

# Comparison of visible fluorescence properties between sol–gel derived $\text{Er}^{3+}-\text{Yb}^{3+}$ and $\text{Er}^{3+}-\text{Y}^{3+}$ co-doped $\text{TiO}_2$ films

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## Abstract

Both  $\text{Er}^{3+}-\text{Yb}^{3+}$  and  $\text{Er}^{3+}-\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  films were prepared on fused silica by sol–gel processes. The effect of doping concentration upon the up-conversion fluorescence of  $\text{Yb}^{3+}-\text{Er}^{3+}$  and  $\text{Er}^{3+}-\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  systems will be focused in this investigation. Although the influence of  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  ions on the structural of  $\text{Er}^{3+}-\text{TiO}_2$  host material is almost the same, different up-conversion emissions are observed. Both  $\text{Er}^{3+}$ -doped and  $\text{Er}^{3+}-\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  films exhibit the up-conversion emission with green and red light. However, much stronger intensity of red light relative to green light is observed for the  $\text{Er}^{3+}-\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  system. For  $\text{Er}^{3+}$  (5 mol%)- $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  samples, the relative intensity ratio ( $I_R/I_G$ ) rapidly decreases with the increase of  $\text{Y}^{3+}$  concentration above 10 mol%. On the contrary, the relative intensity ratio ( $I_R/I_G$ ) increases with increasing  $\text{Yb}^{3+}$  concentration for  $\text{Er}^{3+}$  (5 mol%)- $\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  samples. The up-conversion emission in the  $\text{Er}^{3+}-\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  system belongs to a two-photon absorption up-conversion process. However, the overall up-conversion efficiency in the  $\text{Er}^{3+}-\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  system could be contributed from the complicated mechanisms.

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## 1. Introduction

Some rare-earth ions, when incorporated as impurities in sufficient concentration into suitable host materials, can up-convert infrared radiation into various shorter wavelengths [1,2]. This process plays an important role in enhancing optical detection and display devices. Over the past two decades, a number of papers have accumulated in search of new materials with high up-conversion efficiency [3–6]. For instance, numerous systems with  $\text{Er}^{3+}$  ions doped have been thoroughly studied in glasses [3], fiber amplifiers [4], sol–gel glass [5] and crystals [6]. However, when developing the rare-earth-doped optical devices, the optical properties of the host materials have to be considered since the efficiency of up-conversion strongly depends on the crystal-field strength and lattice vibration of host matrices.

Amorim et al. reported that low-phonon energy and high refractive index of sulfide-based chalcogenide glasses can reduce the nonradiative decay rates of rare-earth energy levels, and enhance the radiative emission rates [7]. Similarly,  $\text{TiO}_2$  thin film was reported to have higher refraction index ( $n=2.52$  for anatase and  $n=2.76$  for rutile) as well as lower phonon energy ( $<700 \text{ cm}^{-1}$ ) [8] than silica glass film. Recently, it has been demonstrated that  $\text{Er}^{3+}$ -doped  $\text{TiO}_2$ -based films have potential applications in the micro-integrated photonic devices [9,10]. Furthermore, in our previous report, we have demonstrated that by codoping yttrium into the  $\text{Er}^{3+}$ -doped  $\text{TiO}_2$ -based films, the  $\sim 1.54 \mu\text{m}$  PL properties can be enhanced by a factor of 10 times for intensity and 1.5 times for full width at half maximum in comparison with the  $\text{Er}^{3+}-\text{Al}^{3+}$  co-doped  $\text{SiO}_2$  system [11]. The enhanced PL emission of the  $\text{Er}^{3+}-\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  films is attributed to the sufficient dispersion and distorted local structure of  $\text{Er}^{3+}$  ions in  $\text{TiO}_2$  host matrix. In contrast to  $\text{Y}^{3+}$  ions,  $\text{Yb}^{3+}$  ions act as sensitizers in heavily Er-doped silica optical fibers

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because  $\text{Yb}^{3+}$  ions can more efficiently absorb the 980 nm light and transfer the energy to  $\text{Er}^{3+}$  ions [12]. This mechanism makes the population of  $^4\text{I}_{11/2}$   $\text{Er}^{3+}$  level increase and leads to the enhancement of  $\sim 1.54$   $\mu\text{m}$  PL efficiency.

