

國立交通大學

環境工程研究所

碩士論文

應用混合啟發式演算法推估地下水污染物暫態釋放問題

Apply Hybrid Heuristic Approach to Identify the Groundwater

Contaminated Source in Transient System



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中華民國九十七年九月

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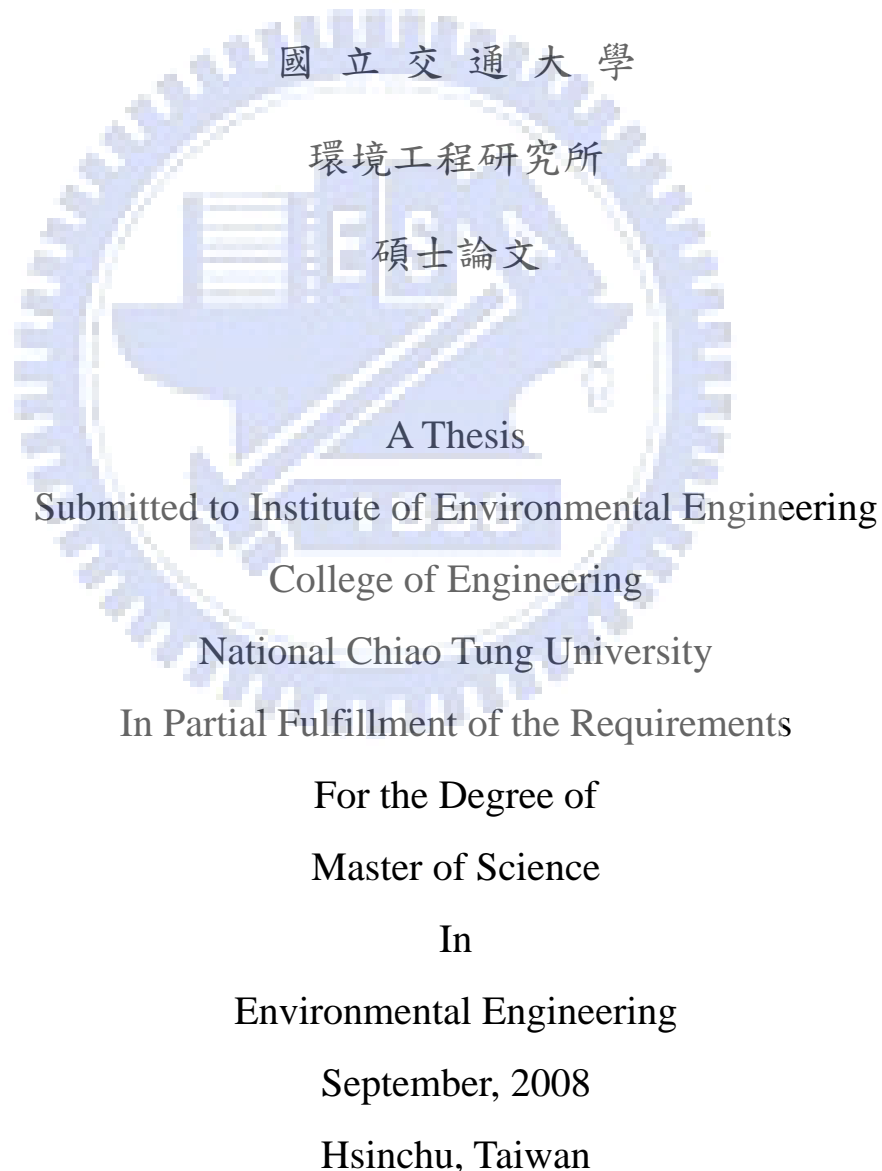
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摘要

當污染源的釋放歷程會隨時間改變時，污染源鑑定與歷程重建的工作將會變得較困難。隨著未知變數的增加，逆推的困難度也隨之增加。序的最佳化(Ordinal optimization)適用於解決複雜的最佳化問題，本研究利用此方法，結合模擬退火演算法、禁忌演算法、及旋轉輪盤法的優點，來處理地下水污染源暫態釋放的問題。本研究利用一個假設的污染廠址對發展方法的應用性作測試，分別探討七個及十五個未知數的問題：位置的三維座標 X、Y、及 Z、兩段及六段的釋放歷程與釋放濃度。利用 MODFLOW-GWT 地下水污染傳輸模式，可模擬監測井中污染物的濃度分布。在進行污染源鑑定與歷程重建的工作時，首先選取一污染源與鄰近格網所構成的可疑範圍，將範圍內的每一個格網視為候選污染源位置。利用禁忌演算法於候選區域中產生不同的候選污染源位置，再配合模擬退火演算法所產生的一系列釋放時間與釋放濃度的試誤解，可算得監測井中的污染物濃度值。透過序的最佳化，可從候選格網中篩選出最好的前百分之五可能污染源位置，以縮小搜尋範圍；接著使用旋轉輪盤法，於其中挑選出下次迭代運算的污染源位置。目標函數設定為模擬濃度與實際濃度差值的平方和，當所得結果滿足收斂條件時，即視為得到最佳解。由所提出案例研究的分析結果顯示，本研究所提出的方法即使在污染物為暫態釋放

的問題中仍然可得到很好的結果。

關鍵詞：序的最佳化、模擬退火演算法、禁忌演算法、污染源鑑定、地下水



Apply Hybrid Heuristic Approach to Identify the Groundwater Contaminated Source in Transient System

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ABSTRACT

As the release of groundwater contamination source is a function of time, it will be very difficult to determine the source information such as the source location and source release history simultaneously. A method based on the ordinal optimization algorithm (OOA), simulated annealing (SA), tabu search (TS), roulette wheel approach, and MODFLOW-GWT is developed to determine the source release problem which contains at least fifteen unknowns including the location of three coordinates and six or more release periods with different concentrations. A hypothetical case for a contamination site is designed to test the applicability of the present method. In the identification process, the TS is first used to generate a candidate location within the block and SA is used to generate serial trial solutions with different release periods and concentrations. The plume concentrations at the monitoring wells can then be simulated and compared with the observed concentrations. To reduce the size of feasible solution space, the OOA is used to sift the top 5% candidate locations. Then the next location is chosen from them by the roulette wheel method. The optimal solution is obtained when the new result in the identification process satisfies the

stopping criterion. The result of case study indicates that the proposed method is capable of estimating the source information even if the source release is in transient state.

Key words: Ordinal optimization, source identification, simulated annealing, tabu search, groundwater



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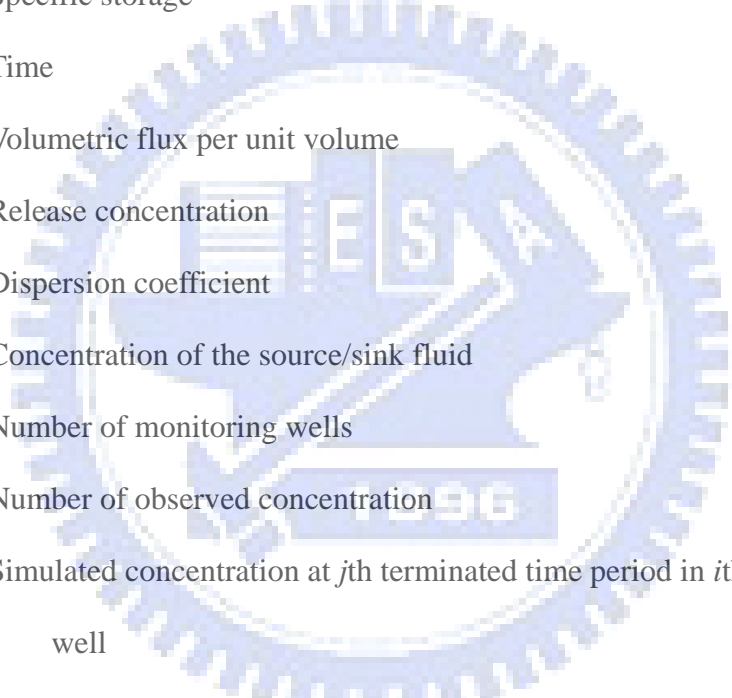
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NOTATION



