

國立交通大學

電信工程學系

碩士論文

設計微小化與寬拒帶之超寬頻濾波器



**A Simple Design Methodology for  
Compact Ultra-Wideband Filter with  
Wide-Stopband**

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中華民國九十八年三月

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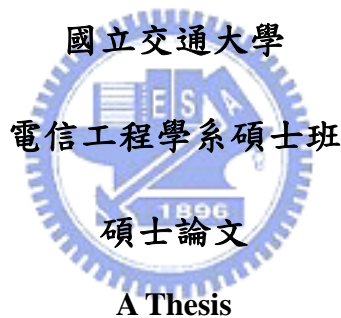
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## 中文摘要

本篇論文將介紹一個簡易的方法來設計一個超寬頻的集總(lumped)濾波器。本篇所提出的方法，是利用傳輸極點(transmission poles)來設計頻帶內的特性,以及利用傳輸零點(transmission zeros)來改善拒帶的響應。最後利用史密斯圖的匹配方法求出電路中被動元件的值。另一方面，因為此架構只由八個被動元件所組成而且同時利用上層與下層金屬來設計電路架構，所以具有微小的電路面積。而最後量測結果顯示所設計的濾波器具有以下特性，3dB 頻寬為 128% (2.8GHz ~ 11.4GHz)，頻帶內返回損耗最小值為 0.3dB，在 12.4 GHz 到 23.7 GHz 的頻帶內，其拒帶之嵌入損耗皆大於 20dB，具有非常微小的電路尺寸  $0.23 \lambda \times 0.31 \lambda$ ，其中  $\lambda$  是在微帶線結構中中心頻率為 7.1 GHz 的導波波長。此外由量測資料我們也可以求的其濾波器其群延遲在整個頻帶內變動範圍為 0.32 ns 到 0.46 ns。

# A Simple Design Methodology for Compact Ultra-Wideband Filter with Wide-Stopband

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## Abstract

This paper proposes a simple methodology for designing a wideband compact LC-filter. According to the proposed methodology, given the transmission zeros which can construct the in-band characteristic and the transmission zeros which can improve stopband rejection, the filter's component values can be obtained graphically on the Smith chart. Additionally, because the filter only consists of eight lumped components, which is implemented at both top and bottom layer, it has compact size. The measured results shows that the filter prototype has a measured 3-dB fractional bandwidth of 128% from 2.8 GHz to 11.4 GHz, minimum insertion loss of 0.3 dB within the pass-band, superior 20 dB stop-band rejection from 12.4 GHz to 23.7 GHz, and very compact circuit size of  $0.23 \lambda \times 0.31 \lambda$ , where  $\lambda$  is the guided wavelength of the microstrip structure at the center frequency  $f_0 = 7.1$  GHz. Moreover, from the measured S-parameters, we obtain that the developed filter with the feeding lines exhibits flat group-delay response ranging from 0.32 ns to 0.46 ns over the whole passband.

## 誌謝

在這充實的研究生生涯裡，首先要先感謝周復芳老師的指導，讓我不只學習到課業上的知識，也學習到一些研究應該有的態度。同時也感謝胡樹一老師以及張志揚老師對於此論文的指導與意見，讓此研究能更加整體化以及完善。也謝謝陳伯寧主任在待人處事上給了我很多寶貴的意見。

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于 新竹交大

2009 春

# CONTENTS

Chinese Abstract.....	I
English Abstract.....	II
Acknowledgement.....	III
Contents.....	IV
List of Tables.....	VI
List of Figures.....	VII
<b>Chapter 1 INTRODUCTION.....</b>	<b>1</b>
1.1 Background and Motivation.....	1
1.2 Thesis Organization.....	5
<b>Chapter 2 A SIMPLE DESIGN METHODOLOGY FOR COMPACT ULTRA-WIDEBAND FILTER WITH WIDE-STOPBAND.....</b>	<b>6</b>
2.1 Introduction.....	6
2.2 Matching criterion for a $\pi$ -network.....	7
2.2.1 Matching mechanism of a $\pi$ -network.....	7
2.2.2 Choose of the value of susceptance $b_l$ .....	9
2.3 Basic wideband filter mechanism.....	12
2.3.1 In-band design.....	12
2.3.2 Stopband design.....	18
2.3.3 Further improvement.....	20
<b>Chapter 3 LAYOUT AND MEASUREMENT.....</b>	<b>24</b>
3.1 Layout of the proposed filter.....	24
3.2 Measurement.....	27

**Chapter 4 CONCLUSION.....31**  
    4.1 Conclusion.....31  
**APPENDIX.....32**  
**REFERENCE.....33**



## List of Tables

Table. 1	The value of $b_1$ , $x_1$ , L, and C for three solutions.....	10
Table. 2	The value of $b_1$ , $x_2$ , L, and C for three solutions.....	12
Table. 3	Comparison with other publication in 3-dB.....	30





## List of Figures

Fig. 1	The circuit layout and the simulated $S$ -parameters.....	6
Fig. 2(a)	$\pi$ -network, where $b_1$ is the normalized susceptance.....	7
Fig. 2(b)	The impedance transformation of the circuit in the.....	8
Fig. 3	A low-pass filter.....	9
Fig. 4	The $Z_{in}$ 's impedance transformation of a low-pass.....	10
Fig. 5	Three solutions of the low-pass circuit.....	11
Fig. 6	A high-pass filter.....	11
Fig. 7	Three solutions of the high-pass circuit.....	12
Fig. 8(a)	The prototypical wideband filter.....	12
Fig. 8(b)	The corresponding simulated $S_{11}$ and $S_{21}$ with.....	13
Fig. 9	The simulated $S_{11}$ and $S_{21}$ of the equivalent high.....	14
Fig. 10	$Z_{in}$ 's impedance transformation from the normal.....	14
Fig. 11	The simulated $S_{11}$ and $S_{21}$ of the equivalent low-pass.....	16
Fig. 12	$Z_{in}$ 's impedance transformation of the equivalent.....	16
Fig. 13(a)	The modified prototypical filter.....	18
Fig. 13(b)	The simulated $S_{11}$ and $S_{21}$ of the with $L_1 = 2.65$ .....	19
Fig. 14(a)	The proposed wideband filter.....	22
Fig. 14(b)	The solid curve is the simulated $S_{11}$ and $S_{21}$ with $L_1$ .....	22
Fig. 15(a)	The structure of interdigital capacitor.....	24
Fig. 15(b)	Its equivalent circuit.....	24
Fig. 16(a)	The three-dimensional layout.....	26
Fig. 16(b)	The top-/bottom-layer circuit layout of the.....	26
Fig. 16(c)	The photograph of the filter.....	27
Fig. 17	Simulated (dashed curves) and measured.....	28

Fig. 18 Simulated (dashed curves) and measured.....28  
Fig. 19 The top-/bottom-layer circuit layout of  $x=2$  .....29  
Fig. 20 The simulated insertion loss with  $x=2$ .....29



# Chapter 1

## INTRODUCTION

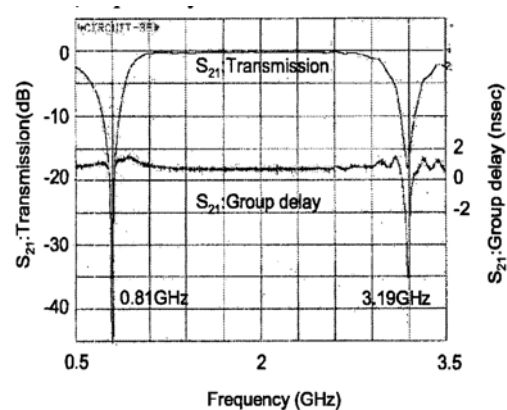
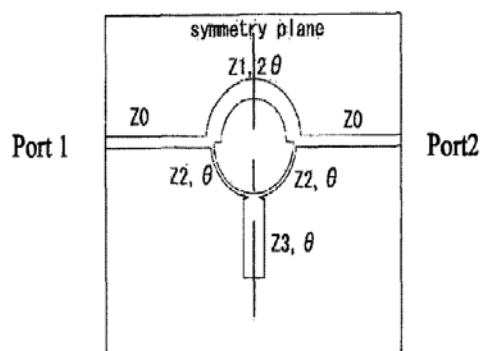
### 1.1 Background and motivation

Wide-band bandpass filters (BPF's) have been a critical component for both scientific community and the communication industry, such as radio astronomy receivers and ultra-wideband (UWB) technologies. A superior wideband filter should have not only low insertion loss and flat group delay over its pass-band, but also have good selectivity at both pass-band edges. Also, compact size is preferred. In addition, to reduce the interference from existing communication systems, good stop-band rejection is also required.

Due to the demand of UWB filter application, different kinds of filter's structure had been introduced. The following are some various techniques to design wideband filter, and we will discuss its advantage and disadvantage.

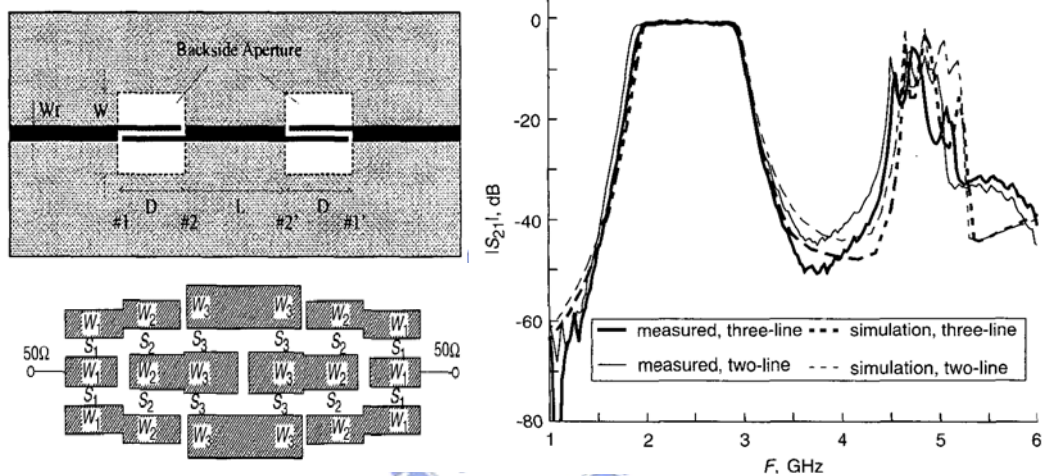
First of all, filters using microstrip ring have been studied in [1], which have good insertion loss and sharp rejections, but suffer from poor out-of-band performance due to the strong spurious response.

