

# 國立交通大學

電子工程學系 電子研究所  
碩士論文

高效能且低成本之可參數化快速傅利葉轉  
換硬體產生器

**A Parameterizable Generator for High-Performance and  
Low-Cost FFT Cores**

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中華民國九十八年九月

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A Thesis

Submitted to Department of Electronics Engineering & Institute of Electronics  
College of Electrical & Computer Engineering  
National Chiao Tung University  
in Partial Fulfillment of the Requirements  
for the Degree of  
Master  
in  
Electronics Engineering & Institute of Electronics

September 2009  
Hsinchu, Taiwan, Republic of China

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## 摘要

快速傅利葉轉換處理器相當廣泛的應用在訊號處理系統及通訊系統中。雖然現存的文獻提供了許多快速傅利葉轉換處理器的架構，但要能夠在給定的條件下挑選出最適合的架構仍是一個相當重要的技術問題。一個快速傅利葉轉換處理器產生器，不但可以增加設計的生產力，同時也可以縮短整個系統設計開發的時程。在這篇論文中，我們針對管線化的快速傅利葉轉換架構提出了面積與通量折衷的方法，且能自動地產生對應的硬體設計。實驗結果顯示，我們在通量的限制之下，可以產生硬體面積較小的架構。

# A Parameterizable Generator for High-Performance and Low-Cost FFT Cores

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## Abstract

The Fast Fourier Transform (FFT) processors are widely used in signal processing systems and communication systems. Many FFT architectures are proposed in literature to meet different applications. While designing an FFT processor, one of the most difficult issues is to choose the best architecture under the design constraints. An FFT generator can not only improve the productivity but also shorten time-to-market. In this thesis, we propose approaches which can make appropriate design trade-off between throughput and area of pipeline FFT architectures, and automatically generate the corresponding hardware design. The experimental results show that the proposed methodology can generate area-efficient architectures under throughput constraints.

# Acknowledgment

I deeply appreciate my advisor Professor Jing-Yang Jou, for his guidance and encouragement. I am very thankful to my co-guidance advisor Professor Juinn-Dar Huang, for his guidance. I also deeply appreciate Bu-Ching Lin for his constructive suggestion in this work. Special thanks to all members of NCTU EE EDA LAB for the happy time during the past two years. Finally, I would like to express my sincere appreciate to my family for their support and encouragement.



# Content

高效能且低成本之可參數化快速傅利葉轉換 硬體產生器.....	i
摘要.....	iii
A Parameterizable Generator for High-Performance and Low-Cost FFT Cores .....	iv
Abstract.....	iv
Acknowledgment.....	v
Content.....	vi
List of Figures.....	vii
List of Tables.....	ix
Chapter 1 Introduction .....	1
Chapter 2 Preliminary.....	4
2.1 FFT Algorithms.....	4
2.1.1 Radix-2 DIF Algorithm.....	4
2.1.2 Radix-4 DIF Algorithm.....	8
2.1.3 Radix-2 <sup>2</sup> Algorithm.....	9
2.1.4 Split-Radix Algorithm.....	12
2.2 FFT Architectures .....	12
2.2.1 Pipeline Architectures .....	12
2.2.2 Memory-Based Architectures .....	15
2.3 Automatic FFT Generation .....	16
Chapter 3 Proposed Approach .....	22
3.1 Motivation.....	22
3.2 R2 <sup>2</sup> MDC Vertical Expansion Architecture .....	23
3.2.1 Interconnection Permutation Matrix.....	24
3.2.2 The Proposed R2 <sup>2</sup> MDC Vertical Expansion Architecture .....	25
3.2.3 The Limitation of R2 <sup>2</sup> MDC Compression.....	27
3.3 R2MDC Horizontal Compression Architecture.....	30
3.4 Summary.....	32
Chapter 4 Experiments.....	33
4.1 Experimental Environment.....	33
4.2 Experimental Results .....	34
Chapter 5 Conclusions and Future Work .....	39
Reference .....	40

# List of Figures

Figure 1 An example architecture of OFDM system	1
Figure 2 Characteristic 2 of twiddle factor	5
Figure 3 Characteristic 3 of twiddle factor	5
Figure 4 Flow graph of the decomposition of an N-point DFT computation into two (N/2)-point DFT computations (N=16)	7
Figure 5 Flow graph of the complete decomposition of a 16-point FFT computation	7
Figure 6 Butterfly graph of Radix-2 DIF FFT	8
Figure 7 Butterfly graph of Radix-4 DIF FFT	9
Figure 8 Flow graph of the decomposition of an N-point DFT computation into four (N/4)-point DFT computations (N=16) with radix-2 <sup>2</sup> algorithm	11
Figure 9 Flow graph of the complete decomposition of a 16-point FFT computation with radix-2 <sup>2</sup> algorithm	11
Figure 10 R2SDF architecture (N=16)	13
Figure 11 R4SDF architecture (N=16)	13
Figure 12 R2 <sup>2</sup> SDF architecture (N=16)	14
Figure 13 R2MDC architecture (N=16)	14
Figure 14 R4MDC Architecture (N=16)	15
Figure 15 R2 <sup>2</sup> MDC architecture (N=16)	15
Figure 16 A memory-based architecture example	16
Figure 17 Pease architecture (N=16)	17
Figure 18 Overview of the folded techniques	17
Figure 19 Overview of the folded techniques example for N=16	18
Figure 20 A full horizontally-folded Pease FFT for N=16	19
Figure 21 A full horizontally-folded and vertically-folded Pease FFT for N=16	19
Figure 22 An overview of stride permutation	20
Figure 23 An example of $L_2^4$	20
Figure 24 The $D_m$ block	20
Figure 25 An example of (j,k)=(1,1)	21
Figure 26 An example of (j,k)=(2,1)	21
Figure 27 An example of (j,k)=(2,2)	21
Figure 28 An example of (j,k)=(4,1)	21
Figure 29 Illustration of $-j$ multiplication	22
Figure 30 R2 <sup>2</sup> MDC architecture with throughput = $\frac{1}{8}$ , N=16	23
Figure 31 Examples of $I_4$ and $I_8$	24

Figure 32 General form of R <sup>2</sup> MDC vertical expansion architecture	25
Figure 33 Example of R <sup>2</sup> MDC vertical expansion architecture for t=1	25
Figure 34 Example of R <sup>2</sup> MDC vertical expansion architecture for t=2	26
Figure 35 Example of R <sup>2</sup> MDC vertical expansion architecture for t=4	26
Figure 36 Example of R <sup>2</sup> MDC vertical expansion architecture for t=8	27
Figure 37 Hardware usage comparison based on R <sup>2</sup> MDC architecture for N=16, throughput= $\frac{1}{8}$ .	28
Figure 38 Hardware usage comparison based on R2MDC architecture for N=16, throughput= $\frac{1}{8}$ .	29
Figure 39 Examples of horizontal compression for N=16, (a) $t=1$ (b) $t=\frac{1}{2}$ (c) $t=\frac{1}{4}$	31
Figure 40 Complex Multiplier	33
Figure 41 Relation between throughput and area for Pease and R2MDC, N=256	34
Figure 42 Relation between throughput and area for Pease and R2MDC, N=1024	35
Figure 43 Relation between throughput and area for Pease and R <sup>2</sup> MDC, N=256	36
Figure 44 Relation between throughput and area for Pease and R <sup>2</sup> MDC, N=1024	36
Figure 45 Relation between throughput and area for Pease and R2MDC/R22MDC, N=256	37
Figure 46 Relation between throughput and area for Pease and R2MDC/R <sup>2</sup> MDC, N=1024	37



# List of Tables

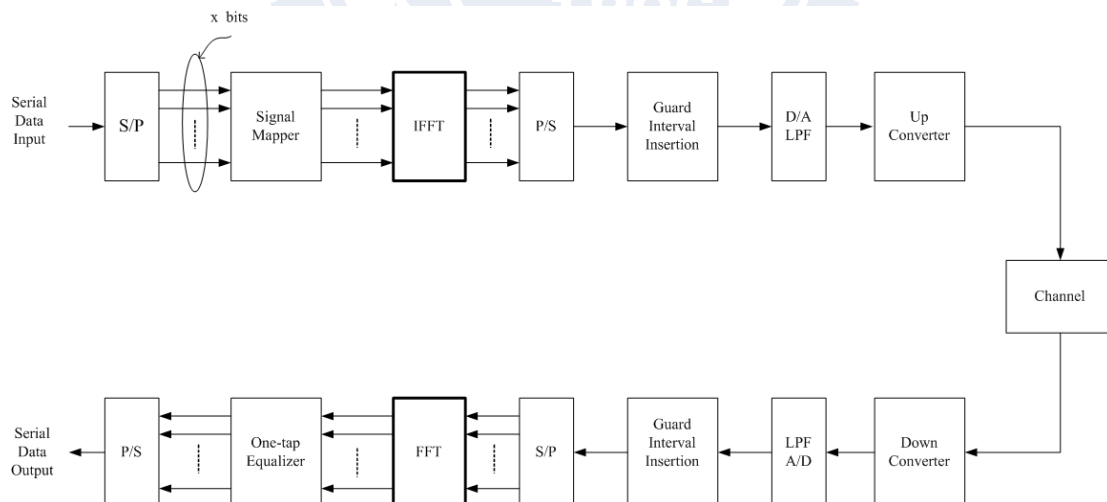
Table 1 Comparison of hardware cost and throughput .....	21
Table 2 Hardware Requirement Comparison.....	32
Table 3 Hardware Requirement Comparison with the same throughput.....	32
Table 4 Area Comparison.....	38



# Chapter 1

## Introduction

Fast Fourier Transform (FFT) and Inverse Fast Fourier Transform (IFFT) are widely used algorithms for calculating the Discrete Fourier Transform (DFT) and Inverse Discrete Fourier Transform (IDFT) because of the low computation complexity. FFT processor is an important block in communication system and signal processing system. For example, as shown in Figure 1, Orthogonal Frequency Division Multiplexing (OFDM) system is widely used in many communication applications such as xDSL modem, HDTV, and wide band mobile terminals. In those applications, FFT and IFFT are the most important processing blocks to meet the design constraints.



**Figure 1 An example architecture of OFDM system**

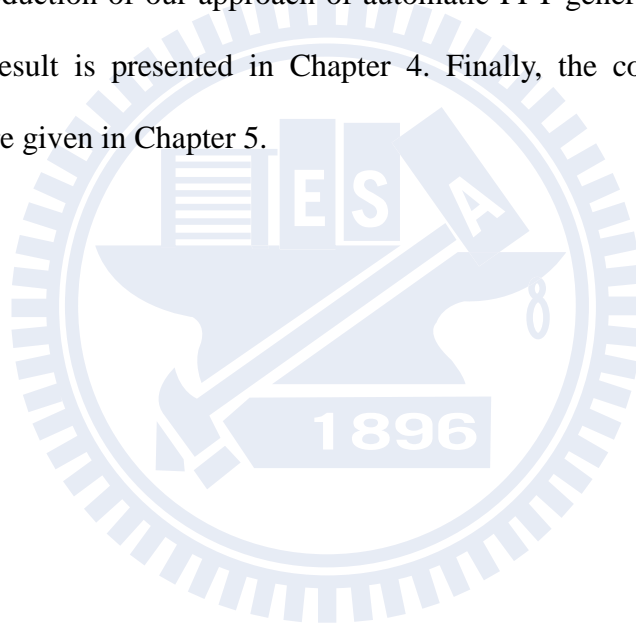
An automatic FFT generator can not only improve productivity but also shorten time-to-market. To support user customization, the automatic FFT generator provides some parameters to customize for the design constraints, such as the FFT transform sizes, I/O data ordering, data bitwidth, and the various architectures. In this thesis, we mainly focus on the trade-off between throughput and area of the FFT architectures.

Since the FFT algorithm was proposed by Cooley and Turkey in 1965 [1], many similar algorithms have been proposed to reduce the computation complexity of FFT. As the technology progress and algorithm improvement, FFT is widely used in Digital Signal Processing (DSP) applications. According to different algorithms, many kinds of FFT architectures have been proposed. Generally, there are two kinds of popular FFT architectures. One is memory-based architecture and the other is pipelined-based architecture. A single processing elements (PE) is used in memory-based architecture. It can be easily extended to other FFT transform sizes, so the memory-based architectures are usually used for low hardware cost and low throughput designs. Pipeline-based architectures have features such as regularity, simplicity, and high throughput rate. In this thesis, we only focus on pipelined-based architectures.

Several pipeline-based FFT architectures are proposed, such as the Radix-2 Multi-path Delay Commutator (R2MDC) [2], Radix-4 Multi-path Delay Commutator (R4MDC) [2], Radix-2 Single-path Delay Feedback (R2SDF) [3], Radix-4 Single-path Delay Feedback (R4SDF) [4], Radix- $2^2$  Single-path Delay Feedback (R $2^2$ SDF) [5], Radix- $2^2$  Multi-path Delay Commutator (R $2^2$ MDC)[6] and Radix- $2^3$  Single-path Delay Feedback (R $2^3$ SDF) [7]. In these architectures, the R4SDF requires fewer multipliers than the R2SDF; however the R2SDF architecture is simpler and more regular than the R4SDF. The R2MDC requires

fewer multipliers, adders and memory size than the R4MDC; however, the R4MDC can provide higher throughput. The R2<sup>2</sup>SDF has the same multiplier complex as R4SDF, but retains the butterfly structure of radix-2 algorithm. The R2<sup>2</sup>MDC uses the same algorithm as the R2<sup>2</sup>SDF, and the R2<sup>2</sup>MDC has higher throughput. As a result, in this work, our proposed FFT generator is based on the R2<sup>2</sup>MDC and the R2MDC architectures.

The rest of this thesis is organized as follows. In Chapter 2, a brief review of FFT algorithms, architectures, and automatic FFT generation is made. In Chapter 3, a detailed introduction of our approach of automatic FFT generation is made. The experimental result is presented in Chapter 4. Finally, the conclusions and the future works are given in Chapter 5.



