



# A numerical investigation of effects of a moving operator on airflow patterns in a cleanroom

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## Abstract

The variations of the airflow induced by a moving operator in a cleanroom installed with a curtain were studied numerically. This situation is cataloged to a class of the moving boundary problems. An arbitrary Lagrangian–Eulerian kinematic description method is utilized to describe the flow field and a penalty finite element formulation with moving meshes is adopted to solve this problem. The effects of the moving operator and curtain on the airflow patterns under different distances from the workbench to the curtain with the moving speed of the operator equal to 2.0 and Reynolds number  $Re = 500$  are taken into account. The results show that recirculation zones are formed around the operator and workbench due to the movement of the operator. The recirculation zones are not favorable to the cleanroom because they may induce a local turbulent flow and entrain and trap contaminants. These phenomena are remarkably different from those of the moving operator assumed as stationary in the cleanroom. Based on the length of the curtain, it is useful for protecting the operator from the hazardous gases. © 2002 Elsevier Science Ltd. All rights reserved.

**Keywords:** Cleanroom; Curtain; Moving operator; ALE; Recirculation zones

## 1. Introduction

Cleanroom recently became an indispensable environment in the pharmaceutical, medical technology, and semiconductor industries for producing high quality and precision products. Since the external air entering the cleanroom must be filtered by the HEPA or ULPA filter banks, the operators and equipment become the major sources of the contaminants in the cleanroom [1]. Most particles generated by the above sources are continuously swept away by the airflow from the ceiling of the cleanroom. Some residual particles, which may be suspended within recirculation zones or deposited on the products and equipment by gravitational settling, diffusion, collision, and electrostatic attraction, etc., are extremely difficult to be removed by the airflow. How to remove these residual particles effectively becomes an important issue in the semiconductor industry.

Concerning the motions of the airflow and particles in the cleanroom, several studies investigated this subject. Ermak and Buckholz [2] adopted a Monte Carlo method to simulate the effects of the airflow on the particles, and the

results showed that the characteristics of the particle transport were dominated by the airflow. Kuehn [3], Yamamoto et al. [4], Busnaina et al. [5], and Lemaire and Luscre [6] utilized the numerical modeling to study the airflow and particle transport in the cleanroom. Liu and Ahn [7] used the analogy between mass transfer and heat transfer to determine particle deposition rates by diffusion. Settles and Via [8] adopted Schloeren observation to observe the flow paths of the particles in the cleanroom. Furthermore, Marvell [9] summarized the factors of effect on the airflow and contaminant transport in a minienvironment system. Tannous [10] utilized the computational fluid dynamics method to investigate the flow field of a minienvironment in the cleanroom.

However, for facilitating the analysis, most previous studies regarded a moving operator as a stationary object, which resulted in the phenomena of the airflow in the cleanroom being rather different from the realistic situation. Besides, a curtain is usually employed in the cleanroom to divide the inlet of the airflow into two sections and protect the operator from hazardous gases while processing. One section uses well-filtered air at a higher flow rate to sweep away the particles suspended near the working area and the other uses air filtered at a lower flow rate for saving energy. To the knowledge of the authors, the effects of both

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On the airflow inlet section  $\overline{AB}$

$$U = 0, \quad V = -1.25. \quad (7)$$

On the airflow inlet section  $\overline{CD}$

$$U = 0, \quad V = -1.0. \quad (8)$$

On the airflow outlet section  $\overline{EF}$

$$\partial U / \partial Y = \partial V / \partial Y = 0. \quad (9)$$

On the interface of the operator and the airflow

$$U = U_b, \quad V = 0. \quad (10)$$

### 3. Numerical method

A Galerkin finite element method with moving meshes and a backward scheme to deal with the time terms are adopted to solve the governing equations (2)–(4). A penalty function [14] and the Newton–Raphson iteration algorithm are utilized to simplify the pressure and nonlinear terms in the momentum equations, respectively. The velocity terms are approximated by quadrilateral and nine-node quadratic isoparametric elements. The discretization processes of the governing equations are similar to those used by Fu et al. [15] and Huebner et al. [16]. Then, the momentum equations (3) and (4) can be expressed as follows:

$$\sum_l^{n_e} ([A]^{(e)} + [K]^{(e)} + \lambda[L]^{(e)}) \{q\}_{\tau+\Delta\tau}^{(e)} = \sum_l^{n_e} \{f\}^{(e)}, \quad (11)$$

where

$$\{q\}_{\tau+\Delta\tau}^{(e)T} = \langle U_1, U_2, \dots, U_9, V_1, V_2, \dots, V_9 \rangle_{\tau+\Delta\tau}^{m+1}, \quad (12)$$

