



## Dual functional AuNRs@MnMEIOs nanoclusters for magnetic resonance imaging and photothermal therapy



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### ABSTRACT

A novel dual functional theranosis platform is developed based on manganese magnetism-engineered iron oxide (MnMEIO) and gold nanorods (AuNRs) to combine magnetic resonance (MR) imaging and photothermal therapy in one nanocluster. The platform showed improved  $T_2$ -weighted MR imaging and exhibited a near-infrared (NIR) induced temperature elevation due to the unique characteristics of AuNRs@MnMEIOs nanoclusters. The obtained dual functional spherical-shaped nanoclusters showed low cytotoxicity, and high cellular uptake efficiency. The AuNRs@MnMEIOs nanoclusters also demonstrated a 1.9 and 2.2 folds  $r_2$  relaxivity value higher than those of monodispersed MnMEIO and Resovist. In addition, *in vivo* MR imaging study found that the contrast enhancements were  $-70.4 \pm 4.3\%$  versus  $-7.5 \pm 3.0\%$  in Her-2/neu overexpression tumors as compared to the control tumors. More importantly, NIR laser irradiation to the tumor site resulted in outstanding photothermal therapeutic efficacy and without damage to the surrounding tissue. In additional, the prepared dual functional AuNRs@MnMEIOs display high stability and furthermore disperse even in the presence of external magnet, showing that AuNRs@MnMEIOs nanoclusters can be manipulated by an external magnetic field. Therefore, such nanoclusters combined MR imaging and photothermal therapeutic functionality can be developed as a promising nanosystem for effective cancer diagnosis and therapy.

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### 1. Introduction

Recently, nanostructured materials have received great attention in biomedical imaging, diagnosis, and therapy [1,2]. Each of these methods possesses characteristic strengths and weaknesses, but none of them are capable of independently providing complete structural and functional information. The accuracy of tumor detection can be greatly improved by integrating the different individual imaging modalities. Therefore, various types of hybrid nanoparticles that have been used for multimodal imaging, based on the incorporation of several contrast agents into one single

system, will enable the development of multifunctional nanomedical platforms for multimodal imaging [3,4]. Moreover, these hybrid platforms could simultaneously diagnose and treat patients by combining therapeutic and diagnostic capabilities into one single agent. Thus the hybrid systems provide more specific and efficient ways for the treating diseases than single-modality nanoparticle [5]. Among various nanomaterials, gold and superparamagnetic iron oxide (SPIO) nanoparticles are highly promising for the development of “theranosis” agents [6–9]. Combining iron oxide and gold in one multifunctional agent could enhance diagnosis and therapeutic heating in the targeting tumors due to excellent magnetic properties (from iron oxide), and optical (from gold). Over the past few years, many research efforts have been focused on developing such dual functional nanomaterials including heterodimer nanocompositions [10,11] and core-shell nanostructure [12–15]. Among various forms of particle geometries, gold nanomaterials, especially gold nanorods (AuNRs) can be adjusted by tuning their morphology to exhibit distinctive optical properties in near-infrared (NIR) region (700–850 nm). Due to

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minimal attenuation by water and hemoglobin at these wavelengths, which absorb weakly in soft tissue and blood, AuNRs is particularly interesting for the development of NIR absorption photothermal therapy [16–18]. Hence, a nanostructure that combines MR image diagnosis and NIR photothermal ablation would greatly increase the treatment efficacy and simultaneously minimize the damage to normal cells and tissues. However, the gold coating on iron oxide nanoparticles display intense NIR absorbance is difficult to synthesize; [19–21] On the other hand, controlling the hydrodynamic size of nanocompositions between 10 nm and 100 nm to avoid elimination by reticuloendothelial system (RES) is also a major challenge to design ideal platforms [22,23].

Herein, we described a novel strategy of dual functional nanoclusters based on manganese-doped magnetism-engineered iron oxide (MnMEIO) and AuNRs which combined with optical and magnetic properties useful in MR imaging and photothermal ablation functions. The size of the dual functional nanocluster was controlled below 100 nm to avoid elimination by clearance organs. Furthermore, we studied the effect of nanocluster shape on cellular uptake. The MnMEIO in our platform can be used as MR imaging contrast agent and the photothermal ablation function of AuNRs was also demonstrated in this work. Scheme 1 depicted the novel dual functional nanocluster. MnMEIO attracted AuNRs through a well-understood chemistry of Au–S bond [24]. Then AuNRs and MnMEIOs nanoclusters (abbreviated as AuNRs@MnMEIOs) were further stabilized with acryl-modified poly(ethylene glycol) (PEG-Ac) and conjugated with Herceptin for targeting to Her-2/neu human breast cancer cells (SKBR-3). *In vitro* and *in vivo* efficacies of the AuNRs@MnMEIOs-Herceptin for theranosis were evaluated through confocal fluorescent microscopic studies, MR imaging, optical imaging and photothermal therapy studies.

## 2. Experimental section

### 2.1. Chemicals and materials

Osmium tetroxide (1%), iron (III) acetylacetonate ( $\text{Fe}(\text{acac})_3$ , 99.9%), manganese (II) acetylacetonate ( $\text{Mn}(\text{acac})_2$ , 99%), oleic acid (90%), oleylamine (90%), 1,2-hexadecandiol (90%), benzyl ether ( $\geq 98\%$ ), poly(ethylene glycol) methyl ether (mPEG, average  $M_w \cong 2000$ ), N-ethyl-N'-(3-dimethylaminopropyl) carbodiimide (EDC), fluorescein isothiocyanate (FITC), sodium cacodylate trihydrate ( $\geq 98\%$ ),

tannic acid (99%), and glutaraldehyde (25%) were purchased from Sigma Aldrich (MO, USA). Acetyl chloride (96%), cetyl trimethylammonium bromide (CTAB) (98%), and (3-mercaptopropyl) trimethoxysilane (MPTES, 95%) were purchased from Alfa Aesar (MA, USA). (3-Aminopropyl) triethoxy silane (APTES, 98%) and N'-hydroxysuccinimide (NHS, 97%) were purchased from Fluka (Buchs, Switzerland). Herceptin (Trastumab) was purchased from Roche (Basel, Switzerland). Molecular-porous membrane tubings (average  $M_w \cong 12\text{--}14$  kDa and average  $M_w \cong 300$  kDa) were purchased from Spectrum (TX, USA). 3-(4, 5-Dimethyl-2-thiazolyl)-2, 5-diphenyl-2H-tetrazolium bromide and thiazole Blue were purchased from Merck (Dietikon, Switzerland). S162 Scientific Formvar/Carbon200-mesh copper (50-grid) was purchased from Agar Scientific (Stansted, UK). Matrigel was purchased from BD Bioscience (MA, USA). Spurr's resin was purchased from Agar Scientific (Essex, UK). All solvents were purchased from Echo Chemical (Miaoli, Taiwan). Dulbecco's minimal essential medium (DMEM), fetal bovine serum (FBS), penicillin, and streptomycin were purchased from Gibco (NY, USA).

