

# Non-Drude Behavior in Indium-Tin-Oxide Nanowhiskers and Thin Films Investigated by Transmission and Reflection THz Time-Domain Spectroscopy

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**Abstract**—A comparative study of indium-tin-oxide (ITO) nanowhiskers (NWhs) and thin films as transparent conductors in the terahertz frequency range are conducted. We employ both transmission-type and reflection-type terahertz time-domain spectroscopies (THz-TDTS and THz-TDRS) to explore the far-infrared optical properties of these samples. Their electrical properties, such as plasma frequencies and carrier scattering times, are analyzed and found to be fitted well by the Drude-Smith model over 0.1–1.4 THz. Further, structural and crystalline properties of samples are examined by scanning electron microscopy and X-ray diffraction, respectively. Non-Drude behavior of complex conductivities in ITO NWhs is attributed to carrier scattering from grain boundaries and impurity ions. In ITO thin films, however, the observed non-Drude behavior is ascribed to scattering by impurity ions only. Considering NWhs and thin films with the same height, mobility of the former is  $\sim 125 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , much larger than those of the ITO thin films,  $\sim 27 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ . This is attributed to the longer carrier scattering time of the NWhs. The dc conductivities ( $\sim 250 \text{ } \Omega^{-1} \text{ cm}^{-1}$ ) or real conductivities in the THz frequency region of ITO NWhs is, however, lower than those of the ITO thin films ( $\sim 800 \text{ } \Omega^{-1} \text{ cm}^{-1}$ ) but adequate for use as electrodes. Partly, this is a reflection of the much higher plasma frequencies of thin films. Significantly, the transmittance of ITO NWhs ( $\cong 60\%$ – $70\%$ ) is much higher ( $\cong 13$  times) than those of ITO thin films in the THz frequency range. The underneath basic physics is that

the THz radiation can easily propagate through the air-space among NWhs. The superb transmittance and adequate electrical properties of ITO NWhs suggest their potential applications as transparent conducting electrodes in THz devices.

**Index Terms**—Complex conductivity, dielectric function, drude-smith model, effective medium theory, far infrared, indium tin oxide, nanomaterial, optical constants, scattering time, spectroscopy, terahertz.

## I. INTRODUCTION

INDIUM-TIN-OXIDE (ITO), an n-type semiconductor, has been one of the most frequently used transparent conducting oxides (TCOs). This is due to its wide band gap and relatively high electrical conductivity [1], [2]. To date, ITO thin films have been widely employed as transparent electrodes and direct-Ohmic contact structures in optoelectronic devices, e.g., light emitting diodes (LED) [3], [4], solar cells [5], liquid crystal displays (LCD) [6], [7], optical disk cavities [8], biosensor [9], optical pulse shapers [10], and fiber refractometers [11]. As a result, optical and electrical characteristics of ITO thin films have been extensively investigated [12]–[15]. The most important material properties of ITO thin films are their high average transmission ( $>92\%$ ) in the visible band [14], and low resistivity ( $<200 \text{ } \mu\Omega\text{-cm}$ ) [12], [14]. In recent years, ITO nanocolumns, e.g., nanorods, nanopillars, nanowires (NWs) and nanowhiskers (NWhs), have aroused great interests because of their excellent electrical characteristics as well as broadband and omnidirectional anti-reflection (AR) properties in the visible region [16]–[18]. In general, the resistivity of individual ITO nanocolumns ranges from  $100 \text{ } \mu\Omega\text{-cm}$  to several thousands of  $\mu\Omega\text{-cm}$  at room-temperature [19]. Their transmittance can be higher than  $95\%$  in the visible range [20], [21]. A number of groups have reported electrical and optical characteristics of ITO nanocolumns [19], [20], [22], [23]. However, there are some shortcomings in these previous measurements of electrical properties of ITO nanomaterials. First of all, a measurement by the scanning probe provides information on individual nanocolumns instead of shedding lights on behavior of carriers over an area. The latter is relevant for device applications. Conventional Hall measurement, on the other hand, is destructive because it requires making electrical contacts on the nanostructures or thin films [19], [22]. Finally, the measurement at lower frequencies can only probe the macroscopically

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electrical properties, instead of the nanometer length-scale range, which is close to the grain sizes of typical nanostructured material and corresponding to the terahertz (THz) region by considering the diffusion coefficient [24].

What is more, in consideration of the growing demand of THz optoelectronic devices [25], it is natural to explore applications of ITO in the far-infrared wavelength range. Several millimeter wave and THz devices employing ITO thin films have been reported [26]–[29]. Therefore, a complete understanding of the far-infrared optical and electrical properties of ITO materials is requisite. Fourier transform infrared spectroscopy (FTIR) has been one of the popular methods employed to determine the optical and electrical properties of conducting films [30], [31]. Lately, THz time-domain spectroscopy (THz-TDS) has also been widely used for investigating the optical and electrical parameters of a wide-range of materials relevant to our work, e.g., thin metal films [32], epilayers [33], bulk semiconductor [34], and several kinds of nanostructures [35]–[46]. The transmittance and complex optical constants of ITO thin films by transmission-type THz-TDS (THz-TDTS) were reported [29], [47]. In our previous study, we employed the Drude model to deduce the complex conductivities and other relevant electrical parameters of sputtered ITO thin films also by THz-TDTS [31]. However, the appropriateness of the Drude model here can be argued because of slightly negative values of the imaginary conductivities of ITO films [31]. Recently, we have reported the optical and electrical properties of ITO bottom layer atop the substrate of ITO NWs [48]. Such a layer exhibits excellent crystallinity with large grain size, strong back scattering effect at domain walls and complete carrier localization in the NWs. Unfortunately, this particular nanostructured sample is somewhat non-uniform and the structures of ITO NWs consist of trunks with many branches. As a result, the complex optical and electrical properties in the direction of electrical field parallel to the branches can be affected by the more complex structure [48]. On the other hand, taking the far-infrared characteristics of ITO materials, i.e., the high reflectance and strong absorption [30], [31] into account, it is desirable to conduct also reflection-type THz-TDS (THz-TDRS) measurements to confirm the accuracy and reliability of material parameters extracted from THz-TDTS data. Further, ITO nanostructures are frequently deposited on silicon or fused silica substrates that can be over 500~1000 times thicker than the heights of nanostructures. Due to the larger phase change induced by much more thicker substrates than nanostructures, THz-TDTS might not be able to offer enough sensitivity for extraction of accurate optical constants [49]. Indeed, THz-TDRS has been applied to study metals [50], [51], superconductors [50], [52], highly doped silicon [53], the explosive RDX [54], and aqueous alcohol [55] for the above reasons. To our knowledge, there has been no previous study of TCO nanostructures by using THz-TDRS. Furthermore, the information on reflective response of ITO nanostructure is important for designing the optoelectronic devices in THz frequency range.

In this work, ITO NWs and thin films were prepared by the glancing-angle electron-beam evaporation method and direct current (DC) reactive magnetron sputtering methods,

respectively. The transmittance and reflectance of both types of samples were determined. The frequency-dependent complex conductivities, refractive indices and extinction coefficients of the ITO NWs and thin films have also been investigated by employing both THz-TDTS and THz-TDRS. The model of a thin film in the effective medium approximation (EMA) was employed to analyze the nanostructures in the THz frequency range [38], [56]. Electrical properties of the ITO nanostructures and thin films, such as plasma frequency ( $\omega_p$ ), carrier scattering time ( $\tau$ ), mobility ( $\mu$ ), and carrier concentration ( $N_c$ ), were extracted by using the Drude-Smith model [57]. This model takes into account carrier localization and is widely used to describe non-Drude-like behavior in poor conductors and nanostructured materials [35]–[37], [39], [40], [46], [58]. Regarding ITO NWs, the effect of the grain boundary on carrier transport cannot be ignored because of their mean free paths are comparable to the grain size [59]. For ITO thin films fabricated by the method of sputtering, however, it is shown that backscattering of carriers by impurity ions is the dominant mechanism for the localization of carriers.

This paper is organized as follows. In Section II, we introduce the preparation and structural characteristics of the ITO NWs and thin films. The experimental setups for THz spectroscopic studies are described briefly. The method of extracting the optical and electrical parameters of the samples from THz-TDS will also be presented. In Section III, we show the experimental results and their analysis. Finally, we summarize conclusions of this study in Section IV.

## II. EXPERIMENTAL AND THEORETICAL METHODS

### A. Preparation and Characterization of the ITO Nanowhiskers and ITO Thin Films

By applying glancing angle electron-beam evaporation, ITO NWs can be deposited on (100)-oriented silicon substrates of high resistivity. The target source for deposition was the oxide material composed of 5% SnO<sub>2</sub> and 95% In<sub>2</sub>O<sub>3</sub>. The double-side-polished silicon substrate was attached to a holder, which was tilted at a deposition angle of 70° with respect to the incident vapor flux. At the beginning of the evaporation, the pressure was pumped down to  $\sim 10^{-6}$  torr in the chamber. During growth, the chamber was stabilized at 280 °C and a vacuum of  $\sim 10^{-4}$  torr was maintained. In order to observe the growth status of ITO NWs, we set the deposition time of samples at either 11 min. or 22 min., with a deposition rate of 0.15 nm per second.

The ITO NWs were characterized by scanning electron microscopy (SEM, JEOL 7000). Figure 1 shows the SEM images of the ITO NWs with deposition times of (a) 11 minutes and (b) 22 minutes. The tilted top views of the NWs are shown in Fig. 1(a) and Fig. 1(b), respectively. The corresponding cross-sectional images of the NWs are shown in Fig. 1(c) and 1(d). The heights of ITO NWs are estimated to be 431.2 nm (short NWs) and 1387.7 nm (long NWs). We observed side branches starting to grow along the sides of ITO trunk after nanorods become longer than 1  $\mu$ m.

