Outline of Contents

	Pages
Acknowledgments	
Outline of Contents	I
List of Tables	VII
List of Figures	IX
Abstract (in Chinese)	XVII
Abstract (in English)	XX
Chapter 1 Electron Beam Lithography	
1.1 Introduction	1
1.1.1 Historical Perspective of lithography	1
1.1.2 Solid-State Device	3
1.1.3 Lithographic Strategic	5
1.1.4 Resist Materials and Process	7
1.2 Electron Beam Lithography	8
1.2.1 Pattern Creation by an Electron Beam	8
1.2.2 Electron Scattering	12
1.2.3 Electron Beam Resists	15
1.2.4 Etch Resistance	19
1.3 Processing steps	20
1.3.1 Sensitivity and Constrast	21
1.3.2 Substrate Cleaning and Preparation	24
1.3.3 Resist Coating	25
1.3.4 Prebaking	26
1.3.5 Exposure	26
1.3.6 Postexposure Treatment	27
1.3.7 Developing	27
1.3.8 Postbaking	28
1.3.9 Etching	28
1.3.10 Stripping	29
References	30

Chapter 2 Nanoimprint Lithography	
2.1 Introduction	35
2.1.1 Nanoimprint Lithography	36
2.1.2 Mold-Assisted Lithography	38
2.2 Hot-Embossing Lithography (HEL)	39
2.2.1 History of Classical Hot Embossing	39
2.2.2 Principle of Hot-Embossing Lithography	40
2.2.3 Embossed Materials	43
2.2.4 Processing Details	44
2.3 Masters, Stamps, and Molds	47
2.3.1 Master Fabrication	48
2.3.2 Polymer Masters	49
2.4 Sticking Effect	50
2.4.1 Physics of Adhesion	50
2.4.2 Surface Energy Measurement	52
2.4.3 Antisticking Layers	53
2.5 Application	58
2.5.1 Biosensor	58
2.5.2 Photodetectors and Light Emitters	59
References	61
Chapter 3 Mechanism and Modeling of Ring Pattern Formation for E	lectron
Beam Exposure on Zwitterresist	
Abstract	65
3.1 Introduction	66
3.2 Experimental	67
3.3 Results and Discussion	68
3.3.1 Sensitivity curve and formation of ring pattern	68
3.3.2 Influence of electron scattering effect and electron heating effect	68
3.3.3 Modeling the effect of electron beam dose	69
3.3.4 Throughout calculation	71
3.4 Conclusions	71
References	73

Chapter 4 Structural Transformation of Acrylic Resin Exhibits Posi	tive and
Negative Tones under Electron Beam Irradiation	
Abstract	81
4.1 Introduction	82
4.2 Experimental	84
4.2.1 Materials and Sample Preparation	84
4.2.2 Sample preparation for plasma etching resistance	84
4.3 Results and Discussion	85
4.3.1 The glass transition temperature measurement	85
4.3.2 Mechanism of the chemical reaction of the zwitter-polymer upon	electron
beam irradiation	86
4.3.3 Sensitivity curves	88
4.3.4 Effect of baking zwitter-polymer resists for pattern resolution	89
4.3.5 Resolution of positive and negative behaviors	90
4.3.6 Etching behavior of zwitter-polymer resist	91
4.4 Conclusions	93
References	95
Chapter 5 Fabricating Micron-scale Oblique Polymer Structures by	Electron
Beam Writing on Resist-Coated SiO ₂ Wafers	
Abstract	113
5.1 Introduction	114
5.2 Experimental	116
5.3 Results and Discussion	117
5.3.1 Characterization of resist sensitivity	117
5.3.2 Etching behavior of SU-8 film	118
5.3.3 Thermal stability and reaction mechanism	119
5.3.4 Surface properties of the SU-8 film after plasma treatment	121
5.3.5 Fabrication of oblique structures	122
5.4 Conclusions	123
References	125

Chapter 6 Fabrication of Curved Structures with Electron Beam and S	Surface
Structure Characterization	
Abstract	135
6.1 Introduction	136
6.2 Experiment	138
6.3 Results and Discussion	139
6.3.1 Sensitivity curve and FTIR characterization	139
6.3.2 Thermal stability and surface microstructure after oxygen	plasma
treatment	140
6.3.3 Fabrication of convex and concave structures	142
6.3.4 Etching behaviors of SU-8 film	143
6.3.5 Fabrication of curved spiral structure	145
6.4 Conclusions	145
References	147
Chapter 7 Effect of Fluoroalkyl Substituents on the Reacti Alkylchlorosilanes with Silicon Oxide Surfaces for Nanoimp	
Abstract	167
7.1 Introduction	168
7.2 Experimental	169
7.2.1 SAM Film Formation	169
7.2.2 SAM Films Analysis	171
7.2.3 Optical Ellispsometry	171
7.2.4 Surface Energy Calculations	172
7.2.5 Nanoimprint Process	173
7.3 Results and Discussions	174
7.3.1 Functional Groups of SAM	174
7.3.2 Thickness analysis	174
7.3.3 Contact Angle and Surface Free Energy	175
7.3.4 Surface Topography	177
7.3.5 Stability of FPTS and FOTS	178
7.4 Conclusions	179

