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# Improvement on Electrical Characteristics of HfO<sub>2</sub> MIS Capacitor with Dual Plasma Treatment

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There have been some researches described that nitridation processes could improve thermal stability and dielectric constant of Hf-based dielectrics. The improvement of electrical characteristics of HfO<sub>2</sub> thin films with plasma nitridation has been examined. Moreover,  $CF_4$  plasma treatment on HfO<sub>2</sub> thin films in order to suppress leakage current and passivate defect were also proposed. In this study, we proposed to combine two kinds of plasma treatment,  $CF_4$  pre-treatment and nitrogen post-treatment, in order to have further improvement on electrical characteristics. The capacitance-voltage (C-V) characteristics, current-voltage (J-V) characteristics, and hysteresis of the samples were preformed to estimate the improvement effect. According to this study, dual plasma treatment would be an effective technology to improve the electrical characteristic and the hysteresis of pure HfO<sub>2</sub> thin films.

### Introduction

In order to replace conventional SiO<sub>2</sub> as a gate dielectric thin film, high- $\kappa$  materials have been investigated, such as HfO<sub>2</sub>, ZrO<sub>2</sub>, TiO<sub>2</sub>, La<sub>2</sub>O<sub>3</sub>, Ta<sub>2</sub>O<sub>5</sub>, Al<sub>2</sub>O<sub>3</sub>, and SrTiO<sub>3</sub> (1-3). Among all these high- $\kappa$  materials, HfO<sub>2</sub> is a promising gate dielectric layer because it has high dielectric constant ( $\kappa \sim 25$ ), relatively large band gap (Eg ~ 5.7 eV), large conduction band offset with Si (~1.5 eV), and stable contact with Si (4). However, the formation of an interfacial layer (IL) at HfO<sub>2</sub>/Si interface during the growth of dielectric thin film and post processing appears to be a critical issue due to gate dielectric scaling. Because of low dielectric constant of IL, IL limits the reduction of the effective oxide thickness (EOT) (5, 6). Wang et al noted that the applied electric field would be largely distributed at low- $\kappa$  layer in high- $\kappa$ /low- $\kappa$  stack layer; as a result, the first breakdown happened in the low- $\kappa$  layer (7).

Recently, several studies have been reported that nitrogen incorporated into dielectric layer can improve the dielectric performance (8, 9). Incorporating nitrogen into dielectric layer is widely used to increase dielectric constant, reduce EOT, and suppress leakage current about 3-4 orders of magnitude (10, 11). Oxygen vacancy related states in  $HfO_2$  band gap can be eliminated by nitrogen atoms (12). In addition, fluorine incorporated into dielectric layer could improve IL quality because of stronger bonding energy of Si-F bonds (5.73 eV) compared to Si-H bonds (3.18eV) (15). Furthermore, IL at  $HfO_2/Si$  interface could be suppressed by CF<sub>4</sub> pre-treatment (16).

In this study, we proposed to combine two kinds of plasma treatment (CF<sub>4</sub> pretreatment and nitrogen post-treatment) in order to have further improvement on electrical characteristics of  $HfO_2$  MIS capacitor. First of all, single nitrogen post-treatment and single CF<sub>4</sub> pre-treatment are examined respectively. Second, samples treated by dual plasma treatment are examined in order to determine the best condition. The capacitancevoltage (C-V) characteristics, the current-voltage (J-V) characteristics, and the hysteresis of the samples were performed to estimate the improvement.

## **Experimental**

In this research, Al/Ti/HfO<sub>2</sub>/Si stack structures were fabricated. HfO<sub>2</sub> thin film was deposited by MOCVD system and post deposition annealing (PDA) was set at 600  $^{\circ}$ C for 30 sec by RTA system. Ti films and Al films were deposited by e-beam evaporation system. Thereafter, top electrodes were defined by lithography process and the area is 5000  $\mu$ m<sup>2</sup>.

