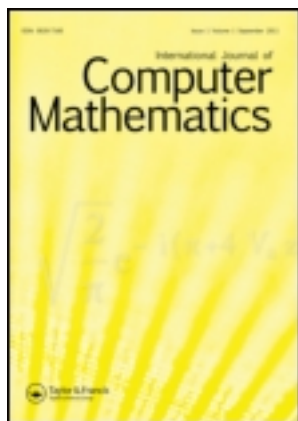


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## Component connectivity of the hypercubes

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The  $r$ -component connectivity  $\kappa_r(G)$  of the non-complete graph  $G$  is the minimum number of vertices whose deletion results in a graph with at least  $r$  components. So,  $\kappa_2$  is the usual connectivity. In this paper, we determine the  $r$ -component connectivity of the hypercube  $Q_n$  for  $r = 2, 3, \dots, n + 1$ , and we classify all the corresponding optimal solutions.

**Keywords:** hypercubes; component connectivity

2010 AMS Subject Classifications: 05C75; 05C40

### 1. Introduction

Let  $G$  be a non-complete graph. An  $r$ -component cut of  $G$  is a set of vertices whose deletion results in a graph with at least  $r$  components. The  $r$ -component connectivity or simply  $r$ -connectivity  $\kappa_r(G)$  of  $G$  is the size of the smallest  $r$ -component cut of  $G$  (if there is no  $r$ -component cut of  $G$ , then we define  $\kappa_r(G)$  to be  $\infty$ ). So,  $\kappa_2(G)$  is the usual connectivity of  $G$ . It is clear that  $\kappa_m(G) \leq \kappa_{m+1}(G)$  for every positive integer  $m$ . In this paper, we determine the  $r$ -component connectivity of the hypercube  $Q_n$  for  $r = 2, 3, \dots, n + 1$ . This measure was introduced independently in a number of papers [2,6], and it is a good measure of robustness of interconnection networks.

The hypercube is one of the fundamental interconnection networks. The hypercube  $Q_n$  (with  $n \geq 2$ ) is defined as having the vertex set of binary strings of length  $n$ . Two vertices are adjacent if their strings differ in exactly 1 bit, that is, their Hamming distance<sup>1</sup> is 1. So,  $Q_n$  is an  $n$ -regular graph with  $2^n$  vertices.

Component connectivity is an extension of standard connectivity. It can also be viewed as an understanding of the fault resiliency of networks. There are a number of other related concepts in studying how intact the graph is when faults are present. Some of these concepts are related; in

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this paper, we relate some of these results to component connectivity. The most comprehensive results regarding fault resiliency of the hypercube are those presented in a series of papers [7–9], specifically, Theorem 2.2 and Theorem 2.4(1). The results presented in this paper can be viewed as the augmentation and extension of those results. We refer the reader to [7–9] for details and the importance of the hypercubes. (For such fault resiliency treatment for other classes of graphs, see [3,4].) In this paper, we determine  $\kappa_r(Q_n)$  for  $r = 2, 3, \dots, n + 1$ , and we classify all the optimal solutions.

## 2. Determining $\kappa_r(Q_n)$

The first goal of this paper is to determine  $\kappa_r(Q_n)$  for  $r = 2, 3, \dots, n + 1$ .

**THEOREM 2.1** *Let  $n \geq 2$  and  $1 \leq k \leq n$ . Then,  $\kappa_{k+1}(Q_n) = kn - k(k + 1)/2 + 1$ .*

We prove here that  $\kappa_{k+1}(Q_n) \leq kn - k(k + 1)/2 + 1$ ; the other direction will follow from the results that come later in this section. Let  $u$  be an arbitrary vertex in  $Q_n$ . Then,  $u$  has  $n$  neighbours, say,  $u_1, u_2, \dots, u_n$ . (Note that they are mutually non-adjacent as  $Q_n$  is bipartite.) Given a set of vertices  $T$ , we use  $N(T)$  to denote the set of vertices that are not in  $T$  but incident to at least one vertex in  $T$ . (If  $T = \{t\}$ , we write  $N(t)$  instead of  $N(\{t\})$ .) Let  $1 \leq k \leq n$  and  $S = N(\{u_1, u_2, \dots, u_k\})$ . Clearly,  $u \in S$ . Now, each  $u_i$  has  $n - 1$  additional neighbours, but every pair of  $u_i$  and  $u_j$  shares exactly one neighbour other than  $u$  in  $Q_n$ . In addition,  $u$  is the only common neighbour of any three  $u_i$ 's. Hence,  $|S| = k(n - 1) - \binom{k}{2} + 1 = kn - k(k + 1)/2 + 1$ . It is clear that  $Q_n - S$  has at least  $k + 1$  components where at least  $k$  of them are singletons. This finishes the proof of  $\kappa_{k+1}(Q_n) \leq kn - k(k + 1)/2 + 1$ . The difficulty is in proving that  $\kappa_{k+1}(Q_n) \geq kn - k(k + 1)/2 + 1$ .

There are many different results on faulty hypercubes and some of them are related to Theorem 2.1. One such result is the following.