Example in [1]



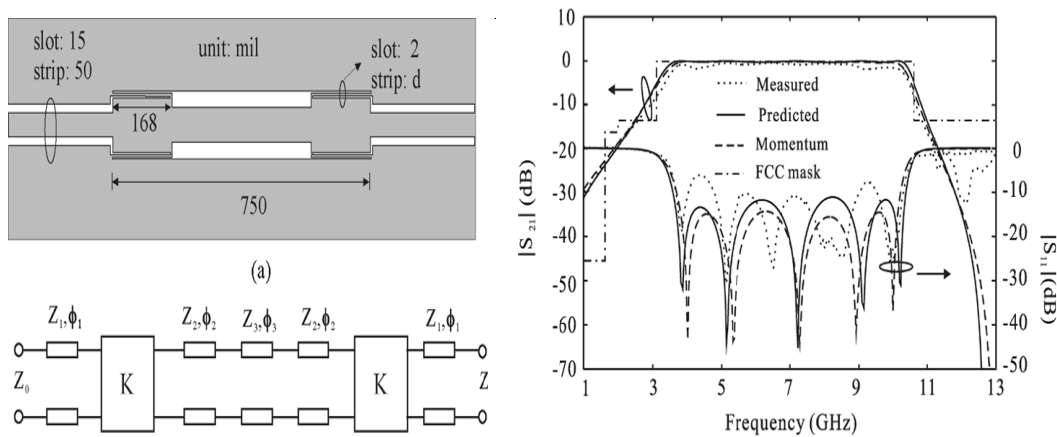
Secondly, the filters using parallel-coupled lines with defected ground were employed to give a tight coupling for wideband application [2] and [3]. However, owing to the stringent requirement of large fractional bandwidth, very small gap size is demanded to enhance the coupling, which is not easy to be fabricated. One way to relieve the restriction on gap size is to add a third line in the parallel coupled-line filter, unfortunately, the necessary gap size is still too narrow to be fabricated [4].

Example in [2] and [3]



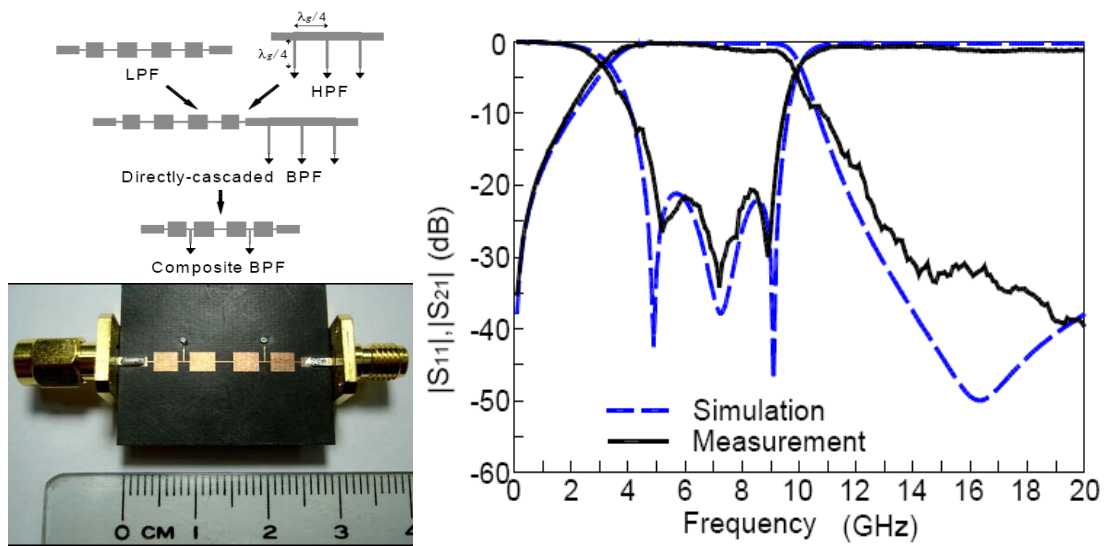
Last, there are similar awkward situations in filters adopting multimode resonators in [5]-[7]. These filters shown the low insertion loss and flat group delay over the pass band, but may lead to poor spurious response and small gaps.

Example in [5]

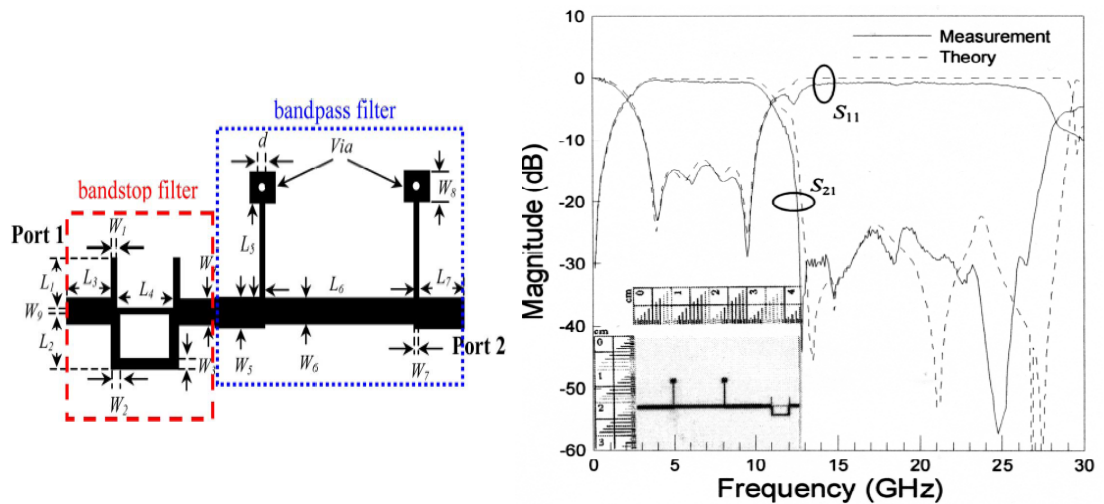


To suppress spurious response, cascading low-pass and high-pass filters have been reported in [8] and/or cascading band-stop and band-pass filters in [9]. These wideband filters have excellent out-of-band responses, but they occupy large circuit size and large insertion loss.

Example in [8]

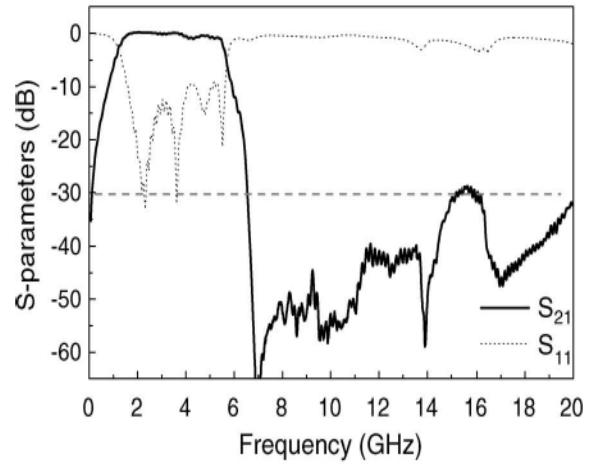
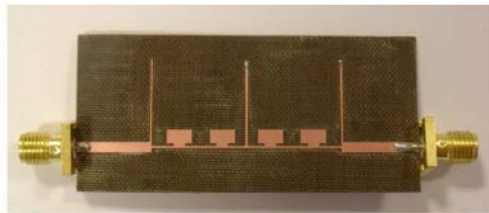


Example in [9]



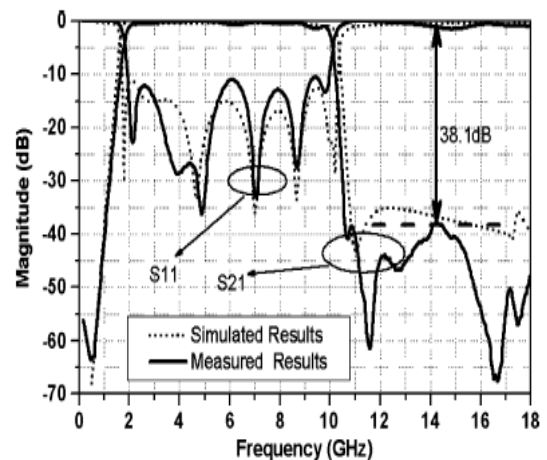
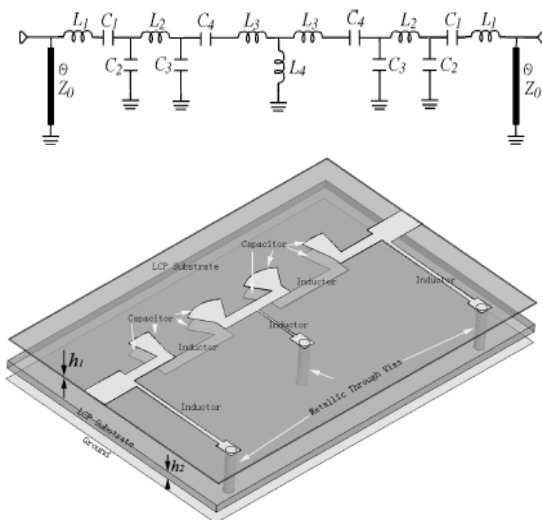
Besides, electromagnetic bandgap structures are also applied to improve out-of-band rejection in [10] and [11], but it still occupies too large circuit size.

Example in [10]



Another way to design wideband filters with improved upper stop-band performance is using quasi-lumped elements [12], however, the circuit size and insertion loss can still be further minimized.

Example in [12]



According to the discussion above in [8] ~ [12], we find that they are useful technique to suppress the harmonic response, but they also occupy big circuit size. Thus, in this paper we want to propose a new method to construct compact ultra-wideband filter and reduce the circuit size in the same time. In addition, in many published literatures, there are confusions on how an awful lot of tuning is still required even with the much-revered mathematical underpinnings, which also prompts the research of this paper.

## ***1.2 Thesis organization***

In chapter 2, by treating the wideband LC-filter as a matching network [13], the mechanism of the proposed band-pass filter can be easily understood graphically on the Smith chart. Besides, to further improve its stop-band rejection, two transmission zeros are then introduced, the component values of the LC filter can be obtained graphically through the Smith chart, and the relevant equations, which are functions of transmission zeros and poles, are also derived.

In chapter 3, the feasibility of the filter theorem is then supported by the agreements between the simulated results and its calculated counterparts from the developed formulas. A 3 – 10 GHz wideband filters fabricated in Rogers RO4003C (with  $\epsilon_r = 3.5$ ,  $\tan\delta = 0.0027$ , and thickness  $h = 0.508$  mm) is demonstrated.

In chapter 4, we will conclude the characteristic of the proposed filter and compare with other type UWB filters.

## Chapter 2

# A SIMPLE DESIGN METHODOLOGY FOR COMPACT ULTRA-WIDEBAND FILTER WITH WIDE-STOPBAND

### 2.1 Introduction

It's well-known that wide-band bandpass filters (BPF's) have been a critical component for both scientific community and the communication industry. In chapter 1, we have listed different types of wideband bandpass filter and discuss the characteristic of each one. Then, in order to suppress out-of-band harmonic and reduce the circuit size in the same time, the proposed filter has shown in Fig.1.

In Fig. 1, the proposed LC filter only consists of five inductors and three capacitors, it has compact size. Besides, to avoid the mathematical entanglement, we resort to the Smith chart to reveal how simply by the three resonators this wideband LC-filter can achieve its superior wideband characteristic.