Recently, it has been proposed by some investigators that multi-ion doped systems can be utilized to enhance up-conversion efficiency [13,14]. For example, relatively high up-conversion efficiency through energy transfer has been reported for  $\text{BaF}_2\text{-ThF}_2$  glass systems with  $\text{Yb}^{3+}/\text{Er}^{3+}$  and  $\text{Yb}^{3+}/\text{Tm}^{3+}$  co-doped [14]. On the other hand, it has been known that these  $\text{Yb}^{3+}/\text{Y}^{3+}/\text{Er}^{3+}$  ions have similar ionic radii ( $\text{Yb}^{3+}=0.0862$  nm,  $\text{Y}^{3+}=0.0892$  nm and  $\text{Er}^{3+}=0.0881$  nm) and  $\text{Yb}_2\text{O}_3/\text{Y}_2\text{O}_3/\text{Er}_2\text{O}_3$  have nearly the same crystal structural. However,  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  belong to different chemical groups. Yb is characteristic of intra-4*f* transition and the outer closed  $5s^25p^6$  shells screen the unfilled inner  $4f^{11}$  shell but Y does not have intra-4*f* transition orbital [15,16]. Furthermore, up to now, little or no attention has been directed to the up-conversion characteristics of  $\text{Er}^{3+}$ -doped  $\text{TiO}_2$  ceramics [17].

Therefore, in this work, the  $\text{Yb}^{3+}$  or  $\text{Y}^{3+}$  ions have been tried to co-doped into co-doped  $\text{TiO}_2$  systems. The effect of doping concentration upon the up-conversion fluorescence will be studied for both co-doped  $\text{TiO}_2$  systems. Further comparison of up-conversion fluorescence was investigated to clarify the role of  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  codopants in  $\text{Er}^{3+}$ -doped  $\text{TiO}_2$  based on structural similarity and different characteristics between  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$ .

## 2. Experimental procedure

### 2.1. Sample preparation

Acetic acid (HAc, Merck) and 2-methoxyethanol (MOE, Merck) with molar ratio of  $\text{Ti}/\text{HAc}/\text{MOE}=1/10/15$  were first added to titanium isopropoxide (Alfa), followed by stirring for 30 min. Subsequently, the ytterbium acetate (or yttrium acetate) (Alfa) and erbium acetate (Alfa) powders were dissolved into the titanium solution and stirred for 10 h to process homogeneous hydrolysis/polymerization reaction. The molar ratios of  $\text{Er}^{3+}/\text{Ti}^{4+}$  and  $\text{Yb}^{3+}$  (or  $\text{Y}^{3+})/\text{Ti}^{4+}$  were varied from 0.5 to 10 mol% and 10 to 50 mol%, respectively.

For the thin film fabrication, the  $\text{Er}^{3+}\text{-Yb}^{3+}$  co-doped  $\text{TiO}_2$  precursor solution was spin-coated on fused silica substrates. The as-deposited sol-gel films were first pyrolyzed under dry oxygen atmospheres at 400 °C for 30 min at a heating rate of 3 °C/min and then annealed at temperatures ranging from 700 to 1000 °C for 1 h in dry oxygen atmosphere. Multiple spin-coating processes were employed to deposit  $\sim 0.5$   $\mu\text{m}$  thick films. For comparison purpose, the  $\text{Er}^{3+}\text{-Y}^{3+}$  co-doped

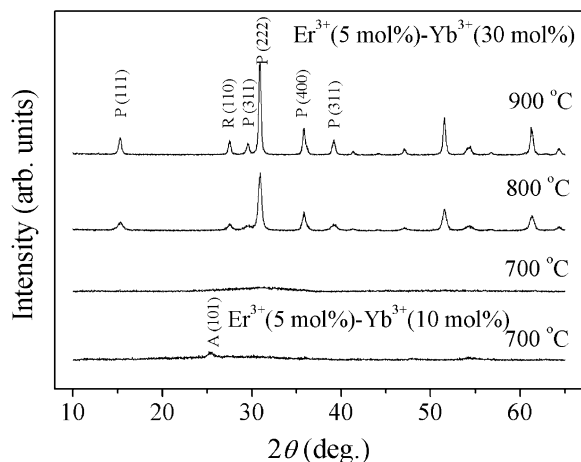


Fig. 1. XRD patterns of  $\text{Er}^{3+}\text{-Yb}^{3+}$  (10 or 30 mol%) co-doped  $\text{TiO}_2$  annealed from 700 to 900 °C for 1 h.

$\text{TiO}_2$  films following the same procedure were also fabricated.

### 2.2. Characterization measurements

The phase structure of samples was analyzed by X-ray diffractometer using  $\text{Cu K}\alpha$  radiation. A 980 nm diode laser with power of 50 mW was used as the pump source inclined 45° to irradiate the samples. The fluorescence spectra were recorded normally from the samples at room temperature using a spectrophotometer (ARC) equipped with a liquid  $\text{N}_2$ -cooled Ge detector for the detection of infrared fluorescence, and a multi-alkali photomultiplier tube for the detection of visible fluorescence. The Raman spectrum was recorded on a Jobin-Yvon T6400 instrument with an  $\text{Ar}^+$  laser source of 514 nm wavelength and an incident power of 2  $\text{mW mm}^{-2}$ .

