Distance Graphs and T-Coloring

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We discuss relationships among *T*-colorings of graphs and chromatic numbers, fractional chromatic numbers, and circular chromatic numbers of distance graphs. We first prove that for any finite integral set *T* that contains 0, the asymptotic *T*-coloring ratio R(T) is equal to the fractional chromatic number of the distance graph G(Z, D), where $D = T - \{0\}$. This fact is then used to study the distance graphs with distance sets of the form $D_{m,k} = \{1, 2, ..., m\} - \{k\}$. The chromatic numbers and the fractional chromatic numbers of $G(Z, D_{m,k})$ are determined for all values of *m* and *k*. Furthermore, circular chromatic numbers of $G(Z, D_{m,k})$ for some special values of *m* and *k* are obtained. © 1999 Academic Press

1. INTRODUCTION

The *T*-coloring problem was formulated by Hale [18] as a model for the channel assignment problem, in which an integer broadcast channel is

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assigned to each of several locations so that interference among nearby locations is avoided. Interference is modeled by a non-negative integral set T containing 0 (called a *T-set*) as forbidden channel separations. One can construct a graph G = (V, E) such that each vertex represents a location; two vertices are adjacent if their corresponding locations are nearby. Thereafter a valid channel assignment or *T-coloring* is a mapping f from the vertex set of V of G to the set of non-negative integers $\{0, 1, 2, ...\}$ such that $|f(x) - f(y)| \notin T$ whenever $xy \in E$. The span of a *T*-coloring f is the difference between the largest and the smallest numbers in f(V), i.e., $\max\{|f(u) - f(v)|: u, v \in V\}$. Given T and G, the *T-span* of G, denoted by $\operatorname{sp}_T(G)$, is the minimum span among all *T*-colorings of G.

T-coloring has been extensively studied in the literature (see [4, 5, 16, 23–26, 30–32, 35]). Let σ_n denote sp_T(K_n), where K_n is a complete graph with *n* vertices. Griggs and Liu [16] proved that the *difference optimum* sequence, $\Delta \sigma = (\sigma_{n+1} - \sigma_n)_{n=1}^{\infty}$, is eventually periodic. This implies that for any *T*-set, the asymptotic *T*-coloring ratio

$$R(T) := \lim_{n \to \infty} \frac{\sigma_n}{n}$$

exists and is a rational number. This result was also proven by Rabinowitz and Proulx [30] and Cantor and Gordon [1] by different approaches.

The notion of distance graphs originated with the plane-coloring problem: What is the smallest number of colors needed to color all points of a Euclidean plane such that points at unit distances are colored with different colors. It is well known that four colors are necessary [28] and seven colors are sufficient [17]. However, the exact number of colors needed remains unknown (see [6]). Motivated by this problem, Eggleton [10] made the following generalization. Suppose S is a subset of a metric space \mathcal{M} with metic d, and D is a set of positive real numbers. The distance graph G(S, D) with distance set D is the graph with vertex set S and edge set $\{xy: d(x, y) \in D\}$. The objective is to determine $\chi(S, D)$, the chromatic number of G(S, D). Note that the plane-coloring problem introduced above is equivalent to finding $\chi(R^2, \{1\})$.

Let *D* be a set of positive integers (called a *D*-set). The distance graph to be studied in this article is G(Z, D), which has *Z* as the vertex set and $\{uv: |u-v| \in D\}$ as the edge set. The problem of finding $\chi(Z, D)$ for different *D*-sets has been studied extensively (see [2, 7, 9, 11–14, 21, 37–41]).

A fractional coloring of a graph G is a mapping c from $\mathscr{I}(G)$, the set of all independent sets of G, to the interval [0, 1] such that $\sum_{x \in I \in \mathscr{I}(G)} c(I) \ge 1$ for all vertices x in G. The fractional chromatic number $\chi_f(G)$ of G is the infimum of the value $\sum_{I \in \mathscr{I}(G)} c(I)$ of a fractional coloring c of G ([15, 22, 33, 34]).