**THEOREM 2.2** ([8]) *Let  $n \geq 4$ . Let  $1 \leq k \leq n - 2$  and  $S$  be a set of vertices in  $Q_n$  such that  $|S| \leq kn - k(k + 1)/2$ . Then,  $Q_n - S$  is either connected or has one large component plus a number of small components with at most  $k - 1$  vertices in total.*

We can observe that the special case  $k = 1$  implies that  $Q_n$  has connectivity  $n$ . We note that Theorem 2.1 follows directly from Theorem 2.2 for  $n \geq 4$  and  $1 \leq k \leq n - 2$ . If  $Q_n - S$  has one large component plus a number of small components with  $k - 1$  vertices in total, then the number of components is maximized when the small components are  $k - 1$  singletons. Hence,  $\kappa_{k+1}(Q_n) \geq kn - k(k + 1)/2 + 1$  for  $k \leq n - 2$ . Obviously, one can easily check the validity of Theorem 2.1 for  $n = 2$  and  $n = 3$ . Thus, the missing cases are  $k = n - 1$  and  $k = n$  for  $n \geq 4$ . One may wonder why only extend the range by two more cases for each  $n$ . The reason is that the formula  $kn - k(k + 1)/2 + 1$  does not hold for  $k = n + 2$  as proved in the next result.

**PROPOSITION 2.3** *Let  $n \geq 4$ . Then,  $\kappa_{n+2}(Q_n) > (n + 1)n - (n + 1)(n + 2)/2 + 1$ .*

*Proof* Suppose that  $\kappa_{n+2}(Q_n) \leq (n + 1)n - (n + 1)(n + 2)/2 + 1$ . Since  $(n + 1)n - (n + 1)(n + 2)/2 + 1 < (n - 1)n - (n - 1)n/2 + 1 \leq \kappa_n(Q_n)$ , this implies  $\kappa_{n+2}(Q_n) < \kappa_n(Q_n)$ , which is a contradiction. ■

We now point out that the formula  $kn - k(k + 1)/2 + 1$  gives the same value for  $k = n - 1$  and  $k = n$ . Hence, if the formula holds for  $k = n - 1$ , then this implies that the formula holds for

$k = n$  as well, since  $n^2 - n(n + 1)/2 + 1 \geq \kappa_{n+1}(Q_n) \geq \kappa_n(Q_n) = (n - 1)n - (n - 1)(n)/2 + 1$ . So, the only missing case is when  $n \geq 4$  and  $k = n - 1$ . We note that Theorem 2.2 does not hold for  $k = n - 1$  as shown by the following example: Let  $u$  be an arbitrary vertex with  $n$  neighbours  $u_1, u_2, \dots, u_n$ . Let  $S$  be the set of vertices that are adjacent to at least one of  $u_1, u_2, \dots, u_n$  excluding  $u$ . Then,  $|S| = n(n - 1) - n(n - 1)/2 = n(n - 1)/2$ , and we have a component having  $n + 1$  vertices in  $Q_n - S$ , thus violating the conclusion of Theorem 2.2. We call a set  $S$  given as above an *exceptional set*. Fortunately, this is the only exceptional case.

**THEOREM 2.4** Let  $n \geq 4$ . Let  $S$  be a set of vertices of size at most  $n(n - 1)/2$ .

- (1) If  $|S| \leq n(n - 1)/2 - 1$ , then  $Q_n - S$  is either connected or has one large component plus a number of small components with at most  $n - 2$  vertices in total.
- (2) If  $|S| = n(n - 1)/2$  and  $S$  is not exceptional, then  $Q_n - S$  is either connected or has one large component plus a number of small components with at most  $n - 2$  vertices in total. If  $|S| = n(n - 1)/2$  and  $S$  is exceptional, then  $Q_n - S$  has exactly two components, one of which is  $K_{1,n}$ .

Theorem 2.4(1) was proved in [8], so we only need to prove Theorem 2.4(2).

*Proof of Theorem 2.4(2)* We apply induction on  $n$ . We first note that the statement is true for  $n = 4$ , since  $Q_4$  has 16 vertices and the graph is symmetrical, so the claim can be checked by brute force.

Let  $H_0$  ( $H_1$ , respectively) be the subgraph of  $Q_n$  induced by vertices with 0 (1, respectively) in the last position. Then,  $H_0$  and  $H_1$  are isomorphic to  $Q_{n-1}$ . Let  $S_0$  and  $S_1$  be the set of elements of  $S$  that are vertices in  $H_0$  and  $H_1$ , respectively. We have two cases.

*Case 1.* Either  $|S_0|$  or  $|S_1|$  is at least  $(n - 1)(n - 2)/2$ . Without loss of generality, assume that  $|S_1| \geq (n - 1)(n - 2)/2$ .

*Case 1a.*  $|S_1| \geq (n - 1)(n - 2)/2 + 1$ . Then,  $|S_0| \leq n(n - 1)/2 - (n - 1)(n - 2)/2 - 1 = n - 2$ , thus  $H_0 - S_0$  is connected. Let  $Y$  be the component of  $Q_n - S$  containing  $H_0 - S_0$ . Suppose  $v$  is a vertex of  $H_1 - S_1$ . Then,  $v$  is part of  $Y$  if  $v$ 's unique neighbour in  $H_0$  is not in  $S_0$ . Since  $|S_0| \leq n - 2$ , there are at most  $n - 2$  vertices of  $H_1 - S_1$  that are not part of  $Y$  and we are done.

*Case 1b.*  $|S_1| = (n - 1)(n - 2)/2$ . Hence,  $|S_0| = n - 1$ .

