# Well Aligned Half-V Ferroelectric Liquid Crystal in Asymmetrical Surface Polarity Controlled Alignment Cells

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#### **ABSTRACT**

Ferroelectric liquid crystals (FLCs) were known to possess fast response time under 1 ms. The low contrast ratio yielding from defect alignment, however, limited their display application. Based on FLC elastic free energy, the asymmetrical surface polarity controlled alignment was able to suppress the horizontal chevron defects in a half V-shaped switching FLC cell. It is due to the FLC's spontaneous polarization (Ps) pointed to one direction inducing by the opposite surface polarity in asymmetrical hybrid cell. The experimental approach of different alignment materials and different strengths of surface anchoring energies were evaluated in this study. The highest contrast ratio of 780:1 at saturation voltage under 5 V was obtained. The asymmetrical surface polarity controlled alignment technique provided a promising FLC well alignment and fast switching result for TFT-LCD application.

Keywords - Half-V mode FLC, ferroelectric liquid crystal, alignment defect, horizontal chevron defect

## 1. INTRODUCTION

Ferroelectric liquid crystal (FLC) device was first realized by Clark and Lagerwall in 1980 [1]. They proposed surface-stabilized ferroelectric liquid crystal (SSFLC) with the distinctiveness of sub-millisecond response time and bistability, which had attracted great attentions to explore numerous applications in fast response optical devices. The high driving voltage, alignment defect, and lacking of continuous gray scales limit FLCs' application for high resolution displays. In order to overcome the gray scales capability, many FLC modes were developed base on the analogue modulation of their optical axis [2-8]. Among these attempts, the half-V mode FLC (HV-FLC), possessing N\*-SmC\* phase sequence, provides the intrinsic continuous gray scales which is suitable for the driving using active matrix thin-film-transistor (AM TFT) as backplane [7-8]. However, the horizontal chevron alignment defect is the major drawback which remains to be solved in HV-FLC devices. The defect is due to the FLC's molecular spontaneous polarization (P<sub>S</sub>) up and down domains are both presented under cooling process from its N\*-SmC\* phase [9, 10], as shown in Figure 1. The origin of the horizontal chevron alignment defect can be explained from the physical aspect of the FLC's free energy [11]. The total free energy per unit area of P<sub>S</sub> up and P<sub>S</sub> down domains can be expressed as in Eq. (1):

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$$F = \frac{2\pi^2 d}{p^2} \sin^2 \theta \left( K_2 \sin^2 \theta + K_3 \cos^2 \theta \right) + \sin^2 \theta (\gamma_1^{(t)} \sin^2 \phi + \gamma_1^{(b)} \sin^2 \phi) \mp (\gamma_2^{(t)} - \gamma_2^{(b)}) \cos \phi \tag{1}$$

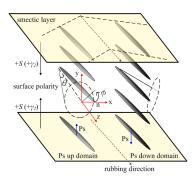


Figure 1. The coordinate system of a HV-FLC cell.

The total free energy of H-V FLC is the summation of FLC's elastic free energy, non-polar surface energy, and polar surface energy terms. A perfect model of uniform state can be expressed when all FLC's spontaneous polarization fall into a uniform direction at the lowest free energy obtained by optimizing FLC's pitch length (p), cell gap (d), cone angle ( $\theta$ ), elastic constants (K), and the surface interaction coefficients ( $\gamma$ ) [12]. The (-) and (+) signs are  $P_S$  up and  $P_S$  down domains in the polar surface energy term presenting in the horizon chevron defect, respectively. The  $P_S$  up and  $P_S$  down domains co-exist due to the same minimum total free energy in symmetric cell, which has the same polar surface interaction coefficients, i.e.  $\gamma_2^{(r)} = \gamma_2^{(b)}$ . As a result, a defect free alignment texture can be achieved when FLC's spontaneous polarization oriented itself at the same direction. In this study, the asymmetric alignment techniques were applied to control surface polarity [11] and the anchoring energy [13]. The difference of the polar surface energy term,  $(\gamma_2^{(r)} - \gamma_2^{(b)})$ , holds the key to degenerate a lower level of FLC's free energy in the asymmetric alignment surfaces (i.e.  $\gamma_2^{(r)} \neq \gamma_2^{(b)}$ ) according to Eq. (1). Two approaches for asymmetrical alignment conditions: (1) change the sign of  $\gamma_2^{(b)}$ , which can be approached by opposite surface polarity; and (2) prepare the difference value between  $\gamma_2^{(r)}$  and  $\gamma_2^{(b)}$  by controlling the alignment strength.