# Chapter 2

## Preliminary

### 2.1 FFT Algorithms

#### 2.1.1 Radix-2 DIF Algorithm

An FFT computes the DFT and produces exactly the same result as evaluating the DFT definition directly; the only difference is that an FFT is much faster.

The formulation of Length N DFT is define as

$$X(k) = \sum_{n=0}^{N-1} x(n)W_N^{nk}, \quad k=0, 1, \dots, N-1 \quad (2.1)$$
 where the coefficient  $W_N^{nk}$  is

called twiddle factor, and is defined as  $W_N^{nk} = e^{\frac{-j2\pi nk}{N}} = \cos\left(\frac{2\pi nk}{N}\right) - j \sin\left(\frac{2\pi nk}{N}\right)$ .  $X(k)$

is in frequency domain, and  $x[n]$  is in time domain. Radix-2 Decimation-In-Frequency (DIF) Algorithm divided the frequency sequence  $X(k)$  into even-numbered frequency samples and odd-numbered frequency samples. Three characteristics of twiddle factor are shown below and are illustrated in Figure 2 and Figure 3.

$$1. W_N^{nN} = e^{\frac{-j2\pi nN}{N}} = e^{-j2\pi n} = 1$$

$$2. W_N^{nk+N/2} = -W_N^{nk}$$

$$3. W_N^{(n+N)k} = W_N^{nk}$$

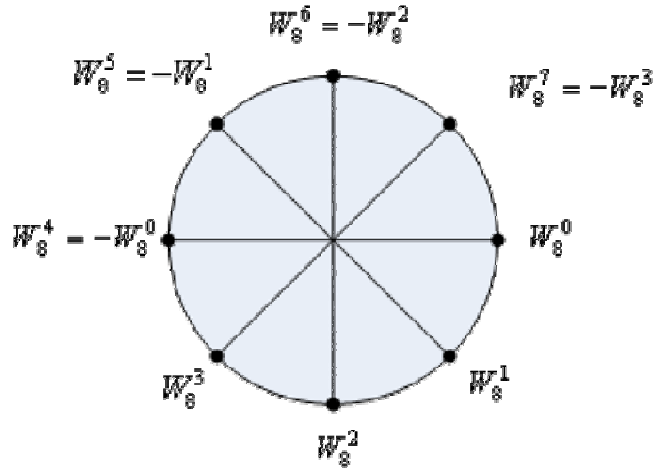


Figure 2 Characteristic 2 of twiddle factor

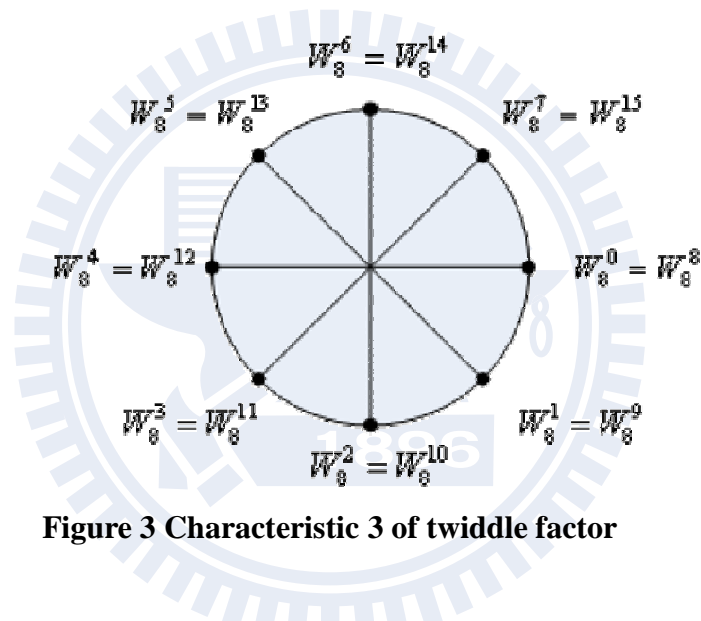


Figure 3 Characteristic 3 of twiddle factor

We introduce the radix-2 DIF algorithm with characteristics of twiddle factor. For a discrete Fourier transform of length  $N$  sequence  $x[n]$ , the even-numbered frequency samples can be indicated as

$$\begin{aligned}
 X[2r] &= \sum_{n=0}^{N-1} x[n]W_N^{2m}, \quad r = 0, 1, \dots, \frac{N}{2}-1 \\
 &= \sum_{n=0}^{\frac{N}{2}-1} x[n]W_N^{2m} + \sum_{n=\frac{N}{2}}^{N-1} x[n]W_N^{2m} \\
 &= \sum_{n=0}^{\frac{N}{2}-1} x[n]W_N^{2m} + \sum_{n=0}^{N-1} x[n + \frac{N}{2}]W_N^{2r(n + \frac{N}{2})}
 \end{aligned}$$

$$\begin{aligned}
&= \sum_{n=0}^{\frac{N}{2}-1} (x[n] + x[n + \frac{N}{2}]) W_N^{nm} \\
&= DFT_{N/2}(x[n] + x[n + \frac{N}{2}]) \tag{2.2}
\end{aligned}$$

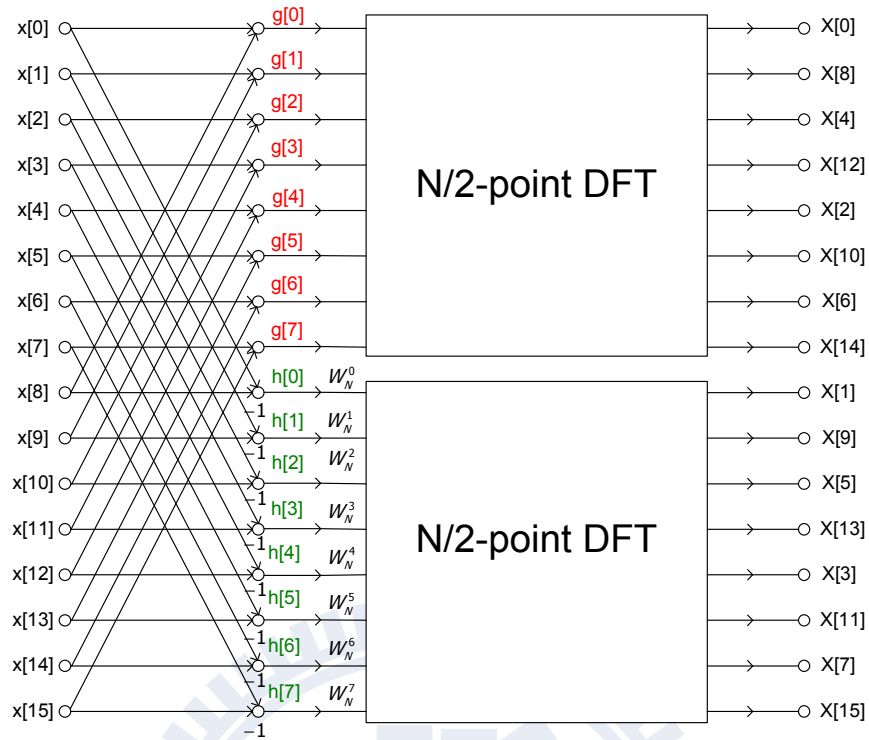
And the odd-numbered frequency samples can be indicated as

$$\begin{aligned}
X[2r+1] &= \sum_{n=0}^{\frac{N}{2}-1} x[n] W_N^{n(2r+1)} + \sum_{n=\frac{N}{2}}^{N-1} x[n] W_N^{n(2r+1)}, r = 0, 1, \dots, \frac{N}{2}-1 \\
&= \sum_{n=0}^{\frac{N}{2}-1} x[n] W_N^{n(2r+1)} + \sum_{n=0}^{\frac{N}{2}-1} x[n + \frac{N}{2}] W_N^{(n+\frac{N}{2})(2r+1)} \\
&= \sum_{n=0}^{\frac{N}{2}-1} x[n] W_N^{n(2r+1)} + W_N^{\frac{N}{2}(2r+1)} \sum_{n=0}^{\frac{N}{2}-1} x[n + \frac{N}{2}] W_N^{n(2r+1)} \\
&= \sum_{n=0}^{\frac{N}{2}-1} x[n] W_N^{n(2r+1)} - \sum_{n=0}^{\frac{N}{2}-1} x[n + \frac{N}{2}] W_N^{n(2r+1)} \\
&= \sum_{n=0}^{\frac{N}{2}-1} (x[n] - x[n + \frac{N}{2}]) W_N^{nr} \\
&= DFT_{N/2} \left\{ (x[n] - x[n + \frac{N}{2}]) W_N^n \right\} \tag{2.3}
\end{aligned}$$

From the equation (2.2) and (2.3), with  $g[n] = x[n] + x[n + \frac{N}{2}]$  and

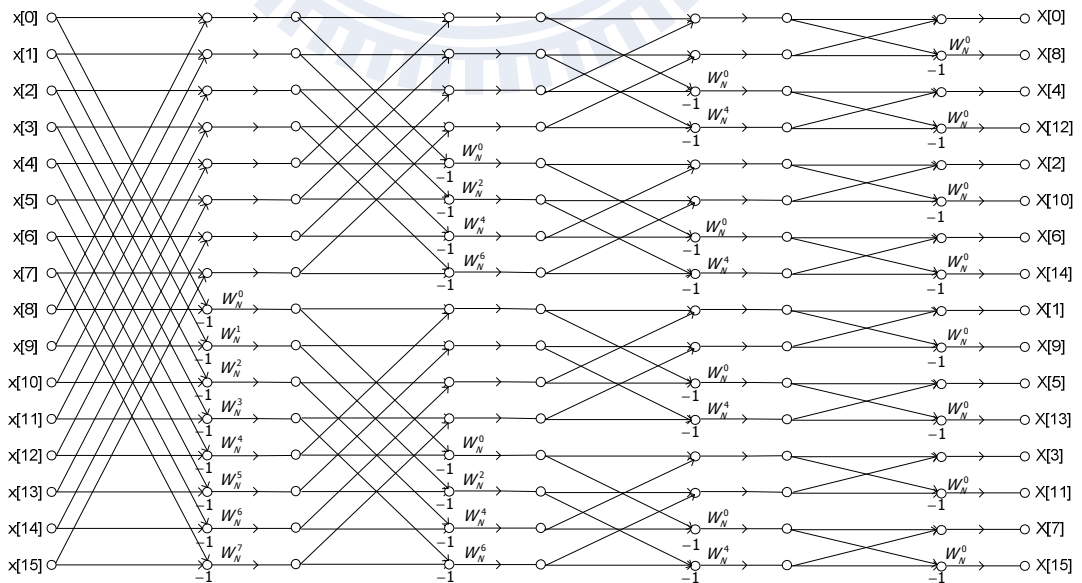
$h[n] = x[n] - x[n + \frac{N}{2}]$ , it can be seen that the original N-points FFT operation has

been divided into two  $\frac{N}{2}$ -points FFT operations as shown in Figure 4.



**Figure 4 Flow graph of the decomposition of an N-point DFT computation into two (N/2)-point DFT computations (N=16)**

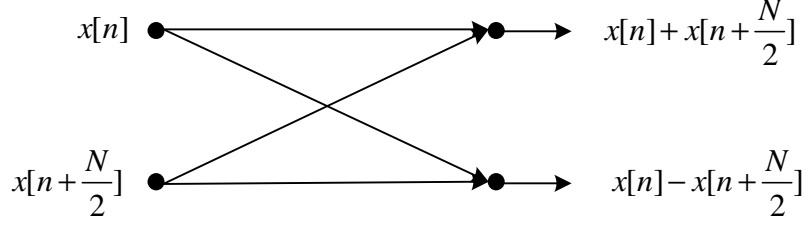
Finally, as shown in Figure 5, the complete decimation result can be derived by the similar manner that decomposes N-point DFT computation into two (N/2)-point DFT computations.



**Figure 5 Flow graph of the complete decomposition of a 16-point FFT computation**



The addition and the subtraction operation are called the butterfly operation, as show in Figure 6.



**Figure 6 Butterfly graph of Radix-2 DIF FFT**

### 2.1.2 Radix-4 DIF Algorithm

Similar to radix-2 DIF algorithm, in the radix-4 DIF algorithm, the frequency sequence  $X(k)$  is divided into  $X(4k)$ ,  $X(4k+1)$ ,  $X(4k+2)$ ,  $X(4k+3)$  frequency samples as derived below.

