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# A support vector machine approach to CMOS-based radar signal processing for vehicle classification and speed estimation

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#### ABSTRACT

In this work, a complementary metal-oxide semiconductor (CMOS) based transceiver with a sensitivity time control antenna is successfully implemented for advanced traffic signal processing. The collected signals from the CMOS radar system are processed with optimization algorithms for vehicle-type classification and speed determination. The high recognition rate optimization algorithms are mainly based upon the information of short setup time and different environmental installation of each sensor. In the course of optimization, a video recognition module is further adopted as a supervisor of support vector machine and support vector regression. Compared with conventional circuit-based detector systems, the developed CMOS radar integrates submicron semiconductor devices and thus not only possesses low stand-by power but also is ready for production. In the meantime, the developed algorithm of this study simultaneously optimizes the vehicle-type classification and speed determination in a computationally cost-effective manner, which benefits real-time intelligent transportation systems.

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#### 1. Introduction

Accurate, economic methods of collecting traffic information are essential in an intelligent transportation system (ITS). Traffic data has been gathered primarily via inductive loop detectors, pneumatic road tubes, and temporary manual counts [1,2]. However, traffic detectors developed recently use video, sonic, ultrasonic, radar or infrared energy [3–5]. These detectors are non-intrusive and mounted either overhead or to the side of traffic lanes. Considering the cost, radar and video sensors both have multi-lanes capability. A single detector of either of these types can detect up to eight or ten lanes. However, poor weather conditions, such as snow and heavy rain, can seriously impact video sensors. In contrast, radar sensors still function effectively in poor weather. Therefore, radar sensors are a good choice in ITS applications owing to their multi-lane coverage and resistance to weather impacts.

Motorcycles are a major transportation mode in many Asian countries, including Taiwan, Malaysia and Vietnam. However, the mixing of motorcycles and other traffic is hazardous. The key step to overcome this problem is to know the flow information of motorcycles. Currently, most radar detection algorithms classify vehicles into three or five categories, but generally exclude motorcycles from the classification system. Well designed radar hardware can be used in conjunction with a classification algorithm to detect motorcycles. This study thus illustrates a radar sensor classification scheme that classifies vehicles into four categories: motorcycles, small, medium and large vehicles.

Considering radar hardware, frequency-modulation continuous-wave (FMCW) is the main technology used by radar sensors to support multi-lane capabilities. The two most popular methods for making FMCW sensor transceivers at microwaves and millimeter waves are hybrid microwave integrated circuits and the GaAs monolithic microwave integrated

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| The specifications of radar sensor.   |   |
|---|---|
| Height<br>Central frequency<br>Band width<br>Pulse repeat frequency<br>Down range resolution<br>Max range<br>Max range shift frequency<br>Elevation angle/Azimuth angle<br>ADC<br>FFT | 4-7 m<br>10.5 GHz<br>50 MHz<br>1500 Hz<br>3 m<br>60 m<br>30 KHz<br>50°/20°<br>200 KHz<br>128 points |
|   |   |

circuit chipset. This investigation tests the vehicle classification and speed estimation for a new FMCW radar incorporating a complementary metal-oxide semiconductor (CMOS) transceiver [6,7] with an equivalent sensitivity time control (STC) planar antenna. Comparing to traditional hybrid integrated circuit radar, the CMOS radar is ready for production, cost-effective, miniaturized and consumes low power. This is the reason why this work develops CMOS radar. The planar antenna adds attenuation in the receiver as a function of time, and thus reduces the near-field interference by a factor of one over some power of the range. Restated, the antenna incorporates a STC function. This study reports, to the best of the authors' knowledge, the first *X*-band CMOS sensor with a uniformly distributed signal-to-noise ratio for monitoring multiple-lane traffic. One contribution of this study is to prove that the first *X*-band CMOS radar vehicle detector does detect motorcycles and vehicles accurately in ITS.

