

Characterization of Thin Textured Tunnel Oxide Prepared by Thermal Oxidation of Thin Polysilicon Film on Silicon

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Abstract—In this paper, the characteristics of thin textured tunnel oxide prepared by thermal oxidation of thin polysilicon film on Si substrate (TOPS) are studied. Because of the rapid diffusion of oxygen through the grain boundaries of the thin polysilicon film into the Si substrate and the enhanced oxidation rate at the grain boundaries, the oxidation rate of the TOPS sample is close to that of a normal oxide grown on a (111) Si substrate. Also, a textured Si/SiO₂ interface is obtained. The textured Si/SiO₂ interface results in localized high fields and causes a much higher electron injection rate. The optimum TOPS sample can be obtained by properly oxidizing the stacked α -Si film, independent of the substrate doping level. Also, the optimum TOPS sample exhibits a smaller electron trapping rate and a lower interface state generation rate when compared to the sample from a standard tunnel oxide process. These differences are attributed to a lower bulk electric field and a smaller injection area in the TOPS samples.

I. INTRODUCTION

THIN tunnel oxides ≤ 100 Å or relatively thicker polyoxides ≈ 600 Å are typically used as the tunneling gate dielectrics for electrically erasable programmable read-only memories (EEPROM's) [1]. High density EEPROM's require scaling of the device dimensions as well as the programming voltage [1]. However, to write and erase the cell by a lower voltage, the electron conduction in the tunnel oxide must be enhanced [1]. Scaling down the thin tunnel oxide for lower voltage operation may face limitations in defect density [2], retention due to stress-induced leakage [3] and charge leakage due to direct tunneling [4]. For the thicker polyoxide, which uses the rough polysilicon/oxide interface as an efficient electron injector, the very large electron trapping rate and the writing-erasing memory window closing due to electron trapping will limit the memory endurance [5]. Moreover, the reduction in thickness does not give a proportional reduction in the programming voltage because of the decrease on the electric field enhancement factor with the scaling-down of the thickness of polyoxide [6].

Nozawa *et al.* had reported that tunnel oxides with a lower electron barrier height (≈ 1.8 eV) could be obtained by ther-

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mally oxidizing the heavily implanted substrate ($\geq 10^{20}$ cm⁻³) in a diluted H₂O ambient (H₂O + Ar) [7], [8]. The barrier height lowering effect of tunnel oxides grown on the heavily-doped substrate was attributed to the asperities, traps and arsenic clusters at the Si/SiO₂ interface [8]. However, more recently, Hegarty *et al.* indicated that thermal oxides grown on the heavily-doped substrate would exhibit a very poor charge-to-breakdown (Q_{bd}) characteristics ($\leq 10^{-2}$ C/cm²) and a very high electron trapping rate [9].

Fong *et al.* had recently reported that thermal oxide (≈ 230 Å) grown on a textured single-crystal silicon substrate (TSC-oxide) could exhibit a remarkable field enhancement while possessing a good reliability and endurance characteristics [10]–[12]. The TSC oxide exhibits a lower electron trapping rate than the thick polyoxide and a better charge-to-breakdown (Q_{bd}) characteristics than the normal oxide. However, the optimum texturization process must be performed by plasma etching or reactive ion etching (RIE) the As⁺ or Si⁺ implanted substrate through a sacrificial oxide and the etched depth must be at least 500 Å [10]–[13]. Otherwise, the value of Q_{bd} becomes very poor [10]. Also, the field enhancement factor decreases as the TSC oxide thickness decreases [13].

Recently, it was proposed that an ultra-thin textured tunnel oxide (≤ 100 Å) can be prepared by thermally oxidizing a thin polysilicon film on silicon substrate (TOPS) [14]. Due to the rapid diffusion of oxygen through the grain boundaries of thin polysilicon film into the Si substrate and the enhanced oxidation rate at the grain boundaries, a textured Si/SiO₂ interface is obtained [14]. The textured interface results in localized high fields and enhances the electron injection into TOPS. Hence, the TOPS sample exhibits a much higher electron conduction efficiency and a much lower electron trapping rate as compared to the normal tunnel oxide, in spite of the substrate doping level [14]. This recipe can also be applied to prepare an ultra-thin textured polyoxide (≤ 100 Å) with a much higher electron conduction efficiency and a much lower electron trapping rate than the normal polyoxide [15]. In this paper, the characteristics of TOPS are systematically studied in terms of the doping level of substrate, the thickness of stacked polysilicon film, and the oxidation temperature and time. The oxidation kinetics of TOPS is also studied.

II. EXPERIMENTAL PROCEDURES

Fig. 1 shows the schematic process of the ultra-thin textured tunnel oxide capacitors. The starting material was the *n*-type

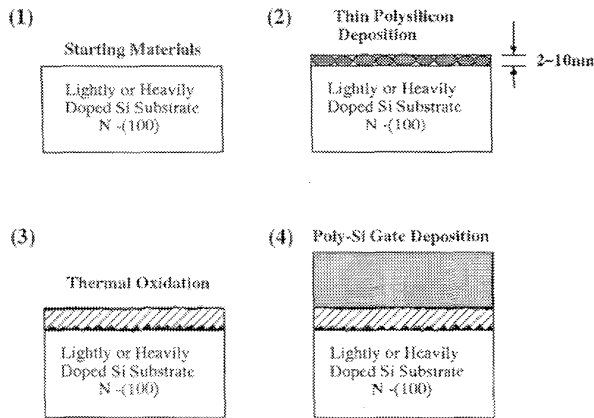


Fig. 1. The fabrication procedures of TOPS.