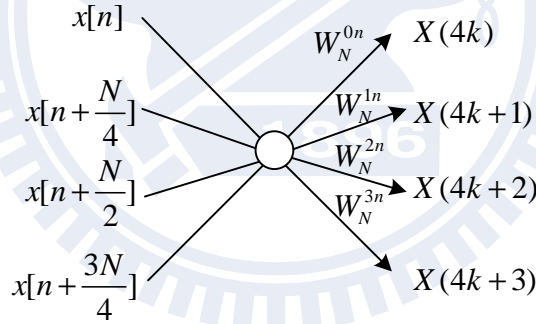
$$\begin{aligned}
 X(4k) &= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] + x\left[n + \frac{N}{4}\right] + x\left[n + \frac{N}{2}\right] + x\left[n + \frac{3N}{4}\right] \right] W_N^{n(4k)} \\
 &= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] + x\left[n + \frac{N}{4}\right] + x\left[n + \frac{N}{2}\right] + x\left[n + \frac{3N}{4}\right] \right] W_{N/4}^{nk}, k = 0, 1, \dots, N/4 - 1 \\
 &= DFT_{N/4} \left\{ x[n] + x\left[n + \frac{N}{4}\right] + x\left[n + \frac{N}{2}\right] + x\left[n + \frac{3N}{4}\right] \right\} \quad (2.4)
 \end{aligned}$$

$$\begin{aligned}
 X(4k+1) &= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] - j \cdot x\left[n + \frac{N}{4}\right] - x\left[n + \frac{N}{2}\right] + j \cdot x\left[n + \frac{3N}{4}\right] \right] W_N^n W_N^{n(4k)} \\
 &= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] - j \cdot x\left[n + \frac{N}{4}\right] - x\left[n + \frac{N}{2}\right] + j \cdot x\left[n + \frac{3N}{4}\right] \right] W_N^n W_{N/4}^{nk}, k = 0, 1, \dots, \frac{N}{4} - 1 \\
 &= DFT_{N/4} \left\{ \left( x[n] - j \cdot x\left[n + \frac{N}{4}\right] - x\left[n + \frac{N}{2}\right] + j \cdot x\left[n + \frac{3N}{4}\right] \right) W_N^n \right\} \quad (2.5)
 \end{aligned}$$

$$\begin{aligned}
X(4k+2) &= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] - x\left[n + \frac{N}{4}\right] + x\left[n + \frac{N}{2}\right] - x\left[n + \frac{3N}{4}\right] \right] W_N^n W_N^{n(4k)} \\
&= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] - x\left[n + \frac{N}{4}\right] + x\left[n + \frac{N}{2}\right] - x\left[n + \frac{3N}{4}\right] \right] W_N^n W_{N/4}^{nk}, k = 0, 1, \dots, \frac{N}{4} - 1 \\
&= DFT_{N/4} \left\{ \left( x[n] - x\left[n + \frac{N}{4}\right] + x\left[n + \frac{N}{2}\right] - x\left[n + \frac{3N}{4}\right] \right) W_N^n \right\} \quad (2.6)
\end{aligned}$$

$$\begin{aligned}
X(4k+3) &= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] + j \cdot x\left[n + \frac{N}{4}\right] - x\left[n + \frac{N}{2}\right] - j \cdot x\left[n + \frac{3N}{4}\right] \right] W_N^n W_N^{n(4k)} \\
&= \sum_{n=0}^{\frac{N}{4}-1} \left[ x[n] + j \cdot x\left[n + \frac{N}{4}\right] - x\left[n + \frac{N}{2}\right] - j \cdot x\left[n + \frac{3N}{4}\right] \right] W_N^n W_{N/4}^{nk}, k = 0, 1, \dots, \frac{N}{4} - 1 \\
&= DFT_{N/4} \left\{ \left( x[n] + j \cdot x\left[n + \frac{N}{4}\right] - x\left[n + \frac{N}{2}\right] - j \cdot x\left[n + \frac{3N}{4}\right] \right) W_N^n \right\} \quad (2.7)
\end{aligned}$$

The mapping butterfly graph of radix-4 algorithm is shown in Figure 7



**Figure 7 Butterfly graph of Radix-4 DIF FFT**

### 2.1.3 Radix-2<sup>2</sup> Algorithm

Radix-4 algorithm has the lower multiplicative complexity than radix-2 algorithm, but the hardware structure of butterfly of radix-4 algorithm is more

complex than that of radix-2 algorithm. Combining the advantages of radix-2 and radix-4 algorithm, Radix-2<sup>2</sup> algorithm [5] has the same multiplicative complexity as radix-4 algorithm, but retains the butterfly structure of radix-2 algorithm. For a discrete Fourier transform of length N sequence  $x[n]$ ,

$$X(k) = \sum_{n=0}^{N-1} x[n]W_N^{nk}, k = 0, 1, \dots, N-1 \quad (2.8)$$

Let  $n = \frac{N}{2}n_1 + \frac{N}{4}n_2 + n_3$  and  $k = k_1 + 2k_2 + 4k_3$ , we can rewrite equation (2.8) as

$$X(k_1 + 2k_2 + 4k_3) = \sum_{n_3=0}^{\frac{N}{4}-1} \sum_{n_2=0}^1 \sum_{n_1=0}^1 x\left(\frac{N}{2}n_1 + \frac{N}{4}n_2 + n_3\right)W_N^{\left(\frac{N}{2}n_1 + \frac{N}{4}n_2 + n_3\right)(k_1 + 2k_2 + 4k_3)} \quad (2.9)$$

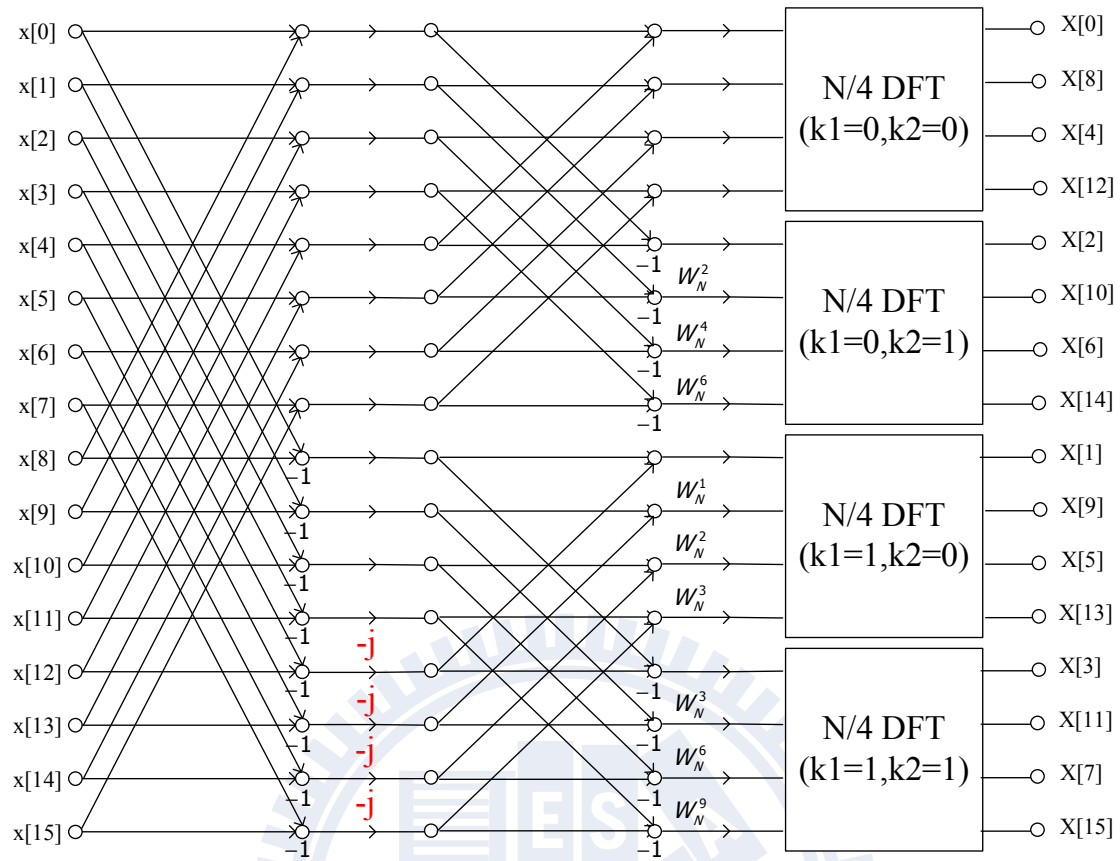
After simplification, we can then write

$$X(k_1 + 2k_2 + 4k_3) = \sum_{n_3=0}^{\frac{N}{4}-1} \left[ H(k_1, k_2, n_3)W_N^{n_3(k_1 + 2k_2)} \right] W_{N/4}^{n_3 k_3}, \text{ where} \quad (2.10)$$

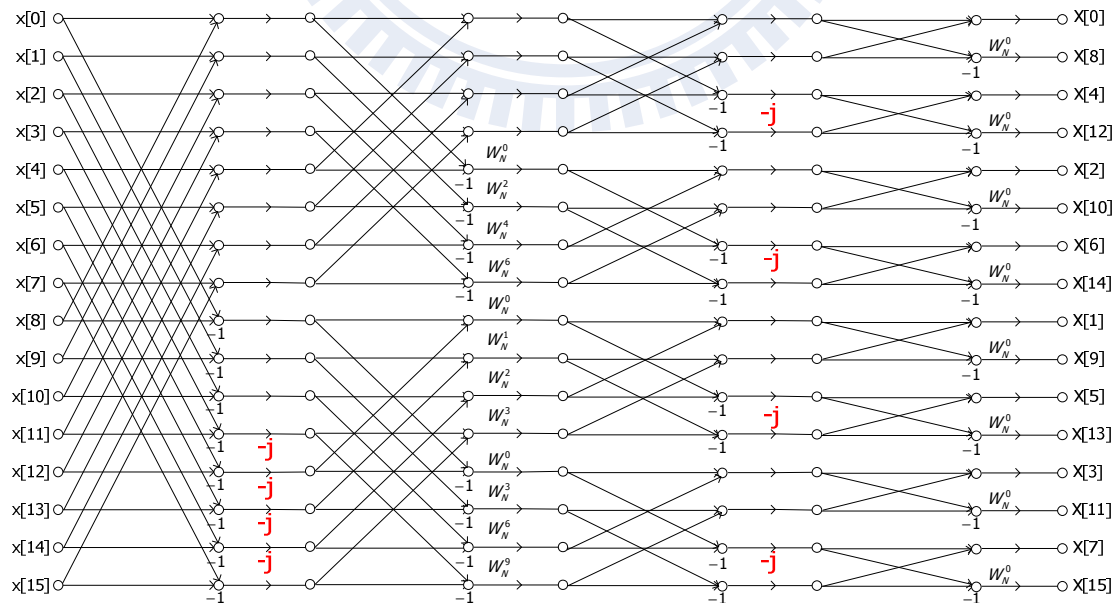
$$H(k_1 + k_2 + n_3) = \underbrace{\left[ x(n_3) + (-1)^{k_1} x\left(n_3 + \frac{N}{2}\right) \right]}_{\text{BFI}} + (-j)^{(k_1 + 2k_2)} \underbrace{\left[ x\left(n_3 + \frac{N}{4}\right) + (-1)^{k_1} x\left(n_3 + \frac{3}{4}N\right) \right]}_{\text{BFI}} \quad (2.11)$$

BFI

From equation (2.10) and (2.11), we can find that the original N-points FFT operation has been partitioned into four  $\frac{N}{4}$ -points FFT operations as shown in Figure 8. After proceeding in a manner similar to the technique, a complete decimation result can be derived as shown in Figure 9.



**Figure 8** Flow graph of the decomposition of an N-point DFT computation into four (N/4)-point DFT computations (N=16) with radix- $2^2$  algorithm



**Figure 9** Flow graph of the complete decomposition of a 16-point FFT computation with radix- $2^2$  algorithm

## 2.1.4 Split-Radix Algorithm

The split-radix algorithm can further reduce the complexity of the FFT algorithm. It has fewer multiplications and additions than radix-2 and radix-4 algorithm, but radix-2 and radix-4 algorithm are more regular than split-radix algorithm. The most popular split-radix algorithm including radix-2/4 and radix-2/8 were proposed in [8].

## 2.2 FFT Architectures

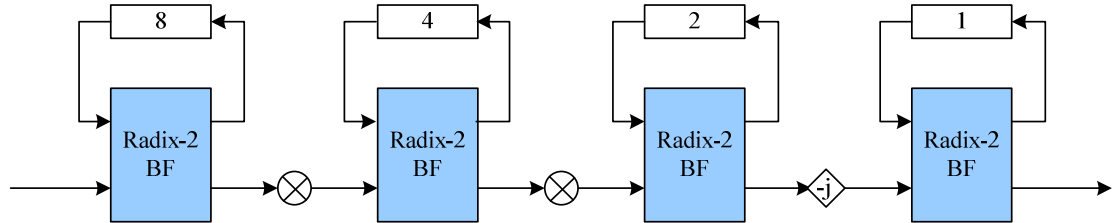
Generally, there are two kinds of popular FFT architectures to implement FFT algorithms. One is memory-based architectures and the other is pipeline-based architectures. Memory-based architectures are suitable for low throughput and low hardware cost designs; however, pipeline-based architectures are usually regular and suitable for high throughput and high hardware cost designs. In this thesis, we focus on pipeline-based FFT architectures. The details of pipeline-based architectures are presented in the following subsections.

### 2.2.1 Pipeline Architectures

Pipeline-based architectures can be further divided into two kinds of architectures depend on the design of register. One is Single-path Delay Feedback (SDF) architecture, and the other one is Multi-path Delay Commutator (MDC) architecture. SDF architecture has higher hardware usage and lower hardware cost; however, MDC architecture has higher throughput than SDF architecture. We introduce these architectures below.

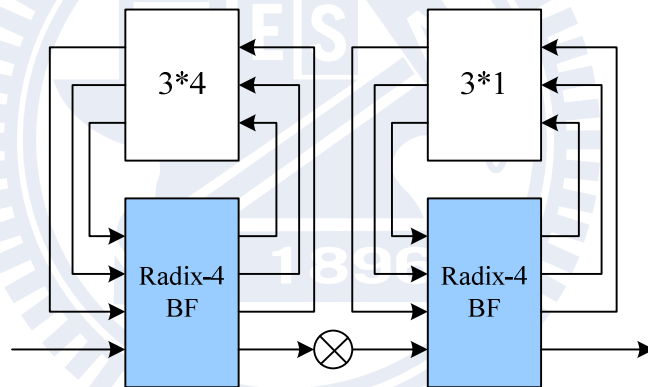
We first introduce the SDF architecture. The Radix-2 SDF (R2SDF) architecture [3] is shown in Figure 10. By storing the butterfly output into the shift registers, R2SDF uses the registers efficiently. The butterfly passes the output to the

next stage when doing addition operation, and storing the output into the shift register when doing subtraction operation. In each cycle, only one output passes through the multiplier.



