

# X-ray microscopy and tomography detect the accumulation of bare and PEG-coated gold nanoparticles in normal and tumor mouse tissues

C.-C. Chien · C.-C. Cheng · H. H. Chen · Y. Hwu ·  
Y. S. Chu · C. Petibois · A. Chen ·  
Y.-T. Ching · G. Margaritondo

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**Abstract** We demonstrate that, with appropriate staining, high-resolution X-ray microscopy can image complicated tissue structures—cerebellum and liver—and resolve large or small amounts of Au nanoparticles in these tissues. Specifically, images of tumor tissue reveal high concentrations of accumulated Au nanoparticles. PEG (poly(ethylene glycol)) coating is quite effective in enhancing this accumulation and significantly modifies the mechanism of uptake by reticuloendothelial system (RES) organs.

**Keywords** High resolution X-ray microscopy · Nanoparticles · 3D tissue imaging

## Introduction

Potential applications of nanoparticles to drug delivery [1–3], image contrast enhancement [2, 4–6] and radiation therapy [7–9] require effective experimental methods to study their behavior. Imaging techniques can be very effective, if they have sufficient spatial resolution and sensitivity [10–16]. Special nanoparticles are already used for specific imaging methods, e.g., as fluorescent dyes [17, 18], radioisotope tracers [19], contrast agents for magnetic resonance imaging [20–22], and other approaches [20, 23–25]. The common requirement for all of these is to be easily located

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C.-C. Chien · H. H. Chen · Y. Hwu  
Institute of Physics, Academia Sinica,  
Nankang,  
Taipei 115, Taiwan

C.-C. Chien · Y. Hwu  
Department of Engineering and System Science,  
National Tsing Hua University,  
Hsinchu 300, Taiwan

C.-C. Cheng · Y.-T. Ching  
Department of Computer Science,  
National Chiao Tung University,  
Hsinchu 300, Taiwan

Y. Hwu (✉)  
Advanced Optoelectronic Technology Center,  
National Cheng Kung University,  
Tainan 701, Taiwan  
e-mail: phhwu@sinica.edu.tw

Y. Hwu  
e-mail: phhwu@sinica.edu.tw

Y. S. Chu  
National Synchrotron Light Source-II,  
Brookhaven National Laboratory,  
Upton, NY 11973-5000, USA

C. Petibois  
CNRS UMR 5248, CBMN, University of Bordeaux,  
33600, Pessac-Cedex, France

A. Chen  
Division of Experimental Pathology, Tri-Service General Hospital,  
Taipei 114, Taiwan

G. Margaritondo  
Ecole Polytechnique Fédérale de Lausanne (EPFL),  
1015 Lausanne, Switzerland

**Table 1** Contrast agents and their concentrations used in this study

Stain	Concentration
Potassium permanganate (KMnO <sub>4</sub> )	1 % (w/w) in distilled water
Phosphotungstic acid (PTA)	1 % (w/w) in distilled water
Iodine–potassium iodide (IKI)	1 % (w/w) in distilled water
Osmium tetroxide (OsO <sub>4</sub> )	2 % (w/w) in distilled water

and traced. Ideally, they should also be multifunctional, having a biological or biomedical purpose: this, however, is difficult to achieve.

Here, we exploit the strong X-ray absorption of Au nanoparticle (AuNP) systems to microscopically image their accumulation in mice. Note that AuNPs are quite biocompatible and have many promising biomedical applications [8, 26–31].

We specifically focus our attention on a key issue of nanomedicine: passive AuNP accumulation by tumor tissues, attributed to the “enhanced permeation and retention (EPR)” effect [32–35]. We investigate both bare-AuNPs and PEG-AuNPs (poly(ethylene glycol) (PEG)-coated) and compare their distribution in lung, liver, spleen, kidney, and tumor tissues. The results specifically show that the PEG coating modifies AuNP uptake by these tissues, reducing their accumulation in lung, liver, and spleen and increasing the concentration in tumors. We thus confirm the conclusions of organ-level distribution studies [36–39], but

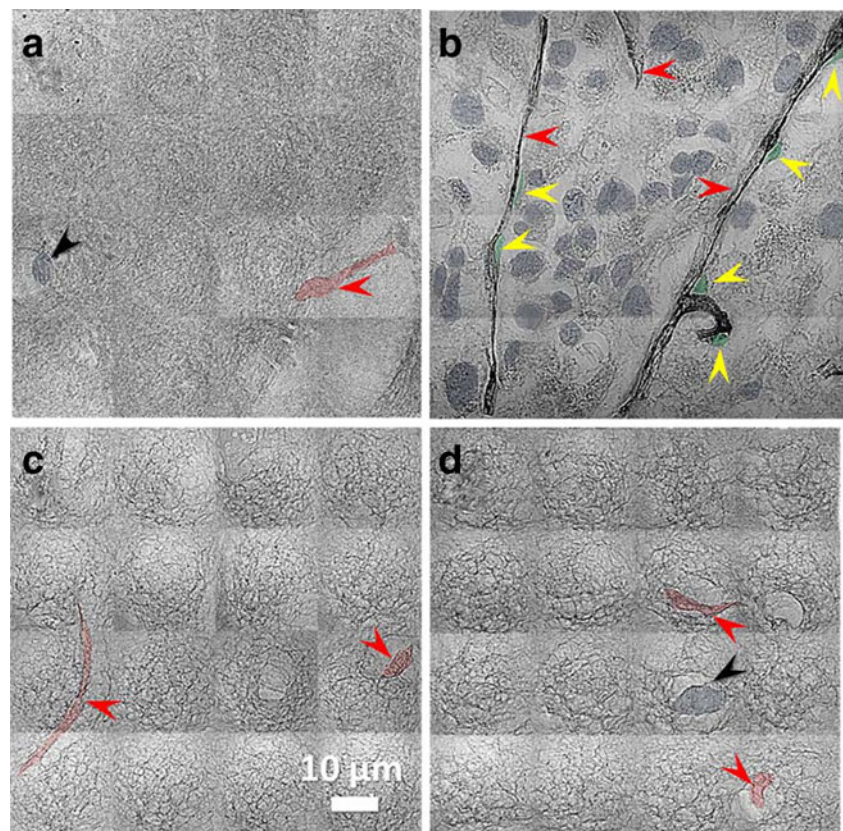
provide a more detailed microscopic picture of the uptake mechanisms explaining how PEG coating enhances accumulation by tumors.