*Subcase 1b(i).*  $H_0 - S_0$  is connected. We define  $Y$  to be the same as before. We apply the induction hypothesis. The first case is that  $H_1 - S_1$  has one large component and a number of small components with at most  $n - 3$  vertices in total. In other words,  $S_1$  is not exceptional. Now, the large component in  $H_1 - S_1$  has at least  $2^{n-1} - (n - 1)(n - 2)/2 - (n - 3)$  vertices and  $|S_0| = n - 1$ . So, if  $2^{n-1} - (n - 1)(n - 2)/2 - (n - 3) > n - 1$ , then the large component is part of  $Y$ . This inequality holds for  $n \geq 5$ , and hence  $Q_n - S$  has one large component and a number of small components with at most  $n - 3$  vertices in total.

The second case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-1}$ . So,  $S_1$  is exceptional. So, each component has at least  $n$  vertices as  $n \geq 5$ . (One component has  $n$  vertices and the other has  $2^{n-1} - n - (n - 1)(n - 2)/2$  vertices.) But  $|S_0| = n - 1$ ; therefore, each of these components in  $H_1 - S_1$  is part of  $Y$ , and hence  $Q_n - S$  is connected.

*Subcase 1b(ii).*  $H_0 - S_0$  is disconnected. Then,  $H_0 - S_0$  consists of two components, one of which is a singleton, say,  $w$ . (Apply Theorem 2.2 with  $k = 2$ .) Let  $Y$  be the component in  $Q_n - S$  containing the larger component,  $X$ , of  $H_0 - S_0$ . Now, we apply the induction hypothesis on  $H_1 - S_1$ . The first case is that  $H_1 - S_1$  has one large component and a number of small components with at most  $n - 3$  vertices in total. So,  $S_1$  is not exceptional. Now, the large component in  $H_1 - S_1$  has at least  $2^{n-1} - (n - 1)(n - 2)/2 - (n - 3)$  vertices,  $|S_0| = n - 1$ , and there is exactly one

vertex in  $H_0 - S_0$  that is not in  $X$ . So, if  $2^{n-1} - (n-1)(n-2)/2 - (n-3) > n-1+1$ , then this large component is part of  $Y$ . The inequality holds for  $n \geq 5$ ; hence,  $Q_n - S$  has one large component and a number of small components with at most  $n-2$  vertices in total. (Up to  $n-3$  vertices from the small components in  $H_1 - S_1$  and possibly  $w$ .)

The second case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-1}$  with, say,  $y$  as the centre. This corresponds to the case when  $S_1$  is exceptional. Recall that  $H_0 - S_0$  has exactly two components, one of which is a singleton  $w$ . The large component  $Z$  in  $H_1 - S_1$  has  $2^{n-1} - (n-1)(n-2)/2 - n$  vertices,  $|S_0| = n-1$ , and there is exactly one vertex in  $H_0 - S_0$  that is not in  $X$ . So, if  $2^{n-1} - (n-1)(n-2)/2 - n > n-1+1$ , then this large component is part of  $Y$ . The inequality holds for  $n \geq 6$ , so both  $X$  and  $Z$  are part of  $Y$  if  $n \geq 6$ . Suppose  $n = 5$ . We know that  $S_0$  is exactly the set of neighbours of  $w$  and  $S_1 = N(N(y)) - \{y\}$ . Since the hypercube is vertex transitive, we may fix the choice for  $y$  and there are 16 choices for  $w$ . Hence, there are 16 cases, and in each case, it can be easily verified that  $Q_5 - S$  satisfies Theorem 2.4(2). Henceforth, we may assume that  $n \geq 6$ . If  $w$  is adjacent to  $y$ , then we are done as  $Q_n - S$  will either be connected or has two components, one of which is  $K_{1,n}$ . The latter implies that  $S$  is exceptional. Let  $z_1, z_2, \dots, z_{n-1}$  be the neighbours of  $y$  in  $H_1$ . Suppose that  $w$  is adjacent to  $z_1$ . Then, it is clear that  $z_2$  is adjacent to a vertex in the large component of  $H_0 - S_0$ , and hence  $Q_n - S$  is connected. (To see this, suppose that  $z_2$  is not adjacent to a vertex in the large component of  $H_0 - S_0$ . Then  $z_2$  is adjacent to a vertex in  $S_0$ . But  $S_0$  are precisely the vertices in  $H_0$  that are adjacent to  $w$ . Let this vertex be  $w'$ . Now, we have found a 5-cycle, namely,  $y - z_1 - w - w' - z_2 - y$ , which is a contradiction as  $Q_n$  is bipartite.) So, we may assume that  $w$  is not adjacent to any vertex of  $K_{1,n-1}$  in  $H_1 - S_1$ . We now claim that at least one of  $z_1, z_2, \dots, z_{n-1}$  is adjacent to a vertex in the large component of  $H_0 - S_0$ . Otherwise, they are adjacent to the neighbours of  $w$  in  $H_0$ , which implies that  $w$  and  $y$  are adjacent, which is a contradiction as  $w$  is not adjacent to any vertex of this  $K_{1,n-1}$  whose centre is  $y$ . Hence,  $Q_n - S$  satisfies Theorem 2.4(2).