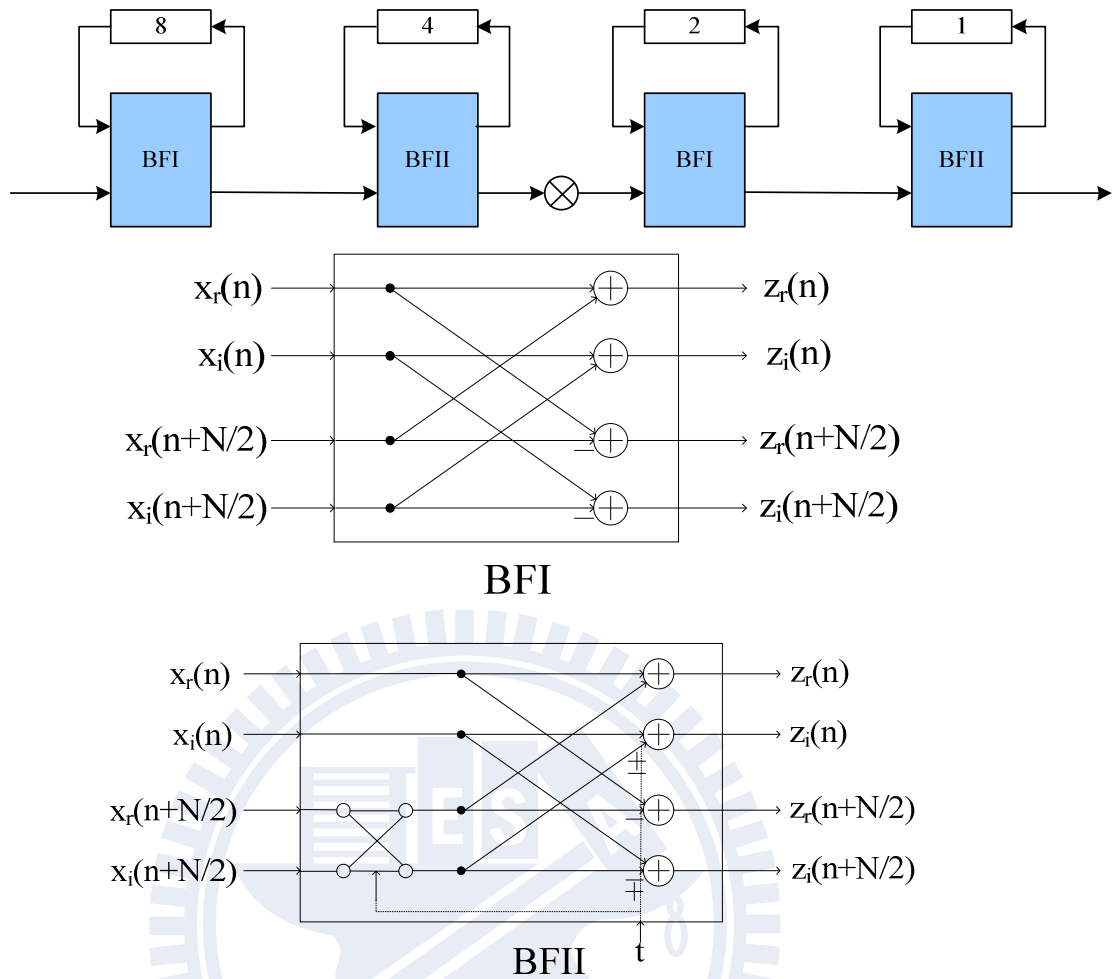
**Figure 10 R2SDF architecture (N=16)**

The Radix-4 SDF (R4SDF) architecture [4] is shown in Figure 11. Similar to the R2SDF architecture, radix-4 butterfly store three of outputs into shift registers, and only one output passes through the multiplier in each cycle.



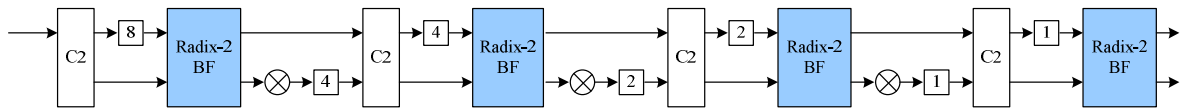
**Figure 11 R4SDF architecture (N=16)**

The Radix-2<sup>2</sup> SDF (R2<sup>2</sup>SDF) [5] architecture is similar to the R2SDF architecture and reduces the number of multipliers. R2<sup>2</sup>SDF uses two types of butterflies, one is the same as that in R2SDF architecture and the other contains also some logic to implement the multiplication of twiddle factor of  $-j$ , as shown in Figure 12.



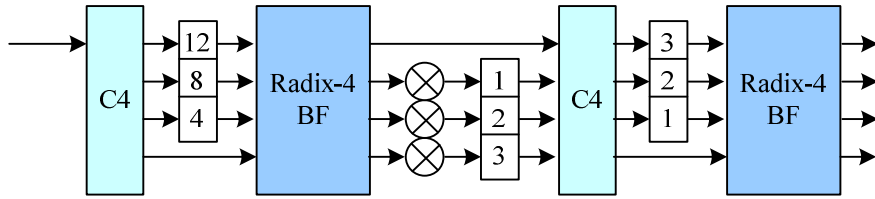
**Figure 12 R2<sup>2</sup>SDF architecture (N=16)**

The Radix-2 MDC (R2MDC) architecture [2] is straightforward. The inputs are separated into two streams by the control of switches, and then go to butterflies in parallel, as shown in Figure 13.



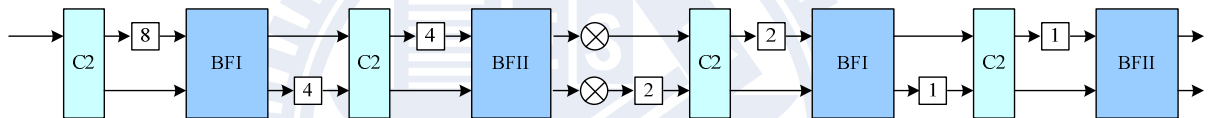
**Figure 13 R2MDC architecture (N=16)**

The Radix-4 MDC (R4MDC) architecture [2] is also similar to R2MDC architecture besides the radix-4 butterfly and the number of registers, as shown in Figure 14.



**Figure 14 R4MDC Architecture (N=16)**

The Radix- $2^2$  MDC ( $R2^2$ MDC) architecture [6] is the MDC type architecture of Radix- $2^2$  algorithm. In the flow graph of the complete decomposition of an N-point FFT computation with radix- $2^2$  algorithm, the even-numbered stages multiple twiddle factors not only the subtraction output but the addition output, so the  $R2^2$ MDC architecture needs two complex multipliers in even-numbered stages, as shown in Figure 15.

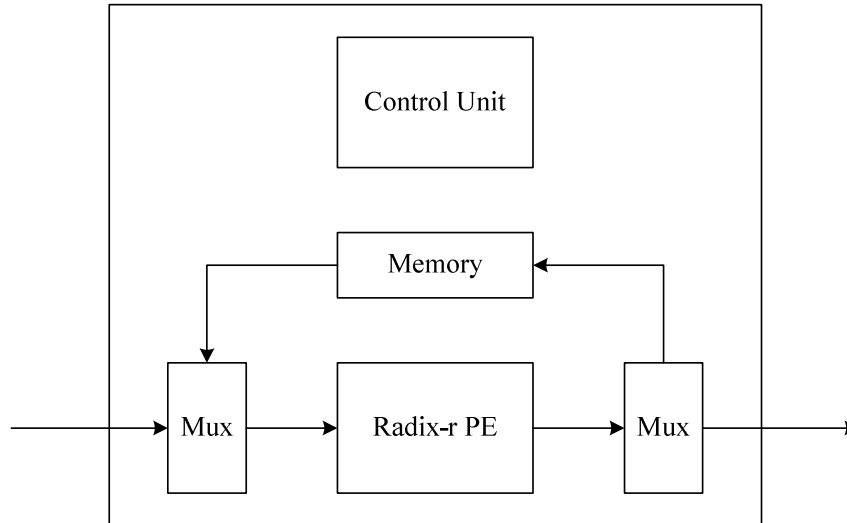


**Figure 15  $R2^2$ MDC architecture (N=16)**

## 2.2.2 Memory-Based Architectures

The memory-based architecture is another widely used FFT architecture. It only uses one radix-r processing element to compute all butterflies in signal flow graph. The basic components of memory-based architecture are shown in Figure 16. In Figure 16, the multiplexers are used to control the input and output data, and because of only single PE, the controller of memory-based architecture is very complex. Because of low hardware cost and low power properties, memory-based architecture is suitable for modern communication system.



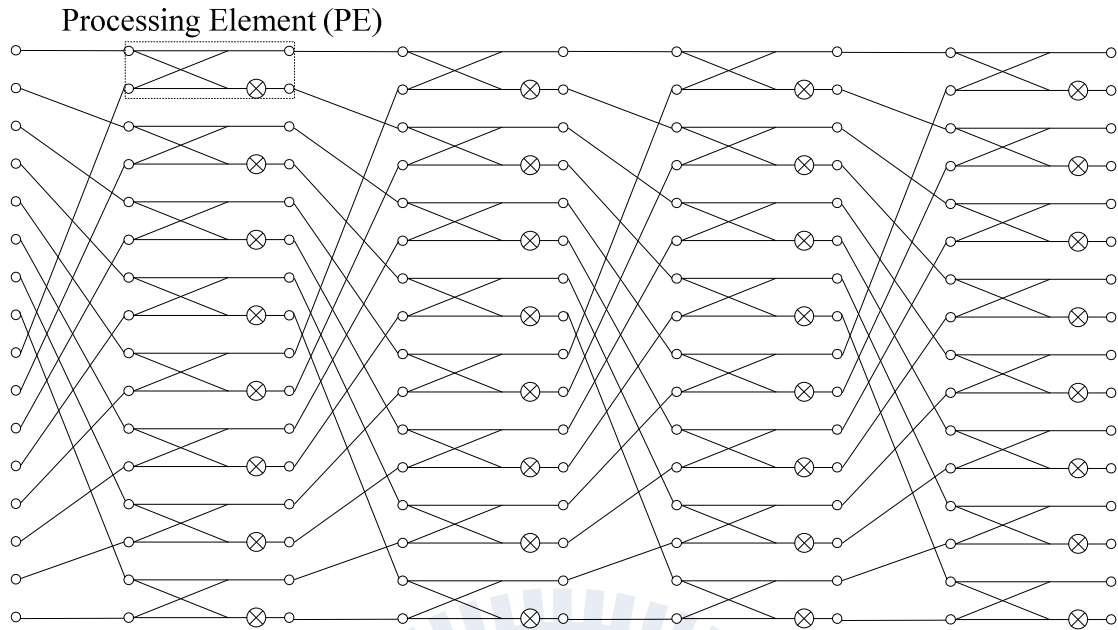


**Figure 16 A memory-based architecture example**

## 2.3 Automatic FFT Generation

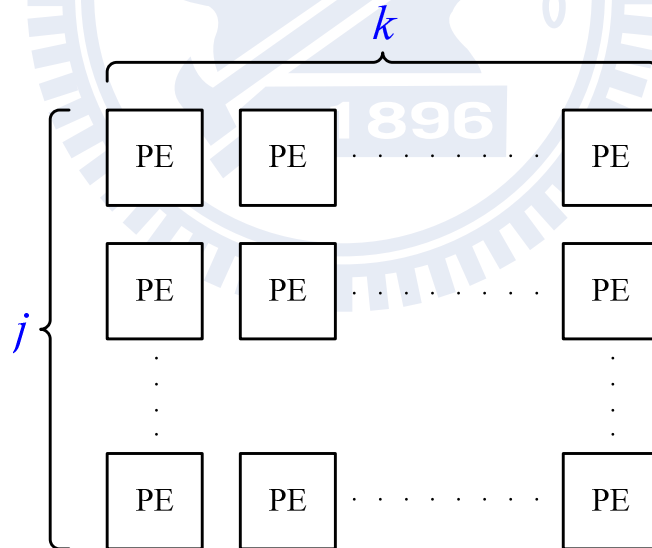
An automatic FFT Generator can improve productivity and shorten time-to-market. A customized FFT generator with parameterized options can be tailored for application-specific trade-off in performance. Three papers about parameterized FFT Generator have been published [11]. In the following, we briefly introduce the techniques in [11] and [13].

In [11] and [13], they make the trade-off between area and throughput based on Pease architecture. After replacing twiddle factors with complex multipliers and exchanging the positions of butterflies, the 16-points Pease architecture can be derived from the flow graph of the complete decomposition of the 16-point FFT computation with radix-2 algorithm, as shown in Figure 17. From Figure 17, we can derive the number of multipliers is  $\frac{N}{2} \log_2 N$ , and the number of adders is  $N \log_2 N$ . For  $N=16$ , the number of multipliers is 32 and the number of adders is 64.



**Figure 17 Pease architecture (N=16)**

Based on Pease architecture, two dimensions folded techniques of Pease Architecture are proposed. The overview of these techniques is shown in Figure 18.