0.55–1.1 $\Omega\text{-cm}$ and 8–12 $\Omega\text{-cm}$ Si wafers. Some wafers were doped by arsenic ion implantations at 80 keV with doses ranging from 1×10^{12} to $5 \times 10^{15} \text{ cm}^{-2}$ through a 300 Å pad oxide followed by annealed at 900°C for 40 min in an N_2 ambient or at 100 keV with a dose of $5 \times 10^{15} \text{ cm}^{-2}$ through a 500 Å pad oxide followed by annealed at 1000°C for 60 min in an N_2 ambient. After removing the surface pad oxide, very thin amorphous silicon ($\alpha\text{-Si}$) films (20 to 100 Å) which were estimated by the product of the deposition rate and time, were deposited on Si wafers at 550°C by using an LPCVD system. In this study, the deposition rate and deposition pressure of $\alpha\text{-Si}$ were controlled at about 20 Å/min and 150 mtorr, respectively. After the $\alpha\text{-Si}$ film deposition, the wafers were loaded into a furnace at 600°C in an N_2 ambient to reduce the thermal stress and minimize the native oxide growth. The temperature of the furnace was then gradually raised to 900°C in an N_2 ambient. During the temperature ramp-up step, the $\alpha\text{-Si}$ films were crystallized into polysilicon. Then, thin tunnel oxides (78 to 160 Å) were grown by thermal oxidation of the thin polysilicon films at 900°C in a dry O_2 ambient. An LPCVD polysilicon film with a thickness of 3000 Å was immediately deposited and then doped with a POCl_3 source to the sheet resistance of about 20 Ω/\square . For comparison, the normal oxides were also made with a similar thickness as that of the TOPS samples.

The effective oxide thickness of the TOPS sample was determined by the high-frequency C-V measurement (100 kHz or 1 MHz). The cross-section transmission electron microscopy (TEM) was used to examine the morphologies of the Si/SiO₂ interface. The I-V characteristics and time-to-dielectric-breakdown characteristics were measured by using an HP4145B semiconductor parameter analyzer. The C-V characteristics were measured by using the Keithley 590 and 595 C-V analyzers.

III. RESULTS AND DISCUSSIONS

A. The Structure Morphology of TOPS

Fig. 2(a) and (b) show the cross-sectional TEM micrographs of the TOPS sample grown on the unimplanted and heavily-

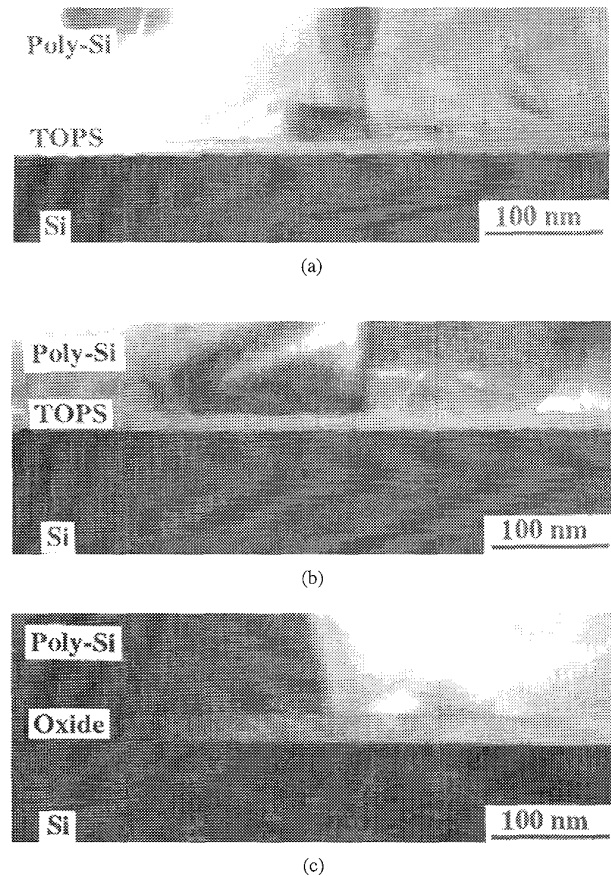


Fig. 2. The TEM micrographs of the cross-sectional view of (a) TOPS grown on the unimplanted substrate; (b) TOPS grown on the heavily-implanted substrate; and (c) normal oxide grown on the heavily-implanted substrate. The substrate was doped by the arsenic implantation at 100 keV with a dose of $5 \times 10^{15} \text{ cm}^{-2}$.

implanted substrate which was doped by arsenic implantation at 100 keV with a dose of $5 \times 10^{15} \text{ cm}^{-2}$. Fig. 2(c) shows the similar TEM micrograph of the normal oxide grown on the heavily-implanted substrate. The thickness of the stacked $\alpha\text{-Si}$ film was about 50 Å and the oxidation process was performed at 900°C for 12.5 min in a dry O_2 ambient. Due to the rapid diffusion of oxygen through the grain boundaries of the thin polysilicon into the Si substrate and the enhanced oxidation rate at grain boundaries, a textured Si/SiO₂ interface was formed, regardless of the substrate doping level, as shown Fig. 2(a) and (b). However, for the normal oxide, the Si/SiO₂ interface is relatively smooth even when the substrate was heavily-doped, as shown in Fig. 2(c). The texturized interface will result in localized high fields and subsequently enhance the electron injection from the substrate into oxide. Also, due to the localized thinning effect at the Si/SiO₂ interface, the TOPS sample exhibits a much higher electron injection efficiency, a significantly lower charge trapping rate, and a substantially larger value of Q_{bd} than does the normal oxide.