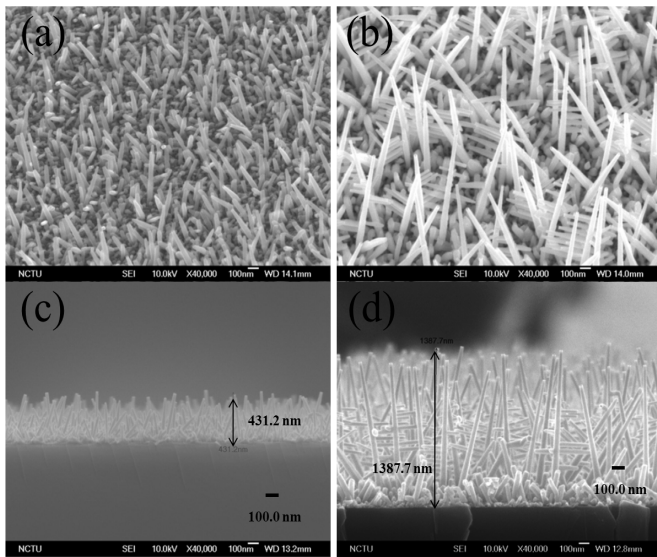


Fig. 1. The scanning electron microscopic images of the ITO NWs fabricated with deposition times of (a) 11 minutes (b) 22 minutes. The corresponding cross-sectional images in (c) and (d) show the estimated heights of 431.2 nm (short NWs) and 1387.7 nm (long NWs), respectively.

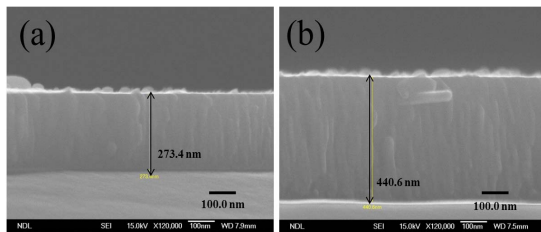


Fig. 2. The scanning electron microscopic cross-sectional images of the ITO thin films show these two samples have estimated heights of (a) 273.4 nm and (b) 440.6 nm, respectively.

The ITO thin films were fabricated by DC reactive magnetron sputtering. The target was composed of 5% SnO<sub>2</sub> and 95% In<sub>2</sub>O<sub>3</sub>, which was the same condition for depositing ITO NWs. The sputtering power was set at 300 W. Argon (20 sccm) and oxygen (0.4 sccm) were injected into the chamber and fixed at the total pressure of  $\sim 10^{-5}$  torr. The substrates were kept at a fixed temperature of 20 °C. The cross-sectional views of ITO thin films are shown in Fig. 2(a) and Fig. 2(b), respectively. The heights of films are determined to be (a) 273.4 nm and (b) 440.6 nm, respectively.

In addition, the crystalline quality of the ITO NWs and ITO thin films were characterized by X-ray diffraction (XRD, PANalytical X'Pert Pro, MRD) with high resolution at the incident angle of 0.5 degree. The X-ray radiation source applied was Cu K<sub>α</sub> radiation with wavelength of 0.154 nm. Figure 3 shows the XRD patterns for ITO NWs of different heights and thin films, respectively. Examining Fig. 3(a), we find that the ITO NWs have a <111> preferred orientation because the intensity of the (222) peak is stronger than others. The samples of NWs with two different deposition times exhibit similar crystal structures. Intensity ratios of the (222) and (400) diffraction peaks for 431.2nm- and 1387.7nm-high NWs are 3.28 and 1.72, respectively. The full-width-at-half maximum (FWHM)

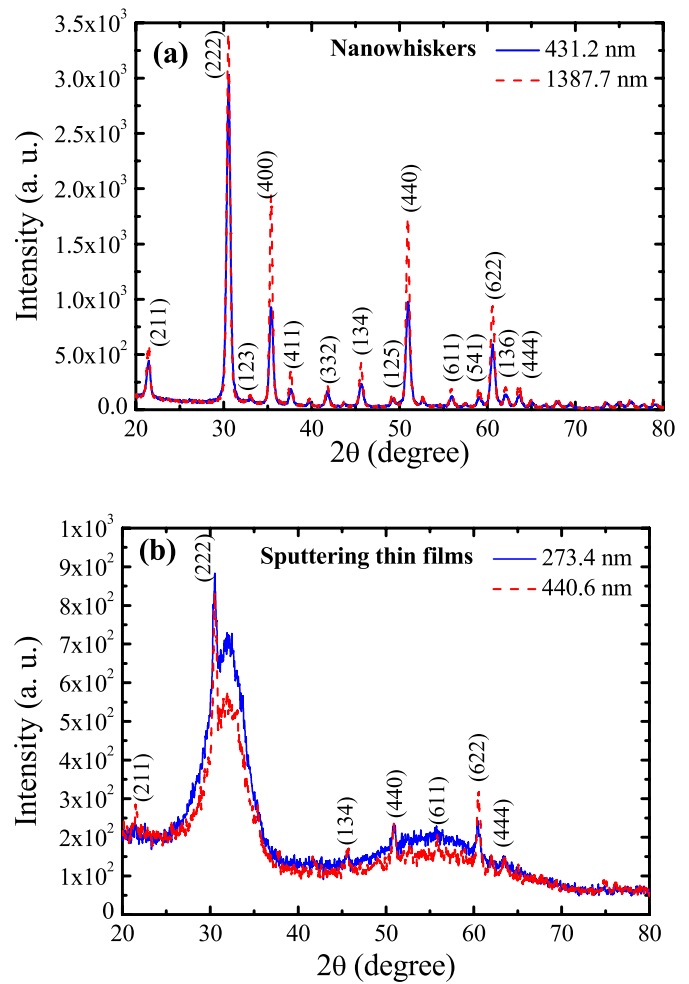


Fig. 3. X-ray diffraction patterns for (a) ITO NWs and (b) ITO thin films. In Fig. 3(a), the blue solid line and red dash line correspond to ITO NWs with heights of 431.2 nm (short NWs) and 1387.7 nm (long NWs), respectively. In Fig. 3(b), the blue and red solid lines correspond to ITO thin films with heights of 273.4 nm and 440.6 nm, respectively.

of a peak in XRD patterns is related to the average grain size of the material under investigation. By applying the Scherrer formula [60], given by  $G = 0.93 \cdot \lambda / (\text{FWHM} \times \cos\theta)$ , where  $G$  is the average grain size,  $\theta$  is angular position of the diffraction peak and  $\lambda$  is the wavelength of the X-ray radiation used, we find the crystal grain sizes of (222) orientation of ITO short and long NWs are 17.36 nm and 19.40 nm, respectively. This trend is consistent with similar analysis of the (400) peak, which yield crystal grain sizes of 18.23 nm and 22.46 nm, respectively for the above mentioned samples. The above XRD analysis reveals that ITO NWs prefer to grow along the plane [100] under a low-oxygen environment. In contrast, XRD pattern of ITO thin films reveal strongly amorphous characteristics with no preferred orientation (see Fig. 3(b)). Note also the broader linewidth of the XRD peaks for ITO thin films than NWs. This is further proof that the NWs exhibit better crystalline quality than the films.

#### B. Experimental Setups for THz-TDTS and THz-TDRS

Two photoconductive (PC) antenna-based THz-TDS as described in our previous works were used to characterize

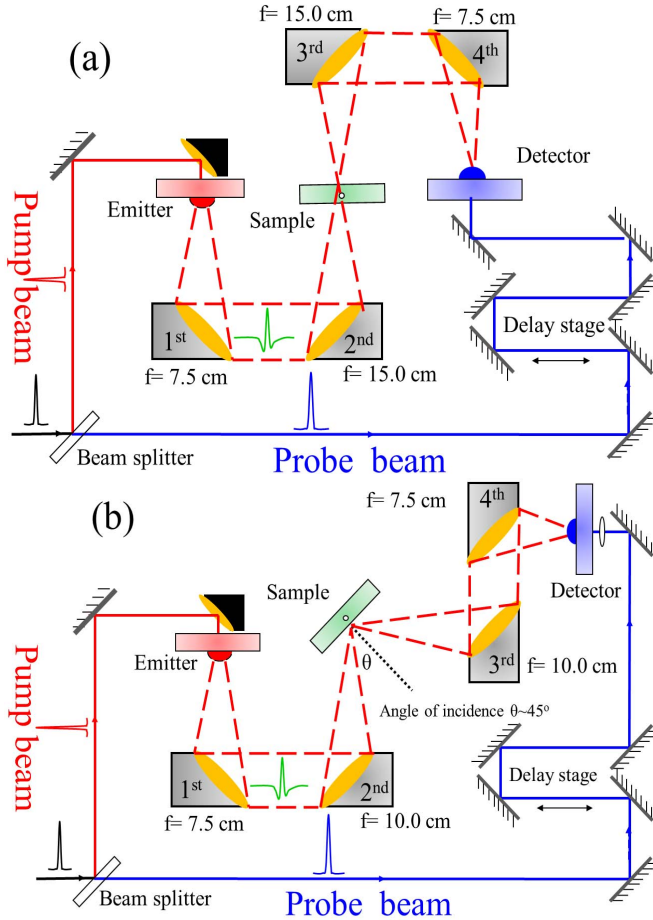


Fig. 4. Schematic drawings of the homemade (a) transmission and (b) reflection type THz-TDS. The exciting and probing pulses are from a femtosecond Ti: Sapphire laser. The emitters and detectors are photoconductive antennas.

the samples in the frequency range between 100 GHz and 1.6 THz [48], [61]–[63]. The schematic diagram of our THz-TDTS is shown in Fig. 4(a). Two pairs of symmetrically placed parabolic mirrors with focal lengths of 7.5 and 15 cm were employed. Their diameters of long versus short axis are 7 versus 5 cm, and 10 versus 7 cm, respectively. The dynamic range of our THz-TDTS is as high as  $10^6$ . The experimental setup of the THz-TDRS is shown in Fig. 4(b). The focal lengths of the two pairs of symmetrically placed parabolic mirrors are 7 and 5 cm, respectively. The dynamic range of this system is  $\sim 10^{5.5}$ . The radius of the THz beam at the sample position is around 5 mm, while the angle of incidence (AOI) of the THz beam on the target is  $\sim 45^\circ$ . During measurements, both systems are purged with nitrogen at a relative humidity of  $4.5 \pm 0.5\%$ .