A radar vehicle classifier [8,9] has two general constraints: short setup time and different environmental installation of each sensor. Since the environmental installation of a radar sensor strongly impacts vehicle radar cross section (RCS), the features of vehicles will depend on the environmental installation of sensors. It is difficult to include all possible installation data in training a classifier. A supervised classifier is difficult to collect training data for all environmental installation conditions. Because the traffic managers hope to reduce the influence of traffic conditions, the setup time should be as short as possible. When the setup time is short, the collected training data will be skewed in categories. Traffic volume may include numerous small cars, few buses and trucks during the short training period. The unsupervised classifier will have poor recognition rates in skewed data. Therefore, traditional supervised or unsupervised classifiers are hard to apply directly for the sensor under these two constraints. Hence, vehicle classifications for roadside radars are in a gray area where two types of classifiers can't be applied directly. The important contribution of this work is to combine support vector machine (SVM) with a video system to overcome this drawback.

Numerous classifiers have been developed and tested for data cluster or pattern recognition [10], and these classifiers are categorized into two types: supervised and unsupervised. In supervised learning, the aim is to learn a mapping from the input to an output whose correct vehicle classes are provided by a supervisor. In unsupervised learning, there is no such supervisor and we only have input of data. *K*-means cluster is a famous unsupervised classifier that has been used for numerous applications. Furthermore, SVM [11,12] and linear discriminant analysis (LDA) [13,14] are two supervised classifiers. LDA was originally developed in 1936 by R.A. Fisher. SVMs have been used for isolated handwritten digit recognition, object recognition, speaker identification and face detection in images. To find the optimal classifier, this study tests these three classifiers under two constraints. Vehicle speed is estimated using a virtual loop concept [1,2,15] that requires vehicle and virtual loop length to make an estimate. Support vector regression (SVR) is used to predict vehicle length, while a video calibrating system is used to measure virtual loop length. A skew training dataset and numerous classification scenarios are used to test the classifiers. Finally, the results are analyzed and compared.

The remainder of this paper is organized as follows. Section 2 introduces the radar system and introduces the radar system and considers the proposed vehicle classification technique and the speed estimation method. Test results demonstrating the system performance are then presented in Section 3. Finally, conclusions are presented in Section 4.

#### 2. Vehicle classification and speed estimation

In this section, the radar system is first introduced and the requirements of the radar sensor are also presented in Section 2.1. The algorithm of vehicle classification and speed estimation will be shown in the following subsections.

#### 2.1. Radar system

To support multi-lane capabilities, the FMCW radar detector is designed for roadside installation, as illustrated in Fig. 1(a). The radar's height is the same as that of general poles, namely from 4 to 7 m. The central frequency is 10.5 GHz. The vehicle width leads the radar with 50 MHz band width and 3 m down range resolution. The radar is designed to cover a maximum of eight lanes, and can be positioned a maximum of 60 m from the roadside. The total frames per second, or the pulse repeat frequency, are 1500 Hz. Therefore, the max range shift frequency is 30 kHz. The corresponding signal processing speed for ADC is 200 kHz. Furthermore, the elevation and azimuth angles of the planar antenna are 50° and 20°. The specifications of the radar system are summarized in Table 1.



**Fig. 1.** (a) Installation of radar sensor. There are four lanes. The sensor is installed at a height of 5.2 m above the ground and at a distance of 14 m from the first lane. The maximal distance is 32 m of the sensor from the most distant lane. (b) The echo power distribution for each lane of road. The echo power of each lane is near-constant from the distances 14 to 32 m. The dashed line is a curve that fits the echo power distribution of the vehicle on the road surface.



Fig. 2. Block diagram of the proposed X-band FMCW sensor system [6,7], comprising two external antenna arrays, a single-chip CMOS transceiver (enclosed by the dashed line) and an external digital signal processing unit along with the necessary electronics. A power amplifier is added to increase output power level.

