

# Quantum transport in the presence of a finite-range time-modulated potential

C. S. Tang and C. S. Chu

*Department of Electrophysics, National Chiao Tung University, Hsinchu 300, Taiwan, Republic of China*

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Quantum transport in a narrow constriction and in the presence of a finite-range time-modulated potential is studied. The potential takes the form  $V(x,t) = V_0 \theta(x) \theta(a-x) \cos(\omega t)$ , with  $a$  the range of the potential and  $x$  the transmission direction. Intrasubband transitions for the electrons and for arbitrary  $\omega$  are made possible by the finiteness in the potential range. Our results show that, as the chemical potential  $\mu$  increases, the dc conductance  $G$  exhibits dip structures when  $\mu$  is at  $n\hbar\omega$  above the threshold energy of a subband. These structures in  $G$  are found in both the small  $a$  ( $a \sim \lambda$ ) and the large  $a$  ( $a \gg \lambda$ ) regime. These dips are associated with the formation of quasi-bound-states. Our results can be reduced to the limiting case of a  $\delta$ -profile oscillating potential when both  $a \ll \lambda$  and  $V_0 a \ll 1$  are satisfied. The assumed form of the time-modulated potential is expected to be realized in a gate-induced potential configuration.

## I. INTRODUCTION

Inelastic scattering processes in quantum transport have drawn continuous attention in the recent past. One of the common models used is to invoke a time-modulated potential, with a certain spatial profile, to the system.<sup>1-9</sup> The model has also been extended to incorporate the inelastic effects due to phonons by introducing a time-modulated potential involving the phonon operators.<sup>10-13</sup> These studies have demonstrated, among others, the interesting feedback effect of the inelastic scattering on the elastic channel. Even though the above model is appropriate only for inelastic processes that preserve the phase coherence of the transmitting particles, the model has practical importance because the coherent inelastic scattering can be realized, at least, in the case when the time-modulated potential is well specified.

A possible realization of the coherent inelastic scattering processes in nanostructures is expected to be found in gate-controlled quantum point contacts (QPC's), as shown in Fig. 1. A similar gate-induced potential configuration has been suggested by Gorelik *et al.*,<sup>9</sup> who considered microwave-induced effects on the Josephson current through a narrow constriction (NC). Their focus is on the resonance of the microwave frequency with the energy levels of the Andreev bound states formed in the NC, which has both ends connected to superconducting electrodes. For our purposes here, a simple exhibition of the coherent inelastic scattering is expected to be found readily in a normal state gate-controlled QPC. Recent development in the split-gate technology has made possible the fabrication of such gate-controlled QPC's.<sup>14,15</sup> The split gates, when negatively biased, define electrostatically a NC on a two-dimensional electron gas (2DEG). The dc quantum transport properties of these QPC systems has been studied intensively.<sup>16,17</sup> More recently, there is growing interest in the time-dependent properties, such as the effects of photons, in these QPC systems.<sup>18-23</sup> It is thus legitimate to consider the quantum transport in a NC that is acted upon by an additional, ac biased gate, as shown in Fig. 1. This ac biased gate, which is different from the split gates that define the NC, induces on the NC a time-modulated potential. The scattering of the conduction elec-

trons by this time-modulated potential is both coherent and inelastic.

There is another reason why quantum transport in a NC in the presence of a time-modulated, gate-induced potential is interesting. This is closely related to the density of state (DOS) structures in the NC. The energy levels in the NC are quantized into one-dimensional subbands so that the DOS is singular at the subband bottoms. In the presence of attractive impurities, such singularities in the DOS lead to dip structures in the dc conductance  $G$ , as the chemical potential  $\mu$  increases.<sup>24-32</sup> The dip structures occur when  $\mu$  is just below a subband edge. According to Bagwell,<sup>25</sup> these dip structures are associated with the formation of impurity-induced quasi-bound-states.<sup>25</sup> The wave function at this energy  $\mu$  and in this subband is evanescent along the longitudinal direction. Hence, for the case of an attractive impurity, a quasi-bound-state splits off from each subband.<sup>25</sup> An electron originally in a propagating state in other subband can thus be scattered elastically into and be trapped by this quasi-bound-state. This gives rise to dip structures in  $G$ .