### C. Determination of THz Optical Constants by THz-TDTS and THz-TDRS

In the THz frequency range, if the heights of measured nanostructures are only several micrometers or hundred nanometers, subsequent THz signals caused by the multiple reflections from the interfaces cannot be separated from the

main peak in the time domain. Therefore, the effect should be considered in the analytic model for both systems.

In our previous work [48], the ITO NWs were of low density and not uniformly distributed. We treated such samples as a kind of graded-refractive-index structure instead of the uniform film. Such a profile of complex refractive indices has to be supposed in order to match observed low reflectance of such samples with respect to the sputtered ITO film. Samples of NWs in this study, however, show uniform trunks and branches with high density. Therefore, the ITO NWs in this work are modeled by the thin film model within the effective medium approximation (EMA).

We consider a monochromatic plane THz wave propagating through the sample on the substrate at normal incidence [31]. Further, the slight difference in thickness of substrates of the sample and reference is taken into account by  $\Delta d$ . The complex transmission coefficient of the nanostructure (or thin film), normalized to that of the bare substrate, can be written as [64],

$$T_{Theo,0^\circ}^*(\omega) = E_{Sam,T}^*(\omega)/E_{Ref,T}^*(\omega) = t_{12,0^\circ}^* \cdot t_{23,0^\circ}^* \cdot \exp[i \cdot (n_2^* - 1) \cdot d \cdot \omega/c] / \left\{ t_{13,0^\circ}^* \cdot [1 - r_{23,0^\circ}^* \cdot r_{21,0^\circ}^* \cdot \exp(i \cdot 2n_2^* \cdot d \cdot \omega/c)] \right\} \cdot \exp[i \cdot (n_3^* - 1) \cdot \Delta d \cdot \omega/c] \quad (1)$$

where  $E_{Sam,T}^*(\omega)$  and  $E_{Ref,T}^*(\omega)$  are electric fields of the THz wave transmitted through the sample and the bare substrate, respectively;  $t_{12,0^\circ}^*$ ,  $t_{23,0^\circ}^*$  and  $t_{13,0^\circ}^*$  are the transmission coefficients of the THz signal at the normal incidence ( $0^\circ$ ) from air (medium #1) to the nanostructure or thin film (medium #2), from the nanostructure or thin film to the substrate (medium #3), and from air to the substrate, respectively. Similarly,  $r_{23,0^\circ}^*$  and  $r_{21,0^\circ}^*$  are the reflection coefficients of the THz signal at normal incidence from the nanostructure (or thin film) to the substrate, and from the nanostructure (or thin film) to air, respectively. Here,  $n_2^*$  and  $n_3^*$  are the equivalent refractive indices of the nanostructure (or thin film) and the substrate, respectively. Additionally,  $d$  is the height of the nanostructure (or thin film);  $\omega$  and  $c$  are the angular frequency and speed of light in vacuum, respectively.

In THz-TDRS, we can also write the complex reflection coefficient of the nanostructure (or thin film), normalized to that of the bare substrate [64], as

$$R_{Theo,45^\circ}^*(\omega) = E_{Sam,R}^*/E_{Ref,R}^* = \left[ \frac{r_{12,45^\circ}^* + r_{23,45^\circ}^* \cdot \exp(i \cdot 2\delta)}{1 + r_{12,45^\circ}^* \cdot r_{23,45^\circ}^* \cdot \exp(i \cdot 2\delta)} \right] \cdot \exp(i\pi), \quad (2)$$

where  $\delta = \omega \cdot n_2^* \cdot d / (\cos\theta_2 \cdot c)$  is the phase difference experienced by the THz wave propagating through the sample;  $E_{Sam,R}^*(\omega)$  and  $E_{Ref,R}^*(\omega)$  are the electric fields of the THz wave reflected from the sample and reference, i.e., bare substrate, respectively. The parameters,  $r_{12,45^\circ}^*$  and  $r_{23,45^\circ}^*$ , are the reflection coefficients of air-nanostructure (or thin film) and nanostructure (or thin film)-substrate interfaces at AOI =  $45^\circ$ , respectively. By considering the THz field with p-polarization, the ratio  $r_{12,45^\circ}^*$  and  $r_{23,45^\circ}^*$  can be defined.

Experimentally, the transmission coefficient  $T_{Exp,0^\circ}^*(\omega)$  and reflection coefficient  $R_{Exp,45^\circ}^*(\omega)$  are determined from the ratios of the transmitted and reflected THz signals, respectively. Finally, we define two error functions defined as,

$$\text{Error}_T(\omega, n_2^*) = |T_{Exp,0^\circ}^*(\omega, n_2^*) - T_{Theo,0^\circ}^*(\omega, n_2^*)|, \quad (3)$$

$$\text{Error}_R(\omega, n_2^*) = |R_{Exp,45^\circ}^*(\omega, n_2^*) - R_{Theo,45^\circ}^*(\omega, n_2^*)|. \quad (4)$$

As defined above, these error functions can be easily calculated for a given trial value of  $n_2^*$ . After minimizing the error functions with respect to  $n_2^*$ , the real and imaginary parts of the complex optical constants  $n$  and  $\kappa$  ( $n_2^* = n + i\kappa$ ) are determined for any angular frequency  $\omega$ .

#### D. THz Conductivities and the Drude-Smith Model

Following the classical electromagnetic theory of a simple conducting medium, the equivalent complex dielectric function consisting of contributions from conduction band electrons and bound electrons can be written as [31],

$$\epsilon_{\text{Equivalent}}^*(\omega) = (n_2^*)^2 = \epsilon_\infty + i \cdot \sigma^*(\omega) / (\omega\epsilon_0), \quad (5)$$

where  $\epsilon_{\text{Equivalent}}^*$  is the frequency-dependent complex dielectric constant;  $\epsilon_\infty = 4$  [31], is the high-frequency dielectric constant contributed by the bound electrons;  $\epsilon_0 = 8.854 \times 10^{-12}$  (F/m) is the free-space permittivity. The real ( $\epsilon_{\text{Re,Equi}}$ ) and imaginary ( $\epsilon_{\text{Im,Equi}}$ ) parts of equivalent dielectric constants can be expressed as,

$$\epsilon_{\text{Re,Equi}} = n^2 - \kappa^2, \quad (6)$$

$$\epsilon_{\text{Im,Equi}} = 2 \cdot n \cdot \kappa. \quad (7)$$

Therefore, the equivalent complex conductivity of the medium can be written in terms of the optical constants as

$$\sigma_{\text{Equivalent}}^* = 2n\kappa\omega\epsilon_0 + i\omega\epsilon_0(\epsilon_\infty - n^2 + \kappa^2). \quad (8)$$

The ITO nanostructures can be considered as a mixture of ITO material and air. Previously, the simple EMA has been shown to be a useful tool for analyzing optical characteristics of the composite medium [38], [56]. In this model, the equivalent dielectric function of nanostructure can be written as,

$$\epsilon_{\text{Equivalent}}^* = f \times \epsilon_m^* + (1 - f) \times \epsilon_h^*, \quad (9)$$

where  $\epsilon_h^*$  is the dielectric constant of air;  $\epsilon_m^*$  is the dielectric constant of pure nanostructured material. Also present in Eq. (9),  $f$  is the filling factor that defines the volume fraction of the nanostructure. For ITO NWs with heights of 431.2 and 1387.7 nm, we estimated from Fig. 1 that  $f \sim 0.18$  and  $\sim 0.10$ , respectively. Similarly, the real ( $\epsilon_{\text{Re}}$ ) and imaginary ( $\epsilon_{\text{Im}}$ ) parts of the dielectric constants of pure nanostructured material can be written as

$$\epsilon_{\text{Re}} = [n^2 - \kappa^2 - (1 - f)]/f, \quad (10)$$

$$\epsilon_{\text{Im}} = [2 \cdot n \cdot \kappa]/f. \quad (11)$$

Finally, the real ( $\text{Re}\{\sigma\}$ ) and imaginary ( $\text{Im}\{\sigma\}$ ) parts of the complex conductivities of pure ITO nanostructures can be expressed in terms of the filling factors as

$$\text{Re}\{\sigma\} = \omega\epsilon_0 \cdot (2n\kappa)/f, \quad (12)$$

$$\text{Im}\{\sigma\} = \omega\epsilon_0[\epsilon_\infty - [n^2 - \kappa^2 - (1 - f)]/f]. \quad (13)$$