The building blocks of the X-band FMCW of the radar are shown in Fig. 2. Dual planar antenna arrays are located at the transmitter output and the receiver input. The planar antennas have an equivalent STC function. As shown inside the dashed lines, the radio frequency transceiver is a chip based on a standard 0.18  $\mu$ m CMOS technology [6,7]. The CMOS transceiver performs most of the required RF signal processing. A power amplifier is added to increase output power. Furthermore, a baseband digital signal processing unit is used for instantaneous and simultaneous assessment of range measurements. Fig. 1(b) illustrates the beat frequency power distribution of the antenna corresponding to the installation in Fig. 1(a). There are four echo power curves for four lanes. Generally, the echo power of most antennas decays at a rate  $1/R^4$ . For this specially designed planar antenna, the shorter range power decay can be cancelled by the near field interference. The dashed line, shown in Fig. 1(b), is the road surface curve. Restated, the echo power of the vehicle signal will stay on the four inter-points of the road surface curve. The empirical results, illustrated in Section 3, show that complementing the magnitude of the vehicles with the second power of the frequency can obtain an accurate vehicle classification rate.

#### 2.2. Algorithm

The RCS of a vehicle is the key information used in vehicle classification and speed estimation. Fig. 3 shows a sample RCS signal of a car received from the installation of Fig. 1(a). The profile of a vehicle signal resembles a mountain, and different vehicles create different shaped mountains. The vehicle classifier extracts features from the profiles and classifies vehicles accordingly. The speed estimator also identifies features from the profiles and calculates the vehicle speed. Vehicle RCS is



Fig. 3. (a) A picture of a vehicle passing through the detection area of a radar detector. The closed area indicated by a dashed line is the detection area of the radar detector. (b) The spectrogram of the vehicle is shown in (a).

influenced by radar height and angle, radar distance from the first lane, vehicle speed, vehicle shape and vehicle distance to radar. Most of these factors are only fixed on the completion of the radar sensor installation. Restated, the vehicle profiles were completely changed when the environmental installation was adjusted. This is a constraint for the supervised classifier, which needs to be retrained for each new environmental installation. Generally, traffic managers hope that sensor setup minimally impacts traffic conditions. It means that the sensor setup time must be minimized. The setup time influences the learning time and learning data of a classifier. If a training classifier is provided, the learning data is gathered during setup. Short setup time results in a skewed distribution of vehicle types. The number of cars may be large while the number of trucks is low. This forms the second constraint: short training time and skewed training data.

Fig. 4 presents an overview of an algorithm for these two constraints. The algorithm includes four phases, namely signal processing, calibration, learning and 'classification and speed estimation'. After retrieving the radar signal, a high pass filter is applied to filter background clutter signals. Fast Fourier transformation is used to get the range profiles of vehicles on lanes. Then, constant false alarm rate (CFAR) thresholds are used to detect the presence of vehicles. If calibrating work is needed, the video calibrating system will be used to calibrate the virtual loop lengths. When the calibrating job is finished, the vehicle profiles will be complemented by the range of vehicle. The aim is to let vehicles have the same signal gains in different lanes. The next step is to extract nine features from the complemented vehicle profile. While the training job has never been done before, these features will be saved in a vehicle training database. The category and length of vehicle, which is the output of the video recognition system, will be saved into the training database, too. If the number of vehicles is bigger than a threshold, SVM and SVR will finish the learning step. When the learning job is done, SVM will use vehicle features to classify a vehicle's category. Finally, SVR will predict the length of the vehicle and output the vehicle speed. The details of the algorithm will be presented in the following subsections. The pseudocode of the algorithm is shown as follows.