Appropriate staining is crucial to obtaining high-resolution X-ray tissue images with subcellular details [10, 29]. We developed an ad-hoc staining method based on potassium permanganate (KMnO<sub>4</sub>) [40, 41] and demonstrate here that it reveals finer details than other heavy-metal staining methods commonly used for transmission electron microscopy (TEM). This test also indicated that because of different specimen thickness and the strength of absorption contrast, the staining methods cannot be automatically adopted from TEM. With KMnO<sub>4</sub> staining, the increased image contrast does not negatively affect the sensitivity of detection of AuNPs in tissues.

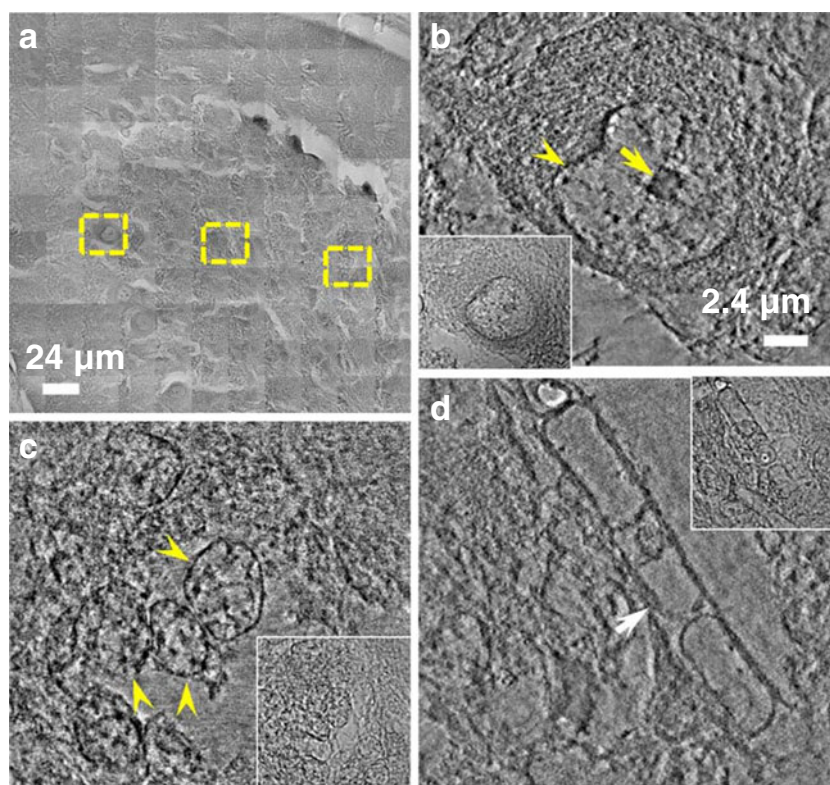
We use this staining method with a full-field zone-plate X-ray microscope with nanometer resolution; this is adequate for imaging nanoparticles and their aggregates in thick specimens and in three dimensions (3D) and for identifying their location at the subcellular level in different organs. This approach specifically enables us to reach the aforementioned conclusions about the effects of PEG coating.

Our approach is not limited to small and/or thin specimens and can be applied to other inorganic nanoparticles. Therefore, it can be specifically used to develop nanoparticle coatings optimized for different applications.

**Fig. 1** X-ray micrographs of cerebral tissue with different stains: (a) OsO<sub>4</sub>, (b) KMnO<sub>4</sub>, (c) IKI, and (d) PTA. With KMnO<sub>4</sub>, individual cells and blood vessels (*red arrowheads*) can be identified. We can also identify the endothelial cell nuclei (*yellow arrowheads*). In contrast, in (a), (c), and (d) it is difficult to differentiate individual cells (*black arrowheads*), vessels (*red arrowheads*), and the extracellular matrix (ECM). The tissues for (b), (c), and (d) were perfused with AuNP colloidal solution to increase the visibility of the vasculature. However, the vessels can be clearly identified only in (b). Overall, these results identify KMnO<sub>4</sub> as the best staining agent for vasculature imaging



**Fig. 2** X-ray micrographs of cerebellum tissues stained with  $\text{KMnO}_4$ . **(a)** Patched image; the yellow squares correspond to the other three images, which are tomography-reconstructed slices of Purkinje cells **(b)**, cerebellar granule cells **(c)**, and myelin sheath **(d)**. Dense cytoplasm, and nucleolus and chromatins in the nucleus are clearly visible. The arrow in **(b)** marks the nucleolus and the arrow-head the nuclear membrane. The arrowheads in **(c)** mark the nucleus. The arrow in **(d)** marks the myelin sheath. Scale bars: **(a)**, 24  $\mu\text{m}$ ; **(b)**, **(c)**, and **(d)**, 2.4  $\mu\text{m}$