V_i	: Average linear velocity of groundwater flow
ε	: Porosity of the aquifer
K_{ij}	: Hydraulic conductivity
h	: Hydraulic head
x_i	: The Cartesian coordinates
S_s	: Specific storage
t	: Time
W	: Volumetric flux per unit volume
C	: Release concentration
D_i	: Dispersion coefficient
c'	: Concentration of the source/sink fluid
nm	: Number of monitoring wells
np	: Number of observed concentration
$C_{ij, sim}$: Simulated concentration at j th terminated time period in i th monitoring well
$C_{ij, obs}$: Observed concentration sampled at j th terminated time period in i th monitoring well
NS	: Number of the trial solutions of release period and concentration generated at a candidate location
NT	: Number of candidate location generated at one temperature
Q	: Releases rate of the source
Er	: The level of measurement error
RD	: Random number

Chapter 1 Introduction

1.1 Background

Recently, the issues about contaminant source identification and recovering the release history are getting more and more public concerned. Groundwater is an important source of drinking water and is necessary for agricultural and aquaculture uses. When a site is found to be contaminated, the source information including the source location, release magnitude, and period should be determined before taking the remedial strategies. Site remediation is very expensive, so the responsible parties should be found through the source identification works. In addition, incorrect information on contaminated source may confuse or mislead remedial strategy. Therefore, the technique for identifying groundwater contaminant source and its release history is important in solving the groundwater contamination problem. If the contaminant source release varies in time, the estimation of the actual source information is rather complicate and difficult. Thus, there is a need to develop an effective approach for identifying the contaminated sources and its release history based on the observed concentration data.

1.2 Literature review

Atmadja and Bagtzoglou (2001) pointed out the groundwater source identification problem is an ill-posed problem because the solution may not be unique

and stable. They also reviewed the available methods for source identification and recovering the release history and classified them under following four categories: optimization approaches, probabilistic and geostatistical simulation approaches, analytical solution and regression approaches, and direct approaches. Tracking the pollution source location usually needs to give an initial guess solution and run forward simulations first and then to search the best-fitted solution via an optimization approach. Probabilistic and geostatistical simulation approaches employ several probabilistic and statistical techniques to assess the probability of source locations (Sun, 2007). Atmadja and Bagtzoglou (2001) indicated this approach is applicable only when the location of the potential source is known in advance. Analytical solution and regression approaches can estimate all the parameters simultaneously but work well only for simple aquifer geometries and flow conditions. Direct approaches reconstruct the release history by solving governing equation directly.

Generally, the groundwater contaminant source identification problem can be classified into three categories, they are: (1) identifying source location, (2) recovering the release history, (3) identifying source location and recovering the release history simultaneously. For the identifying source location problem, Gorelick et al. (1983) proposed an optimization approach, employing the groundwater transport simulation model to incorporate with the linear programming and multiple

regressions to estimate the source information. In their study, only if the observed concentrations are relatively noise-free, the both two proposed approaches shall perform well. Hwang and Koerner (1983) employed a modified finite element model with a small number of monitoring well data to identify the pollution source by minimizing the sum of the squared errors between the sampling and simulated concentrations. National Research Council (1990) suggested that using trial-and-error method incorporated with a forward model to solve the source identification problem. Bagtzoglou et al. (1992) used particle methods to identify solute sources in heterogeneous site, and provided probabilistic estimates of source location and time history without relying on optimization approaches. Mahar and Datta (1997, 2000, and 2001) provided a serial investigation related to problems of source identification. They formulated the source information estimation problem as a constrained optimization form and used nonlinear optimization models to identify the source information for two-dimensional steady-state and transient groundwater flow problems. Sciortino et al. (2000) developed an inverse procedure based on the Levenberg-Marquardt method and a three-dimensional analytical model to solve the least-squares minimization problem for identifying the source location and the geometry of a nonaqueous pool under steady-state condition. Their study showed that the result is highly sensitive to the hydrodynamic dispersion coefficient.

Mahinthakumar and Sayeed (2005) combined genetic algorithm (GA) with local search methods (GA-LS) to solve the groundwater source identification problem. Their results exhibited that the GA-LS are more effectively than the individual heuristic approaches in the groundwater source identification problem.

For recovering the release history problem, Liu and Ball (1999) classified the problem of recovering the release history into two types: the function-fitting and full-estimation approaches. The function-fitting approach initially assumes that the source function is known and reformulates it as an optimization problem, and then employs the appropriate inverse methods to estimate the best-fit parameters of the source function (Gorelick et al., 1983; Wagner, 1992). The full-estimation approach is to recover the release history by matching the observed sampling concentrations with the simulated concentrations (Skaggs and Kabala, 1994, 1995, 1998; Woodbury and Urych, 1996; Snodgrass and Kitanidis, 1997; Woodbury et al., 1998; Liu and Ball, 1999; Neupauer and Wilson, 1999, 2001; Neupauer et al., 2000).

For simultaneously identifying source location and recovering the release history problem, Aral and Gaun (1996) proposed an approach called improved genetic algorithm (IGA) to determine the contaminant source information, including source location, leak rate, and release period. They indicated the results obtained from the IGA match with those obtained from linear and nonlinear programming approaches.

Based on GA algorithm and a groundwater simulation model, Aral et al. (2001) further developed a new combinatorial approach, defined as progressive genetic algorithm (PGA), to identify the source location and release history in steady state flow problem. Sun et al. (2006a) employed a constrained robust least squares (CRLS) method to recovery the release history of a single source, and the results of CRLS in their assumed example are better than several classic methods (i.e., ordinary least squares (LS), standard total least squares (TLS), and nonnegative least squares (NNLS)). Sun et al. (2006b) employed the CRLS combined with a branch-and-bound global optimization solver for identifying source locations and release histories. In their study, the results showed their new approach had better performance than a non-robust estimator. Milnes and Perrochet (2007) presented a direct approach method to identify a single point-source pollution location and contamination time under perfectly known flow field conditions. Recently, Yeh et al. (2007a) developed a novel source identification model, SATS-GWT, which combines simulated annealing (SA), tabu search (TS), and MODFLOW-GWT, to identify the constant source release problem. Their method can estimate the contaminant source information in a three-dimensional transient groundwater flow system. However, the source release history they considered is uniform in their case study.

Ho et al. (1992) presented a new approach called ordinal optimization algorithm

(OOA) which can solve complex optimization problems effectively and accurately.

Complex optimization problems usually require huge amount of computing time in obtaining the solution. The OOA is suitable for solving the complex optimization problem with sifting the most possible solution part for further evaluation (Ho and Larson, 1995; Lau and Ho, 1997; Ho, 1999).

1.3 Objective

This thesis aims at solving a more complicate groundwater contamination problem with a non-uniform source release history and large suspicious source area in a three-dimensional unsteady groundwater flow system. A method called SATSO-GWT is developed based on the ordinal optimization algorithm (OOA), roulette wheel approach, and SATS-GWT for dealing with such a complicate problem which contains at least fifteen unknowns including the location of three coordinates and six or more release periods with different concentrations. In order to examine the performance of SATSO-GWT, three scenarios are considered. They are: (1) the effect of different initial location, (2) the effect of measurement error, (3) the problem with a larger suspicious area with more complicated release periods.

Chapter 2 Methodology

2.1 Groundwater flow and transport simulation

Darcy's law can be written as (Konikow et al., 1996)

$$V_i = -\frac{K_{ij}}{\varepsilon} \frac{\partial h}{\partial x_j} \quad i, j = 1, 2, 3 \quad (1)$$

where V_i is a vector of the average linear velocity of groundwater flow [L/T], ε is the effective porosity (dimensionless), K_{ij} is the hydraulic conductivity tensor of the porous media [L/T], h is the hydraulic head [L], and x_i are the Cartesian coordinates. Combining Darcy's law with the continuity equation, the three-dimensional groundwater flow equation can be expressed as (Konikow et al., 1996)

$$\frac{\partial}{\partial x_i} \left(K_{ij} \frac{\partial h}{\partial x_j} \right) = S_s \frac{\partial h}{\partial t} + W \quad i, j = 1, 2, 3 \quad (2)$$

where S_s is the specific storage [L⁻¹], t is time [T], W is the volumetric flux per unit volume (positive for inflow and negative for outflow [1/T]). Equation (2) can be used to predict the hydraulic head distribution for the groundwater flow field.