The quasi-bound-state features in  $G$  are found also when a point barrier oscillates in a NC.<sup>5</sup> In this case, for a not-too-large oscillation amplitude, the dc conductance  $G$  exhibits dip or peak structures when  $\mu$  is at  $n\hbar\omega$  above a subband edge. These structures correspond to the situation when the electrons can make transitions, via inelastic processes, to the quasi-bound-state just below the subband edge. That there is

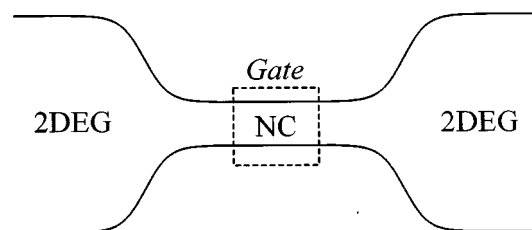


FIG. 1. Sketch of the gated QPC in which a narrow channel is connected adiabatically at each end to a 2DEG electrode. The gate induces a finite-range time-modulated potential in the narrow constriction.

quasi-bound-state, induced by the point oscillating barrier, below each subband edge is demonstrated by Bagwell and Lake<sup>5</sup> from the energy poles in the current transmission coefficients. The existence of these quasi-bound-states is again due to the singular DOS near a subband edge. It is important to ask whether such quasi-bound-state features in  $G$  persist in the case of a finite-range time-modulated potential. This question has not been addressed before and if the quasi-bound-state features did persist in a finite-range time-modulated potential it will have important implications to time-dependent properties of QPC systems. Furthermore, since the potential is expected to be gate induced, the problem is within reach of the recent experimental capability.

In this paper, we simplify the problem by assuming the gate-induced potential in the NC to be represented by the form  $V_0\theta(x)\theta(a-x)\cos(\omega t)$ , where  $a$  is the range of the potential and  $x$  is the transmission direction. Our simplification is in replacing the smooth longitudinal potential profile, of which the potential builds up within a longitudinal distance of order  $\lambda$ , by an abrupt profile. The abruptness of the profile is expected to do nothing but introduce additional multiple scatterings between the two abrupt edges of the potential. This results in additional harmonics in  $G$ . Thus, for the case when the magnitude of these harmonics is small, our results are expected to resemble qualitatively the features in a smooth profile potential. An explicit smooth-profile consideration, however, is left to the further study. Using this *small-harmonic-magnitude* criterion, we find the quasi-bound-state features in  $G$  in both the small  $a$  ( $a \sim \lambda$ ) and the large  $a$  ( $a \gg \lambda$ ) regime.

Our results show that intrasubband transitions for the electrons and for arbitrary  $\omega$  are made possible by the finiteness in the range of the time-modulated potential, which breaks the longitudinal translation invariance in the narrow channel. With this understanding, we expect the quasi-bound-state features found in this paper to appear also in the case of a time-dependent electric field, longitudinally or transversely polarized, as long as the field has a finite range. The transversely polarized electric field gives rise to intersubband transitions, whereas the longitudinally polarized electric field gives rise to intrasubband transitions. There are two important features associated with this finite-range consideration. First, the range  $a$  of the potential or the electric field is assumed to be less than the phase-breaking length  $l_\phi$  so that the entire transmission process is coherent and can be described by a time-dependent Schrödinger equation. Second, the two reservoirs at both ends of the narrow channel can be taken to be free from the time-modulation effects so that the distribution of the incident electrons is well determined. Thus the quantum transport in the presence of a finite-range time-modulated potential or electric field can be cast into a Landauer-Büttiker-type formalism. The conditions imposed by the above two features are within recent experimental capabilities because the phase-breaking length  $l_\phi$  can be made sufficiently long by lowering the temperature.

The conditions are different, however, for the case when the time-dependent potential or the electric field is of infinite range and covers the entire system.<sup>19,22</sup> The major difference between the finite-range and the infinite-range situations is that the reservoirs are affected by the time-dependent fields

in the latter situation. The adiabatic turning on of the time-dependent electric field is utilized to make a connection with the distribution of the electrons in the remote past. The infinite-range situation is different from the finite-range situation, both in the theoretical treatment and in the experimental setting, but together they provide a complementary understanding to the time-dependent properties in QPC systems.

In this work, the inelastic scattering is solved nonperturbatively and we find that even within the small-harmonic-magnitude criterion the inelastic scattering has to be treated beyond one sideband approximation. The sideband index  $n$  labels those electrons whose net energy change is  $n\hbar\omega$ . Furthermore, our results can be reduced to the limiting case of a  $\delta$ -profile oscillating potential when both  $a \ll \lambda$  and  $V_0a \ll 1$  are satisfied.