$[A]^{(e)}$  includes the ( $m$ )th iteration values of  $U$  and  $V$  at time  $\tau + \Delta\tau$ ,

$[K]^{(e)}$  includes the shape function,  $\hat{U}$  and time differential terms,

$[L]^{(e)}$  includes the penalty function terms,

$\{f\}^{(e)}$  includes the known values of  $U$  and  $V$  at time  $\tau$  and ( $m$ )th iteration values of  $U$  and  $V$  at time  $\tau + \Delta\tau$ .

In Eq. (11), the terms with the penalty parameter,  $\lambda$ , are integrated by  $2 \times 2$  Gaussian quadrature, and the other terms are integrated by  $3 \times 3$  Gaussian quadrature. The value of penalty parameter used in this study is  $10^6$  and the frontal method solver [17,18] is utilized to solve Eq. (11).

Concerning the mesh velocity  $\hat{U}$ , it is linearly distributed and inversely proportional to the distance between the nodes of the computational meshes and the operator in this study. The mesh velocity near the operator is faster than that near the boundaries of the computational domain. In addition, the boundary layer thickness on the operator surface is extremely thin and can be approximately estimated by  $Re^{-1/2}$  [19]. To avoid the computational nodes in the vicinity of the operator slipping away from the boundary layer, the

mesh velocities adjacent to the operator are expediently assigned equal to the velocity of the operator.

A brief outline of the solution procedures are described as follows:

- (1) Determine the optimal mesh distribution and number of the elements and nodes.
- (2) Solve the values of  $U$  and  $V$  at the steady state and regard them as the initial values.
- (3) Determine the time increment  $\Delta\tau$  and the mesh velocities  $\hat{U}$  at every node.
- (4) Update the coordinates of the nodes and examine the determinant of the Jacobian transformation matrix to ensure the one-to-one mapping to be satisfied during the Gaussian quadrature numerical integration, otherwise, execute the mesh reconstruction.
- (5) Solve Eq. (11), until the following criteria for convergence are satisfied:

$$\left| \frac{\phi^{m+1} - \phi^m}{\phi^{m+1}} \right|_{\tau+\Delta\tau} < 10^{-3}, \quad \text{where } \phi = U, V \quad (13)$$

- (6) Continue the next time step calculation until the assigned position of the operator is reached.

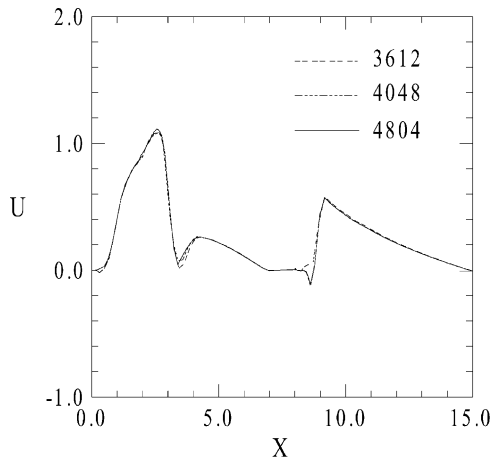
### 4. Results and discussion

The length of the curtain plays an important role for protecting the wafer on the workbench, than three different dimensionless distances from the workbench to the curtain,  $H_3 = 6.0, 3.0$ , and  $2.0$ , which correspond to cases 1, 2 and 3, respectively, is listed in Table 1. At time  $\tau = 0.0$ , the distance from the operator to the workbench is  $W_5 = 4.5$ . For satisfying the velocity boundary conditions at the inlet and outlet, the dimensionless lengths of  $H_1 (= h_1/w_0)$  and  $H_2 (= h_2/w_0)$  are determined by numerical tests.