### 2.2. Cell line and tumor model

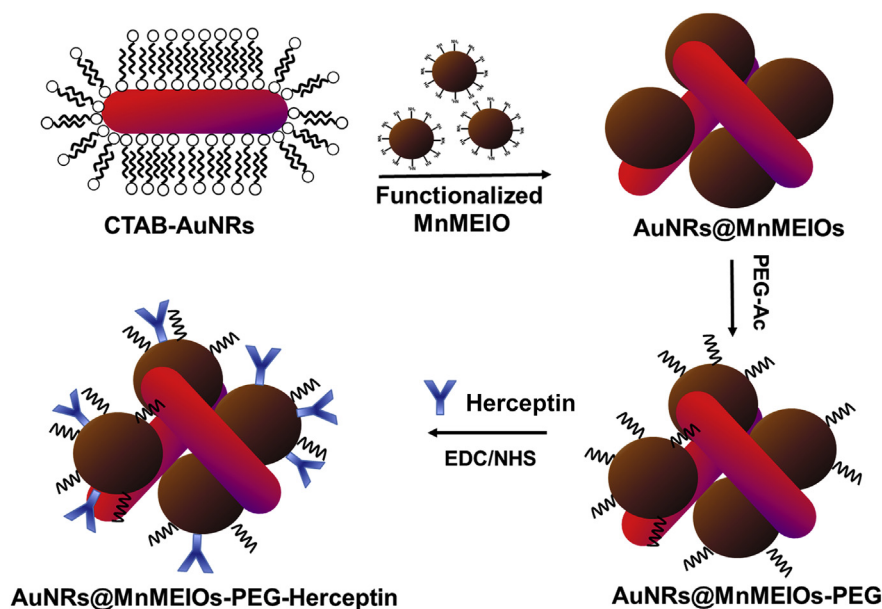
SKBR-3, a human breast cancer cell line, and Colo-205, a human colorectal cancer cell line, were obtained from Food Industry Research and Development Institute (FIRDI, Hsinchu, Taiwan). Cells were cultured in DMEM and supplemented with 10% FBS,  $100 \text{ U mL}^{-1}$  penicillin and  $100 \mu\text{g mL}^{-1}$  streptomycin at  $37^\circ\text{C}$  in an atmosphere of 5%  $\text{CO}_2$ . Nu mice (4 weeks old) were obtained from the BioLASCO (Yi-Lan, Taiwan). Animal experiments were performed in accordance with institute guidelines.

### 2.3. Characterization

Relaxation time values ( $T_1$  and  $T_2$ ) of MnMEIO samples were measured to determine relaxivity  $r_1$  and  $r_2$ . All measurements were made using the relaxometers (NMS-120 & MQ60 Minispec, Bruker, Ontario, Canada) operating at 20 and 60 MHz and  $37.0 \pm 0.1^\circ\text{C}$ . Hydrodynamic size and zeta potential were analyzed by Zetasizer (Nano ZS90, Malvern Instruments, UK). The compositions of AuNRs@MnMEIOs-PEG were obtained by an Energy-dispersive X-ray spectrometer (EDX, S-3000N, Hitachi, Tokyo, Japan) and inductively coupled plasma atomic emission spectroscopy (ICP-AES, Jobin-Yvon JY 38type III, Edison, New Jersey, USA). Magnetic properties of the MnMEIO-PEG and AuNRs@MnMEIOs-PEG were studied with superconducting quantum interference devise magnetometer (SQUID, VSM Model 7400, OH, USA) at fields ranging from  $-10$  to  $10$  kOe and at 300 K. The average core size, size distribution, and morphology were examined using a transmission electron microscope (TEM, JEOL JEM-2000 EX II, Tokyo, Japan) at a voltage of 80 kV. The composite dispersion was drop-cast onto a 200-mesh copper grid and the grid was air-dried at room temperature before being loaded into the microscope.

#### 2.3.1. Synthesis of methoxy poly(ethylene glycol) acrylate (mPEG-Ac)

Poly(ethylene glycol) methyl ether (mPEG, 40 g, 20 mmol) was dispersed in 200 mL of anhydrous dichloromethane (DCM) in a 250 mL round-bottom flask and cooled to  $0^\circ\text{C}$  using an ice-bath with stirring. Then, acetyl chloride (2.4 mL,



**Scheme 1.** Schematic illustration showed that AuNRs@MnMEIOs nanoclusters were prepared. The AuNRs@MnMEIOs nanoclusters were used as a  $T_2$  contrast agent and photo-induced heating agent.

30 mmol) and triethanolamine (TEA) (4.2 mL, 30 mmol) were added and the mixture was stirred at room temperature for 48 h under nitrogen atmosphere. The reaction mixture was filtered using a filter funnel and the solvent was evaporated to obtain the crude product, which was further precipitated in hexane. The final product was dried under vacuum for overnight. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ (ppm): 3.37 (s, 3H, CH<sub>3</sub>-O), 3.63 (m, 104H, PEG chain protons), 4.30 (t, J = 4.7 Hz, 2H, C-CH<sub>2</sub>-C=O), acryl group: 5.56 (1H), 6.15 (1H), 6.37 (1H).

### 2.3.2. Synthesis of functionalized magnetic nanoparticles

MnMEIOs capped with oleic acid was synthesized by thermal decomposition under nitrogen atmosphere [25]. Briefly, Fe(acac)<sub>3</sub> (1.4 g, 4 mmol), Mn(acac)<sub>2</sub> (0.51 g, 2 mmol), 1,2-hexadecanediol (2.58 g, 10 mmol), oleic acid (1.7 g, 6 mmol), oleylamine (1.6 g, mmol), and benzyl ether (5 mL) were mixed and stirred under nitrogen atmosphere. The mixture was heated to 200 °C and maintained to reflux for 2 h. Then, it was heated to 300 °C and refluxed for 1 h. The reaction mixture was cooled to room temperature. Then, the black solution was precipitated with acetone and separated via centrifugation. After discarding the supernatant, the black product was dissolved in hexane. Centrifugation (3000 rpm, 10 min) was applied to remove the undispersed residue. The product, MnMEIOs, was then precipitated with acetone, centrifuged to remove the solvent, and redispersed into chloroform. The surface of the MnMEIOs was functionalized with organosilane molecules (APTES and MPTES) to generate an amine and thiol terminated surface [26]. APTES and MPTMS functionalization was performed by silane ligand exchange. Briefly, 0.5% (v/v) APTES and 0.25% (v/v) MPTES were added to the MnMEIOs in toluene containing 0.01% (v/v) acetic acid causing precipitation of the particles. The mixture was sonicated for 6 h and then rinsed and redispersed with water to remove excess APTES and MPTES.

### 2.3.3. Synthesis of gold nanorods (AuNRs)

Gold nanorods were modified and synthesized using the previous procedures [27,28]. Briefly, the formation of short AuNRs was produced by the addition 3.2 mL of 5 mM HAuCl<sub>4</sub> and 72 μL HCl (37 wt. % in water, 12.1 M) to 15 mL of 0.2 mM CTAB aqueous solution and stirred for 15 min at 700 rpm. The solution was then mixed with 2.56 mL of 10 mM ascorbic acid and 16 μL of 100 mM AgNO<sub>3</sub>. Next, 40 μL of 10 mM NaBH<sub>4</sub> was finally added to the mixture to initiate growth to yield gold nanorods of aspect ratio about 3. The formation of short nanorods was visually observed by the formation of a red-colored solution within 30 min. Complete short AuNRs was monitored by solution extinction measurements. Finally, excess CTAB was removed by centrifuging twice at 10,000 rpm, the supernatant was removed the solvent, and redispersed into deionized water.

The long AuNRs were synthesized using the binary surfactant. Briefly, the seed solution for AuNRs growth was prepared as follows: 5 mL of 0.5 mM HAuCl<sub>4</sub> was mixed with 5 mL of 0.2 M CTAB solution, then, 600 μL of fresh 10 mM NaBH<sub>4</sub> was injected to the Au(III)-CTAB solution under vigorous stirring at 1,200 rpm. The seed solution was aged at room temperature for 30 min before use. The growth solution containing 0.137 g of CTAB and 0.025 g of sodium oleate in 5 mL of deionized water was reacted at 30 °C and mixed with 19.2 μL of 100 mM AgNO<sub>3</sub> solution. Then which 5 mL of 1 mM HAuCl<sub>4</sub> solution was added and stirred for 90 min and 72 μL of HCl (37 wt. % in water, 12.1 M) was added to adjust the pH. After another 15 min of slow stirring at 400 rpm, 1.25 mL of 64 mM ascorbic acid was added and the solution was vigorously stirred for 30 s. Finally, 16 μL of seed solution was injected into the growth solution. The resultant mixture was stirred for 30 s and left undisturbed at 30 °C for 12 h for AuNR growth. The final products were isolated by centrifugation at 7,000 rpm for 30 min followed by removal of the supernatant.