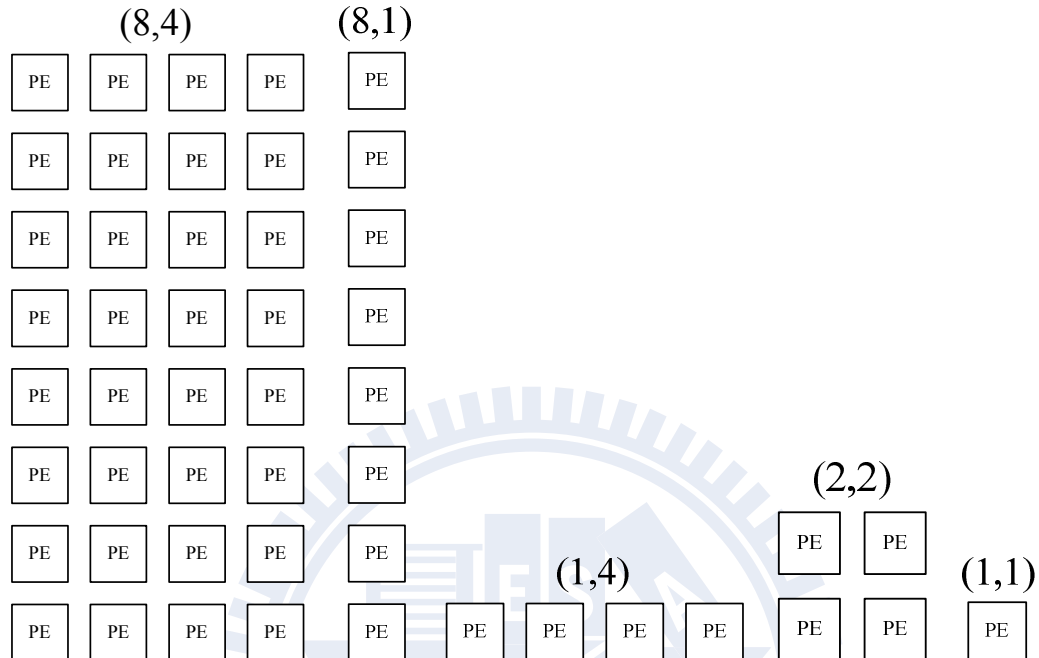


**Figure 18 Overview of the folded techniques**

As shown in Figure 18, parameter  $j$  indicates the number of PEs per stage, and  $j$  needs to be one of the factors of  $\frac{N}{2}$ ; parameter  $k$  indicates the number of stages, and  $k$  needs to be one of the factors of  $\log_2 N$ . Several different architectures can

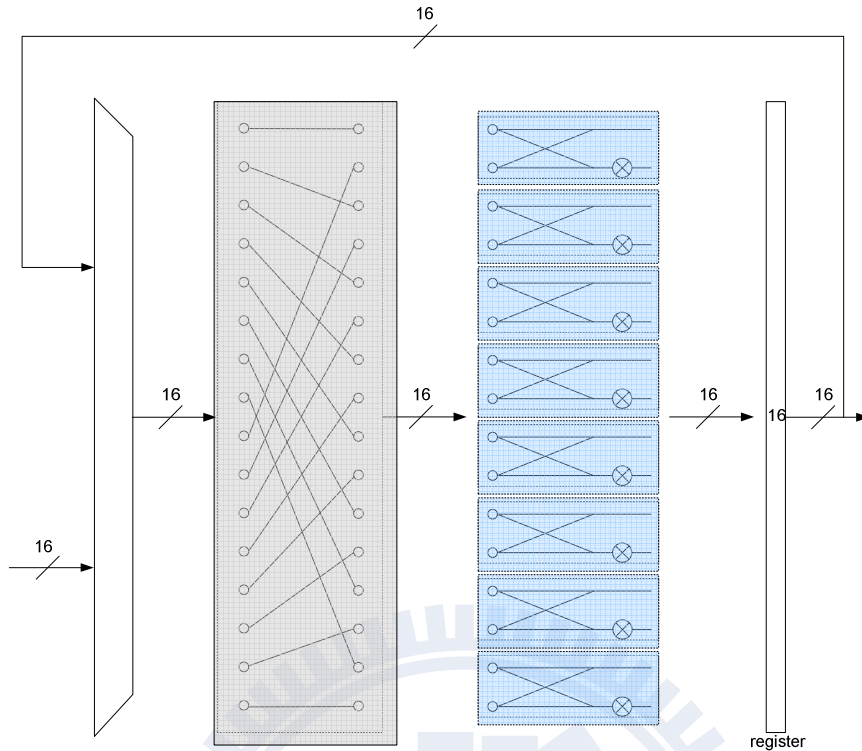
be derived by choosing parameter  $j$  and  $k$ . For  $N=16$ , all possible choices of  $(j, k)$  are  $(1,1)$ ,  $(1,2)$ ,  $(1,4)$ ,  $(2,1)$ ,  $(2,2)$ ,  $(2,4)$ ,  $(4,1)$ ,  $(4,2)$ ,  $(4,4)$ ,  $(8,1)$ ,  $(8,2)$  and  $(8,4)$ ,

Figure 19 shows some overviews of choices of  $N=16$ .



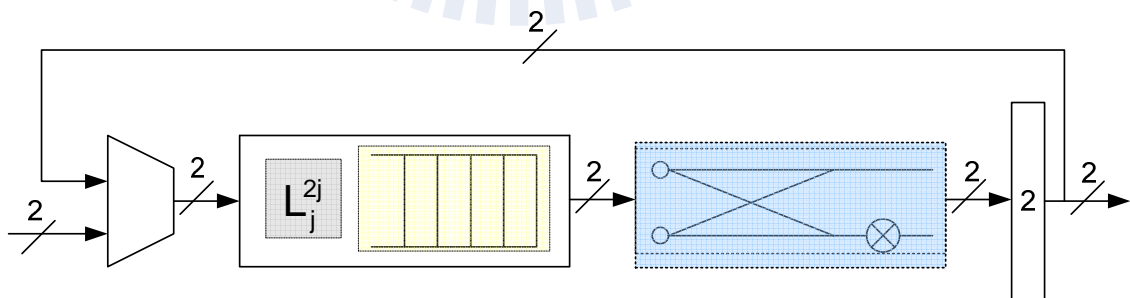
**Figure 19 Overview of the folded techniques example for  $N=16$**

We further introduce the folded techniques. From Figure 17, we can straightforwardly fold the Pease architecture fully in horizontal direction by using a multiplexer and inserting registers at the outputs of PEs. A vector iterates over the feedback  $\log_2 N$  times to compute the FFT. Figure 20 shows a full horizontally-folded Pease FFT for  $N=16$ .



**Figure 20 A full horizontally-folded Pease FFT for N=16**

Starting from the full horizontally-folded architecture, the  $\frac{N}{2}$  PEs can be further folded in vertical direction to achieve different degrees of parallelism and therefore different throughputs. A full horizontally-folded and vertically-folded Pease FFT for N=16 is shown in Figure 21.



**Figure 21 A full horizontally-folded and vertically-folded Pease FFT for N=16**

The main problem of vertically-folded is how to buffer and reorder the data. Without vertical folding, data buffering is just inserting registers at the PEs' outputs, and data reordering is a simple wiring. Takala et al. [14] describes a vertically-folded

implementation of general stride permutation. Figure 22 is the overview of stride permutation. The stride permutation can be decomposed into two structures. One is interconnection permutation  $L_j^{2j}$  ( $L_m^n : i \mapsto mi \bmod n-1$  ( $0 \leq i < n-1$ ),  $n-1 \mapsto n-1$ ), an example of  $L_2^4$  is shown in Figure 23, and the other is  $D_m$  block, as shown in Figure 24. The  $D_m$  block contains two  $m$ -entry synchronous FIFOs and a switch that allows the two either to pass-through for  $m$  cycles or to criss-cross for  $m$  cycles. Comparison of hardware cost and throughput is listed in Table 1.

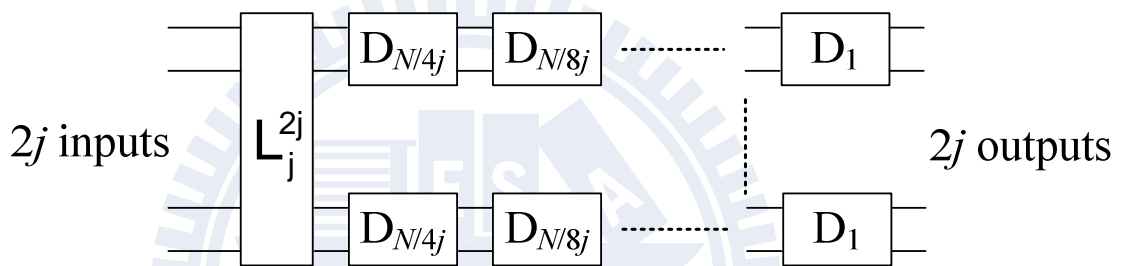


Figure 22 An overview of stride permutation

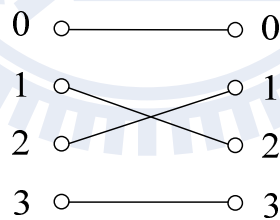


Figure 23 An example of  $L_2^4$

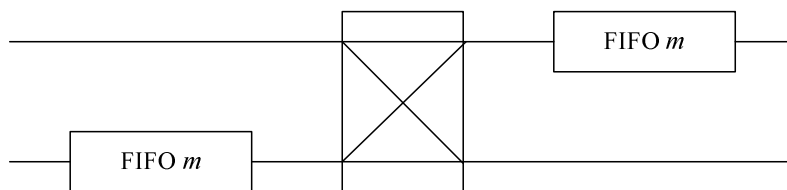


Figure 24 The  $D_m$  block

Following Figures are some examples for  $N=16$ .

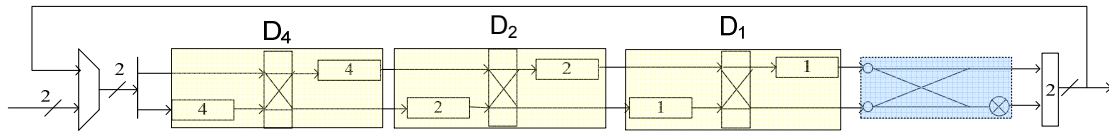


Figure 25 An example of  $(j,k)=(1,1)$

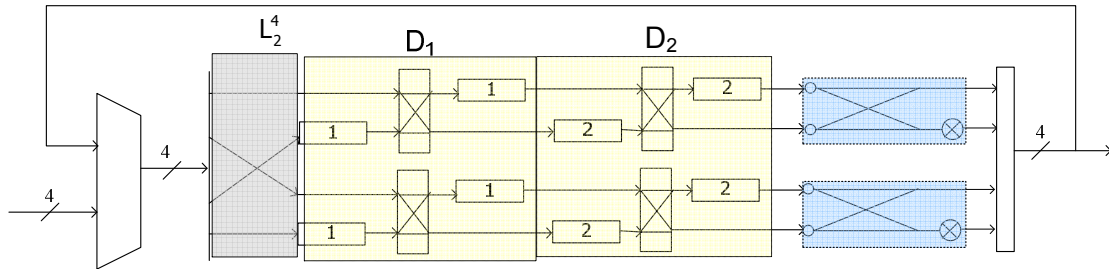


Figure 26 An example of  $(j,k)=(2,1)$

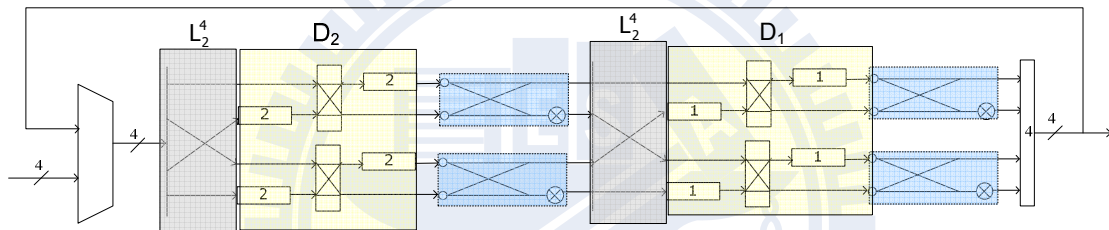


Figure 27 An example of  $(j,k)=(2,2)$

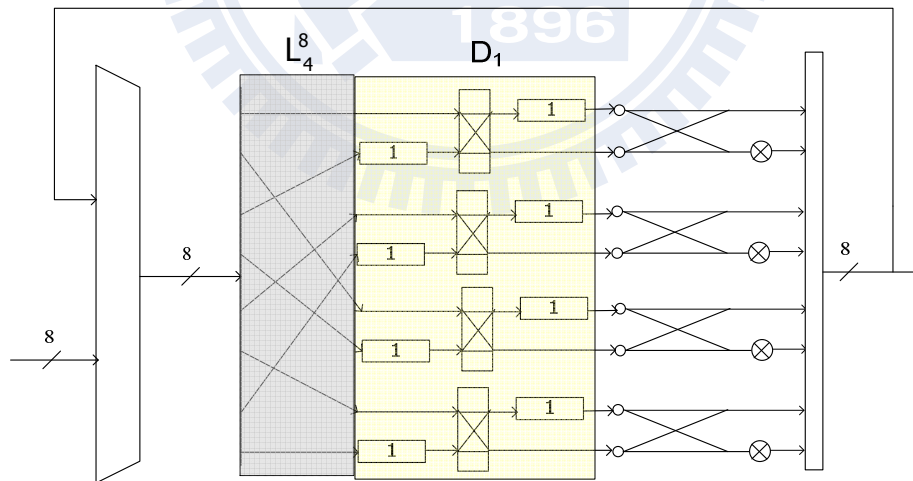


Figure 28 An example of  $(j,k)=(4,1)$

Table 1 Comparison of hardware cost and throughput

FFT Length	multipliers	adders	registers	throughput
$N$	$jk$	$2jk$	$N$	$\frac{2jk}{N \log_2 N}$

# Chapter 3

## Proposed Approach

### 3.1 Motivation

An exhaustive search approach is proposed to find all possible FFT architectures and then generate a set of acceptable FFT architecture according to the design constraints. However, from Table 1, we can find that all the possible solutions have the same number of multipliers, number of adders and number of registers usage under the throughput constraint.

Pease architecture bases on the radix-2 algorithm. Observing the radix-2 flow graph in Figure 5, each butterfly is followed by a multiplication operation at the output of subtraction operation. Therefore, Pease architecture is a very regular architecture. However, the radix-2 algorithm contains many trivial multiplications which do not need multipliers to calculate. For example, multiplication of  $-j$  involves only real-imaginary swapping and sign inversion, as shown in Figure 29.