## Experimental

### Preparation of the test tissue specimens

The Academia Sinica Institutional Animal Care and Utilization Committee (AS IACUC) approved all animal experiments. We purchased BALB/c mice from the National Laboratory Animal Center, Taiwan and housed them in individually ventilated cages (five per cage) with wood chip bedding, kept at  $24 \pm 2$  °C with 40–70 % humidity and a 12-hour light–dark cycle. The subcutaneous tissue of the left leg region was inoculated with EMT cells ( $1 \times 10^7$  cells  $\text{mL}^{-1}$ ) in 50  $\mu\text{L}$  Matrigel (BD Biosciences) for 7 days to induce the development of subcutaneous tumors. The tumor volume was defined as  $v = 0.5 \times a \times b^2$ , where  $a$  and  $b$  are the largest and the smallest diameters. We performed the imaging experiments after the tumor developed to a size of 100–120  $\text{mm}^3$ , by injecting 200  $\mu\text{L}$  AuNP solution via the tail vein, or by locally injecting 10  $\mu\text{L}$  (10  $\text{mg mL}^{-1}$ ) AuNP solution three times at the tumor site for each mouse.

Two kinds of nanoparticle, bare AuNPs (10  $\text{mg mL}^{-1}$ ) [42, 43] and PEG-AuNPs (26  $\text{mg mL}^{-1}$ ) [28, 44], were separately injected (200  $\mu\text{L}$  each) into the tail vein. After 24 h, the mice were sacrificed. Tumor, lung, liver, kidney, and spleen tissues were removed, immersed in 3.7 % paraformaldehyde for 24 h, then washed three times with PBS (phosphate buffer solution) for 1 h.

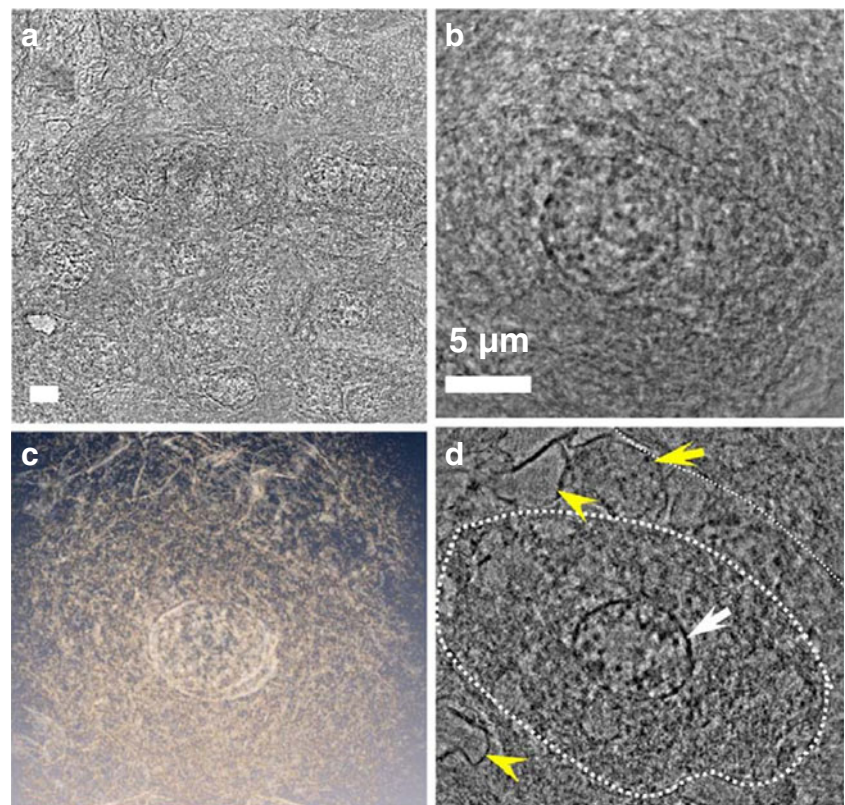
Tissue specimens were dehydrated, embedded in paraffin, and sliced to a thickness  $\sim 10$ –30  $\mu\text{m}$ . We removed the

remaining paraffin by immersion in xylene for 5 min. After triple washing, the samples were rehydrated, immersed in distilled water and stained with a 1 % w/w solution of potassium permanganate ( $\text{KMnO}_4$ ). For comparison, some samples were stained with 2 % Osmium tetroxide ( $\text{OsO}_4$ ), 1 % phosphotungstic acid (PTA), or mixed iodine metal and potassium iodide (iodine–potassium iodide, IKI) [45]. Some of the specimens with nanoparticles were also treated by hematoxylin and eosin (H&E) staining. All specimens were then washed three times with distilled water for 10 min and dehydrated again with increasing ethanol concentrations. Finally, the specimens were embedded in Embed-812 Resin (EMS, Hatfield, PA, USA). The staining agents and the corresponding concentrations are summarized in Table 1.

### High-resolution X-ray imaging

We performed the tests on the 32-ID-D beamline of the Advanced Photon Source (APS, Argonne) and on the 01B beamline of the National Synchrotron Radiation Research Center (NSRRC, Taiwan). The full-field high-resolution X-ray microscope includes several optical components: capillary lenses, zone plates, phase rings [12], and others. Capillary lenses acts as condensers providing illumination that fits the object, with numerical aperture matched to the zone plate, which can be selected from a set according to experimental requirements. A monochromatic (8 keV photon energy) X-ray flux of  $2 \times 10^{11}$  photons  $\text{s}^{-1}$  was obtained with a Si (111)