### 2.3.4. Preparation of spherical-shaped and rod-shaped AuNRs@MnMEIOs-PEG-Herceptin

Using the reported extinction coefficients of the longitudinal plasmon peaks [29], the molar concentration in nanoparticles of all AuNR solutions were prepared before used. To establish spherical-shaped AuNRs@MnMEIOs, 2 mL of functionalized-MnMEIOs was added into 4 mL of 10 mM CTAB-AuNRs solution (both short aspect ratio and long aspect ratio AuNRs) and shaken for 12 h at room temperature. Then, 300 μL of 2% mPEG-Ac was added to the mixture and stirred for 3 h at room temperature. The spherical-shaped AuNRs@MnMEIOs-PEG was obtained and purified by dialysis to remove excess mPEG-Ac and CTAB. To establish rod-shaped AuNRs@MnMEIOs, the process was similar with spherical-shaped AuNRs@MnMEIOs, after removing excess mPEG-Ac and CTAB, 300 μL of 2% NH<sub>2</sub>-PEG<sub>3500</sub>-SH was added to the mixture and stirred for 3 h at room temperature to modify the surface AuNRs, the rod-shaped AuNRs@MnMEIOs-PEG was obtained and purified by dialysis to remove excess NH<sub>2</sub>-PEG<sub>3500</sub>-SH. To prepare dual functionalization nano-compositions, AuNRs@MnMEIOs-PEG-Herceptin, three hundreds microliters of 1.6 mg mL<sup>-1</sup> EDC and 1 mg mL<sup>-1</sup> NHS were added into both spherical-shaped and rod-shaped AuNRs@MnMEIOs-PEG solution. Then, 2 μL of 22 mg mL<sup>-1</sup> Herceptin was added into the mixture and incubated for 90 min at room temperature. The Herceptin-modified AuNRs@MnMEIOs-PEG was isolated by centrifugation at 8,000 rpm for 30 min at 4 °C to remove the excess EDC, NHS, Herceptin and unbound MnMEIOs (i.e. spherical-shaped AuNRs@MnMEIOs-PEG-Herceptin and rod-shaped AuNRs@MnMEIOs-PEG-Herceptin, respectively). The final products were kept at 4 °C in PBS before used.

### 2.4. Evaluation of intracellular distribution of AuNRs@MnMEIOs nanoclusters

Human breast cancer cell line (SKBR-3) (1 × 10<sup>6</sup> cells) were seeded onto round glass coverslips placed in 6-well plates and cultured overnight. After cells attached, cells were incubated with 1 mM (based on Fe and Mn concentration) of AuNRs@MnMEIOs-FITC-PEG-Herceptin for 24 h at 37 °C. At the end of the incubation period, the cells were washed with PBS for 2 times to remove any free AuNRs@MnMEIOs-FITC-PEG-Herceptin and then fixed with 4% formaldehyde solution at room temperature for 30 min. Coverslips were placed onto glass microscope slides, and the distribution of AuNRs@MnMEIOs-FITC-PEG-Herceptin was analyzed using a confocal laser scanning (CLS) imaging system (TCS-SP5-X AOBs, Leica, Germany) consisting of Olympus BX51 microscope (Olympus, Tokyo, Japan) and a 20 mW-output 488 nm argon ion laser.

### 2.5. Cell viability determination

SKBR-3 cells were seeded in a 24-well plate at a density of 1 × 10<sup>5</sup> cells per well and were allowed to attach for 24 h at 37 °C in a 5% CO<sub>2</sub> incubator before the treatment. Cells were maintained at 37 °C for 24 h after treatment with CTAB-AuNRs or AuNRs@MnMEIOs-PEG-Herceptin at different concentrations (625 pM–2 nM based on AuNRs). Cell viability was then determined using the MTT (3-(4, 5-Dimethylthiazol-2-yl)-2, 5-diphenyl tetrazolium bromide) assay. Viable cells are capable of metabolizing the MTT reagent while dead cells are not. The treated cells were incubated with the MTT reagent for 4 h. Then 500 μL DMSO was added to each well and incubated for 10 min and the absorbance at 540 nm was read. Each concentration was repeated in triplicate and the results expressed as percentages relative to the control.

### 2.6. Nanoclusters cellular uptake study

To compare the cellular uptake of efficiency of different morphologies of AuNRs@MnMEIOs-PEG nanoclusters (with and without Herceptin), both Prussian blue staining and TEM were used to analyze. For Prussian blue staining, SKBR-3 (approximately 1 × 10<sup>6</sup> cells) were seeded in T25 flask. After cells attached, media was replaced with medium containing spherical-shaped or rod-shaped AuNRs@MnMEIOs-PEG-Herceptin (56 μg mL<sup>-1</sup>, based on the concentration of Fe and Mn). At the end of the incubation period, the cells were washed with PBS for 2 times to remove unbound AuNRs@MnMEIOs-PEG-Herceptin. Cells were fixed with 4% paraformaldehyde for 40 min. Then, cells were incubated with fresh prepared Perls' reagent (4% potassium ferrocyanide/20% HCl, 1:1, v/v) for 30 min and subsequently observed by an inverted optical microscope. The uptake efficiency of different morphologies was also monitored by TEM to further validate the cellular uptake in SKBR-3 cells. For this experiment, SKBR-3 cells (approximately 1 × 10<sup>7</sup> cells per T75 flask) were incubated with medium containing spherical-shaped or rod-shaped AuNRs@MnMEIOs-PEG-Herceptin (56 μg mL<sup>-1</sup>, based on the concentration of Fe and Mn) for 4 h. Treated cells were centrifuged and fixed with paraformaldehyde (1.6%)-glutaraldehyde (2.5%) solution followed by OsO<sub>4</sub> solution. Then, cells were dehydrated in a graded series of ethanol and acetone and embedded in Spurr resin. These cell-containing resin blocks were sectioned using an ultramicrotome and ultrathin sections (70–90 nm thickness) were transferred onto TEM grid.

### 2.7. Intracellular iron content measurement

For the intracellular iron content quantification, cells were incubated with AuNRs@MnMEIOs nanocluster (56 μg mL<sup>-1</sup>, based on the concentration of Fe and Mn) for 12 h. The cells were washed, collected, and counted. After 1500 g centrifugation for 10 min, the cell pellets were resuspended in 100 μL 12% HCl solution and incubated at 60 °C for 6 h. After incubation, the suspension was centrifuged at 12,000 × g for 10 min, and the supernatants were collected for iron concentration quantification. Fifty μL of 1% ammonium persulfate was added into 50 μL sample solution to oxidize the ferrous ions to ferric ions. Finally, 100 μL of 0.1 M potassium thiocyanate was added to the solution and incubated for 5 min to form the red color iron-thiocyanate. The absorption was read by a microplate reader set at 490 nm.

### 2.8. In vitro and in vivo MR imaging studies

MR imaging was performed on a 7.0 T MR imaging system (Bruker, Ettlingen, Germany). For *in vitro* MR imaging studies, SKBR-3 and Colo-205 cells (1 × 10<sup>6</sup> cells) were incubated with AuNRs@MnMEIOs nanoclusters (56 μg mL<sup>-1</sup> based on the concentration of Fe and Mn) at 37 °C for 24 h and washed three times in PBS. A T<sub>2</sub>-weighted spin-echo sequence (TR/TE = 3000 ms/22 ms) was used for MR imaging. For *in vivo* MR imaging studies, cultured SKBR-3 and Colo-205 tumor cells (3 × 10<sup>6</sup> cells/mouse) were injected in their right and left thigh regions to establish the tumors bearing mice model. After the tumors developed up to a size of approximately 200 mm<sup>3</sup>, AuNRs@MnMEIOs-PEG-Herceptin (10 mg kg<sup>-1</sup> body weight) were intravenously (i.v.) injected via the tail vein into the mice. The whole-body imaging of pentobarbital-anesthetized mice was performed with a 7.0 T MR imaging system. All samples were scanned by a fast gradient echo pulse sequence with the following parameters: TR/TE = 3000 ms/22 ms, matrix size = 256 × 256,



FOV = 6 × 6 mm and data are represented as mean ± SD ( $n = 5$ ). The contrast enhancement (%) was calculated by the following equation (1):

$$\text{Enhancement (\%)} = (SI_{\text{post}} - SI_{\text{pre}}) / SI_{\text{pre}} \times 100 \quad (1)$$

where  $SI_{\text{post}}$  is the value of signal intensity in tumor cells treated with the contrast agents, AuNRs@MnMEIOs-PEG-Herceptin, and  $SI_{\text{pre}}$  is the value of signal intensity for tumor cells alone.