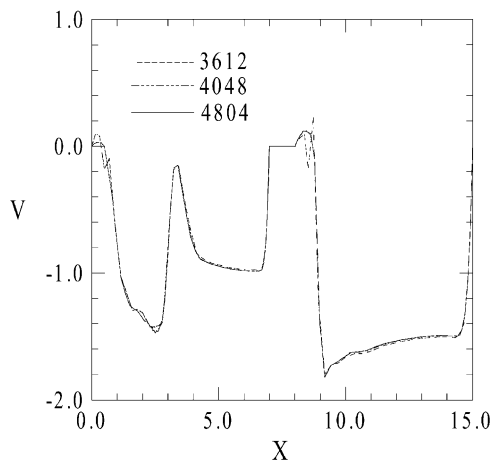
Generally, the walking speed of the operator is twice the inlet speed of the airflow in the cleanroom. The phenomena of the moving speed of the operator being equal to  $2.0$  (the moving velocity of the operator,  $U_b$ , may be  $2.0$  or  $-2.0$ ) and  $Re = 500$  are analyzed in detail. For obtaining an optimal computational mesh, three different nonuniform distribution elements 3612, 4048, and 4804 (corresponding to 14816, 16572, and 19640 nodes, respectively) are tested for case 2 at the steady state. The results of the velocities  $U$  and

Table 1  
The dimensionless geometric lengths of the cleanroom for three different cases

	$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$H_0$	$H_1$	$H_2$	$H_3$	$H_4$
case 1	1.0	2.3	0.2	12.5	2.5	6.0	30.0	18.0	6.0	6.0
case 2	1.0	2.3	0.2	12.5	2.5	6.0	34.0	18.0	3.0	6.0
case 3	1.0	2.3	0.2	12.5	2.5	6.0	30.0	18.0	2.0	6.0



(a)



(b)

Fig. 2. Comparison of the distributions of the velocities  $U$  and  $V$  along the line  $\overline{MN}$  for case 2 at steady state under different meshes. (a)  $X-U$ , and (b)  $X-V$ .

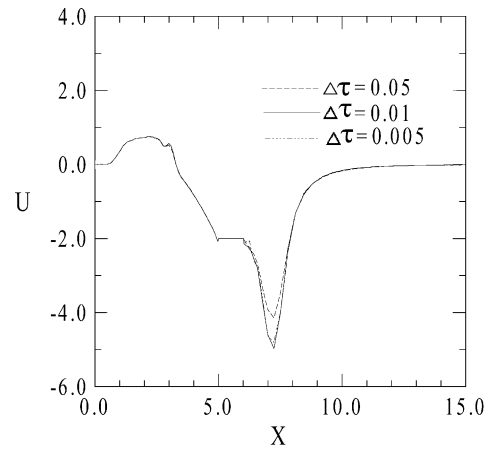
$V$  distributions along the line  $\overline{MN}$  as indicated in Fig. 1 are shown in Fig. 2. Based upon the results, the computational mesh with 4804 elements is adopted for case 2. Similarly, the computational meshes with 4644 and 4560 elements (corresponding to 18964 and 18664 nodes, respectively) are adopted for cases 1 and 3, respectively.

As for the selection of the time step  $\Delta\tau$ , three different time steps 0.05, 0.01 and 0.005 at  $U_b = -2.0$  are tested for case 2. The distributions of the velocities  $U$  and  $V$  along the line  $\overline{MN}$  at time  $\tau = 2.0$  are shown in Fig. 3. The result of the velocities  $U$  and  $V$  for different time steps is quite consistent. The time step  $\Delta\tau = 0.01$  is chosen for all cases.

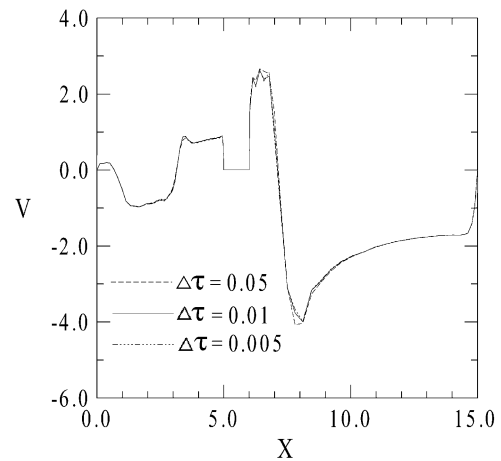
The dimensionless stream function  $\Psi$  is defined as

$$U = \frac{\partial \Psi}{\partial Y} \quad \text{and} \quad V = -\frac{\partial \Psi}{\partial X}. \quad (14)$$

For illustrating the flow field clearly, the phenomena of the streamlines around the work region of the cleanroom are



(a)



(b)

Fig. 3. Comparison of the distribution of the velocities  $U$  and  $V$  along the line  $\overline{MN}$  at time  $\tau = 2.0$  for case 2 at  $U_b = -2.0$  under different time steps (a)  $X-U$ , and (b)  $X-V$ .

presented exclusively. However, it should be noted that the computational domain included a much larger region than what is displayed in the subsequent figures.