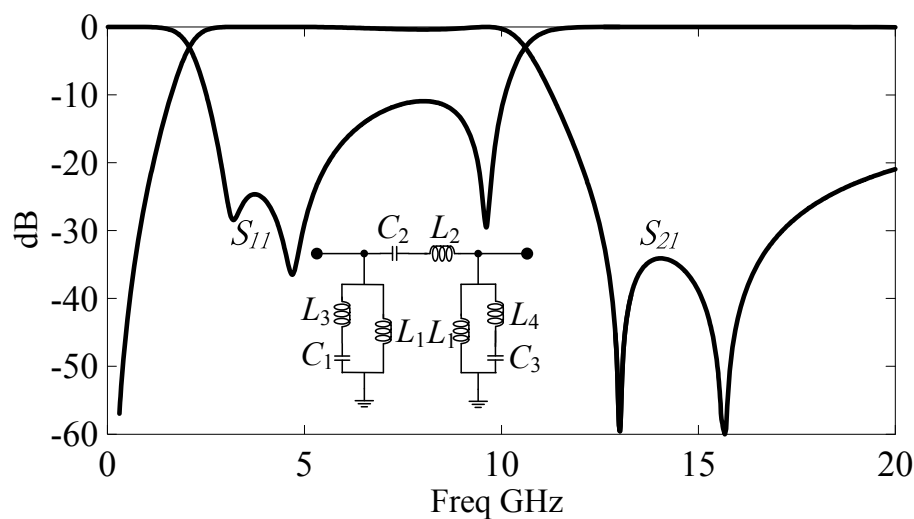


Fig. 1. The circuit layout and the simulated  $S$ -parameters of the proposed wideband filter.



## 2.2 Matching criterion for a $\pi$ -network

### 2.2.1 Matching mechanism of a $\pi$ -network

As shown in Fig. 1, the proposed LC filter, which is a  $\pi$ -network, can achieve wideband characteristic, thus we will focus on what a  $\pi$ -network's characteristic is. In Fig. 2(a), a  $\pi$ -network is shown, and the motion of impedance transformation on the Smith chart is shown in Fig. 2(b).

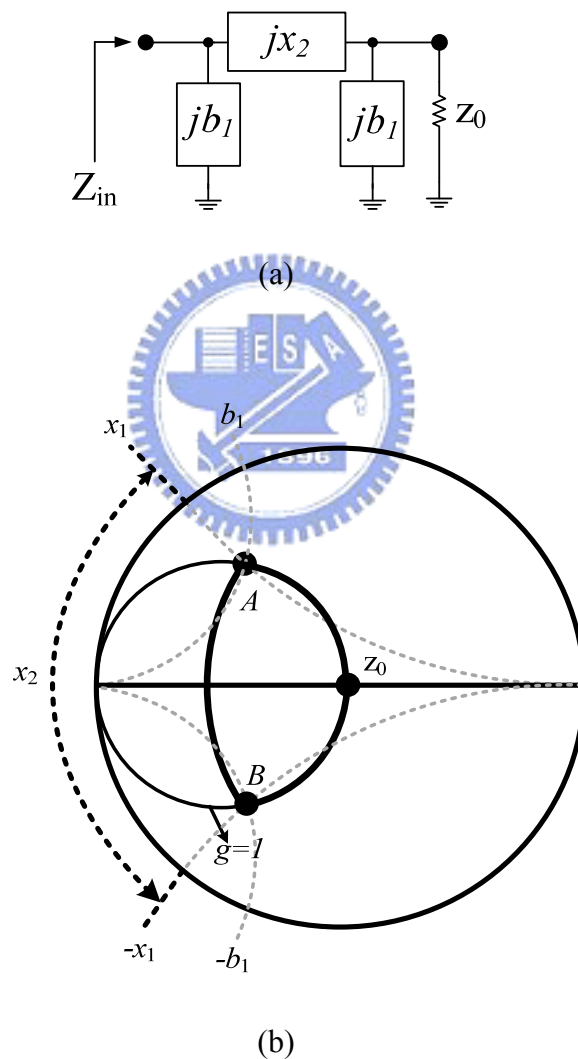


Fig. 2 (a).  $\pi$ -network, where  $b_1$  is the normalized susceptance,  $x_2$  is the normalized reactance.(b) The impedance transformation of the circuit in the fig. 2(a)

where  $Z_0$  is the ubiquitous microwave 50-ohm

In Fig. 2(b), we know that for an arbitrary value of susceptance  $b_1$  will have its corresponding value of reactance  $x_2$  to transform the impedance back to 50 ohm. In order to find out the relationship of  $b_1$  and  $x_2$ , we have to solve the value of  $x_1$  first.

On Y-Smith-Chart, the constant  $g$  circle can be expressed

$$\left(u + \frac{g}{1+g}\right)^2 + (v^2) = \left(\frac{1}{1+g}\right)^2 \quad (1)$$

On the other hand, the constant  $b_1$  circle can be expressed

$$(u-1)^2 + \left(v - \frac{1}{b_1}\right)^2 = \left(\frac{1}{b_1}\right)^2 \quad (2)$$

where  $u$  and  $v$  are the real part and imagine part of reflection coefficient respectively.

For  $g=1$ ,  $u$  and  $v$  can be express as follow:

$$u = \frac{-b_1^2}{4 + b_1^2} \quad (3)$$

$$v = \frac{-2b_1}{4 + b_1^2} \quad (4)$$

Furthermore, the imagine part of normalized impedance can be expressed in terms of  $u$  and  $v$

$$x_1 = \frac{2v}{(1-u)^2 + v^2} \quad (5)$$

Then combine (3), (4), and (5), we can get

$$x_1 = \frac{-b_1}{1 + b_1^2} \quad (6)$$

In Fig. 2(b), it is clear that if the impedance transformation backs to 50 ohm, it has to satisfy the follow equation:

$$x_2 = (-x_1) - x_1 = \frac{2b_1}{1 + b_1^2} \quad (7)$$

So far, the matching criterion (7) that can make the  $\pi$ -network match to 50 ohm is derived. On the other hand, we can also use ABCD matrix to solve a  $\pi$ -network in Fig. 2(a) to get the matching criterion (7), which will be described in Appendix.

Up to now we have figured out how the mechanism of matching network of the  $\pi$ -network is and the relationship between  $b_1$  and  $x_1$ . Then, we will focus on how to choose the proper value of  $b_1$ .

### 2.2.2 Choose of the value of susceptance $b_1$

After the discussion above in section 2.2.1, now we start to find out the value of susceptance  $b_1$ . Initially, in fig. 3(a), we take a low-pass circuit, of which  $x_2 = \omega L/Z_0$  and  $b_1 = \omega C Z_0$ , as an example to verify the feasibility of the derived matching criterion (8)

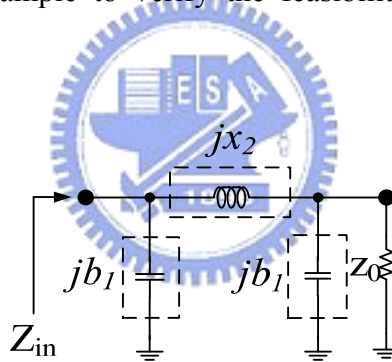


Fig. 3 A low-pass filter

Furthermore, to easily understand the mechanism of the  $\pi$ -network, we treat it as a matching network. Among the solutions that can meet the criterion (7), we just pick out three solutions as examples,  $b_1 = 0.6, 1,$  and  $1.67$ . As can be seen graphically on the Smith chart, there are three trajectories satisfying (7) can move  $Z_{in}$  from 50-ohm termination to a lower resistive impedance, and then to 50-ohm starting point again.

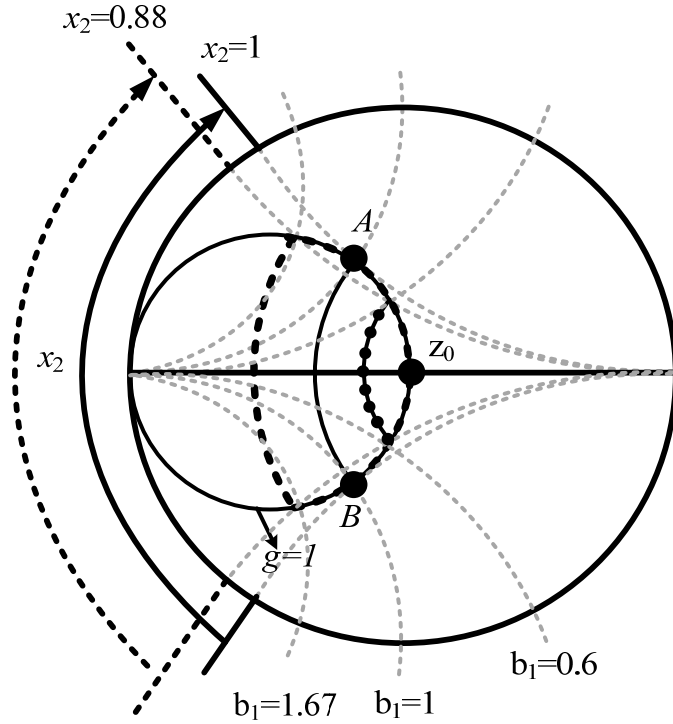


Fig.4 The  $Z_{in}$ 's impedance transformation of a low-pass circuit

The solid trajectory is with  $b_l = 1, x_2 = 1$ , the circled trajectory is with  $b_l = 0.6, x_2 = 0.88$ , and the dashed trajectory is with  $b_l = 1.66, x_2 = 0.88$ . When we set  $\omega$  as 10 GHz, the values of L and C can be obtained in table.1 and its corresponding S-parameters are shown in Fig. 5. Notably, although the circled curve has the best matched condition (lowest  $S_{11}$ ), it has the poorest stop-band roll-off, on the contrary, the dashed curve has the poorest matched condition (lowest  $S_{11}$ ) while it has the best stop-band roll-off, thus the solid trajectory ( $b_l = x_2 = 1$ ) is a compromise between the dashed trajectory and circled trajectory and is preferred.

$b_1$	$x_2$	L (nH)	C (pF)
0.6	0.88	0.71	0.19
1	1	0.79	0.32
1.67	0.88	0.71	0.53

Table.1 The value of  $b_l, x_l, L$ , and C for three solutions for low-pass filter

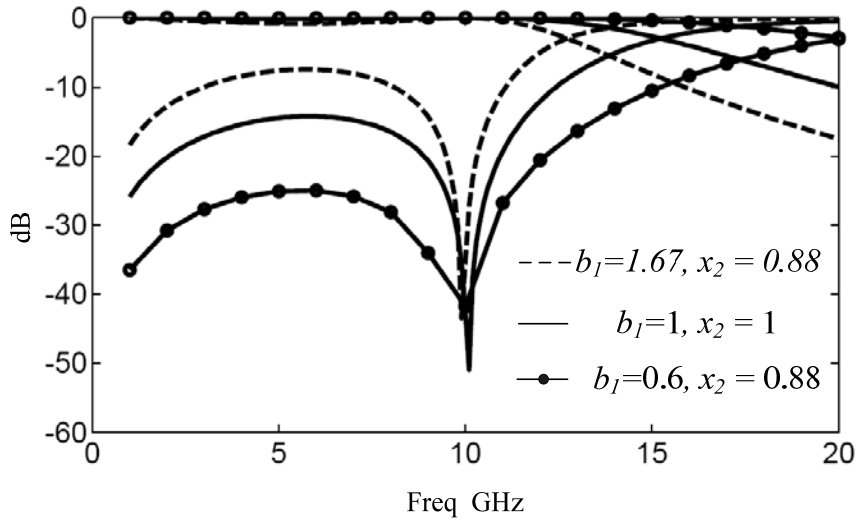


Fig. 5 Three solutions of the low-pass circuit that meet the matching criterion (7).