## 2. EXPERIMENTS

H-V FLC materials, R2301 (*Iso* 86.8 *N*\* 64.7 *SmC*\*, Ps=4 nC/cm², AZ Electronic Materials) and R3206 (*Iso* 109.9 *N*\* 79.5 *SmC*\* -17.9 *Cr*, P<sub>S</sub>=20.1 nC/cm², V<sub>sat.</sub> at 3.5V, AZ Electronic Materials) were utilized in this research. A series of R3206H (*Iso* 110.7 *N* 73.8 *SmC* -29.7 *C*) was mixed into R3206 at different weight percentages to prepare R3206-0, R3206-80, R3206-70, and R3206-50. The cell gap was controlled by 1.5 μm spacer. The polar anchoring energy of rubbed polyimide (PIA-X201-G01, Chisso) is in the order of 10<sup>-4</sup> J/m², and the plasma treated PI surfaces is 10<sup>-5</sup> J/m² [13]. The surface polarities of alignment layers were determined by the cross rubbed cell [14]. Positive surface polarity materials, such as poly vinyl alcohol (PVA), hexamethyldisilizane (HMDS), and Nylon 6 were applied. The negative surface polarity material, such as polyimide, was applied for the experimental comparison. The polarizing optical microscope (POM) pictures of each sample were captured with the magnifications of 100X. The spontaneous polarization was characterized by liquid crystal analysis system (LCAS-1, from LC Vision) under 5 μm pre-made cells (purchased from LC Vision).

### 3. RESULTS AND DISCUSSION

Most of liquid crystal cells are made of the same alignment material, such as polyimide.  $P_S$  up and  $P_S$  down domains can coexist under symmetric alignment condition, as shown in Figure 1. The first attempt was change the direction of one surface polarity. LC alignment textures of H-V FLC materials, R3206-0, -80, -70, and -50, in the PI coated symmetric cells were captured under polarizing optical microscope (POM), as shown in Figure 2(a-d), respectively. The opposite surface polarity alignment layers, PVA and PI, were use to prepare asymmetric cells. The horizontal chevron defects of R3206-50 and R2301 were both eliminated in the PVA and PI hybrid cell, as shown in Fig. 3(a) and (b), respectively. The alignment of R3206-50 and R2301 were greatly improved by the asymmetric cells compared to the original symmetric cell structure. Furthermore, different alignment layers were utilized to verify surface polarity controlled in the asymmetric cell structure. Positive polarity alignment layers, such as HMDS and Nylon 6, were applied to assemble the asymmetric cell with PI. Both HMDS and Nylon 6 hybrid cells successfully suppressed the horizontal chevron defects of R2301 as shown in Fig. 3 (c) and (d), respectively.

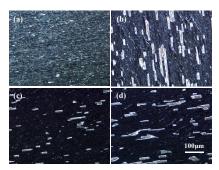


Figure 2. Polarizing optical micrographs of (a) R3206-0, (b) R3206-80 (c) R3206-70, and (d) R3206-50 in the 1.8±0.1µm PI cells.

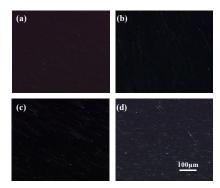


Figure 3. Alignment textures of (a) R3206-50 in PI-PVA cell; and R2301 in (b) PI-PVA cell, (c) HMDS-PI asymmetric cell, and (d) Nylon 6-PI asymmetric cell.

The surface polarity,  $\gamma_2$ , is able to be altered by different alignment techniques. By controlling the surface polarity and polar anchoring energy, the  $(\gamma_2^{(t)} - \gamma_2^{(b)})$  value was increased progressively under the asymmetric alignment conditions from  $(\gamma_{2strong}^{(t)}, \gamma_{2weak}^{(b)})$ ,  $(-\gamma_{2strong}^{(t)}, \gamma_{2weak}^{(b)})$ , to  $(-\gamma_{2strong}^{(t)}, \gamma_{2strong}^{(b)})$ . The photos of the R3206-50's defect textures under different asymmetric alignment conditions and various cooling rates were captured under polarizing optical microscope (POM). The cooling rate was controlled by hot stage (FP82HT, METTLER TOLEDO).