Void Vehicle\_classifier\_and\_speed\_estimation\_algorithm()

```
begin
```

```
while true
    Signal_processing();
    if need calibrating
     Calibrating();
    endif
    if vehicle < n
     Feature_extracting();
    endif
    if need training
     Learning()
    endif
    if training done
     Vehicle_classification_and_speed_estimation();
    endif
   endwhile
   End
void Signal_processing()
begin
```



Fig. 4. The algorithm of the radar detector system. The rectangles which are enclosed by a dashed line comprise four major phases: signal processing, calibration, learning and 'classification and speed estimation'.

retrieve signal from system; apply high pass filter; do fast Fourier transform; find threshold by clutter-map CFAR; find vehicle profile;

end

```
void Calibrating()
```

#### begin

for each lane of street check vehicle in/out by vehicle profile and clutter-map CFAR threshold if vehicle-in capture vehicle-in image from video endif if vehicle-out capture vehicle-out image from video compute virtual loop length by vehicle-in-out images classify vehicle category by images compute vehicle length by images compute speed save above results into training database

```
endif
endfor
end
void Feature_extracting()
begin
if vehicle-out
compute energy of vehicle profile
compute square energy
compute sum, maximal, mean and mean square error of vehicle magnitude profile
compute vibration of vehicle profile
compute square vibration
save all features into database
endif
end
```

void Learning()

begin

retrieve vehicle features from database retrieve vehicle length, speed, type, and loop length from database do SVM training do SVR regression

end

```
void vehicle_classification_and_speed_estimation()
```

begin

```
do vehicle classification by SVM
do vehicle length prediction by SVR
estimate vehicle speed
```

end

#### 2.2.1. Signal processing

Most of the signal processing is performed during this phase. A discrete signal frame  $x_t[n]$  is retrieved from the time domain during a pulse interval *t*. Each discrete signal frame has 128 points ( $n = 1 \cdots 128$ ), and there are a total of 1500 signal frames per second (pulse repeating frequency = 1500). Since noise and background clutter disturb the normal vehicle echo signals, a simple high pass filter  $H(z) = 1 - z^{-1}$  is used to cancel the background clutter. The filtered signal  $y_t[n]$  is shown in Eq. (1):

$$y_t[n] = x_t[n] - x_{t-1}[n].$$
<sup>(1)</sup>

Furthermore, the high pass filter can also emphasize the movement of vehicles. Since a high magnitude of some frequencies means that some vehicles present on some lanes, a fast Fourier transform (FFT) is performed on  $y_t[n]$  to get the frequency domain data  $Y_t[n]$ . That is to say, when a vehicle is presented at distance 3 \* n m at time t,  $|Y_t[n]|$  is greater than some threshold. To avoid false alarms of vehicle presence, the clutter-map constant false alarm rate (CFAR) [16] technique is adopted. The basic characteristic of clutter-map CFAR is that the false alarm probability remains approximately constant in clutter by a dynamic threshold. Vehicles with an echo power exceeding the threshold thus can still be detected. Eq. (2) shows the clutter-map CFAR threshold for the range n during pulse t:

$$T_t[n] = \alpha \left( \gamma \times |Y_{t-1}[n]| + (1 - \gamma) \times |Y_{t-2}[n]| \right)$$
(2)

where  $\alpha = 2$  and  $\gamma = 0.9$ .

The final step in signal processing is to collect the vehicle profile  $V_t[m]$  presented at *m*-th range bin  $Y_t[m]$  during the time interval in which the vehicle is present in the detection area. All classification methods are based on the vehicle profile from which features are extracted. Eq. (3) defines the profile of the vehicle signal. Each magnitude of *m*-th range bin  $|Y_t[m]|$  is multiplied by power *k* of range frequency  $f_m$  to compensate for the decay of received power:

$$V_t[m] = |Y_t[m]| \times f_m^k \tag{3}$$

where  $T_1 < t < T_2$  and  $T_1$  and  $T_2$  are the first and last detection times of a vehicle which passes through the radar detection area.