On the other hand, in the case of high-pass circuit in Fig. 6, it also has the same phenomenon of high-pass filter of which  $x_2 = 1 / Z_0 \omega C$  and  $b_1 = Z_0 / \omega L$ , We set  $\omega$  as 3 GHz, the values of L and C can be obtained and listed in table.2 and its corresponding S-parameters are shown in Fig. 7. As a result,  $b_1 = x_2 = -1$  is also suggested in high-pass filter. Thus far, the matching mechanism of the high pass circuit and low pass circuit has been clarified, and the matching criterion (7) is derived. Next, the proposed wideband filter mechanism will be elaborated.

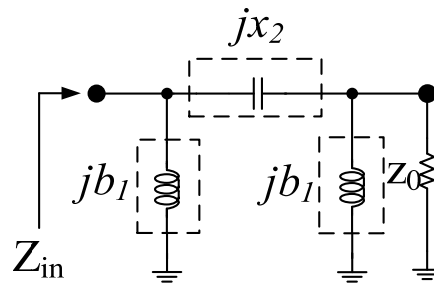


Fig. 6 A high-pass filter

$b_1$	$x_2$	L (nH)	C (pF)
-0.6	-0.88	4.42	1.21
-1	-1	2.65	1.06
-1.67	-0.88	1.59	1.21

Table.2 The value of  $b_1$ ,  $x_2$ , L, and C for three solutions for high-pass filter

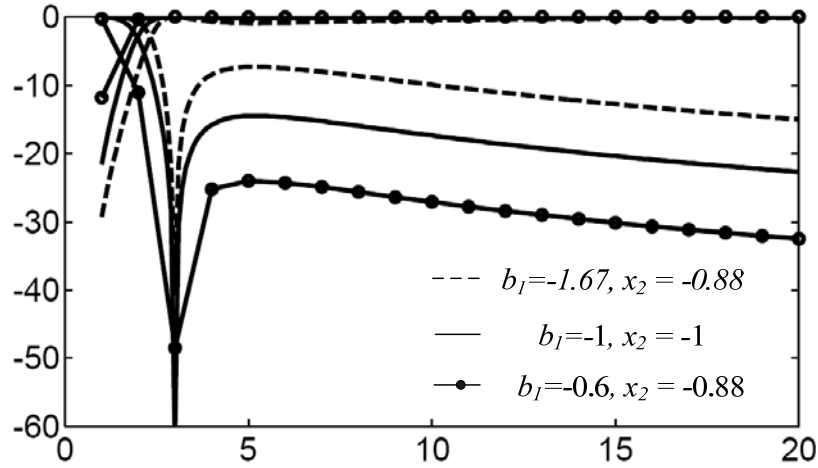
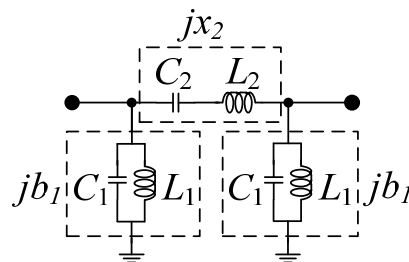


Fig. 7. Three solutions of the high-pass circuit that meet the matching criterion (7).

## 2.3 Wideband Filter Mechanism

### 2.3.1 In-band design

After discussing the matching network of a  $\pi$ -network, in this section we will apply the method mentioned in section 2.2.1 and 2.2.2 to explain the proposed filter and give some simple design equations.



(a)

Fig. 8. (a) The prototypical wideband filter.

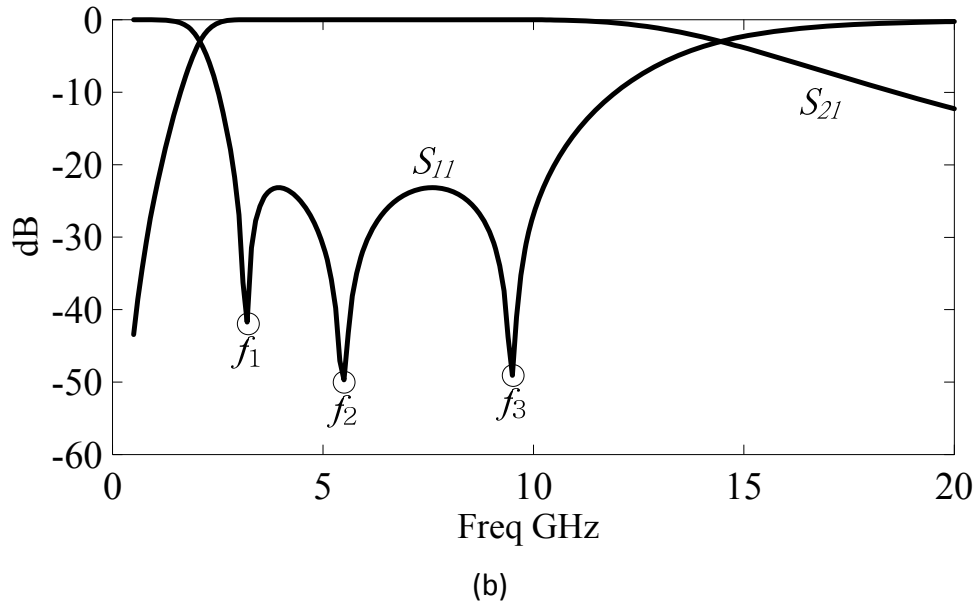


Fig. 8(b) The corresponding simulated  $S_{11}$  and  $S_{21}$  with  $L_1 = 2.65$  nH,  $C_1 = 0.32$  pF,  $L_2 = 1.14$  nH, and  $C_2 = 0.74$  pF, where  $f_1$  of 3.1 GHz,  $f_2$  of 5.4 GHz and  $f_3$  of 9.5 GHz are three matched frequencies (transmission poles)

The prototypical wideband filter is shown in Fig. 8(a). In Fig. 8(b), where  $f_1$  of 3.1 GHz (lower in-band),  $f_2$  of 5.4 GHz (mid in-band) and  $f_3$  of 9.5 GHz (higher in-band) are three matched frequencies (or transmission poles). To avoid the mathematical entanglement, we resort to the Smith chart which has been discussed in section 2.2 to reveal how simply by the three resonators this wideband LC-filter can achieve its superior wideband characteristic.

First of all, at lower in-band, the parallel circuit  $L_1C_1$  appears inductive and the series circuit  $L_2C_2$  appears capacitive, so the approximated circuit in Fig. 9 applies, and its corresponding high-pass characteristic with its matched frequency  $f_1$  (= 3 GHz) is shown. And Fig. 10 is the impedance transformation of low-pass filter

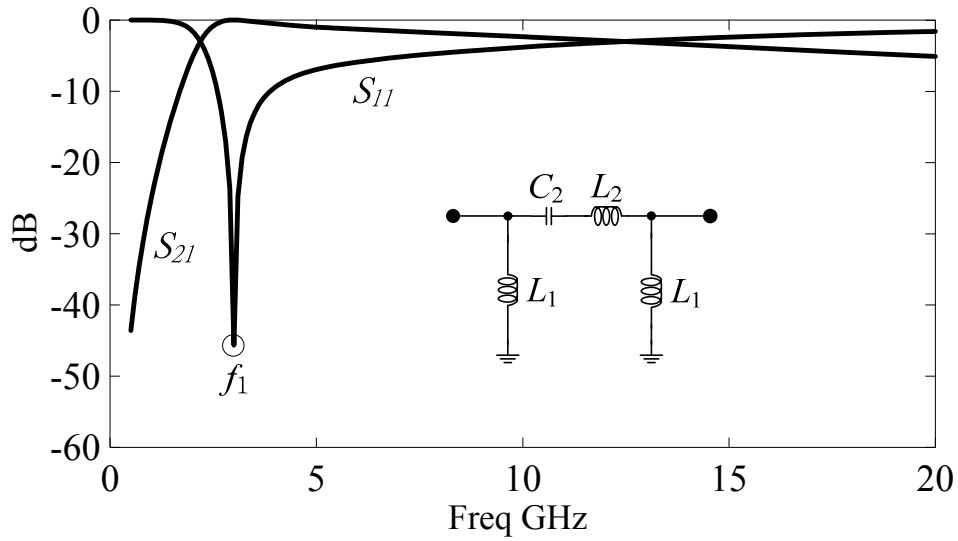


Fig. 9. The simulated  $S_{11}$  and  $S_{21}$  of the equivalent high-pass circuit with  $L_I=2.65\text{nH}$ ,  $L_2 = 1.14 \text{ nH}$ , and  $C_2 = 0.74 \text{ pF}$ , where  $f_1$  is its matched frequency (transmission pole).

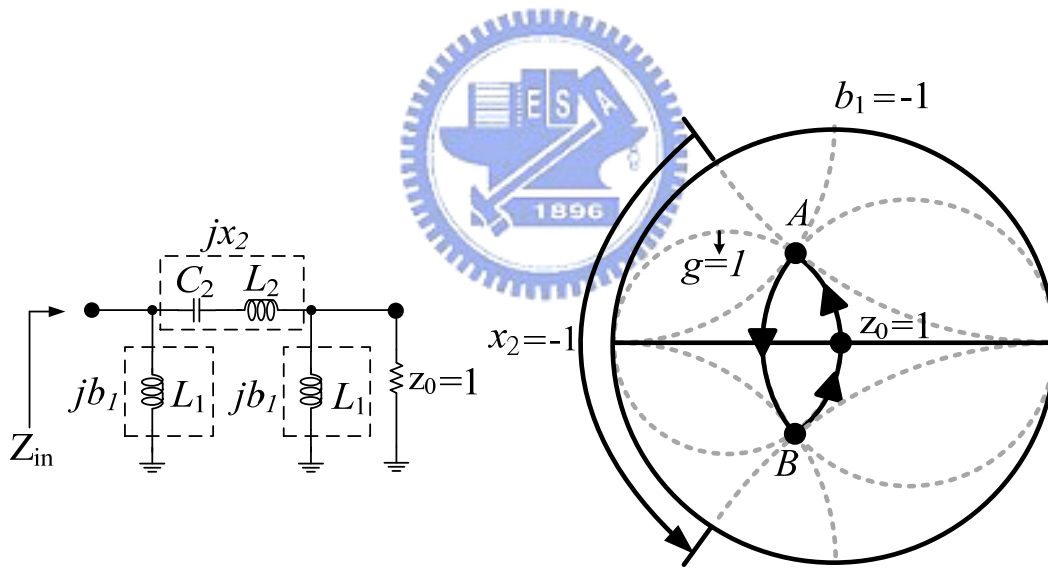


Fig. 10.  $Z_{in}$ 's impedance transformation from the normalized termination resistance  $z_0 = 1$  and back up to the  $z_0$  starting point again.