In Fig. 2(a) and (b), it is interesting to note that the degree of the texturization of the Si/SiO₂ interface (bottom interface) is larger than that of the poly-Si/SiO₂ interface (top interface).

This texturization difference will result in a significant effect on the electron injection efficiency which will be discussed later.

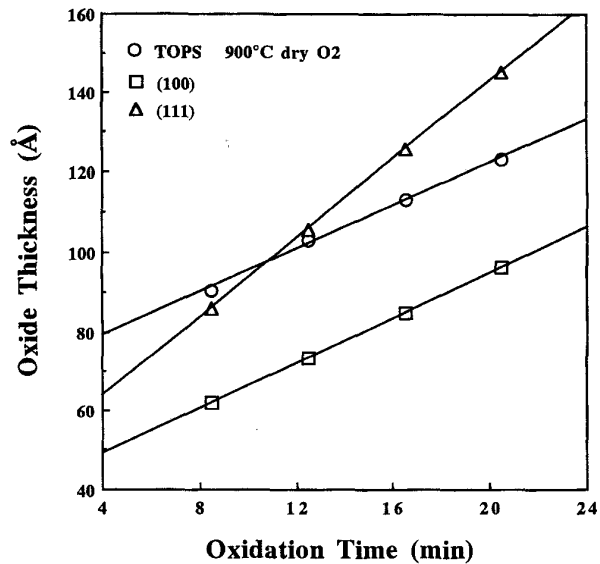
B. The Oxidation Kinetics of TOPS

Due to the rapid diffusion of oxygen through the grain boundaries of the thin polysilicon into the Si substrate and the enhanced oxidation rate at the grain boundaries, the effective oxide thickness of TOPS is larger than that of the normal oxide. Fig. 3(a) shows the oxidation rates of TOPS grown on the (100) substrate and the normal oxides grown on the (100) and (111) substrates, respectively. For the TOPS samples, the thickness of the stacked α -Si was about 50 Å. All wafers were oxidized at 900°C in a dry O₂ ambient. It is seen that the oxidation rate of the TOPS sample is close to that of the normal oxide grown on the (111) substrate. At the initial oxidation stage (≤ 10 min), the oxidation rate of TOPS sample is even larger than that of the normal oxide grown on the (111) substrate.

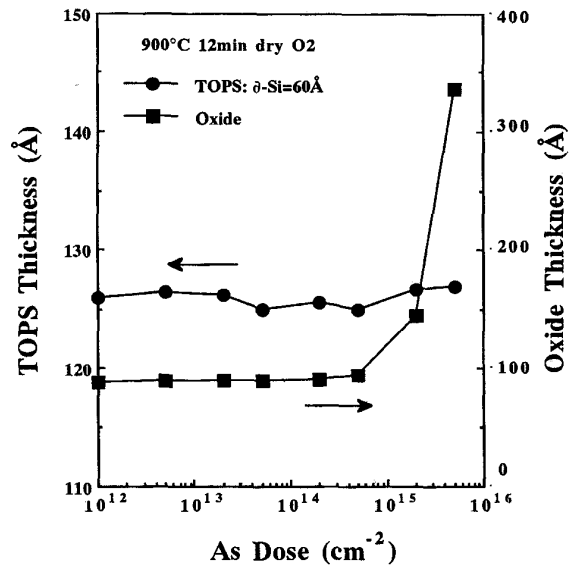
Fig. 3(b) shows the curves of the effective oxide thickness versus the implanted As⁺ dosages ranging from 1×10^{12} cm⁻² to 5×10^{15} cm⁻² of TOPS and normal oxide. The thickness of stacked α -Si was about 60 Å and the oxidation process was performed at 900°C for 12 min in a dry O₂ ambient. It is seen that the effective oxide thickness of the TOPS samples is larger than that of the normal oxides until the implanted As⁺ dosage is up to 2×10^{15} cm⁻². However, the thickness of the normal oxide grown on the heavier As⁺ implanted substrate (As⁺ dose = 5×10^{15} cm⁻²) is about a factor of two greater than that of the TOPS sample. It is noted that the oxidation rate of TOPS is nearly independent of the substrate doping level, since the undoped α -Si film is oxidizing. However, the oxidation rate of the normal oxide increases drastically as the As⁺ implanted dosage is more than 5×10^{15} cm⁻².

