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Alignment error evaluation of the dial pattern

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Abstract. To achieve smooth etched sidewall surface at minimal time expense and reduce undercut etching are basic disciplines of the task of accurate alignment. When aligning etch-masks to (110) crystal orientation on a (100) Si wafer, a consideration is proposed by Schröder who uses a dial pattern for pre-etching to determine (110) crystal orientation. But that performance depends strongly on the resolution of the mask pattern generator. In this paper, we analyse Schröder's method by mathematical modeling and computer simulation. Finally, we propose an improvement for the Schröder limitation according to our analytic results.

1. Introduction

Having accurately aligned etch-masks to (110) crystal orientation on a (100) Si wafer can save time to obtain a smooth etched sidewall surface at minimal time expense and reduce undercut etching. Several methods for alignment of mask patterns to crystal orientation have been proposed already [1–5]. Schröder [1] proposed an improved method from Ensell's research [2,3] to accomplish this task. Instead of the sophisticated approach [4], his method can be used together with an optical microscope to find the (110) crystal orientation without any other special equipment. Schröder's works use the dial pattern with an origin at the center of the wafer and a radius slightly smaller than the wafer. The small circles of the dial pattern are separated equally with an angular pitch. The X-axis of the mask is aligned to be parallel with the edge of the primary flat. The dial pattern spans $\pm 2^{\circ}$, that is enough to account for the aligning error and the variation of the $\langle 110 \rangle$ orientation. The patterned wafer is then etched by an anisotropic etching solution such as KOH and produces square pits for each small circle on the mask as shown in figure 1 [2,3]. A target etched square can be found according to some criterion. The label besides the target etched square indicates the error angle between the mask coordinate system and the (110) orientation.

In our studies, we found that the performance of Schröder's method depends strongly on the accuracy of the coordinates of the small circles' origins of the dial pattern. Moreover, that is limited by the resolution of the mask pattern generator. The typical resolution of the mask pattern generator for MEMS applications is about 0.1 μ m [6]. But this is large enough to significantly degrade the accuracy of Schröder's method.

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In this paper, we first analyse Schröder's method by mathematical modeling and computer simulation. Finally, we propose an improvement for the Schröder limitation.

Referring to figure 1, the notations defined below are used in this work.

 θ : the error angle between the mask coordinate system and $\langle 110 \rangle$ orientation.

 R_{ϕ} : the etched square at angle ϕ .

 ϕ_T : the ϕ that is the closest to θ found from the etched squares.

 C_{ϕ} : the central point of R_{ϕ} .

 r_O : the radius of the dial pattern.

 r_C : the radius of the small circles for the dial pattern.

 $D_{PL}(P,L)$: distance from point P to the straight line L.

 $\langle \cdot \rangle$: round off a value.

 H_{ϕ}/T_{ϕ} : spacings between etched square pits.

2. Modeling

Referring to figure 1, the etched square R_{ϕ_T} is the target square that should be found by the microscopic inspection and comparison of the etched squares. Then ϕ_T can be identified from the label beside R_{ϕ_T} . For an etched square R_{ϕ} , H_{ϕ} and T_{ϕ} can be found from the microscopic inspection. The mathematical equations for H_{ϕ} and T_{ϕ} were derived according to figure 1 as shown in (1)–(2).

$$H_{\phi} = \left(D_{PL}\left(\mathcal{C}_{\phi-\delta\phi}, \mathcal{L}_{\langle\bar{1}10\rangle}\right) - r_{C}\right) - \left(D_{PL}\left(\mathcal{C}_{\phi}, \mathcal{L}_{\langle\bar{1}10\rangle}\right) - r_{C}\right)$$

$$= r_{O}\cos(\phi - \delta\phi - \theta) - r_{O}\cos(\phi - \theta)$$

$$= 2r_{O}\sin\left[\left(\phi - \theta\right) + \frac{\delta\phi}{2}\right]\sin\left(\frac{\delta\phi}{2}\right) \qquad (1)$$

$$T_{\phi} = \left(D_{PL}\left(\mathcal{C}_{\phi+\delta\phi}, \mathcal{L}_{\langle110\rangle}\right) - \left(D_{PL}\left(\mathcal{C}_{\phi}, \mathcal{L}_{\langle110\rangle}\right) - 2r_{C}\right)$$

$$= r_{O}\sin(\phi - \delta\phi - \theta) - r_{O}\sin(\phi - \theta) - 2r_{C}$$

$$= 2r_{O}\cos\left[\left(\phi - \theta\right) + \frac{\delta\theta}{2}\right]\sin\left(\frac{\delta\phi}{2}\right) - 2r_{C}. \qquad (2)$$