The transient developments of the streamline distributions for case 1 ( $H_3 = 6.0$ ) are shown in Fig. 4. In this case, the height of the top surface of the operator is lower than the bottom surface of the curtain. At time  $\tau = 0.0$ , as shown in Fig. 4(a), the operator is stationary and the airflow is flowing steadily. Recirculation zones are found near the top and lateral surfaces of the workbench. As time  $\tau > 0.0$ , the operator starts to move towards the workbench with a constant velocity  $U_b = -2.0$ , and the variations of the flow field attain a transient state. As shown in Fig. 4(b), the space between the workbench and operator is contracted gradually, which results in the inlet airflow beginning to flow over the rear region of the operator. Since the operator moves toward the workbench, the operator pushes the airflow before the operator, and the direction of this airflow is forced to be

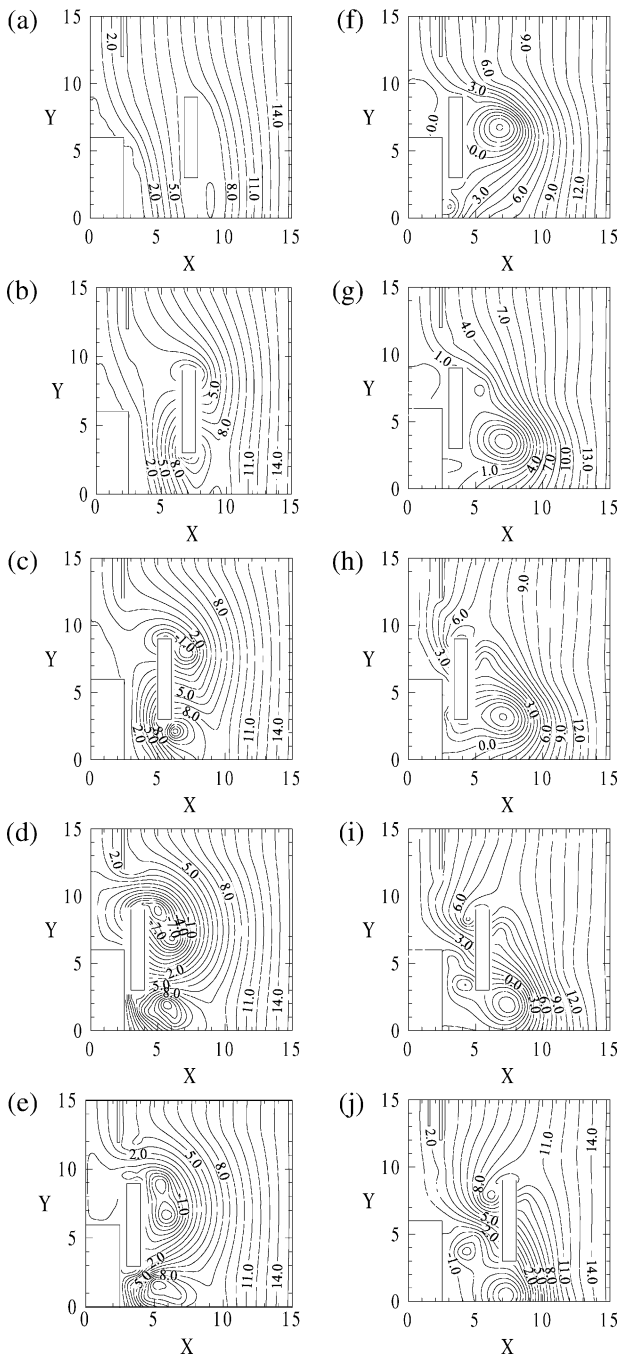


Fig. 4. The transient developments of the streamline distributions around the work region of the cleanroom for case 1 (a)  $\tau=0.0$ ,  $U_b=0.0$ , (b)  $\tau=0.2$ ,  $U_b=-2.0$ , (c)  $\tau=1.0$ ,  $U_b=-2.0$ , (d)  $\tau=2.0$ ,  $U_b=-2.0$ , (e)  $\tau=2.2$ ,  $U_b=0.0$ , (f)  $\tau=4.5$ ,  $U_b=0.0$ , (g)  $\tau=7.0$ ,  $U_b=0.0$ , (h)  $\tau=7.2$ ,  $U_b=2.0$ , (i)  $\tau=8.0$ ,  $U_b=2.0$ , and (j)  $\tau=9.0$ ,  $U_b=2.0$ .