The Drude model was often used to analyze metals and bulk semiconductors,  $\sigma^*(\omega) = \epsilon_0\omega_p^2\tau / (1 - i\omega\tau)$  [46], where  $\omega_p$  and  $\tau$  are plasma frequency and scattering time of carriers in the material. The real part of conductivity,  $\text{Re}\{\sigma\}$ , exhibits a maximum at zero (DC) frequency, and its value decreases with increasing frequency. For  $\text{Im}\{\sigma\}$ , it can only be positive and approaches a maximum as the frequency approaches that of the inverse of the scattering rate [46]. As we will show in Sec. III, the THz conductivities of ITO nanostructures exhibit non-Drude-like behavior, e.g., depressed values of DC conductivity and negative values for  $\text{Im}\{\sigma\}$ . Therefore, the Drude-Smith model, which takes into account the carrier localization effect, is applied to fit the experimentally deduced conductivity of the ITO material [37], [57]. In this model, we write

$$\sigma^*(\omega) = \epsilon_0\omega_p^2\tau \cdot [1 + \gamma / (1 - i\omega\tau)] / (1 - i\omega\tau), \quad (14)$$

where  $\omega_p$  and  $\tau$  are defined as in Eq. (13); the parameter  $\gamma$  is the expectation value of cosine of carrier scattering angle or the persistence of velocity of carriers. The values of  $\gamma$  vary from 0 to 1. The lower limit of  $\gamma$ , indicates Drude-like behavior or isotropic scattering of carriers. For  $\gamma = -1$ , we have the case of full backscattering of carriers, or strong carrier localization effect. There are some studies that attribute the non-Drude-like behavior to backscattering of carriers by grain boundaries [24], [58], [59], [65], [66]. On the other hand, the dopants in  $n$ -type TCOs will become positive ions after providing free electrons. Due to the Coulomb interaction between dopant ions and free electrons, the former will also become scattering centers hindering movement of the electrons [67], [68].

By fitting the experimentally deduced conductivities of the ITO materials by the Drude-Smith model, we can deduce the parameters  $\omega_p$  and  $\tau$ . The carrier concentration ( $N_c$ ), mobility ( $\mu$ ) and DC conductivity ( $\sigma_0$ ) can then be determined using the relations such as  $N_c = \epsilon_0\omega_p^2 m^* / e^2$ ,  $\mu = (1 + \gamma)e\tau / m^*$ , and  $\sigma_0 = \epsilon_0\omega_p^2\tau (1 + \gamma)$ , respectively. In these expressions,  $e = 1.602 \times 10^{-19}$  C, is the electronic charge; the electron effective mass is given by  $m^* = 0.3m_0$  [69], where  $m_0 = 9.1094 \times 10^{-31}$  kg, is the electron's mass.

Separating the real and imaginary part of conductivity of Eq. (14), we obtain

$$\text{Re}\{\sigma\} = \epsilon_0\omega_p^2\tau \left[ (1 + \gamma) + \omega^2\tau^2(1 - \gamma) \right] / (1 + \omega^2\tau^2)^2, \quad (15)$$

$$\text{Im}\{\sigma\} = \epsilon_0\omega_p^2\tau^2 \left( 1 + 2\gamma + \omega^2\tau^2 \right) / (1 + \omega^2\tau^2)^2. \quad (16)$$

Taking the derivatives of Eqs. (15) and (16), we can determine the angular frequencies at which lie the maximum value of  $\text{Re}\{\sigma\}$ , maximum value of  $\text{Im}\{\sigma\}$  and minimum of  $\text{Im}\{\sigma\}$ . That is,  $\omega_{\text{Re,Max}} = [-(1 + 3\gamma)/(1 - \gamma)]^{1/2}/\tau$ ,  $\omega_{\text{Im,Max}} = [-3\gamma + (9\gamma^2 + 2\gamma + 1)^{1/2}]^{1/2}/\tau$ , and  $\omega_{\text{Im,Min}} = [-3\gamma - (9\gamma^2 + 2\gamma + 1)^{1/2}]^{1/2}/\tau$ , respectively [48]. Similarly, the angular frequency at which  $\text{Im}\{\sigma\}$  crosses zero can be written as  $\omega_{\text{Im,Zero}} = (-1 - 2\gamma)^{1/2}/\tau$ . These characteristic frequencies for extreme values of  $\text{Re}\{\sigma\}$  and  $\text{Im}\{\sigma\}$ ,  $\omega_{\text{Re,Max}}$ ,  $\omega_{\text{Im,Max}}$ ,  $\omega_{\text{Im,Min}}$  and  $\omega_{\text{Im,Zero}}$ , are functions of the carrier scattering time ( $\tau$ ) and the expectation value of cosine of scattering angle ( $\gamma$ ). When  $\gamma$  is in the range of  $-0.5 \sim -1.0$ ,



we can expect to find one maximum of  $\text{Re}\{\sigma\}$ , one maximum and one minimum of  $\text{Im}\{\sigma\}$ , respectively.

### III. RESULTS AND DISCUSSIONS

#### A. Complex THz Optical Constants of ITO Nanowhiskers and ITO Thin Films

We first investigated the transmission of THz signals through the ITO samples. Figure 5(a) and 5(b) show the waveforms of THz pulses transmitted through short ITO NWs with height of 431.2 nm and sputtered ITO films with nearly the same thickness (440.6 nm), respectively, as well as those of the reference (bare substrate). For the analysis of complex optical constants, the time-domain THz waveforms are Fourier transformed to obtain the corresponding power spectra (see insets of Fig. 5(a) and (b)). The transmission coefficients at the peak of the THz waveforms for short and long NWs are around 86.0% and 77.0%, respectively. In comparison, the transmission coefficients of ITO thin films of 273.4 and 440.6 nm in heights are 26.0% and 18.0%, respectively.

Figure 6(a) and 6(b) are corresponding THz-TDRS results for the same samples of ITO NWs and thin films shown in Fig. 5 above. The reflection coefficients of THz wave incident on the short and long NWs at  $\text{AOI} = 45^\circ$  are  $\sim 48.0\%$  and  $\sim 52.0\%$ , respectively. The corresponding reflection coefficients of ITO thin films with thickness of 273.4 and 440.6 nm are  $\sim 77.0\%$  and  $\sim 82.0\%$ , respectively.

The frequency-dependent transmittance and reflectance of above samples are plotted in Fig. 5(c) and Fig. 6(c), respectively. In the frequency range of 0.1~1.4 THz, the transmittances of short NWs, long NWs, 273.4 nm-high thin film, and 440.6 nm-high thin film are  $\sim 73.0\%$ ,  $\sim 58.0\%$ ,  $\sim 7.2\%$ , and  $\sim 3.5\%$ , respectively.

The reflectance of above samples in the frequency range of 0.1~1.2 THz are  $\sim 24.7\%$ ,  $\sim 28.4\%$ ,  $\sim 58.8\%$ , and  $\sim 68.3\%$ , respectively. Comparing samples of almost the same height (short NWs and 440.6 nm-thick thin film), our results show that the NWs, a composite of ITO material and air, exhibit much higher transmittance ( $73.0/3.5 \cong 20.9$  times) and lower reflectance ( $24.7/68.3 \cong 40\%$ ) than ITO thin films. The underneath basic physics is that the THz radiation can easily propagate through the air-space among NWs. Previously, we have shown ITO NWs exhibit broadband anti-reflection (AR) properties from the visible to the near-infrared (Reflectance  $\sim 20\%$ ) [18], [20], [48]. The data in this section show that the reflectance of ITO NWs also reduces significantly in the THz frequency range. Such behaviors are reminiscent of broadband AR properties from UV to the far-infrared frequency range that biomimetic silicon nanotips (SiNTs) also exhibit [45].

Complex refractive indices of ITO NWs,  $n_2^* = (n + i\kappa)$ , are plotted as a function of frequency in Fig. 7. These optical constants deduced from measurements by THz-TDTS and THz-TDRS are consistent. Here, the values of  $n_2^*$  are the equivalent complex refractive indices of ITO NWs, which are treated as the mixture of air and ITO. From 0.1 to 1.6 THz, the real part of the refractive index of short NWs decreases