References

180

Chapter 8 Fabrication of Mold with Thermosetting Polymer Patterns t	hrough
Imprint Technology for Three Dimension Devices	
Abstract	195
8.1 Introduction	196
8.2 Experimental	197
8.2.1 Thermosetting polymer patterns	197
8.2.2 Mold with thermosetting polymer patterns fabrication	198
8.2.3 Imprint process	199
8.2.4 Surface energy calculations	200
8.3 Results and Discussion	201
8.3.1 Phase-transition temperature measurement and surface free analysis	energy 201
•	201
8.3.2 Contact angle and surface free energies	
8.3.3 Mold with thermosetting polymer patterns and the imprinted pat	203
thermoplastic polymer 8.3.4 Fabrication of micro-lens	205
8.4 Conclusions	203
References	208
Chapter 9 Behavior and Surface Energies of Polybenzoxazines form	nea by
Polymerization with Argon, Oxygen, and Hydrogen Plasmas	210
Abstract 9.1 Introduction	219 220
9.2 Experiment	220
9.2.1 Materials and Processing	221
9.2.2 Plasma treatment of BZZ films	221
9.2.3 Surface Energy	222
9.3 Results and Discussions	223
9.3.1 Reaction Mechanism Analysis	223
9.3.2 Contact Angles and Surface Free Energies	224
9.3.3 Surface Topographies	225
9.3.4 Etching Behavior	227
9.4 Conclusion	228
Reference	229

Chapter 10 Conclusions and Future Outlook		
List of Publications	247	
Introduction to Author	250	



List of Tables

Pages
Table 1-1. Chemical and physical processing variables that affect lithographic
performance. 22
Table 3-1.Results of scattering and heating coefficients for α_s , α_h , lnK_s and lnK_h at
various dose ranges and design radiuses e 74
Table 4-1 Operating conditions for the reactive ion etcher 98
Table 4-2. Contrast ratios (γ) and threshold doses (Eth) for the zwitter-polymer resist
at various thicknesses 99
Table 5-1. The fitting equation for various electron beam writing strategies 127
Table 6-1. Operating conditions for the reactive ion etcher 149
Table 6-2. Operating conditions for the electron cyclotron resonance etcher 150
Table 6-3. The fitting equations obtained from the relationship between the SU-8 film
thickness and the scanning distance for the preparation of non-planar
structures 151
Table 7-1 (a) Hysteresis calculated from advancing contact angless and receding
angles for Water, Diiodomethane (DIM) and Ethylene Glycol (EG) on (a)
FPTS and (b) FOTS monolayers as function of anneal time for monolayers
formation 183
Table 7-2. Surface tension parameters calculated from the advancing contact angle for
Water, Diiodomethane (DIM) and Ethylene Glycol (EG) on FPTS and
FOTS monolayers with the function of anneal temperature 185
Table 7-3. Surface tension parameters and the advancing contact angle for Water,
Diiodomethane (DIM) and Ethylene Glycol (EG) on FPTS monolayers for
immersing in (a) HNO ₃ and (b) NaOH solution with concentration of 0.1
N at 100°C as the function of immersion time 186

- Table 7-4. Surface tension parameters and the advancing contact angle for Water, Diiodomethane (DIM) and Ethylene Glycol (EG) on FOTS monolayers for immersing in (a) HNO₃ and (b) NaOH solution with concentration of 0.1 N at 100°C as the function of immersion time
- Table 8-1. Surface tension parameters calculated from the advancing contact angle for Water, Diiodomethane (DIM) and Ethylene Glycol (EG) on thermosetting polymer (SU-8) and thermoplastic polymer resists with the function of temperature 210
- Table 9-1. The surface energy of dispersive component and polar component calculated from contact angle of di-iodomethane and water 231