#### 2.2.2. Feature extraction

Nine features need to be extracted from the vehicle profile, most of which are based on the physical characteristics of the vehicle. First, the energy of the vehicle profile is shown in Eq. (4). A large vehicle implies large RCS, which in turn means high energy. Squared energy is used to emphasize this characteristic. Other features are obtained from the statistical parameters associated with the vehicle magnitude profile. These features include the maximal, mean and mean square error for elements of  $V_t[m]$ :

$$Energy = \sum_{t=T_1}^{T_2} V_t(m).$$
(4)

Another physical phenomenon of vehicles is the vibration of the vehicle profile. Small vehicles have low vibration while large vehicles have high vibration. Eq. (5) calculates vehicle vibration. To increase the weighting of these characteristics, the square of vibration is used. The vibration is just used to do mathematical differentiation and the energy is the same concept as doing mathematical integration. These features of each vehicle profile form a point in the feature space:

$$Vibration = \sum_{t=T_1}^{T_2} |V_t(m) - V_{t-1}(m)|.$$
(5)

#### 2.2.3. Learning and classification

This section aims to identify a classifier for effectively classifying vehicles into one of four categories: motorcycles, small, medium and large.

First, this study tries the *K*-means clustering (denoted as *K*-means). *K*-means is one of the best known data clustering methods. The goal of *k*-means is to find *k* points of a dataset that best represent the dataset in a certain mathematical sense. These *k* points are also known as cluster centers. After obtaining these cluster centers, they can be used for data classification. Here *K*-means is used as a method of partitional clustering in which the numbers of clusters and random centers are specified before starting the clustering process. The number of clusters is set to four. An objective function is then defined as the sum of the squared distances between a point in a feature space and the nearest cluster centers. The standard *K*-means procedure is then followed to minimize the objective function iteratively by finding a new set of cluster centers. These cluster centers can reduce the value of the objective function at each iteration. Here the maximal iteration is set to 10.

The next classifier is LDA, which is a supervisory classifier. LDA obtains a linear transformation ("discriminant function") of the two predictors, *X* and *Y*, which yields a new set of transformed values that provides more accurate discrimination than either predictor alone. A transformation function is found that maximizes the ratio of between-class to within-class variance. The transformation seeks to rotate the axes so as to maximize the differences between the groups when the categories are projected on the new axes. In the ideal case, a projection can be found that completely separates the categories. However, in most cases no transformation exists that provides full separation, so the objective is to obtain the transformation that minimizes the overlap among the transformed distributions. The LDA can be derived as a plug-in Bayes classifier. LDA projects the nine feature dimensional space considered in this study into a three dimensional linear discriminant (LD) space. The plug-in classifier finds the average group centers for each vehicle category and saves it. When predicting a test sample vehicle, the classifier measures the Mahalanobis distance between the group center and the LD projected point of the vehicle features. The plug-in classifier then estimates the posterior probability of each group using the Mahalanobis distance, the prior probability which is the group probability of the training set, and the covariance matrix. The testing vehicle belongs to the group with the highest posterior.

The last classifier is SVM, which is also a supervisory classifier. SVMs attempt to identify a set of support vectors, two support hyperplanes, and an optimal hyperplane for separating two groups. SVM is a binary classifier. Two strategies can be developed to support multiple classifications: one-against-one and one-against-rest. The one-against-rest strategy constructs *k* SVMs to separate *k* groups. The *m*-th SVM separates the *m*-th group from the others. For *k* groups, the one-against-one strategy constructs k(k-1)/2 SVMs to separate each pair of groups. This study tests SVM using the one-against-one approach, in which six SVMs are constructed, each of which trains data from two different vehicle groups. Prediction is performed by voting, where each classifier makes a prediction and the most frequently predicted class wins ("Max Wins"). In cases where two groups receive an identical number of votes, this study simply selects the one with the smallest index.

For supervisory classifiers LDA and SVM, the environmental installation problem leads to retraining of the classifier for each installation of the radar sensors. To resolve the problem, this study proposes a learning method based on a video training system, as shown in Fig. 5. Using clutter-map CFAR, the radar system can know the in and out time of a vehicle. When the radar system sends vehicle-in or vehicle-out triggers to the video system, the video system immediately captures a video frame. These two video frames can then be used to perform image processing to obtain the vehicle type. The vehicle type and its features are saved in a training database which can be used to train a supervisory classifier.