For a given *T*-set, letting $D = T - \{0\}$, Liu [26] proved that the asymptotic *T*-coloring ratio R(T) is a lower bound of $\chi(Z, D)$. Hence, *T*-colorings and distance graphs are closely related. We shall explore further relationships between these two concepts. In Section 2, we prove that for any *T*-set, R(T) is equal to the fractional chromatic number of the distance graph G(Z, D), if $D = T - \{0\}$. This relationship provides new insights concerning the parameter R(T), and can be used to simplify the proofs of some known results regarding R(T).

Section 3 focuses on the family of distance graphs with *D*-sets of the form $D_{m,k} = \{1, 2, ..., m\} - \{k\}$. The chromatic numbers of such distance graphs, denoted as $\chi(Z, D_{m,k})$, have been investigated in the following articles. In [11], Eggleton, Erdős and Skilton obtained the solution for k = 1, and partial solutions for k = 2: $\chi(Z, D_{m,1}) = \lfloor (m+3)/2 \rfloor$ for any $m \ge 2$, $\chi(Z, D_{m,2}) = \lfloor (m+4)/2 \rfloor$ when $m \not\equiv 3 \pmod{4}$, and $\lfloor (m+3)/2 \rfloor \le \chi(Z, D_{m,2}) \le \lfloor (m+5)/2 \rfloor$ for any $m \ge 4$ with $m \equiv 3 \pmod{4}$. For $3 \le k < m$, the same authors provided the bounds

$$\max\left\{k, \left\lfloor\frac{1}{2}\left(\frac{m}{k-1}+1\right)\right\rfloor t\right\} \leq \chi(Z, D_{m,k}) \leq \min\left\{m, \left\lfloor\frac{1}{2}\left(\frac{m}{k}+3\right)\right\rfloor k\right\},\$$

where t = 2 if k = 3, and t = k - 2 if $k \ge 4$. The same result for the case k = 1 was also proven by Kemnitz and Kolberg in [21] by a different approach. The lower bound of $\chi(Z, D_{m,k})$ in the above has been improved to $\lceil (m+k+1)/2 \rceil$ by Liu ([26]), who also showed that the new bound is sharp for all pairs of integers (m, k) where k is odd. Furthermore, complete solutions for k = 2 and 4, and partial solutions for other even integers k are given in [26].

The main results of this paper are complete solutions for the chromatic numbers and the fractional chromatic numbers of the distance graphs $G(Z, D_{m,k})$ for all values m and k. These results are also applied to study the circular chromatic number of distance graphs.

Suppose k and d are positive integers such that $k \ge 2d$. A (k, d)-coloring of a graph G = (V, E) is a mapping c from V to $\{0, 1, ..., k-1\}$ such that $||c(x) - c(y)||_k \ge d$ for any edge xy in G, where $||a||_k = \min\{a, k-a\}$. The circular chromatic number $\chi_c(G)$ of G is the infimum of k/d for all (k, d)colorings of G. The circular chromatic number is also known as the star-chromatic number in the literature (see [36, 42, 43]).

For any graph G, it is well known that

$$\max\left\{\omega(G), \frac{|V(G)|}{\alpha(G)}\right\} \leq \chi_f(G) \leq \chi_c(G) \leq \lceil \chi_c(G) \rceil = \chi(G). \tag{*}$$

The parameters involved in (*) for distance graphs are explored in this paper. For simplicity, let $\omega(Z, D)$, $\alpha(Z, D)$, $\chi_f(Z, D)$, and $\chi_c(Z, D)$ denote the clique number, the independence number, the fractional chromatic number, and the circular chromatic number of G(Z, D), respectively.

2. RELATIONSHIPS BETWEEN R(T) AND $\chi_f(Z, D)$

This section shows that for any *T*-set, the asymptotic *T*-coloring ratio R(T) is equal to the fractional chromatic number of the distance graph G(Z, D), if $D = T - \{0\}$. Based upon this result, we give simpler and different proofs of some known facts regarding R(T).

THEOREM 1. For any finite T-set, if $D = T - \{0\}$, then $R(T) = \chi_f(Z, D)$.