changed and to flow toward the workbench remarkably. In the meantime, the airflow in the vicinity of the rear region of the operator and the top and bottom surfaces of the operator simultaneously replenishes the vacant space induced by the movement of the operator. As a result, new recirculation zones are formed around the operator. As the time

increases, the space between the workbench and operator becomes narrower, then most inlet airflow flows through the rear region of the operator, as shown in Figs. 4(c)–(d). In this duration, the airflow induced by the movement of the operator is forced to flow over the top surface of the operator, which causes the recirculation zones in the rear region of the operator to enlarge gradually. From a viewpoint of fluid mechanics, these recirculation zones are not favorable to the cleanroom because they may induce a local turbulent flow near the operator and workbench and entrain and trap particles. Particles may escape from these recirculation zones due to the effects of the inertia force, gravitational settling, turbulent diffusion, Brownian diffusion, electrostatic force, or other forces and deposit on the workbench to pollute the products. These phenomena are very complex and can hardly be predicted by both the experimental and numerical methods.

For the duration of time  $\tau$  from 2.0 to 7.0, the operator stays beside the workbench ( $U_b=0.0$ ), as indicated in Figs. 4(e)–(g). Since the space between the workbench and operator is narrow, the airflow passing through this space is slight and most of the airflow from the inlet flow through the rear region of the operator. As a result, the recirculation zones around the operator migrate to the downstream and shrink gradually by the airflow from the inlet. But large recirculation zones are observed around the top surface of the workbench. This flow may cause the particles to deposit on the product.

As time  $\tau > 7.0$ , as shown in Figs. 4(h)–(j), the operator begins to leave the workbench with a constant velocity  $U_b=2.0$ . Because of the operator moving toward the right, the airflow from the inlet is affected by the movement of the operator and also flows toward the right, which causes the recirculation zones near the workbench and operator to be destroyed gradually. Furthermore, the space mentioned above becomes broad gradually, and the inlet airflow easily passes through this space and replenishes the vacant space induced by the movement of the operator. Thus, new recirculation zones appear near the top and bottom surfaces of the operator and extend to the lower region beside the workbench.

Fig. 5 shows the transient developments of the streamline distributions for case 2 ( $H_3=3.0$ ) in which the height of the top surface of the operator is equal to the bottom surface of the curtain. At the beginning of the transient state, the operator moves toward the workbench with a constant velocity  $U_b=-2.0$  and these phenomena are similar to case 1. As the time increases, the space between the workbench and operator is contracted gradually, and some airflows from the inlet section  $\overline{AB}$  circumvent the curtain and flow over the rear region of the operator (Fig. 5(c)). As a result, the curtain protects the operator from the hazardous gases. Later, the airflow flowing around the curtain interacts with the airflow from the section  $\overline{CD}$ , and large recirculation zones appear around the operator while small new recirculation zones are observed around the curtain, as shown in