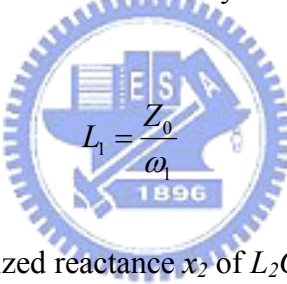
As shown in Fig. 10, owing to the “shunt” inductor  $L_I$ , the motion of  $Z_{in}$ 's impedance transformation from the normalized termination resistance  $z_0 = 1$  to point A on the Smith chart is along the unit constant-conductance circle ( $g = 1$ ), and we



obtain that the shunt inductor's normalized susceptance equals  $b_1$ ; then, by series circuit  $L_2C_2$ , of which the normalized reactance is  $x_2$ , the trajectory of impedance transformation moves from point A to point B; finally, it moves back to the  $z_0$  starting point by the other shunt inductor  $L_1$ . As derived in the previous section,  $b_1 = x_2 = -1$  is the suggested matching criterion which makes  $Z_{in}$  of a high-pass circuit equal 50-ohm. Thus, in Fig. 10, the normalized susceptance  $b_1$  of the inductor  $L_1$  must be -1:

$$jb_1 = \frac{Z_0}{j\omega_1 L_1} = -j1 \quad (8)$$

where  $\omega_1$  is the angular frequency corresponding to the  $f_1$ , and  $Z_0$  is the ubiquitous microwave 50-ohm, thus  $L_1$  can be determined by the given  $\omega_1$  as follow:

$$L_1 = \frac{Z_0}{\omega_1} \quad (9)$$


Then, we can equal the normalized reactance  $x_2$  of  $L_2C_2$  with -1, as follow:

$$jx_2 = \frac{j(\omega_1 L_2 - \frac{1}{\omega_1 C_2})}{Z_0} = -j1 \quad (10)$$

Secondly, at higher in-band, the parallel circuit  $L_1C_1$  appears capacitive and the series circuit  $L_2C_2$  is inductive, so the approximated circuit in Fig. 11 applies, and its corresponding low-pass characteristic with its matched frequency  $f_3 (= 10 \text{ GHz})$  is also shown.

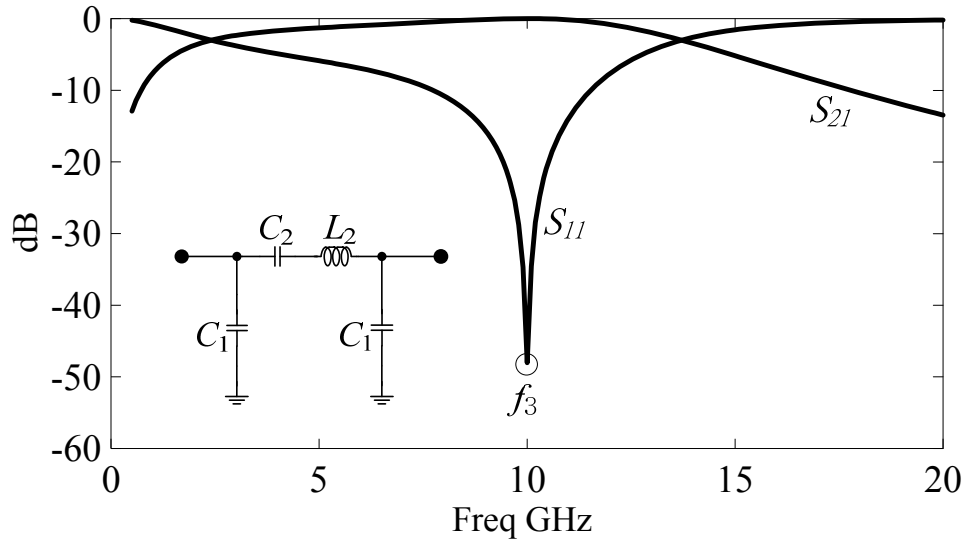


Fig. 11. The simulated  $S_{11}$  and  $S_{21}$  of the equivalent low-pass circuit with  $C_1 = 0.32$  pF,  $L_2 = 1.14$  nH, and  $C_2 = 0.74$  pF, where  $f_3$  is its matched frequency.

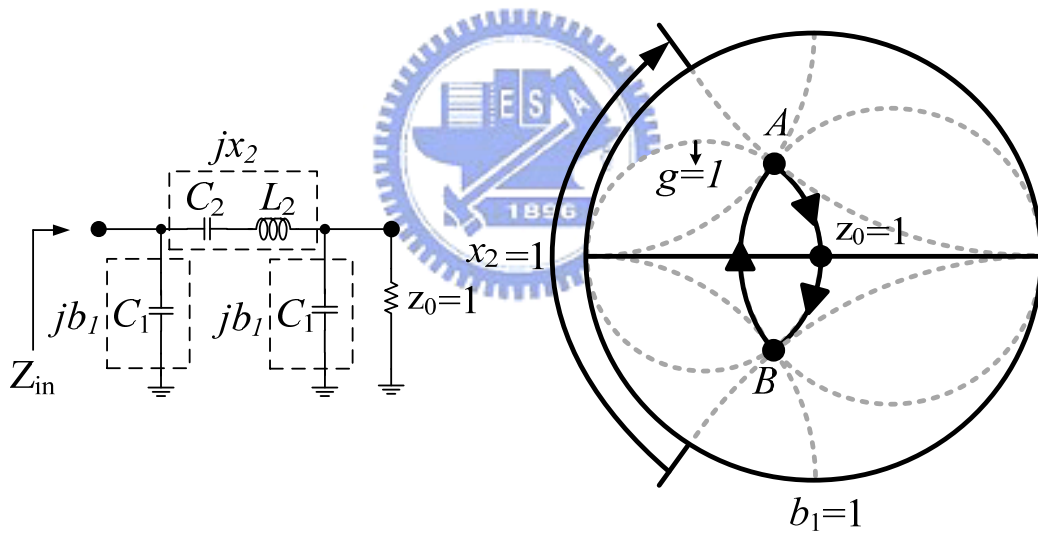


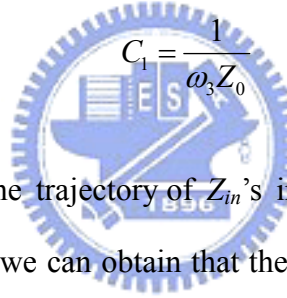
Fig. 12.  $Z_{in}$ 's impedance transformation of the equivalent low-pass circuit from the normalized termination resistance  $z_0 = 1$  and back to the  $z_0$  starting point again

As shown in Fig. 12, owing to the “shunt” inductor  $C_1$ , the motion of  $Z_{in}$ 's impedance transformation from the normalized termination resistance  $z_0 = 1$  to point B on the Smith chart is along the unit constant-conductance circle ( $g = 1$ ), and we

obtain that the shunt capacitor's normalized susceptance equals  $b_1$ ; then, by series circuit  $L_2C_2$ , of which the normalized reactance is  $x_2$ , the trajectory of impedance transformation moves from point B to point A; finally, it moves back to the  $z_0$  starting point by the other shunt capacitor  $C_1$ . As derived in the previous section,  $b_1 = x_2 = 1$  is the suggested matching criterion which makes  $Z_{in}$  of a low-pass circuit equal 50-ohm. Thus, in Fig. 12, the normalized susceptance  $b_1$  of the capacitor  $C_1$  must be 1, and can be derived as follow

$$jb_1 = j\omega_3 C_1 Z_0 = j1 \quad (11)$$

where  $\omega_3$  is the angular frequency corresponding to the  $f_3$ , thus  $C_1$  can be settled by the given  $\omega_3$

$$C_1 = \frac{1}{\omega_3 Z_0} \quad (12)$$


Similarly, by series  $L_2C_2$  the trajectory of  $Z_{in}$ 's impedance transformation moves from point B to point A, thus we can obtain that the normalized reactance  $x_2$  of  $L_2C_2$  equals 1, as follow:

$$jx_2 = \frac{j(\omega_3 L_2 - \frac{1}{\omega_3 C_2})}{Z_0} = j1 \quad (13)$$

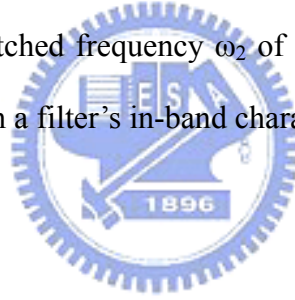
Combine (10) and (13),  $L_2$  and  $C_2$  can be expressed as

$$L_2 = \frac{Z_0}{(\omega_3 - \omega_1)} \quad (14)$$

$$C_2 = \frac{1}{Z_0} \left( \frac{1}{\omega_3} - \frac{1}{\omega_1} \right) \quad (15)$$

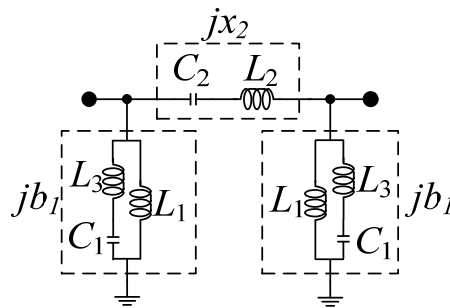
Thus far, intuitive exposition of the wideband band-pass filter can now be best understood as follow: at low frequency, it looks like a high-pass circuit and meets  $b_1 =$

$x_2 = -1$  at the lower matched frequency  $f_1$  (transmission pole); at high frequency, it can be regarded as a low-pass circuit and meets  $b_1 = x_2 = 1$  at the higher matched frequency  $f_3$  (transmission pole). Therefore, with the given  $f_1$  ( $\omega_1$ ) and  $f_3$  ( $\omega_3$ ),  $L_1$ ,  $C_1$ ,  $L_2$ , and  $C_2$  can be manipulated by (9), (12), (14), and (15), respectively. Finally, substitute  $f_1 = 3$  GHz, and  $f_3 = 10$  GHz in (9), (12), (14), and (15),  $L_1 = 2.65$  nH,  $C_1 = 0.32$  pF,  $L_2 = 1.14$  nH,  $C_2 = 0.74$  pF can be derived. Its corresponding simulated results, as shown in Fig. 8, exhibiting three matched frequency (transmission zeros) 3.1GHz, 5.4GHz, and 9.5 GHz thereupon confirms the accuracy of the previously derived equations. In addition, with the derived components values, both the resonance frequency of the series circuit  $L_1C_1$  and that of the parallel circuit  $L_2C_2$  are at 5.4 GHz, that is,  $Z_{in}$  equals the termination resistance  $Z_0$  (50 ohm) at this resonance frequency, thus, there is a matched frequency  $\omega_2$  of 5.4 GHz. In conclusion, we can use transmission zero to design a filter's in-band characteristic.



### 2.3.2 Stop-band design

In addition to the required in-band response characterized in the previous section, the stop-band rejection is now concerned and can be improved by introducing transmission zeros at the stop-band



(a)

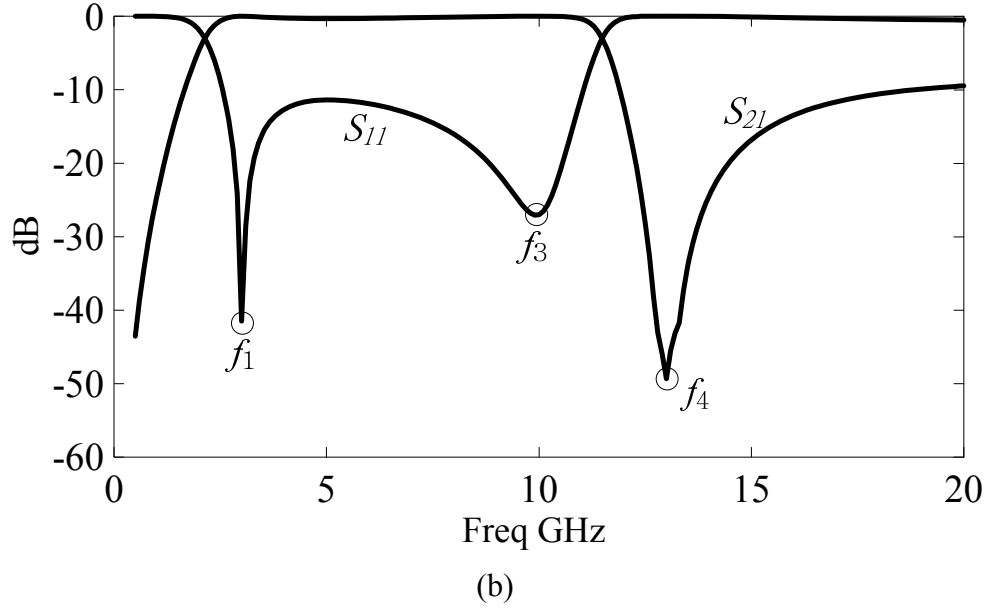


Fig. 13 (a) The modified prototypical filter (b) The simulated  $S_{11}$  and  $S_{21}$  of the (a) with  $L_1 = 2.65$  nH,  $L_2 = 1.14$  nH,  $L_3 = 1.15$  nH,  $C_1 = 0.13$  pF, and  $C_2 = 0.74$  pF, where  $f_1$  and  $f_3$  are the matched frequencies (transmission poles),  $f_4$  is the transmission zero.