## 3. Results and discussion

### 3.1. Structural evolution

The X-ray diffraction (XRD) patterns in Fig. 1 show that the effect of annealing temperatures on structural evolution of  $\text{Er}^{3+}$  (5 mol%)- $\text{Yb}^{3+}$  (10 or 30 mol%) co-doped  $\text{TiO}_2$ . At 700 °C, the anatase  $\text{TiO}_2$  phase can be detected for the sample with 10 mol%  $\text{Yb}^{3+}$  codoping but when the concentration of  $\text{Yb}^{3+}$  ions increases more than 10 mol%, the host matrix becomes amorphous. As the samples are further annealed at 800 °C, the well-crystallized pyrochlore crystallite  $\text{Er}_x\text{Yb}_{2-x}\text{Ti}_2\text{O}_7$  has been generated within the  $\text{TiO}_2$  host matrix. Similar results are also observed in the  $\text{Er}^{3+}\text{-Y}^{3+}$  co-doped  $\text{TiO}_2$  system because the ionic radius of both  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  ions is very similar to that of  $\text{Er}^{3+}$  ion. It is interesting to note that  $\text{Er}_2\text{Ti}_2\text{O}_7$ ,  $\text{Yb}_2\text{Ti}_2\text{O}_7$  and

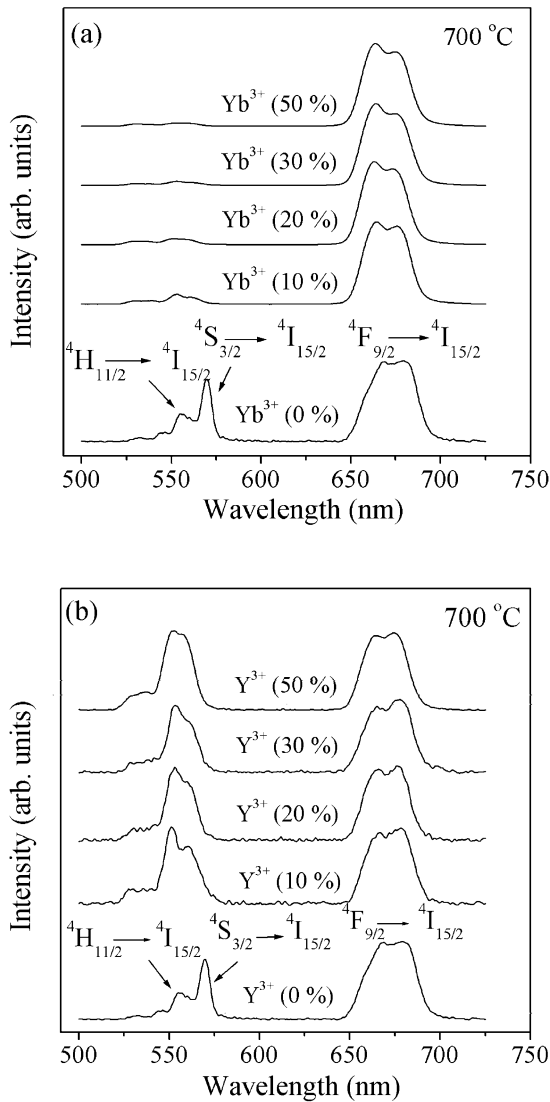


Fig. 2. Up-conversion spectra of (a)  $\text{Er}^{3+}$  (5 mol%)- $\text{Yb}^{3+}$  (0–50 mol%) (b)  $\text{Er}^{3+}$  (5 mol%)- $\text{Y}^{3+}$  (0–50 mol%) co-doped  $\text{TiO}_2$  samples annealed at  $700\text{ }^\circ\text{C}$  for 1 h. All of the spectra were normalized on the same basis on intensity for comparison among spectral features.

$\text{Y}_2\text{Ti}_2\text{O}_7$  pyrochlore phases have the same crystal structure and similar lattice constant. Therefore, pyrochlore phases in  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$  or  $\text{Er}^{3+}$ - $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  samples can be regarded as  $\text{Er}_2\text{Yb}_{2-x}\text{Ti}_2\text{O}_7$  or  $\text{Er}_2\text{Y}_{2-x}\text{Ti}_2\text{O}_7$ , where  $\text{Er}^{3+}$ ,  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  ions are structurally indistinguishable. In the pyrochlore phase such as  $\text{Yb}_2\text{Ti}_2\text{O}_7$ ,  $\text{Ti}^{4+}$  ions are six coordinated and are located within trigonal antiprisms with all the six anions at equal distance from the central  $\text{Ti}^{4+}$  cations. However,  $\text{Er}^{3+}$  ( $\text{Y}^{3+}$  or  $\text{Yb}^{3+}$ ) ions are eight coordinated and located within scalenohedra (distorted cubes) that contain six equal-space anions at a slightly shorter distance from the central  $\text{Er}^{3+}$  ( $\text{Y}^{3+}$  or  $\text{Yb}^{3+}$ ) cations [18]. The aforementioned local structural evolution of  $\text{Er}^{3+}$  ions can considerably influence the photoluminescence prop-

erties of  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$  (or  $\text{Er}^{3+}$ - $\text{Y}^{3+}$ ) co-doped  $\text{TiO}_2$  systems.