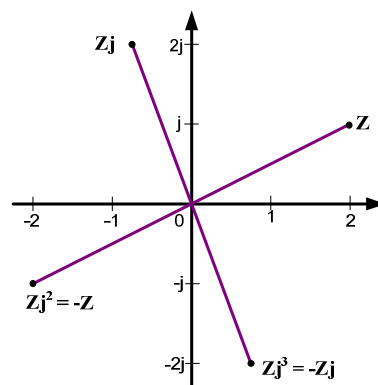


Figure 29 Illustration of  $-j$  multiplication

The radix- $2^2$  algorithm considers the multiplication of  $-j$  and merges the multiplication of  $-j$  into odd-numbered columns, as shown in Figure 9. And the architecture of radix- $2^2$  algorithm contains two kinds of butterflies, BFI and BFII. From the view of architecture, the radix- $2^2$  algorithm is more irregular than the radix-2 algorithm.

The  $R2^2MDC$  [6] is a pipeline architecture that implements the radix- $2^2$  algorithm with throughput  $\frac{2}{N}$ , so  $R2^2MDC$  architecture is more irregular than Pease architecture. In the following subsections, we introduce how we make the trade-off between hardware and throughput based on  $R2^2MDC$  and  $R2MDC$  architecture.

### 3.2 $R2^2MDC$ Vertical Expansion Architecture

The  $R2^2MDC$  architecture for  $N=16$  is shown in Figure 30. Because of the property of this architecture, the throughput of  $R2^2MDC$  architecture is  $\frac{2}{N}$ , and the throughput is  $\frac{1}{8}$  for  $N=16$ .

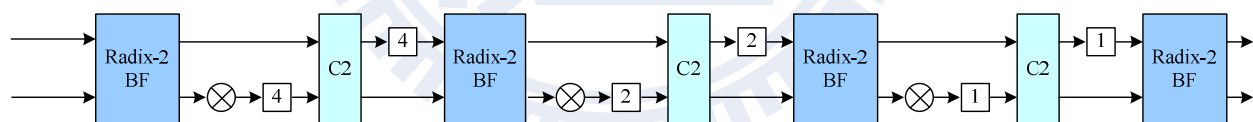


Figure 30  $R2^2MDC$  architecture with throughput  $=\frac{1}{8}$ ,  $N=16$

Now, if we want to increase the throughput, a straightforward approach is to parallelize the original architecture. However, parallelizing the  $R2^2MDC$  architecture is not an easy task because of the complexity of the controller and data dependence. It may not be a hard task by using two  $R2^2MDC$  architectures to parallelize, but the difficulty will increase if we want to increase the degree of parallelism. An automatic generation of parallelizing the architecture is needed to save the design cost. We propose the approach to parallel the  $R2^2MDC$  architecture automatically.



### 3.2.1 Interconnection Permutation Matrix

We first introduce the interconnection permutation matrix,  $\mathbf{I}_n$ . The interconnection permutation matrix represents wiring relationship between different  $R2^2$ MDC architectures. The rules of  $\mathbf{I}_n$  are shown in following.

$$\mathbf{I}_n: i < \frac{n}{2}, i \mapsto i + (i \bmod 2) \times \left(\frac{N}{2} - 1\right)$$

$$i \geq \frac{n}{2}, i \mapsto i + (i \bmod 2) \times \left(\frac{N}{2} - 1\right) - \left(\frac{N}{2} - 1\right)$$

Following the rules, two examples are shown in Figure 31

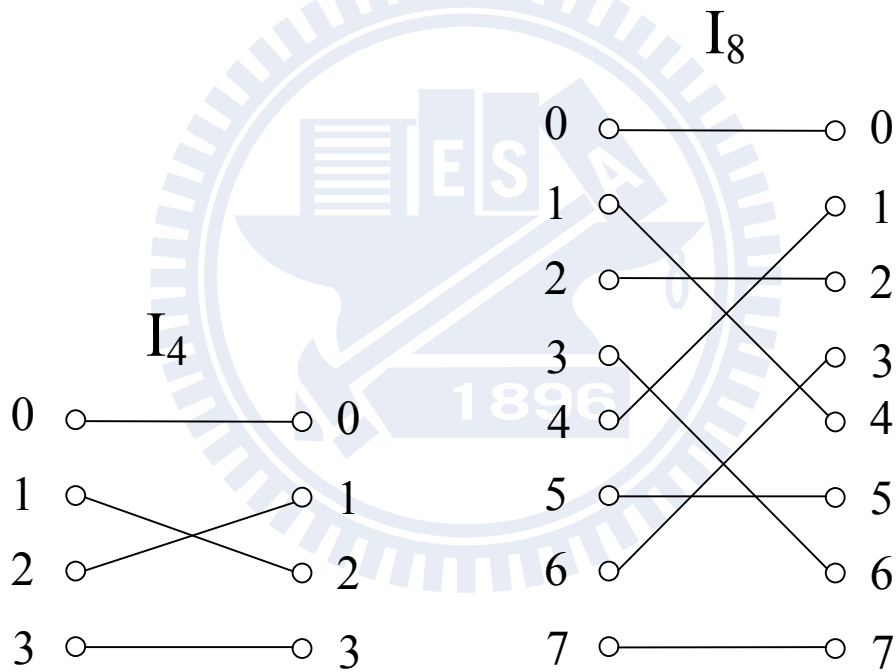


Figure 31 Examples of  $\mathbf{I}_4$  and  $\mathbf{I}_8$

### 3.2.2 The Proposed R2<sup>2</sup>MDC Vertical Expansion Architecture

A general form of R2<sup>2</sup>MDC vertical expansion architecture is shown in Figure 32. Parameter  $N$  indicates the FFT transform size, where  $N = 2^m, m = 1, 2, 3, \dots$ . Parameter  $t$  indicates the degree of parallelism, where  $t = 1, 2, 4, \dots, 2^{m-1}$ . The number of registers of each original R2<sup>2</sup>MDC architecture decreases as the degree of parallelism increases, and the number of interconnection permutation matrix also increases. With the interconnection permutation matrix, data dependence would be kept. From Figure 32, we can derive the number of multipliers is  $t(2^{\lceil \log_4 N \rceil} - 2)$ , the number of adders is

$2t \log_2 N$ , the number of registers is  $N - 2t$  and the throughput is  $\frac{2t}{N}$ .

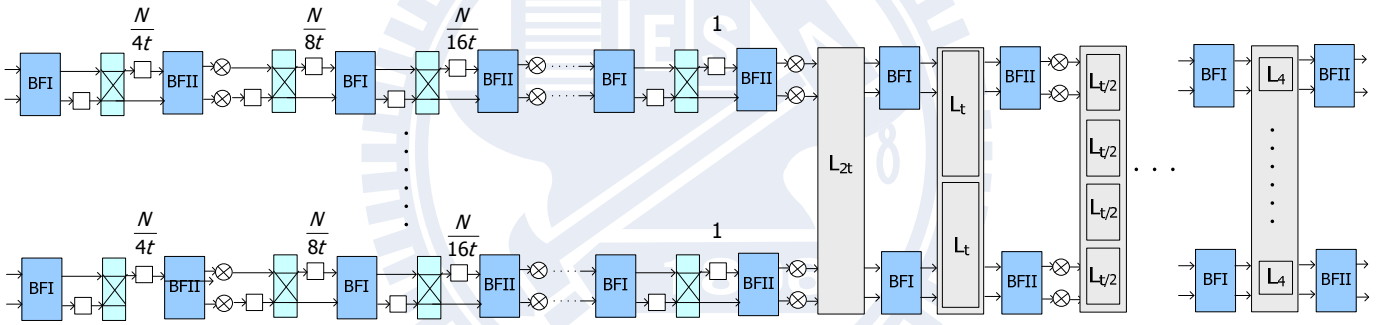


Figure 32 General form of R2<sup>2</sup>MDC vertical expansion architecture

Figure 33 shows the case when  $t = 1$ , the original R2<sup>2</sup>MDC architecture, the number of multiplier is 2, the number of adders is 8, the number of registers of datapath is 14, and the throughput is  $\frac{1}{8}$ .

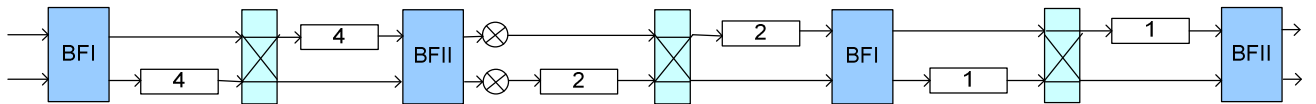


Figure 33 Example of R2<sup>2</sup>MDC vertical expansion architecture for  $t=1$

Figure 34 shows the case when  $t = 2$ , the number of multipliers is 4, the number



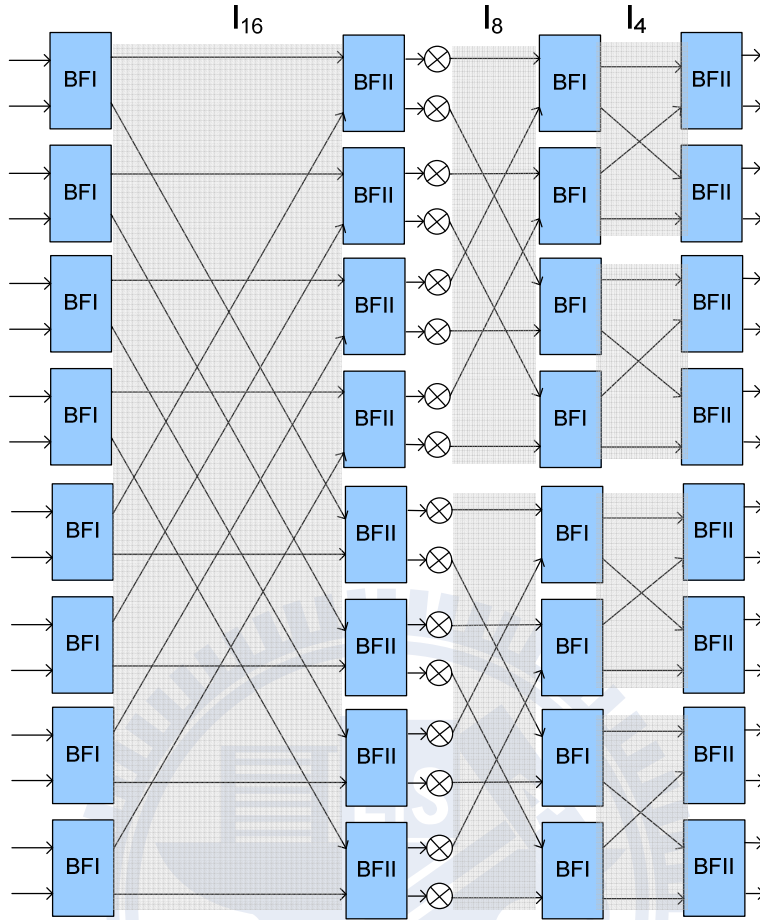
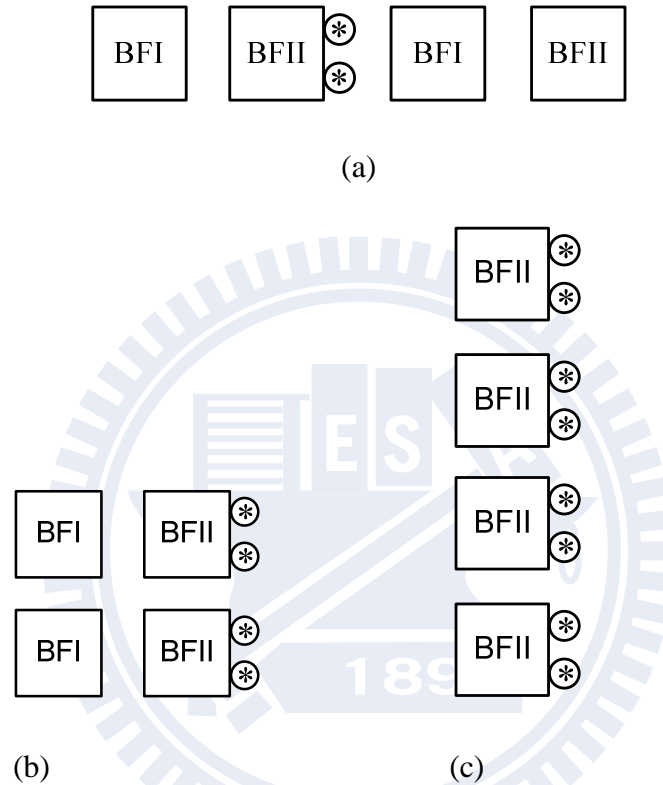


Figure 36 Example of  $R2^2MDC$  vertical expansion architecture for  $t=8$

### 3.2.3 The Limitation of $R2^2MDC$ Compression

As mentioned in previous work, they provide two dimensions folded techniques for trade-off between area cost and throughput. However, horizontal compression approach of  $R2^2MDC$  architecture is not suitable. Because of the irregularity of the  $R2^2MDC$  architecture, advantages would be eliminated when compressing the  $R2^2MDC$  architecture, as illustrated in Figure 37. Figure 37 is an example that shows three architectures for the same throughput  $\frac{1}{8}$ . Figure 37(a) shows the  $R2^2MDC$  architecture with  $t = 1$ , the number of adders is 8, and the number of multipliers is 2, Figure 37(b) shows the horizontal compression  $R2^2MDC$  architecture after paralleling with  $t = 2$ , the number of adders is 8, and the number of multipliers is 4, and Figure

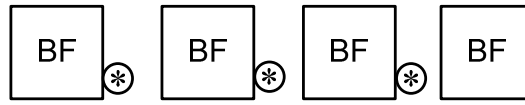
37(c) shows the horizontal compression R2<sup>2</sup>MDC architecture after paralleling with  $t = 4$ , the number of adders is 8, and the number of multipliers is 8. We can find that hardware requirement of horizontal compression architectures is worse than the R2<sup>2</sup>MDC architecture without horizontal compression under the same throughput constraint.