Proof. Suppose c is an optimal T-coloring of K_n , where $0 = c(1) < c(2) < \cdots < c(n) = \sigma_n$. Let $m = 1 + \max_{d \in D} d$. For $1 \le i \le c(n) + m$, let

 $I_i = \{ j \in \mathbb{Z} : j \equiv i + c(k) \pmod{c(n) + m} \text{ for some } k \text{ with } 1 \leq k \leq n \}.$

It is straightforward to verify that each I_i is an independent set in G(Z, D), and that every integer belongs to exactly n of the independent sets I_i $(1 \le i \le c(n) + m)$. Define a mapping $c': \mathscr{I}(G(Z, D)) \to [0, 1]$ as

$$c'(I) = \begin{cases} \frac{1}{n}, & \text{if } I = I_i \text{ for } 1 \leq i \leq c(n) + m; \\ 0, & \text{otherwise.} \end{cases}$$

Then c' is a fractional coloring of G(Z, D). This implies that for any positive integer $n, \chi_f(Z, D) \leq (c(n) + m)/n$. Hence, we have

$$\chi_f(Z, D) \leq \lim_{n \to \infty} \frac{c(n) + m}{n} = \lim_{n \to \infty} \frac{\sigma_n}{n} = R(T).$$

To show $\chi_f(Z, D) \ge R(T)$, let G_n be the subgraph of G(Z, D) induced by the vertex set $\{0, 1, 2, ..., c(n)\}$; i.e., $G_n = G(\{0, 1, 2, ..., c(n)\}, D)$. Then the set of vertices $\{c(1), c(2), ..., c(n)\}$ is a maximum independent set in G_n . So, for any positive integer n, we have

$$\chi_f(Z, D) \geqslant \chi_f(G_n) \geqslant \frac{|V(G_n)|}{\alpha(G_n)} = \frac{c(n)+1}{n}.$$

This implies

$$\chi_f(Z, D) \ge \lim_{n \to \infty} \frac{c(n) + 1}{n} = \lim_{n \to \infty} \frac{\sigma_n}{n} = R(T).$$

Therefore, $R(T) = \chi_f(Z, D)$.

The theorem above provides new insights into the asymptotic *T*-coloring ratio R(T). Some previous results concerning R(T) can be obtained from this approach. For example, it is well known that $R(T) \ge 2$, provided $T \ne \{0\}$ (see [1, 16, 30]). This is straightforward when we consider fractional chromatic numbers, since the fractional chromatic number of any non-trivial graph is at least 2. Moreover, for a non-trivial graph *G*, $\chi_f(G) = 2$ if and only if *G* is bipartite. Since G(Z, D) is bipartite if and only if *D* contains no even integers (assuming that gcd(T) = 1), we have the following result.

COROLLARY 2. For any T-set with gcd(T) = 1, R(T) = 2 if and only if T contains only odd integers except 0.

Theorem 1 can also be applied to some other known results about R(T)which are closely related to an earlier number theory problem, namely, sequences with missing differences. Given a T-set, a T-sequence is an increasing sequence S of nonnegative integers such that $x - y \notin T$ for any x, $y \in S$. Motzkin [29] proposed studying the supremum $\mu(T)$ of the asymptotic upper densities of these sequences S. Cantor and Gordon [1] determined the exact values of $\mu(T)$ when |T| = 2 and 3. Haralambis [19] gave partial solutions when |T| = 4 or 5. It is known that $\mu(T)$ is equal to the reciprocal of R(T) (see [16]). Therefore results on sequences with missing differences can be applied to T-colorings. Cantor and Gordon [1] and Rabinowitz and Proulx [30] proved that if $T = \{0, a, b\}, gcd(a, b) = 1$, and a and b are of different parity, then R(T) = 2(a+b)/(a+b-1). The original argument in proving the inequality $R(T) \ge 2(a+b)/(a+b-1)$ in [1] was quite complicated. However, applying some facts about fractional chromatic number, one can obtain the following simpler proof. Since a and b are of opposite parity, G(Z, D) with $D = \{a, b\}$ contains an odd cycle C_{a+b} . As $\chi_f(C_{2m+1}) = 2 + 1/m$, it follows that $\chi_f(Z, D) \ge \chi_f(C_{a+b}) =$ 2(a+b)/(a+b-1). Thus, by Theorem 1, $R(T) \ge 2(a+b)/(a+b-1)$. Indeed, combining Theorem 1 with the fact that $\chi_f(H) \leq \chi_f(G)$ if H is a subgraph of G, the following is obvious.