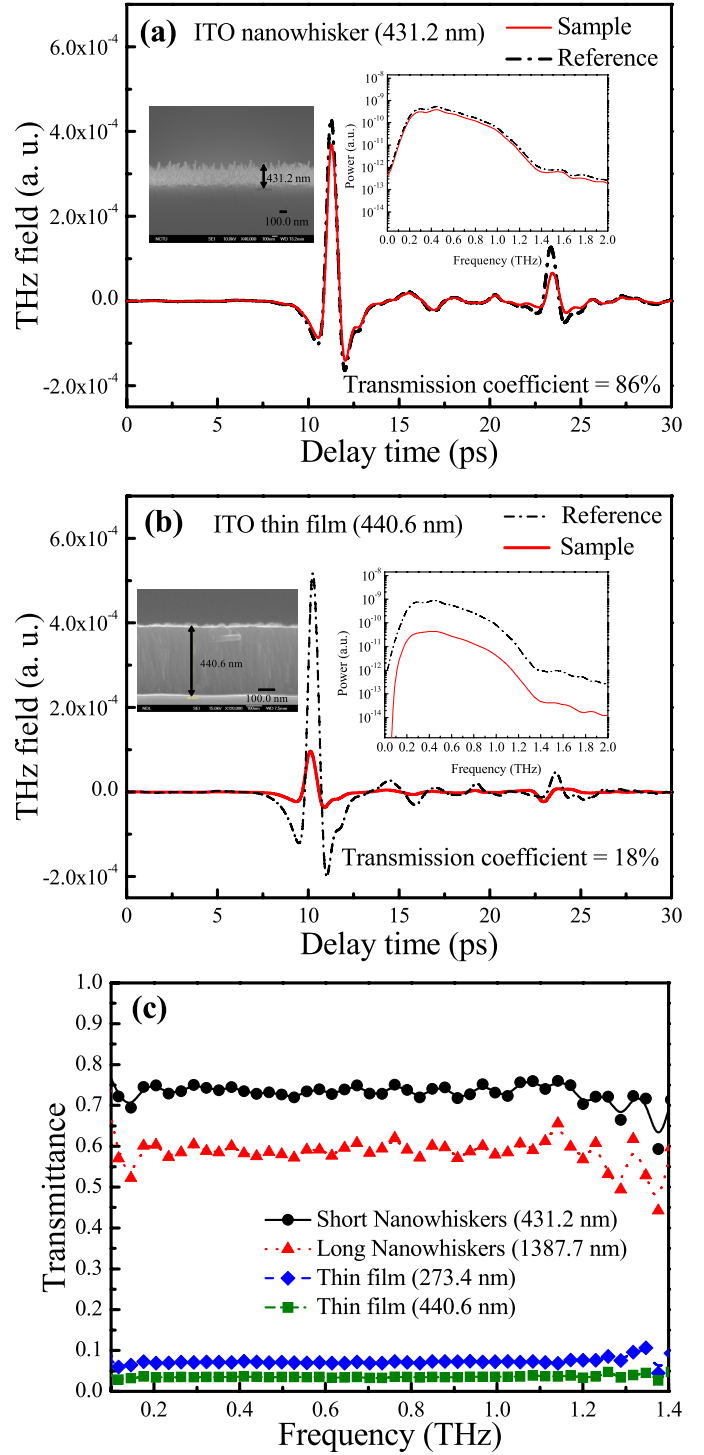


Fig. 5. Waveforms and power spectra of THz pulses transmitted normally through (a) ITO short NWs, 431.2 nm in height, (b) ITO thin films, 440.6 nm in height. The red solid line and black dash line correspond to the signals transmitted through the sample and bare substrate, respectively. (c) Transmittance of short and long NWs as well as ITO thin films in the frequency range of 0.1~1.4 THz.

monotonically with frequency from 21.9 to 3.5. Similarly,  $n$ 's of the samples with longer NWs decreases from 16.0 to 4.1 in the same frequency range. The imaginary indices of refraction ( $\kappa$ 's) of the short and long ITO NWs, on the other hand, decrease from 19.6 to 4.5 and 12.6 to 3.6, respectively.

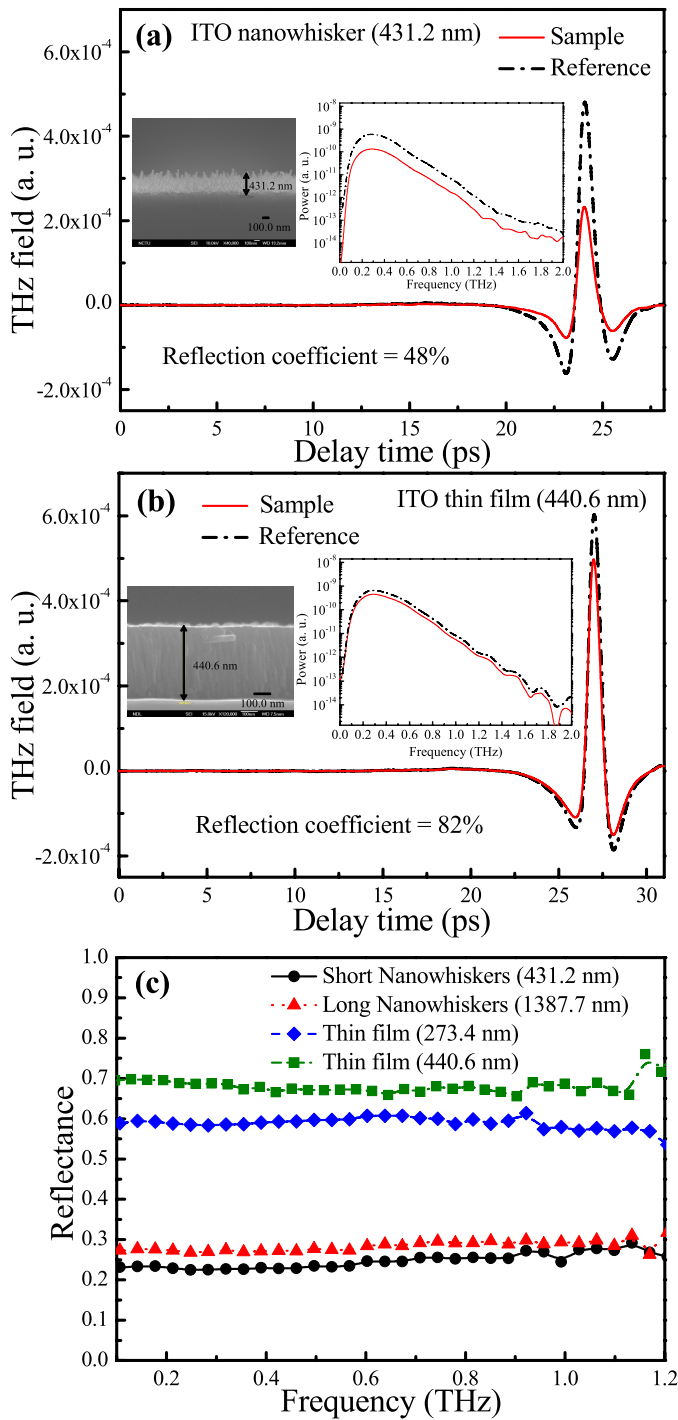


Fig. 6. Reflected waveforms and power spectra of THz pulses from (a) ITO short NWs, height of 431.2 nm, (b) ITO thin films, thickness of 440.6 nm. The angle of the incidence (AOI) was 45°. The red solid line and black dash line correspond to the signals reflected from the sample and reference, respectively. (c) Comparison of the reflectance of short and long NWs as well as ITO thin films in the frequency range of 0.1~1.2 THz.

The different values of equivalent  $n$  and  $\kappa$  found for short and long NWs are tentatively attributed to the difference in their volume filling factors (0.18 versus 0.10). For comparison, the complex refractive indices of ITO thin films with heights of 273.4 nm and 440.6 nm, respectively are plotted as a function of frequency in Fig. 8 (a) and 8 (b).

Note that  $n$  and  $\kappa$  of ITO films exhibit almost no dependence on heights. The  $n$  values decrease monotonically from

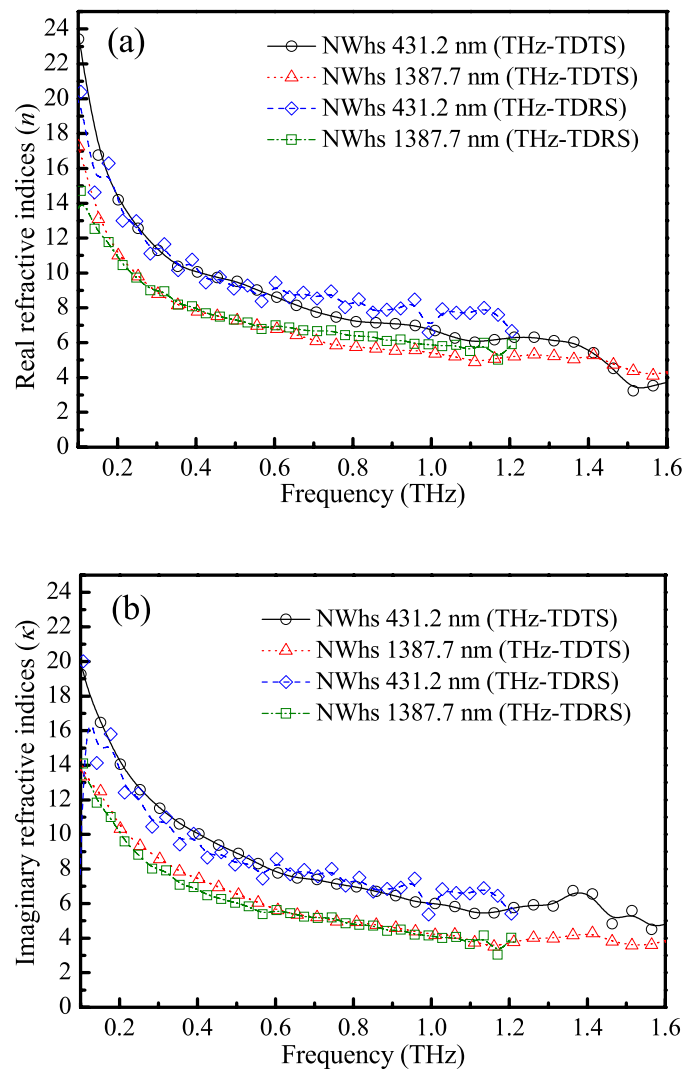


Fig. 7. (a) The real and (b) imaginary parts of the complex refractive indices of ITO NWs with heights of 431.2 nm (short NWs) and 1387.7 nm (long NWs). The black circles with the solid line, red triangles with the dot line, blue diamonds with the dash line, and green squares with the dash dot line are experimental data.

80.0 to 24.0 while  $\kappa$  drops from 80.7 to 20.6 in the frequency range of 0.1~1.6 THz. In general, complex optical constants of ITO thin films reported in this work are in agreement with previously reported values of Chen *et al.* [31] and Jewel *et al.* [47].

### B. Electrical Characteristics of ITO Nanowhiskers and ITO Thin Films

Using Eqs. (12) and (13), we can determine the complex THz conductivities ( $\sigma^* = \text{Re}\{\sigma\} + i \text{Im}\{\sigma\}$ ) of ITO NWs and thin films using their refractive indices. These are plotted as a function of frequency in Fig. 9 and Fig. 10, respectively.

