

# GaN-based surface-emitting lasers using high contrast grating

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## ABSTRACT

GaN-based surface-emitting lasers (SELs) using high contrast grating (HCG) with AlN/GaN distributed Bragg reflectors were reported. The laser device achieved a threshold energy density of about  $0.56 \text{ mJ/cm}^2$  and the lasing wavelength was at 393.6 nm with a high degree of polarization of 73% at room temperature. The resonant mode and polarization characteristics matched to the theoretical prediction. GaN-based SELs using HCG supported by the Fano resonance can be potential for development of blue surface emitting laser sources

**Keywords-** GaN, surface-emitting laser, high contrast grating, Fano resonance.

## 1. INTRODUCTION

In recent years, sub-wavelength high contrast grating (HCG) has been widely investigated owing to its advantageous optical properties. By changing the HCG parameters such as grating period, height and width, high reflectivity reflectors with broad stopband width and specific polarization characteristics could be obtained and are useful for many applications [1-5]. Based on the superior properties, HCGs not only serve as high reflectivity reflectors for vertical cavity surface-emitting lasers (VCSELs) but also provide unique characteristics including polarization selection, wavelength tuning and fast modulation speed [2, 5].

On the other hand, for development of high power surface-emitting lasers (SELs), sub-wavelength HCGs could be employed for high-quality factor (Q) resonators with in-plane oscillation over a large area [6, 7]. In 2008, Zhou *et al.* have demonstrated the GaAs-based membrane HCG high-Q resonators at low temperature, where the GaAs-based membrane HCGs were fabricated by selectively etching and e-beam lithography. High Q factor supported by the Fano resonance was obtained to be 14000 by optical pumping at 4K. However, the lasing action was not achieved in GaAs-based membrane HCGs [6].

In the development of SELs toward to the short wavelength region, GaN-based VCSELs and photonic crystal surface emitting lasers (PCSELs) have received much attention recently [8-14]. The simple geometry of membrane HCG high-Q resonators can be applied for realizing high power SELs. However, it is still challenge to fabricate GaN-based membrane HCG structures due to the immature etching process and specifically epitaxial structure [15]. In this report, GaN-based SELs using HCG with AlN/GaN distributed Bragg reflectors (DBRs) were designed, fabricated and demonstrated. Without using the suspended membrane structure, the AlN/GaN DBRs can play an important role as the low refractive index layer for confining the optical mode in the HCG cavity. Finally, the specific lasing mode was compared to the band diagram and mode pattern calculated by plane wave expansion (PWE) [16] and finite element methods (FEM) [17].

## 2. SIMULATION

Schemes of GaN-based SELs using HCG are shown in Fig. 1(a). We used rigorous coupled-wave analysis (RCWA) to calculate the corresponding asymmetric reflectivity spectra with respect to the different duty cycle (the grating width divided by the grating period) and the grating height for the TE polarization of the GaN-based HCG. First, the grating period was designed to be 345 nm to bring the Fano resonance approaching to the gain peak of multiple quantum wells (MQWs). Figure 1(b) shows the calculated reflectivity spectra mapping with respect to the duty cycle. To meet the target wavelength of about 400 nm, the duty cycle was set to be around 0.8 to 0.86 for fabrication tolerance. Figure 1(c) shows the calculated reflectivity spectra mapping versus the grating height. The calculated results show the number of reflectivity peaks would increase while increasing the grating height due to the onset of higher order Fabry-Perot modes. In order to fit

our target wavelength, the grating height was selected to be around 490 to 530 nm which was closed to a  $3\lambda$  cavity. Figure 1(d) shows the reflectivity spectrum when the grating period, height and duty cycle were set to be 345 nm, 520 nm ( $3\lambda$  cavity) and 0.82. The reflectivity spectrum shows an asymmetric line shape from 0 to 1 which was referred to the feature of Fano resonance [5]. For GaN-based SELs using HCG, the first optical channel is the Bragg scattering induced by HCG in lateral direction, the Bragg scattering would cause the narrow band width spectrum. On the other hand, the second optical channel is the Fabry-Perot scattering induced by index difference in the vertical direction, the Fabry-Perot scattering would generate a flat band spectrum. These two different channels would reach the same final state resulting in an asymmetric line shape of the spectrum. This phenomenon could confine the optical mode in the structure well and result in a high Q factor which is beneficial for the laser operation. The calculated reflectivity spectrum can be compared to the Fano resonance equation:

$$F(\Omega) = \frac{1}{1+q^2} \frac{(\Omega+q)^2}{\Omega^2+1} \text{ and } \Omega = \frac{2(\omega-\omega_0)}{\gamma}, \quad (1)$$

where  $\omega_0$  and  $\gamma$  are the center frequency and the width of the narrowband,  $q$  is the Fano asymmetry parameter. The Q factor of the cavity can be calculated by using  $Q = \omega_0/\gamma$ . The olive curve in Fig. 1(b) representing the fitted Fano resonance curve based on Eq. (1) matches quite well to the simulated reflectivity spectra obtained by RCWA. The Q factor in this case was calculated to be 533.

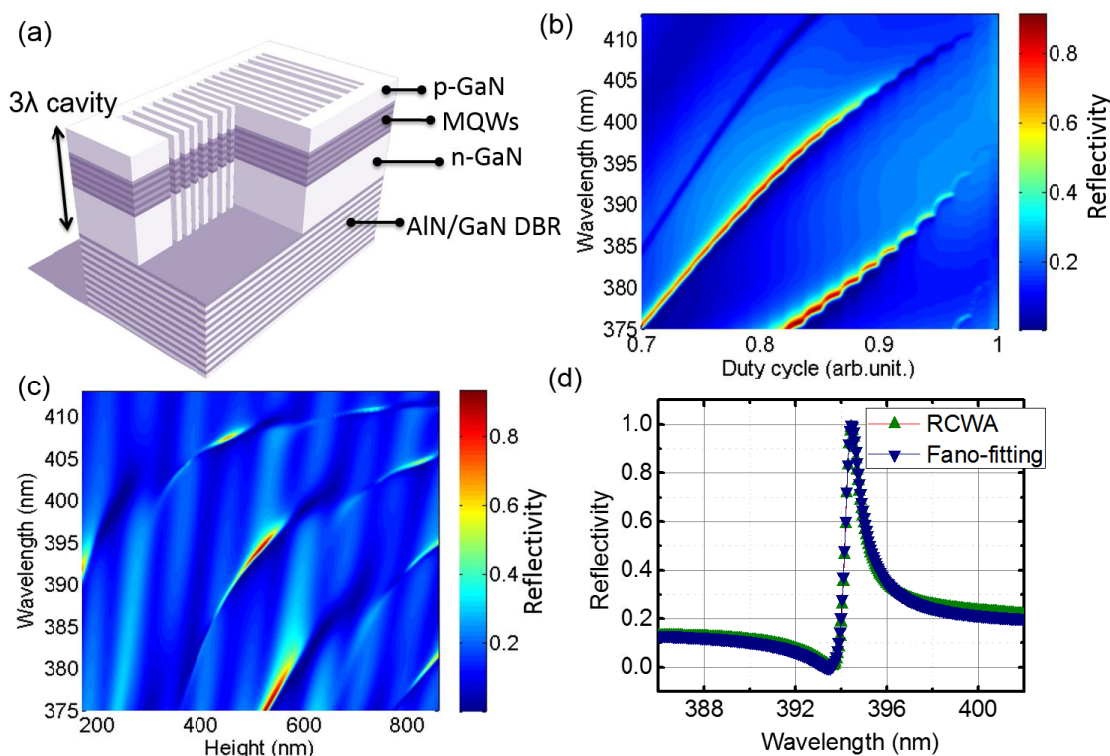


Figure 1 (a) Schemes of GaN-based SELs using HCG. (b) Reflectivity spectra mapping as a function of duty cycle (the grating width divided by the grating period). (c) Reflectivity spectra mapping versus grating height. (d) The reflectivity spectra for the grating with period: 345nm, filling factor: 0.82, grating height: 520 nm. The olive and navy curves show the simulation results by RCWA and fitting results by the Fano resonance equation.