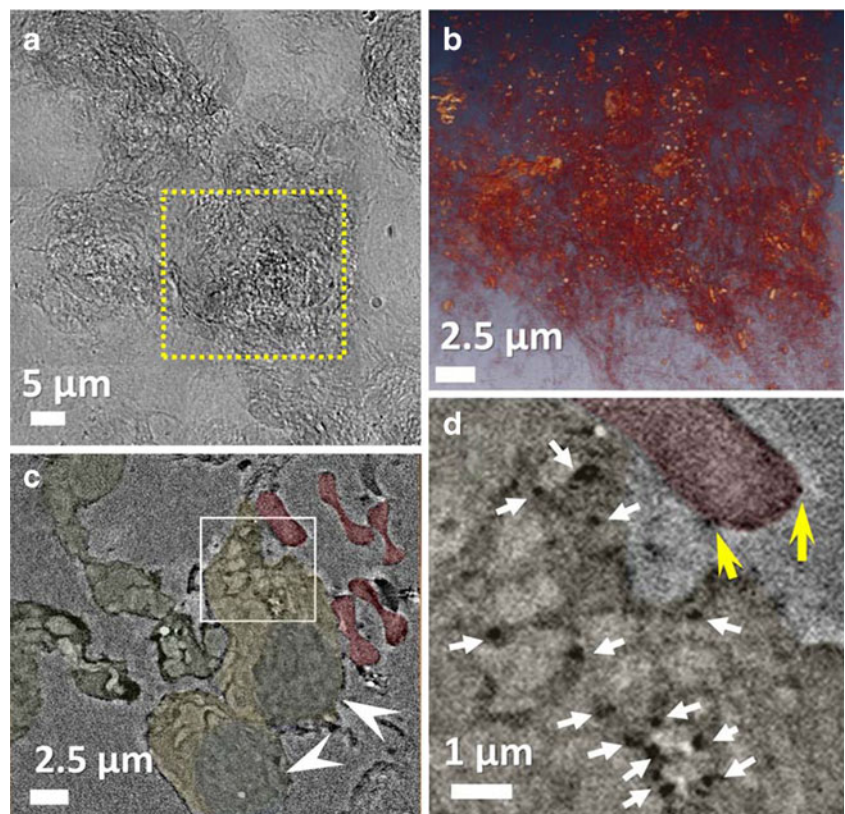
**Fig. 3** X-ray micrographs of liver tissue: **(a)** patched image; **(b)** projection image; **(c)** reconstructed tomographic image; **(d)** reconstructed single-slice image. The *yellow arrowheads* and *arrow* mark red blood cells and a cell with its nucleus. The *white arrow* marks the nucleus of a hepatocyte. Scale bars: 5  $\mu\text{m}$



double crystal monochromator. Zernike phase contrast imaging could be implemented with an Au phase ring placed at the

back focal plane of the Fresnel zone plate [46]. The microscope could deliver images with a 50 ms per frame exposure

**Fig. 4** X-ray micrographs of lung tissue after exposure to bare-AuNPs for 24 h: **(a)** patch image; **(b)** tomographic reconstructed image of the *yellow square* in (a); the 60–200 nm golden dots are aggregates of bare-AuNPs. **(c)** Single-slice tomographic reconstructed image of (b); the *arrowheads* mark the nuclei (darker regions) of two alveolar cells. **(d)** Magnified view of the *white square* in (c). *Yellow arrows* mark bare-AuNPs aggregates attached to red blood cell surfaces and *white arrows* mark bare-AuNPs located inside alveolar cells. Scale bars: (a), 5  $\mu\text{m}$ ; (b) and (c), 2.5  $\mu\text{m}$ ; (d), 1  $\mu\text{m}$



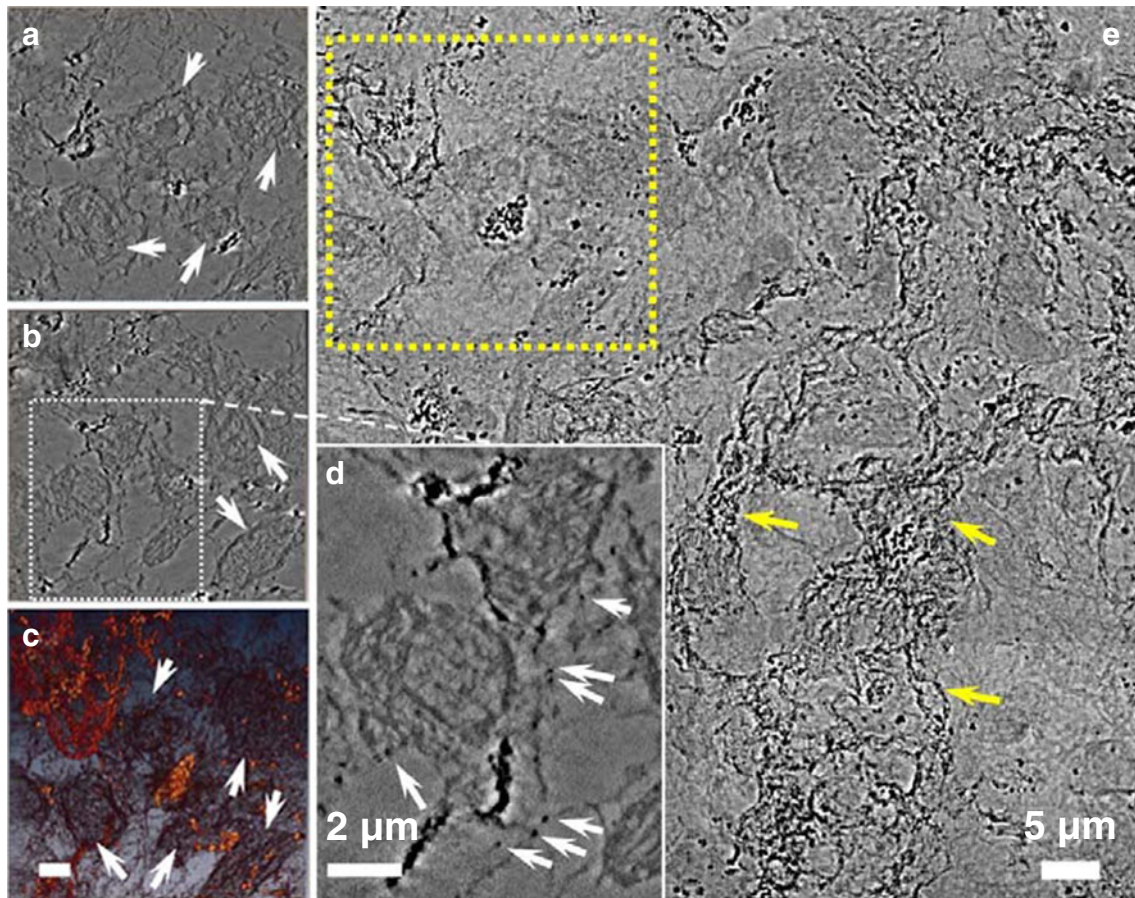
yielding  $\sim 1 \times 10^4$  counts per pixel at the charge-coupled device detector. The total magnification of the zone-plate plus the visible light imaging system was 900–2400 $\times$ . The selected photon energy of 8 keV simultaneously optimized the zone plate effectiveness and the contrast [12–14, 46, 47]. Tomography reconstructions were performed starting from 160 or 320 projection images taken at 0.5 or 1-degree intervals. A comprehensive description of the experimental setup and a discussion of the capabilities of TXM (transmission X-ray microscopy) when applied to different types of biological specimens can be found in Ref. [48].