In Sec. II we present the formulation for the inelastic scattering and the connection of the current transmission coefficients with the conductance  $G$ . In Sec. III we present numerical examples illustrating the quasi-bound-state features in a finite-range time-modulated potential. Finally, Sec. IV presents a conclusion.

## II. THEORY

In this section, the inelastic scattering problem is formulated and the equations for the current transmission and reflection coefficients are obtained. The conductance  $G$  is then expressed in terms of these coefficients.

The QPC is modeled by a NC connected adiabatically at each end to a 2DEG. Hence the transmission of the electrons into or out of the NC region is adiabatic.<sup>33</sup> The gate-induced potential is assumed to affect only the NC region of the QPC. Therefore we need only to formulate the inelastic scattering in the NC region. The NC is taken to have a quadratic transverse confinement potential  $\omega_y^2 y^2$ . The gate-induced potential takes the finite-range time-modulated form

$$V(x,t) = V_0\theta(x)\theta(a-x)\cos(\omega t), \quad (1)$$

whose connection with a smooth-profile potential has been discussed in the preceding section.

Choosing the energy unit  $E^* = \hbar^2 k_F^2 / 2m^*$ , the length unit  $a^* = 1/k_F$ , the time unit  $t^* = \hbar/E^*$ , and  $V_0$  in units of  $E^*$ , the dimensionless Schrödinger equation becomes

$$[-\nabla^2 + \omega_y^2 y^2 + V(x,t)]\Psi(\vec{x},t) = i \frac{\partial}{\partial t} \Psi(\vec{x},t). \quad (2)$$

Here  $k_F$  is a typical Fermi wave vector of the reservoir and  $m^*$  is the effective mass. The transverse energy levels are quantized, with  $\varepsilon_n = (2n+1)\omega_y$  and  $\phi_n(y)$  the wave function. The finite-range time-modulated potential is uniform in the transverse direction and does not induce intersubband transitions, leaving the subband index  $n$  unchanged. Thus for a  $n$ th subband electron incident along  $\hat{x}$  and with energy  $\mu$ , the scattering wave function can be written in the form  $\Psi_n^+(\vec{x},t) = \phi_n(y)\psi(x,t)$ , where<sup>34</sup>

$$\psi(x,t) = \begin{cases} e^{ik_n(\mu)x} e^{-i\mu t} + \sum_m r_n(m) e^{-ik_n(\mu+m\omega)x} e^{-i(\mu+m\omega)t} & \text{if } x < 0 \\ \sum_p [J_p(V_0/\omega) e^{-ip\omega t}] \int d\epsilon [\tilde{A}_n(\epsilon) e^{ik_n(\epsilon)x} + \tilde{B}_n(\epsilon) e^{-ik_n(\epsilon)x}] e^{-i\epsilon t} & \text{if } 0 < x < a \\ \sum_m t_n(m) e^{ik_n(\mu+m\omega)x} e^{-i(\mu+m\omega)t} & \text{if } x > a \end{cases} \quad (3)$$

and  $n, m$  are the final subband and sideband indices, respectively. The effective wave vector for an electron with energy  $\epsilon$  and in the  $n$ th subband is given by  $k_n(\epsilon) = \sqrt{\epsilon - (2n+1)\omega_y}$ . The sideband index  $m$  corresponds to the net energy change of  $m\hbar\omega$  for the outgoing electrons.

It is very important to note that had the length of the NC been infinite and the range of the potential  $V(x,t)$  extended to cover the entire NC, the longitudinal wave vector  $k_n$  would be a good quantum number so that no *real* transition could have occurred. However, as long as  $V(x,t)$  has a finite range,  $k_n$  is no longer conserved and *real* transitions from  $k_n(\epsilon)$  to  $k_n(\epsilon \pm m\omega)$  are permitted for electrons traversing the potential. Thus the finiteness in the range of the time-modulated potential alone makes possible the absorption of energy by the electrons for arbitrary  $\omega$ . This picture holds regardless of the range, long or short, and the profile, abrupt or smooth, of the potential. The mathematical statement of the above physical picture turns out naturally and is given by Eq. (4) in the following.