### 3. EXPERIMENT

The GaN-based SELs using HCG were grown by a metal-organic chemical vapor deposition (MOCVD) system on a c-plane 2-in sapphire substrate. The epitaxial structure consisted of a 25-pair AlN/GaN DBR, a 320 nm-thick n-GaN layer, 10 pairs of InGaN/GaN multiple quantum wells (MQWs), and a 100 nm-thick p-GaN layer. The typical photoluminescence (PL) spectrum of MQWs had a peak centered at 405 nm with a linewidth of about 25 nm. In the fabrication steps, the as-grown sample was first deposited a 300 nm-thick SiN<sub>x</sub> layer by plasma-enhanced chemical vapor deposition (PECVD) as the hard mask and spin-coated the poly-methyl methacrylate (PMMA) photoresist. The HCG structure was patterned by electron-beam lithography on the PMMA, and then etched by reactive ion etching (RIE) down

to the  $\text{SiN}_x$  layer. Then, the HCG patterns were etched down to penetrate the MQWs layer of about 490 nm using inductively coupled plasma (ICP) dry etching. The total area of HCG region was about  $20 \mu\text{m}^2$ . Figure 2 shows the planar and tilted angle scanning electron microscope (SEM) images of the device. It can be seen that the period, duty cycle and height of HCG were estimated to be 345 nm, 0.82 and 490 nm, respectively. The 355 nm pulse  $\text{Nd:YVO}_4$  laser with a pulse width of  $\sim 0.5$  ns at a repetition rate of 1 kHz was used as the optical pumping source in the measurement system. All the experiments were carried out at room temperature. The laser beam pumped obliquely onto the devices with a spot size of about  $20 \mu\text{m}$  to cover the HCG pattern. The  $\mu\text{-PL}$  signal was collected by a 15X objective lens normal to the sample surface or by a fiber with a  $600 \mu\text{m}$  core in the normal plane of the sample and fed into a spectrometer with a charge-coupled device (Jobin-Yvon IHR320 Spectrometer). The spectral resolution was about 0.07 nm for spectral output measurement.

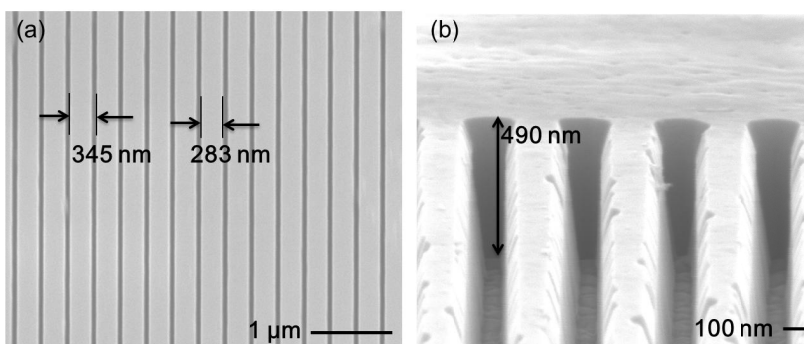


Figure 2 The (a) planar and (b) tilted angle SEM images of GaN-based SELs using HCG. The period, duty cycle and height of HCG were estimated to be 345 nm, 0.82 and 490 nm, respectively.