*Case 2.*  $|S_0|, |S_1| \leq (n-1)(n-2)/2 - 1$ . So,  $|S_0|, |S_1| \geq n$ . Now,  $(n-1)(n-2)/2 - 1 = (n-3)(n-1) - (n-3)(n-2)/2$ , so we may apply Theorem 2.2 on  $S_0$  and  $S_1$  since  $n \geq 5$ . We choose  $a$  to be the smallest integer such that  $|S_0| \leq a(n-1) - a(a+1)/2$  and  $b$  to be the smallest integer such that  $|S_1| \leq b(n-1) - b(b+1)/2$ . Since  $|S_0|, |S_1| \geq n$ , we get  $a, b \geq 2$ . By the choice of  $a$ , we get  $|S_0| \geq (a-1)(n-1) - (a-1)a/2 + 1$ , and hence  $|S_1| \leq n(n-1)/2 - (a-1)(n-1) + (a-1)a/2 - 1$ . Now, by Theorem 2.2,  $H_0 - S_0$  is either connected or has a large component and a number of small components with at most  $a-1$  vertices in total. It suffices to show that  $H_1 - S_1$  is either connected or has a large component and a number of small components with at most  $n-a-1$  vertices in total. This can be accomplished by using Theorem 2.2 and showing that  $|S_1| \leq (n-a)(n-1) - (n-a)(n-a+1)/2$ . Observe that  $(n-a)(n-1) - (n-a)(n-a+1)/2 - (n(n-1)/2 - (a-1)(n-1) + (a-1)a/2 - 1) = an + a + 2 - 2n - a^2 = (a-2)(n-a-1) \geq 0$  since  $2 \leq a \leq n-3$ . This completes the proof. ■

Now, the claim  $\kappa_{k+1}(Q_n) \geq kn - k(k+1)/2 + 1$  for  $k = n$  follows directly from Theorem 2.4. This finishes the proof of Theorem 2.1.

### 3. Classification of optimal solutions

In the previous section, we have shown that  $\kappa_{r+1}(Q_n) = rn - r(r+1)/2 + 1$  for  $n \geq 2$  and  $1 \leq r \leq n$ . It will be interesting to determine all the optimal solutions, that is, find all the optimal  $(r+1)$ -component cuts of size  $rn - r(r+1)/2 + 1$  in  $Q_n$ . For  $1 \leq r \leq n$ , we can pick  $X$  to be a set of  $r$  neighbours of an arbitrary vertex  $u$ . We have already seen that  $N(X)$  is an optimal

solution for every such set  $X$ . These are called the *trivial* solutions. We now show the following result (of which, the case  $r = 1$  has been known already).

**THEOREM 3.1** *Let  $n \geq 2$  and  $1 \leq r \leq n - 2$ . Then, every optimal  $(r + 1)$ -component cut of  $Q_n$  is trivial.*

*Proof* Let  $X$  be an optimal  $(r + 1)$ -component cut. Then,  $|X| = rn - r(r + 1)/2 + 1$ . We will establish this result in three steps.

The first step is to show that  $Q_n - X$  has a large component and  $r$  singletons. Suppose  $r \leq n - 3$ . Let  $k = r + 1$  in Theorem 2.2. Since  $rn - r(r + 1)/2 + 1 \leq (r + 1)n - (r + 1)(r + 2)/2$  as  $r + 1 \leq n - 2$ , we can conclude that  $Q_n - X$  has a large component plus a number of small components with  $r$  vertices in total. But  $|X|$  is an  $(r + 1)$ -component cut. So,  $Q_n - X$  consists of a large component and  $r$  singletons. Now, suppose  $r = n - 2$ . We note that  $|X| = (n - 2)n - (n - 2)(n - 1)/2 + 1 = n(n - 1)/2$ . So, we apply Theorem 2.4(2). Since  $X$  is not exceptional as  $X$  is an  $(r + 1)$ -component cut,  $Q_n - X$  has a large component plus a number of small components with  $n - 2$  vertices in total. But  $|X|$  is an  $(n - 1)$ -component cut, so  $Q_n - X$  consists of a large component and  $n - 2$  singletons.

The first step is complete, that is, we have shown that  $Q_n - X$  has a large component and  $r$  singletons. Let  $A$  be the set of these  $r$  vertices.

The second step is to prove that every pair of these  $r$  vertices has distance 2 in  $Q_n$ . Suppose not. Then, there are two vertices  $x$  and  $y$  whose distance is at least 3. Since  $x$  and  $y$  differ in at least one position, we may assume, without loss of generality, that  $x$  is in  $H_0$  and  $y$  is in  $H_1$  (using the usual definition of  $H_i$ 's). Let  $X_0 = X \cap V(H_0)$  and  $X_1 = X \cap V(H_1)$ . Clearly, if  $z$  is in  $H_0$  ( $H_1$ , respectively) and  $z$  is an isolated vertex in  $Q_n - X$ , then  $z$  is an isolated vertex in  $H_0 - X_0$  ( $H_1 - X_1$ , respectively). Let  $a_0 = |A \cap V(H_0)|$  and  $a_1 = |A \cap V(H_1)|$ , so  $a_0 + a_1 = r$ . Then,  $H_0 - X_0$  has at least  $a_0 + 1$  components and  $H_1 - X_1$  has at least  $a_1 + 1$  components. Thus, by Theorem 2.1,  $|X_0| \geq a_0(n - 1) - a_0(a_0 + 1)/2 + 1$  and  $|X_1| \geq a_1(n - 1) - a_1(a_1 + 1)/2 + 1$ . We have two cases.