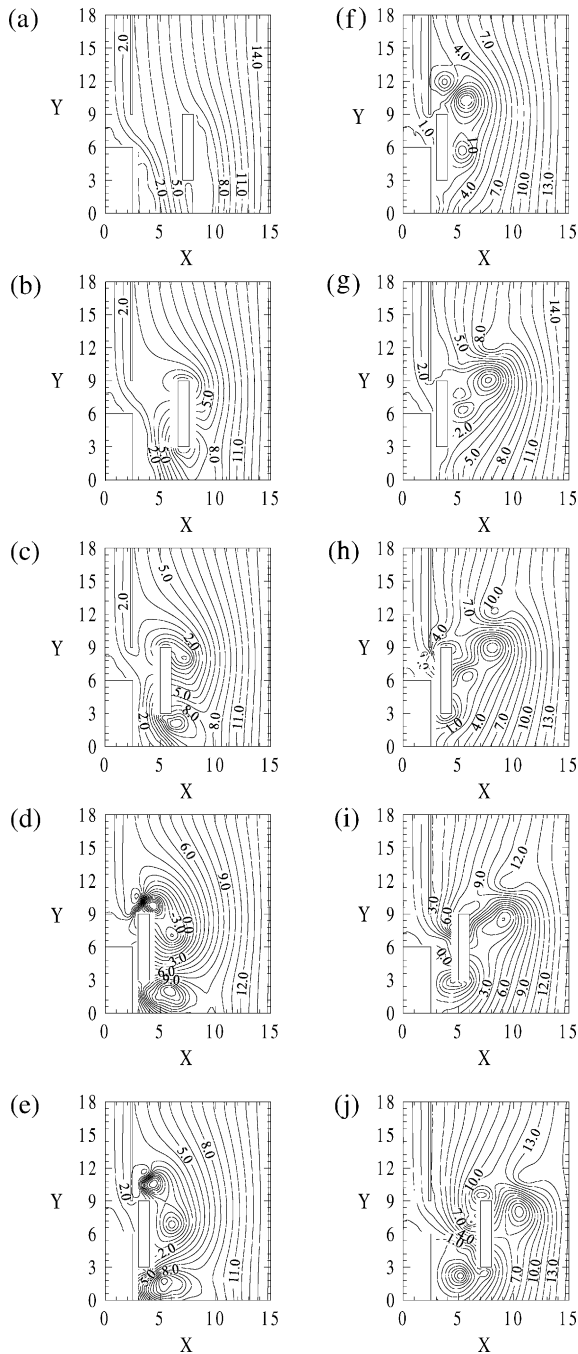


Fig. 5. The transient developments of the streamline distributions around the work region of the cleanroom for case 2 (a)  $\tau=0.0$ ,  $U_b=0.0$ , (b)  $\tau=0.2$ ,  $U_b=-2.0$ , (c)  $\tau=1.0$ ,  $U_b=-2.0$ , (d)  $\tau=2.0$ ,  $U_b=-2.0$ , (e)  $\tau=2.2$ ,  $U_b=0.0$ , (f)  $\tau=4.5$ ,  $U_b=0.0$ , (g)  $\tau=7.0$ ,  $U_b=0.0$ , (h)  $\tau=7.2$ ,  $U_b=2.0$ , (i)  $\tau=8.0$ ,  $U_b=2.0$ , and (j)  $\tau=9.0$ ,  $U_b=2.0$ .

Fig. 5(d). Besides, due to the existence of the curtain, small recirculation zones around the top surface of the workbench cannot be destroyed by the airflow, which is different from that of case 1 as shown in Fig. 4(d).

During time  $\tau$  from 2.0 to 7.0, as shown in Figs. 5(e)–(g), the operator remains beside the workbench ( $U_b=0.0$ ). Some

airflows from the inlet section  $\overline{AB}$  flow through the space between the curtain and operator, and then flow over the rear region of the operator. Thus, the recirculation zones around the curtain enlarge gradually and extend farther, as shown in Figs. 5(e)–(f). As the time increases, these recirculation zones are destroyed by the airflow circumventing the curtain and the airflow from the inlet section  $\overline{CD}$ , as indicated in Fig. 5(g). Furthermore, due to the existence of the curtain, the recirculation zones near the top surface of the workbench are shrunk gradually, which is beneficial to the contamination control.

As time  $\tau > 7.0$ , as shown in Figs. 5(h)–(j), the operator leaves the workbench with a constant velocity  $U_b=2.0$ . In this situation, the variations of the flow fields are similar to case 1 as shown in Figs. 4(h)–(j).

Fig. 6 shows the transient developments of the streamline distributions for case 3 ( $H_3=2.0$ ) in which the height of the top surface of the operator is higher than the bottom surface of the curtain. The variations of the airflow patterns for this case are more slightly drastic than that of case 2. As the operator moves toward the workbench, the space between the curtain and operator is contracted gradually. As Figs. 6(b)–(d) show, due to the obstruction of the curtain, the airflow from the inlet section  $\overline{AB}$  circumventing the curtain is difficult and mass flow rate of the airflow through the space between the curtain and operator is smaller than that of case 2. Consequently, the recirculation zones around the top surface of the workbench is shrunk. Besides, the operator seems to be an obstruction in the way of the airflow passing through the space between the curtain and workbench, then the variations of the recirculation zones behind the operator become more apparent than the former ones.