The expressions for the reflection and the transmission coefficients can be obtained from matching the wave functions and their derivatives at the two ends of the finite-range time-modulated potential. For the above matching to hold in all time, the integration variable  $\epsilon$  in Eq. (3) has to take on discrete values  $\mu \pm m\omega$ . Hence we can write  $\tilde{A}_n(\epsilon)$  and  $\tilde{B}_n(\epsilon)$  in the form

$$\tilde{F}_n(\epsilon) = \sum_m F_n(m) \delta(\epsilon - \mu - m\omega), \quad (4)$$

where  $\tilde{F}_n(\epsilon)$  refers to either  $\tilde{A}_n(\epsilon)$  or  $\tilde{B}_n(\epsilon)$ . After performing the matching and eliminating the current reflection coefficients  $r_n(m)$ , we obtain the equations relating  $A_n(m)$ ,  $B_n(m)$ , and the current transmission coefficients  $t_n(m)$ ,

$$t_n(m) = \sum_{m'} [A_n(m') e^{-iK_n^-(m,m')a} + B_n(m') e^{-iK_n^+(m,m')a}] J_{m-m'}(V_0/\omega), \quad (5)$$

$$k_n(\mu+m\omega)t_n(m) = \sum_{m'} k_n(\mu+m'\omega) [A_n(m') e^{-iK_n^-(m,m')a} - B_n(m') e^{-iK_n^+(m,m')a}] \times J_{m-m'}(V_0/\omega), \quad (6)$$

and

$$2k_n(\mu)\delta_{m0} = \sum_{m'} [A_n(m')K_n^+(m,m') + B_n(m')K_n^-(m,m')] J_{m-m'}(V_0/\omega), \quad (7)$$

where  $K_n^\pm(m,m') = k_n(\mu+m\omega) \pm k_n(\mu+m'\omega)$ . Equations (5)–(7) can be shown explicitly to reduce to the corresponding equations for the  $\delta$ -profile time-modulated potential in the  $a \rightarrow 0$  limit.<sup>35</sup>

The zero-temperature conductance is given by

$$G = (2e^2/h) \sum_{n=0}^N G_n, \quad (8)$$

where  $N+1$  is the number of propagating subbands in NC for the chemical potential  $\mu$ . Here  $G_n = \sum_m G_n^m$  and the summation is over all  $m$  such that  $k_n(\mu+m\omega)$  is real. The contribution to  $G$  from electrons incident in subband  $n$  and transmitted into sideband  $m$  is denoted by  $G_n^m$  and is given by

$$G_n^m = [k_n(\mu+m\omega)/k_n(\mu)] |t_n(m)|^2. \quad (9)$$

Solving Eqs. (5)–(7), we obtain  $t_n(m)$ ,  $A_n(m)$ , and  $B_n(m)$ , from which the current reflection coefficient  $r_n(m)$  can be calculated,

$$r_n(m) = \sum_{m'} [A_n(m') + B_n(m')] J_{m-m'}(V_0/\omega) - \delta_{m0}. \quad (10)$$

We solve the coefficients  $r_n(m)$  and  $t_n(m)$  exactly, in the numerical sense, by imposing a large enough cutoff to the sideband index. The correctness of our procedure is checked against the conservation of current condition, given by

$$\sum_{m'} \frac{k_n(\mu+m\omega)}{k_n(\mu)} [|t_n(m)|^2 + |r_n(m)|^2] = 1. \quad (11)$$

### III. NUMERICAL RESULTS

We calculate, in the following, the conductance  $G$  of a NC acted upon by a finite-range time-modulated potential. The finite-range time-modulated potential does not induce intersubband transitions and so each occupied subband contributes independently to the total conductance. Thus it suffices for our purposes here to present the conductance of only one subband, which we take to be the lowest one.

In this section, the behavior of  $G$  with respect to the chemical potential  $\mu$  is studied. Since  $G$  depends also on the potential range  $a$  and the oscillating amplitude  $V_0$ , we