## Results and discussion

We first show that staining based on  $\text{KMnO}_4$  produced clear images at the cellular level for tissues. Figure 1 is a set of high-resolution X-ray micrographs of cerebral tissues with different types of staining:  $\text{OsO}_4$ ,  $\text{KMnO}_4$ , IKI, and PTA

[45].  $\text{OsO}_4$  is known to stain unsaturated lipids, proteins, and lipoprotein membranes; Fig. 1a shows the corresponding results for the dense structure of the cell nucleus, the cytoplasm, and the extracellular matrix in the cerebral tissue. Figure 1b shows the results of  $\text{KMnO}_4$  staining, which was found to be deposited on the membrane surfaces in the form of dense precipitates, providing high visibility for small features. In comparison, with IKI and PTA (Fig. 1c and d) only extracellular components, for example elastin, collagen, and basement membrane, were clearly visible. From these and other results, we concluded that  $\text{KMnO}_4$  was the best choice.

Figure 2 shows X-ray micrographs of  $\text{KMnO}_4$ -stained mouse cerebellum tissue. Note that molecular layer (M), Purkinje cell layer (P), granular layer (G), and white matter area (W) are clearly distinguishable from each other (Fig. 2a). Purkinje cells have clear boundaries between nuclei and cytoplasm. Large nuclei with nucleolus and nucleus-associated chromatin are clearly visible (Fig. 2b).



**Fig. 5** X-ray micrographs of locally injected bare-AuNPs in subcutaneous tumor (developed by injecting Matrigel mixed with tumor cells). These micrographs show that large amounts of bare-AuNPs are accumulated in the ECM and smaller amounts in cells. (a), (b), and (c) are reconstructed images corresponding to the yellow square in (e). Cell nuclei can be seen in (a), (b), and (c) (e.g., those marked by *white arrows*).

The magnified version of the rectangle (b), shown in (d), reveals that bare-AuNPs (*white arrows*) are present on the surfaces of two cells; black line shape structures in (e); a few examples marked by *yellow arrows* show bare-AuNPs accumulated in the ECM. (a) and (b) are different sections of (c). (e) patched TXM micrographs of tumor tissue after treatment with bare-AuNPs. Scale bars: (a), (b), (c), and (d), 2  $\mu\text{m}$ ; (e), 5  $\mu\text{m}$

Cerebellar granule cell nuclei are apparent in Fig. 2c. Myelin sheath can also be identified in the white matter (Fig. 2d).

Figure 3 shows images of a mouse hepatocyte taken from sliced liver tissue with  $\text{KMnO}_4$  staining. We obtained a 3D tomography reconstruction of this cell with sufficient quality to detect subcellular structures, for example nuclei and cytoplasm. From the morphology, we can distinguish different cell types in these tissue sections. For example, the yellow arrowheads in Fig. 3d mark red blood cells whereas the yellow and white arrows mark the cell and the nucleus (Fig. 3d).

Sub-cellular staining with  $\text{KMnO}_4$  enabled us to accurately determine the AuNP location in each cell. For example, Fig. 4 shows lung tissue with small bare-AuNP aggregates accumulated after tail-vein injection. Figure 4b is a single-slice image obtained by tomography reconstruction, corresponding to the yellow square in Fig. 4a.

The size of bare-AuNP aggregates,  $\sim 60$ – $200$  nm, is substantially larger than that of a single bare-AuNP,  $\sim 15$  nm. These aggregates are clearly visible and mostly located in alveolar cells; quantitative evaluation of their number and size give an Au density of  $\sim 7.3 \mu\text{g mm}^{-3}$ . Note that such a large number of bare-AuNPs in the lung for a long time is not desirable for nanomedicine applications.