COROLLARY 3. If H is a subgraph of the distance graph G(Z, D), then $\chi_f(H) \leq R(T)$, where $T = D \cup \{0\}$.

Q.E.D

3. VALUES OF $\chi_f(Z, D_{m,k})$, $\chi(Z, D_{m,k})$, AND $\chi_c(Z, D_{m,k})$

In this section, we first calculate $\chi_f(Z, D_{m,k})$, which, according to (*), is a lower bound of $\chi(Z, D_{m,k})$. We then determine $\chi(Z, D_{m,k})$ for all values of *m* and *k*. Using this approach, circular chromatic numbers of $G(Z, D_{m,k})$ for special values of *m* and *k* are obtained as well.

The values of R(T) as $T = D_{m,k} \cup \{0\}$ are given in [26]. Thus, the following two results can also be obtained by using Theorem 1. Here we include methods of calculating $\chi_f(Z, D_{m,k})$ directly.

THEOREM 4. If 2k > m, then

$$\omega(Z, D_{m,k}) = \chi_f(Z, D_{m,k}) = \chi_c(Z, D_{m,k}) = \chi(Z, D_{m,k}) = k$$

Proof. Since the set of vertices $\{1, 2, ..., k\}$ forms a clique in $G(Z, D_{m,k}), k \leq \omega(Z, D_{m,k}).$

By (*), it is sufficient to show $\chi(Z, D_{m,k}) \leq k$. Define a vertex-coloring f on Z as $f(i) = (i \mod k)$. Then f is a proper coloring, since $D_{m,k}$ contains no multiple of k. Q.E.D

THEOREM 5. If $2k \leq m$, then $\chi_f(Z, D_{m,k}) = (m+k+1)/2$.

Proof. For any *i* with $0 \le i \le m+k$, $I_i = \{j \in Z : j-i \equiv 0 \text{ or } k \pmod{m+k+1}\}$ is an independent set in $G(Z, D_{m,k})$. Furthermore, each integer is contained in exactly two such independent sets. Define a mapping $c: \mathscr{I}(G(Z, D_{m,k})) \to [0, 1]$ as

$$c(I) = \begin{cases} \frac{1}{2}, & \text{if } I = I_i \text{ for } 0 \leq i \leq m+k; \\ 0, & \text{otherwise.} \end{cases}$$

It is easy to check that c is a fractional coloring of $G(Z, D_{m,k})$. Thus, $\chi_f(Z, D_{m,k}) \leq (m+k+1)/2$.

Since $\alpha(\{0, 1, ..., m+k\}, D_{m,k}) = 2$ for $2k \le m$, by (*), $\chi_f(\{0, 1, ..., m+k\}, D_{m,k}) \ge (m+k+1)/2$. Therefore, the proof is complete. Q.E.D

Because $\chi(G)$ is an integer, Theorem 5 and (*) imply the following:

COROLLARY 6 [26]. If $2k \leq m$ then $\chi(Z, D_{m,k}) \geq \lceil (m+k+1)/2 \rceil$.

We are now in a position to given the complete solutions to $\chi(Z, D_{m,k})$ for all values of *m* and *k*. This is accomplished in the next two results. As will be shown, $\chi(Z, D_{m,k})$ is either $\lceil (m+k+1)/2 \rceil$ or $\lceil (m+k+1)/2 \rceil + 1$.

LEMMA 7. Suppose $2k \leq m$. Write $m + k + 1 = 2^r m'$ and $k = 2^s k'$, where r and s are non-negative integers and m' and k' are odd integers. If $1 \leq r \leq s$, then $\chi(Z, D_{m,k}) > (m + k + 1)/2$.

Proof. Since $1 \le r$, m+k+1 is even. Assume to the contrary that $\chi(Z, D_{m,k}) \le (m+k+1)/2$. By Corollary 6, $\chi(Z, D_{m,k}) = (m+k+1)/2$. Color $G(Z, D_{m,k})$ by using (m+k+1)/2 colors.