Again, electrical parameters deduced from the THz-TDTS and THz-TDRS data are consistent (see also Table 1). For example, the values of  $\text{Re}\{\sigma\}$  of short and long ITO NWs at 1.0 THz are  $238 \Omega^{-1}\text{cm}^{-1}$  and  $272 \Omega^{-1}\text{cm}^{-1}$ , respectively. The values of  $\text{Re}\{\sigma\}$  for ITO NWs increase gradually with

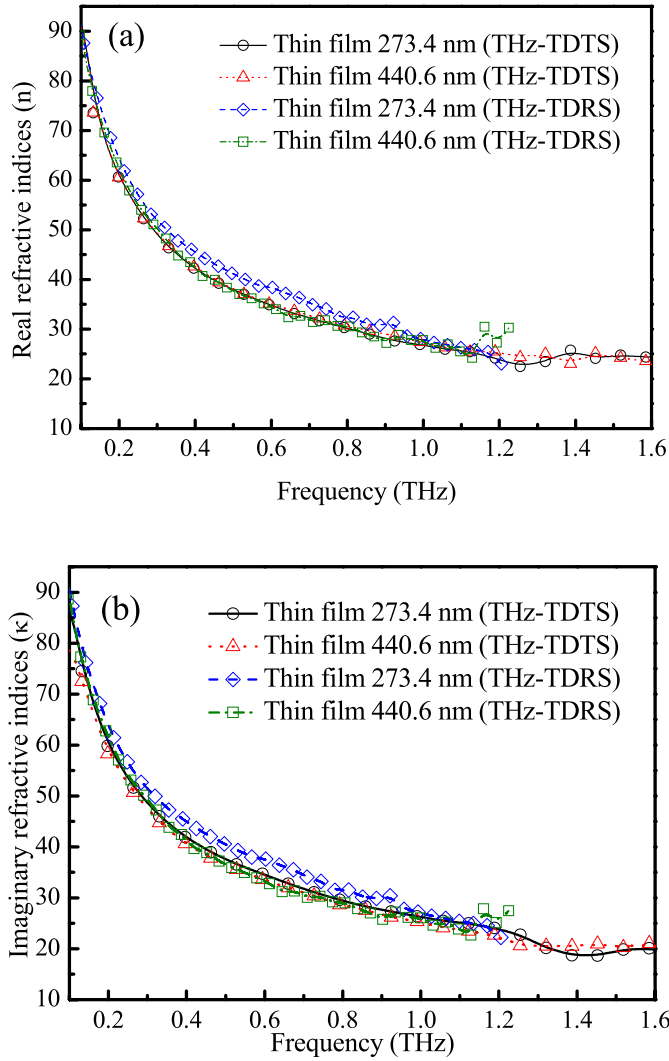


Fig. 8. The black circles with solid line, red triangles with dot line, blue diamonds with dash line, and green squares with dash dot line are (a) the real part and (b) the imaginary part of complex refractive indices of ITO thin films of two different heights using experimental data from THz-TDTS and THz-TDRS measurements.

frequency in the range of 0.1 to 1.4 THz. On the other hand, the values of  $\text{Im}\{\sigma\}$  decreases at higher THz frequencies. This trend, together with the negative values of  $\text{Im}\{\sigma\}$ , are generally associated with the phenomenon of carrier localization [57], [70]. This suggests that the Drude-Smith model is appropriate for modeling of complex conductivity of ITO NWs [57]. As shown in Fig. 9(a) and 9(b), the Drude-Smith model incorporated in Eq.(14) fits THz conductivities of ITO NWs quite well. All of the fitting parameters are summarized in Table I. For ITO short and long NWs, the plasma frequencies are 357 versus 670  $\text{rad}\cdot\text{THz}$ ; carrier scattering times are 57 versus 25 fs, respectively; while values of the parameter  $\gamma$  are around. Notably, values of  $\gamma$  for the two samples,  $-0.62$  and  $-0.75$ , fall between  $-0.50$  and  $-1.00$ . This behavior is typically associated with the presence of carrier localization in the material under study.

The mechanisms for carrier localization in ITO NWs can be either backscattering from grain boundaries [24], [58], [59], [65], [66], or impurity ions [67], [69]. In order to determine

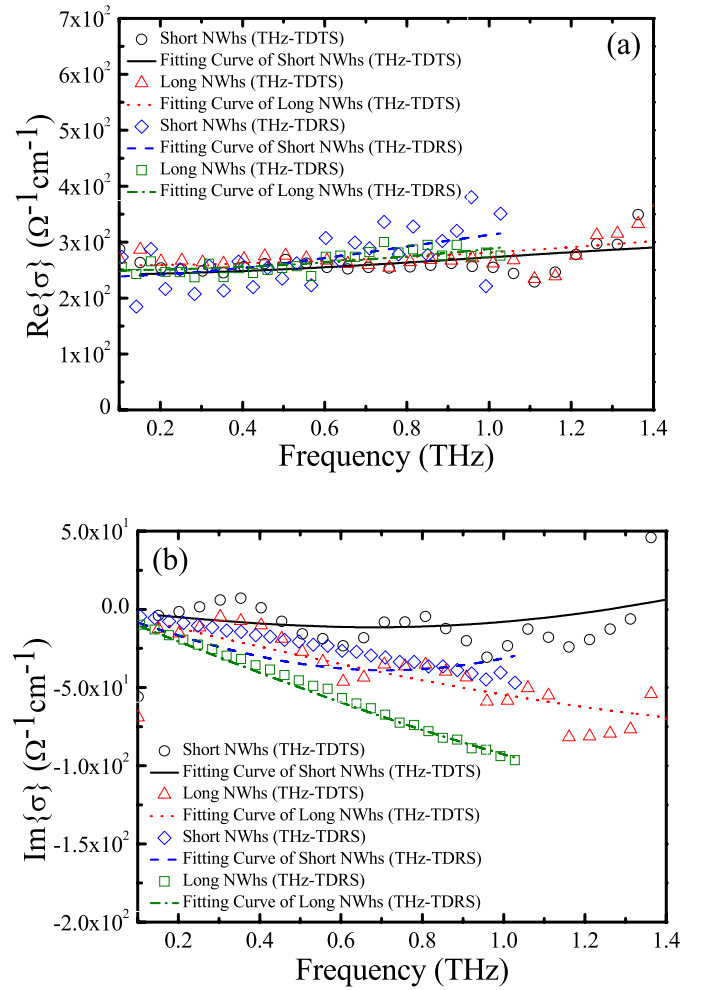


Fig. 9. (a) The real and (b) imaginary part of complex conductivities of ITO NWs with heights of 431.2 nm (short NWs) and 1387.7 nm (long NWs). The black circles, red triangles, blue diamonds, and green squares are experimental data. The black solid line, red dot line, blue dash line, and green dash dot line are fitting results based on Drude-Smith model.

whether anisotropic scattering of carriers by grain boundaries is important in these samples, we first evaluate the mean free path,  $L_{\text{free}}$ , which can be written as [59],

$$L_{\text{free}} = v_{\text{thermal}} \cdot \tau_{\text{bulk}} = \left(3 \cdot k_B \cdot T \cdot \tau_{\text{bulk}}^2 / m^*\right)^{1/2}, \quad (17)$$

where  $k_B = 1.381 \times 10^{-23} \text{ J}\cdot\text{K}^{-1}$  is the Boltzmann constant,  $T = 300 \text{ K}$  is the temperature in Kelvin and  $v_{\text{thermal}}$  is the thermal velocity from classical equipartition of energy. The carrier momentum scattering time,  $\tau_{\text{bulk}} = 65 \text{ fs}$ , is taken from our previous study of the bottom crystalline structure of ITO NWs [48]. Using the above values, Eq. (17) yields  $L_{\text{free}} \sim 13.86 \text{ nm}$ . To check, the carrier scattering times of ITO NWs determined in this work are from  $\sim 25 \text{ fs}$  to  $\sim 57 \text{ fs}$  (See Table 1). Therefore,  $L_{\text{free}}$  of ITO NWs, which is height-dependent, is estimated to be in the range of  $5.33 \sim 12.15 \text{ nm}$ . Alternatively, the crystal grain sizes of the orientation (222) of ITO NWs with heights of 431.2 nm and 1387.7 nm are estimated to be 17.15 nm and 19.16 nm, respectively (see Fig. 3(a)). Similarly for the (400) peak, the corresponding crystal grain sizes of short and long ITO NWs are estimated to be 18.02 nm and 22.19 nm, respectively. Hence, the mean