List of Figures

								P	ages
Figure	1-1.	Development	road	map	for	advanced	lithography	research	and
		development							2
Figure	1-2. \$	Schematic repres	entatio	on of a	sing	le transistor	cell		4
Figure	1-3. F	Process sequence	in the	produ	ection	of integrat	ed circuit dev	vices	4
Figure	1-4. I	Process sequence	for p	roduci	ng th	e first two l	evels of an in	itegrated ci	rcuit
	V	with a negative re	esists						5
Figure	1-5.	Summary of	the re	esoluti	on c	apabilities	of contact	and projec	ction
		photolithograpl	ny and	electr	on-be	eam and x-r	ay lithograph	l .	6
Figure	1-6. 7	The processes of	electro	on bear	m lith	nography			9
Figure	1-7. U	Uses of an electro	on bea	m to c	reate	a metallic	line by the us	e of positiv	ve or
	r	negative resist		- 11.00					10
Figure	1-8.	The several way	s in y	vhich e	electr	on-beam li	thography car	n be applie	ed to
	(create masks and	patte	rns dir	ectly	on the wafe	er		11
Figure	1 - 9.	Three dimension	nal e	lectron	1-scat	tering mod	del for a res	sist on a t	thick
		substrate with a	scann	ing ele	ectror	beam of ze	ero diameter		12
Figure	1-10.	Monte Carlo s	imulat	ed tra	jecto	ries of 100	point-source	electrons	in a
		target of 1 µm	thick	resist	on ar	n infinitely	thick silicon	substrate a	ıt (a)
		10-, (b) 25-, and	d (c) 5	0-keV	incid	dent energy			13
Figure	1-11.	Exposure distr	ibutio	n at tl	he re	sist-substra	te interface	for 1 μm-t	thick
		resist layer on	a sil	icon s	ubstr	ate for 10-	, 25-, and 5	0-keV inci	ident
		energy							14
Figure	1-12.	A comparison o	f the e	tch rat	es of	thermal ox	ide, acrylate i	resist (PMN	ИА) ,
		and AZ resist w	ith DE	E-100 g	gas at	200 W and	0.55 torr (73	.3 Pa)	15
Figure	1-13.	Mechanism of ra	adiatio	n-indu	aced (chain scissi	on in PMMA		16
Figure	1-14.	Mechanism of ra	adiatio	n-indu	aced (chain scissi	on in PMMA		17
Figure	1-15.	COP, Bell Labor	ratorie	s nega	tive e	e-beam resis	sts		18
Figure	1-16.	Flow chart for a	typica	al sing	le-lev	el resist pro	ocess		21
Figure	1-17.	Typical respons	e or s	ensitiv	ity c	urve for a n	egative elect	ron-beam r	esist
		(Top) and a posi	itive e	lectron	hear	n resist (Bo	ottom)		23

Figure 2-1. Connection between applications which have led developments in
lithography 36
Figure 2-2. Principle of nanoimprint lithography or hot-embossing lithography 37
Figure 2-3. (a) SEM micrograph of a SiO_2 mold with 10 nm minimum diameter
pillars with a 40 nm period which are 60 nm tall, after being used 12
times. (b) SEM micrograph of a top view of 60 nm deep holes imprinted
into PMMA which have a 10 nm minimum diameter and a period of 40
nm. (c) SEM micrograph of a top view of 10 nm minimum diameter
metal dots with a period of 40 nm, formed by imprinting into PMMA and
a lift-off process 38
Figure 2-4. Principle of mold-assisted lithography 39
Figure 2-5. Schematics of patterning by use of hot-embossing lithography.
Lithography comprises steps (a)-(e), whereas steps (f) and (g) refer to
the subsequent processing with the HEL mask. 41
Figure 2-6. SEM micrographs of: (a) the Si stamp with 800 nm period used to print
the structure in (b); (b) printed PMMA on GaAs and (c) GaAs wires after
dry etching using PMMA NIL patterning and lift off, the wire width is
approximately 200 nm 42
Figure 2-7. Schematics of typical processing sequences during hot embossing used in
conjunction with hydraulic systems with heating-cooling lines (top) and
simple clamp devices put into an oven (bottom). 45
Figure 2-8. Schematics of processing sequences used for hot embossing of thermally
modified (MAA/MA) copolymers (top) and crosslinkable polymers
(bottom) 46
Figure 2-9. (a) 100 nm lines and 300 nm spacing in SiO_2 . The height of the structures
is 300nm. (b) AFM of a Nickel stamp made according to description as
above. (c), (d) Aluminium structures on SiO ₂ . The thickness of the metal
is 200nm 49
Figure 2-10. Contact-angle definition and principle of determination of surface energy
from measurements with test liquids of different surface tension 53
Figure 2-11. Illustration of the formation of a self-assembling crosslinked siloxane
layer on Si as a three-stage process as supported by physical adsorption
to the OH-terminated Si covered with surface water 56
Figure 2-12. (a) Schematic top view of the DNA electrophoresis microchip. (b) SEM

tri-layer NIL for PCR reactors, mixing chambers, local electrokinetic
fluid pumps integrated on the same chip 59
Figure 2-13. (a) GaAs MSM photodetectors with finger spacing of 300 and 600 nm,
respectively, fabricated using nanoimprint lithography. (b) SEM image
of the patterned grating of PMMA/Alq ₃ /DCMII with a period of 200 nm
by nanoimprint. (c) AFM images of the Alq ₃ /DCMII gratings with a
period of 200 nm (left) and 300 nm (right), respectively, patterned
directly by nanoimprint 60
Figure 3-1. Strategies for electron beam direct writing 67
Figure 3-2. Sensitivity curve of zwitteresist and formation of ring pattern on
zwitterresist 75
Figure 3-3. Effect of electron beam dose on ring width at design r1 of (a) 1 mm to 50
mm, and (b) 100 mm to 10000 mm
Figure 3-4. Electron scattering effect (in solid line) and the electron heating effect
(dashed line) 77
Figure 3-5. Relationship of electron heating coefficients (a) α_h and (b) lnK_h with
design radius 78
Figure 3-6. Comparison of ring width obtained from experimental data and model
prediction 79
Figure 3-7. (a) Effect of pattern number (pattern number of 500 means 500 lines and
500 holes) for writing time. The evaluation area is shown in the inset. The
dose for positive resist is 15 μ C/cm ² . The dose for negative resist is 15
μ C/cm ² . (b) Dose strategy for zwitterresist is on the right hand side. E ₂ is
5 μ C/cm ² , and E ₃ is 600 μ C/cm ²
Figure 4-1 DSC curve of the zwitter-polymer under electron beam irradiation with (i)
0, (ii)3, (iii)5, (iv) 7, (v) 240, (vi)280, and (vii)320 μC/cm ² of dosage; (b)
The plot of glass transition temperature with irradiated dosage by electron
beam 100
Figure 4-2 Various FTIR spectra of zwitter-polymer resist films at different electron