### 2.9. In vivo systematic toxicity

For *in vivo* systematic toxicity study, a total of 4 tumors bearing mice were allocated to 2 groups, a) control group (without AuNRs@MnMEIOs-PEG-Herceptin treatment, b) with AuNRs@MnMEIOs-PEG-Herceptin treatment ( $10 \text{ mg kg}^{-1}$ ). After AuNRs@MnMEIOs-PEG-Herceptin injection, were sacrificed by  $\text{CO}_2$  asphyxiation. A full necropsy was performed and the organs were harvested, fixed in 10% neutral buffered formalin, embedded in paraffin, sectioned, and stained with hematoxylin and eosin for histological examination using standard techniques. Examined tissues included: liver, spleen, lung, kidney, and heart.

### 2.10. Thermal response measurements

The average temperature elevation of AuNRs@MnMEIOs nanoclusters was measured by placing the AuNRs@MnMEIOs nanoclusters with different concentrations ( $100 \mu\text{L}$ ,  $0.12 \text{ nm}^{-1} \text{ nm}$  based on the concentration of AuNRs) in 96-well plates and irradiated using a 808 nm diode continuous wavelength (CW) laser source (Pretek, Taiwan) at a power density of  $5 \text{ W cm}^{-2}$ . A thermometer (TM-924C) from Lutron (Taipei, Taiwan) fitted with a K-type thermocouple (not exposed to the laser beam) was immersed in the AuNRs@MnMEIOs solutions to record the temperature. For control experiment, same volume of water without the AuNRs@MnMEIOs solution was irradiated with CW laser and recorded its temperature.

### 2.11. Photothermal ablation study

Human breast cancer cell line SKBR-3 ( $1 \times 10^4$  cells) were seeded in 96-well plates and cultured overnight at  $37^\circ\text{C}$  in a 5%  $\text{CO}_2$  incubator before the treatment. Afterward cells were incubated with AuNRs@MnMEIOs-PEG-Herceptin nanoclusters for 6 h in the cell culture medium. Cells were irradiated at 808 nm CW laser for 10 min with power intensity of  $5 \text{ W cm}^{-2}$ . To evaluate cell viability after irradiation, the cells were then stained with Calcein AM (BD Bioscience, CA, USA) and Propidium Iodide (PI) (Calbiochem, NJ, USA). Then, the cells were observed using a laser scanning confocal imaging system. For *in vivo* experiments, after the mice were intravenous injected with  $200 \mu\text{L}$  of AuNRs@MnMEIOs-PEG-Herceptin ( $10 \text{ mg kg}^{-1}$  body weight) for 24 h, the tumor on each mouse was radiated by the 808 nm NIR laser at the power density of  $5 \text{ W cm}^{-2}$  for 10 min. Four groups of mice (untreated, laser only, AuNRs@MnMEIOs-PEG-Herceptin only, and AuNRs@MnMEIOs-PEG-Herceptin + laser) with five mice per group were used as the control. The tumor sizes were measured by a caliper every four day and calculated by the following equation (2):

$$\text{Volume} = (\text{tumor length}) \times (\text{tumor width})^2 / 2 \quad (2)$$

Relative tumor volumes were calculated as  $V/V_0$  ( $V_0$  was the tumor volume when the treatment was initiated). Mice with tumor sizes exceeding  $4,000 \text{ mm}^3$  were euthanized according to the animal protocol.

## 3. Results and discussion

### 3.1. Characterization of AuNRs@MnMEIOs nanoclusters

The monodispersed MnMEIOs and CTAB-AuNRs were synthesized following the previously reported methods. The morphology of MnMEIOs and CTAB-AuNRs can be clearly observed in Fig. 1. Two hundred particles were counted and measured per sample. TEM images showed that the monodispersed MnMEIOs have an overall particle size of  $8.2 \pm 1.3 \text{ nm}$  and the CTAB-AuNRs with average length and width  $31.2 \pm 2.9$  and  $10.6 \pm 1.5 \text{ nm}$ , respectively. Subsequently, the AuNRs@MnMEIOs nanoclusters were prepared through the conjugation of thiol-functionalized MnMEIOs with AuNRs. A near sphere nanocluster was shown in Fig. 1C, the average size of AuNRs@MnMEIOs nanoclusters is  $53.2 \pm 6.9 \text{ nm}$ . The hydrodynamic size and zeta potential were determined (Table S1). The hydrodynamic size of MnMEIO and AuNRs had a relatively narrow size distribution with a mean size of  $15.2 \pm 2.5 \text{ nm}$  and  $28.1 \pm 1.8 \text{ nm}$ , respectively. Upon conjugation of