The governing equation for three-dimensional solute transport in groundwater can be written as (Konikow et al., 1996)

$$\frac{\partial(\varepsilon C)}{\partial t} + \frac{\partial}{\partial x_i} (\varepsilon C V_i) - \frac{\partial}{\partial x_i} \left(\varepsilon D_{ij} \frac{\partial C}{\partial x_j} \right) - \sum C' W = 0 \quad i, j = 1, 2, 3 \quad (3)$$

where C is the contaminant concentration [M/L³], D_{ij} is a second-order tensor of

the dispersion coefficient $[L^2/T]$, and C' is the concentration of the source or sink fluid $[M/L^3]$. The average linear velocity V_i can be determined by equation (1). The computer model MODFLOW-GWT developed by the United States Geological Survey (USGS) and developed based on equations (2) and (3) can be used to simulate the groundwater flow and contaminant transport simultaneously. This model combined the modular three-dimensional finite-difference ground-water flow model, MODFLOW-2000, (Harbaugh et al. 2000) and the three-dimensional method-of-characteristics solute-transport model (MOC3D) (Konikow et al. 1996) to simulate groundwater flow field and spatial and temporal plume distribution, respectively.

2.2 Simulated annealing

The concept of SA is based on an analogy to crystallization process of the physical annealing from a high temperature state. Annealing is a physical process of heating up a solid to a very high temperature and then slowly cooling the solid down until it crystallizes. If the temperature is cooled properly, a most stable crystalline structure of the rock will be gained with the system reaching a minimum energy state. The set of solution space looks like the different crystalline structures and the optimal solution is equivalent to the most stable crystalline structure.

In the SA, the Metropolis mechanism is employed to determine the acceptance of

adjacent solution. The Metropolis mechanism has a property to let the SA having the ability to accept the bad solution, preventing the SA from having the same defect as the descent method. Figure 1 is the flowchart of the SA algorithm (Pham and Karaboga, 2000). Yeh et al. (2007a) gave more detailed introduction on the algorithm of SA. The SA been successfully applied to various types of problem such as the THM forecast (Lin and Yeh, 2005), aquifer parameter estimation (e.g., Yeh and Chen, 2007b; Huang and Yeh, 2007c), pipe wall surface reaction rate (Yeh et al., 2008), and pumping source information (Lin and Yeh, 2008).

2.3 Tabu search

Glover (1986) proposed the two main concepts of TS: memory and learning. The objective of tabu is through interdicted some attributes and improved the search more efficient and accurate. Through memory and learning, the TS is able to have more intensification and diversification in algorithm. Memory means to memorize the passed by solutions and to avoid the repetition of evaluations. During the process of learning, the prior result is memorized to influence the result of next experiment. A better result may encourage the next trial to increase the accuracy of the obtained solution. Then through the learning result, the following search can focus on the better solutions but not wasting time on worse solutions. According to these two ideas, TS utilizes the tabu list and aspiration criterion to interdict or to

encourage some trial solutions during the iterative process. The utility of the tabu list is to memorize some lately evaluated trial solutions. The goal of the aspiration criteria is to release some of the solutions memorized in the tabu list to avoid the iteration cycling and may finally trap solutions in a local optimum. Figure 2 illustrates the flowchart of the TS algorithm. The TS been successfully applied to identify optimal parameter structure (Zheng and Wang, 1996) and spatial pattern of groundwater pumping rates (Tung and Chou, 2004).

2.4 Ordinal optimization

Recently, the OOA has been applied to many areas in terms of simulation-based complex optimization problem. The OOA has two major tenets: ordinal comparison and goal softening procedures. The ordinal comparison procedure is to see the relative relationship between each solution because it is much easier to find better solutions. The goal softening procedure is to determine a reliable and good enough solution instead of directly evaluating the optimal solution in a complex optimization model. The purpose of goal softening procedure is to reduce the consumption time on computer calculation and to obtain the optimum solution from the feasible solution space. To get the top proportion solutions is much easier than to find out the best one. Lau and Ho (1997) showed that the OOA ensures that top 5% solutions can be regard as good enough solutions and have very high probability (≥ 0.95) to be

reliable.

According to the OOA, all the possible trials are estimated coarsely and ranked quickly. The solution domain is divided to several different parts, the possible optimum solution located in which sub-domain might be effortlessly to recognize. The optimum solution can then be easily to obtain while all the calculation efforts are focused in searching the possible sub-domain. Therefore, a crude model should first be employed to estimate and rank the solution, and then the good solutions can be differentiated from the bad solutions. Then, the goal softening procedure is focused on the top proportion solutions to determine the optimum solution. Accordingly, the simulation time can be reduced effectively. The OOA been successfully applied to power system planning and operation (Guan et al., 2001; Lin et al., 2004), the electricity network planning (Liu et al., 2006) and the wafer testing (Lin and Horng, 2006) and so on.

2.5 Roulette wheel

The roulette wheel selection method is an important part of GA. The key concept of GA is survival of the fittest by natural selection. Better solutions have good objective function values and thus the areas occupied on roulette wheel are larger in proportion and their corresponding solutions will be selected with greater probability. During the process of iteration, the ones that hope good solutions can

constantly be selected. Strengthen and calculate in good solution nearby, will have a very high chance to find out the global optimal solution. Through this method, much time can be saved to avoid evaluating the bad solutions.

2.6 SATSO-GWT model

A new model called SATSO-GWT is developed based on SATS-GWT and OOA.

The objective function value in SATSO-GWT is to minimize the sum of square errors between the simulated concentration and observed concentration and could be defined as

$$\text{Minimize } f = \frac{1}{nm \times np} \sum_{j=1}^{np} \sum_{i=1}^{nm} (C_{ij, sim} - C_{ij, obs})^2 \quad (4)$$

where nm is the total number of monitoring wells, np is the number of observed concentration measured in a monitoring well, $C_{ij, sim}$ is the simulated concentration at j th terminated time period in i th monitoring well, $C_{ij, obs}$ is the observed concentration sampled at j th terminated time period in i th monitoring well. The value $nm \times np$ is generally greater than the number of unknowns (Yeh et al., 2007a). Equation (4) is used to calculate the objective function value of the trial solution generated by the approach.

Figure 3 shows the flowchart of SATSO-GWT while Figures 4 and 5 show the flowchart of the TS process and OOA, respectively. TS and SA are used to generate the candidate location and NS trial solutions for the release period and concentration,

respectively. The objective function value is then calculated based on the sampled concentrations and simulated concentrations generated based on those source location and the release periods and concentrations. Each candidate location is regarded as one sub-domain and the OOA is utilized to choose the best 5% sub-domains. The best combination of the source location and the release periods and concentrations, i.e., the least objective function value, is recorded at each sub-domain. Totally, NT locations are generated by TS at each temperature; therefore, NT sets of best combination are obtained. As the number of generated combinations reaches total candidate locations about 3 times for several temperature levels, the top 5% best sub-domains, can be sifted. After obtaining the top 5% best sub-domains, the roulette wheel method is applied and the best combination regarding source release information has more opportunity to be chosen when decreasing the temperature. In reality, the real source location falls in the best combinations. The algorithm is terminated when the objective function values are less than 10^{-6} four times successively. Finally, the latest updated solution, including the estimated location and the release concentrations and time periods, is considered as the final solution.

Chapter 3 Results and discussion

3.1 Example contamination site

An example groundwater contamination site is given to illustrate the source information estimation procedure of the proposed algorithm SATSO-GWT. The domain of the site is divided into 27X27X4 finite difference meshes in x -, y -, and z -directions. The grid width and length are 20 m and the grid height is 6 m. Thus, the total length and width of the site are both 540 m, and the aquifer thickness is 24 m. Assume that the real source is located at S1 and consistently releases concentrations of 100 ppm over the first 180 days and 50 ppm over the second 180 days. The contaminant is assumed no decay and not adsorbed on the aquifer media. The site is heterogeneous and divided into three different areas with the hydraulic conductivities of being 20 m/day, 10 m/day, and 30 m/day in areas I, II, and III, respectively. The aquifer porosity, specific storage, and hydraulic gradient are 0.3, 10^{-4} m^{-1} , and 0.009, respectively. The recharge rates are 120 mm/year, 80 mm/year, and 100 mm/year in areas I, II, and III, respectively, in the first 180 days. The dispersion coefficients in x -, y -, and z - direction are $40 \text{ m}^2/\text{day}$, $10 \text{ m}^2/\text{day}$, and $1 \text{ m}^2/\text{day}$, respectively. The finite difference grids are block-centered and the boundary conditions for the flow system are shown in Figure 6. The slash grids represent the no flow boundary. The origin of the vertical coordinate is taken at the land surface and the source S1 is

located at (110 m, 270 m, -9 m) and releases a rate (Q) of 1 m³/day with the concentrations of 100 ppm and 50 ppm over first and second 180 days. Yeh et al. (2007a) mentioned that the number of sampling points should be greater than the number of unknowns. There are at least seven unknowns to be determined in this case study including the three coordinates of the source location and the two or more release periods and concentrations. Accordingly, eight sampling points, i.e., wells A to H shown in Figure 6, with various depths are considered. Note that A2 means that the sampling point is located at the second layer below the ground surface of the monitoring well A. The measured concentrations at these sampling points are listed in Table 1. The groundwater transport model MODFLOW-GWT developed by (USGS) is utilized to generate the simulated concentrations at these monitoring wells and the SATSO-GWT is used to identify the source information.