There are three different kinds of experiment of plasma treatment in this study. First, for single nitrogen post-treatment, samples were nitrided in nitrogen plasma (N<sub>2</sub> or NH<sub>3</sub>) by PECVD system after HfO<sub>2</sub> deposition and PDA. After plasma nitridation, post nitridation annealing (PNA) was performed to eliminate plasma damage, which was set at 600 °C for 30 sec. Second, for single CF<sub>4</sub> pre-treatment, Si substrate was treated in CF<sub>4</sub> plasma by PECVD system before HfO<sub>2</sub> deposition. Third, for dual plasma treatment, CF<sub>4</sub> pre-treatment and nitrogen post-treatment were combined in Al/Ti/HfO<sub>2</sub>/Si capacitors. The capacitance-voltage (C-V) and current-voltage (I-V) characteristics of MIS capacitors were measured by C-V measurement (HP 4284) and semiconductor parameter analyzer (HP 4156C), respectively.

### **Results and Discussion**

#### Single Plasma Treatment

Figure 1 shows the C-V and I-V characteristics of the  $HfO_2$  thin films with  $N_2$  post-treatment for different process durations. The sample treated in  $N_2$  plasma for 120 sec perform the maximum capacitance density among these samples. The leakage current density is suppressed for the sample treated in  $N_2$  plasma for 120 sec. The capacitance is degraded and the leakage current is increased while the samples treated longer than 120 sec, owing to plasma damage. It can be observed that the sample treated in  $N_2$  plasma for 120 sec with RF power 50W performs the best performance. Similarly, the sample treated in  $NH_3$  plasma with RF power 40W for 120 sec displays the best C-V and I-V characteristics than other samples, as shown in Figure 2. These two conditions will be chosen for dual plasma treatment.

Figure 3 depicts the C-V and I-V characteristics of the HfO<sub>2</sub> thin films with  $CF_4$  pre-treatment for different process durations. The sample treated in  $CF_4$  plasma for 10 sec with RF power 20W shows higher capacitance density and smaller leakage current than other samples. The factor of improvement might be the fluorine incorporation at the HfO<sub>2</sub>/Si interface, which could suppress the formation of IL (16) and improve the quality of interface (14).

In order to combine two kinds of plasma, the samples treated in  $CF_4$  plasma (denoted as  $CF_4$  pre-treatment) for different durations and  $N_2$  or  $NH_3$  plasma (denoted as nitrogen post-treatment) for 120 sec is examined.

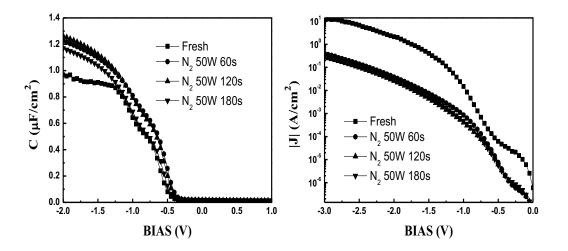


Figure 1. The C-V and I-V characteristics of the  $HfO_2$  thin films with  $N_2$  post-treatment for different process durations.

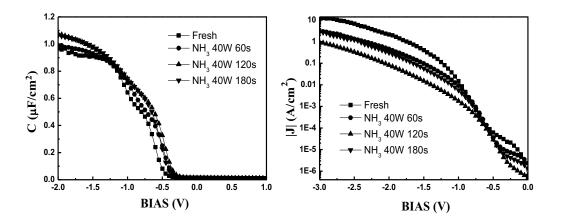


Figure 2. The C-V and I-V characteristics of the  $HfO_2$  thin films with  $NH_3$  post-treatment for different process durations.

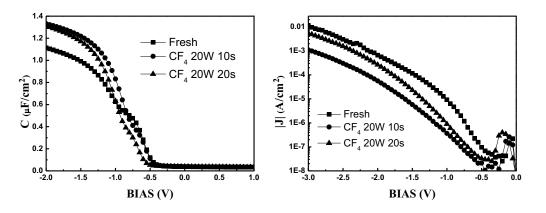


Figure 3. The C-V and I-V characteristics of the  $HfO_2$  thin films with  $CF_4$  pre-treatment for different process durations.