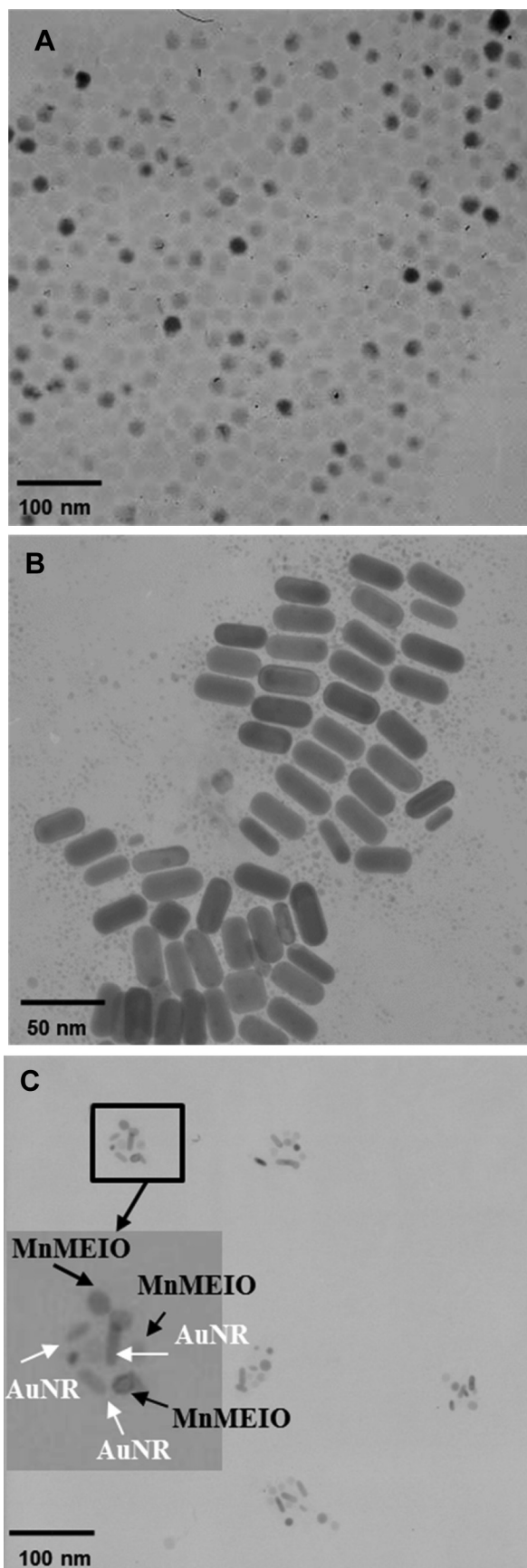


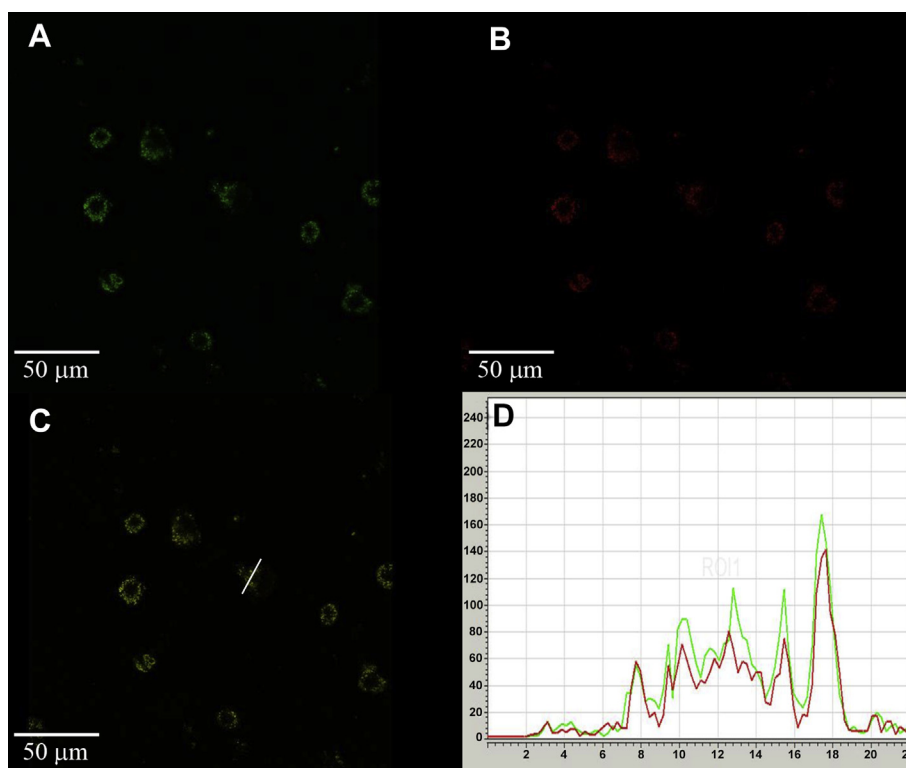
Fig. 1. TEM image of (A) MnMEIOs, (B) CTAB-AuNRs, and (C) AuNRs@MnMEIOs.

thiol-functionalized MnMEIO, CTAB-AuNRs, PEG-Ac and Herceptin antibody, the hydrodynamic size of the nanoclusters increased to  $64.2 \pm 6.3$  nm. Furthermore, the zeta potential values of MnMEIO, CTAB-AuNRs, and AuNRs@MnMEIOs nanoclusters were found to be  $+29.4 \pm 4.3$ ,  $+46.3 \pm 1.5$ , and  $-6.3 \pm 0.5$  mV, respectively. The decrease in zeta potential can be attributed to CTAB removal from the surface of AuNRs, and PEG masking of the surface charges [30–32]. Figure S1 showed the UV/vis spectra of MnMEIO-PEG, CTAB-AuNRs, and AuNRs@MnMEIOs-PEG. The CTAB-AuNRs has two absorption bands at 520 nm (transverse band) and 800 nm (longitudinal band). After conjugating with MnMEIOs, the longitudinal band was blue-shifted from 800 to 788 nm and the color of AuNRs@MnMEIOs-PEG was near red-wine as shown in Figure S1A inset. We speculated that slightly blue-shift of AuNRs@MnMEIOs-PEG may result from incomplete protection in modified process [33,34]. Compare with CTAB-AuNRs, the longitudinal band was evidently blue-shifted and CTAB-AuNRs would aggregate during CTAB removal from the surface of AuNRs (Figure S1B). Photograph in Figure S2A illustrated excellent colloidal stability of AuNRs@MnMEIOs-PEG in PBS even in presence of an external magnet, indicating that AuNRs@MnMEIOs-PEG can be manipulated in the presence of an external magnetic field. Compared with others reports, most Au-SPIO complexes lose their colloidal stability in external magnet [35–37], and severe aggregations would preclude their applications *in vivo*, because their circulation time is very short and they can sometimes cause organ damage result from capillary occlusion [38]. In this study, the high colloidal stability of AuNRs@MnMEIOs-PEG could be attributed to steric stabilization provided by AuNRs and PEG to avoid MnMEIOs interact to each other and resulted in good colloidal stability [39,40]. Stability of AuNRs@MnMEIOs-PEG dispersions to excess electrolytes (PBS) exhibited a similar trend. The images shown in Figure S2B indicate that PEG produces stable dispersions after 6 month of storage. The

normalized saturation magnetization of AuNRs@MnMEIOs-PEG at 300 K was  $52 \text{ emu g}^{-1}$  (Figure S2C). To convert from magnetization per total mass of particles to a basis of per mass of [Fe + Mn], the mass ratio of Au/Fe/Mn was about 3:2:1, as determined by ICP-AES and EDX. The magnetization of approached the value of  $104 \text{ emu g}^{-1} [\text{Fe}+\text{Mn}]$ , which was similar to magnetization of MnMEIO-PEG ( $\approx 105 \text{ emu g}^{-1} [\text{Fe} + \text{Mn}]$ ), indicating no significant interference from the AuNRs conjugation. None of the samples showed hysteresis, indicating that both MnMEIO-PEG and AuNRs@MnMEIOs nanoclusters retained superparamagnetic property.

### 3.2. Evaluation of intracellular distribution of AuNRs@MnMEIOs nanoclusters

To confirm the composition of AuNRs@MnMEIOs nanoclusters, ICP-AES and EDX were performed. The spectrum in Figure S3 confirmed the presence of Fe, Mn, and Au atoms in the nanoclusters. Moreover, confocal laser scanning (CLS) microscopy was used to further investigate the distribution of both AuNRs and MnMEIOs. To do this study, we labeled the fluorescent dye, FITC on the MnMEIOs of AuNRs@MnMEIOs-PEG-Herceptin and applied intense laser to excite plasmon emission of AuNRs and induce the fluorescence [41,42] to examine the intracellular distribution of the AuNPs and MnMEIOs using CLS microscopy. Fig. 2 showed the FITC, AuNRs, fluorescence images and their overlaps for SKBR-3 cells incubated with AuNRs@MnMEIOs-FITC-PEG-Herceptin. The intracellular staining results indicated that the green-fluorescent spots of FITC (located on MnMEIOs, Fig. 2A) and red-fluorescent spots of AuNRs (Fig. 2B) were generally merged and the yellow spots were observed in cytoplasm (Fig. 2C). The line profiles of the fluorescence mapping determined by CLS microscopy (Fig. 2D) evidenced the AuNRs and MnMEIO combined with each other. As shown in



**Fig. 2.** Fluorescence images of SKBR-3 cell after treated with AuNRs@MnMEIOs-PEG-FITC-Herceptin for 24 h. (A) Green fluorescence showed the location of MnMEIOs; (B) red fluorescence showed the location of AuNRs; (C) physically overlaid image of panels (A) and (B); and (D) A cross sectional compositional (green: MnMEIOs and red: AuNRs) line profile of a single cell under CLS microscopy image. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Figure S4, we also observed the co-localization for a three-dimensional analysis. These results also indicated that MnMEIOs and AuNRs combined with each other.

### 3.3. Cytotoxicity assessment

The AuNRs-based nano-platform has been realized and applied in biomedical field, however, AuNRs were reported to induce DNA damage, mitochondria damage and elevate intracellular reactive oxidative species (ROS) due to CTAB [43,44]. Regarding the cytotoxicity of AuNRs@MnMEIOs nanoclusters, the cell viability was assessed as shown in Fig. 3. As expected, MTT cytotoxicity tests revealed no obvious toxic effect of the AuNRs@MnMEIOs-PEG-Herceptin was observed while concentration was below 2 nM (based on AuNRs concentration). It is noteworthy that AuNRs@MnMEIOs nanoclusters evoked excellent biocompatibility and reasonable noncytotoxicity. We speculate that strong AuNRs@MnMEIO binding through MPTES would remove residual CTAB from AuNRs surface, and reduce cytotoxicity of AuNRs to a negligible level.