Fig. 4(a) shows the curve of the effective oxide thickness versus the α -Si thicknesses ranging from about 20 to 80 Å for TOPS grown on the unimplanted substrate. The samples were thermally oxidized at 900°C for 12.5 min in a dry O₂ ambient. It is seen that the effective oxide thickness for all TOPS samples is larger than that of the normal oxides. Also, the effective oxide thickness of the TOPS sample increases with the α -Si thickness until the thickness of α -Si film is more than 60 Å. This may be explained by the fact that the crystallization rate of the thinner α -Si film is larger than the thicker one [16]. This caused a reduced grain boundary region within the thinner polysilicon film and thus the oxidation rate was smaller than the thicker polysilicon film. Also, as the polysilicon film becomes completely consumed, the oxidation rate approaches that of the substrate. Thus, the effective oxide thickness of the TOPS sample with a thinner α -Si film is less than that of the TOPS sample with a thicker α -Si film.

Fig. 4(b) shows the plot of the effective oxide thickness versus the stacked α -Si thickness of 0, 50, 75, and 100 Å for TOPS grown on the heavily-doped substrate (As⁺ dose = 5×10^{15} cm⁻²). The oxidation process was performed at 900°C for 12.5 min in a dry O₂ ambient. It is noted that the thickness of the normal heavily-doped oxide is much



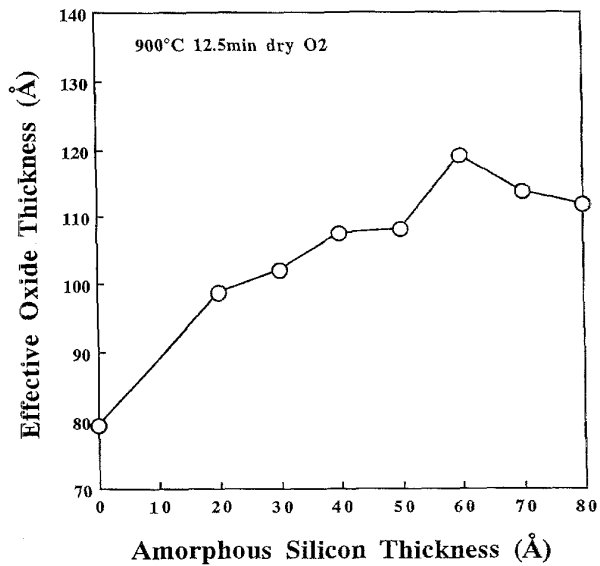
(a)



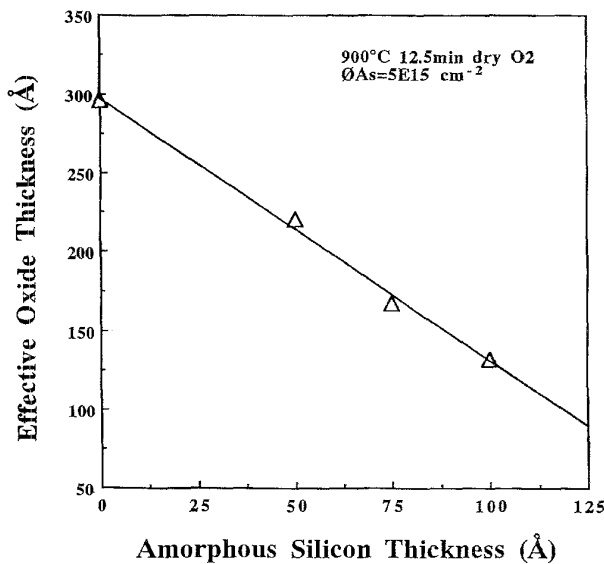
(b)

Fig. 3. (a) The oxidation rate of TOPS grown on the (100) substrate and the normal oxides grown on the (100) and (111) substrate. The α -Si thickness is of about 50 Å and the oxidation process is performed at 900°C in a dry O₂ ambient. (b) Effective oxide thickness versus the implanted As dosages ranging from 1×10^{12} cm⁻² to 5×10^{15} cm⁻² of TOPS and normal oxide. The Si substrate was doped by arsenic ion implantation at 80 keV through a pad oxide of 300-Å-thick followed by annealing at 900°C for 40 min in an N₂ ambient. The α -Si thickness is approximately 60 Å and the oxidation process is performed at 900°C for 12 min in a dry O₂ ambient.

larger than that of the heavily-doped TOPS samples. This is consistent with the case of Fig. 3(b) and may be attributed to the heavy-doping-induced oxidation rate [10]. Assume the rule that for the formation of an oxide layer of a thickness d_o , a thickness of $0.44d_o$ of the Si layer must be consumed [17] can be applied to TOPS, then, in Fig. 4(b), the TOPS sample with a stacked α -Si film of 50-Å-thick was overoxidized



(a)



(b)

Fig. 4. (a) Effective oxide thickness versus the stacked α -Si thicknesses ranging from about 20 to 80 Å for T OPS grown on the unimplanted substrate. The oxidation process is performed at 900°C for 12.5 min in a dry O_2 ambient. (b) Effective oxide thickness versus the stacked α -Si thickness of 0, 50, 75, and 100 Å for T OPS grown on the heavily-doped substrate. The substrate was doped by arsenic ion implantation at 100 keV with a dose of $5 \times 10^{15} \text{ cm}^{-2}$ through a pad oxide of 500-Å-thick followed by annealing at 1000°C for 60 min in an N_2 ambient. The oxides were thermally grown at 900°C for 12.5 min in a dry O_2 ambient.

and the T OPS sample with a stacked α -Si film of 100-Å-thick was underoxidized. Since the effective oxide thickness of the T OPS sample with a stacked α -Si film of 75 Å-thick was about 160 Å, implying that the 75 Å α -Si was entirely consumed into a oxide for this particular oxidation time and temperature, it is therefore defined as “justly oxidized”. As it will be shown later, the justly oxidized T OPS sample has the optimum electrical characteristics.