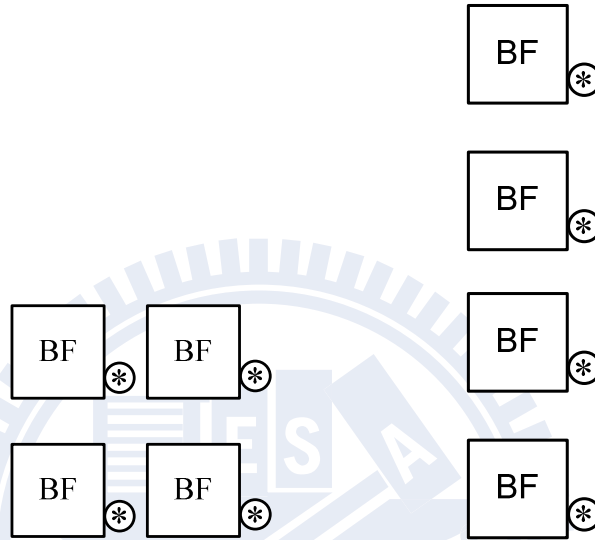
**Figure 37 Hardware usage comparison based on R2<sup>2</sup>MDC architecture for N=16, throughput= $\frac{1}{8}$ .**

Therefore, we choose another base architecture if horizontal compression is necessary. The R2MDC architecture is more suitable than R2<sup>2</sup>MDC architecture for horizontal compression, as illustrates in Figure 38. In Figure 38 (a), the number of adders is 8, and number of multipliers is 3, in Figure 38 (b), the number of adders is 8, and number of multipliers is 4, in Figure 38 (c), the number of adders is 8, and number of multipliers is 4. We can find that Figure 38 (b) and (c) have the same number of multipliers and adders, although Figure 38 (a) has few number of

multipliers, we do not use this architecture because of the choice of R2<sup>2</sup>MDC architecture.



(a)



(b)

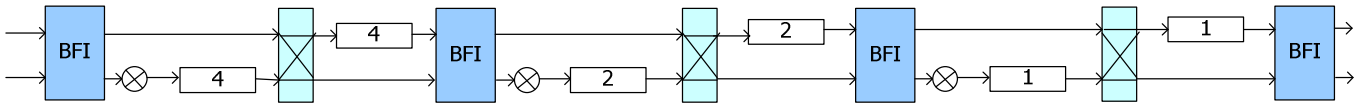
(c)

**Figure 38 Hardware usage comparison based on R2MDC architecture for N=16,**  
**throughput =  $\frac{1}{8}$ .**

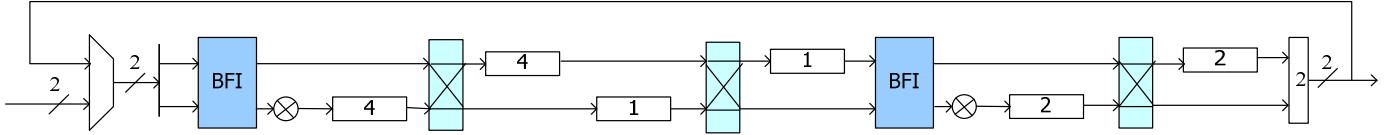
### 3.3 R2MDC Horizontal Compression Architecture

In section 3.2, we introduced the R2<sup>2</sup>MDC vertical expansion architecture which can increase the throughput with increasing the area cost, and as mentioned in section 3.2.3, R2MDC architecture is suitable for horizontal compression. In this section, we illustrate how to compress the R2MDC architecture horizontally.

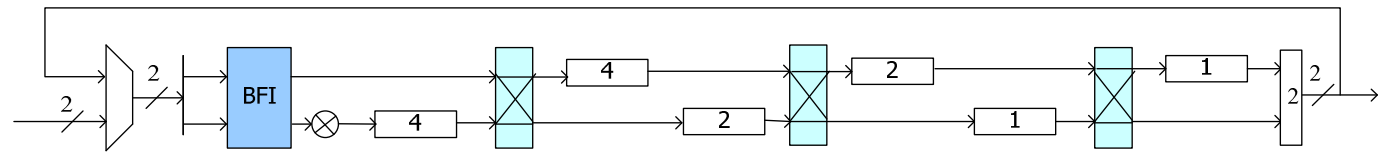
For an R2MDC architecture with transform size  $N$ , we can divide the  $N$ -points R2MDC architecture into  $\log_2 N$  stages. In our approach, we can provide  $m$  kinds of horizontal architectures, where  $m$  is the number of the factors of  $\log_2 N$ , and the factors  $f$  indicates the compression degree. We define  $t = \frac{1}{f}$  for horizontal compression. For example, assume  $N=16$ , then the factors of 4 are 1, 2, 4. We have three kinds of architectures for  $N=16$ , and 1, 2, 4 indicate different degrees of compression as illustrate in Figure 39. In Figure 39(a),  $t = \frac{1}{f} = 1$ , the compression degree is 1 means no horizon compression occurs, the architecture of  $t = 1$  is the same as the R2MDC architecture. In Figure 39(b), the compression degree is  $\frac{1}{2}$  which means compressing the number of stages of R2MDC architecture to half of original architecture, so, the number of stages in decreases to 2, and the data need to iterate twice. In Figure 39 (c), only have one stage and need to iterate four times.



(a)

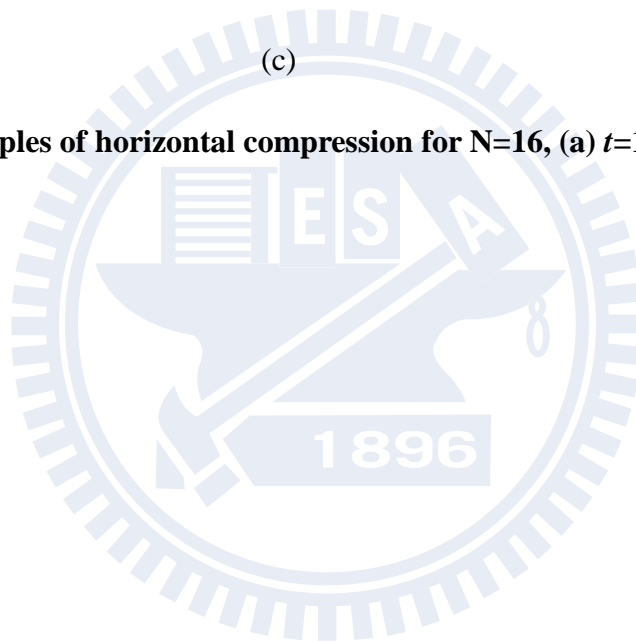


(b)



(c)

**Figure 39** Examples of horizontal compression for  $N=16$ , (a)  $t=1$  (b)  $t = \frac{1}{2}$  (c)  $t = \frac{1}{4}$





### 3.4 Summary

In section 3, we proposed two directional trades-off approaches based on R2<sup>2</sup>MDC architecture and R2MDC architecture. In vertical direction, we provide an expansion approach for R2<sup>2</sup>MDC architecture to increase the throughput, and in horizontal direction, we provide a compression approach for R2MDC architecture to decrease the throughput. Under the throughput constraint, our approach can provide only one exact solution; however, as mentioned in section 2, they search the desired solution exhaustively. Table 2 lists the hardware and throughput comparison between our approach and previous work. Table 3 lists the hardware and throughput comparison with the same throughput by replacing  $jk$  with  $t \log_2 N$ .

**Table 2 Hardware Requirement Comparison**

FFT length (N)	multipliers	adders	registers	throughput
Pease	$jk$	$2jk$	N	$\frac{2jk}{N \log_2 N}$
R2 <sup>2</sup> MDC_P	$t(2\lceil \log_4 N \rceil - 2)$	$2t \log_2 N$	N-2t	$\frac{2t}{N}$
R2MDC_F	$t \log_2 N$	$2t \log_2 N$	N	$\frac{2t}{N}$

**Table 3 Hardware Requirement Comparison with the same throughput**

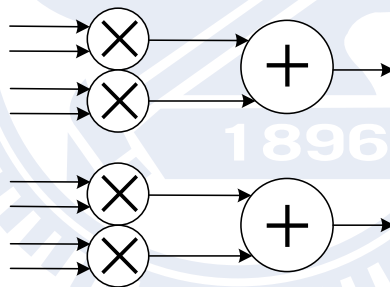
FFT length (N)	multipliers	adders	registers	throughput
Pease	$t \log_2 N$	$2t \log_2 N$	N	$\frac{2t}{N}$
R2 <sup>2</sup> MDC_P	$t(2\lceil \log_4 N \rceil - 2)$	$2t \log_2 N$	N-2t	$\frac{2t}{N}$
R2MDC_F	$t \log_2 N$	$2t \log_2 N$	N	$\frac{2t}{N}$

# Chapter 4

## Experiments

### 4.1 Experimental Environment

We implement two kinds of FFT architectures, including R2<sup>2</sup>MDC vertical expansion architecture and R2MDC horizontal architecture. Each PE stage of FFT architecture is piped. The complex adder contains two real adders and the complex multiplier contains four real multipliers and two real adders, as shown in Figure 40. For each complex multiplier, we design a ROM which contains all the possible twiddle factor values for this complex multiplier.



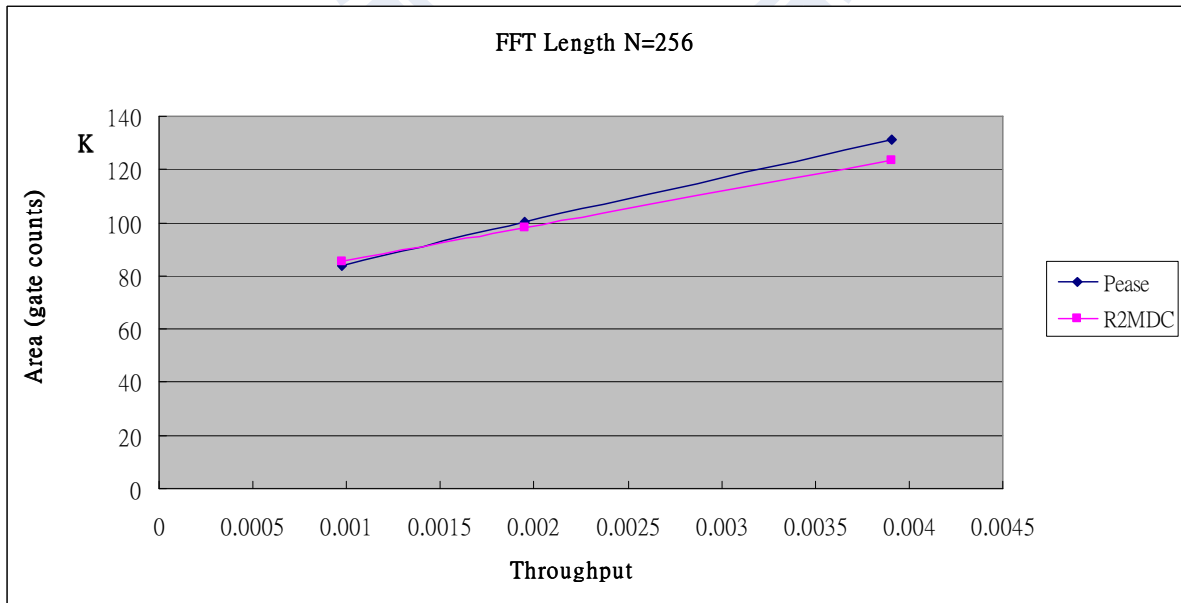
**Figure 40 Complex Multiplier**

Logic gate model includes adder, multiplier, and multiplexer. We use UMC 0.18um cell library and Synopsys DesignWare [15] to synthesis under 100MHz clock rate. The platform is built in an Intel dual Pentium Xeon at 2.5GHz with 32GB of main memory, running Linux.

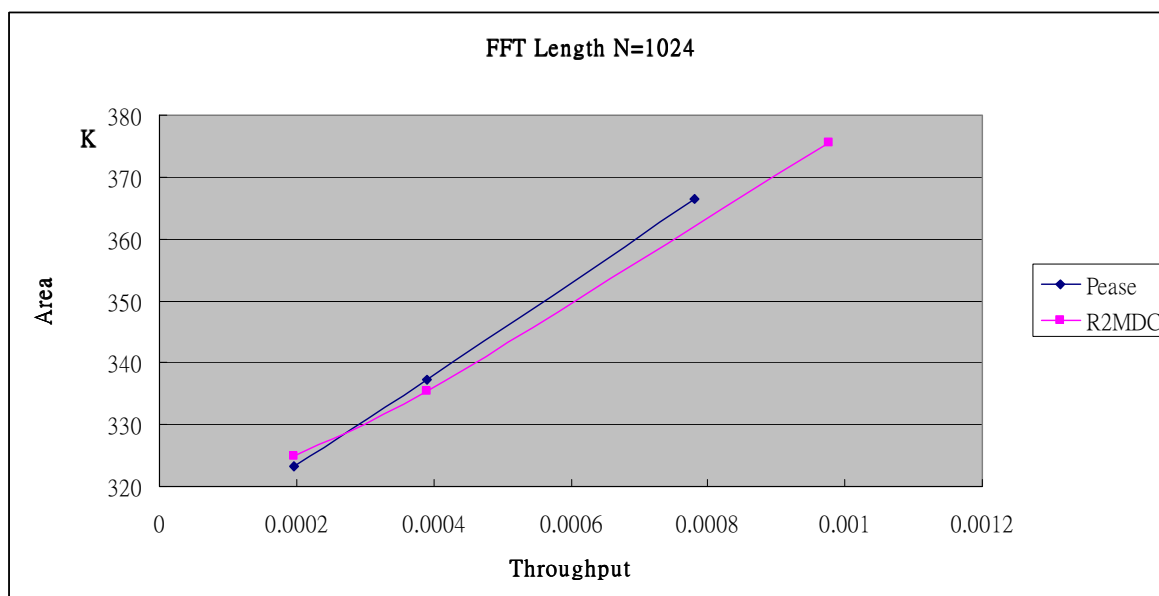
We use Matlab [16] to generate random inputs, and calculate the SQNR to guarantee the correctness of the generated FFT architecture. Our simulation results of SQNR are between 80 (db) and 90 (db).