TABLE I  
EXTRACTED PARAMETERS BASED ON DRUDE-SMITH MODEL OF ITO NWHS AND THIN FILMS MEASURED BY THZ-TDTS AND THZ-TDRS

ITO NWHS (THZ-TDTS)						
Height (nm)	$\omega_p$ (rad·THz)	$\tau$ (fs)	$\gamma$	$N_e$ ( $\times 10^{19}$ cm $^{-3}$ )	$\mu$ (cm $^2$ V $^{-1}$ s $^{-1}$ )	$\sigma_0$ ( $\Omega^{-1}$ cm $^{-1}$ )
431.2	363	49	-0.57	1.24	122	244
1387.7	648	24	-0.72	3.96	41	253
ITO NWHS, THZ-TDRS						
Height (nm)	$\omega_p$ (rad·THz)	$\tau$ (fs)	$\gamma$	$N_e$ ( $\times 10^{19}$ cm $^{-3}$ )	$\mu$ (cm $^2$ V $^{-1}$ s $^{-1}$ )	$\sigma_0$ ( $\Omega^{-1}$ cm $^{-1}$ )
431.2	350	64	-0.66	1.16	128	239
1387.7	691	26	-0.78	4.51	35	245
ITO thin films, THZ-TDTS						
Height (nm)	$\omega_p$ (rad·THz)	$\tau$ (fs)	$\gamma$	$N_e$ ( $\times 10^{19}$ cm $^{-3}$ )	$\mu$ (cm $^2$ V $^{-1}$ s $^{-1}$ )	$\sigma_0$ ( $\Omega^{-1}$ cm $^{-1}$ )
273.4	1408	13	-0.67	18.70	26	764
440.6	985	24	-0.64	9.20	51	746
ITO thin films, THZ-TDRS						
Height (nm)	$\omega_p$ (rad·THz)	$\tau$ (fs)	$\gamma$	$N_e$ ( $\times 10^{19}$ cm $^{-3}$ )	$\mu$ (cm $^2$ V $^{-1}$ s $^{-1}$ )	$\sigma_0$ ( $\Omega^{-1}$ cm $^{-1}$ )
273.4	1460	12	-0.59	20.10	28	933
440.6	925	26	-0.60	8.10	61	788

TABLE II  
CHARACTERISTIC VALUES OF COMPLEX CONDUCTIVITIES OF ITO NWHS AND THIN FILMS MEASURED BY THZ-TDTS AND THZ-TDRS

ITO NWHS, THZ-TDTS				
Height (nm)	$\omega_{Re, Max}/2\pi$ (THz)	$\omega_{Im, Max}/2\pi$ (THz)	$\omega_{Im, Min}/2\pi$ (THz)	$\omega_{Im, Zero}/2\pi$ (THz)
431.2	2.2	6.0	0.7	1.2
1387.7	5.4	13.6	2.1	4.4
ITO NWHS, THZ-TDRS				
Height (nm)	$\omega_{Re, Max}/2\pi$ (THz)	$\omega_{Im, Max}/2\pi$ (THz)	$\omega_{Im, Min}/2\pi$ (THz)	$\omega_{Im, Zero}/2\pi$ (THz)
431.2	1.9	4.9	0.7	1.4
1387.7	5.3	13.0	2.1	4.6
ITO thin films, THZ-TDTS				
Height (nm)	$\omega_{Re, Max}/2\pi$ (THz)	$\omega_{Im, Max}/2\pi$ (THz)	$\omega_{Im, Min}/2\pi$ (THz)	$\omega_{Im, Zero}/2\pi$ (THz)
273.4	9.5	24.2	3.6	7.0
440.6	5.0	12.8	1.8	3.5
ITO thin films, THZ-TDRS				
Height (nm)	$\omega_{Re, Max}/2\pi$ (THz)	$\omega_{Im, Max}/2\pi$ (THz)	$\omega_{Im, Min}/2\pi$ (THz)	$\omega_{Im, Zero}/2\pi$ (THz)
273.4	9.2	24.7	3.0	5.6
440.6	4.3	11.5	1.5	2.7

free path is comparable to the grain size of ITO NWHS. The effect of grain boundary of ITO nanowhiskers on carrier scattering, therefore, cannot be neglected. Further, the charged impurity (Tin) scattering centers in ITO will also enhance the localization of carriers [68]. In general, the average distance between dopant ions is proportional to the inverse of the third root of doping concentration. Therefore, free electrons will run into the dopant ions easily if the doping concentration is high enough. Since the average distance between dopant ions is 2.87~4.37 nm for ITO NWHS, free carriers can run into several scattering centers in the length of one mean free path.

Calculating from the derivatives of  $\text{Re}\{\sigma\}$  (Eq.(15)) and  $\text{Im}\{\sigma\}$  (Eq.(16)), we determine that  $\omega_{Re, Max}/2\pi$  and  $\omega_{Im, Zero}/2\pi$  of ITO short versus long NWHS are ~2.1 THz versus ~5.4 THz and ~1.3 THz versus ~4.5 THz, respectively.

Besides, the  $\omega_{Im, Max}/2\pi$  and  $\omega_{Im, Min}/2\pi$  for NWHS samples are ~5.5 THz versus ~13.3 THz and ~0.7 THz versus ~2.1 THz, respectively. Due to the reliable frequency range of THZ-TDTS (0.1~1.4 THz) and THZ-TDRS (0.1~1.2 THz), we can only observe the frequencies of minimum ( $\omega_{Im, Min}/2\pi$ ) and crossing zero ( $\omega_{Im, Zero}/2\pi$ ) of  $\text{Im}\{\sigma\}$ , which are all around 1.0 THz. All of the characteristics values of complex conductivities are listed in the Table II. From the relations  $N_e = \epsilon_0 \omega_p^2 m^* / e^2$ ,  $\mu = (1 + \gamma) e \tau / m^*$ , and  $\sigma_0 = \epsilon_0 \omega_p^2 \tau (1 + \gamma)$ , the  $N_e$ ,  $\mu$  and  $\sigma_0$  values of ITO NWHS with heights of 431.2 nm and 1387.7 nm are determined to be ~1.20 versus ~4.24  $\times 10^{19}$  cm $^{-3}$ , ~125 versus ~38 cm $^2$ V $^{-1}$ s $^{-1}$  and ~242 versus ~249  $\Omega^{-1}$ cm $^{-1}$ , respectively. All of these electrical properties are summarized in Table I. We note that the DC mobility of taller sample is lower

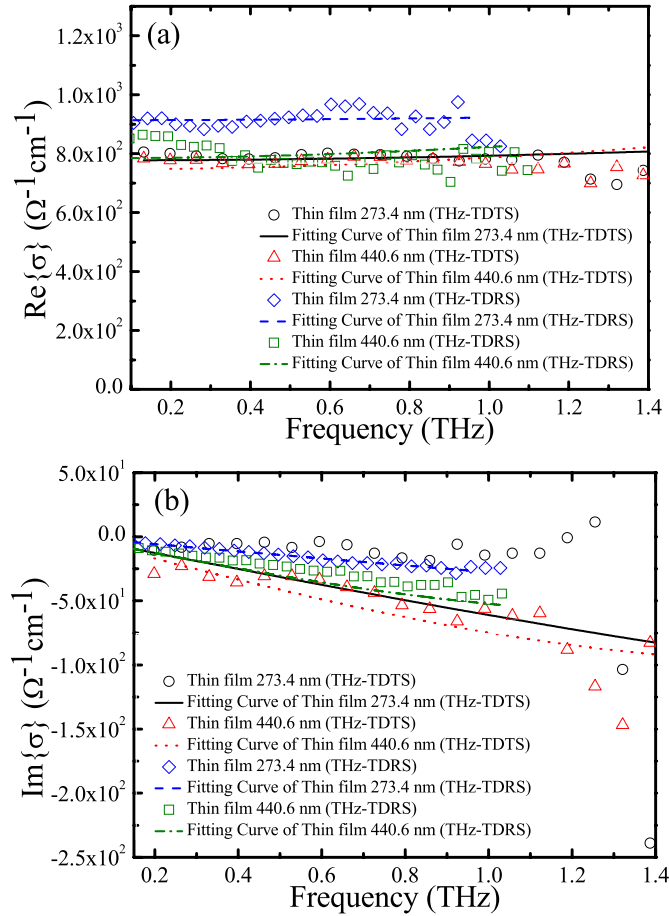


Fig. 10. (a) The real and (b) imaginary parts of complex conductivities of ITO thin films of two different heights. The black circles, red triangles, blue diamonds, and green squares are experimental data. The black-solid, red-dot, blue-dash, and green-dash dot curves are fitting results based on the Drude-Smith model.

than that of the shorter ones. These have nearly the same grain sizes (17.36 nm versus 19.40 nm). The carrier density of the former is, however,  $\sim 3.53$  times higher than that of the latter. The larger density of the charged impurity ions in taller ITO NWs means more scattering centers. This has a detrimental effect on mobility.

It is interesting to compare THz conductivities of samples studied in this work and other functional TCOs. Previous workers have shown that Tin oxide (SnO<sub>2</sub>) NWs ( $\gamma \cong -1$ ) [46], titanium dioxide (TiO<sub>2</sub>) nanocrystalline ( $\gamma \cong -0.93$ ) [36], and ZnO NWs ( $\gamma \cong -0.92$ ) [37] all exhibit strong carrier localization. The  $\gamma$  values ( $\gamma \cong -0.62 \sim -0.75$ ) of ITO NWs are somewhat smaller than those of the other TCO nanostructures. This implies that backscattering of carriers in ITO NWs is less severe. As a consequence, mobilities of short and long ITO NWs in this work ( $38 \sim 125 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ) are higher than reported mobilities of SnO<sub>2</sub> NWs ( $\sim 20 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ), nanocrystalline TiO<sub>2</sub> ( $1.5 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ), and ZnO NWs ( $\sim 16 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ) [36], [37], [46]. The carrier concentrations of ITO NWs ( $1.20 \sim 4.24 \times 10^{19} \text{ cm}^{-3}$ ), however, are one or two orders of magnitudes higher than those found in SnO<sub>2</sub> NWs ( $8.0 \times 10^{16} \text{ cm}^{-3}$ ), nanocrystalline TiO<sub>2</sub>, ( $1.2 \times 10^{18} \text{ cm}^{-3}$ ) and ZnO NWs ( $1.9 \times 10^{18} \text{ cm}^{-3}$ ). Higher mobilities and weaker backscattering

effect observed in ITO NWs can only imply that the grain size of ITO NWs ( $\sim 19 \text{ nm}$ ) are larger than those of the nanostructured TCOs investigated in previous works.