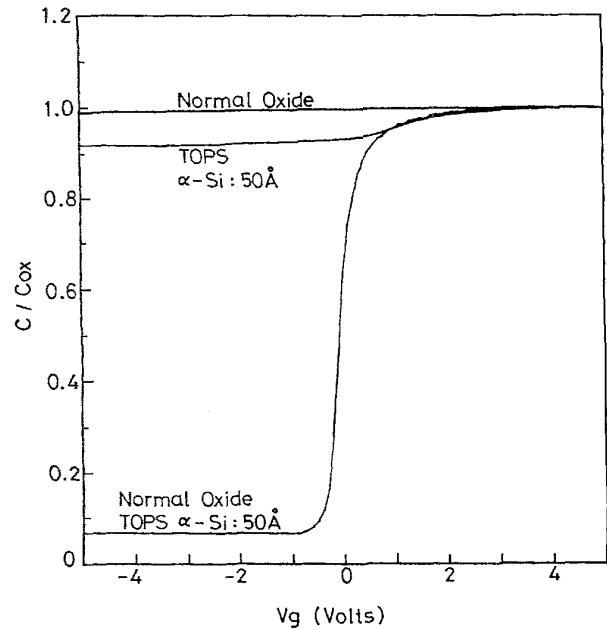


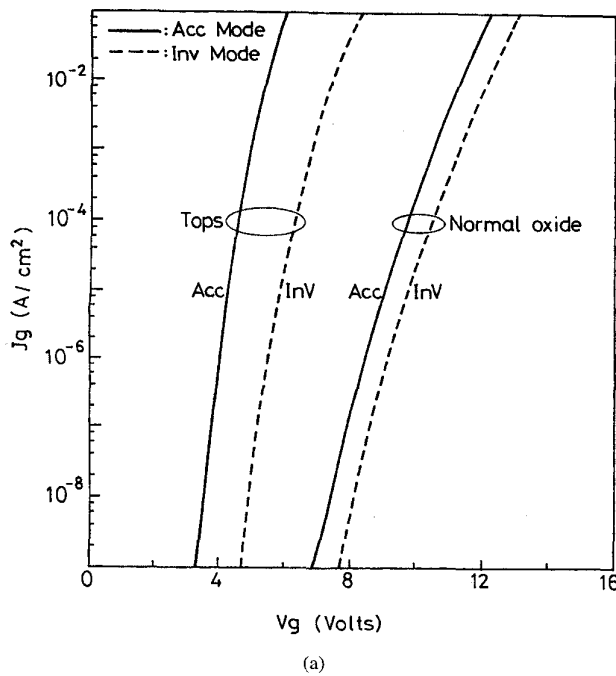
Fig. 5. The normalized C-V curves of T OPS and normal oxide grown on the unimplanted substrate and the heavily-doped substrate (As^+ dose = $5 \times 10^{15} \text{ cm}^{-2}$). The C-V curves for the T OPS and normal oxide grown on the unimplantare are almost coincided. However, the C-V curves for the T OPS and normal oxide grown on the heavily-doped substrate are quite different.

C. The C-V Characteristics of T OPS

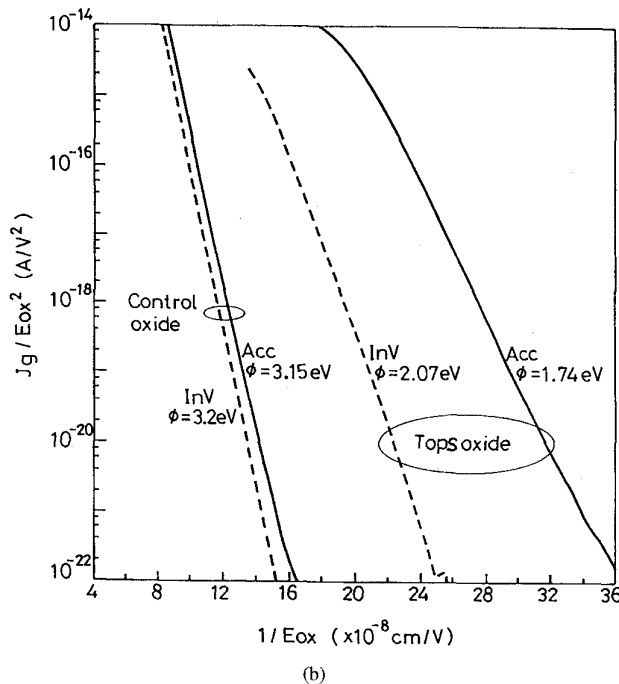
Fig. 5 shows the normalized C-V curves of T OPS and normal oxide grown on the unimplanted substrate and the heavily-implanted substrate (arsenic ion implantation at 100 keV with a dose of $5 \times 10^{15} \text{ cm}^{-2}$). The thickness of the stacked α -Si film was about 50 Å and the oxidation process was performed at 900°C in dry O_2 ambient for 12 min. It is seen that for the samples grown on the heavily-doped substrate, the depletion capacitance of the T OPS capacitor is significantly less than that of the normal oxide capacitor. This implies that the substrate surface concentration of the T OPS capacitor is less than that of the normal oxide capacitor [17]. Since the substrate doping level prior to the oxidation process is the same for both samples, the difference in the C-V curves may be due to the thermal oxidation of the stacked α -Si film induced a doping concentration change at the Si surface (near the Si/SiO₂ interface).