*Case 1.*  $a_0, a_1 \geq 2$ . We first note that  $(a_0(n - 1) - a_0(a_0 + 1)/2 + 1) + (a_1(n - 1) - a_1(a_1 + 1)/2 + 1) > rn - r(r + 1)/2 + 1$  is equivalent to  $(a_0 - 1)(a_1 - 1) > 0$ , which is clearly true for  $a_0, a_1 \geq 2$ . Using the definition of  $X, X_0, X_1$  and the bounds on  $|X_0|$  and  $|X_1|$ , we get a contradiction as follows:

$$\begin{aligned} |X| &= |X_0| + |X_1| \geq \left( a_0(n - 1) - \frac{a_0(a_0 + 1)}{2} + 1 \right) + \left( a_1(n - 1) - \frac{a_1(a_1 + 1)}{2} + 1 \right) \\ &> rn - \frac{r(r + 1)}{2} + 1 = |X|. \end{aligned}$$

*Case 2.*  $a_0 = 1$  and  $a_1 = r - 1$ . Clearly,  $|X_0| \geq n - 1$  since  $x$  is isolated in  $H_0 - X_0$ . But  $y$  is also isolated in  $Q_n - X$  and the distance of  $x$  and  $y$  is at least 3. Therefore, the unique neighbour of  $y$  in  $H_0$  is not a member of  $N_{H_0}(x)$ , implying that  $|X_0| \geq n$ . By Theorem 2.1,  $|X_1| \geq (r - 1)(n - 1) - (r - 1)r/2 + 1$ , hence

$$|X| = |X_0| + |X_1| = \left( (r - 1)(n - 1) - \frac{(r - 1)r}{2} + 1 \right) + n > rn - \frac{r(r + 1)}{2} + 1 = |X|,$$

which is a contradiction.

The third step is to show that  $X$  is trivial, that is, the vertices in  $A = \{v_1, v_2, \dots, v_r\}$  have a common neighbour. Without loss of generality, we may assume that  $v_1 = 00 \dots 0$ . Now, since  $v_2$  is of distance 2 from  $v_1$ ,  $v_2$  has exactly two 1's and we may assume that  $v_2 = 110 \dots 0$ . Similarly,  $v_3$  has exactly two 1's. But  $v_3$  is also of distance 2 from  $v_2$ . So, we may assume that  $v_3 = 1010 \dots 0$ .

Repeat the argument for  $v_4$ , and we get two choices for  $v_4$ . It is either  $0110 \dots 0$  or  $10010 \dots 0$ . We have two cases.

*Case 1.*  $v_4 = 0110 \dots 0$ . If  $r \geq 5$ , then it is impossible to find another vertex that is of distance 2 to each of  $v_1, v_2, v_3$  and  $v_4$ . So, we only need to consider  $r = 4$ . But  $|N(A)| = 4n - 8 > 4n - 9 = |X|$ .

*Case 2.*  $v_4 = 10010 \dots 0$ . Then, in order for  $v_5$  to be of distance 2 to each of  $v_1, v_2, v_3$  and  $v_4$ , we may assume  $v_5 = 100010 \dots 0$ . Indeed,  $v_6, \dots, v_r$  must be of a similar form. Hence, they have a common neighbour  $100 \dots 0$ . The proof is complete. ■

The remaining task is to classify optimal  $n$ -component cuts and optimal  $(n + 1)$ -component cuts. Let  $u$  be a vertex in  $Q_n$  and  $u_1, u_2, \dots, u_n$  be its neighbours. Pick any  $n - 1$  of them, say,  $u_1, u_2, \dots, u_{n-1}$ , then  $N(\{u_1, u_2, \dots, u_{n-1}\})$  is a trivial  $n$ -component cut. However, every neighbour of  $u_n$  is in  $N(\{u_1, u_2, \dots, u_{n-1}\})$ , that is,  $N(\{u_1, u_2, \dots, u_{n-1}\}) = N(\{u_1, u_2, \dots, u_n\})$ . So, if  $X$  is a trivial  $n$ -component cut, then  $Q_n - X$  has  $n$  singletons, not  $n - 1$  singletons. In fact,  $Q_n - X$  has a large component and  $n$  singletons, giving  $n + 1$  components in total. This is not surprising as  $\kappa_n(Q_n) = \kappa_{n+1}(Q_n)$ . Suppose we have proved that all  $n$ -component cuts are trivial. Then, since they are also  $(n + 1)$ -component cuts and every optimal  $(n + 1)$ -component cut is also an optimal  $n$ -component cut, every optimal  $(n + 1)$ -component cut is trivial. Hence, it remains to be proven that every  $n$ -component cut is trivial. The following result establishes this.

**THEOREM 3.2** *Let  $n \geq 4$  and let  $S$  be a set of vertices of size at most  $n(n - 1)/2 + 1$ . Then, the following are the only possibilities.*

- (1)  $Q_n - S$  has one large component plus a number of small components with at most  $n - 2$  vertices in total. (This includes the case that  $Q_n - S$  is connected.)
- (2)  $Q_n - S$  has exactly two components, one of which is  $K_{1,n}$ .
- (3)  $Q_n - S$  has exactly two components, one of which is  $K_{1,n-1}$ .
- (4)  $Q_n - S$  has exactly two components, one of which is  $K_{1,n-2}$ .
- (5)  $S$  is a trivial  $(n + 1)$ -component cut, and  $Q_n - S$  has one large component plus  $n$  singletons.