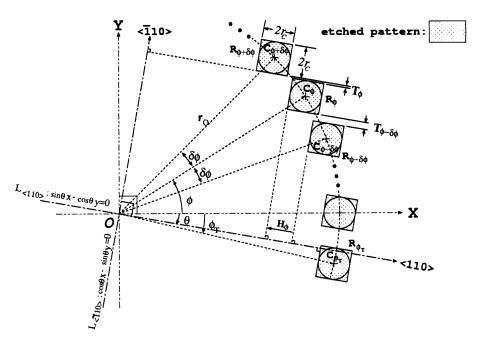


Figure 1. Illustration of the anisotropic etched patterns on a (100) Si wafer patterned by the pre-etching mask with the dial pattern.

Three kinds of criterion by comparing H_{ϕ} , T_{ϕ} and $T_{\phi-\delta\phi}$ can be applied to determine R_{ϕ_T} . Criterion 1 is that the inner edge of R_{ϕ_T} is the farthest away from the origin of the dial pattern O. This criterion can be applied by finding the last R_{ϕ} of which H_{ϕ} is positive from $\phi=2^{\circ}$ counting back. Criterion 2 is that $T_{\phi_T}-T_{\phi_T-\delta\phi}$ is minimum for all etched squares. Criterion 3 is that $T_{\phi_T}+T_{\phi_T-\delta\phi}$ is maximum for all etched squares. The two equations for $T_{\phi}-T_{\phi-\delta\phi}$ and $T_{\phi}+T_{\phi-\delta\phi}$ used for criterion 2 and criterion 3 were found from (2) as shown in (3) and (4).

$$T_{\phi} - T_{\phi - \delta \phi} = 4r_O \sin(\phi - \theta) \sin^2\left(\frac{\delta \phi}{2}\right)$$
 (3)

$$T_{\phi} + T_{\phi - \delta \phi} = 4r_O \cos(\phi - \theta) \cos\left(\frac{\delta \phi}{2}\right) \sin\left(\frac{\delta \phi}{2}\right)$$
 (4)

All circles will be assumed to be identical but individually shifed from the perfect circle to the closest grid position according to the resolution of the mask pattern generator. The finite resolution effect of the mask pattern generator was modeled by replacing C_{ϕ} with C'_{ϕ} for re-derivation of (1) and (2). H_{ϕ} and T_{ϕ} were derived again as shown in (5) and (6).

$$H_{\phi} = \left(D_{PL}\left(C'_{\phi-\delta\phi}, L_{\langle\bar{1}10\rangle}\right) - r_{C}\right) - \left(D_{PL}\left(C'_{\phi}, L_{\langle\bar{1}10\rangle}\right) - r_{C}\right)$$

$$= \cos\theta \left[\left\langle r_{O}\cos(\phi - \delta\phi)\right\rangle - \left\langle r_{O}\cos\phi\right\rangle\right]$$

$$+ \sin\theta \left[\left\langle r_{O}\sin(\phi - \delta\phi)\right\rangle - \left\langle r_{O}\sin\phi\right\rangle\right] \qquad (5)$$

$$T_{\phi} = \left(D_{PL}\left(C'_{\phi+\delta\phi}, L_{\langle110\rangle}\right) - \left(D_{PL}\left(C'_{\phi}, L_{\langle110\rangle}\right) - 2r_{C}\right)$$

$$= \cos\theta \left[\left\langle r_{O}\sin(\phi + \delta\phi)\right\rangle - \left\langle r_{O}\sin\phi\right\rangle\right]$$

$$- \sin\theta \left[\left\langle r_{O}\cos(\phi + \delta\phi)\right\rangle - \left\langle r_{O}\cos\phi\right\rangle\right] - 2r_{C}. \qquad (6)$$

3. Simulation results

According to the model derived from the previous section and considering 100 mm wafers for processing, some simulation works were completed in order to explain the effects of the finite resolution of the mask pattern generator on Schröder's method. Other interferences such as measurement error while collecting data, uncertainty in the mask pattern generator etc are not included in the simulation.