D. The I-V Characteristics of T OPS

Fig. 6(a) shows the J_g - V_g characteristics of the accumulation mode and the inversion mode of the T OPS and normal oxide capacitors with the effective oxide thickness of about 110 Å, respectively. The stacked α -Si thickness of the T OPS capacitor was about 50 Å. It is seen that the electron injection efficiency of the T OPS capacitor is much higher than that of the normal oxide capacitor for both the injection polarities. For example, at the current density of 10 mA/cm² of the accumulation mode J_g - V_g characteristics, which is the typical current density to rapidly charge the floating gate [12], the T OPS capacitor with the textured Si/SiO₂ interface reduces



(a)



(b)

Fig. 6. (a) The accumulation mode and inversion mode J_g-V_g characteristics of TOPS and normal oxide capacitors with the effective oxide thickness of about 110 Å, respectively. The stacked α -Si thickness of the TOPS capacitor was about 50 Å. (b) The corresponding J_g/E_{ox}^2 versus $1/E_{ox}$ plots of TOPS and normal oxide capacitors as shown in (a).

the gate voltage from 11.2 V for the normal oxide capacitor to 5.5 V. The enhanced electron injection efficiency is believed due to the localized high fields induced by the textured Si/SiO₂ interface, similar to the operation of the polyoxide [5] and the TSC oxide [12].

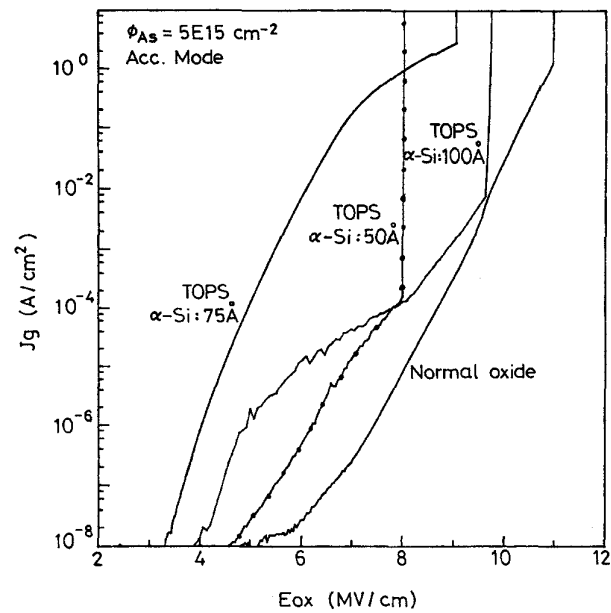


Fig. 7. The the accumulation mode J_g-E_{ox} characteristics of TOPS samples grown on the heavily-doped substrate with four different stacked α -Si thicknesses of Fig. 4(b).

As shown in Fig. 2(a) and (b), the degree of the texturization of the bottom Si/SiO₂ interface is larger than that of the top Si/SiO₂ interface. Hence, as shown in Fig. 6(a), the electrons injection efficiency form the bottom interface into TOPS (accumulation mode) is higher than that from the top interface (inversion mode). That is, the effective electron barrier height (ϕ_{bn}) of the TOPS capacitor for electrons injection from the bottom interface is smaller than that from the top interface. Fig. 6(b) shows the J_g/E_{ox}^2 versus $1/E_{ox}$ plots (Fowler-Nordheim, F-N, plots) of the TOPS and normal oxide capacitors derived from Fig. 6(a), respectively. The deduced values of the effective electron barrier height, ϕ_{bn} , in the accumulation mode J_g-V_g characteristics are about 1.74 and 3.15 eV for the TOPS and J_g-V_g characteristics, they are about 2.07 and 3.20 eV for the TOPS and normal oxide capacitors, respectively.

Fig. 7 shows the accumulation mode J_g-E_{ox} characteristics of the TOPS samples grown on the heavily-doped substrate with four different stacked α -Si thicknesses of Fig. 4(b). It is seen that the 160-Å-thick TOPS sample formed by thermally oxidizing the 75 Å-thick α -Si has the largest electron injection efficiency and the largest tunneling current at the onset of dielectric breakdown. For the overoxidized and underoxidized TOPS samples, the J_g-E_{ox} characteristics become anomalous and the tunneling current at the onset of dielectric breakdown is very small. Thus, to obtain an optimum TOPS characteristics, the stacked α -Si film must be just converted into oxide.