For comparison, the conductivities,  $\text{Re}\{\sigma\}$  and  $\text{Im}\{\sigma\}$  of ITO thin films are shown in Fig. 10(a) and 10(b), respectively. It can be seen that the frequency dependence of  $\text{Re}\{\sigma\}$  and  $\text{Im}\{\sigma\}$  of ITO films is very similar to those of ITO NWs. As summarized in Table I, the plasma frequency, carrier scattering time and  $\gamma$  of 273.4 nm-high ITO thin film are  $\sim 1434 \text{ rad}\cdot\text{THz}$ ,  $\sim 13 \text{ fs}$  and  $\sim -0.63$ , respectively. Using these, we can calculate that  $N_e$ ,  $\mu$ , and  $\sigma_0$  of this sample are  $\sim 1.94 \times 10^{20} \text{ cm}^{-3}$ ,  $\sim 27 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$  and  $\sim 849 \text{ }\Omega^{-1}\text{cm}^{-1}$ , respectively. These parameters for 440.6 nm-high ITO thin film are quite close (see Table I). To check, we also performed Hall measurements of the ITO thin films. It was found that  $N_e$ ,  $\mu$  and  $\sigma_0$  of ITO thin films with heights of 273.4 nm and 440.6 nm were  $\sim 16.60$  versus  $\sim 4.90 \times 10^{19} \text{ cm}^{-3}$ ,  $\sim 39$  versus  $\sim 38 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$  and  $\sim 1041$  versus  $\sim 909 \text{ }\Omega^{-1}\text{cm}^{-1}$ , respectively. In general, the results of Hall measurement agree with those deduced from the THz-TDS.

As shown in Fig. 10(a),  $\text{Re}\{\sigma\}$  of ITO thin film with thickness of 273.4 nm obtained from THz-TDRS is higher than that from THz-TDTS. Examining Eq. (1) and (2), it is clear that the accuracy in thickness of the substrate and its reference could account for the slight difference in retrieved parameters from THz-TDTS and TDRS. Here, for ITO thin films, there is no crystalline structure but strongly amorphous structures which shown in Fig. 3(b) because the fabricated method is the DC reactive magnetron sputtering. Therefore, the contribution of the grain boundaries causing the carrier localization is not dominant in ITO thin films. On the other hand, regarding the localization of carriers caused by dopant ions, the average distance between each dopant ion is calculated around  $1.72 \sim 2.26 \text{ nm}$ . The carrier scattering times of ITO thin films are determined as from  $\sim 13 \text{ fs}$  to  $\sim 25 \text{ fs}$ . Therefore, their  $L_{\text{free}}$  are in the range of  $2.77 \sim 5.33 \text{ nm}$ , and free carriers will run into one to two in a mean free path. For the reduction of DC mobility in the sample of higher carrier concentration, it is also attributed to the larger density of scattering centers caused by the charged impurity ions.

The characteristic frequencies for extreme values of complex conductivity,  $\omega_{\text{Re,Max}}/2\pi$ ,  $\omega_{\text{Im,Max}}/2\pi$ ,  $\omega_{\text{Im,Min}}/2\pi$ , and  $\omega_{\text{Im,Zero}}/2\pi$  of ITO thin films with heights of 273.4 nm and 440.6 nm are  $\sim 9.4 \text{ THz}$  versus  $\sim 4.7 \text{ THz}$ ,  $\sim 24.5 \text{ THz}$  versus  $\sim 12.2 \text{ THz}$ ,  $\sim 3.3 \text{ THz}$  versus  $\sim 1.7 \text{ THz}$ , and  $\sim 6.3 \text{ THz}$  versus  $\sim 3.1 \text{ THz}$ , respectively. In other words, the contribution of the plasmonic resonance is also not dominant in our measurement due to the characteristic frequencies of ITO thin films which are exceeding the reliable frequency. These are also summarized in the Table II. The main mechanism of carrier localization in the sputtered thin films is backscattering by impurity ions [37]. In comparison, the ZnO thin film shows a persistence of velocity very close  $-1$ ,  $\gamma \cong -0.84$  [37], much higher than that of the ITO thin film ( $\gamma \cong -0.62$ ). This is consistent with the mobility of ZnO thin film ( $\sim 40 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ) [37] is lower than that ( $\sim 56 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ) of thicker ITO thin film in this work.

Comparing ITO NWs with height of 431.2 nm (short NWs) and thin films of nearly the same height (440.6 nm), we find their respective mobilities to be  $125 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$  and  $27 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ , respectively. This can be understood by noting longer carrier scattering time of the former, similar  $\gamma$  values for both them, and the relationship of  $\mu \propto (1+\gamma) \times \tau$ . Meanwhile, the carrier concentration of the ITO thin film ( $\sim 8.65 \times 10^{19} \text{ cm}^{-3}$ ) is 7.2 times larger than that of the NWs ( $\sim 1.20 \times 10^{19} \text{ cm}^{-3}$ ). As a result, scattering of carriers by dopant ions is more severe in the thin films. On the other hand, we find values of the DC conductivities or real conductivities in THz region of ITO thin films ( $\sim 800 \text{ } \Omega^{-1}\text{cm}^{-1}$ ) are always better than those of NWs ( $\sim 250 \text{ } \Omega^{-1}\text{cm}^{-1}$ ). This is due to larger plasma frequencies of thin films than NWs. However, ITO NWs exhibit transmittances ( $\sim 65\%$ ) 13 times higher than those of the thin films ( $\sim 5\%$ ). Reflectance of the former at AOI =  $45^\circ$  is also significantly lower,  $\sim 42\%$  of the latter in the THz frequency range. This can be correlated to previous reports of the broadband AR property of ITO NWs in the visible and the THz wavelength range [48].

#### IV. CONCLUSION

In summary, we have conducted a comparative study of ITO NWs and thin films that exhibit attractive optical and electrical properties in the THz frequency range. The ITO NWs and thin films were fabricated by the glancing-angle electron-beam evaporation technique and DC reactive magnetron sputtering, respectively. Structural and crystalline properties of both nanostructures and thin films were examined by scanning electron microscopy and X-ray diffraction studies. By applying the Scherrer formula, the crystal grain sizes of ITO NWs were estimated to be around 19 nm. On the other hand, ITO thin films exhibit strongly amorphous characteristics.

We employed both transmission-type and reflection-type terahertz time-domain spectroscopies (THz-TDTS and THz-TDRS) to explore the far-infrared optical and electrical properties of these samples. Key parameters, such as plasma frequencies, carrier scattering times, were analyzed and found to be fitted well by the Drude-Smith model in the 0.1~1.4 THz frequency range. The plasma frequencies of ITO NWs with heights of 431.2 and 1387.7nm were established to be 357 and 670 rad·THz, respectively. The corresponding carrier scattering times were 57 and 25 fs, in that order. The ITO NWs were found to exhibit much higher mobilities ( $38\sim 125 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ), and weaker backscattering effect ( $\gamma \cong -0.62\sim -0.75$ ) than those reported for other TCO nanostructures to date. These attractive attributes are tentatively attributed to comparatively large grain size of ITO NWs prepared this way. The carrier concentration, and DC conductivity of these two samples of ITO NWs have also been determined to be 1.20 versus  $4.24 \times 10^{19} \text{ cm}^{-3}$ , and 242 versus  $249 \text{ } \Omega^{-1}\text{cm}^{-1}$ , respectively. To compare, we have also studied ITO thin films with heights of 273.4 and 440.6 nm. The plasma frequencies of these two samples of ITO thin films were found to be 1434 versus 955 rad·THz; while

the carrier scattering times were 13 versus 25 fs, respectively. Their mobilities, carrier concentrations, and DC conductivities were 27 versus  $56 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ , 1.94 versus  $0.87 \times 10^{20} \text{ cm}^{-3}$ , and 849 versus  $767 \text{ } \Omega^{-1}\text{cm}^{-1}$ , respectively. Significantly, we find that the complex conductivities of both ITO NWs and thin films exhibit non-Drude-like behavior. The mechanisms that are responsible for the strong localization of carriers in ITO NWs and thin films, however, are not exactly the same. The mean free path of ITO NWs, 5.33~12.15 nm, approaches that of the average grain size. Their non-Drude characteristic is thus attributed to scattering of carriers at grain boundaries and backscattering by impurity ions. In contrast, observed non-Drude behavior in ITO thin films, which is amorphous, is caused by scattering of the impurity ions only.

Comparing ITO NWs and thin films with nearly the same height, mobilities of the former is  $\sim 125 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ , much larger than those of the ITO thin films,  $\sim 27 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ . This is attributed to the longer carrier scattering time of the former since the  $\gamma$  values for both of them are nearly the same. Further, the carrier concentrations of ITO thin films were much higher than those of NWs. The dopant ions serve as scattering centers. Nonetheless, the DC conductivities ( $\sim 800 \text{ } \Omega^{-1}\text{cm}^{-1}$ ) or real conductivities in the THz frequency region of ITO thin films are higher than those of NWs ( $\sim 250 \text{ } \Omega^{-1}\text{cm}^{-1}$ ). Partly, this is a reflection of the much higher plasma frequencies of the former. This is not a problem for using ITO NWs as electrodes except for rapid charging applications. The transmittance of ITO NWs ( $\cong 60\sim 70\%$ ), however, is much higher ( $\cong 13$  times) than that of ITO thin films in the THz frequency range. The underneath basic physics is that the THz radiation can easily propagate through the air-space among NWs. The superb transmittance and more than adequate electrical properties of ITO NWs suggest their potential applications as transparent conducting electrodes in THz devices.

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