### 3.2. Up-conversion properties

Fig. 2 shows the up-conversion luminescence in the 500–700 nm range for  $\text{Er}^{3+}$  (5 mol%)- $\text{Yb}^{3+}$  (or  $\text{Y}^{3+}$ ) co-doped  $\text{TiO}_2$  samples annealed at  $700\text{ }^\circ\text{C h}^{-1}$ . According to the  $\text{Er}^{3+}$  ion energy level diagram, these visible fluorescence spectra exhibit the bands at approximately 569 and 554 nm which are identified as the  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  transitions, as well as the band at approximately 660 nm corresponding to the  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  transition.

Obviously, there exists remarked difference between  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$ - $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  systems. Both  $\text{Er}^{3+}$ -doped and  $\text{Er}^{3+}$ - $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  films exhibit the up-conversion emission with green and red light. However, much stronger intensity of red light relative to green light is observed in the  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  system. Fig. 3 shows the relative intensity ratio of  $I_R/I_G$  (where  $I_R$  and  $I_G$  represent the intensities of red and green up-converted emission, respectively) as a function of  $\text{Y}^{3+}$  or  $\text{Yb}^{3+}$  concentration in  $\text{Er}^{3+}$  (5

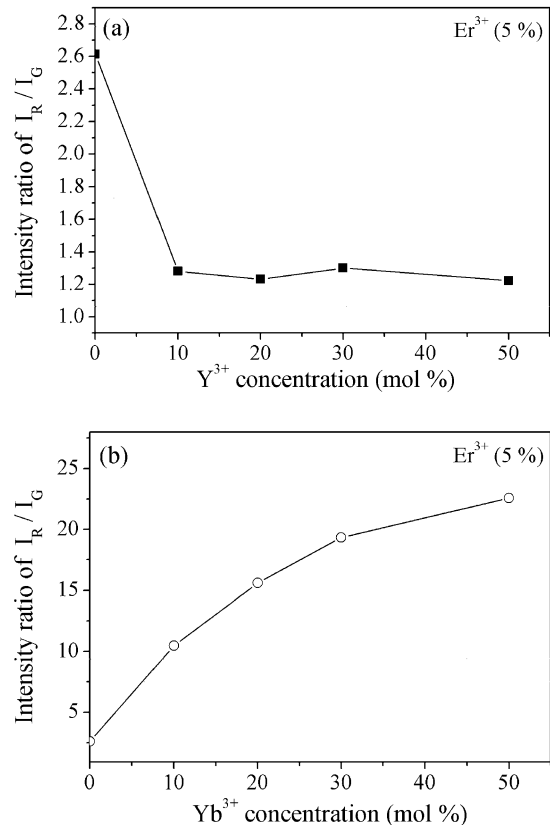


Fig. 3. Intensity ratio of  $I_R/I_G$  as a function of codopant concentration in (a)  $\text{Er}^{3+}$  (5 mol%)- $\text{Y}^{3+}$  and (b)  $\text{Er}^{3+}$  (5 mol%)- $\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  systems (where  $I_R$  and  $I_G$  represents the intensity of red and green up-converted emission).

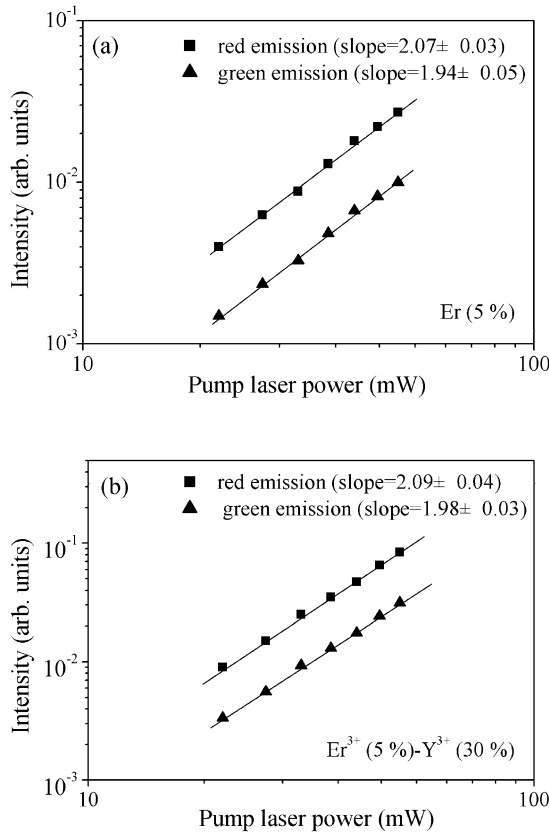


Fig. 4. Intensities of both green and red up-converted emissions vs. the pump power for the (a) Er<sup>3+</sup> (5 mol%)-doped and (b) Er<sup>3+</sup> (5 mol%)–Y<sup>3+</sup> (30 mol%) co-doped TiO<sub>2</sub> systems annealed at 700 °C h<sup>-1</sup>.