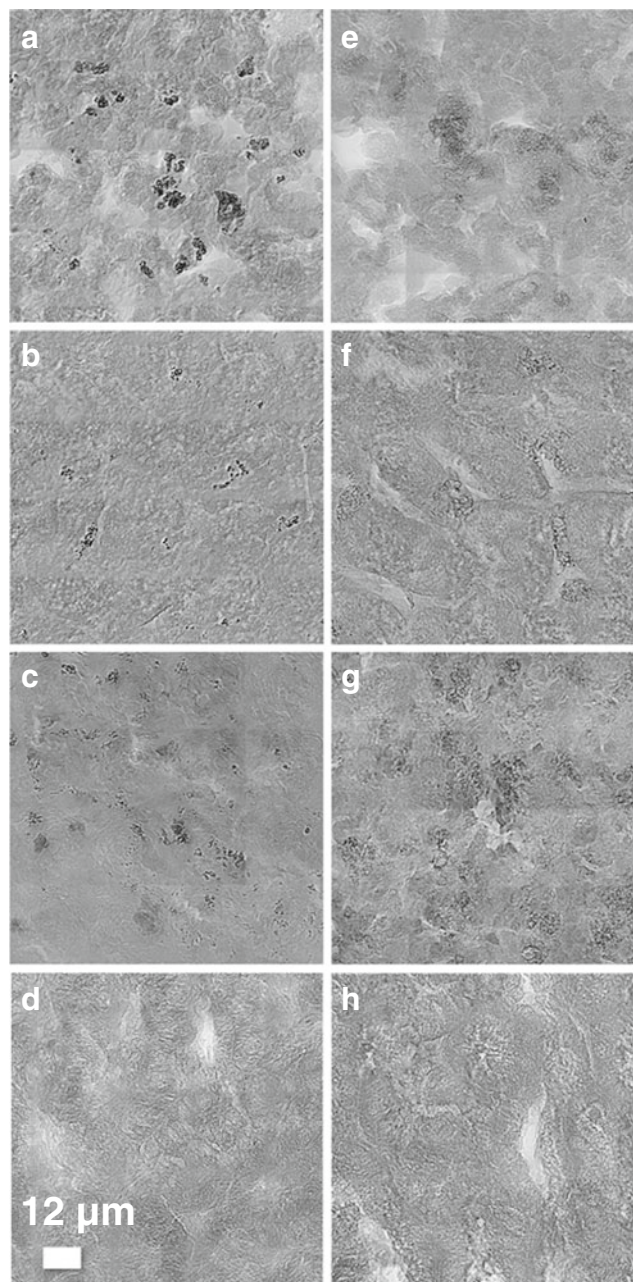
We also see in Fig. 5d and e bare AuNPs in subcutaneous tumor. The images show unstable agglomerated nanoparticles adhering to tumor cells and to the extracellular matrix (ECM, Matrigel BD) (Fig. 5d and e). This kind of result is valuable for studying the interaction of AuNPs with tissues.

To avoid undesired accumulation of AuNPs, a well-known strategy is surface coating with polymers, which are inert in the blood and can prolong the nanoparticle presence in the circulation system before being recognition by the RES system. Widely used is PEG [35, 37, 38, 49, 50], a highly hydrophilic polymer that, by means of steric repulsion forces, prevents opsonin proteins from being adsorbed by the nanoparticle surface and impedes recognition by macrophages. PEG-AuNPs remain in the bloodstream for hours, dramatically increasing the effectiveness of accumulation in tumors.

We produced PEG-AuNPs by slight modification of the one-pot synthetic method for bare-AuNPs; it is based on X-ray irradiation and is described in Refs. [28, 42, 43]. Both the bare and PEG-coated AuNPs thus obtained have excellent biocompatibility, colloidal properties, and long-term stability [10, 28, 51]. They can be purified to very high concentrations. The two types of AuNP have different EPR effects [28]. In particular, high accumulation of bare-AuNPs is observed in RES organs, which results in a lower absolute AuNP percentage accumulated at tumor sites (data not shown).

Pharmacokinetic study confirmed that our PEG-AuNPs have a much longer lifetime in blood than their bare

counterparts. Their accumulation in tumor, liver, kidney, and spleen tissues steadily increased for a much longer time, up to  $\sim 24$  h. By use of special Fresnel zone plate objectives with  $\sim 20$  nm outermost zone width, we could image the corresponding tissues with resolution  $< 20$  nm [14, 52]. This was not quite sufficient to detect individual AuNPs (size  $\sim 15$  nm), but did reveal their aggregates.



**Fig. 6** High-resolution patched TXM micrographs of different tissues after two different AuNP treatments, showing different accumulations. (a)–(d) correspond to lung, liver, spleen and kidney tissues treated with bare-AuNPs. (e)–(h) correspond to the same tissues treated with PEG-AuNPs. In (a), (b), and (c), bare-AuNPs aggregate, forming dense gold clusters. In (e) and (h), most PEG-AuNPs form, instead, dark gray hollow circle vesicles in cells. Scale bar:  $12 \mu\text{m}$

Without surface modification, one expects large AuNPs agglomerates, because the lungs can effectively filter them (Fig. 6a). Figure 7a and d show that AuNPs in the lungs form agglomerates with size up to 5–10  $\mu\text{m}$  and block small vessels. The rest of the small AuNPs keep circulating and then accumulate in the spleen and in the liver. Most AuNPs found in these organs form spherical agglomerates.