## 5. Conclusions

The effects of a moving operator and curtain on the variations of the airflow patterns in a vertical laminar cleanroom are investigated numerically. The results can be summarized as follows:

1. The moving operator affects the variations of the airflow patterns in the cleanroom very much. Recirculation zones are observed around the operator and workbench as the operator approaches the workbench. These phenomena are remarkably different from those of the moving operator regarded as a stationary object in the cleanroom of the previous studies.
2. The curtain can usually protect the operator from the hazardous gases as the distance between the workbench and curtain is small.
3. The curtain may confine the flowing of the airflow from the inlet and force the recirculation zones around the top surface of the workbench shrunk gradually when the operator remained beside the workbench.

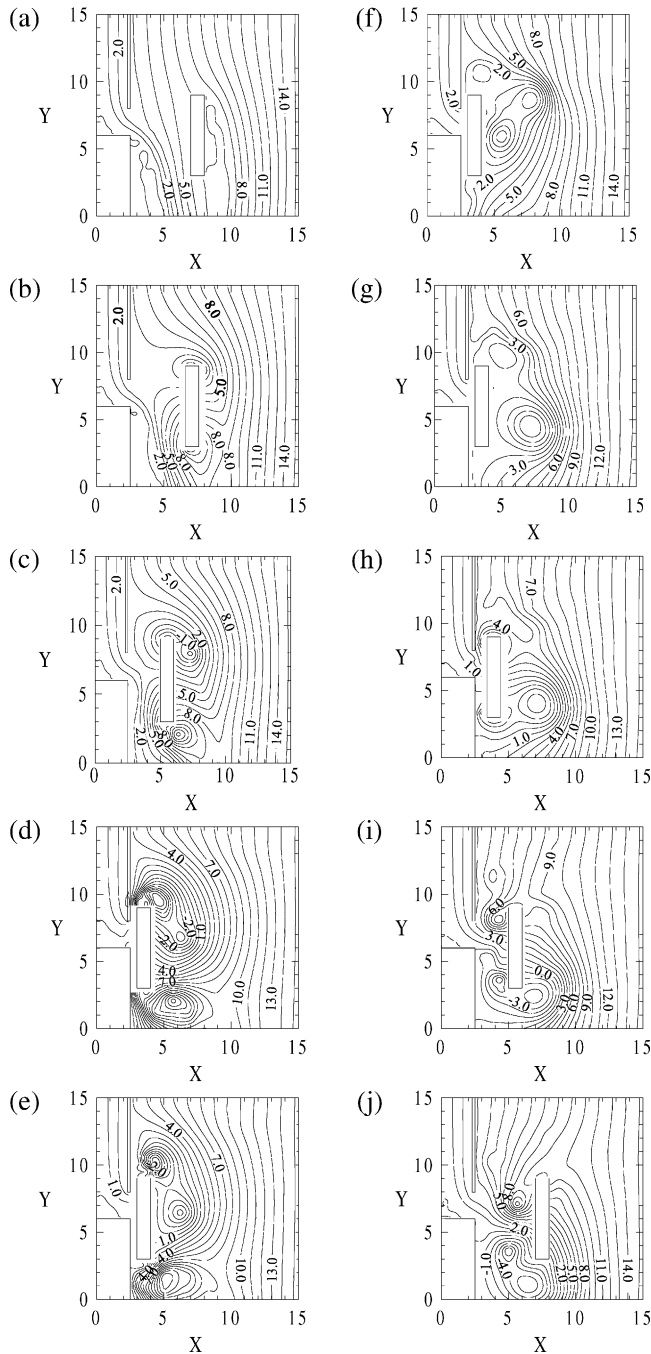


Fig. 6. The transient developments of the streamline distributions around the work region of the cleanroom for case 3 (a)  $\tau=0.0$ ,  $U_b=0.0$ , (b)  $\tau=0.2$ ,  $U_b=-2.0$ , (c)  $\tau=1.0$ ,  $U_b=-2.0$ , (d)  $\tau=2.0$ ,  $U_b=-2.0$ , (e)  $\tau=2.2$ ,  $U_b=0.0$ , (f)  $\tau=4.5$ ,  $U_b=0.0$ , (g)  $\tau=7.0$ ,  $U_b=0.0$ , (h)  $\tau=7.2$ ,  $U_b=2.0$ , (i)  $\tau=8.0$ ,  $U_b=2.0$ , and (j)  $\tau=9.0$ ,  $U_b=2.0$ .

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