### Dual Plasma Treatment

Figure 4 shows the C-V characteristics of the HfO<sub>2</sub> thin films treated in CF<sub>4</sub> plasma for different process durations and N<sub>2</sub> plasma for 120 sec. The capacitance density was enhanced by nitridation process because nitrogen incorporated into dielectric layer could enhance the ionic polarization, resulted in the increase of dielectric constant (11). However, the capacitance density and interface characteristic could be further improved by the combination of CF<sub>4</sub> pre-treatment for 10 sec (RF power = 20 W) and N<sub>2</sub> post-treatment for 120 sec (RF power = 50 W). The hump in the depletion region is severe for the fresh sample. Although the sample treated by N<sub>2</sub> plasma shows relatively smaller hump than the fresh sample, the sample treated by dual plasma (CF<sub>4</sub> plasma for 10 sec and N<sub>2</sub> plasma for 120 sec) exhibit nearly no hump (as shown in the inset of Figure 4) due to the improvement of interface states. The capacitance and hump characteristics became worst while CF<sub>4</sub> pre-treatment time is longer than 10 sec due to plasma damage at the HfO<sub>2</sub>/Si interface.

Figure 5 displays the J-V characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $N_2$  plasma for 120 sec. The inset of Figure 5 is the leakage current density of the fresh sample. The leakage current is greatly suppressed about 4 orders of magnitude for the sample with dual plasma treatment ( $CF_4$  pretreatment for 10 sec and  $N_2$  post-treatment for 120 sec), owing to defect passivation by nitrogen atom and fluorine atom (12, 13). The passivated defect states within  $HfO_2$  band gap lead to the absence of electron leakage path. On the other hand, the gate leakage increased while fluorine pre-treatment time is longer than 10 sec, owing to plasma damage at the  $HfO_2/Si$  interface.

In Figure 6 and Figure 7, the C-V and J-V characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $NH_3$  plasma for 120 sec are shown. By similar analysis as earlier, it could be observed that the best condition of dual plasma treatment is  $CF_4$  pre-treatment for 10 sec (RF power 20 W) and  $NH_3$  post-treatment for 120 sec (RF power 40 W).

Figure 8 demonstrates the hysteresis characteristics of the HfO<sub>2</sub> thin films treated in CF<sub>4</sub> plasma for different process durations and N<sub>2</sub> plasma for 120 sec. Hysteresis measurement was measured from accumulation to inversion (-2 V to 1 V) and backward form inversion to accumulation (1V to -2 V) by sweeping the voltage. Because positive and negative carrier might be trapped at the inner interface during voltage sweeping (14), the hysteresis phenomenon could be observed for all the samples. Although single  $N_2$ plasma treatment could improve the hysteresis characteristic, the hysteresis characteristic could be further improved by dual plasma treatment (CF<sub>4</sub> pre-treatment for 10 sec and  $N_2$ post-treatment for 120 sec). The C-V curve shift of the sample treated by the combination of CF<sub>4</sub> pre-treatment for 10 sec and N<sub>2</sub> post-treatment for 120 sec is about 14 mV, which is smaller than the fresh sample (27 mV) and the sample with  $N_2$  plasma treatment (17 mV). Moreover, the fresh sample shows a hump in the depletion region of the C-V characteristics, due to higher  $D_{it}$  at the interface (17). After dual plasma treatment (CF<sub>4</sub> pre-treatment for 10 sec and N<sub>2</sub> post-treatment for 120 sec), the hump could be effectively eliminated. It can be speculated that interface quality could be enhanced by dual plasma treatment. On the other hand, the hump and hysteresis became worst while CF<sub>4</sub> pre-treatment time is longer than 10 sec due to plasma damage at the HfO<sub>2</sub>/Si interface. Similarly, the sample treated by the combination of  $CF_4$  pre-treatment for 10 sec and NH<sub>3</sub> post-treatment for 120 sec shows the best hysteresis and interface characteristics than other samples, as shown in Figure 9.

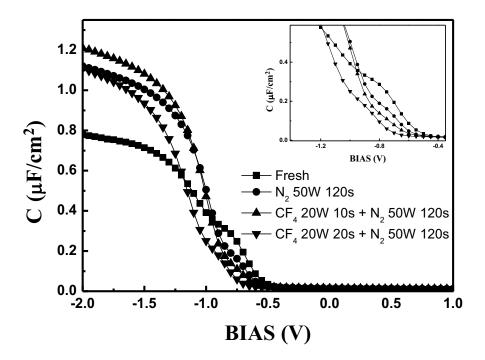


Figure 4. The C-V characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $N_2$  plasma for 120 sec.