Before the source identification, a block with 3X3X4 meshes is delineated as a suspicious area which contains the contamination source. Thus, there are 36 candidate sources within the block and one of the candidates is the target source. The lower and upper bounds of the release period are taken as 0 day and 400 days, respectively, and the release concentration are 0 ppm and 200 ppm, respectively. If the release period and concentration should have accuracy to the first decimal place, then the total number of possible solutions will be $36 \times 2000^2 \times 4000^2$. Such a

solution space is very huge and poses a large computational burden to find the target source information. Therefore, the OOA is adopted in SATSO-GWT for the identification. Once the generated combinations for the source location and the source release periods and concentrations reach total candidate locations about 3 times, the top 5% combinations with different source locations could be sifted. To state more specifically, the top 2 best locations ($36 \times 0.05 = 1.8 \approx 2$) can be sifted. Table 2 displays the result of the sifted locations from different initial locations. As shown in Table 2, the real source location (110m, 270m, -9m) already falls within the top 2 best locations and, thus, the solution space is largely reduced. Note that the parameters NS , NT , initial temperature and reduce temperature factor are taken as 20, 10, 0.5, and 0.7 respectively.

Table 3 displays the analyzed results using the SATS-GWT and SATSO-GWT. Note that these two algorithms use the same SA parameter values and initial location, i.e., at (290m, 130m, -21m). SATS-GWT takes six days and four hours to get a fairly good result while SATSO-GWT only consumes one day and two hours and obtain more accurate result on a personal computer with Intel Pentium D 3.2GHz CPU and 1 GB RAM.

To examine the performance of SATSO-GWT, following three scenarios are considered: (1) the effect of different initial location, (2) the effect of measurement

error, (3) the problem with a larger suspicious area with more complicated release periods.

3.2 Effect of different initial location

The first scenario contains eight cases to study the effect of using different initial location on the identify result. The target area of candidate location is rectangular. Therefore, eight suspicious sources located right at the corners of the target area are chosen to test the influence of the different initial location. Table 4 shows the estimated results for the source location and two release periods and concentrations. In these eight cases, the estimated source locations are all correct, i.e., the real source location is located at (110 m, 270 m, -9 m). In addition, the estimated release periods and concentrations in these eight cases are fairly good if compared with the real release data.

3.3 Effect of measurement errors

The second scenario is to test the performance of SATSO-GWT when the simulated sampling concentrations contain random measurement errors. The disturbed observed concentrations are expressed as (Mahar and Datta, 2001):

$$C'_{i,obs} = C_{i,obs} \times (1 + Er \times RD_1) \quad (5)$$

where $C'_{i,obs}$ is the disturbed observed concentration, Er is defined as the level of measurement error, and RD_1 is a random standard normal deviate generated by the

routine RNNOF of IMSL (2003). Three different values of Er , 1 %, 5 %, and 10 %, are considered for this scenario.

The estimated results shown in Table 5 indicate that the source location is all correctly identified when $Er = 1 \%$, 5 %, and 10 %. When $Er = 1 \%$, the max relative error is only 1.58 % for the obtained two release concentrations and periods.

It shows that SATSO-GWT gives good estimated results when the measurement

errors are very small. As Er increased to 5 %, the max relative error in the obtained

results is 7.42 % occurred at the second release concentration. As $Er = 10 \%$, the

estimated results show that the relative error for the first release period is 5.11 %, for

the first release concentration is 4.96 %, for the second release period is 6.54 %, and

for the second release concentration is 13.04 %. The max relative error occurs at the

second release concentration which deviates from the target concentration 6.5 ppm.

These results indicate that even the sampling concentrations contain measurement

error levels up to 10 %, the proposed SATSO-GWT still gives fairly good results.

3.4 Larger suspicious area and more release periods

It has been shown that SATSO-GWT can reduce the problem domain based on

OOA for a complex combinatorial source information identification problem. Thus,

the last scenario is to test the ability of SATSO-GWT when applied to the case of a

larger suspicious area which has 100 candidate sources (5 rows \times 5 columns \times 4

layers) delineated by the broken lines as shown in Figure 7. On the source release history, this scenario considers a more complicated release periods problem which has the source release over one year and each two months is an interval. The release history contains concentrations of 100 ppm, 200 ppm, 150 ppm, 50 ppm, 100 ppm, and 70 ppm for those six intervals. Therefore, totally fifteen unknowns are considered here, i.e., the location of three coordinates and six release periods with different concentrations. Accordingly, sixteen sampling data, i.e., wells A to H with two different time period data are considered. Assume that the concentration data are sampled twice, i.e., at the times 360 days and 390 days as listed in Table 6.

The parameter NT associated with the generated locations by TS at each temperature is taken as 25 to accommodate larger candidate locations. Because the number of the total candidate locations is 100, so the top 5 best locations are chosen by the OOA. Table 7 displays the top 5 best locations and the estimated result of SATSO-GWT. In Table 7, SATSO-GWT gives excellent results with the correct source location and the estimated source release histories are very close to the target one. In this scenario, the SATSO-GWT is utilized to simplify the problem domain first, and then intensively searching the best fit solution from much smaller problem domains. This scenario has fifteen unknown variables and the SATSO-GWT take two days and twenty-three hours to obtain the result when using a personal computer

with Intel Pentium D 3.2GHz CPU and 1 GB RAM. Accordingly, even if the suspicious areas is large and the release history is in a complicate pattern, the SATSO-GWT demonstrates it ability in identifying the source information with good results.



Chapter 4 Concluding remarks

A new identification model SATSO-GWT has been developed based on the OOA and SATS-GWT for solving the transient source release problem. The OOA is used to find good solutions effectively for a problem with a large amount of unknowns. The SATSO-GWT combines the merit of SA, TS, OOA, and roulette wheel method to solve the complex source information identification problem effectively and accurately. The SATSO-GWT utilized the spatial data to identify the source information.

SATSO-GWT gives correct estimated location and good estimated release periods and concentrations in eight cases with different initial guess location. In addition, the SATSO-GWT gives fairly good results when the sampling concentration having measurement errors, even the error level is up to 10%. For a large target area with a complex release history which has six release periods with six different concentrations, the SATSO-GWT can also give excellent results demonstrating its capability in dealing with such the problem. According to the result of the final scenario, the more complex release history problem could also be solved as long as the computing time is long enough. The model SATSO-GWT provides effective measures in solving the complex groundwater contaminated identification problem.

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Table 1 The sampling points and measured concentrations when the real source is located at the depth of -9 m.