## 4.2 Experimental Results

Figure 41 shows the relation between throughput and area for  $N=256$ , where area indicates the number of gate counts. For Pease, three architectures are generated, from left to right, the parameters are  $j=1$ ,  $j=2$ , and  $j=4$  respectively. For all architectures, we assume  $k=1$ . For R2MDC, three architectures are generated, from left to right, the parameters are  $t=\frac{1}{8}$ ,  $t=\frac{1}{4}$ , and  $t=\frac{1}{2}$  respectively. We can find that the area of Pease is almost the same as the area of R2MDC under the same throughput. From Table 3, we can find that the hardware requirement is also the same under the same throughput. Figure 42 shows the relation between throughput and area for  $N=1024$ . For Pease, three architectures are generated, from left to right, the parameters are  $j=1$ ,  $j=2$ , and  $j=4$  respectively. For all architectures, we also assume  $k=1$ . For R2MDC, three architectures are generated, from left to right, the parameters are  $t=\frac{1}{10}$ ,  $t=\frac{1}{5}$ , and  $t=\frac{1}{2}$  respectively. The trend is almost the same as  $N=256$  in Figure 41.

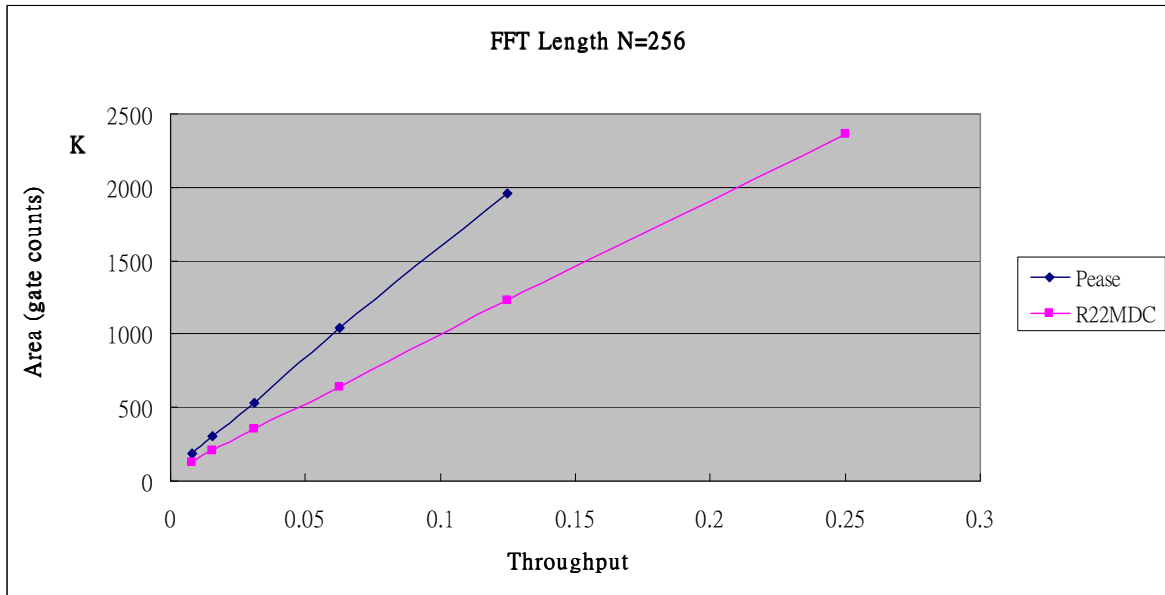


**Figure 41 Relation between throughput and area for Pease and R2MDC,  $N=256$**

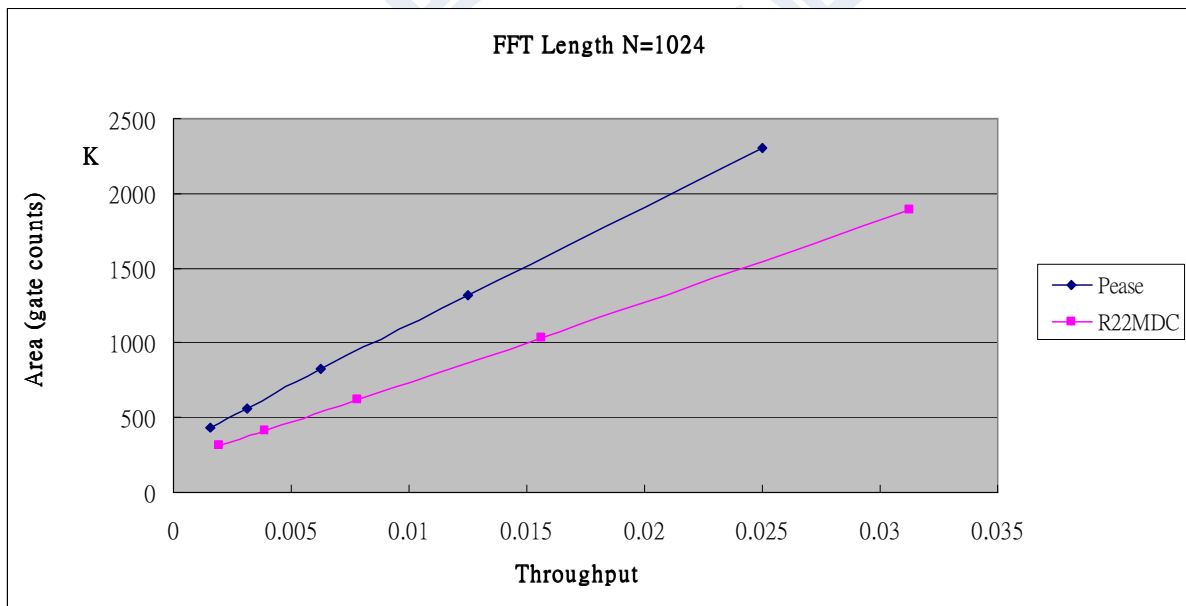


**Figure 42 Relation between throughput and area for Pease and R2MDC, N=1024**

Figure 43 shows the relation between throughput and area for N=256. For Pease, five architectures are generated, from left to right, the parameters are  $j = 8$ ,  $j = 16$ , ..., and  $j = 128$  respectively. For R2<sup>2</sup>MDC, six architectures are generated, from left to right, the parameters are  $t = 1$ ,  $t = 2$ , ..., and  $t = 32$  respectively. We can find that the area of Pease is greatly larger than the area of R2<sup>2</sup>MDC vertical expansion architectures under the same throughput because of the great number of multipliers usage of Pease. It can be also seen in Table 3. Figure 44 shows the relation between throughput and area for N=1024. For Pease, five architectures are generated, from left to right, the parameters are  $j = 8$ ,  $j = 16$ , ..., and  $j = 128$  respectively. For R2<sup>2</sup>MDC, five architectures are generated, from left to right, the parameters are  $t = 1$ ,  $t = 2$ , ..., and  $t = 16$  respectively. We can find that the area of Pease is still greatly larger than the area of R2<sup>2</sup>MDC vertical expansion architectures under the same throughput.

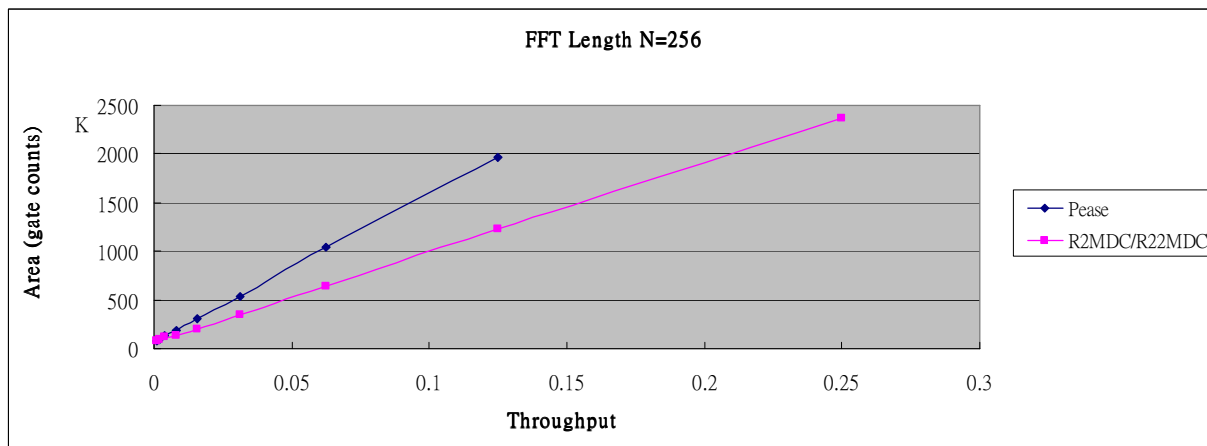


**Figure 43 Relation between throughput and area for Pease and R2<sup>2</sup>MDC, N=256**

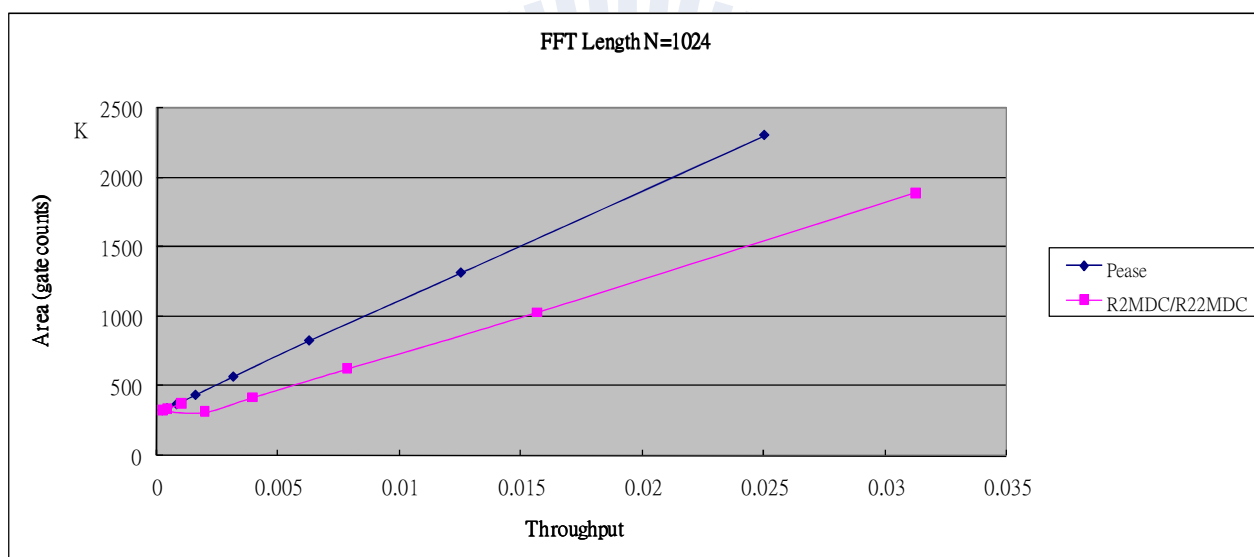


**Figure 44 Relation between throughput and area for Pease and R2<sup>2</sup>MDC, N=1024**

Figure 45 shows the joint result of Figure 41 and Figure 43. And Figure 46 shows the joint result of Figure 42 and Figure 44. We can find that the areas of our architectures are almost lower the areas of Pease architecture under throughput constraint.



**Figure 45 Relation between throughput and area for Pease and R2MDC/R2<sup>2</sup>MDC, N=256**



**Figure 46 Relation between throughput and area for Pease and R2MDC/R2<sup>2</sup>MDC, N=1024**

Compared with the Pease architecture, for the length of 256 and 1024 cases, the generated FFT processor saves about 30.8% area under throughput constraints, as shown in Table 4.

**Table 4 Area comparison**

FFT Length (N)	Pease		R2 <sup>2</sup> MDC		Area Reduction Percentage (%)
	Throughput	Area	Throughput	Area	
256	0.0078	190524	0.0078	128033	32.8
	0.0156	307040	0.0156	202469	34.06
	0.0313	533357	0.0313	350469	34.29
	0.0625	1044244	0.0625	641511	38.57
1024	0.0016	434154	0.002	313669	27.75
	0.0031	565576	0.0039	417760	26.14
	0.0063	825269	0.0078	623772	24.42
	0.0125	1314636	0.0156	1029338	21.70



# Chapter 5

## Conclusions and Future Work

The FFT processor is an important computing block in communication and signal processing systems. To improve productivity and shorten time-to-market, an automatic FFT generator can be used to design a specified FFT processor. In this thesis, we propose a parameterizable FFT generator with two approaches to make good design trade-off between throughput and area under the design constraints. First, the vertical expansion approach parallels the datapath to increase the throughput. Second, the horizontal compression approach folds the datapath to reduce the hardware usage. Besides, only the best FFT architecture is generated under the user-specified throughput constraint to reduce the computation time in our proposed FFT generator. Compared with the Pease architecture, for the length of 256 and 1024 cases, the generated FFT processor saves about 30.8% area under throughput constraints.

Various FFT architectures are proposed in literature. It can be implemented into our proposed FFT generator. In the future, more FFT algorithms such as the  $R2^3$ MDC FFT algorithm, mixed-radix FFT [17] algorithm will be considered to enlarge the search space. Besides, the bitwidth optimization techniques proposed in [18] will also be considered.



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