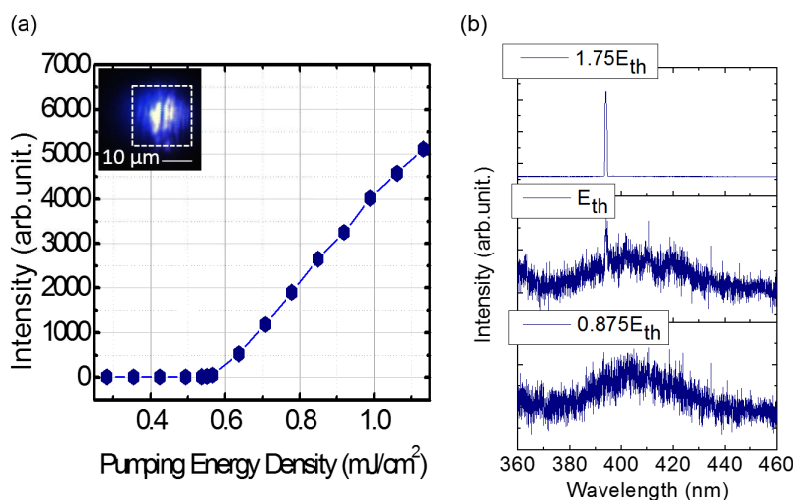


Figure 3 (a) The input-output characteristics of GaN-based SELs using HCG. The inset shows the CCD images when the GaN-based SELs using HCG was above the threshold condition. (b) The measured spectra with different pumping power of  $0.875 E_{th}$ ,  $E_{th}$  and  $1.75 E_{th}$ . The full width at half maximum (FWHM) of lasing peak at  $1.75 E_{th}$  is 0.88 nm.

#### 4. RESULTS AND DISCUSSIONS

The input-output characteristics curve of GaN-based SELs using HCG is shown in Fig. 3(a). A clear threshold can be observed when the threshold energy density was about  $0.56 \text{ mJ/cm}^2$ . Compared to the previous reports, the threshold condition of GaN-based HCG SELs was superior to the GaN-based PCSELs of about  $2.7 \text{ mJ/cm}^2$  [12] and GaN-based VCSELs of about  $1.15 \text{ mJ/cm}^2$  [9]. The low threshold condition can be attributed to the Fano resonance supporting the perfect mode confinement in HCG structures. The right inset of Fig. 3(a) shows the CCD image when the GaN-based SELs using HCG was above the threshold condition. The white dash line represents the whole HCG area and it could be found that the lasing area covered most of the HCG pattern. Figure 3(b) shows the lasing spectra with different pumping energy density. When it was above the threshold condition, one dominated lasing peak was observed and located at 393.6 nm. The emission spectrum right below the threshold condition of GaN-based SELs using HCG is plotted in Fig. 4. The

spectrum with navy curve which exhibits an asymmetric line shape is the characteristic of Fano resonance. The Q factor can thus be estimated by fitting the spectrum with the Fano resonance as the equation described in Eq. (1). The fitted curve is shown in Figure 4 as the olive curve. The extracted Q factor was estimated to be 394 which was close to the designed value.

In order to further comprehend the laser characteristics of GaN-based SELs using HCG, the degree of polarization (DOP) and divergence angle were measured at the normal direction to the sample surface. Figure 5(a) shows the measured DOP results. The DOP is defined as  $(I_{\max} - I_{\min}) / (I_{\max} + I_{\min})$  where  $I_{\max}$  and  $I_{\min}$  are the maximum and the minimum intensity of the lasing peak. The DOP can be calculated to be 73%. The high DOP of GaN-based SELs using HCG can be attributed to the resonance direction of electrical fields only parallel to the grating bar. Figure 5(b) describes the angle versus measured output intensity. The output intensity was collected by a fiber with a 600  $\mu\text{m}$  core normal to the sample surface on the rotational stage. The angle resolution of the rotation stage was about 0.5 degree for divergence angle measurement. The divergence angle of GaN-based SELs using HCG was measured to be 12°.