Sampling point	Measured concentration (ppm)
A2	2.231E-01
B1	1.536E-01
C2	1.930E-01
D4	1.215E-01
E3	6.441E-02
F2	1.195E-01
G1	1.675E-01
H3	1.213E-01

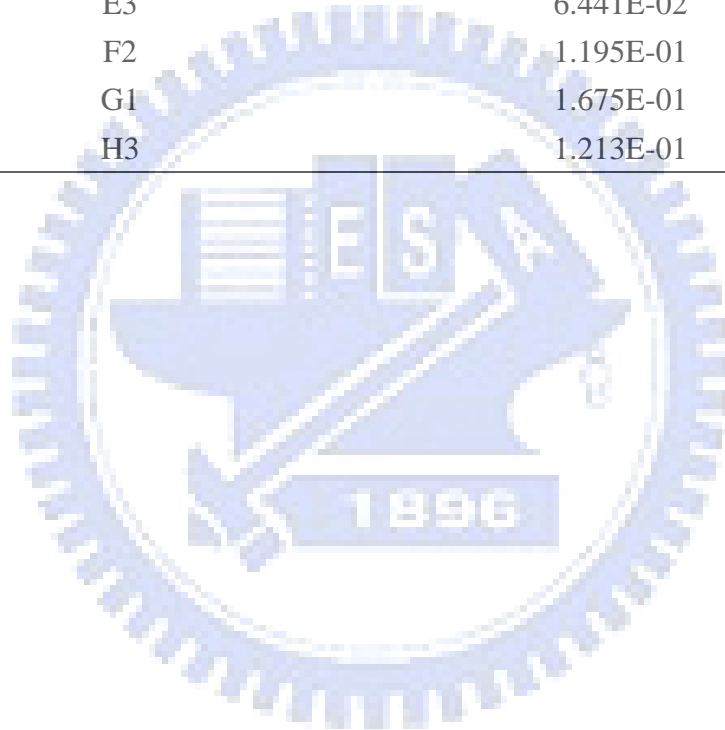


Table 2 Results of 8 cases for sifting the top two locations

Case	Initial guess value	Sifted results			
	Guess source location (m)	1 st source location (m)	Current objective function value ($\times 10^{-5}$)	2 nd source location (day)	Current objective function value ($\times 10^{-5}$)
1	(250, 90, -3)	(110, 270, -9)	2.408	(90, 270, -9)	7.418
2	(250, 90, -21)	(110, 270, -9)	2.068	(90, 270, -9)	8.217
3	(250, 130, -3)	(90, 270, -9)	3.628	(110, 270, -9)	5.354
4	(250, 130, -21)	(110, 270, -9)	0.503	(90, 270, -9)	13.106
5	(290, 90, -3)	(90, 270, -9)	1.739	(110, 270, -9)	3.448
6	(290, 90, -21)	(110, 270, -9)	0.345	(90, 270, -9)	2.393
7	(290, 130, -3)	(110, 270, -9)	1.977	(90, 270, -9)	5.492
8	(290, 130, -21)	(90, 270, -9)	2.582	(110, 270, -9)	3.276

Note that the target source is located at (110m, 270m, -9m).

Table 3 Analyzed results from the SATS-GWT and SATSO-GWT

Methodology	Source location (m)	Result				Computer time	Objective function value ($\times 10^{-9}$)
		First release period (day)	First Release concentration (ppm)	Second Release period (day)	Second Release concentration (ppm)		
SATS-GWT	(110, 270, -9)	192.18	144.60	49.47	200.27	6 days 4 hours	1057.7
SATSO-GWT	(110, 270, -9)	180.19	99.90	179.58	50.02	1 days 2 hours	4.145

Table 4 Results of 8 cases for studying the effect of different initial locations

Case	Initial guess value	Result					Objective function value ($\times 10^{-9}$)
	Guess source location (m)	Source location (m)	First release period (day)	First Release concentration (ppm)	Second Release period (day)	Second Release concentration (ppm)	
1	(250, 90, -3)	(110, 270, -9)	178.90	100.14	180.04	49.99	6.035
2	(250, 90, -21)	(110, 270, -9)	180.25	99.65	179.41	49.92	6.053
3	(250, 130, -3)	(110, 270, -9)	177.38	100.91	180.14	50.01	7.483
4	(250, 130, -21)	(110, 270, -9)	179.86	99.99	180.11	49.97	2.031
5	(290, 90, -3)	(110, 270, -9)	180.01	99.92	180.10	50.07	2.165
6	(290, 90, -21)	(110, 270, -9)	180.14	99.80	179.55	49.91	4.520
7	(290, 130, -3)	(110, 270, -9)	179.38	99.76	178.53	49.78	9.155
8	(290, 130, -21)	(110, 270, -9)	180.19	99.90	179.58	50.02	4.145

Note that the real source is located at (110m, 270m, -9m), real release concentration is 100 ppm over the first 180 days, and 50 ppm over the second 180 days.

Table 5 Results of the cases when sampling concentrations have measurement error

Case	Error level (%)	Result					Optimal objective function value ($\times 10^{-7}$)	Max relative error (%)
		Source location (m)	First release period (day)	First Release concentration (ppm)	Second Release period (day)	Second Release concentration (ppm)		
1	1	(110,270,-9)	179.55	99.77	177.14	49.29	0.490	1.58
2	5	(110,270,-9)	185.51	98.27	174.49	46.29	3.452	7.43
3	10	(110,270,-9)	189.21	95.04	168.23	43.48	9.835	13.04

Note that the real source is located at (110m, 270m, -9m), real release concentration is 100 ppm over the first 180 days, and 50 ppm over the second 180 days.

Table 6 The sampling points and measured concentrations when the real source is located at the depth of -9 m.

Sampling point	Measured concentration (ppm)	
	T = 360 (day)	T = 390 (day)
A2	3.467E-01	2.981E-01
B1	2.124E-01	1.997E-01
C2	2.882E-01	2.496E-01
D4	1.586E-01	1.553E-01
E3	9.521E-02	9.418E-02
F2	1.710E-01	1.608E-01
G1	2.103E-01	1.998E-01
H3	1.671E-01	1.587E-01

Table 7 Results of the larger suspicious areas and more release periods

Initial guess source location	Sifted results						Real source location (m)	
	Rank	Sifted location (m)			Current objective function value ($\times 10^{-4}$)			
(150, 310, -21)	1 st	(110, 270, -9)			0.371		(110, 270, -9)	
	2 nd	(90, 270, -9)			3.277			
	3 rd	(130, 270, -9)			4.650			
	4 th	(90, 270, -3)			10.61			
	5 th	(90, 250, -9)			12.77			
Final result								
Estimated Source location	First release period (day)	Second Release period (day)	Third release period (day)	Fourth Release period (day)	Fifth Release period (day)	Sixth Release period (day)	Optimal objective function value ($\times 10^{-7}$)	Computer time
	60.012	56.509	66.845	55.070	61.556	60.587		
(110, 270, -9)	First Release concentration (ppm)	Second Release concentration (ppm)	Third Release concentration (ppm)	Fourth Release concentration (ppm)	Fifth Release concentration (ppm)	Sixth Release concentration (ppm)	8.191	2 days 23 hours
	105.53	194.59	147.29	56.852	97.929	70.829		

Note that the real source is located at (110m, 270m, -9m), real release concentration is 100 ppm over the first 60 days, 200 ppm over the second 60 days, 150 ppm over the third 60 days, 50 ppm over the fourth 60 days, 100 ppm over the fifth 60 days, and 70 ppm over the sixth 60 days.