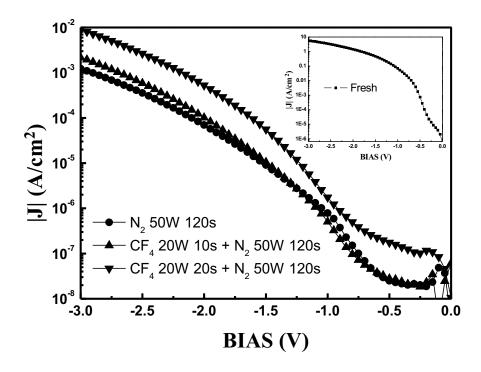


Figure 5. The J-V characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $N_2$  plasma for 120 sec.

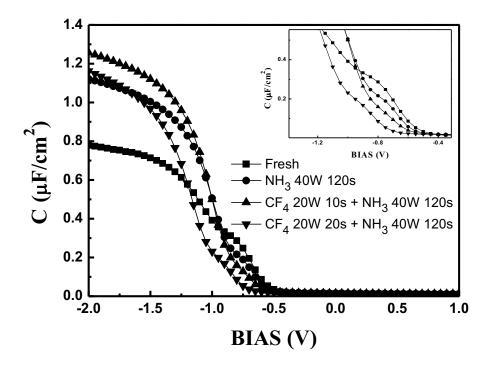


Figure 6. The C-V characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $NH_3$  plasma for 120 sec

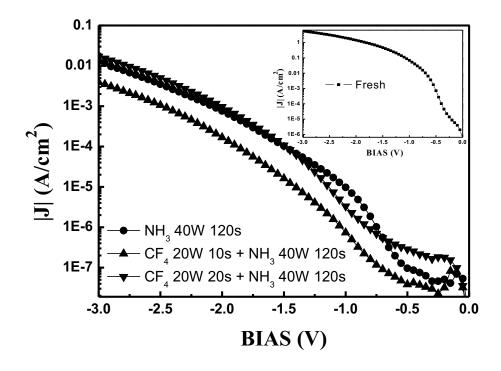


Figure 7. The J-V characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $NH_3$  plasma for 120 sec

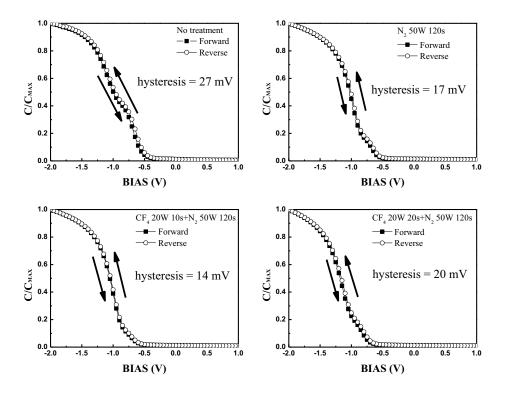


Figure 8. The hysteresis characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $N_2$  plasma for 120 sec.

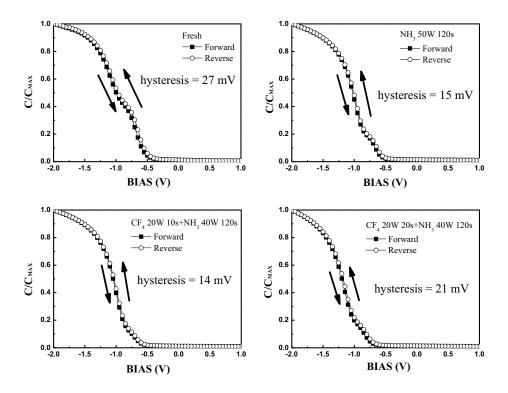


Figure 9. The hysteresis characteristics of the  $HfO_2$  thin films treated in  $CF_4$  plasma for different process durations and  $NH_3$  plasma for 120 sec.

#### Conclusion

We have demonstrated the electrical characteristics of  $HfO_2$  gate dielectrics treated by  $N_2$  post-treatment,  $CF_4$  pre-treatment, and dual plasma treatment ( $CF_4$  pretreatment and  $N_2$  post-treatment).  $HfO_2$  gate dielectric properties including capacitance density, gate leakage current density, and hysteresis could be improved by dual plasma treatment. The best condition of dual plasma treatment is as follows:  $CF_4$  pre-treatment for 10 sec and  $N_2$  ( $NH_3$ ) post-treatment for 120 sec. In summary, the dual plasma treatment could be better than single plasma treatment and achieve the best performance of  $HfO_2$  thin films

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