynamically very different.

Moreover, the disorder will induce replica symmetry breaking [55] which is the so-called glass transition (citing also Lopatin). In [56] we obtained the glass transition line within the Gaussian variational approach [57] to the liquid II (see also ref. [58], which considered a different model within the LLL GL model, and ref. [29] using the replica method within the density functional method [59]). Within the liquid II phase, part of the phase becomes glass-like because of replica symmetry breaking. We have not calculated the glass line in the crystalline state, but anticipate that it depends little on the crystalline order. This is consistent with observations made in ref. [35] in which it was noticed (in a bit different context of layered materials and columnar defects) that lateral modulation introduced a very small difference to the glass line although it was obviously very important for the location of the order–disorder line. So we just continue the glass line in the homogeneous phase into the crystalline side. If the glass lines of the liquid side and solid side join to a single glass line, then the glass line must cross the order–disorder transition (ODT) line right at the Kauzmann point. That the glass line crosses the ODT line right at the Kauzmann point has been indeed supported by some evidences in an experiment in BSCCO [18], though two lines crossing exactly at the Kauzmann point or not is still an open question experimentally and theoretically. In conclusion, the generic thermodynamical phase diagram contains four regions: region one: replica symmetry breaking and translational symmetry breaking, Bragg phase; region two: replica symmetry and translational symmetry breaking, solid phase; region 3: replica symmetry breaking and translational symmetry, vortex glass; region 4: replica symmetry and translational symmetry, liquid phase. There is no thermodynamical phase transition between liquid I and liquid II, though dynamically the two liquids are very different.

## 2. Theoretical formula and their comparison to experiments

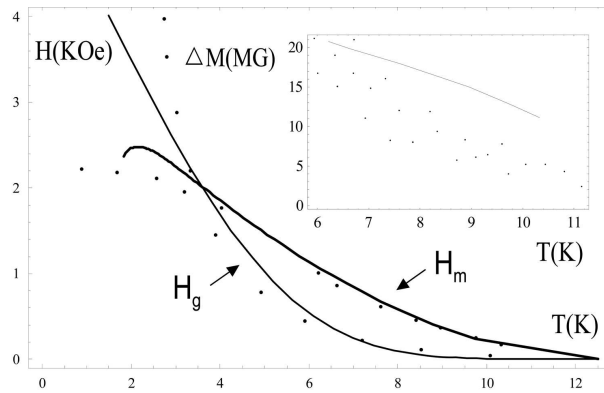
We will not give here any explicit theoretical formula as this can be found in our previous works [42,56] and will only present the theoretical results and their comparison to several experiments. The expressions can be obtained in the form of *Mathematica* file from authors. The choice of the experimental data is rather arbitrary and we apologize for not mentioning other materials and experiments. By fitting the melting line, we can obtain various parameters which are in excellent agreement with the parameters directly measured experimentally. In table 1, we list the parameters obtained by fitting the melting line.

In figure 1, the experimental results [62] in the organic superconductor  $\kappa - (\text{BEDT-TTF})_2\text{Cu}[\text{N}(\text{CN})_2]\text{Br}$  are compared with the theoretical ones using  $T_c = 12.5$  K,  $H_{c2} = 5.5$  T,  $\kappa = 20$ ,  $n = 0.02$  where  $n$  is the disorder strength [56].

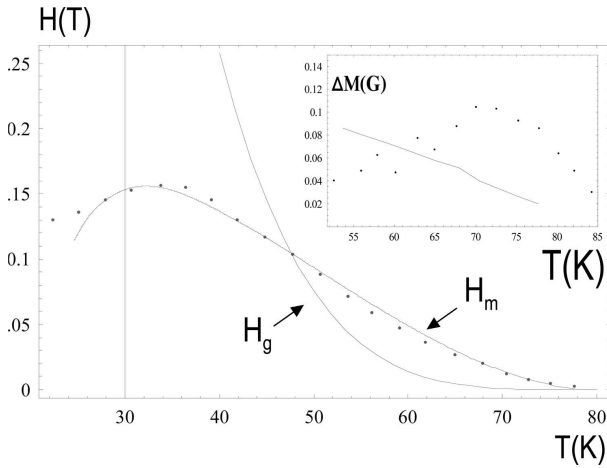
Though the melting line is far away from  $H_{c2}$  in  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+y}$ , we could still use the so-called effective LLL theory which can still describe qualitatively the phase diagram. Due to huge thermal fluctuation, the vortex core effectively becomes