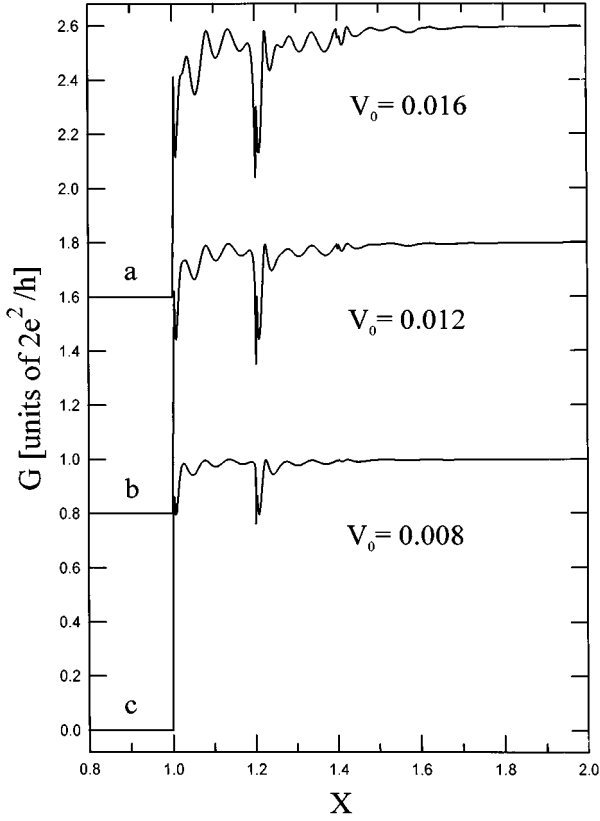


FIG. 2. Conductance  $G$  as a function of  $X$  for potential range  $a=150$  and frequency  $\omega=0.014$ . The potential oscillating amplitudes are a,  $V_0=0.016$ ; b,  $V_0=0.012$ ; and c,  $V_0=0.008$ . The curves are vertically offset for clarity. The dip structures at  $X=1.2$  are due to the quasi-bound-state when the electrons, after giving away an energy  $\omega$ , make transitions to a subband edge. We note that, for larger  $V_0$ , the quasi-bound-state features at  $X=1+2\Delta X$ , with  $\Delta X=0.2$ , are more evident.

present the behavior of  $G$  in four situations. First, this  $G$  behavior is shown for  $a$  fixed while varying  $V_0$ . Second, the  $G$  behavior for  $V_0$  fixed while  $a$  varying is presented. The third situation is to compare the  $G$  behavior for different  $\omega$ . Finally, we present the time-averaged spatial distribution for the scattering state whose incident energy is very close to the quasi-bound-state structure.

In our numerical examples, the NC is taken to be that in a high-mobility GaAs-Al<sub>x</sub>Ga<sub>1-x</sub>As with a typical electron density  $n \sim 2.5 \times 10^{11} \text{ cm}^{-2}$  and  $m^* = 0.067m_e$ . Correspondingly, we choose an energy unit  $E^* = \hbar^2 k_F^2 / (2m^*) = 9 \text{ meV}$ , a length unit  $a^* = 1/k_F = 79.6 \text{ \AA}$ , and a frequency unit  $\omega^* = E^*/\hbar = 13.6 \text{ THz}$ . We also take  $\omega_y = 0.035$ , such that the effective NC width is of the order of  $10^3 \text{ \AA}$ . In the following, in presenting the dependence of  $G$  on  $\mu$ , it is more convenient to plot  $G$  as a function of  $X$  instead, where  $X = [(\mu/\omega_y) + 1]/2$ . The integral value of  $X$  is the number of propagating channels.

In Fig. 2  $G$  is plotted against  $X$  for  $a=150$ , while  $V_0$  is varying. The frequency  $\omega$  is taken to be 0.014, whose energy interval  $\omega$  corresponds to an interval  $\Delta X = \omega / (2\omega_y) = 0.2$  on the ordinate. The threshold, or the subband edge, is at  $X=1$ . We note that a major dip structure occurs at  $X=1.2$ , which corresponds to  $X - \Delta X = 1$ . This is the quasi-bound-

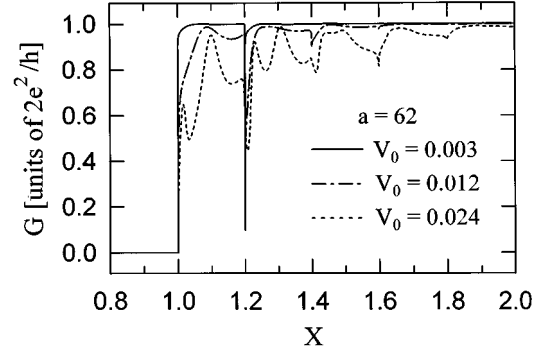


FIG. 3. Conductance  $G$  as a function of  $X$  for the case of a small potential range  $a=62$ , while the potential oscillating amplitudes are  $V_0=0.003$ , 0.012, and 0.024, respectively. The frequency  $\omega$  is the same as in Fig. 2. The harmonic features become significant for  $V_0=0.024$ .

state features because the electron with energy at  $X$  can make a transition to the subband edge by giving up an energy  $\omega$ . We note also that, in general, for larger  $V_0$ , the structure at  $X=1+2\Delta X$  are more evident. This is the situation when the electron can emit an energy of  $2\omega$  and makes a transition to the subband edge. The wavelength of the electron decreases as  $X$  increases. The relation is given by  $\lambda = 2\pi / \sqrt{2\omega_y(X-1)}$ . At the location of the first dip, when  $X=1.2$ , we have  $\lambda=53$ . Thus, near the first dip, the potential range is reasonably long, with  $a \approx 2.8\lambda$ .