In addition to the required in-band response characterized in the previous section, the stop-band rejection is now concerned and can be improved by introducing transmission zeros at the stop-band. With the short circuit nature of a series circuit, replacing  $C_1$  with the series circuit  $L_3C_1$ , as depicted in Fig. 13(a), can construct a transmission zero at  $f_4$ , as illustrated in Fig. 13(b). If we adopt the same design methodology described in the previous section: at low frequency, it looks like a high-pass circuit and meets  $b_1 = x_2 = -1$  at the lower matched frequency  $f_1$  (transmission pole); at high frequency, it can be regarded as a low-pass circuit and meets  $b_1 = x_2 = 1$  at the higher matched frequency  $f_3$  (transmission pole). Thus, the value of  $L_1$  can be determined by (9) (i.e.  $b_1 = -1$  at  $f_1$ ),  $L_2C_2$  can be determined by  $\omega_1$  and  $\omega_3$  through (14), and (15) (i.e.  $x_2 = -1$  and  $1$  at  $f_1$  and  $f_3$ , respectively); in addition, the normalized susceptance  $b_1$  of the series circuit  $L_3C_1$  equals  $1$  at the higher matched frequency  $f_3$ , as follow:

$$jb_1 = \left( \frac{j\omega_3 L_3}{Z_0} + \frac{1}{jZ_0 \omega_3 C_1} \right)^{-1} = j1 \quad (16)$$

and the resonance of series circuit  $L_3 C_1$  occurs at  $\omega_4$ , that is

$$\omega_4 = \frac{1}{\sqrt{L_3 C_1}} \quad (17)$$

Combine (16) and (17),  $C_1$  and  $L_3$  can be derived as follow:

$$L_3 = \frac{Z_0 \omega_3}{(\omega_4^2 - \omega_3^2)} \quad (18)$$

$$C_1 = \frac{(\omega_4^2 - \omega_3^2)}{Z_0 \omega_3 \omega_4^2} \quad (19)$$

substitute  $f_1 = 3$  GHz,  $f_3 = 10$  GHz,  $f_4 = 13$  GHz in (9), (14), (15), (18), and (19),  $L_1$  of 2.65 nH,  $L_2$  of 1.14 nH,  $L_3$  of 1.15 nH,  $C_1$  of 0.13 pF, and  $C_2$  of 0.74 pF can be obtained, and its corresponding simulated results in Fig. 13(b) exhibiting two matched frequencies (transmission poles) at 3.1 GHz and 10 GHz, and a transmission zero at 13 GHz confirms the accuracy of the formulas above.

### 2.3.3 Further improvement

To further improve the mid in-band  $S_{11}$ , the design methodology should be modified as follow: it appears as a high-pass circuit and meets  $b_1 = x_2 = -1$  at the low matched frequency  $f_1$  (transmission pole). Thus, the value of  $L_1$  can be determined by (9) (i.e.  $b_1 = -1$  at  $f_1$ ), and the normalized reactance  $x_2$  of  $L_2 C_2$  equals -1 as indicated in (10) (i.e.  $x_2 = -1$  at  $f_1$ ); besides, to achieve matched impedance at mid-band ( $\omega_2$ ), we should locate the resonance frequencies of the series circuit  $L_2 C_2$  and the parallel

circuit  $L_1C_1L_3$  at  $\omega_2$ , thus  $Z_{in}$  can equal the termination resistance  $Z_0$  (50 ohm) at  $\omega_2$ :

$$L_2C_2 = \frac{1}{\omega_2^2} \quad (20)$$

$$j\omega_2(L_1 + L_3) - j\frac{1}{\omega_2C_1} = 0 \quad (21)$$

finally, the transmission zero locates at  $\omega_4$ , which is set by (14); therefore, combine (9), (10), (17), (20) and (21),  $L_1, L_2, L_3, C_1, C_2$  can be derived as follow:

$$L_1 = \frac{Z_0}{\omega_1} \quad (22)$$

$$L_2 = \frac{Z_0\omega_1}{(\omega_2^2 - \omega_1^2)} \quad (23)$$

$$L_3 = \frac{Z_0\omega_2^2}{\omega_1(\omega_4^2 - \omega_2^2)} \quad (24)$$

$$C_1 = \frac{\omega_1}{Z_0} \left( \frac{1}{\omega_2^2} - \frac{1}{\omega_4^2} \right) \quad (25)$$

$$C_2 = \frac{(\omega_2^2 - \omega_1^2)}{Z_0\omega_1\omega_2^2} \quad (26)$$

substitute  $\omega_1 = 3$  GHz, and  $\omega_2 = 5.4$  GHz and  $\omega_4 = 13$  GHz in (22)~(26),  $L_1 = 2.65$  nH,  $L_2 = 1.18$  nH,  $L_3 = 0.55$  nH,  $C_1 = 0.27$  pF, and  $C_2 = 0.73$  pF can be derived, and its corresponding simulated result (solid curve) shown in Fig. 14 (b), exhibits three matched frequencies:  $f_1 = 3.1$  GHz,  $f_2 = 5.4$  GHz,  $f_3 = 9.4$  GHz, and one transmission zero:  $f_4 = 13$  GHz. Thus the accuracy of (22) ~ (26) is confirmed. Specifically, at the high matched frequency  $f_3$  (transmission pole), though the normalized susceptance  $b_1$  of the parallel circuit  $L_1C_1L_3$  is 1.42 rather than 1, and the normalized reactance  $x_2$  is 0.94 rather 1, they still meet the matching criterion (7). As shown in Fig. 14(a), if we slightly modify one of the  $C_1L_3$  to  $C_3L_4$  and leave another  $C_1L_3$  unchanged, the additional transmission zero (dashed curve) can be observed in the Fig. 14(b), where  $C_3 = 0.32$  pF,  $L_4 = 0.31$  nH. The proposed filter (dashed line) will be fabricated and its

measurement results are shown in chapter 3.

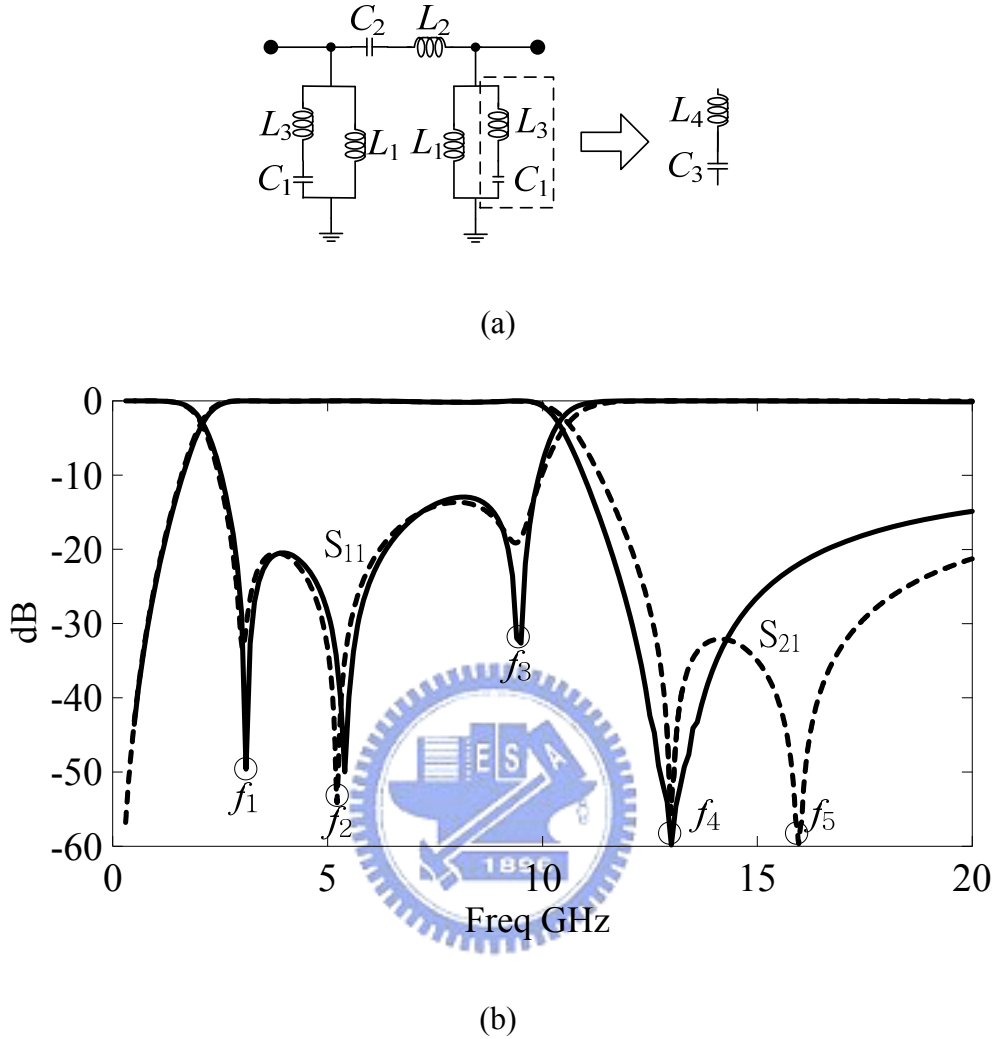


Fig. 14. (a) The proposed wideband filter. (b) The solid curve is the simulated  $S_{11}$  and  $S_{21}$  with  $L_1 = 2.65$  nH,  $L_2 = 1.18$  nH,  $L_3 = 0.55$  nH,  $C_1 = 0.27$  pF, and  $C_2 = 0.73$  pF, where  $f_1, f_2$ , and  $f_3$  are the three matched frequencies, and  $f_4$  is the a transmission zero. The dashed curve is the simulated  $S_{11}$  and  $S_{21}$ , where  $L_4 = 0.31$  nH,  $C_3 = 0.32$  pF, and  $f_5$  is the additional transmission zeros.

From the previous discussion, we use transmission poles to design a filter's in-band characteristic and use transmission zeros to design its stop-band characteristic. Thus, with the given transmission zeros and poles, the desired  $S$ -parameters of the



wideband filter can be obtained. To examine the feasibility of the design methodology and the capability of the proposed wideband filter structure, a wideband filter was designed and fabricated. The measured results are shown in the next section.