mol%)–Y<sup>3+</sup> and Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> co-doped TiO<sub>2</sub> systems. By codoping Y<sup>3+</sup> ions, the relative intensity ratio ( $I_R/I_G$ ) decreases from  $\sim 2.6$  to an approximately fixed value of  $\sim 1.3$  for Er<sup>3+</sup> (5 mol%)-doped TiO<sub>2</sub> samples with Y<sup>3+</sup> concentration above 10 mol%. On the contrary, the relative intensity ratio ( $I_R/I_G$ ) increases with increasing Yb<sup>3+</sup> concentration for Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> co-doped TiO<sub>2</sub> samples. In addition, the influence of Y<sup>3+</sup> or Yb<sup>3+</sup> concentration on the line shape of visible fluorescence is not so pronounced, which was also observed in Er<sup>3+</sup>–Al<sup>3+</sup> co-doped SiO<sub>2</sub> system [5].

For the Er<sup>3+</sup>-doped and Er<sup>3+</sup>–Y<sup>3+</sup> co-doped TiO<sub>2</sub> samples annealed at 700 °C for 1 h, the intensity of both green and red up-conversion emissions vs. the pump power at 980 nm has been plotted in Fig. 4. The slope in Fig. 4 is approximately equal to 2 on a log–log scale. This result confirms that green and red emissions belong to a two-photon absorption up-conversion process [19–21]. However, a slope of 1.5 for the Er<sup>3+</sup>–Yb<sup>3+</sup> co-doped TiO<sub>2</sub> samples shown in Fig. 5 indicates that the mechanism is not a biphotonic case. The dependence of the overall green and red up-conversion intensity ( $I_R + I_G$ ) on codopant concentration

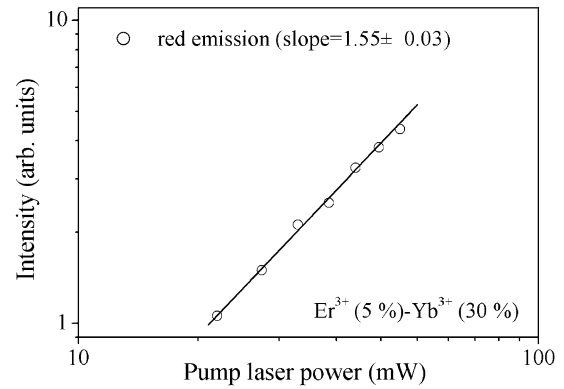


Fig. 5. Intensities of red up-converted emissions vs. the pump power for the Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> (30 mol%) co-doped TiO<sub>2</sub> systems annealed at 700 °C/1 h.

is summarized in Fig. 6 for both Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> and Er<sup>3+</sup> (5 mol%)–Y<sup>3+</sup> co-doped TiO<sub>2</sub> samples annealed at 700 °C/1 h. The Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> co-doped TiO<sub>2</sub> system has the much higher up-conversion efficiency than Er<sup>3+</sup> (5 mol%)–Y<sup>3+</sup> co-doped TiO<sub>2</sub> system.

The influence of annealing temperatures (from 700 to 1000 °C) on an up-conversion emission is shown in Fig. 7 for Er<sup>3+</sup> (5 mol%)-doped, Er<sup>3+</sup> (5 mol%)–Y<sup>3+</sup> (30 mol%) co-doped and Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> (30 mol%) co-doped TiO<sub>2</sub> systems. As the samples were annealed above 800 °C, these spectra show a common feature. In other words, a broad red emission splits into two peaks and the relative intensity ratio ( $I_R/I_G$ ) slightly increases with the increase of annealing temperatures or the formation of pyrochlore phase.

The multiphonon relaxation processes are directly related to the phonon energy of host materials and

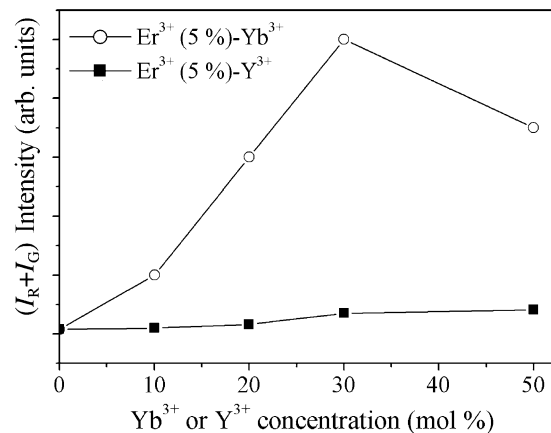


Fig. 6. Dependence of the overall green and red up-conversion intensity ( $I_R + I_G$ ) on the codopant concentration for Er<sup>3+</sup> (5 mol%)–Yb<sup>3+</sup> and Er<sup>3+</sup> (5 mol%)–Y<sup>3+</sup> co-doped TiO<sub>2</sub> systems annealed at 700 °C/1 h (where  $I_R$  and  $I_G$  represent the intensity of red and green up-converted emission).