**Table 1.** Parameters of high  $T_c$  superconductors deduced from the melting line.

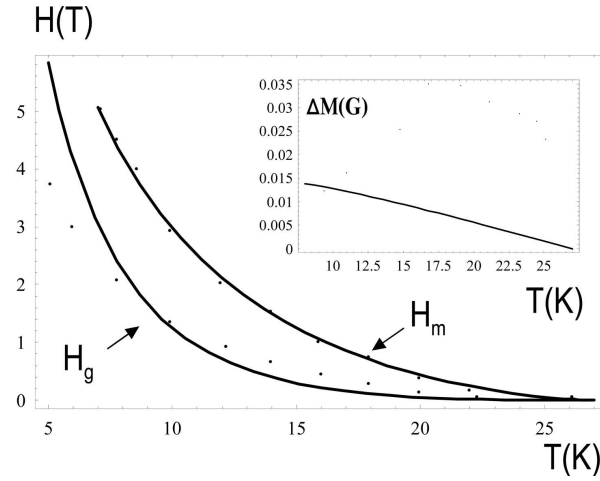
Material	$T_c$	$H_{c2}$	Gi	$\kappa$	$\gamma$	Ref.
YBCO $_{7-\delta}$	93.1	167.5	$1.9 \times 10^{-4}$	48.5	7.76	[8]
YBCO $_{7-\delta}$	92.6	190	$2 \times 10^{-4}$	50	8.3	[60]
YBCO $_7$	88.2	175.9	$7.0 \times 10^{-5}$	50	4	[13]
DyBCO $_{6.7}$	90.1	163	$3.2 \times 10^{-5}$	33.77	5.3	[61]



**Figure 1.** Theoretical glass line, melting line and magnetization jumps compared with the  $\kappa - (\text{BEDT-TTF})_2\text{Cu}[\text{N}(\text{CN})_2]\text{Br}$  experimental results.



**Figure 2.** Theoretical glass line, melting line and magnetization jumps compared with the highly overdoped  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+y}$  experimental result.

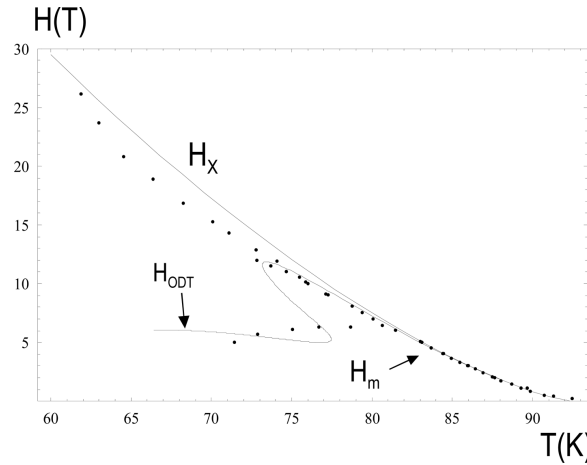


**Figure 3.** Theoretical glass line, melting line and magnetization jumps compared with  $(\text{La}_{1-x}\text{Sr}_x)_2\text{CuO}_4$  experimental result.