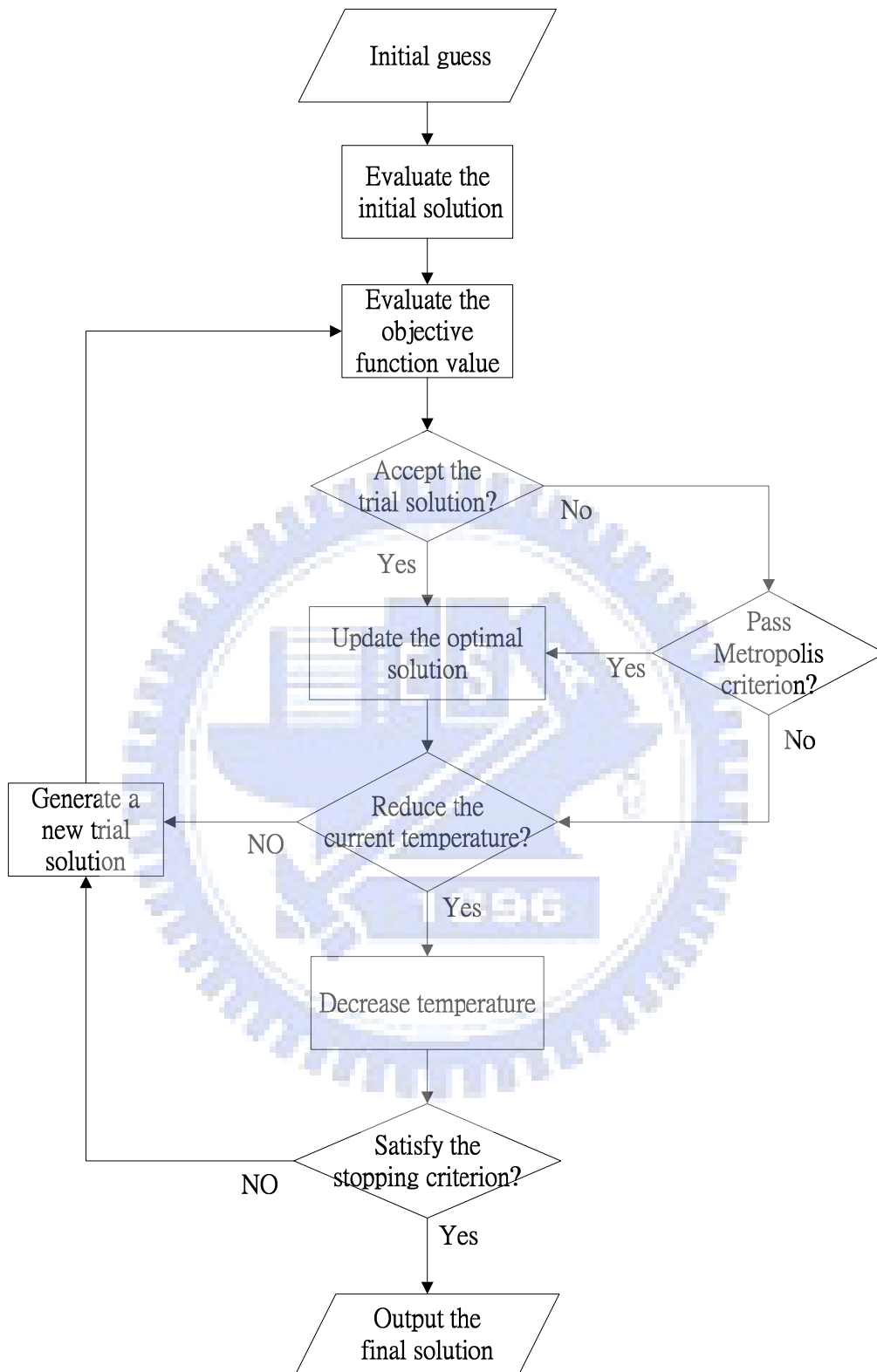


Figure 1 Flowchart of SA algorithm.

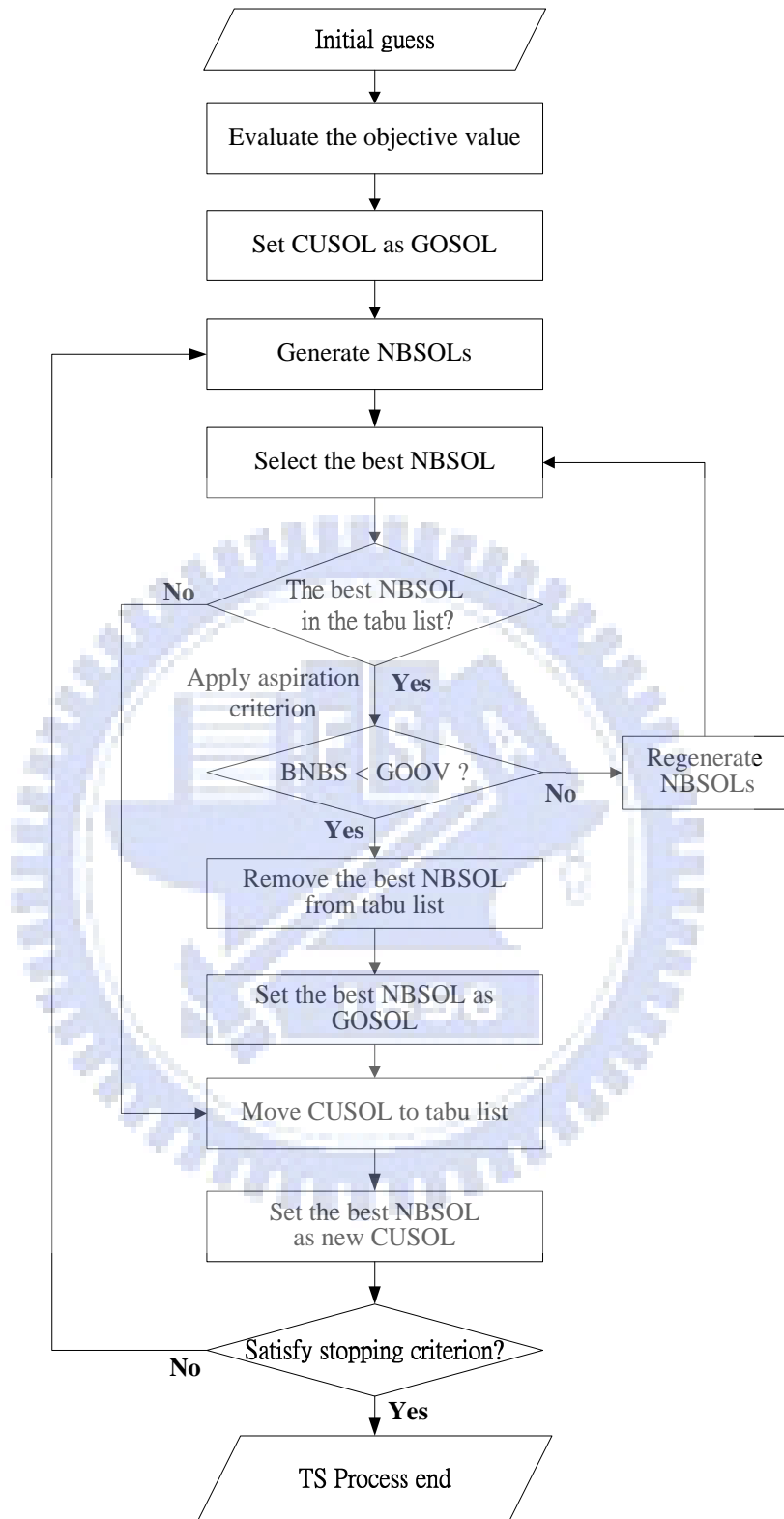


Figure 2 Flowchart of TS algorithm. The CUSOL represents the current solution, GOSOL represents the global optimal solution, NBSOL represents the neighborhood solution, BNBS represents the best NBSOL, and GOOV represents the global optimal objective value.