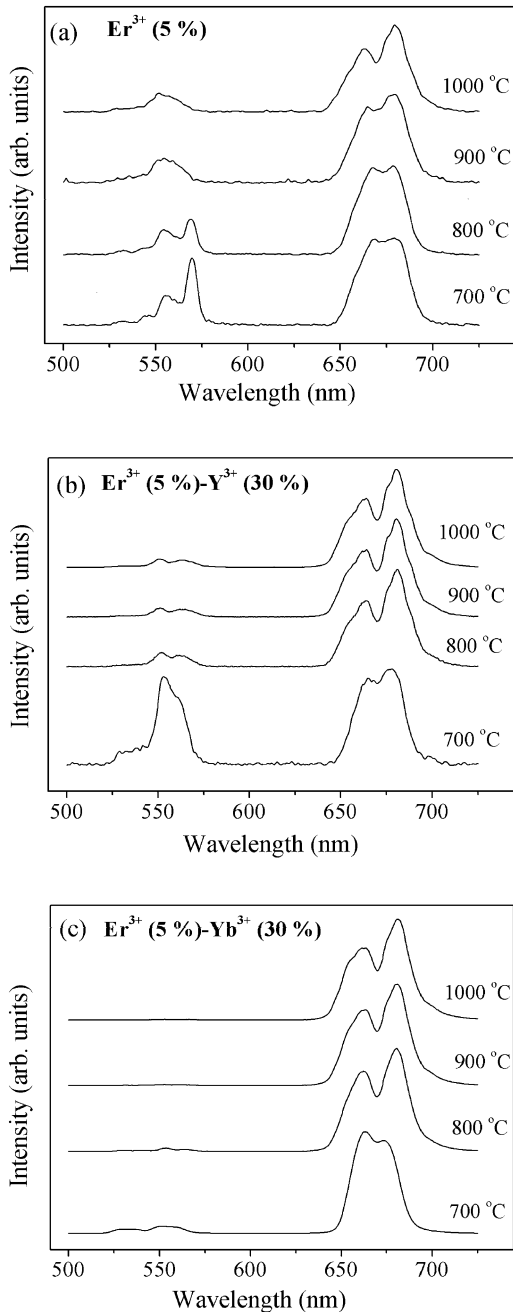


Fig. 7. Up-conversion spectra of (a)  $\text{Er}^{3+}$  (5 mol%)-doped; (b)  $\text{Er}^{3+}$  (5 mol%)– $\text{Y}^{3+}$  (30 mol%) co-doped and; (c)  $\text{Er}^{3+}$  (5 mol%)– $\text{Yb}^{3+}$  (30 mol%) co-doped  $\text{TiO}_2$  samples annealed from 700 to 1000 °C for 1 h. All of the spectra were normalized on the same basis on intensity for comparison among spectral features.

characteristics of codopant (e.g. concentration, crystal field and sensitizing) [22]. Low-energy phonon materials prevent de-excitation of the excited-state levels through non-radiative phonon-assisted relaxation that results in a strongly enhanced luminescent quantum yield for near infrared radiation into visible light through frequency up-conversion [7,13]. Fig. 8 illustrates that

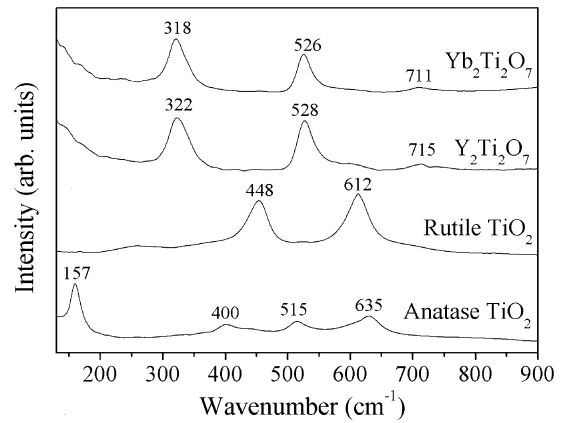


Fig. 8. Raman spectra of anatase, rutile,  $\text{Y}_2\text{Ti}_2\text{O}_7$  and  $\text{Yb}_2\text{Ti}_2\text{O}_7$ .