displayed a portion of a nanopillar array replicated in SiO₂/Si via

beam doses: (a) positive tone after electron beam doses of 0, 1, 3, 5, 7, 9,

15, and 30 μ C/cm ²	1
Figure 4-2 (b) Negative tone after electron beam doses of (i) 240, (ii) 250, (iii) 260	0,
(iv) 270, (v) 280, (vi) 290, (vii) 300, and (viii) 320 μC/cm ² .	2
Figure 4-2 (c) The normalized intensity of various FTIR absorption peak at 1612 cm	-1 l
as a function of electron beam irradiation dosage 10	3
Figure 4-3 Mechanisms of the reactions occurring in the zwitter-polymer resist upo	n
exposure to an electron beam 10-	4
Figure 4-4 Sensitivity curves for the zwitter-polymer resist at an electron bear	m
exposure of 40 keV for various film thicknesses.	5
Figure 4-5 The effects of soft baking and post-exposure baking (PEB) on the trenc	h
width of the positive-tone zwitter-polymer resist.	6
Figure 4-6. The effect of soft baking and post-exposure baking (PEB) on the lin	ıe
width of the negative-tone zwitter-polymer resist 10	6
Figure 4-7. The effect of dosage on pattern resolution for the positive-ton	ıe
zwitter-polymer resist. (a) plot of designed trench width vs. obtaine	d
trench width; (b) plot of dosage vs. trench width 10	7
Figure 4-7 (c) image of dense trenches having 92-nm width; (d) image of a hole of	of
115-nm diameter 10	8
Figure 4-8. The effect of dosage on pattern resolution for the negative-ton	ıe
zwitter-polymer resist: (a) plot of designed line width vs. obtained lin	ıe
width; (b) plot of dosage vs. line width.	9
Figure 4-8 (c) The effect of dosage on pattern resolution for the negative-ton	ıe
zwitter-polymer resist: (c) image of 104-nm-wide dense lines 110	0
Figure 4-9. (a) Etching rate and (b) etching selectivity of the zwitter-polymer resist	
11	1
Figure 5-1. The effect of the electron beam dose on the thickness of the resist 12	8
Figure 5-2. The effect of the etching gas composition on the etching rate 12	9
Figure 5-3. The thermal stability of various resists (oxygen plasma treatment for SU-	-8
resist in a PECVD chamber at 300 °C)	0
Figure 5-4. The effect of oxygen plasma treatment time on the contact angle of the	ıe
SU8-50 film 13	
Figure 5-5. SEM images (plan views; 105 magnification) of oxygen plasma-treate	d

60 s	132
Figure 5-6. Oblique prof	iles of the SU8-50 film designed by gradient electron beam
exposure	133
Figure 5-7. SEM images	of the oblique profiles of the SU8-50 film that were designed
with sectional	l distances of (a) 3 μ m, (b) 5 μ m, (c) 7 μ m, (d) 9 μ m, (e) 15
μm, and (f) 20	0 μm 134
Figure 6-1. Sensitivity	curves for three thicknesses of the SU-8 resist at an
electron-bea	am exposure of 40 keV 152
Figure 6-2. FTIR spectra	of SU-8 films having been subjected to various doses of an
electron bear	m. (a) The characteristic absorption near 915 cm ⁻¹ after
exposure at 0	$0, 2, 4, 6, 8$, and $10 \mu\text{C/cm}^2$. (b) The characteristic absorption
near 1150 cm	a^{-1} after exposure at 0, 2, 4, 6, 8, and 10 μ C/cm ² .
Figure 6-2 (c)FTIR spec	tra of SU-8 films having been subjected to various doses of
an electron be	eam with the characteristic absorption near 3450 cm ⁻¹ after
exposure at 0	, 2, 4, 6, 8, and 10 $\mu\text{C/cm}^2$. (d) The reaction mechanism of
SU-8 films af	ter shaped electron beam exposure 154
Figure 6-3. (a) The out-g	assing mass spectra at various desorption temperatures with
and without	oxygen plasma treatment. (b) Top-down SEM images of
SU-8 film tre	eated with oxygen plasma for 60 s. (c) Cross-sectional view
of (b)	155
Figure 6-4. AFM images	of the SU-8 film treated after treatment with oxygen plasma
for (a) 0, and	(b) 20 s
Figure 6-4. AFM images	of the SU-8 film treated after treatment with oxygen plasma
for (c) 40, an	d (d) 60 s
Figure 6-5. (a) FTIR spec	ctra of SU-8 films having been subjected to various reaction
times with ox	xygen plasma. (b) Effect of the oxygen plasma treatment time
on the surfac	e roughness of SU-8 film 158
Figure 6-6. Convex profi	les of the SU-8 film that were designed using electron-beam
writing techn	nology. (a) Convex profile formed by various doses on the
unit distance	with the convex profile at unit distances of 3, 5, 7, and 10
μm.	159