For each integer *i*, consider the subgraph of $G(Z, D_{m,k})$ induced by the m+k+1 vertices $\{i, i+1, ..., i+m+k\}$. This subgraph has independence number 2. Hence, each of (m+k+1)/2 colors is used at most, and hence exactly, twice in this subgraph. Thus, each color is used exactly twice in any consecutive m+k+1 vertices. Consequently, vertices *i* and i+m+k+1 have the same colors for all $i \in Z$. Therefore, for each $i \in S := \{0, 1, ..., m+k\}$, the only possible vertices in *S* having the same color as *i* are i+k and $i-k \pmod{m+k+1}$.

Consider the *circulant graph* C(m+k+1, k), with vertex set S and in which vertex i is adjacent to vertex j if and only if $j \equiv i+k$ or $i-k \pmod{m+k+1}$. It follows from the discussion in the preceding paragraph that two vertices x and y of S have the same color only if xy is an edge of the circulant graph C(m+k+1, k). Since the intersection of each color class with S contains exactly two vertices, the coloring induces a perfect matching of C(m+k+1, k). However, C(m+k+1, k) is the disjoint union of d cycles of length (m+k+1)/d, where $d = \gcd(m+k+1, k)$. Since C(m+k+1, k) has a perfect matching, each cycle has an even length. This implies that r > s, contrary to the assumption $r \leq s$. Q.E.D

The next theorem determines the chromatic number $\chi(Z, D_{m,k})$ for all values of *m* and *k*. Incidentally, it also shows that the converse of Lemma 7 is true.

THEOREM 8. Suppose $2k \leq m$. Write $m + k + 1 = 2^r m'$ and $k = 2^s k'$, where r and s are non-negative integers and m' and k' are odd integers. Then

$$\chi(Z, D_{m,k}) = \begin{cases} \frac{m+k+1}{2}, & \text{if } r > s; \\ \left\lfloor \frac{m+k+2}{2} \right\rfloor, & \text{otherwise.} \end{cases}$$

Proof. It follows from Corollary 6 and Lemma 7 that if r > s, then $\chi(Z, D_{m,k}) \ge (m+k+1)/2$; if $r \le s$, then $\chi(Z, D_{m,k}) \ge \lceil (m+k+2)/2 \rceil$. Therefor it suffices to show that $G(Z, D_{m,k})$ is (m+k+1)/2-colorable, if r > s; and $G(Z, D_{m,k})$ is $\lceil (m+k+2)/2 \rceil$ -colorable, if $r \le s$. It is known that $\chi(Z, D) = \chi(Z^+ \cup \{0\}, D)$ [14]. Therefore, it is sufficient to find a proper coloring for the subgraph of $G(Z, D_{m,k})$ induced by all non-negative integers.

We first decompose k into the sum of an odd number of integers, $k = a_1 + a_2 + \cdots + a_p$, as follows:

Case 1. For
$$r > s$$
 or $s = 0$, let $p = k'$ and $a_j = 2^s$ for $1 \le j \le p$.

Case 2. For
$$r \leq s \neq 0$$
, let $p = k - 1$ and $a_i = 1$ for $1 \leq j < p$ and $a_p = 2$.

Next, partition the set Z into consecutive blocks of sizes $a_1, a_2, ..., a_p$ periodically. Then "pre-color" the blocks, alternating RED and BLUE. We call a vertex RED (or BLUE) if it falls within a RED (or BLUE) block.

Define a coloring f on the vertices of all non-negative integers of $G(Z, D_{m,k})$ according to the following three rules:

(**R**1)
$$f(i) = i$$
, if $0 \le i \le k - 1$;

(R2) f(i) = f(i-k), if *i* is BLUE and $i \ge k$;

(R3) f(i) = the smallest non-negative integer that has not been used as a color in the *m* vertices preceding *i*, if *i* is RED and $i \ge k$.

To show that f is a proper coloring, we claim that for any vertex i, $f(i) \neq f(j)$ for all $j \neq i-k$ with $i-m \leq j < i$. It is easy to see that the claim is true when (R1) or (R3) is performed. Suppose (R2) is executed, i.e., i is BLUE, $i \geq k$, and f(i) = f(i-k). Since k is divided into an odd number of blocks, i-k is a RED vertex. By (R1) or (R3), f(i-k) is different from any of the colors of the m vertices preceding i-k. Thus, it is sufficient to show that $f(i) \neq f(j)$ for all j with i-k < j < i.