anatase  $\text{TiO}_2$  shows a strong Raman band at  $157\text{ cm}^{-1}$  and weak bands at  $400$ ,  $515$  and  $635\text{ cm}^{-1}$ . The bands of rutile  $\text{TiO}_2$  are at  $612$ ,  $448$  and  $240\text{ cm}^{-1}$  [24]. Generally, the bands approximately  $157$  and  $612\text{ cm}^{-1}$  are used to identify anatase and rutile phases, respectively. Both  $\text{Yb}_2\text{Ti}_2\text{O}_7$  and  $\text{Y}_2\text{Ti}_2\text{O}_7$  pyrochlore phases

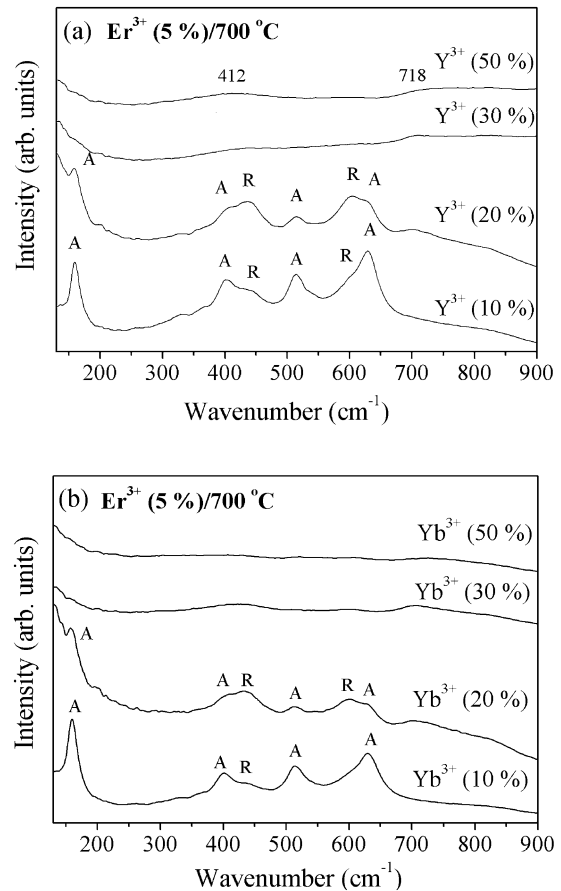


Fig. 9. Raman spectra of (a)  $\text{Er}^{3+}$  (5 mol%)– $\text{Y}$  (10–50 mol%) and (b)  $\text{Er}^{3+}$  (5 mol%)– $\text{Yb}$  (10–50 mol%) co-doped  $\text{TiO}_2$  annealed at 700 °C for 1 h.

exhibit very similar Raman spectra and the maximum phonon energies are located approximately  $710\text{--}720\text{ cm}^{-1}$ . The Raman spectra (Fig. 9a) in the  $\text{Er}^{3+}$  (5 mol%)– $\text{Y}^{3+}$  (10–50 mol%) co-doped  $\text{TiO}_2$  samples annealed at  $700\text{ }^\circ\text{C}/1\text{ h}$  illustrate that 30–50 mol% Y codopant can result in the amorphous host matrix with two weak and broad bands approximately 412 and  $718\text{ cm}^{-1}$ . However, the host matrix with mixed anatase and rutile phases is observed for 10–20 mol% Y codopant. Similar phenomenon as shown in Fig. 9b is also detected for  $\text{Er}^{3+}$  (5 mol%)– $\text{Yb}^{3+}$  (10–50 mol%) co-doped  $\text{TiO}_2$  system. As the samples were annealed above  $800\text{ }^\circ\text{C}$ , the Raman spectra (no shown here) reveal that both  $\text{Er}^{3+}$  (5 mol%)– $\text{Yb}^{3+}$  (30 mol%) and  $\text{Er}^{3+}$  (5 mol%)– $\text{Y}^{3+}$  (30 mol%) co-doped  $\text{TiO}_2$  systems have very similar phonon energy. Therefore, the influence of multiphonon relaxation processes on the up-conversion efficiency for these two systems is almost the same, which indicates that the up-conversion efficiency is mainly determined by the characteristics of codopant.