### 3.4. Effect of nanocluster shape on cellular uptake

Recent observations in biological systems suggest that particle shape has been considered to play an important role in cellular uptake [45,46]. To our knowledge, it is not clear whether the shape of AuNRs@MnMEIOs nanoclusters have great impact on cellular uptake. To address the effects of shape on cellular uptake, spherical-shaped and rod-shaped AuNRs@MnMEIOs-PEG-Herceptin were chosen in this study (Figure S5). The TEM images (Fig. 4) was conducted to estimate nanoclusters internalization by cells. As shown in Fig. 4, for a single cell, multiple vesicles containing spherical-shaped AuNRs@MnMEIOs-PEG-Herceptin were readily observed (Fig. 4B–F). On the other hand, the TEM image of rod-shaped AuNRs@MnMEIOs-PEG-Herceptin uptake showed no uptake for the same conditions (Fig. 4A). According to visual assessment, the cellular uptake of AuNRs@MnMEIOs-PEG-Herceptin is highly dependent on the shape, in which the results are consistent with cellular uptake of AuNRs [45–47]. Typical Prussian blue staining was performed to demonstrate the nanocluster internalization. As shown in Figure S6A–B, rod-shaped AuNRs@MnMEIOs-PEG-Herceptin internalization were less than that of spherical-shaped AuNRs@MnMEIOs-PEG-Herceptin ones. In addition, Herceptin-modified nanoclusters showed higher uptake in comparison to PEG-coated nanoclusters (Figure S5C–D). The

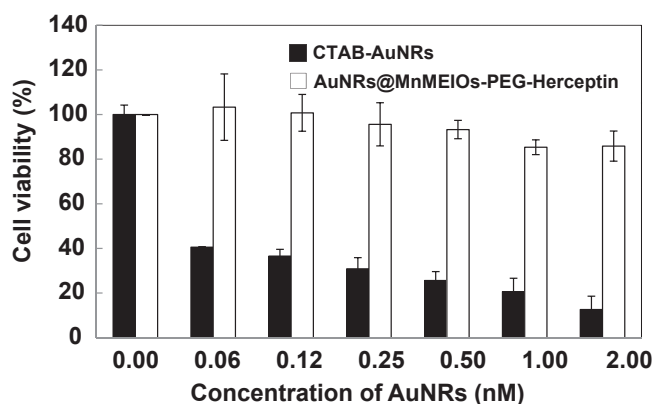


Fig. 3. *In vitro* cytotoxicity of AuNRs@MnMEIOs-PEG-Herceptin and CTAB-AuNRs at different concentrations (62.5 pm–2 nM based on AuNRs) against SKBR-3 cells assessed by MTT assay.

intracellular iron contents for the four types of AuNRs@MnMEIOs nanocluster in SKBR-3 was carried out as shown in Figure S5E. SKBR-3 incubated with spherical-shaped AuNRs@MnMEIOs-PEG-Herceptin resulted in a striking increase in iron contents by a factor of 5 as compared to other three groups. We speculated that the rod-shaped nanoclusters need larger contact area with the cell membrane receptors than the spherical-shaped ones, and the lower amounts of rod-shaped nanoclusters uptake was observed in comparison to the spherical-shaped ones. By taking the overall uptake nanoclusters, spherical-shaped nanoclusters was selected for the following experiments.

### 3.5. *In vitro* MR imaging study

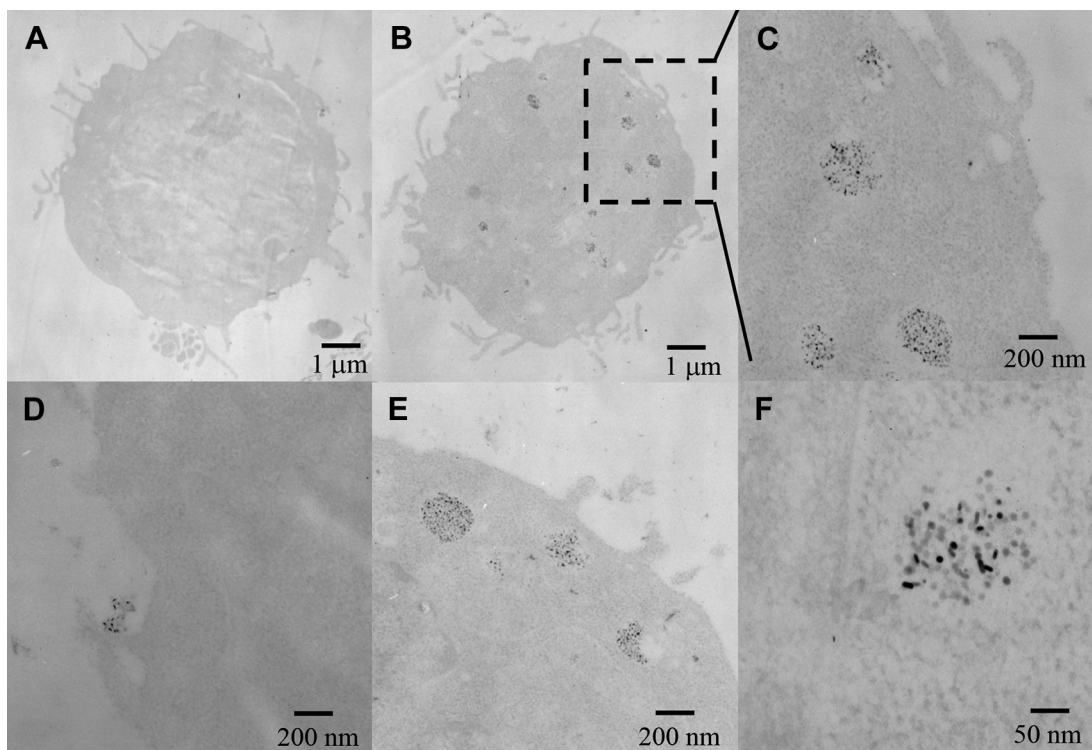
To assess the potential use of AuNRs@MnMEIOs nanoclusters as an enhanced MR imaging contrast agent, the  $T_2$  relaxivity value ( $r_2$ ) of the AuNRs@MnMEIOs-PEG was determined in 20- and 60-MHz relaxometers and compared with that of the MnMEIO-PEG. As shown in Table S2, we summarized the relaxivity values of MnMEIO-PEG, AuNRs@MnMEIOs-PEG and Resovist using 20-MHz and 60-MHz relaxometer. The  $r_2$  relaxivity value of monodispersed MnMEIO-PEG was  $191.2 \pm 2.3 \text{ mm}^{-1} \text{ s}^{-1}$  at 0.5 T. In contrast, MnMEIOs decorated with AuNRs, AuNRs@MnMEIOs-PEG, exhibited a dramatically increased  $r_2$  value of  $364.0 \pm 2.8 \text{ mm}^{-1} \text{ s}^{-1}$ . Resovist, a clinically approved  $T_2$ -weighted MR imaging contrast agent, has slightly lower relaxivity value than that of MnMEIO-PEG and significant lower relaxivity values than that of AuNRs@MnMEIOs-PEG [48]. Significant improvement in the  $r_2$  relaxivity value could be attributed to the synergistic magnetic effect of multiple MnMEIOs nanoparticles in one cluster [49,50]. These results suggested that the AuNRs@MnMEIOs-PEG should have a stronger magnetization than that of monodispersed MnMEIO and could serve as a more effective contrast agent for MR imaging in clinical diagnosis.  $T_2$ -weighted images were performed using a 7.0-T MR imaging system. As shown in Figure S7 the negative contrast enhancements were  $55.7 \pm 2.4\%$ ,  $32.6 \pm 2.7\%$ ,  $30.8 \pm 3.2\%$ ,  $12.7 \pm 1.9\%$ , and  $6.2 \pm 1.2\%$  by comparing their results to that of MnMEIO-PEG. AuNRs@MnMEIOs-PEG exhibited better negative contrast enhancements than MnMEIO-PEG at different concentrations (12, 25, 50, 100, and 200  $\mu\text{M}$ ).

To illustrate the utility of AuNRs@MnMEIOs-PEG-Herceptin for biomedical imaging, we also evaluated cellular uptake of AuNRs@MnMEIOs-PEG-Herceptin in two different cancer cells (Colo-205 and SKBR-3). As shown in Fig. 5,  $T_2$ -weighted MR images of Colo-205 cells treated with AuNRs@MnMEIOs-PEG-Herceptin and SKBR-3 cells treated with AuNRs@MnMEIOs-PEG exhibited minimal contrast enhancement. The MR images of AuNRs@MnMEIOs-PEG-Herceptin treated SKBR-3 cells (over-express HER-2) showed much more (negative) contrast enhancements ( $-37.2 \pm 3.4\%$ ,  $-49.1 \pm 4.5\%$ ,  $-66.1 \pm 2.7\%$ ,  $-84.7 \pm 5.1\%$ , and  $-90.0 \pm 4.9\%$  along with increasing contrast agent concentration). Different contrast enhancements between SKBR-3 and Colo-205 is most likely attributed to ligand-receptor-mediated internalization of AuNRs@MnMEIOs-PEG-Herceptin by targeted cells [51].

