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## 應用數學系

## 碩士論文

不可約非負矩陣相似至正矩陣的問題探討 Irreducible nonnegative matrices that are similar to positive 1896 matrices

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#### 摘 要

我們所要探討的是不可約非負矩陣相似至正矩陣的問題,我們 將探討二個主要問題。一是對於 n 階不可約非負矩陣且有 n+2 個零元 素和對角線元素皆相異的條件之下,會相似至正矩陣。二是對於 n 階 不可約非負矩陣且有 n+2 個非零元素的條件之下,會相似至正矩陣。



Irreducible nonnegative matrices that are similar to positive matrices

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### ABSTRACT

We study the questions in which the irreducible nonnegative matrices are similar to positive matrices. We will solve the problems for  $n \times n$  irreducible nonnegative matrices with exactly n+2 zeros, and all the entries of diagonal are distinct, and for  $n \times n$  irreducible nonnegative matrices with exactly n+2 nonzero elements are similar to positive matrices.



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	目	錄		
中文摘要				i
英文摘要				ii
誌謝				iii
目錄				iv
1. Introduction				1
2. Preliminaries				2
3. Matrix with exactly $n + 2z$	zeros			4
4. Matrix with exactly $n + 2$	nonzero entri	es		8
Reference			•••••	23



#### **1** Introduction

For a matrix  $A = [a_{ij}]_{i,j=1}^n$ , we say that A is **nonnegative** is all its entries  $a_{ij}$  are nonnegative. We say that A is **positive** (A > 0) if all its entries  $a_{ij}$  are positive. A nonnegative matrix A is called **reducible** matrix if there is a permutation matrix Q such that

$$Q^T A Q = \left[ \begin{array}{cc} B & C \\ 0 & D \end{array} \right],$$

where B, C are square matrices, and it is called **irreducible** if it is not reducible.

We are interested in irreducible nonnegative matrices that are similar to positive matrices. This question was first studied in the paper of Borobia and Moro[1], where they obtained several results on the problem. Recently, in [2], Laffey, Loewy, and  $\check{S}$ migoc proved two main theorems:

- LLS 1. Let A be an  $n \times n, n \ge 4$ , irreducible nonnegative matrix with exactly n zero elements. Then either A has trace zero or it is similar to a positive matrix.
- LLS 2. Every irreducible  $n \times n$  nonnegative matrix A with positive trace and exactly n + 1 zeros is similar to a positive matrix for all  $n \ge 3$ .

In this paper, we consider the following problems:

**Question 1.** For  $n \ge 4$ , is every irreducible  $n \times n$  nonnegative matrix A with positive trace and exactly n + 2 zeros similar to a positive matrix ? **Question 2.** Is every irreducible  $n \times n$  nonnegative matrix A with positive

trace and exactly n + 1 or n + 2 nonzeros similar to a positive matrix ? In Question 2, if "exactly n + 1 or n + 2 nonzeros" replaces by "exactly n+3 nonzeros", then the answer is false.

#### Counterexample [1, Theorem 5]:

For  $a \in (0,1)$ ,  $\begin{pmatrix} a & 0 & 1-a \\ 1-a & a & 0 \\ 0 & 1-a & a \end{pmatrix}$  is not similar to a positive matrix.

In section 3, we prove that for  $n \ge 4$  and  $n \ne 5$ , if A is an  $n \times n$  irreducible nonnegative matrix with exactly n+2 zeros and distinct diagonal entries, then A is similar to a positive matrix. In section 4, we solve Question 2.

#### 2 Priliminaries

In the section, we list some Lemma, which will be used in the proofs of our main result. The following lemmas appeared in [2, Corollary 3.4, and Lemma 3.5].

**Lemma 2.1** Let A be an irreducible matrix with a positive row or column. Then A is similar to a positive matrix.

Lemma 2.2 Let

$$A = \left[ \begin{array}{cc} A_{11} & A_{12} \\ A_{21} & A_{22} \end{array} \right]$$

be a nonnegative matrix such that  $A_{11}$  is similar to a positive matrix,  $A_{12} > 0$ , and  $A_{21} > 0$ . Then A is similar to a positive matrix.

Let P be a nonnegative pattern matrix (i.e. a matrix whose entries are either zeros or positive entries), and let  $P^i, P^j(P_i, P_j)$  be two columns(rows) of P. We say that  $P^j$  dominates  $P^i(P_j$  dominates  $P_i)$ , and we write  $P^j \ge P^i(P_j \ge P_i)$ , if  $P^j(P_j)$  has positive entries in at least the same positions as  $P^i(P_i)$ . We denote by  $P^i + P^j(P_i + P_j)$  the pattern column with stars in all positions expect in those where both  $P^i$  and  $P^j(P_i$  and  $P_j)$  have zeros.