#### 2.2.4. Calibration and speed estimation

The vehicle speed is estimated using Eq. (6). The detection zone of each lane forms a virtual loop. The key to correctly estimating the speed is to more precisely calculate the three parameters of Eq. (6):



**Fig. 5.** Video training and calibrating system. The system receives vehicle-in and vehicle-out triggers when a vehicle is either inside or outside the detection area. After receiving the triggers, the system captures two video frames. The image processing unit then outputs virtual loop length, vehicle category and vehicle length.

| Set of vehicles used to test the classifier. |            |       |        |       |  |  |
|--|------------|-------|--------|-------|--|--|
|  | Motorcycle | Small | Medium | Large |  |  |
| Total  | 30         | 145   | 12     | 4     |  |  |

$$Speed = \frac{L_v + L_z}{\Delta T},\tag{6}$$

where  $L_v$  denotes the length of the vehicle,  $L_z$  represents the length of the virtual loop and  $\Delta T$  is the time of vehicle occupation.

It is easy to obtain the vehicle occupation time from clutter-map CFAR. The length of the virtual loop must be carefully calibrated. The length of the virtual loop is also an environmental installation problem. The length differs between environmental installations. Theoretically, the virtual loop length can be obtained from radar equations, antenna patterns, and the height and angle of the radar sensor. However, these methods are imprecise and inconvenient. A more accurate method is to take measurements in the field. Fig. 5 presents a video calibrating system for measuring the virtual loop length via image processing. Based on clutter-map CFAR, the times at which the vehicle is either in or outside of the virtual loop can be derived. The video calibrating system can obtain two video frames at a time. Image processing can be performed to obtain the distance of vehicle movement between the two frames. The moving distance exactly equals the virtual loop length. SVR is used to estimate the vehicle length. SVR is almost the same as SVM, with one difference being that the optimal hyperplane is used to predict values in SVR, while in SVM it is used to separate classes. Since SVR is still a supervised regression method, the video system is still required to measure the vehicle lengths and save them in the training database.

#### 3. Results and discussion

Table 2 lists a dataset to train two classifiers: SVM and LDA. Generally, users require installing the radar sensor as soon as possible. During the short setup time, the numbers of vehicle in four categories is skewed. A good classifier requires an acceptable classification rate, after applying its learning algorithm to skew data constraints. The training data satisfies the short setup time and skew data constraints.

After applying the *K*-means, LDA and SVM to the training data in Table 2, the classification rate is 42%, 93% and 94%, respectively. The rate results in *K*-means not being a good classifier in situations involving constraints. The LDA and SVM have a near identical leave-one-out recognition rate, and moreover this rate is acceptable. Both methods are good classifiers, and can resolve any associated environmental installation problems. The following paragraphs analyze and compare these two classifiers in more detail (see Table 3).

#### Table 3

| The classification rate of classifiers. |     |     |  |  |  |
|---|-----|-----|--|--|--|
| K-means                                 | LDA | SVM |  |  |  |
| 42%                                     | 93% | 94% |  |  |  |

| Table 4<br>Vehicles obta | ained from the | field. |        |
|--------------------------|----------------|--------|--------|
| Category                 | Motorcycle     | Small  | Medium |
|                          |                |        |        |

| Type ID  | 1          | 2   | 3   | 4   | 5     |
|----------|------------|-----|-----|-----|-------|
| Туре     | Motorcycle | Car | Van | Bus | Truck |
| Subtotal | 30         | 79  | 66  | 12  | 4     |
| Total    | 191        |     |     |     |       |
|          |            |     |     |     |       |

Large

| Table ! | 5 |
|---------|---|
|---------|---|

Leave-one-out recognition rate for different classifiers and categories.