quite big so that effectively  $H_{c2}$  becomes small. As an example, see figure 2, where the experimental results [63] in highly overdoped  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+y}$  are compared with the theoretical ones of 2D GL LLL model using  $T_c = 80$  K,  $H_{c2} = 10$  T,  $\kappa = 40$ ,  $n = 0.15$ : In figure 3, the experimental results [64] in  $(\text{La}_{1-x}\text{Sr}_x)_2\text{CuO}_4$  are compared with the theoretical ones of 3D GL LLL model using  $T_c = 27$  K,  $H_{c2} = 50$  T,  $\kappa = 115$ ,  $n = 0.01$ : We had used magnetization jump formula without disorder in figure 1 and figure 2 as we cannot fit the jumps quantitatively (because the melting line in both figure 1 and figure 2 is far away from  $H_{c2}$ , so that the LLL description of magnetization jumps will not be applicable quantitatively). If we use magnetization jump formula including disorder, usually there will be a maximum jump, as observed experimentally.

In figure 4, the experimental results [3] in optimal doped  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  are compared with the theoretical ones of 3D GL LLL model using  $T_c = 92.6$  K,  $H_{c2} = 190$  T,  $\kappa = 50$ ,  $\gamma = 8.3$ ,  $n = 0.12$ : The melting line is joined by the order-disorder transition line as shown in figure 4. Line  $H_x$  is the transition line between liquid I and liquid II. This line is not a thermodynamical transition line, though it may be a dynamical transition line as liquid II locally looks like a solid rather than the usual liquid.

In figure 5, the experimental results on magnetization jumps, rather entropy jumps, and specific heat jumps [3,8,13] in optimal-doped  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  are compared with the theoretical ones: In figure 5, from 85 K to 93 K, magnetization jumps and entropy jumps are in very good quantitative agreement with the theoretical results. The experimental results below 85 K are different for different experiments. The good quantitative agreement near  $T_c$  is not surprising as in YBCO the melting line is quite near  $H_{c2}$  so that the LLL theoretical result should have quite a good accuracy. The specific heat jumps have a very big error bar experimentally (usually underestimated) so that the discrepancy between the theoretical and experimental results could be originally attributed to the experimental error bar.



**Figure 4.** Theoretical melting line and  $H_x$  compared with  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$  experimental result.

Finally in figure 6, we present YBCO phase diagram with glass transition line and melting line (or the order–disorder transition line) together. The experimental glass line contains two parts: the high part of the line is the experimental results of ref. [13] and the low part of the line is the result of ref. [17]. The low part of the line (inside the solid phase) is usually very difficult to determine as the surface barriers will influence the location of the line.

### 3. Conclusion

A metastable overcooled liquid state exists down to zero temperature for any interacting system of repelling objects. At zero temperature, there is a pseudocritical fixed point controlling the low temperature asymptotics of the overcooled liquid. We have provided an evidence that in the LLL GL model, metastable homogeneous state (the supercooled liquid state) exists down to zero fluctuation temperature and the superheated vortex solid survives up to the spinodal line, by solving the large  $N$  LLL Ginzburg–Landau model. The recent experiments in  $2\text{H-NbSe}_2$  [41] have demonstrated that there indeed exists the supercooled vortex liquid at very low temperature and the superheated vortex solid up to the temperature limit, very close to the theoretical drawn spinodal line.

There exist (unfortunately very few) numerical simulations of the overcooled one-component plasma and electron gas. The existing data favour the existence of the zero temperature pseudocritical point. If the conjecture were correct, properties of the overcooled liquid state (like structure function in the limit of low temperatures) should be rather universal. Thus the physics of the supercooled liquid state can be theoretically approached using methods of physics of critical phenomena (the Borel–Pade resummation technique).

The idea of pseudocritical point at zero temperature was applied in [14,39], where the BP liquid free energy combined with the correct two-loop solid energy gives us



melting temperature and, in addition, quantitatively predicts other characteristics of the model, for example, melting line, magnetization curves and their jumps, latent heats, spinodal line etc. All of those theoretical predictions are in very good quantitative agreements with experiments.

The glass transition induced by disorder can be obtained by using the replica method and Gaussian variational method.