*Proof* We apply induction on  $n$ . We first note that the statement is true for  $n = 4$ , since  $Q_4$  has 16 vertices and it is symmetrical, so the claim can be checked by brute force. (Note also that Theorem 2.2 for  $k = 2$  is useful here.) Now, assume that  $n \geq 5$  and the claim is true for  $n - 1$ . As before, let  $H_0$  ( $H_1$ , respectively) be the subgraph of  $Q_n$  induced by vertices with 0 (1, respectively) in the last position. Then,  $H_0$  and  $H_1$  are isomorphic to  $Q_{n-1}$ . Let  $S_0$  and  $S_1$  be the set of elements of  $S$  that are vertices in  $H_0$  and  $H_1$ , respectively. We have two cases.

*Case 1.* Either  $|S_0|$  or  $|S_1|$  is at least  $|S| = (n - 1)(n - 2)/2 + 1$ . Without loss of generality, we may assume that  $|S_1| \geq (n - 1)(n - 2)/2 + 1$ .

*Case 1a.*  $|S_1| \geq (n - 1)(n - 2)/2 + 2$ . Then,  $|S_0| \leq n(n - 1)/2 + 1 - (n - 1)(n - 2)/2 - 2 = n - 2$ , thus  $H_0 - S_0$  is connected. Let  $Y$  be the component of  $Q_n - S$  containing  $H_0 - S_0$ . Suppose  $v$  is a vertex of  $H_1 - S_1$ . Then,  $v$  is part of  $Y$  if  $v$ 's unique neighbour in  $H_0$  is not in  $S_0$ . Since  $|S_0| \leq n - 2$ , there are at most  $n - 2$  vertices in  $H_1 - S_1$  that are not part of  $Y$  and we are done.

*Case 1b.*  $|S_1| = (n - 1)(n - 2)/2 + 1$ . Hence,  $|S_0| = n - 1$ .

*Subcase 1b(i).*  $H_0 - S_0$  is connected. We define  $Y$  as before. We apply the induction hypothesis. The first case is that  $H_1 - S_1$  has one large component and a number of small components with at most  $n - 3$  vertices in total. Now, the large component in  $H_1 - S_1$  has at least  $2^{n-1} - (n - 1)(n - 2)/2 - 1 - (n - 3)$  vertices, and  $|S_0| = n - 1$ . So, if  $2^{n-1} - (n - 1)(n - 2)/2 - 1 - (n - 3) > n - 1$ , then the large component is part of  $Y$ . This inequality holds for  $n \geq 5$ , and hence  $Q_n - S$  has one large component and a number of small components with at most  $n - 3$  vertices in total.



The second case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-1}$ . So, each component has at least  $n$  vertices if  $n \geq 6$ . So, we may assume that  $n \geq 6$ . But  $|S_0| = n - 1$ . Therefore, each of these components in  $H_1 - S_1$  is part of  $Y$  and hence  $Q_n - S$  is connected. If  $n = 5$ , then  $H_1$  has 16 vertices,  $|S_1| = 7$ , and the two components of  $H_1 - S_1$  are  $K_{1,4}$  and  $K_{1,3}$ . The  $K_{1,4}$  is part of  $Y$ . Regardless of whether  $K_{1,3}$  is part of  $Y$ ,  $Q_5 - S$  satisfies the claim.

The third case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-2}$ . So, it has  $n - 1$  vertices. However, the other component has at least  $n$  vertices as  $n \geq 5$ . But  $|S_0| = n - 1$ . Therefore,  $Q_n - S$  is connected or has two components, one of which is  $K_{1,n-2}$ .

The fourth case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-3}$ . So, it has  $n - 2$  vertices. However, the other component has at least  $n$  vertices as  $n \geq 5$ . But  $|S_0| = n - 1$ . Therefore,  $Q_n - S$  is connected or has two components, one of which is  $K_{1,n-3}$ . So,  $Q_n - S$  has a large component and small components with at most  $n - 2$  vertices.

The fifth case from the induction hypothesis is that  $S_1$  is a trivial  $n$ -component cut of  $H_1$ , so  $H_1 - S_1$  has a large component and  $n - 1$  singletons. It is easy to see that the large component has at least  $n$  vertices, so it is part of  $Y$ . If at least one of these singletons is part of  $Y$ , then  $Q_n - S$  has small components with at most  $n - 2$  vertices, and we are done. So, assume none of these singletons are part of  $Y$ . Since  $S_1$  is a trivial  $n$ -component cut in  $H_1$ , there exists a vertex  $u$  in  $H_1$  with neighbours  $u_1, u_2, \dots, u_{n-1}$  such that  $S_1 = N(\{u_1, u_2, \dots, u_{n-1}\})$ . We will use  $v'$  to denote the vertex in  $H_0$  adjacent to  $v$  in  $H_1$ . If  $u_1, u_2, \dots, u_{n-1}$  remain singletons in  $Q_n - S$ , then  $u'_1, u'_2, \dots, u'_{n-1} \in S_0$ . But  $|S_0| = n - 1$ , so we have identified  $S_0$ . But this means that  $H_0 - S_0$  is disconnected, which is a contradiction as  $H_0 - S_0$  is connected.