For the ideal case, i.e. no round-off effect on the coordinate of C_{ϕ} , the simulation of the relations between ϕ_T and θ was done for the three methods described in section 2 with $r_O=50\,000~\mu m$ and $\delta\phi=0.05^\circ$. The readout errors are identical for these three criteria as shown in figure 2(a). The accuracy is $\pm 0.025^\circ$, i.e. $0.5\delta\phi$. Whereby rounding off the coordinates of C_{ϕ} at $0.1~\mu m$, the readout errors are shown in figure 2(b) for criterion 1, figure 2(c) for criterion 2 and figure 2(d) for criterion 3. The readout errors as shown in figure 2 for criterion 1, 2 and 3 in the round-off case are 3.4, 160 and 80 times larger than the ideal case respectively.

The simulation result of the relations between the accuracy and round-off precision for the three criteria with $r_O=50\,000~\mu\mathrm{m}$ and $\delta\phi=0.05^\circ$ is plotted in figure 3. As indicated in figure 3, criterion 1 is the most insensitive to the round-off precision among the three criteria.

A basic approach to reduce the round-off effect on the coordinate of C_{ϕ} is to enlarge its dimension. This can be improved by increasing r_{O} . The simulation of the relations between the accuracy and r_{O} was made for the three criteria with round-off precision 0.1 μ m and $\delta \phi = 0.05^{\circ}$ and the result is shown in figure 4. As indicated in figure 4, increasing r_{O} has significant improvements on the accuracy

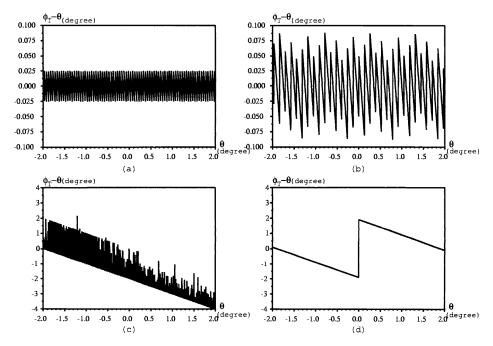


Figure 2. Simulation results: readout error $(\phi_{\tau} - \theta)$ — θ plots with $r_O = 50\,000~\mu\text{m}$ and $\delta\phi = 0.05^{\circ}$ for (a) no round-off and all three criteria, (b) round-off precision 0.1 μ m and criterion 1, (c) round-off precision 0.1 μ m and criterion 2 and (d) round-off precision 0.1 μ m and criterion 3.

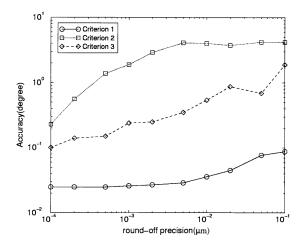
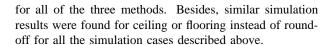


Figure 3. Simulation result: accuracy–round-off precision plot with $r_O = 50\,000~\mu{\rm m}$ and $\delta\phi = 0.05^{\circ}$.



4. Conclusion

The finite resolution of the mask pattern generator has remarkable influence on the accuracy of Schröder's method. As shown in figure 3, improving the situation by using a more precise mask pattern generator is insignificant even with resolution as small as $0.025~\mu m$ [7]. The accuracy of Schröder's method, as depicted in figure 4, can be improved by applying the criterion 1 described in section 2 to find

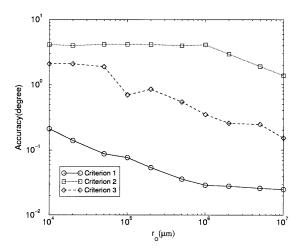


Figure 4. Simulation result: accuracy– r_O plot with round-off precision 0.1 μ m and $\delta \phi = 0.05^{\circ}$.

 R_{ϕ_T} , and increasing r_O . The origin of the dial pattern can be chosen such that the dial pattern can fit into the required area on the wafer besides choosing the wafer's center as the origin of the dial pattern in Schröder's works. In this way, the dial pattern can not be used as alignment keys for the subsequent mask. Therefore, another pattern should be added as alignment keys such as Schröder's pattern or Ensell's pattern [1–3]. Moreover, the choice of r_O should be compromised with pattern area and etching time since both of them increase as r_O increases.

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