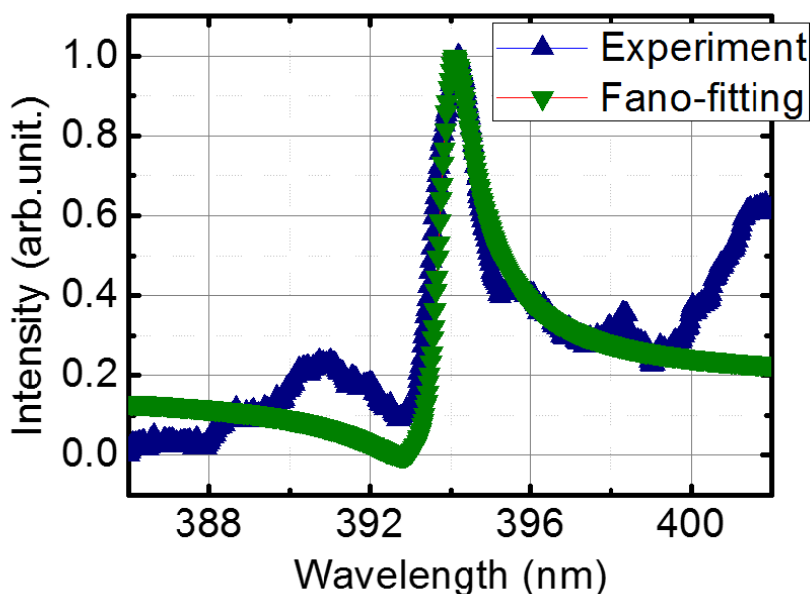


Figure 4 The PL spectra (navy curve) of GaN-based SELs using HCG when it was below the threshold condition. The olive curve shows the fitting result by Fano-resonance equation. The Q factor was calculated to be 394.

Since the HCG structure can be treated as one dimensional photonic crystal, we can use the PWE method to simulate the photonic band structure of our GaN-based SELs using HCG as shown in Fig. 6(a). The normalized frequency of the experimental lasing mode of GaN-based SELs using HCG was located at 0.877, corresponding to the fourth order band in the lower band-edge position in Fig. 6(a). The Bragg scattering of surface emission would occur when the group velocity approached to zero in the fourth order band. The lower band-edge position reveals the resonance mode in the HCG could be identified as the anti-symmetric mode. On the contrary, the symmetric mode would only show the nodes in one grating bar. In addition, the FEM was used to calculate the mode pattern of GaN-based SELs using HCG, as shown in Fig. 6(b). The mode pattern shows several nodes and antinodes which can be referred to the anti-symmetric mode. The simulation also indicates the AlN/GaN DBRs could provide the mode confinement in the HCG cavity. The resonance direction of electric field is parallel to the grating bar which can confirm the DOP results. Furthermore, the anti-symmetric mode would provide perfectly mode confinement in HCG, resulting in destructive interference in the far-field with little output coupling [7]. The perfect mode confinement supported by Fano resonance could facilitate the high Q factor and the ultralow threshold condition of GaN-based SELs using HCG achieved in this experiment.