### 3.6. *In vivo* MR imaging study

Furthermore, *in vivo*  $T_2$ -weighted MR images were observed on nude mice bearing subcutaneous xenografts of SKBR-3 and Colo-205 tumor before and after intravenously injection of AuNRs@MnMEIOs-PEG-Herceptin (10 mg  $\text{kg}^{-1}$ ). As shown in Fig. 6, it is clear that high negative contrast enhancement observed for SKBR-3 tumor ( $-70.4 \pm 7.2\%$ ) indicated more accumulation of contrast agents, and moderate or slight negative contrast





**Fig. 4.** TEM images of SKBR-3 cells incubated with (A) rod-shaped and (B) spherical-shaped AuNRs@MnMEIOs-PEG-Herceptin for 4 h (C), (D), (E), (F) represent enlarged views of SKBR-3 cells incubated with spherical-shaped AuNRs@MnMEIOs-PEG-Herceptin nanoclusters.

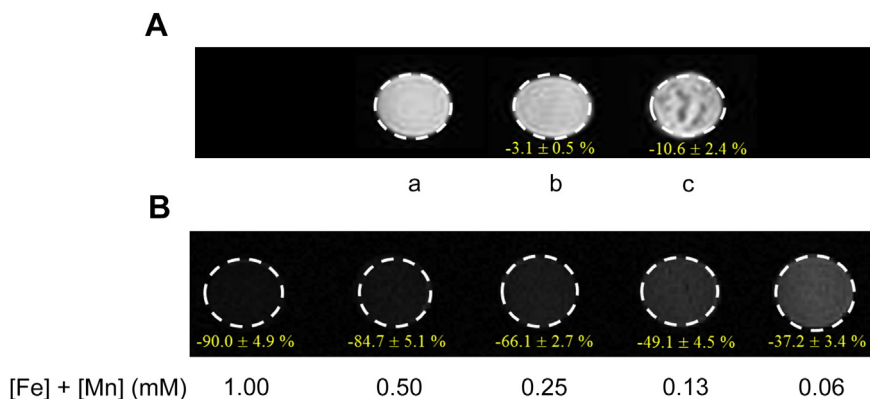
enhancement observed for Colo-205 tumor ( $-7.5 \pm 1.4\%$ ). By comparing these results, MR images at 24 h post injection showed significant negative contrast enhancement in SKBR-3 tumor.

In addition, the systematic toxicity of the established AuNRs@MnMEIOs-PEG-Herceptin nanoclusters was examined *in vivo* in our experiment. Histological examinations of major organs indicated no evident tissue damage was observed in these major organs (Figure S8).

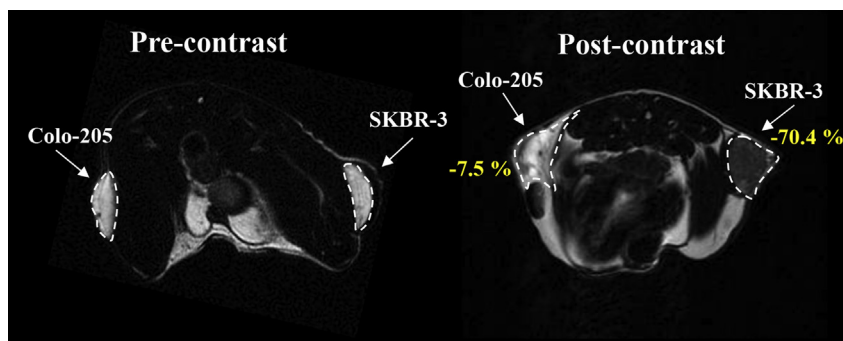
### 3.7. Targeted photothermal tumor treatment

AuNRs are excellent photothermal agents due to their strong absorption and high light-to-thermal conversion efficiency which is about five orders of magnitude higher than that of fluorescent dye [52]. To demonstrate the photothermal conversion effect,

AuNRs@MnMEIOs nanoclusters were exposed under the 808 nm laser radiation, which is close to the longitudinal band of AuNRs@MnMEIOs nanoclusters, and the temperature was measured. As shown in Figure S9 a remarkable increase temperature more than  $30\text{ }^{\circ}\text{C}$  was observed at the aqueous solution containing 1 nm of AuNRs under  $5\text{ W cm}^{-2}$  and 808 nm laser irradiation for 10 min. As previous reports, after laser irradiation, the longitudinal band of AuNRs markedly decrease even if grafted macromolecules on the AuNR surfaces (Figure S10) [33,53]. The blue shift of absorption band would be attributed to the aggregation of the AuNRs. Indeed, to evaluate the aggregate formation of the AuNRs@MnMEIOs-PEG, we measured the absorption spectra of the AuNRs@MnMEIOs-PEG after NIR laser irradiation and found AuNRs@MnMEIOs-PEG showed negligible loss in their longitudinal band after laser irradiation for 10 min (Figure S10). It is likely that



**Fig. 5.** A) Top: *in vitro*  $T_2$ -weighted MR images of a) untreated SKBR-3 cells, b) SKBR-3 treated with AuNRs@MnMEIOs-PEG and c) Colo-205 treated with AuNRs@MnMEIOs-PEG-Herceptin; B) bottom: *in vitro*  $T_2$ -weighted MR images of SKBR-3 cells treated with AuNRs@MnMEIOs-PEG-Herceptin nanoclusters at different concentrations. All of signal enhancements were compared with (a).



**Fig. 6.** *In vivo*  $T_2$ -weighted MR images of AuNRs@MnMEIOS-PEG-Herceptin accumulating in tumors bearing mice.

the AuNRs@MnMEIOS-PEG nanoclusters have superb thermal stability so that the AuNRs@MnMEIOS-PEG nanoclusters could be particularly suited to long term thermal therapy. Recent studies have reported the use of confined and thermally-stable materials such as carbon and silica to trap AuNRs, thereby enabling highly thermal stability [54]. We speculated that such limitations could be overcome by embedding AuNRs with MnMEIOS nanoparticles to protect from aggregation.

Effective internalization provides an opportunity to apply selective destruction of cancer cells upon irradiation by 808 nm NIR laser. To demonstrate the photothermal effect *in vitro*, the SKBR-3 cells were treated with AuNRs@MnMEIOS-PEG-Herceptin and laser irradiated at varying intensities. The localized cell photothermal effect was further investigated as shown in Fig. 7. With the treatment of AuNRs@MnMEIOS-PEG-Herceptin, a clear demarcation line between dead (red) and live cell (green) regions can be observed in presence of laser exposure at  $5 \text{ W cm}^{-2}$  for 10 min. It is demonstrated that AuNRs@MnMEIOS-PEG-Herceptin could kill cells only through the photothermal effect induced by NIR laser irradiation, while neither the AuNRs@MnMEIOS-PEG-Herceptin itself nor the laser irradiation alone can kill SKBR-3 cells.

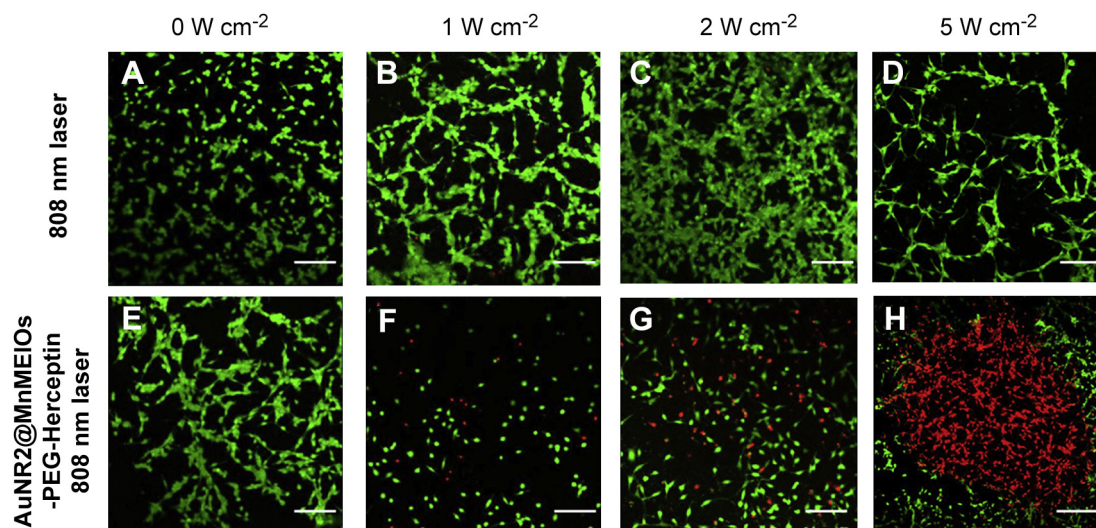
### 3.8. Photothermal therapy *in vivo*

For an *in vivo* photothermal therapy, nude mice subcutaneously transplanted with SKBR-3 cells on their right thighs were used.