If *j* is RED, by (R1) or (R3), $f(j) \neq f(i-k)$ and so $f(i) \neq f(j)$. If *j* is BLUE, by (R1) of (R2), f(j) = f(j-k). One has i-k-m < j-k < i-k (because $2k \le m$), so $f(i-k) \neq f(j-k)$. This implies $f(i) \neq f(j)$.

To complete the proof of the theorem, it is sufficient to show that f is an ((m+k+1)/2)-coloring if r > s; and f is an $\lceil (m+k+2)/2 \rceil$ -coloring if $r \le s$. One can accomplish this by counting the number of colors that have been used for the m vertices preceding a RED vertex i for which $i \ge k$. The first k vertices need at most k colors. For the remaining m-k vertices, only those RED vertices need new colors.

If r > s, then m - k + 1 is a multiple of 2^{s+1} . Any consecutive 2^{s+1} vertices have 2^s BLUE vertices and 2^s RED ones, so there are exactly (m-k-1)/2 RED vertices in the remaining m-k vertices. Therefore, the total number of colors used in f is at most k + (m-k-1)/2 + 1 = (m+k+1)/2.

If $r \leq s$ (with s = 0 in Case 1 and $s \neq 0$ in Case 2), then there are at most $\lceil (m-k)/2 \rceil$ RED vertices in the remaining m-k vertices. Thus, the total number of colors used in f is at most $k + \lceil (m-k)/2 \rceil + 1 = \lceil (m+k+2)/2 \rceil$. This completes the proof of Theorem 8.

We now present the following two results concerning the circular chromatic number of $G(Z, D_{m,k})$. The first one follows from (*) and Theorems 5 and 8.

COROLLARY 9. Suppose $2k \leq m$. Write $m + k + 1 = 2^r m'$ and $k = 2^s k'$, where r and s are non-negative integers and m' and k' are odd integers. If r > s, then $\chi_f(Z, D_{m,k}) = \chi_c(Z, D_{m,k}) = \chi(Z, D_{m,k}) = (m + k + 1)/2$.

THEOREM 10. If $2k \leq m$ and k is relatively prime to m+k+1, then $\chi_f(Z, D_{m,k}) = \chi_c(Z, D_{m,k}) = (m+k+1)/2$.

Proof. Since k is relatively prime to m + k + 1, there exists an integer n such that $nk \equiv 1 \pmod{m+k+1}$. Consider the mapping c defined by $c(i) = (in \mod m+k+1)$ for all $i \in Z$. For any edge ij in $G(Z, D_{m,k})$, we shall prove that $||c(i) - c(j)||_{m+k+1} \ge 2$. Suppose to the contrary, that $||c(i) - c(j)||_{m+k+1} \le 1$; i.e., $c(i) - c(j) \equiv 0$ or 1 or $-1 \pmod{m+k+1}$. Then $i - j \equiv 0$ or k or $-k \pmod{m+k+1}$, which contradicts the fact that i is adjacent to j. Thus c is an (m+k+1, 2)-coloring of $G(Z, D_{m,k})$. This along with Theorem 5 and (*) implies the theorem. Q.E.D

Remarks. Many new results related to this topic have been obtained since the submission of this paper. In [3], the circular chromatic numbers of all the graphs $G(Z, D_{m,k})$ are determined. The chromatic number, circular chromatic number and fractional chromatic number of distance graphs with distance sets of the form $D_{m,k,s} = \{1, 2, ..., m\} - \{k, 2k, ..., sk\}$ have been studied in [8, 20, 27, 44]. (Accordingly, the distance graphs discussed in this paper are $G(Z, D_{m,k,1})$.) In [27], the chromatic numbers of all the graphs $G(Z, D_{m,k,2})$ are determined. The same paper also determined the fractional chromatic numbers of all the graphs $G(Z, D_{m,k,s})$. In [8], the following was proved:

$$\lceil (m+sk+1)/(s+1) \rceil \leq \chi(G(Z, D_{m, ks})) \leq \lceil (m+sk+1)/(s+1) \rceil + 1.$$

Moreover, both the upper bound and the lower bound are attainable. Then in [20], the chromatic numbers of all the graphs $G(Z, D_{m,k,s})$ are completely determined. Finally and most recently, [44] determines the circular chromatic numbers of all the graphs $G(Z, D_{m,k,s})$.

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