SU8-50 resist films after treatment for (a) 5 s, (b) 20 s, (c) 40 s, and (d)

Figure 6-6 Three-dimensional profiles of the SU-8 film that were designed using electron-beam writing technology. The cross-sectional SEM images of the
convex profile at unit distances of (b) 3, (c) 5, (d) 7, and (e) 10 µm 160
Figure 6-7. Concave profiles of the SU-8 film that were designed using electron-beam
writing technology. (a) Concave profile formed by various doses on the
unit distance with the concave profile at unit distances of 3, 5, 7, and 10
μm. 161
Figure 6-7 Three-dimensional profiles of the SU-8 film that were designed using
electron-beam writing technology. (a) The cross-sectional SEM images of
the concave profile at unit distances of (b) 3, (c) 5, (d) 7, and (e) 10 µm
162
Figure 6-8. The etching rate and selectivity of (a) the CHF ₃ /(CHF ₃ + CF ₄) gas ratio for
thermal oxide and SU-8 films, and (b) the Cl ₂ /(Cl ₂ + O ₂) gas ratio for
poly-Si and SU-8 films
Figure 6-9. (a) The schematic diagram of a spiral-channel design strategy. (b) The
optical microscope image of the fabricated spiral channel 164
Figure 6-9 (c) The horizontal profile of the spiral channel obtained by a surface
profiler measurement. (d) The perpendicular profile of the spiral channel
obtained by a surface profiler measurement 165
The state of the s
Figure 7-1. FTIR spectra of (a) hydrated silica at room temperature, (b) FOTS and (c)
FPTS with the hydrated silica at room temperature following by annealing
at 150°C for 2 hours
Figure 7-2. Preparation temperature dependence of film thicknesses of SAMs for (a)
FPTS and (b) FOTS on silicon oxide substrates 188
Figure 7-3. Surface energy of FPTS and FOTS monolayers calculated from the
contact angles of di-iodomethane, glycol and water as a function of
immersion time for monolayers formation 189
Figure 7-4. Surface energy of FPTS and FOTS monolayers calculated from the
contact angles of di-iodomethane, glycol and water as a function of
anneal temperature for condensation of self-assembled reaction 189
Figure 7-5. AFM images (1 μ m ×1 μ m) of SAMs for the (a) FPTS and (b) FOTS
surface for immersion time of 30 minutes at anneal time of 150°C 190

- Figure 7-6. AFM images (1 μ m ×1 μ m) of the resist surface after separated from the mold with the (a) silicon oxide film, (b) FPTS and (c) FOTS SAMs 191
- Figure 7-7 SEM images of the iso-trench fabricated by using the mold with the (a) silicon oxide film, (b) FPTS and (c) FOTS SAMs in nanoimprint lithography. The feature sizes of the iso-trench are 120 nm (right) and 60 nm (left), respectively
- Figure 7-8. The contact angle of water of SAMs for (a) FPTS and (b) FOTS surface treated with oxygen plasma with a series of time 193
- Figure 8-1. DSC curve of the chemical-amplified resist. (a) thermosetting polymer (SU-8), and (b) thermoplastic polymer resists (22A4)

 212
- Figure 8-2. Polt of stress versus temperature for thermoplastic polymer resist (22A4)

212

- Figure 8-3. Surface energy versus temperature of (a) thermoplastic polymer resist (22A4), and (b) thermosetting polymer (SU-8). Surface energies were calculated from the contact angles of di-iodomethane, glycol and water as a function of anneal temperature 213
- Figure 8-4. The SEM top-view images of the surface mold with thermosetting pattern (SU-8) for (a) iso-lines, and (b) dense cylinders. The thermoplastic polymer resist (22A4) with 650 nm of thickness imprinted by mold with thermosetting pattern (SU-8) for (c) iso-trenches and (d) dense contact holes
- Figure 8-5. The shrink factor as a function of the feature size of thermosetting polymer pattern on mold for (a) iso-trenches, and (b) dense contact holes

 215
- Figure 8-6. (a) The exposure strategy of electron beam for fabricating microlens of thermosetting polymer. (b) The SEM images of 45° -tilt angle of the thermosetting polymer microlens for mold before imprint as the unit distance is (b) 3 μ m, (c) 5 μ m, and (d) 7 μ m 216
- Figure 8-7. The thermoplastic polymer resists (22A4) with 3.3 μ m of thickness imprinted by thermosetting microlen mold. SEM images of 40°-tilt angle as thermoplastic polymer resists imprint the thermosetting microlens with (a) 3 μ m, (c) 5 μ m, and (d) 7 μ m of unit distance 217