The alignment abilities of asymmetric cells,  $PI_{rub}$ - $PI_{plasma}$  (i.e. rubbed PI and plasma treated PI surfaces),  $PVA_{rub}$ - $PI_{plasma}$ , and  $PVA_{rub}$ - $PI_{rub}$  with progressively increased ( $\gamma_2^{(f)} - \gamma_2^{(b)}$ ) values, were evaluated by the alignment defects generated at various cooling rate. Base on Eq. (1), H-V FLC molecules tend to align as mono-domain texture when increasing the ( $\gamma_2^{(f)} - \gamma_2^{(b)}$ ) value. Under the smallest cooling rate at 0.1 °C/min., the horizontal chevron defect was suppressed in the asymmetric cell, as shown in Figure 4(a). The horizontal chevron defect was presented in the  $PI_{rub}$ - $PI_{plasma}$  cell when the cooling rate increased to 1 °C/min, as shown in Figure 4(b). Under the cooling rate at 2 °C/min., the light leakage showed in the  $PVA_{rub}$ - $PI_{plasma}$  cell, as in Figure 5(b). The  $PVA_{rub}$ - $PI_{rub}$  cell, with larger difference in  $\gamma_2$  value, appeared to have better molecular alignment than the other asymmetric cells, as shown in Figure 6(a-c). The tolerance of cooling rate for  $PI_{rub}$ - $PI_{plasma}$ ,  $PVA_{rub}$ - $PI_{plasma}$ , and  $PVA_{rub}$ - $PI_{rub}$  asymmetric cells were 0.1, 1, and 3 °C/min., respectively. Among the all asymmetric cells, the  $PVA_{rub}$ - $PI_{rub}$  cell appeared to have the best alignment even under fast cooling rate.

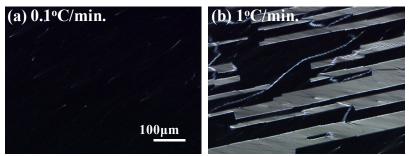


Figure 4. Polarizing optical micrographs of R3206-50 under different cooling rates in the PI<sub>rub</sub>-PI<sub>plasma</sub> cells.

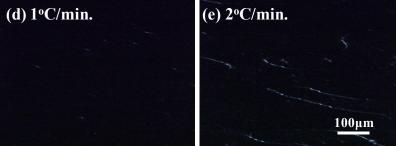


Figure 5. Polarizing optical micrographs of R3206-50 under different cooling rates in the PVA<sub>rub</sub>-PI<sub>plasma</sub> cells.

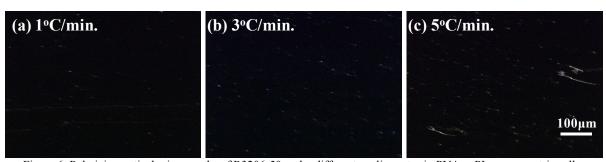


Figure 6. Polarizing optical micrographs of R3206-50 under different cooling rates in PVA<sub>rub</sub>-PI<sub>rub</sub> asymmetric cells.

The electro-optical properties were characterized with 100Hz square wave at different temperature. The threshold voltage of R3206-50 was 1.2 V and its saturation voltage was 4.5 V, as shown in Figure 7. The electro-optical responses are temperature insensitive. The contrast ratio of R3206-50 in the PVA<sub>rub</sub>-PI<sub>rub</sub> treated asymmetric cell was greatly improved from 76:1 to 780:1. R3206-50 demonstrated fast response under 1.3 ms ( $\tau_{rise}$ = 380  $\mu$ s,  $\tau_{fall}$ = 920  $\mu$ s).

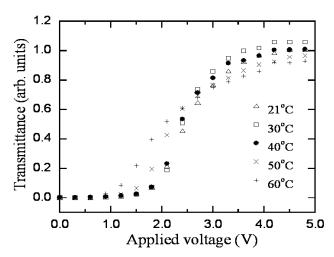


Figure 7. Voltage-transmittance curves of R3206-50 in the PVA<sub>rub</sub>-PI<sub>rub</sub> asymmetric cell varied with temperature.

### 4. CONCLUSION

The asymmetrical alignment technique, i.e.  $\gamma_2^{(t)} \neq \gamma_2^{(b)}$ , was proposed to solve the alignment defect issue in this study. The asymmetrical alignment conditions were able to be achieved by controlling the surface polarities and anchoring energies. By varying the different alignment surface conditions,  $PI_{rub}$ - $PI_{plasma}$ ,  $PVA_{rub}$ - $PI_{plasma}$ , and  $PVA_{rub}$ - $PI_{rub}$  asymmetric cells, the value of the polar surface energy term,  $(\gamma_2^{(t)} - \gamma_2^{(b)})$ , were progressively increased. The cooling rate tolerance was found at 0.1, 1, and 3 °C/min for  $PI_{rub}$ - $PI_{plasma}$ ,  $PVA_{rub}$ - $PI_{plasma}$ , and  $PVA_{rub}$ - $PI_{rub}$  asymmetric cells, respectively. The  $PVA_{rub}$ - $PI_{rub}$  cell, which has the larger  $\gamma_2$  value differences, appeared to have the best alignment result even under fast cooling rate. The contrast ratio was greatly improved to 780:1 with saturation voltage at 4.5 V in the asymmetric cell. This alignment technique provided promising FLC material, and processing feasibility for the fast switching TFT-LCD application.

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