E. The Charge Trapping Behavior of TOPS

It has been reported that the TOPS sample has a better immunity to the hot electron stressing than the normal oxide, due to its lower bulk electric field induced by the textured

Si/SiO₂ interface [14]. In this section, we used the bidirectional I-V measurement [18] to study the trapped charge density and the trapped charge centroid. The F-N tunneling technique was used to study the trapped charge behavior. Positive gate bias stressing was applied to the TOPS sample and the normal oxide by holding the tunneling current constant at 10 nA. The injection current was fixed at 10 mA/cm² and the area of the device was 2.6×10^{-4} cm². Fig. 8(a) shows the curves of the gate voltage shift versus the stressing time of the TOPS sample and the normal oxide with the effective oxide thickness of about 110 Å. It is seen that the value of $\Delta V_g(+)$, the gate voltage shift for the positive polarity, of the TOPS sample is slightly larger than that of the normal oxide at the initial stressing stage. This may be due to the fact that the TOPS sample has a larger interface state density and pre-existing electron trapping states than does the normal oxide due to the textured Si/SiO₂ interface [14]. However, as the stressing time increases, the value of $\Delta V_g(+)$ of the TOPS sample becomes smaller than that of the normal oxide. This may be due to the TOPS sample having less impact ionization rate due to the lower bulk electric field as indicated by Fong *et al.* [12]. In contrast, the $\Delta V_g(-)$, the gate voltage shift for the negative polarity of the TOPS sample is much less than that of the normal oxide, especially after a long time stressing. Since both oxides have the same polysilicon deposition and doping condition, the pre-existing electron trapping states at the top interface for both oxides are similar. Hence, it is believed due to the lower bulk electric field for the TOPS sample, where less electron-hole pairs and trapping states were generated. Combining $\Delta V_g(+)$ and $\Delta V_g(-)$, one can determine the trapped charge density and the trapped centroid [18], as shown in Fig. 8(b) and (c), respectively. It is seen that the net trapped charge density of the TOPS sample is less than that of the normal oxide even for the TOPS sample with a textured Si/SiO₂ interface, which has been attributed to be the major reason for a very large electron trapping for polyoxide [19]. The centroid of the trapped charges of the TOPS sample is at a distance of about 25 Å away from the bottom interface (the cathode of injection), while that of the normal oxide is found to be about 40 Å close to the top interface (anode). The centroid of the normal oxide is consistent to the result of the previous paper [20].

F. Time-Dependent-Dielectric-Breakdown (TDDB) of TOPS

Fig. 9(a) shows the charge-to-breakdown (Q_{bd}) histograms of the TOPS sample and the normal oxide of Fig. 6(a). The area of the testing device is 4.64×10^{-3} cm² and the stressing current is 10 mA/cm². It is seen that about 35% of the normal oxide capacitors have Q_{bd} less than 1 C/cm², while nearly all the 100 TOPS capacitors have Q_{bd} larger than 1 C/cm². Furthermore, more than 50% of the TOPS capacitors have Q_{bd} larger than 10 C/cm². Fig. 9(b) shows the Q_{bd} histograms of TOPS and normal oxide grown on the heavily-doped substrate (As⁺ dose = 5×10^{15} cm⁻²). The area of the testing device is 2.6×10^{-4} cm² and the stressing current is 10 mA/cm². It is noted that none of the TOPS capacitors fail before 0.1 C/cm². The significant difference in Q_{bd} may be explained

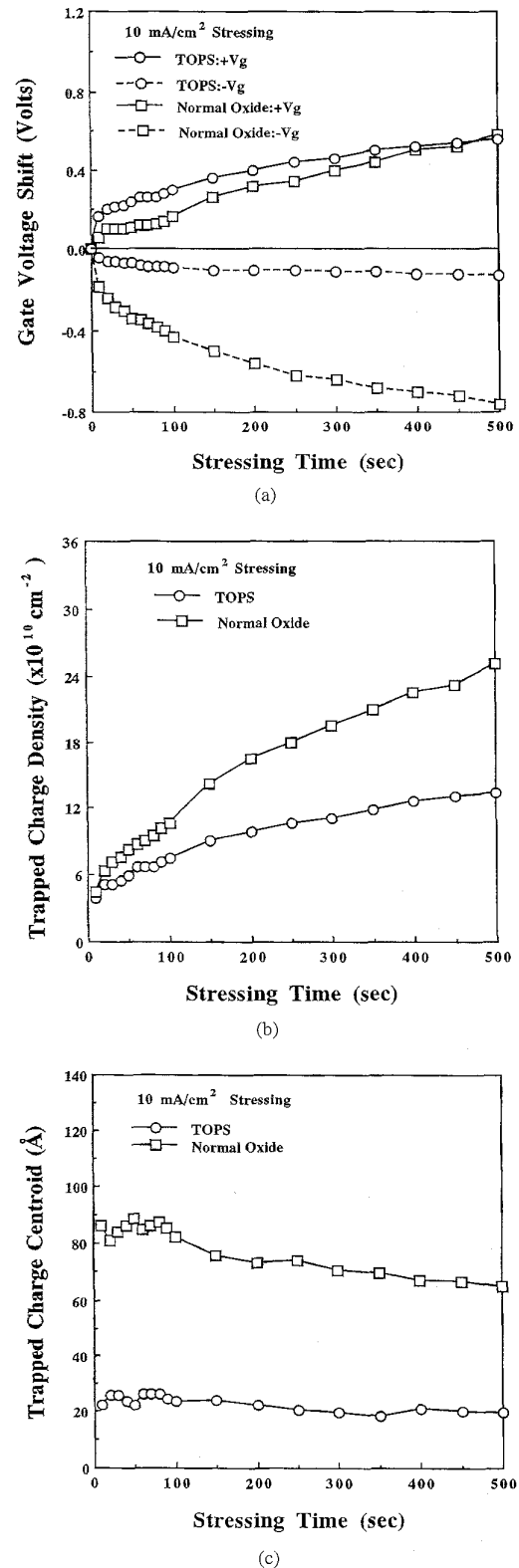


Fig. 8. (a) The curves of the gate voltage shift in both the $+V_g$ and $-V_g$ directions versus the stressing time for TOPS and normal oxide capacitors under a constant current of 10 mA/cm² stressing. The injection polarity is in the accumulation mode. (b) The curves of the trapped charge density and (c) the trapped charge centroid versus the stressing time derived from (a), respectively.