Figure 8-8. (a) SEM top-view image, and (b) SEM image of 0°-tilt angle for PDMS
microlens fabricated by the reversal mold of thermoplastic polymer
resists as unit distance is 3 µm 218
Figure 9-1. (a) FTIR spectra of (i) BZZ film and PBZZ films treated by (ii) argon, (iii)
oxygen, and (iv) hydrogen plasma for 90 sec. The characteristic
absorption near 948, 1031, 1232, 1329, and 1496 cm ⁻¹ 232
Figure 9-1. (b) FTIR spectra of (i) BZZ film and PBZZ films treated by (ii) hydrogen,
(iii) argon, and (iv) oxygen plasma for 90 sec. The characteristic
absorption between 2500 and 3600 cm ⁻¹
Figure 9-2. Contact angle of (a) di-iodomethane and (b) water of argon, oxygen, and
hydrogen plasma treated BZZ film with the increase of plasma treatment
time 234
Figure 9-3. Surface free energies of argon, oxygen, and hydrogen plasma treated BZZ
film with the increase of plasma treated time 235
Figure 9-4. (a) Scanning electron micrographs of PBZZ film that were treated with
argon plasma for the series time
Figure 9-4. (b) Scanning electron micrographs of PBZZ film that were treated with
oxygen plasma for the series time 237
Figure 9-4. (c) Scanning electron micrographs of PBZZ film that were treated
hydrogen plasma for the series time 238
Figure 9-5. (a)AFM images (1 $\mu m \times 1~\mu m)$ of the PBZZ surface modified with argon
plasma for the series reaction time 239
Figure 9-5. (b) AFM images (1 μm $\times 1$ $\mu m) of the PBZZ surface modified with$
oxygen plasma for the series reaction time 240
Figure 9-5. (c) AFM images (1 $\mu m \times 1 \mu m$) of the PBZZ surface modified with
hydrogen plasma for the series reaction time 241
Figure 9-6. Effect of the argon, oxygen, and hydrogen plasma-polymerization with
increase of plasma treated time on the rms roughness of the PBZZ film at
of 1 μ m ×1 μ m image size 242
Figure 9-7. The etching rate of the PBZZ films as the argon, oxygen, and plasma
treated for 90 sec in CF ₄ plasma 242

摘 要

非主流微影技術(alternative techniques)在近二十年蓬勃發展,目的在取代 光學微影技術,高價格機台與光波長極限讓光學微影發展受限制。而能夠取代光 學微影最具代表性的技術是電子束與奈米壓印微影技術,電子束微影最主要的特 質如下所示:

- 1. 具備非常高解析度圖案製造能力,甚至可達原子等級。
- 2. 非常彈性的技術,適用於各種材料。

然而,電子束微影在產速上受到限制,造成電子束產品高單價,目前在微影主流競爭上,仍未完全取代光學微影,而奈米壓印微影是近年來新興的技術, 具備高產速製造奈米元件的特性,其中熱壓印微影在圖案解析度上,沒有光學微 影繞射或是電子束散射以及其他微影技術的問題,因此而受到矚目。

在本論文中,我們焦點將放在高分子材料於電子束與奈米壓印技術兩部分研究上:

(1) 高分子在電子束照射下的行為與應用

線性壓克力高分子(PMMA)受到電子束照射下會產生結構上的轉變,而成為交聯壓克力高分子被定義為雙性高分子,並在敏感度曲線顯現出來。因此,這類高分子可在同一層薄膜上同時展現出正與負型光阻特性並產生環形圖案,此現象可歸類為電子束的散射與熱效應所造成,而使得環形圖案半徑與電子束劑量的關係圖顯示出兩個區域,交界劑量定義在 600 µC/cm²,對此現象我們建立電子束的散射與熱效應的半實驗數學行為模式,結果顯示在低劑量時,電子束散射效應控制了解析度,而在高劑量時,熱效應控制了解析度。而化學結構的變化上,壓克力高分子受電子束低劑量照射時(< 30 µC/cm²),會產生雙鍵,而在電子束高劑量照射下(> 240 µC/cm²),則雙鍵會產生聚合反應,並產生交聯結構。如此藉由電子束來控制壓克力高分子線性與交聯結構,非常適合應用在半導體領域中的正與負型光阻,並能夠在同一圖層上製造出正與負型光阻,非常有利於半