## Chapter 3

# LAYOUT AND MEASUREMENT

### 3.1 Layout of the proposed filter

Proposed wideband filter mechanism has been fully analyzed in chapter 2. In this chapter, quasi-lumped elements are used to realize the proposed LC-filter. As depicted in Fig. 14(b), the inductors  $L_2$ ,  $L_3$  and  $L_4$  are implemented by high-impedance microstrip line sections, and the shunt inductors  $L_1$  are implemented by a short-circuited microstrip stub. The capacitors  $C_1$  and  $C_3$  are implemented by low-impedance microstrip line sections, and finally the series capacitor  $C_2$  is realized by the microstrip-to-CPW transition.

In the realization of proposed filter, the most difficult thing is to realize a series capacitor  $C_2$  in our layout. The following are some technique to construct series capacitor. First we consider microstrip gap as our series capacitor implementation. In order to reach the desired value of capacitor  $C_2$ , the gap between two microstrip line sections will become much narrow. Due to the difficult of fabrication, microstrip gap is not suitable for our implementation. Secondly, the common used structure is interdigital capacitor which is shown in Fig. 15(a).

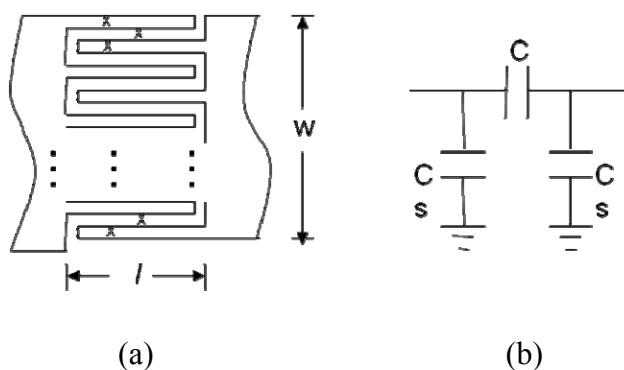


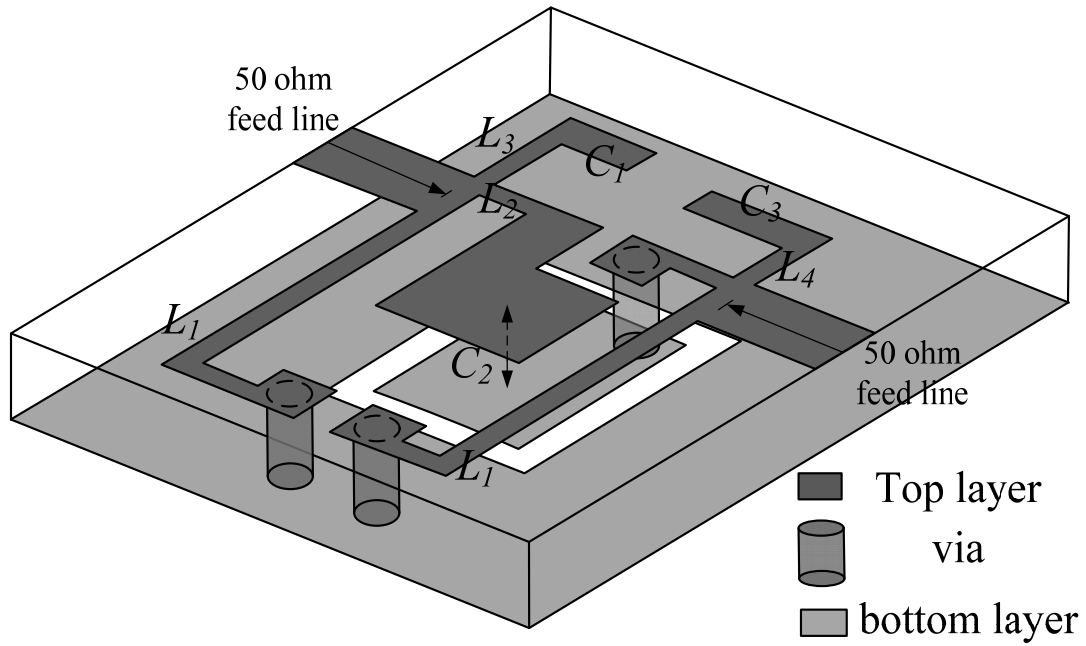
Fig. 15 (a) The structure of interdigital capacitor (b) Its equivalent circuit

As shown in Fig. 15(b), the equivalent circuit of interdigital capacitor structure not only has desired series capacitor  $C$  but also accompanies two shunt parasitic capacitors  $C_s$ . From Microwave engineering, it can be derived as follow

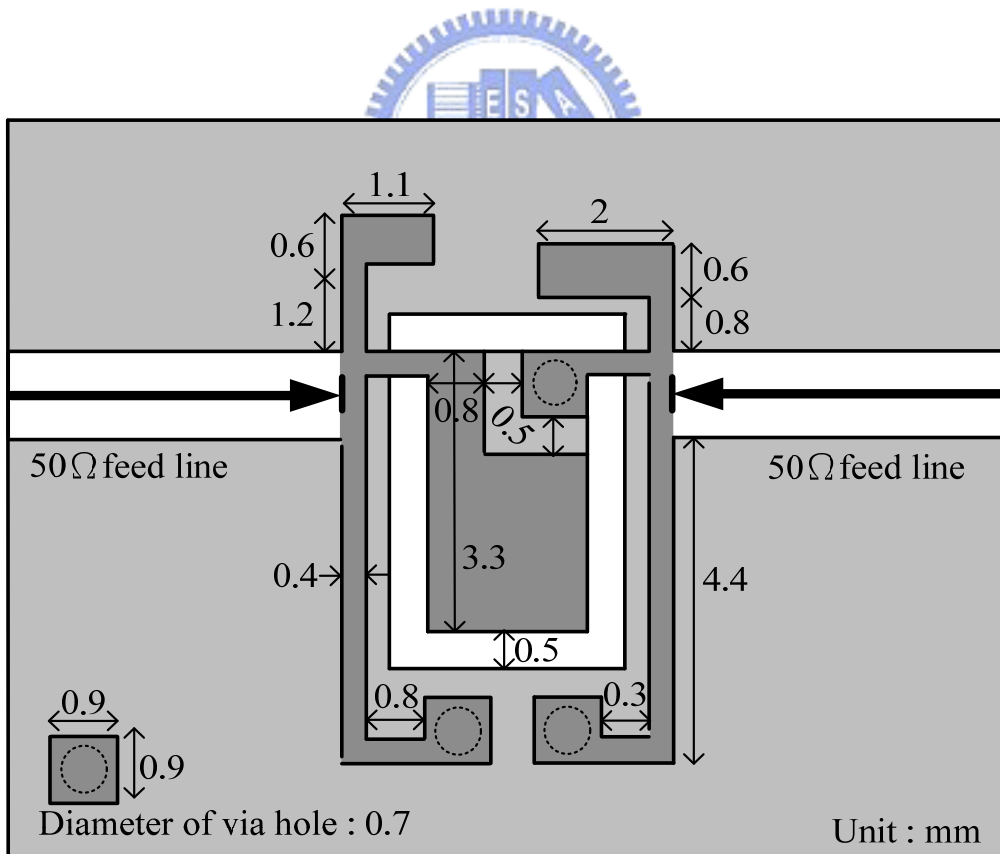
$$C_s = 0.37 C \quad .(27)$$

For our proposed filter circuit in Fig. 14, the value of  $C$  is 0.75 pF and value of  $C_s$  calculated by (27) will be 0.28 pF. The value of  $C_s$  is too large for us to ignore and we find that the parasitic shunt capacitor will destroy the proposed filter performance. Thus the interdigital capacitor won't be our chose to fabricate single series capacitor. Finally, we use plate parallel capacitor to realize our circuit as shown in Fig. 16(a). It can not only produce the desired capacitor value but also eliminate the parasitic shunt capacitors. In addition, to reduce circuit size, microstrip-to-CPW transition is used. According to the measurement result in Fig. 17, it reveals that the plate parallel capacitor is available for implementation of pure series capacitor.

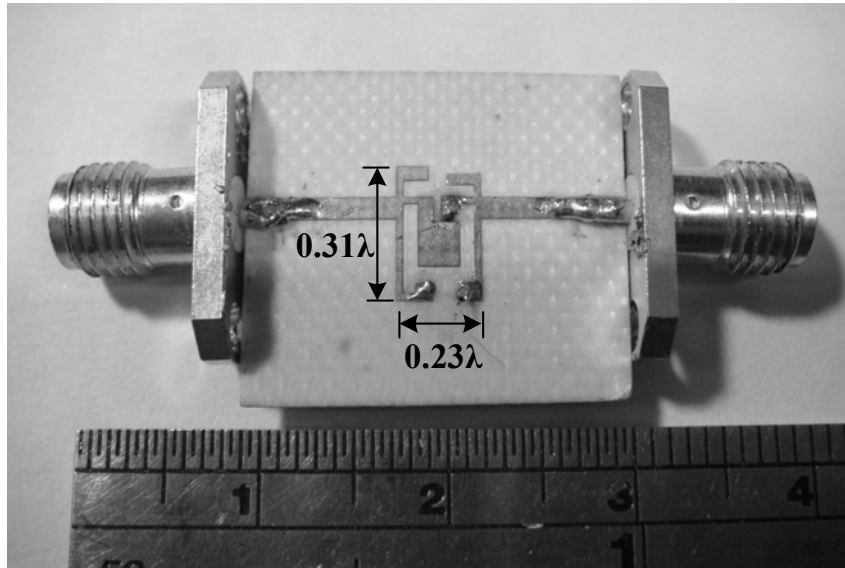
The proposed wideband band-pass filter was manufactured using Rogers RO4003C (with  $\epsilon_r = 3.38$ ,  $\tan\delta = 0.0027$ , and thickness  $h = 0.508$  mm). Because the proposed ultra-wideband filter in Fig. 14 only has five inductors and three capacitors, the total circuit size can be miniaturized. Fig. 16 shows the three-dimensional circuit layout, the top-/bottom-layer layout of the proposed filter, and photograph. This layout reveals how the space was efficiently utilized. Besides, for the convenience of measurement, we add two 50ohm microstrip feeding lines on the both sides of the proposed filter.



(a)



(b)



(c)

Fig. 16 (a) The three-dimensional layout. (b) The top-/bottom-layer circuit layout of the proposed filter. (c) The photograph of the filter.

The overall dimensions of these devices are  $4.6 \text{ mm} \times 7.4 \text{ mm}$ , which is approximately  $0.23 \lambda \times 0.31 \lambda$ , where  $\lambda$  is the guided wavelength of the microstrip structure at the center frequency  $f_0 = 7.1 \text{ GHz}$ . The dimension confirms the very compact size of the developed device.

### 3.2 Measurement

The full-wave simulated result of Fig. 14 is shown in Fig. 19, which is calculated by the Ansoft HFSS simulator. Fig. 17 shows the simulated (dashed curves) and measured (solid curves)  $S$ -parameters of proposed wideband filter. The filter has a measured 3-dB fractional bandwidth of 128% from 2.8 GHz to 11.4 GHz. Furthermore, the return loss is greater than 11 dB within the pass-band, and the minimum insertion loss over the pass-band is 0.3 dB. It also exhibits good selectivity and stop-band rejection, which is better than 20 dB from 12.5 GHz to 24 GHz. Moreover, the implemented filter with the feeding lines exhibits flat group-delay

response ranging from 0.32 ns to 0.46 ns over the whole passband as shown in Fig. 18.