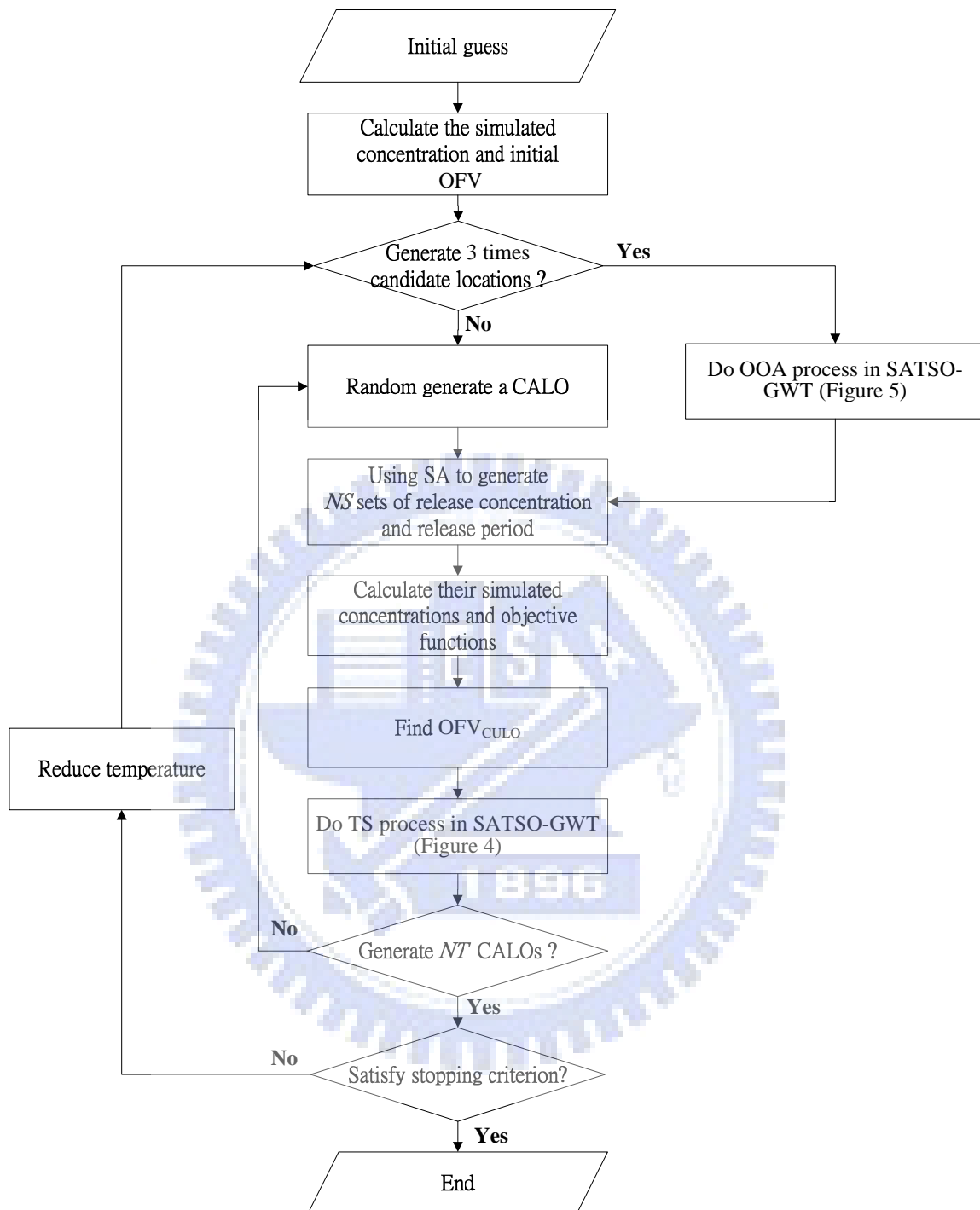


Figure 3 Flowchart of SATSO-GWT. The OFV represents the objective function value, CALO represents the candidate location, and OFV_{CULO} represents the optimal objective function value at current location.

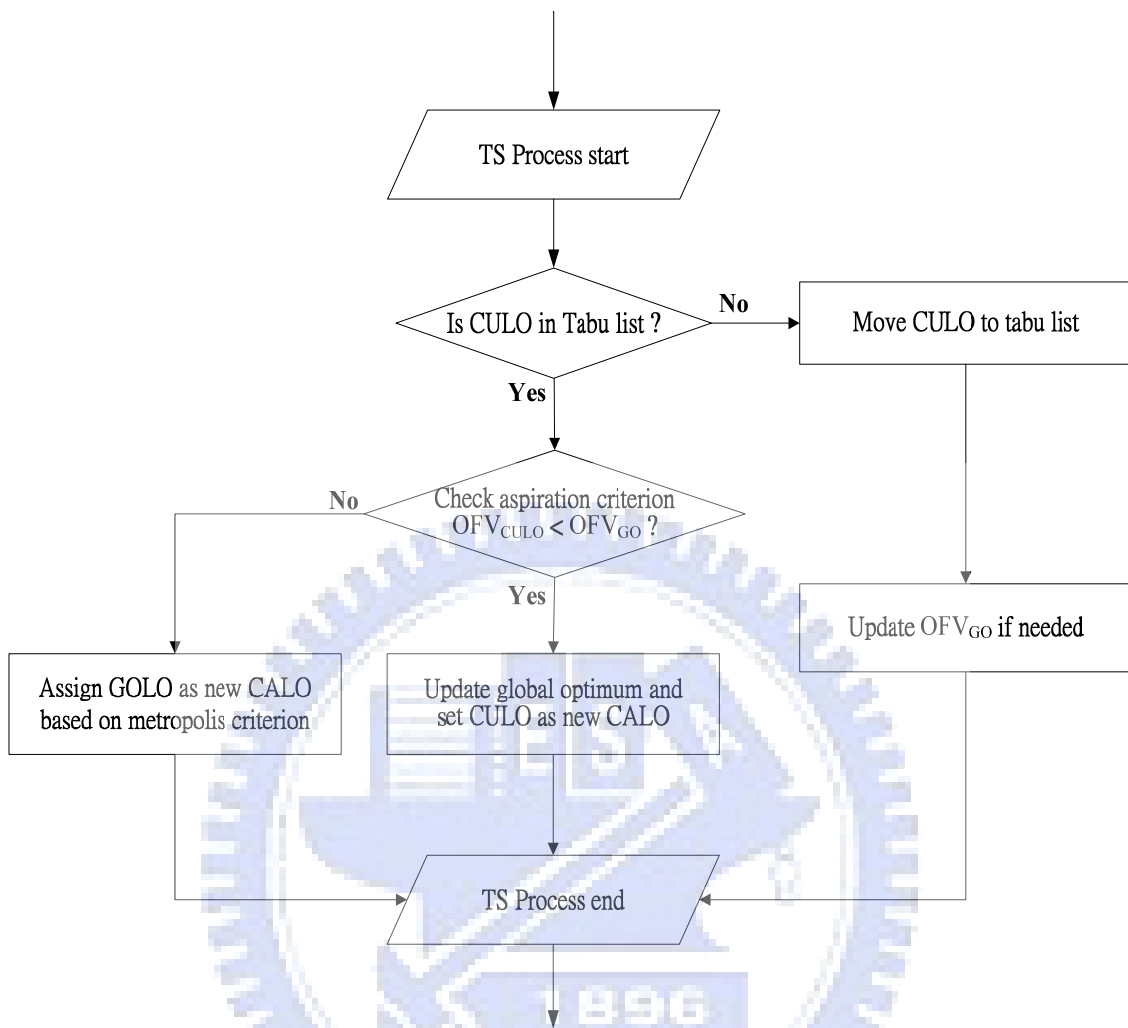


Figure 4 Flowchart of TS process in SATSO-GWT. The OFV_{GO} represents the current global optimal objective function value, OFV_{CULO} represents the optimal objective function value at current location, GOLO represents the global optimal location, CALO represents the candidate location, and CULO represents the current location.

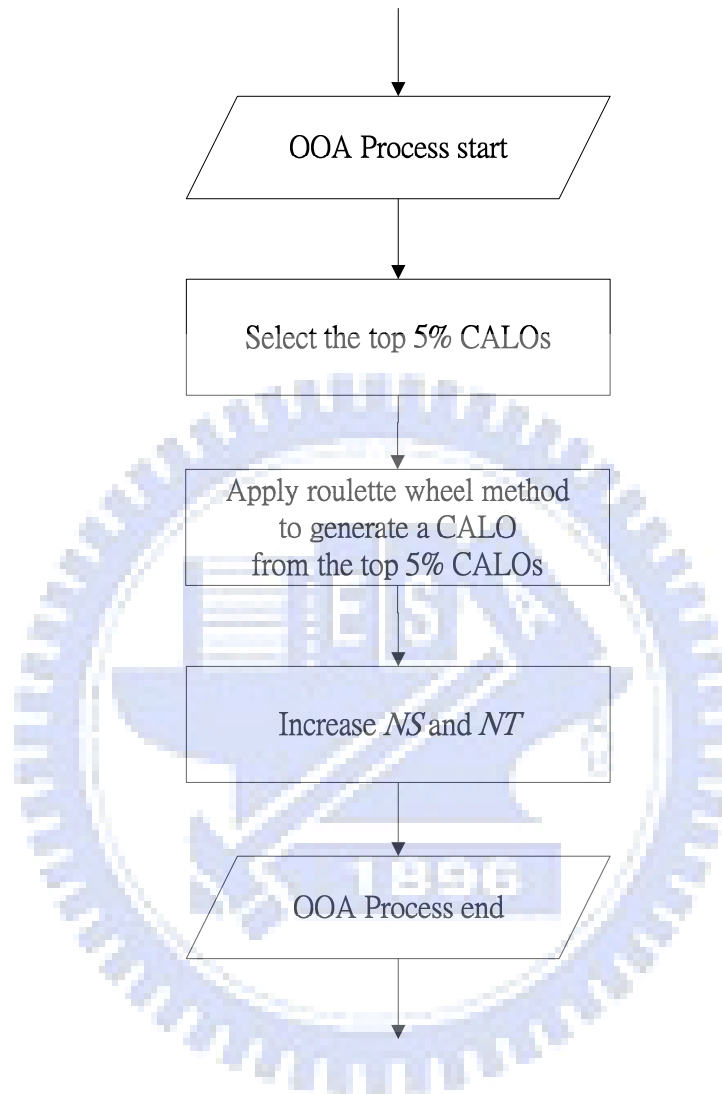


Figure 5 Flowchart of OOA in SATSO-GWT. The CALO represents the candidate location.