導體圖案設計。不論正、負型光阻,其對比度與臨界劑量皆隨著厚度增加,對比度仍然以正型光阻較為優良。

環氧樹脂材料 (SU-8) 也能夠利用電子束技術來製造斜面與曲面結構,我 們先對 SU-8、PMMA、與 KrF 三種光阻研究其對比度、敏感度、蝕刻與熱性質, 並找出適合製造三維結構的高分子。在這些光阻中,環氧高分子(SU-8)顯示 出最低對比度與最佳熱穩定度,所以被認定為可製造立體圖案的最佳高分子。我 們所製造三維結構的方法是將環氧樹脂薄膜分成五個區域曝光,分別施加不同劑 量,以做成斜面結構。並討論氧電漿處理後表面結構、反應機構與疏水性質,結 果顯示表面經過電漿處理會增加表面粗糙度,而減少表面釋氣現象增加環氧樹脂 膜的穩定度。並評估電子束劑量與環氧樹脂斜面角度的關係,利用曝光區域的擴 大來控制斜面角度,結果顯示當曝光單位距離為 10 um,可達到較平坦的表面結 構。由同樣的方式可製造出凹面與凸面結構,並可製造出具實用價值的螺旋結 構,此可應用在微流體晶片上,會有曲面結構的產生主要是因為電子束散射的原 因,電子束散射模糊了階梯狀結構,讓曲面更加光滑。然而,隨著曝光單位距離 加大時,階梯狀結構則會慢慢出現,而所有的曲面皆是藉由電子顯微鏡來分析曲 面結構。同樣的利用單位曝光距離改變,可製造出不同曲率半徑的凹面與凸面結 構,而這種結構可用於控制流體在晶片上流動的速度,以減少微流體晶片上微幫 浦的使用個數。另外,螺旋結構可縮小設計為流體晶片的體積,我們討論了螺旋 結構的製造方式,並製造出螺旋結構的原始模型。

(2)在二氧化矽表面合成自組裝單層膜的研究及其在奈米壓印微影上的應用

在奈米壓印(nanoimprinting)技術中,母模(mold)與高分子在高溫高壓之下接觸,高分子因為超過玻璃轉移溫度而流動,形成與母模上圖案互補的圖形,在此種情況下,高分子與母模之間的作用力成為一個重要的議題,而母模與高分子之間的作用力強度可藉由表面張力計算來分析。一般而言,母模表面張力愈小,黏著力愈小,母模與高分子愈容易分離。在本實驗中,3,3,3-三氟丙烷基三氯矽烷[trichloro(3,3,3-trifluoropropyl)silane,FPTS]與1H,1H,2H,2H-全氟辛烷基三氯矽烷[trichloro(perfluorooctyl)silane,FOTS]被用作在二氧化矽表面形成自

組裝膜(self-assembled layer),來當成防止黏著層,使母模脫離高分子時,降低界面的黏著力。自組裝薄層表面官能基可藉由紅外線光譜儀來進行分析。而自組裝單層膜的品質則取決於晶片表面二氧化矽與反應物的浸泡時間與加熱去水縮合反應溫度,這些特性可藉由橢圓測厚儀與溶劑接觸角的測量分析,並可計算自組裝單層膜的表面自由能。結果顯示在母模上生成 FPTS 或是 FOTS 的自組裝單層膜可減少在奈米壓印過程中所產生的缺陷,並在壓印過程中能夠降低高分子膜表面的粗糙度。在母模上生成 FOTS 的自組裝單層膜可將其表面自由能降低約至8 mJ/m²,在壓印過程中消除了所有在高分子表面的缺陷,讓表面更加光滑,甚至提升了圖案解析度,而使得圖案解析度到達 60 nm。由此可證實表面能是影響奈米壓印圖案品質的重要因素。

母模一般是經由微影技術定圖案並通過漫長半導體製成而成,過程十分繁 瑣,使得母模的價格居高不下,利用熱固型高分子作為圖案並當成是母模的一部 份,在超過熱塑性高分子的玻璃轉移溫度時,仍可保持圖案形狀,這樣的方法使 得母模的製造流程不需經過蝕刻與去光阻製程,而大幅降低母模製造成本。使用 熱固性高分子作為圖案模型進行熱壓的技術主要基於兩種可能性:(1)在矽晶片 上製造熱固性圖案在半導體製程上是非常純熟的技術;(2)熱固型高分子因為其 網狀交聯的結構,所以沒有明顯的玻璃轉移溫度,且其軟化溫度比熱塑性高分子 高出甚多。在本實驗中,環氧樹脂類高分子被用來當作熱固性圖案結構,並熱壓 熱塑性高分子,利用電子束製造出來的山丘狀圖案結構增加了環氧樹脂與矽晶片 之間的接觸面積,進而增加環氫樹脂與矽晶片之間的附著力。奈米壓印技術製造 出來的圖案將由電子顯微鏡來分析。由於高分子與高分子接觸時會有較大的黏著 力,在分離製程時,會驅動熱塑性高分子產生收縮的情況,會使得圖案縮小,我 們定義此為收縮因子(shrinkage factor),發現收縮因子與圖案大小有直接關係, 此因兩高分子分離時,表面吸附力驅動熱塑性高分子填補分離時的位置所造成。 另外 ,可利用熱塑性高分子上面的微透鏡圖案來翻印聚雙甲基矽烷 (polydimenthyl siloxane, PDMS)的微透鏡。

Abstract

Alternative techniques to cost-intensive or limited-access fabrication methods with nanometre resolution have been under development for nearly two decades. Two clear examples are electron beam and nanoimprint lithography technologies. The main attributes of the electron beam lithography are as following:

- 1. It is capable of very high resolution, almost to atomic level.
- 2. It is a flexible technique that can work with a variety of materials and almost infinite number of patterns.

Nevertheless, electron beam lithography is a technique with limited throughput, leading to high costs in device production. The contest of lithography techniques for reliable fabrication of future integrated nanometer-scaled devices is not yet settled. Nanoimprint is an emerging lithographic technology that promises high-throughput patterning of nanostructures. Based on the mechanical embossing principle, nanoimprint technique can achieve pattern resolutions beyond the limitations set by the light diffractions or beam scatterings in other conventional technique.