In general, there are two kinds of up-conversion mechanisms [4,23,24]: excited-state absorption (ESA) and energy-transfer up-conversion (ETU). In the  $\text{Er}^{3+}$  (5 mol%)– $\text{Y}^{3+}$  (10–50 mol%) co-doped  $\text{TiO}_2$  system, the intensity of visible fluorescence presents a quadratic dependence on infrared pump intensity, which suggests that the possible up-conversion excitation mechanism is the two-photon absorption followed by phonon-assisted energy transfer. The  $I_R/I_G$  intensity ratio has an approximately fixed value of  $\sim 1.3$ , which means that the probability of nonradiative phonon-assisted decay from  ${}^4\text{F}_{7/2}$  to green emitting levels ( ${}^4\text{H}_{11/2}$  and  ${}^4\text{S}_{3/2}$ ) and to red emitting level ( ${}^4\text{F}_{9/2}$ ) is similar. On the other hand, a very strong intensity of the red emission with a power dependence of 1.5 is observed in the  $\text{Er}^{3+}$  (5 mol%)– $\text{Yb}^{3+}$  (10–50 mol%) co-doped  $\text{TiO}_2$  system, which also occurs in  $\text{Er}^{3+}$ – $\text{Yb}^{3+}$  co-doped  $\text{SiO}_2$  system. The lack of simple power dependence is not surprising since several excitation mechanisms appear to be involved. Except for the ESA,  $\text{Er}^{3+}$ – $\text{Er}^{3+}$  ETU and  $\text{Yb}^{3+}$ – $\text{Er}^{3+}$  double energy transfer mechanisms can produce the red emission. Furthermore, the other two main up-conversion paths as shown in Fig. 10 also contribute to the red emission [25,26]. Because the  $\text{Er}^{3+}$  excited state level  ${}^4\text{I}_{11/2}$  might be saturated by the energy transfer of  $\text{Yb}^{3+}$  ( ${}^4\text{F}_{5/2}$ ) electrons in this  ${}^4\text{I}_{11/2}$  level and relax to  ${}^4\text{I}_{13/2}$  level through a multi-phonon relaxation and then the  ${}^4\text{F}_{9/2}$  level is populated via ESA of pump photons. The other mechanism is that the  $\text{Er}^{3+}$  excited state level  ${}^4\text{F}_{7/2}$  is deexcited to the  ${}^4\text{F}_{9/2}$  accompanied with raising the other state level from  ${}^4\text{I}_{11/2}$  to  ${}^4\text{F}_{9/2}$  through an energy transfer cross-relaxation. The above two extra mechanisms can make the  ${}^4\text{F}_{9/2}$  level more populated. Therefore, the final obtained up-conversion efficiency can be summarized from the overall contributions of the five mechanisms which result in a very strong red

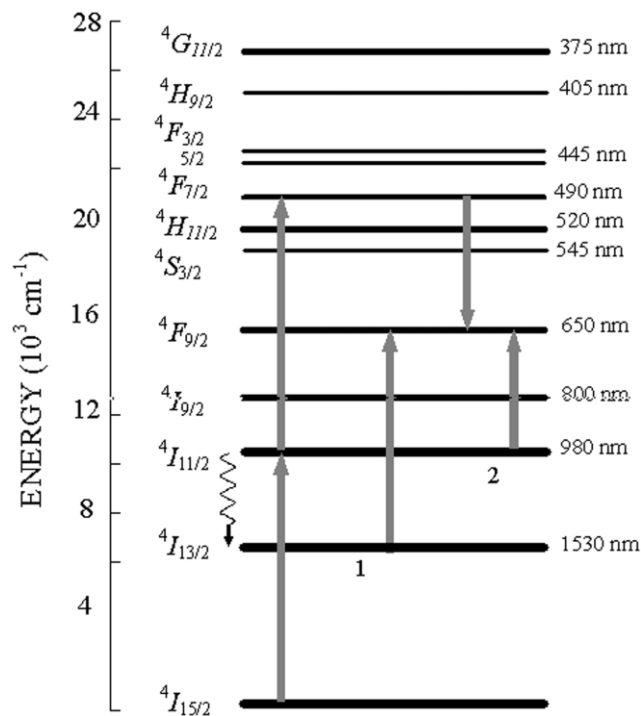


Fig. 10. Schematic up-conversion mechanism of the  $\text{Er}^{3+}$  ESA under 980 nm pumping.

emission in  $\text{Er}^{3+}$ – $\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  system compared to  $\text{Er}^{3+}$ – $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  system.

#### 4. Conclusions

Both  $\text{Er}^{3+}$ – $\text{Yb}^{3+}$  and  $\text{Er}^{3+}$ – $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  films were prepared on fused silica by sol–gel processes. The influence of  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  ions on the structural and phase development of  $\text{Er}^{3+}$ – $\text{TiO}_2$  host material is almost the same. Both  $\text{Er}^{3+}$ -doped and  $\text{Er}^{3+}$ – $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  samples exhibit the up-conversion emission with green and red light. For  $\text{Er}^{3+}$  (5 mol%)– $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  system, the relative intensity ratio ( $I_R/I_G$ ) rapidly drops with the increase of  $\text{Y}^{3+}$  concentration above 10 mol%. On the contrary, the relative intensity ratio ( $I_R/I_G$ ) increases with increasing  $\text{Yb}^{3+}$  concentration for  $\text{Er}^{3+}$  (5 mol%)– $\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  system. In addition, the up-conversion emission in the  $\text{Er}^{3+}$ – $\text{Y}^{3+}$  co-doped  $\text{TiO}_2$  system belongs to a two-photon absorption up-conversion process that is different from that of  $\text{Er}^{3+}$ – $\text{Yb}^{3+}$  co-doped  $\text{TiO}_2$  system.

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