*Subcase 1b(ii).*  $H_0 - S_0$  is disconnected. Then,  $H_0 - S_0$  consists of two components, one of which is a singleton, say,  $w$ . (Apply Theorem 2.2 with  $k = 2$ .) Let  $Y$  be the component in  $Q_n - S$  containing the larger component,  $X$ , of  $H_0 - S_0$ . Now, we apply the induction hypothesis on  $H_1 - S_1$ .

The first case is that  $H_1 - S_1$  has one large component and a number of small components with at most  $n - 3$  vertices in total. Now, the large component in  $H_1 - S_1$  has at least  $2^{n-1} - (n - 1)(n - 2)/2 - 1 - (n - 3)$  vertices,  $|S_0| = n - 1$ , and there is exactly one vertex in  $H_0 - S_0$  that is not in  $X$ . So, if  $2^{n-1} - (n - 1)(n - 2)/2 - 1 - (n - 3) > n - 1 + 1$ , then this large component is part of  $Y$ . The inequality holds for  $n \geq 5$ , and hence  $Q_n - S$  has one large component and a number of small components with at most  $n - 2$  vertices in total. (There are up to  $n - 3$  vertices from the small components in  $H_1 - S_1$  and possibly  $w$ .)

The second case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-1}$  with  $y$  as the centre. Recall that  $H_0 - S_0$  has exactly two components, one of which is a singleton  $w$ . The large component  $Z$  in  $H_1 - S_1$  has  $2^{n-1} - (n - 1)(n - 2)/2 - 1 - n$  vertices,  $|S_0| = n - 1$ , and there is exactly one vertex in  $H_0 - S_0$  that is not in  $X$ . So, if  $2^{n-1} - (n - 1)(n - 2)/2 - 1 - n > n - 1 + 1$ , then this large component is part of  $Y$ . The inequality holds for  $n \geq 6$ , so both  $X$  and  $Z$  are part of  $Y$  if  $n \geq 6$ . The case  $n = 5$  can be handled separately either by brute force or by an *ad hoc* argument. (A brute force approach includes observing that  $S_0$  is the set of neighbours of  $w$  and  $S_1 = (N(N(y)) - \{y\}) \cup \{t\}$  for some vertex  $t$ . Since the hypercube is vertex transitive, we may fix the choice for  $y$ , and then there are 16 choices for  $w$  and five choices for  $t$ .) Henceforth, we may assume that  $n \geq 6$ . Since  $|S_0| = n - 1$ , the  $K_{1,n-1}$  in  $H_1 - S_1$  will be part of  $Y$  unless for every  $v$  of this  $K_{1,n-1}$ , its unique neighbour in  $H_0$  is either  $w$  or the deleted neighbours of  $w$  in  $H_0$ . But this can only happen if  $y$  and  $w$  are adjacent, so  $Q_n - S$  will either be connected, or have two components, one of which is  $K_{1,n}$ .

The third case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-2}$ , which has  $n - 1$  vertices. The other component has at least  $n + 1$  vertices if  $n \geq 6$ , so it is part of  $Y$ . If  $w$  is also part of  $Y$ , then we are done. As in the second case, one can check that if neither  $K_{1,n-2}$  nor  $w$  is part of  $Y$ , then they form  $K_{1,n-1}$  in  $Q_n - S$ , so the statement is verified. For  $n = 5$ , the other component in  $H_1 - S_1$  is  $K_{1,n-1}$ , so this reduces to the second case.

The fourth case from the induction hypothesis is that  $H_1 - S_1$  has two components, one of which is  $K_{1,n-3}$ , which has  $n - 2$  vertices. The other component has at least  $n + 1$  vertices as  $n \geq 5$ , so it must be part of  $Y$ , and then the claim follows in the usual way.

The fifth case from the induction hypothesis is that  $S_1$  is a trivial  $n$ -component cut of  $H_1$ , so  $H_1 - S_1$  has a large component and  $n - 1$  singletons. It is easy to see that the large component is part of  $Y$  for  $n \geq 6$ , and for  $n = 5$ , the large component is  $K_{1,4}$ , so the case can be easily checked just as the second case. Since  $S$  is a trivial  $n$ -component cut, there exists a vertex  $u$  in  $H_1$  with neighbours  $u_1, u_2, \dots, u_{n-1}$  such that  $S_1 = N(\{u_1, u_2, \dots, u_{n-1}\})$ . We will use  $v'$  to denote the vertex in  $H_0$  adjacent to  $v$  in  $H_1$ . We consider three possibilities.

The first possibility is when none of  $u_1, u_2, \dots, u_{n-1}$  are part of  $Y$  in  $Q_n - S$ . Then, there are two additional scenarios. The first scenario is when  $u'_1, u'_2, \dots, u'_{n-1} \in S_0$ . But  $|S_0| = n - 1$ , so we have identified  $S_0$ . Indeed,  $w = u'$ , so  $S$  is a trivial  $(n + 1)$ -component cut and  $Q_n - S$  has a large component and  $n$  singletons. The second scenario is when  $u'_1, u'_2, \dots, u'_{n-2} \in S_0$  and  $u_{n-1}$  and  $w$  are adjacent. But  $S_0 = N(w)$ , so this is not a possible configuration in  $Q_n$  when  $n \geq 5$ .