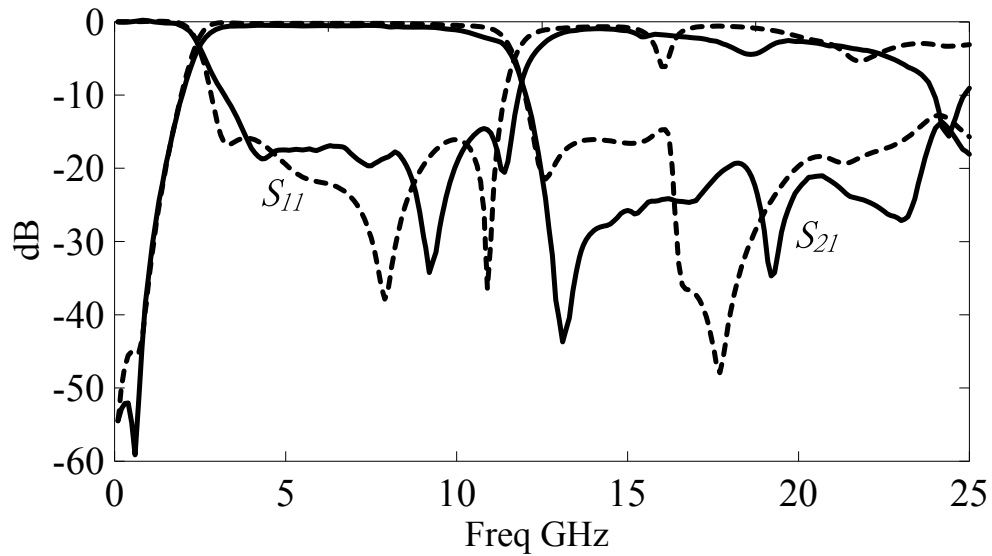


Fig. 17. Simulated (dashed curves) and measured (solid curves) insertion loss, and return loss of the fabricated filter.

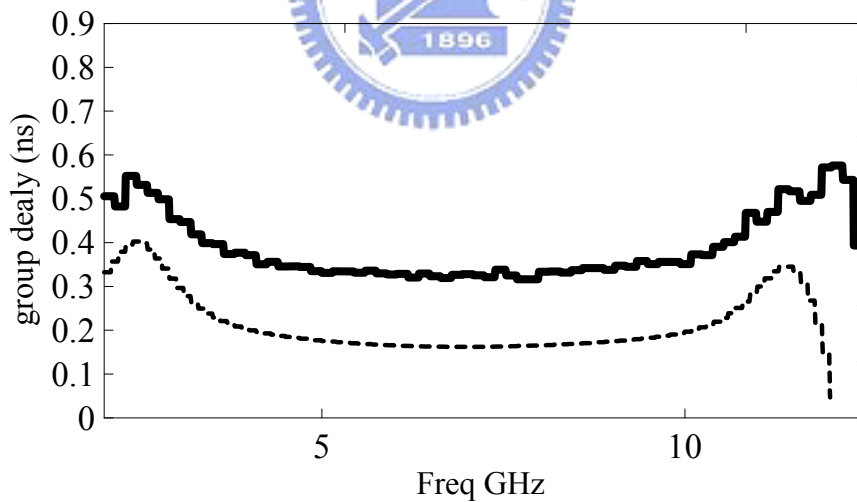


Fig. 18 Simulated (dashed curves) and measured (solid curves) group delay of the fabricated filter.

Compared with Fig. 14, the simulation results in Fig. 17 have one additional transmission zero at frequency 0.6 GHz. The reason of additional transmission zero is

the coupling of adjacent inductor  $L1$ . Fig. 19 shows the layout of three different value of  $x$  of proposed filter and its simulation insertion loss results were shown in Fig. 20. It is clear that additional transmission zero shifts left with increasing value of  $x$

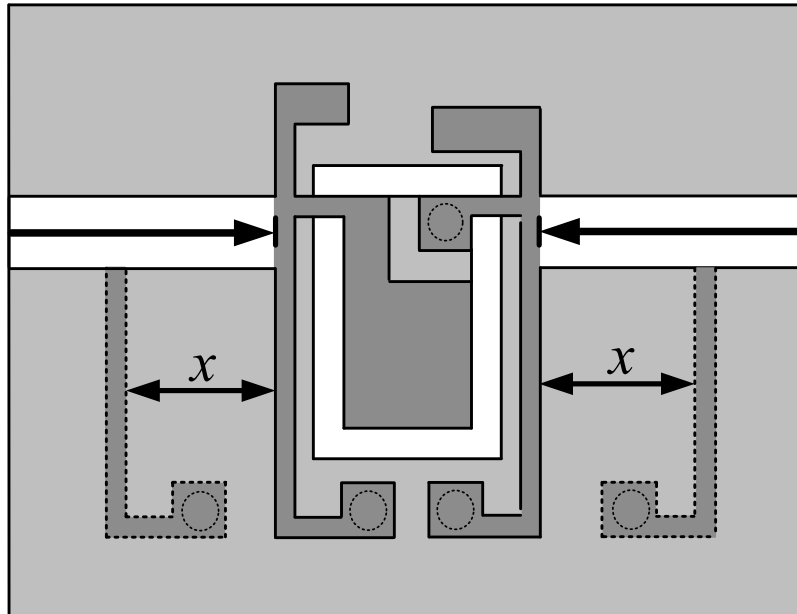


Fig. 19. The top-/bottom-layer circuit layout of  $x=2$  (circled curve),  $x=1$  mm (dashed curves), and  $x=0$  (solid curves) of the fabricated filter.

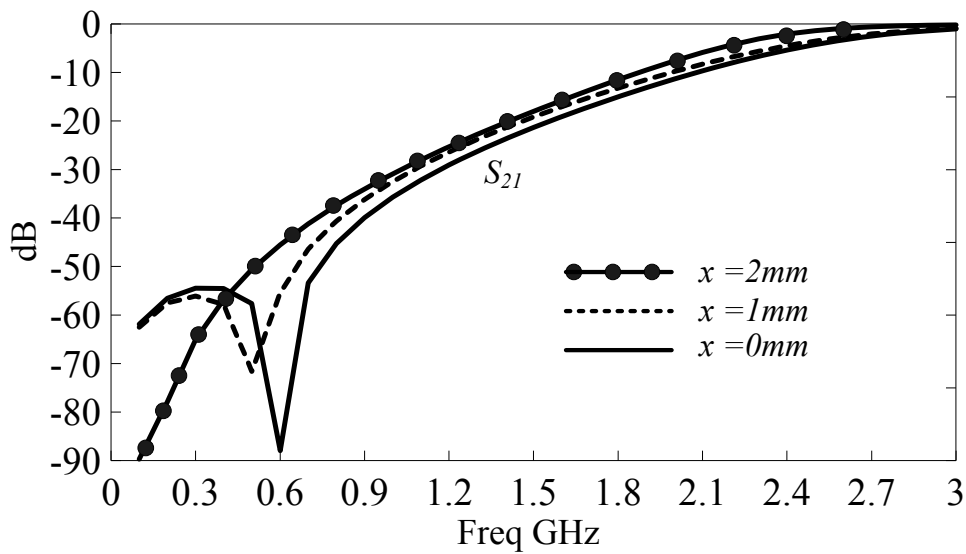


Fig. 20. The simulated insertion loss with  $x=2$  (circled curve),  $x=1$  mm (dashed curves) and  $x=0$  (solid curves) of the fabricated filter.

When compared with other publications in Table 3, initially we find that 3-dB bandwidth of UWB filters which were proposed in [8] ~ [11] vary from 108% to 139%., and 128% of the UWB filter we proposed. Secondly, concern about the stopband rejection, it is clear that the filters shown in Table [3] all have good out-of-band rejection of least 20 dB. Thus, it doesn't make much difference in the performance of 3-dB bandwidth and stopband rejection. But the most important thing is that the UWB filter we proposed has the most compact circuit size compared with others. Therefore, the proposed compact ultra-wideband filter is promising for communication application.

<b>Ref.</b>	<b>3-dB bandwidth</b>	<b>stopband rejection (20dB)</b>	<b>Circuit size</b>
In [8]	108% (3 GHz~10GHz)	12.4GHz~20GHz	$0.16 \lambda \times 0.64 \lambda$
In [9]	110% 3GHz~10.4GHz	12.6GHz~26.9GHz	$0.45 \lambda \times 1.76 \lambda$
In [10]	130% 1.2GHz~5.6GHz	6.4GHz~20GHz	$0.28 \lambda \times 0.59\lambda$
In [11]	108% 3GHz~10.1GHz	10.7GHz~20GHz	$0.4 \lambda \times 0.7\lambda$
In [12]	139% 1.8GHz~10.1GHz	10.4GHz~18GHz	$0.36 \lambda \times 0.87\lambda$
<b>This paper</b>	<b>128%</b> <b>(2.8GHz~11.4GHz)</b>	<b>12.5GHz~24GHz</b>	<b><math>0.23 \lambda \times 0.31 \lambda</math></b>

Table. 3 Comparision with other publication in 3-dB bandwidth, stopband rejection, and circuit size



# Chapter 4

## CONCLUSION

### *4.1 Conclusion*

In this paper, a simple design methodology for a compact ultra-wideband filter with wide-stopband has been thoroughly analyzed. By treating filter as a  $\pi$ -network circuit and solve the matching mechanism by using Smith chart. With the given specification, the desired value of each LC component can be calculated by equation (22) ~ (26).

On the other hand, to examine the feasibility of the design methodology and the capability of the proposed wideband filter structure, a wideband filter was designed and manufactured. By using quasi-lumped element and microstrip-to-CPW transition, the layout of the proposed filter is designed. The measured results show that the filter prototype has 3-dB fractional bandwidth of 128% from 2.8 GHz to 11.4 GHz. Furthermore, the return loss is greater than 11 dB within the pass-band, minimum insertion loss of 0.3 dB over the pass-band, superior 20 dB stop-band rejection to above 24 GHz, flat group delay of 0.4 ns within 0.15 ns variation over the pass-band, and very compact circuit size of  $0.23 \lambda \times 0.31 \lambda$ , where  $\lambda$  is the guided wavelength of the microstrip structure at the center frequency  $f_0 = 7.1$  GHz.

## APPENDIX

As shown in Fig. 2(a) shows the mechanism of the  $\pi$ -network, and its  $S_{11}$  and  $S_{21}$  are solved by  $ABCD$  matrix as follow [12]:

$$S_{11} = \frac{A + \frac{B}{Z_0} - CZ_0 - D}{A + \frac{B}{Z_0} + CZ_0 + D} \quad (28)$$

$$S_{21} = \frac{2}{A + \frac{B}{Z_0} + CZ_0 + D} \quad (29)$$

where  $Z_0$  is the ubiquitous microwave 50-ohm and

$$\begin{aligned} A &= D = 1 - x_2 b_1 \\ B &= jx_2 Z_0 \\ C &= j(2b_1/Z_0 - b_1^2 x_2/Z_0) \end{aligned} \quad (30)$$

where  $b_1$  is the normalized susceptance of the capacitor,  $x_2$  is the normalized reactance of the inductor. Let  $|S_{11}|^2 = 0$  or  $|S_{21}|^2 = 1$ , we can get

$$x_2 = \frac{2b_1}{1 + b_1^2} \quad (31)$$

The matching criterion (31) is identical to (7)

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