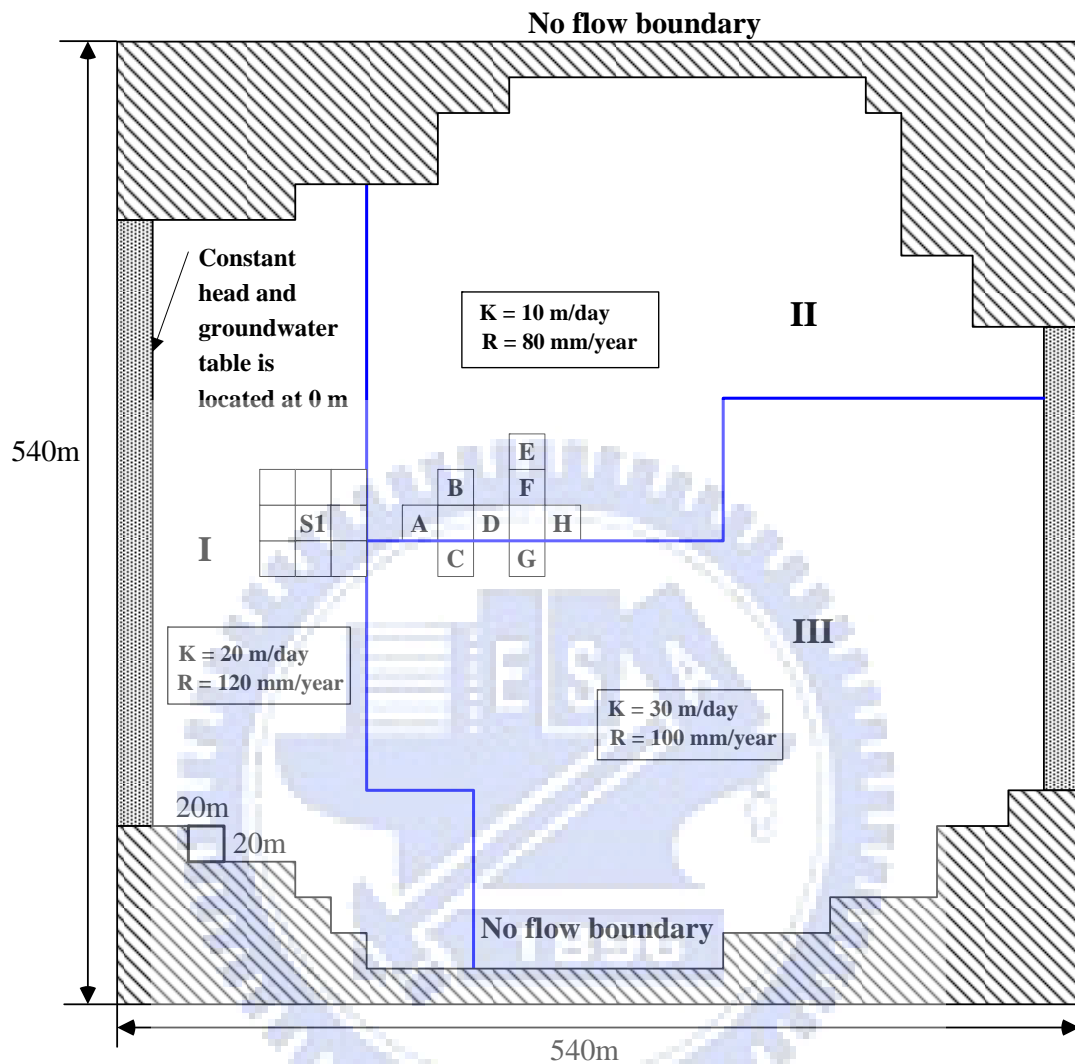


Figure 6 The groundwater flow system has an area of 540m by 540m and the problem domain is divided into three areas with different hydraulic conductivities and recharge rates. The real source is located at S1 and A to H represents the monitoring wells. The slash grids represent no flow boundary.

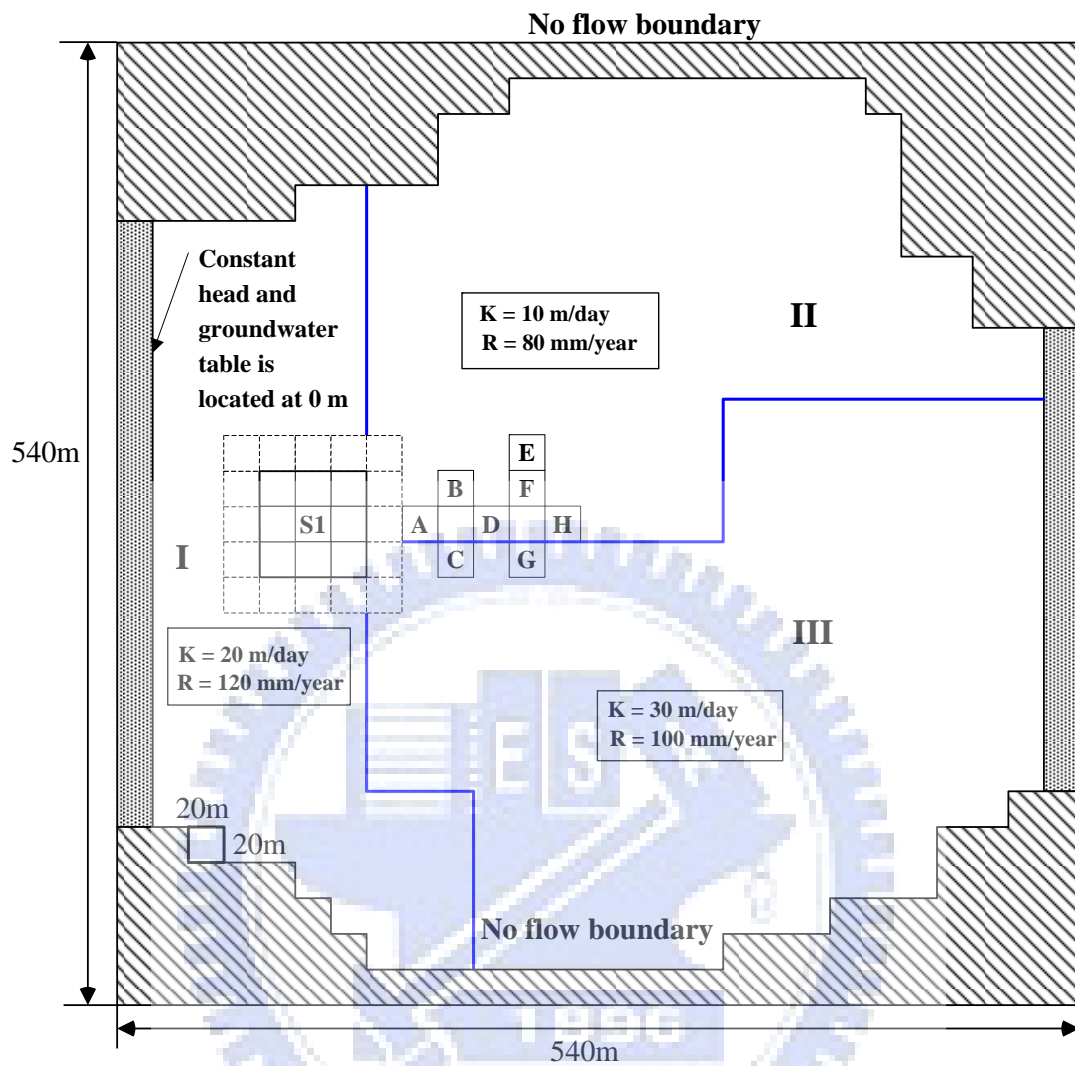


Figure 7 A larger suspicious areas delineated by the broken lines with totally 100 suspicious areas (5 rows \times 5 columns \times 4 layers). The hydrogeological conditions of the flow system are the same as those shown in Figure 6.

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