| Category                  | $LDA(f_m)$    | $SVM(f_m)$    | $LDA(f_m^2)$  | $SVM(f_m^2)$  | $LDA(f_m^4)$  | $SVM(f_m^4)$ |
|---------------------------|---------------|---------------|---------------|---------------|---------------|--------------|
| 1 vs. 2 vs. 3 vs. 4 vs. 5 | 73%(140/191)  | 76%(145/191)  | 76% (146/191) | 82% (156/191) | 78% (149/191) | 71%(136/191) |
| 1 vs. 2345                | 95% (182/191) | 98%(187/191)  | 96% (183/191) | 99% (189/191) | 94% (180/191) | 97%(186/191) |
| 1 vs. 23 vs. 4 vs. 5      | 93%(177/191)  | 94% (180/191) | 95% (181/191) | 98% (186/191) | 93% (178/191) | 93%(177/191) |
| 23 vs. 45                 | 96% (155/161) | 97%(156/161)  | 97% (156/161) | 99% (159/161) | 96% (154/16)  | 96%(155/161) |
| 23 vs. 4 vs. 5            | 96% (155/161) | 96% (155/161) | 98% (158/161) | 98% (158/161) | 96% (154/161) | 95%(153/161) |
| 2 vs. 3 vs. 4 vs. 5       | 72%(116/161)  | 75% (121/161) | 75% (121/161) | 80% (128/161) | 76% (123/161) | 71%(115/161) |
| 2 vs. 3                   | 76%(110/145)  | 75% (109/145) | 77% (112/145) | 79% (114/145) | 78% (113/145) | 74%(108/145) |
| 4 vs. 5                   | 88% (14/16)   | 94% (15/16)   | 88% (14/16)   | 94% (15/16)   | 94% (15/16)   | 100%(16/16)  |

Table 4 lists another testing dataset that meets the short setup time and skew data constraints. The test data were obtained from a field site on a road in Chu-Pei City, Taiwan. The radar is installed as illustrated in Fig. 1. The same traffic volume can be collected on a normal urban road within a 10-15 min period. The five vehicle types from the table can be classified into four categories. All vehicles from different lanes are merged into a single training dataset. According to the radar equation, in Eq. (7), the receiver power of the vehicle is decayed by  $1/R^4$ . As shown in Fig. 1(b), the planar antenna is specially designed to perform an SPC function which compensates for the decay in each lane. The receiver power of the road surface, indicated by the dashed line curve, resembles a curve with some power of the range. Therefore some software STC functions are tested, as shown in Eq. (3), to compensate for the decay of the road surface. Before extracting the features from the vehicle profile, the amplitude of the profile is multiplied by some power of the frequency. Although the classifier is designed to classify vehicles into four categories, recognition rates remain an area of interest for numerous combinations of different vehicle types:

$$P_r = \frac{P_t G^2 \lambda^2 \sigma}{(4\pi)^3 R^4},\tag{7}$$

where  $P_r$  denotes receiver power,  $P_t$  represents transmitter power,  $\lambda$  is wavelength, G denotes antenna gains,  $\sigma$  represents RCS, and *R* is vehicle range.

Table 5 lists the test results for different powers of frequency for SVM and LDA. The highlighted cells represent the highest leave-one-all recognition rates for different categories. SVM wins almost all scenarios in  $f_m^2$  cases. Table 6 lists the error matrix for a SVM $(f_m^2)$  case. Therefore, by compensating the received signal with power two of the frequency, SVM can obtain the best recognition rate. The first row, "1 vs. 2 vs. 3 vs. 4 vs. 5", indicates a low recognition rate for each classifier. This low rate means that creating excessively narrow categories will result in a low recognition rate. Comparing the third and fifth rows, "1 vs. 23 vs. 4 vs. 5" and "23 vs. 4 vs. 5", reveals that the recognition rates are almost equal in the same classifier. Motorcycles can generally be separated from other vehicle types. The second row, "1 vs. 2345", confirms this. Examining the last two rows, "2 vs. 3" and "4 vs. 5", reveals that cars and vans are difficult to separate, while buses and trucks can generally be separated.