The second possibility is when at most  $n - 3$  of  $u_1, u_2, \dots, u_{n-1}$  are not part of  $Y$  in  $Q_n - S$ . Now, even if  $w$  does not belong to  $Y$ , we get that  $Q_n - S$  has one large component and a number of small components with at most  $n - 2$  vertices in total.

The third possibility is when  $u_1, u_2, \dots, u_{n-2}$  are not part of  $Y$  in  $Q_n - S$ , but  $u_{n-1}$  is part of  $Y$  in  $Q_n$ . If  $w$  is also part of  $Y$ , then  $Q_n - S$  has one large component and a number of small components with at most  $n - 2$  vertices in total. If  $w$  is not part of  $Y$ , then  $w' \in \{u_1, u_2, \dots, u_{n-2}\} \cup S_1$ , so we may assume that  $u'_1, u'_2, \dots, u'_{n-3} \in S_0$ . But  $S_0 = N(w)$ , so this is not a possible configuration in  $Q_n$  when  $n \geq 5$ .

*Case 2.*  $|S_1| = (n - 1)(n - 2)/2$ . Hence,  $|S_0| = n$ . We apply Theorem 2.4(2) to  $S_1$ . So, we have to consider two possibilities.

The first possibility is when  $H_1 - S_1$  has a large component together with small components of at most  $n - 3$  vertices in total. Now,  $H_0 - S_0$  is either connected or has two components, one of which is a singleton. It is easy to see that the largest component in  $H_1 - S_1$  and the larger component in  $H_0 - S_0$  (or  $H_0 - S_0$  itself if it is connected) are part of the same component in  $Q_n - S$ . Hence,  $Q_n - S$  has a large component and small components with at most  $n - 2$  vertices in total.

The second possibility is when  $H_1 - S_1$  has two components, one of which is  $K_{1,n-1}$ . Call the other component  $X$ . Suppose  $H_0 - S_0$  is connected, and let  $Y$  be the component in  $Q_n - S$  containing it. It is easy to see that  $X$  is part of  $Y$ . If the  $K_{1,n-1}$  component of  $H_1 - S_1$  is also part of  $Y$ , then we are done as  $Q_n - S$  will be connected. Otherwise, the vertices in  $S_0$  must correspond to the neighbours of the  $K_{1,n-1}$  component of  $H_1 - S_1$ , and hence  $Q_n - S$  has two components, one of which is  $K_{1,n-1}$ .

*Case 3.*  $|S_0|, |S_1| \leq (n - 1)(n - 2)/2 - 1$ . So,  $|S_0|, |S_1| \geq n + 1$ . Now,  $(n - 1)(n - 2)/2 - 1 = (n - 3)(n - 1) - (n - 3)(n - 2)/2$ , so we may apply Theorem 2.2 on  $S_0$  and  $S_1$ . We choose  $a$  to be the smallest integer such that  $|S_0| \leq a(n - 1) - a(a + 1)/2$  and  $b$  to be the smallest integer such that  $|S_1| \leq b(n - 1) - b(b + 1)/2$ . Since  $|S_0|, |S_1| \geq n$ ,  $a, b \geq 2$ . By the choice of  $a$ ,  $|S_0| \geq (a - 1)(n - 1) - (a - 1)a/2 + 1$ . Hence,  $|S_1| \leq n(n - 1)/2 + 1 - (a - 1)(n - 1) + (a - 1)a/2 - 1$ . Now, by Theorem 2.2,  $H_0 - S_0$  is either connected or has a large component and a number of small components with at most  $a - 1$  vertices in total. It suffices to show that  $H_1 - S_1$  is either connected or has a large component and a number of small components with at most  $n - a - 1$  vertices in total. This can be accomplished by using Theorem 2.2 and showing that  $|S_1| \leq (n - a)(n - 1) - (n - a)(n - a + 1)/2$ . Note that  $(n - a)(n - 1) - (n - a)(n - a + 1)/2 - (n(n - 1)/2 - (a - 1)(n - 1) + (a - 1)a/2) = an + a + 2 - 2n - a^2 + 1 = n(a - 2) - (a - 2)(a + 1) - 1 = (a - 2)(n - a - 1) - 1 \geq 0$  in the given range  $2 \leq a \leq n - 3$  unless  $a = 2$ . We repeat the argument from  $b$ . Hence, the only exceptional case is  $a = b = 2$ . But then  $|S_0|, |S_1| \leq 2(n - 1) - 3 = 2n - 5$ . Hence,  $|S| \leq 4n - 10$ . Now, by Theorem 2.4 (with  $k = 4$ ),  $Q_n - S$  is either connected or has a large components

and a number of small components with at most three vertices in total. Since  $n \geq 5$ ,  $3 \leq n - 2$ , it satisfies the statement. This completes the proof. ■

To summarize the results of this section, we proved the following.

**THEOREM 3.3** *Let  $n \geq 2$  and  $1 \leq r \leq n$ . Then, every optimal  $(r + 1)$ -component cut of  $Q_n$  is trivial.*

#### 4. Conclusion

In this paper, we studied the component connectivity of the hypercube which can be viewed as results that complement the results given in [7–9]. Open problems in this area include finding component connectivity of other interconnection networks such as the star graph [1] and the alternating group graph [5]. However, unlike the hypercube, no corresponding results to those given in [7–9] are known. The closest results for the star graph and the alternating group graph were given in [3,4], but these are asymptotic results.

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#### Note

1. The *Hamming distance* of two binary strings of the same length is the number of bits that they differ.

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