Besides the quasi-bound-state features, there are harmonic structures. These structures are smaller for the lower  $V_0$ . That these harmonics are associated with the multiple scattering between the abrupt edges of the potential can be identified from a resonance relation  $\lambda = 2a/n$ , with  $n$  a positive integer. Correspondingly, the harmonic peaks are at  $X_n = 1 + \Delta X_n$ , with  $\Delta X_n = (n\pi/a)^2 / (2\omega_y)$ . According to the above estimate, the first five harmonic peaks are at  $X_n \approx 1.006, 1.025, 1.056, 1.1, \text{ and } 1.16$ , which correspond quite reasonably to those in Fig. 2. However, for  $X > 1.2$ , the harmonic peaks correspond more closely to  $X = 1.2 + \Delta X_n$ . This can be explained as follows. The harmonics for  $X > 1.2$  are contributed mostly from those electrons that give away an energy of  $\omega$  so that the harmonics at  $X$  are at  $1.2 + \Delta X_n$ . We also see that the harmonic amplitudes are essentially smaller than the dip structure at  $X=1.2$ , thus satisfying our small-harmonic-amplitude criterion. From this result, we expect the quasi-bound-state features to be evident in a smooth-profile time-modulated potential.

In Fig. 3,  $G$  is plotted against  $X$  when  $a=62$ . The wavelength of the electron at the occurrence of the first dip is  $\lambda=53$  so that  $a \approx 1.2\lambda$  and the case corresponds to that of a small potential range. The harmonics are essentially suppressed for  $V_0=0.003$ , with a very narrow dip at  $X=1.2$ . But at  $V_0=0.012$ , when the harmonics are barely emerging, a new dip structure is developed at  $X=1.4$  while the first dip structure is widened. Both dip structures are quite evident. At  $V_0=0.024$ , the harmonic amplitudes become very large. Similar arguments used for Fig. 2 can be applied here to identify the harmonic peak locations, but we do not repeat the details here.

In Fig. 4, we fix  $V_0$  at 0.012 while varying  $a$ . We note

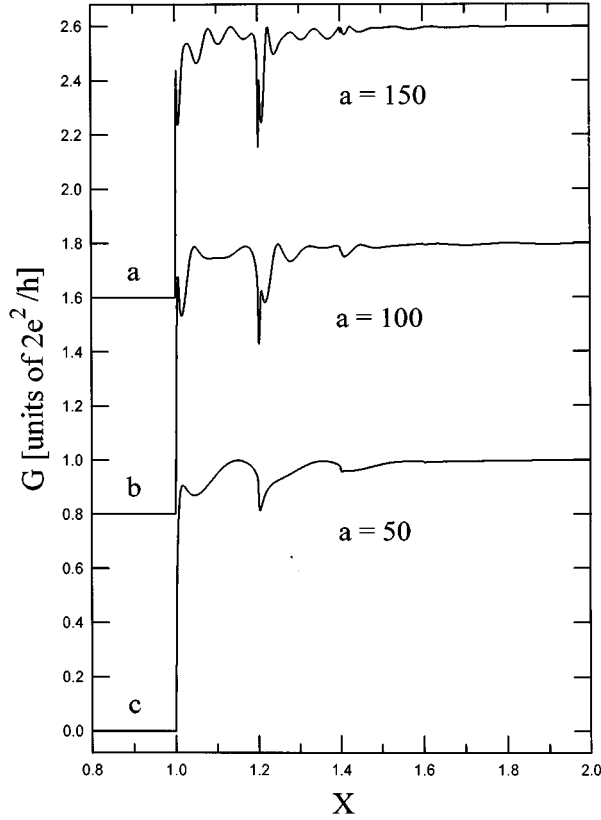


FIG. 4. Conductance  $G$  as a function of  $X$  for  $V_0=0.012$  and  $\omega=0.014$ . The interaction ranges are a,  $a=150$ ; b,  $a=100$ ; and c,  $a=50$ . The curves are vertically offset for clarity. For larger  $a$ , the dip structures become more pronounced, while the widths of the dips are narrower.

that the  $a=50$  result, which corresponds to the case  $a \approx \lambda$ , exhibits the emerging effects of the harmonics and the dip structures are very shallow. Interestingly, for larger  $a$ , the dip structures become more pronounced while the widths of the dips are narrower. The oscillation amplitudes of the harmonics remain essentially of the same order. From the results of Figs. 2–4, we conclude also that the harmonic amplitude in  $G$  is very sensitive to  $V_0$  but much less so to the potential range  $a$ .