Table 7 shows the calibrated virtual loop length which is output from the video calibrating system. The far lane is slightly longer than the near lane. The planar antenna design is responsible for this effect. Fig. 6 shows the vehicle length output by SVR. The estimated truck lengths are shorter than the visually measured lengths obtained from the video system, and the estimated motorcycle lengths are longer than the visually measured ones (see Fig. 6(b)). The reason is that the total number of vans and cars is 75%. The training data is skewed, leading SVR to make length predictions for all vehicles that are close to those of cars. Fig. 7 describes the vehicle speed. Since the estimates of motorcycle length are high, the motorcycle speed always exceeds that of visual measurements obtained using the video system (see Fig. 7(b)). The situation for trucks is the reverse of the above, with estimates of length and speed being lower than the visual measurements.

#### Table 6

Leave-one-out error matrix for  $SVM(f_m^2)$ .

| Detect vehicle class | Actual vehicle class |       |        |       |
|----------------------|----------------------|-------|--------|-------|
|                      | Motorcycle           | Small | Medium | Large |
| Motorcycle (1)       | 29                   | 1     | 0      | 0     |
| Small (2, 3)         | 1                    | 143   | 1      | 0     |
| Medium (4)           | 0                    | 1     | 11     | 1     |
| Large (5)            | 0                    | 0     | 0      | 3     |
| Total                | 30                   | 145   | 12     | 4     |
| Error (%)            | 3                    | 1     | 8      | 25    |
| Recognition rate (%) |                      | 98    |        |       |



**Fig. 6.** Vehicle output lengths from SVR. The open triangles with dashed lines denote the lengths measured from the video calibrating system. Meanwhile, the rectangles with black lines represent the estimated lengths obtained using the proposed algorithm. (a) The vehicle lengths were output from SVR. (b) The motorcycle lengths output from SVR.



Fig. 7. Estimated vehicle speeds. The open triangles with dashed line are the speeds measured from the video system. The rectangles with black line are the speeds estimated using the proposed algorithm. (a) Estimated speeds for all vehicle categories. (b) Estimated speeds for motorcycles.

| Table 7Virtual loop length for each lane. |        |       |       |       |  |
|---|--------|-------|-------|-------|--|
|   | Lane 1 | Lane2 | Lane3 | Lane4 |  |
| Virtual loop length                       | 7.9 m  | 8.1 m | 9.2 m | 9.9 m |  |

#### 4. Conclusions

In this study, a CMOS based transceiver with STC antenna has been successfully implemented for advanced traffic signal processing. The collected signals from the CMOS radar system have been processed with developed optimization algorithms for vehicle-type classification and speed determination. The high recognition rate optimization algorithms are mainly based upon the information of short setup time and different environmental installation of each sensor. The algorithm includes four phases, namely signal processing, calibration, learning and 'classification and speed estimation'. In the calibration and learning phases, a video recognition module has been further adopted as a supervisor of SVM and SVR. SVM has successfully classified vehicles into four categories: motorcycles, small, medium and large vehicles in the classification phase. SVR has estimated vehicle lengths and determined their speeds accurately in the speed estimation phase. Specially, the proposed algorithm can detect motorcycles and estimate their speeds precisely. Compared with conventional circuit-based detector systems, the developed CMOS radar integrates submicron semiconductor devices and thus not only possesses low stand-by power but also is ready for production. In the meantime, the algorithm has successfully provided a high recognition rate in a gray area where traditional unsupervised classifiers have low recognition rates and supervised classifiers are hard to prepare training data. Furthermore, the developed algorithm of this study simultaneously optimizes the vehicle-type classification and speed determination in a computationally cost-effective manner, which benefits real-time intelligent transportation

system. In the future, enhanced vehicle length and speed accuracy can be obtained by applying SVR to each category of vehicles. Another direction for future research could be to apply the SVM model to vehicle signals of each lane.

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