The following two lemmas were proved in [1,Theorems 2 and 4]. We need them to derive our main results. For the sake of completion, we list their proofs below. 1896

**Lemma 2.3** Let P be an irreducible pattern matrix with rows  $P_1, \ldots, P_n$  and columns  $P^1, \ldots, P^n$ , and suppose  $P^i \leq P^j(P_i \leq P_j)$  for a certain pair of indices i, j. Then any nonnegative matrix with pattern P is similar to a nonnegative irreducible matrix with pattern Q, where  $Q_i = P_i + P_j$  and  $Q_k = P_k$  for  $k \neq i$   $(Q^i = P^i + P^j)$  and  $Q^k = P^k$  for  $k \neq i$ ).

*Proof.* Suppose that i = 1, j = 2, and  $P^1 \leq P^2$  (the proof in the row case is completely analogous). We consider the  $n \times n$  matrix

(2.1) 
$$X = \begin{bmatrix} Y & 0\\ 0 & I_{n-2} \end{bmatrix},$$

where

$$Y = \left[ \begin{array}{cc} 1 & \epsilon \\ 0 & 1 \end{array} \right]$$

and  $\epsilon$  is positive. Let A be any nonnegative matrix with pattern P. We partition

$$A = \left[ \begin{array}{cc} A_{11} & A_{12} \\ A_{21} & A_{22} \end{array} \right]$$

conformally with (2.1). Then

$$XAX^{-1} = \left[ \begin{array}{cc} YA_{11}Y^{-1} & YA_{12} \\ A_{21}Y^{-1} & A_{22} \end{array} \right].$$

The block

$$A_{21}Y^{-1} = \begin{bmatrix} a_{31} & a_{32} - \epsilon a_{31} \\ \vdots & \vdots \\ a_{n1} & a_{n2} - \epsilon a_{n1} \end{bmatrix}$$

has, for  $\epsilon$  small enough, the same pattern as  $A_{21}$ , since  $a_{k2}$  can only be zero if  $a_{k1}$  is zero as well. Note that  $A_{22}$  does not change, so the row  $3, \ldots, n$  keep the same pattern as before the change of variable. The same applies to the second row, since

$$YA_{21}Y^{-1} = \begin{bmatrix} a_{11} + \epsilon a_{21} & a_{12} + \epsilon (a_{22} - a_{11} - \epsilon^2 a_{21}) \\ a_{21} & a_{22} - \epsilon a_{21} \end{bmatrix},$$
$$YA_{12} = \begin{bmatrix} a_{13} + \epsilon a_{23} & \cdots & a_{1n} + \epsilon a_{2n} \\ a_{23} & \cdots & a_{2n} \end{bmatrix}.$$

Finally, the first row can only increase its pattern, and this will only happen in those positions where  $a_{1k} = 0, a_{2k} > 0$ . In other words, the pattern of the first row becomes  $P_1 + P_2$  and the rest remain invariant.

1896

**Lemma 2.4** Let  $A = [a_{ij}]_{i,j=1}^n$  be a nonnegative irreducible matrix with  $a_{ij} = 0, i \neq j$ , and suppose that  $a_{kj} > 0$  for  $k \neq i$  ( $a_{ik} > 0$  for  $k \neq j$ ). If  $a_{ii} < a_{jj}(a_{ii} > a_{jj})$ . Then A is similar to a positive matrix.

*Proof.* Suppose again that i = 1, j = 2, and satisfies  $a_{k2} > 0$  for  $k \neq 2$  (the proof with i = 1, j = 2, and satisfies  $a_{1k} > 0$  for  $k \neq 1$  is carried out analogously). Hence, A is the form

$$A = \begin{bmatrix} a_{11} & 0 & \cdots \\ & a_{22} & & \\ & a_{32} & & \\ & \vdots & & \\ & & a_{n2} & \end{bmatrix}$$

with  $a_{12} = 0$ ,  $a_{k2} > 0$  for  $k \neq 1$ , and  $a_{11} < a_{22}$ . We consider again the change of variables (2.1). One can check, as in the proof of Lemma 2.4, that for  $\epsilon$  small enough the matrix  $XAX^{-1}$  is nonnegative and its pattern strictly dominates

the pattern of A. Thus,  $XAX^{-1}$  is irreducible, and its second column

$$\begin{array}{c} \epsilon(a_{22} - a_{11} - \epsilon a_{21}) \\ a_{22} - \epsilon a_{21} \\ a_{32} - \epsilon a_{31} \\ \vdots \\ a_{n2} - \epsilon a_{n1} \end{array} \right] .$$

is positive. Hence, according to Lemma 2.2,  $XAX^{-1}$  is similar to a positive matrix.