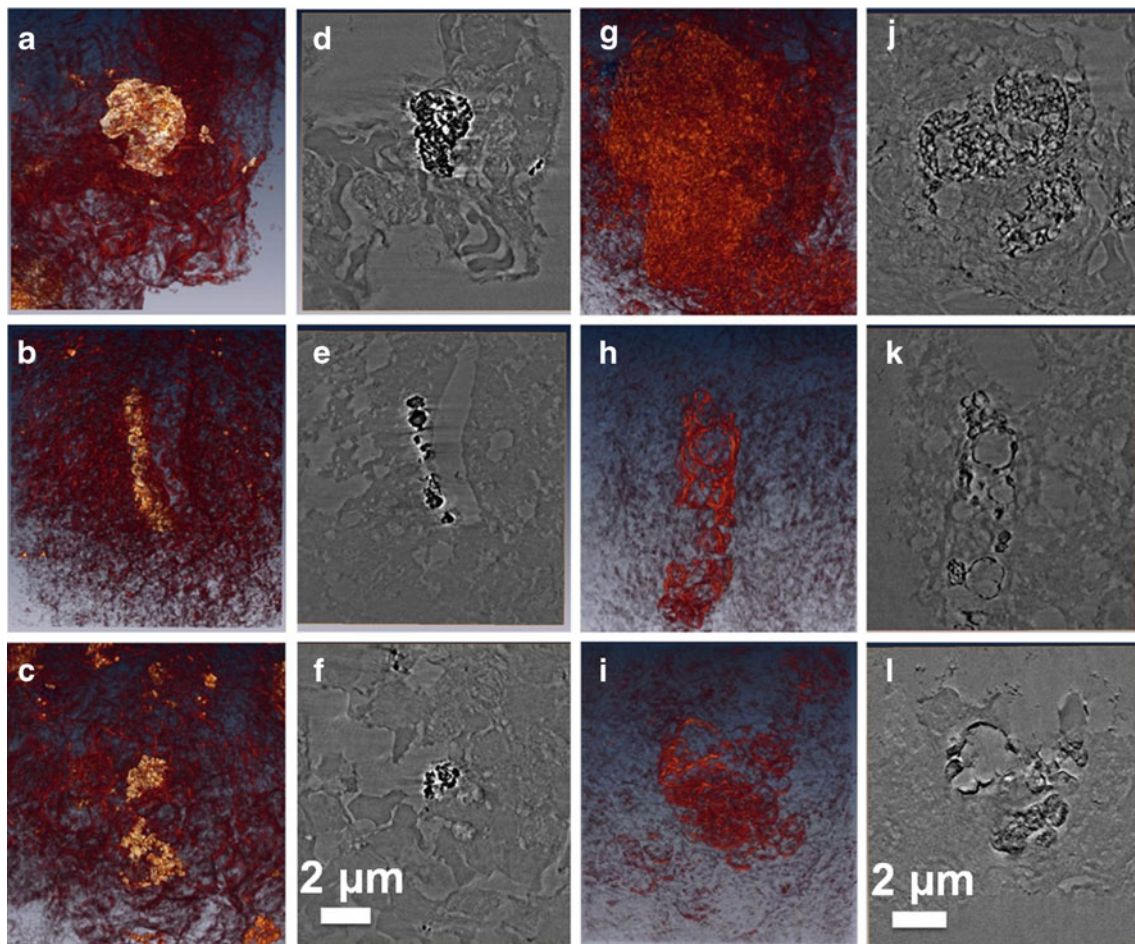
From TXM images, for example Fig. 6b and c, we estimate particle sizes to be in the 350–450 nm range in the liver and 250–350 nm in the spleen. Figure 7b and e show that in the liver small agglomerated AuNPs are accumulated in Kupffer cells whereas Fig. 7c and f show that in the spleen the nanoparticles are internalized by the red pulp. In contrast, we did not find AuNPs in hepatocytes (marked by arrows in Fig. 7b). This indicates that internalization predominantly occurs in specific types of cell in the liver.

With regard to PEG-AuNPs, we have already mentioned that the coating prolongs the circulation time. We found,

however, that it does not completely avoid surface modifications by opsinin protein adhesion: the coated nanoparticles are still recognized by macrophages. In high-resolution X-ray micrographs, PEG-AuNPs were found to be internalized in vesicles, forming hollow circle shapes in cells (Fig. 6e–g). Non-uniform distributions of multi-vesicles in macrophages were only found in the lungs (Fig. 7g and j). Note that this analysis requires imaging large areas and/or volumes without compromising resolution; TXM imaging using large area patchworks seems quite effective in that regard [17].

The PEG-AuNPs vesicle distribution in Kupffer cells (Fig. 7h and k) is between 500 and 1600 nm, whereas in splenic red pulp it is between 900 and 2000 nm (Fig. 7i and l). We cannot, however, rule out the possible presence of non-agglomerated PEG-AuNPs ( $6.1 \pm 1.9$  nm) with our  $\sim 20$  nm resolution [14].

We detected neither bare nor PEG-coated AuNPs in kidney tissues—Fig. 6d and h. The reasons could be the large



**Fig. 7** High-resolution tomography reconstructed micrographs show the accumulation of AuNPs in different tissues. (a) to (c): 3D reconstructed images for lung (a), liver (b) and spleen (c). The golden color marks dense bare-AuNP clusters whereas the dark red color corresponds to the tissue. (d) to (f): single-slice images corresponding to (a)–(c), showing the dark, dense bare-AuNP agglomerates. (g) to (i): 3D

tomography reconstructed images of lung (g), liver (h), and spleen (i) tissues treated with PEG-AuNPs. The red color marks PEG-AuNPs in the spherical vesicles. (j) to (l): single-slice images corresponding to (a)–(c), revealing vesicles containing PEG-AuNP. Multi-vesicles (dark hollow vesicles) of PEG-AuNPs are found in the lung tissue (j). Scale bars: 2  $\mu\text{m}$ .

amounts of AuNPs sequestered by the RES system and the fact that PEG-AuNPs are not small enough to penetrate the endothelium.