After the mouse was injected with AuNRs@MnMEIOS-PEG-Herceptin for 24 h, tumor of each mouse in the treatment group was exposed to an 808 nm laser at a power density of  $5 \text{ W cm}^{-2}$  for 10 min and recorded with an IR camera in real time (Figure S11). As shown in Fig. 8A, after mouse tumors were AuNRs@MnMEIOS-PEG-Herceptin, followed by irradiation with the laser, the tumor growth was significantly suppressed. In addition, a charring spot appears on the tumor site. In this irradiation group, one mouse tumor was completely eliminated on day 5 of irradiation. In contrast, the tumors in the control animals injected with solely PBS or AuNRs@MnMEIOS-PEG-Herceptin without irradiation or solely laser grow markedly over time (Fig. 8B). Importantly, mice in the four control groups had an average life span of 14–26 days, while mice in the treated group (AuNRs@MnMEIOS-PEG-Herceptin + laser) were tumor-free after treatment and survived over 40 days (Fig. 8C). Our results suggest that AuNRs@MnMEIOS-PEG-Herceptin is a powerful agent for *in vivo* photothermal therapy of cancer.

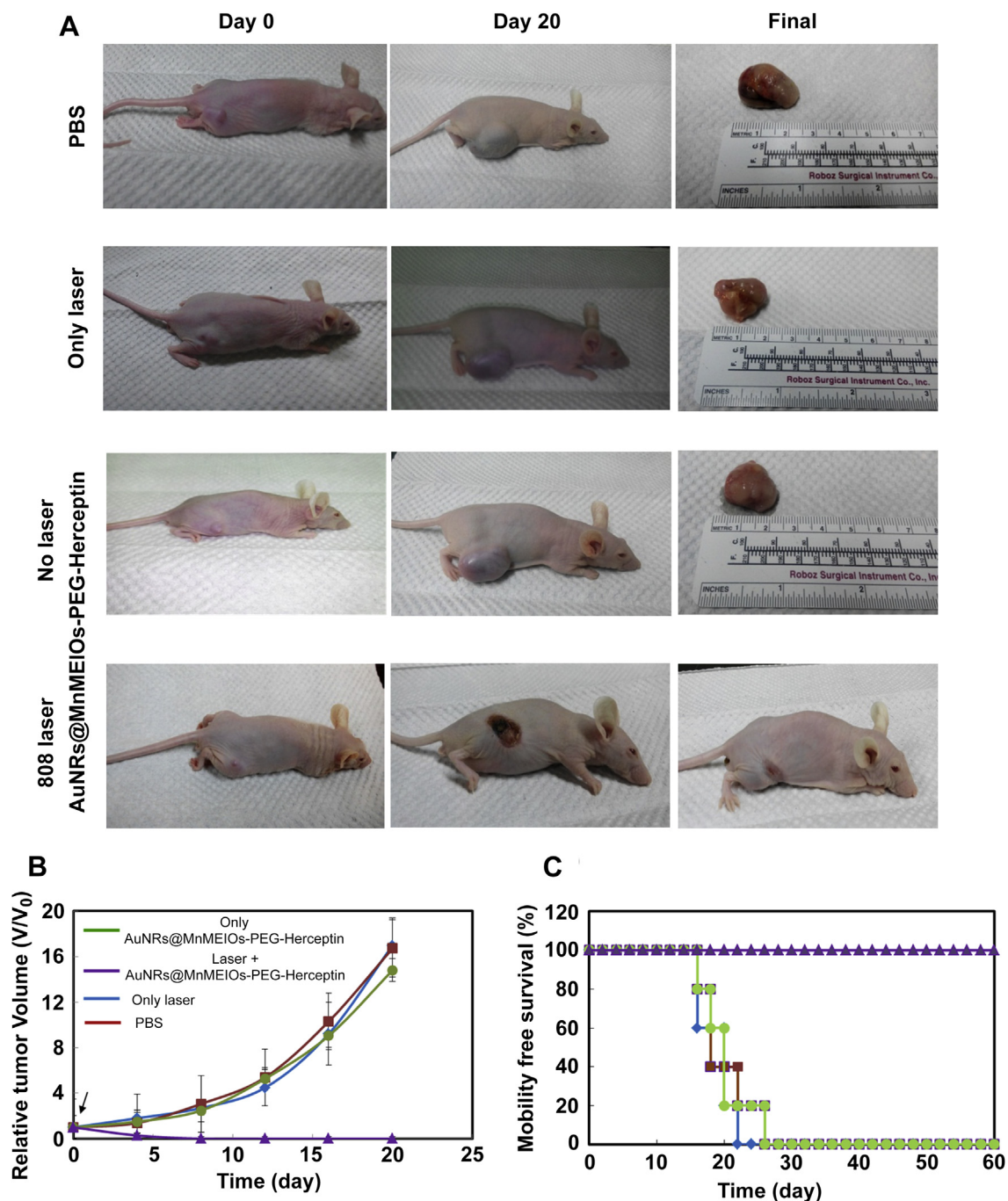
## 4. Conclusions

We have reported the successful strategy toward the ultimate goal of cancer diagnosis and therapy. This established AuNRs@MnMEIOS nanoclusters features high stability, monodispersity with an average hydrodynamic diameter of approximately 60 nm, superparamagnetic property, low cytotoxicity, and highly



**Fig. 7.** Cells incubated with AuNRs@MnMEIOS-PEG-Herceptin and then irradiated by an 808 nm laser for 10 min at different power densities. The first row shows laser alone while the second row AuNRs@MnMEIOS-PEG-Herceptin and 808 nm laser treatment. Each column shows cells treated at specific laser intensity:  $0 \text{ W cm}^{-2}$  ((A), (F)),  $1 \text{ W cm}^{-2}$  ((B), (G)),  $2 \text{ W cm}^{-2}$  ((C), (H)), and  $5 \text{ W cm}^{-2}$  ((D), (I)). Viable cells appear green from calcein AM staining while red areas of PI fluorescence are cells destroyed by photothermal irradiation. Scale bar 200  $\mu\text{m}$  for all images. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)





**Fig. 8.** *In vivo* photothermal therapy. (A) Representative photos of tumors with and without laser irradiation or AuNRs@MnMEIOs-PEG-Herceptin treatment; (B) Tumor growth curves in different groups of mice after treatment ( $n = 5$ ) (where the arrows labeled). The tumor volumes were normalized to their initial sizes. Error bars were based on SD; (C) Survival curves of mice bearing SKBR-3 tumor after various treatments indicated.

efficient photothermal effect. The AuNRs@MnMEIOs nanoclusters showed transversal relaxivity at least about 2 times higher than commercial contrast agents. On the other hand, the spherical-shaped nanoclusters were easily engulfed by cells and demonstrated that the fabricated AuNRs@MnMEIOs nanocluster can kill cancer cells effectively with exposure to NIR laser. In addition, these dual functional nanoclusters have a great potential for *in vitro* and *in vivo* MR imaging diagnosis and photothermal tumor therapy. Since the AuNRs of these nanoclusters can provide heat while NIR laser treatment, this technique will provide functional options for achieving an advanced controlled-release system using AuNRs for drug therapy responding to NIR stimuli.

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## Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.biomaterials.2014.02.026>.

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