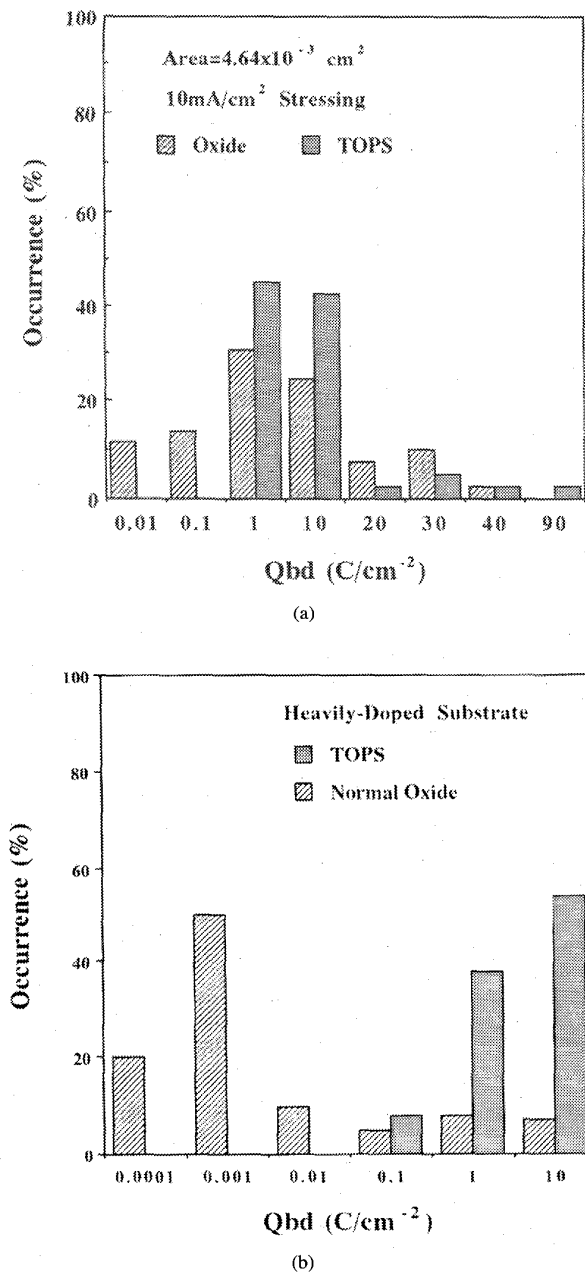


Fig. 9. (a) The Q_{bd} histograms of the TOPS sample and the normal oxide shown in Fig. 6. The area of the testing device is 4.64×10^{-3} cm² and the stressing current is 10 mA/cm². (b) The Q_{bd} histograms of the TOPS sample and the normal oxide grown on the heavily-doped substrate (As⁺ dose = 5×10^{15} cm⁻²) under a constant of 10 mA/cm² stressing.

by the lower bulk electric field for TOPS, which results in a reduction of the hole generation rate [12].

IV. CONCLUSION

In this paper, the characteristics of thin textured tunnel oxide prepared by thermal oxidation of thin polysilicon film on Si substrate (TOPS) have been studied. For TOPS, due to the rapid diffusion of oxygen through the grain boundaries of the thin polysilicon film into the Si substrate and the enhanced

oxidation rate at the grain boundaries, a textured Si/SiO₂ interface is obtained. The oxidation rate of TOPS is close to that of normal oxide grown on a (111) Si substrate. The oxidation rate of TOPS also increases with the increase of the stacked α -Si thickness.

Since the textured Si/SiO₂ interface results in localized high fields, the electron injection efficiency of TOPS is much higher than that of the normal oxide for both the injection polarities. For example, in the accumulation mode I-V characteristics, the electric field at a current density of 10 mA/cm² is reduced from about 10 MV/cm for the normal oxide to about 5 MV/cm for TOPS. It has also been found that the optimum TOPS sample can be obtained by justly oxidizing the stacked α -Si film.

Due to the lower bulk electric field and the smaller injection area, the optimum TOPS sample exhibits a smaller electron trapping rate and a lower interface state generation rate even under high current stressing. The value of Q_{bd} of the TOPS sample is also much larger than that of the normal oxide. The high electron injection efficiency and the low charge trapping rate are found to be independent of the Si substrate doping level, if the stacked α -Si layer has been completely or nearly completely converted into oxide.

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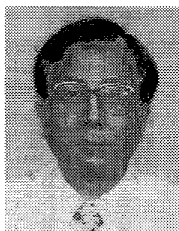
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