In this thesis, we focus on the subjects which based on the materials for electron beam and nanoimprint lithography:

(1)The behaviors of polymer under electron beam irradiation and the applications

Structural transformation of polymers from linear to crosslinked structure by using electron beam irradiation has been defined as the zwitter-polymers. The novel sensitivity curve has been determined for the zwitter-polymer. The irradiation dose in the center can create a ring pattern due to simultaneous exhibition of the positive tone

and negative tone of zwitter-polymer. The natural logarithm dependence of ring width and electron beam dose is linear in two ranges, irrespective of the dot design radius. The heating effect is identified from $600~\mu\text{C/cm}^2$. Mathematical modeling for the prediction of ring width for zwitter-polymer is achieved by considering the electron scattering and heating effects. The results of experimental measurement and modeling on ring width show a very good correlation. Furthermore, the polymer may exhibit either linear and crosslinked behavior depending on dosage of the electron beam irradiation. The property change from the structural transformation is suitable for application of positive and negative tone resists in semiconductor field. The contrast ratio and threshold dose both increase with increasing resist thickness for both the positive and negative tones, however, the positive tone exhibits better contrast than the negative tone.

The epoxy material, SU-8, has been successfully fabricated to oblique, concave, and convex structures by a new electron beam technology. We study the contrast, sensitivity, etching, and thermal properties of SU-8, PMMA, and KrF resists and evaluate their suitability for the fabrication of these structures. Among these resists, SU-8 reveals the lowest contrast ratio, highest throughout, and best thermal stability, and so it becomes the candidate material for patterning the oblique structures. The technique that we have developed involves five regional exposures of a thick SU-8 resist layer with gradual increase of electron beam dosages. Furthermore, we discuss the surface morphology, reaction mechanism, and hydrophobicity after subjecting the SU-8 resist to a series of plasma treatments. The formation of surface nano-nodules during oxygen plasma treatment explains the surface hydrophobicity. Furthermore, oxygen plasma treatment increases the surface roughness of SU-8 polymer, while minimizing the outgassing problem and stabilizing the SU-8 film. We have carefully

evaluated the effects of the electron beam writing dose and the design of the exposure area with respect to the inclined angle of the fabricated structure.

Convex, concave, and spiral structures are fabricated successfully by using a gradient dosing strategy. The interface between two shot sections is smoothed by the electron scattering effect. The curved profile is fabricated at various curvatures, and characterized using scanning electron microscopy and a profiler. In addition, a spiral structure is fabricated that possesses the advantageous feature of having a small chip area. We discuss a method of characterizing the spiral structure.

(2) The studies of self-assembled monolayer on the silicon oxide surface and fabrication of microlens

As all imprint techniques rely on contact between resist and mold, the wetting and adhesion characteristics of the polymer materials to the substrate are critical issues. The strength of adhesion between mold surface and resist is characterized by the amount of energy required to separate the two materials. In this study, trichloro(3,3,3-trifluoropropyl)silane (FPTS) and trichloro(1H, 1H, 2H, 2H-perfluorooctyl)silane (FOTS) are used for self-assembled monolayers (SAM) on mold (SiO₂/Si) as releasing and anti-sticking layers for nanoimprint. Chemical reaction between the head groups of different fluorinated trichlorosilanes and the surface hydroxyl groups is investigated by FTIR. The SAM quality depends on immersion time and silanization temperature investigated through measurement of the ellipsometer and calculation of the surface energy. It has been demonstrated that less defect and lower roughness of the resist surface can be achieved by mold with SAMs of FOTS and FPTS. The mold with FOTS layer processes lower surface energy (8 mJ/m²) and smoother of the resist after imprinting. The surface energy of the SAM on

mold (SiO₂/Si) dictates the results in quality of better resist surface and the pattern formation.

Mold fabrication for imprinting can be significantly simplified by using specialized crosslinking polymers for pattern definition on silicon wafer. The thermosetting polymer pattern can be used on silicon molds for imprint technology because of two possibilities: (1) the silicon oxide molds with thermosetting polymer pattern can be obtained by any conventional semiconductor technology; (2) thermosetting polymers have no obvious T_g because of cross-linking structure, whereas decreases the hardness as the temperature increasing over their T_g. In this work, the SU-8 resist is used as the thermosetting polymer pattern on silicon wafer for molds. Thermal properties of the thermosetting and thermoplastic polymers are tested for imprinting pattern and imprinted resist. The hill-like structure fabricated by electron beam strategy for thick film is used to increase the adhesion between pattern and silicon wafer. The resolution of the thermoplastic polymer resist pattern imprinted by thermosetting polymer pattern is investigated by SEM. The shrink factor of the feature size after separation between thermosetting polymer pattern and thermoplastic polymer resist is utilized to define the feature size after imprinting. In addition, a microlens of polydimenthyl siloxane (PDMS) has been fabricated by replication using the thermoplastic polymer resist after imprinting by the mold with microlens structure of the thermosetting polymer (SU-8).