In Fig. 5, we present the case for  $a=200$ ,  $V_0=0.012$ , and different  $\omega$  values. In curve a, the dip structures are subjected to the effect of the harmonics, since at the dip location the harmonic amplitude is not that small. However, for curves b and c, the harmonic amplitudes are very small near the location of the dip. We point out also that the electron wavelengths  $\lambda$  near the dip structure in the curves b and c, are 37.6 and 30.7, respectively. Thus, for example, in curve c,  $a \approx 6.5\lambda$  and we are in the very long potential range regime. The quasi-bound-state features are still very clear.

In Fig. 6, we plot the time average of the scattering state spatial probability density for  $a=200$ ,  $V_0=0.012$ ,  $\omega=0.028$ , and  $X=1.399$ . The dip location is at  $X=1.4$ . Our choice of the parameters is near the occurrence condition of the quasi-bound-state. The probability density shows the evanescent nature of the trapped electron. This is demonstrated by the exponential tails, at both edges of the potential, which decay into the regions away from the potential. The electron

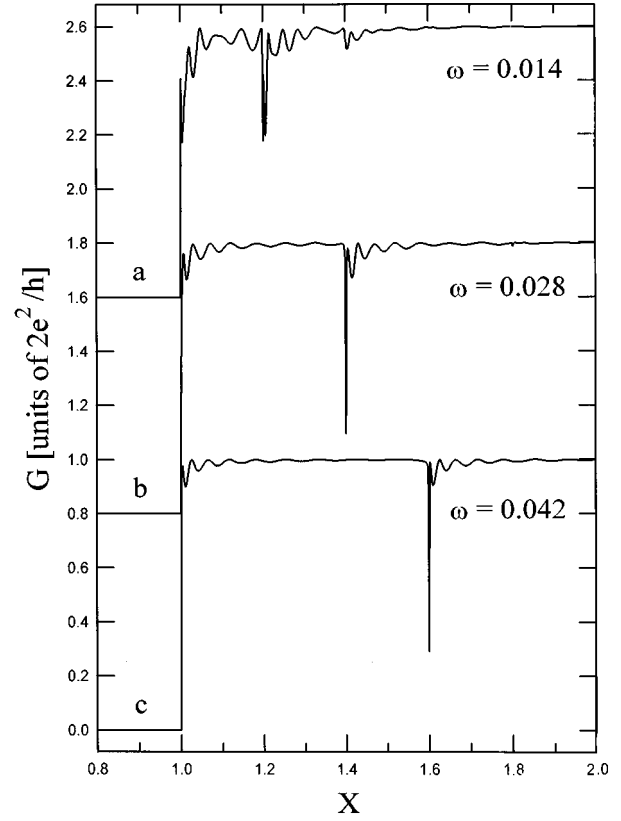


FIG. 5. Conductance  $G$  as a function of  $X$  for long potential range  $a=200$  and  $V_0=0.012$ . The frequencies are a,  $\omega=0.014$ ; b,  $\omega=0.028$ ; and c,  $\omega=0.042$ . The curves are vertically offset for clarity. We can see that the quasi-bound-state features are still very clear for  $a \approx 6.5\lambda$ , at  $X=1.6$ , as shown in curve c, when the *small-harmonic-magnitude* criterion is satisfied.

is assumed to be incident from the left-hand side of the time-modulated potential so that the charge density has a spatial oscillation in the incoming (*source*) region but not in the transmitted (*drain*) region. Furthermore, the higher probability density near the two edges of the finite-range time-modulated potential shows that the quasi-bound-state processes take place more frequently within a distance of order  $\lambda$  from the edges of the profile or at which the spatial variation in the potential occurs. Using this result, the spatial profile of the time-modulated potential in Fig. 2, curve c, can be taken to be adiabatic. This is because the  $V_0=0.008$  is much less than the transverse energy level spacing  $2\omega_y$ , which is equal to 0.07. The dip structure is quite evident even in this situation. This demonstrates that dip structures are expected to be evident even in a time-modulated potential with an adiabatic spatial profile.