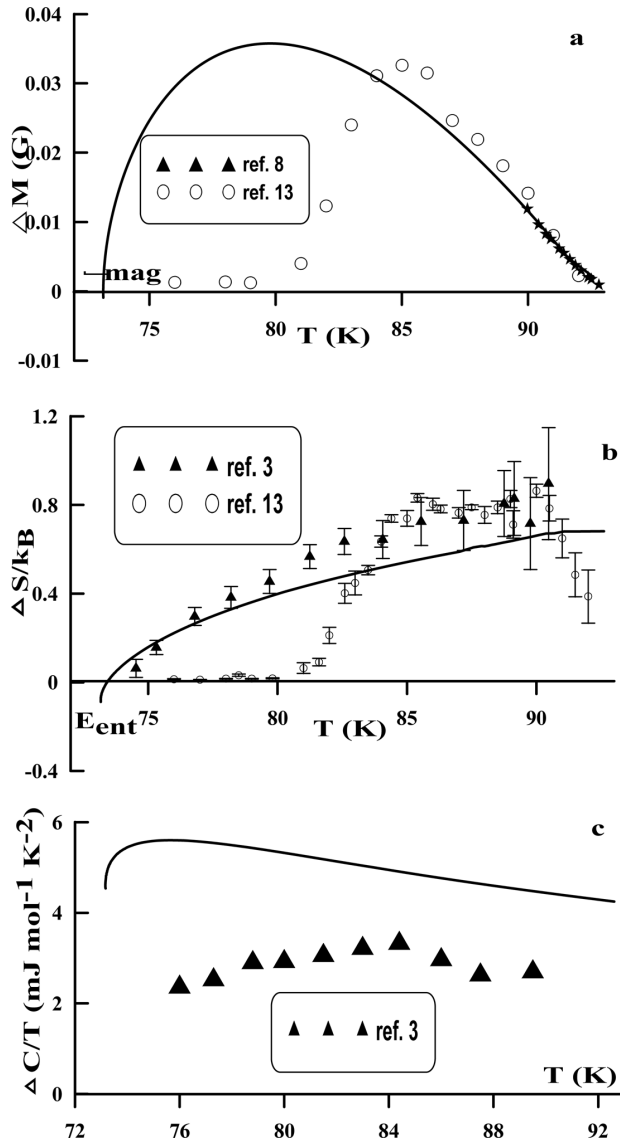
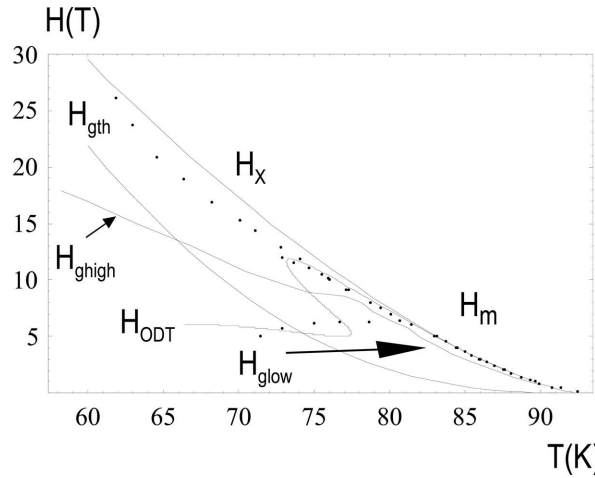


Figure 5. The magnetization jumps, entropy jumps, and specific heat jumps in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ .



**Figure 6.** The glass transition line and melting line in  $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ .

In this paper, we fit various experimental results based on very few fundamental parameters of the superconductors  $T_c$ ,  $H_{c2}$ ,  $\kappa$ ,  $\gamma$  and the disorder strength  $n$ . In some cases, there are very good quantitative agreements if the phase transition lines are near  $H_{c2}$  so that the LLL approximation is accurate.

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