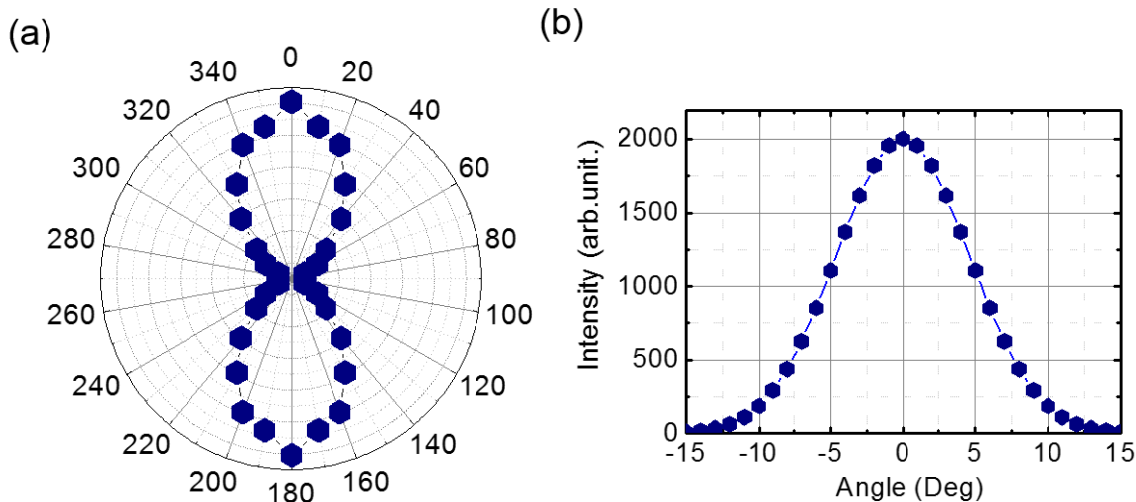


Figure 5 (a) The degree of polarization (DOP) and (b) the divergence angle of the GaN-based SELs using HCG when it was above the threshold condition. The detection direction was normal to the surface of the GaN-based SELs using HCG. The DOP and divergence angle were measured to be 73% and 12°, respectively.

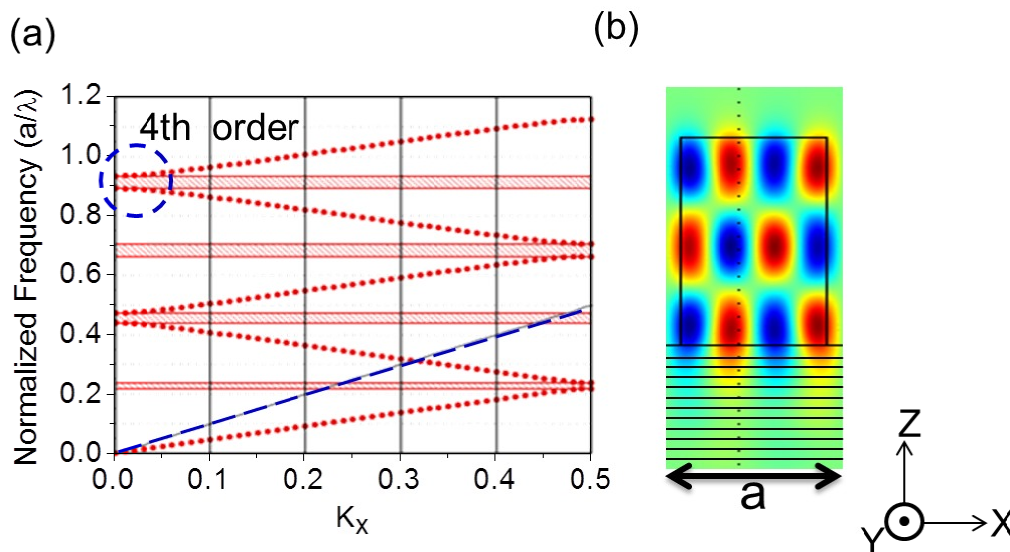


Figure 6 (a) The calculated band structure of GaN-based SELs using HCG using the PWE method. The blue dashed line represents the light line. The red dot lines represent the different order bands and the blue circle indicates the fourth order band. (b) The calculated mode pattern of GaN-based SELs using HCG using the finite element method. The parameter  $a$  is the grating period.

## 5. CONCLUSION

In summary, GaN-based SELs using HCG with AlN/GaN DBRs were demonstrated and investigated at room temperature. Supporting by the Fano resonance, the low threshold energy density was measured to be  $0.56 \text{ mJ/cm}^2$  and the lasing wavelength was located at 393.6 nm. The asymmetric PL spectrum was observed indicating the Fano resonance phenomenon and the quality factor was extracted to be 394. Furthermore, the lasing characteristics such as the divergence angle and degree of polarization were measured to be 12° and 73%, respectively. Finally, the specific surface emitting lasing mode conformed to the one dimensional photonic band diagram and mode pattern. Since GaN-based SELs have drawn much attention due to many practical applications such as laser display, laser printer, high density optical storage, and the next generation micro/pico-projectors, we believe the GaN-based SELs using HCG have the great potential for accomplishment of low threshold, high power short wavelength coherent light sources in the near future.

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