Figure 8 shows an example of AuNP aggregates in tumor tissues. Bare-AuNPs are difficult to detect (Fig. 8a and c–e), whereas PEG-AuNPs are easily observed, showing that they are internalized in tumor cells (Fig. 8b and f–h). This further confirms that the concentration and/or accumulation of bare-AuNPs in tumors is much lower than for PEG-AuNPs. We also found that for PEG-AuNPs small nanoparticle-containing vesicles are distributed from the surface to the cytoplasm (inset in Fig. 8h) within the cells.

## Conclusions

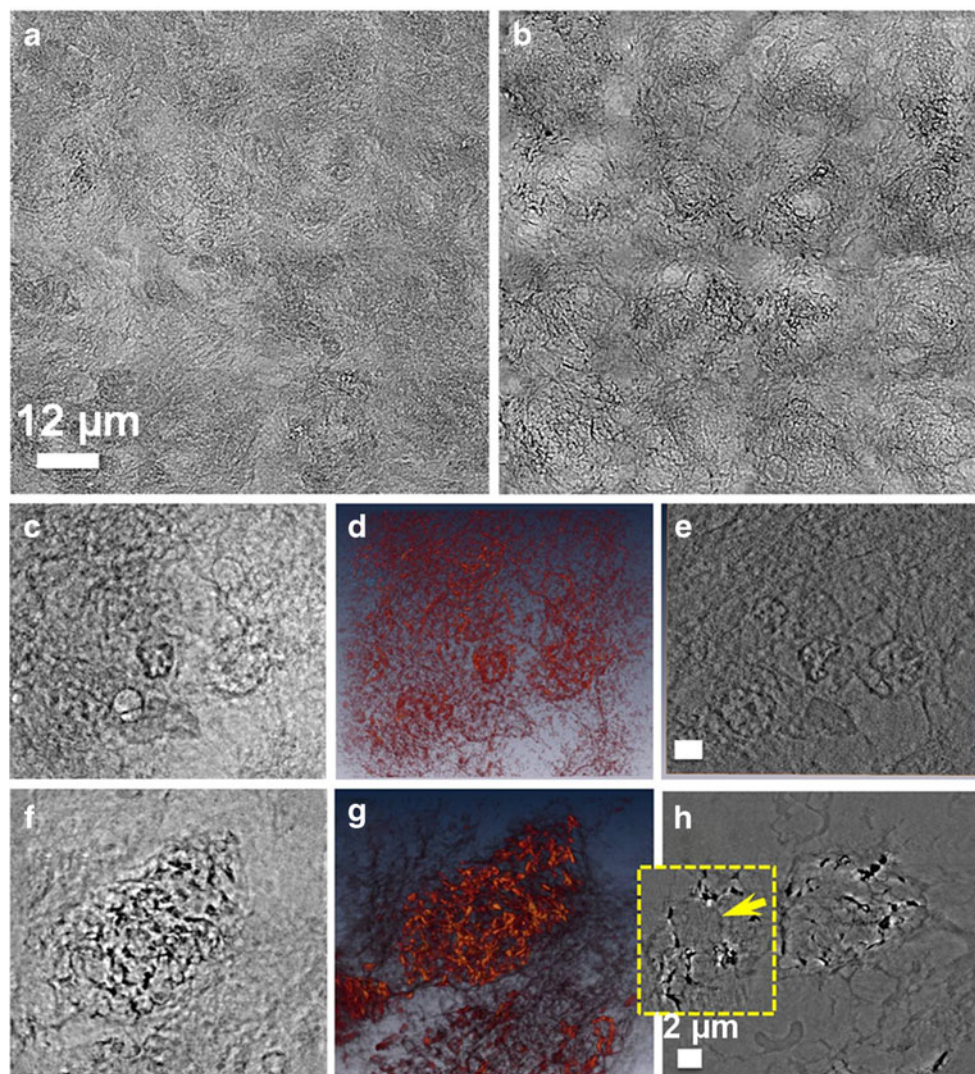
We have developed and tested an imaging approach based on ad-hoc staining for high-resolution X-ray microscopy that enables direct visualization, at sub-cellular level, of AuNP

internalization in different tissues. Specifically, we demonstrated that with  $\text{KMnO}_4$  staining high-resolution X-ray microscopy can resolve dense and complicated sub-cellular features in tissue specimens and provide important qualitative and quantitative information about nanoparticle internalization processes.

This led to verification that PEG-AuNPs accumulate strongly in tumors and shed new light on the differences between bare and PEG-coated AuNPs. For example, we clarified the mechanisms underlying previous results for tumor accumulation and cell internalization of PEG-AuNPs compared with bare-AuNPs. Specifically, we showed that the higher absolute concentration of PEG-AuNPs in tumors is a direct consequence of the PEG coating, which prolongs circulation in the bloodstream and increases the possibility of reaching a tumor.

These results prove the feasibility of our method, including quantitative evaluation. They also show its effectiveness and flexibility compared with other approaches, which do not have

**Fig. 8** TXM micrographs of tumor tissues after treatment with bare-AuNPs and PEG-AuNPs. Smaller amounts of bare-AuNPs (a) accumulated in tumors than of PEG-AuNPs (b). PEG-AuNPs were found in small vesicles (light red in (g) and black dots in (h)) in tumor cells. The inset in (h) shows the cell nucleus (arrow) and small vesicles (black dots). (a) and (c) are projection micrographs of tumor tissue after bare-AuNPs treatment whereas (b) and (f) are projection micrographs after PEG-AuNPs treatment. (d) and (g) are tomographic reconstructed images corresponding to (c) and (f). (e) and (h) are single-slice images corresponding to (d) and (g). Scale bars: (a) and (b), 12  $\mu\text{m}$ ; all other images, 2  $\mu\text{m}$





sufficient resolution to directly image AuNPs (or AuNP aggregates), or cannot penetrate large tissue specimens.

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