#### IV. CONCLUSION

We have solved nonperturbatively the quantum transport in a NC and in the presence of an abrupt-profile time-modulated potential. The scattering process is both inelastic and coherent. We find quasi-bound-state features in all potential ranges, including both the long- and the short-range regimes. The dip structures associated with the quasi-bound-state occur when  $\mu$  is at  $m\hbar\omega$  above the threshold of a

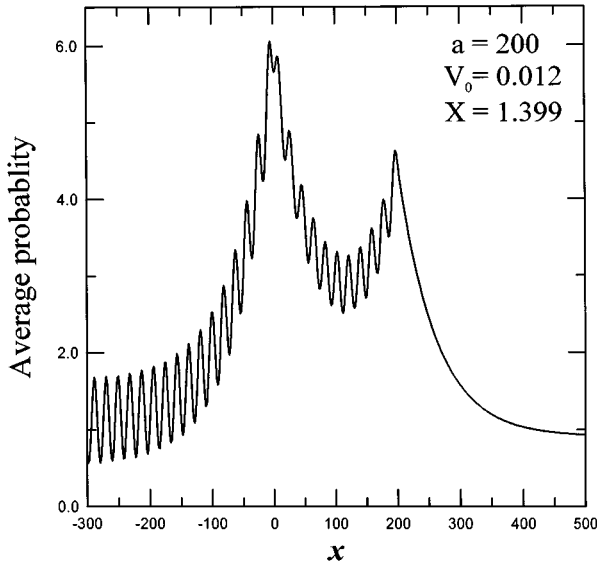


FIG. 6. Time-averaged probability  $\langle |\psi(x,t)|^2 \rangle$  as a function of the longitudinal position  $x$ . The parameters are  $a=200$ ,  $V_0=0.012$ ,  $\omega=0.028$ , and  $X=1.399$ . The probability density peaks near the two edges of the time-modulated potential, at  $x=0$  and 200, which shows that the quasi-bound-state processes take place more frequently within a distance of order  $\lambda$  from the edges of the profile or at which the potential has spatial variations.

subband edge. We find also that the inelastic processes occur more likely in the region when the potential profile varies spatially. In addition, from the results we have not shown here, we find that a one-sideband approximation, in general, violates the conservation of current requirement. Our results show that the violation of the current conservation is serious not only near the first dip structure ( $1 \leq X \leq 1 + \Delta X$ ) but also in all the lower incident energy region ( $1 \leq X \leq 1 + \Delta X$ ).

We have presented arguments for the implications of our abrupt-profile time-modulated potential results to that of a smooth-profile time-modulated potential. We summarize and

supplement our arguments in light of the similarity and the difference between the two potential profiles. The abrupt-profile time-modulated potential and the smooth-profile time-modulated potential are similar in that they both break the longitudinal translational invariance. This allows the electrons to absorb or emit energy in units of  $\hbar\omega$ , for arbitrary  $\omega$ . Consequently, the electrons can make transitions, via inelastic processes, to the quasi-bound-state just beneath a subband edge, giving rise in  $G$  to dip structures. A conclusion drawn from this similarity between the two profiles is that inelastic processes leading to quasi-bound-state features are permitted in both of the profiles.

These two potential profiles are different, however, in that the abrupt-profile potential introduces additional multiple scatterings between the two abrupt edges of the potential and gives rise to harmonics in  $G$ . Due to these additional multiple scatterings, the electrons effectively stay longer within the abrupt-profile time-modulated potential region than within the smooth-profile time-modulated potential region. As a result, the quasi-bound-state features in the former potential profile might be perturbed, being either enhanced or suppressed. By proposing a small-harmonic-magnitude criterion, we attempt to look at cases where the perturbation from the harmonics to the quasi-bound-state features is small. In these cases, when the features of the two profiles are expected to be similar qualitatively, the quasi-bound-state features are found.

In conclusion, the coherent inelastic scattering and the quasi-bound-state features are found in a NC, acted upon by an abrupt-profile time-modulated potential. The features are argued to appear in the case of a smooth-profile time-modulated potential, in particular, and are expected to affect the time-dependent properties of NC, in general.

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- <sup>35</sup>C. S. Chu and C. S. Tang (unpublished). The  $\delta$ -profile time-modulated potential results, however, are given in Ref. 5.