#### 3 Matrix with exactly n+2 zeros

**Proposition 3.1** For  $n \ge 6$ , let  $A = [a_{ij}]_{i,j=1}^n$  be an irreducible  $n \times n$  nonnegative matrix with exactly n + 2 zeros and let the diagonal entries be all distinct. If  $a_{n1} = a_{n2} = a_{n3} = 0$ , then A is similar to a positive matrix.

*Proof.* First, suppose that zeros in rows 1, 2, 3 does not appear in the same column. Since there is a column in the first three columns with exactly a zero, we may assume that it is the third column. Then  $A^3 \ge A^1, A^3 \ge A^2$ . By Lemma 2.3, we know that A is similar to a nonnegative irreducible matrix Q, where  $Q_1 = A_1 + A_3, Q_2 = A_2 + A_3$ , and  $Q_k = A_k, k \ne 1, 2$ . Moreover, Q has exactly k zeros, where k < n + 2. Hence, we apply LLS 1 and LLS 2 to show that Q is similar to a positive matrix, and this implies that A is similar to a positive matrix.

Next, we consider the situation when zeros in rows 1, 2, 3 appear in the same column.

(1) If column n has only a zero, we may assume that  $a_{14} = a_{24} = a_{34} = 0$ . Then A is permutationally similar to the form

$$A = \left[ \begin{array}{cc} A_{11} & A_{12} \\ A_{21} & A_{22} \end{array} \right].$$

where  $A_{11}$  is a  $k \times k$  matrix with the pattern

 $A_{22}$  is an  $(n-k) \times (n-k)$  matrix with n-k zeros, and  $A_{12}$ ,  $A_{21}$  are positive matrices. Since  $a_{(k-1)k} = 0$  with  $a_{ik} > 0, i \neq k-1$  and  $a_{(k-1)j} > 0, j \neq k$ , by Lemma 2.4, we have  $A_{11}$  is similar to a positive matrix. By Lemma 2.2, this implies that A is similar to a positive matrix.

(2) Suppose that  $a_{1n} = a_{2n} = a_{3n} = 0$ . Then A is permutationally similar to the form

$$A = \left[ \begin{array}{cc} A_{11} & A_{12} \\ A_{21} & A_{22} \end{array} \right]$$

where  $A_{11}$  is a  $4 \times 4$  matrix with the pattern

$$\begin{bmatrix} + & + & + & 0 \\ + & + & + & 0 \\ + & + & + & 0 \\ 0 & 0 & 0 & + \end{bmatrix}$$

 $A_{22}$  is an  $(n-4) \times (n-4)$  matrix with n-4 zeros, and  $A_{12}$ ,  $A_{21}$  are positive matrices. If  $n \ge 8$ , then  $A_{22}$  is irreducible with nonzero trace. By LLS 1,  $A_{22}$  is similar to a positive matrix. Hence, by Lemma 2.2, A is similar to a positive matrix.

For  $6 \le n < 8$ , there is an  $a_{ik} = 0, 4 < i, k \le n$  and  $i \ne k$ , satisfying  $a_{ij} > 0, j \ne k$  and  $a_{jk} > 0, j \ne i$ . By Lemma 2.4, A is similar to a positive matrix.

**Theorem 3.2** For  $n \ge 4$  and  $n \ne 5$ , let  $A = [a_{ij}]_{i,j=1}^n$  be an irreducible  $n \times n$  nonnegative matrix with exactly n+2 zeros. If the diagonal entries are distinct, then A is similar to a positive matrix.

*Proof.* By Lemma 2.1, we may assume that A has at least a zero in every row and column. It only need to consider that row n has three zeros or two zeros. **Case 1.** Row n has three zeros:

From Proposition 3.1, we only need to consider the case  $a_{n1} = a_{n2} = a_{nn} = 0$ . If there exist  $a_{i_1j} = a_{i_2j} = a_{i_3j} = 0$  and  $a_{jj} > 0$ . Then we can replace A by  $A^T$  and use the arguments from Proposition 3.1 to finish the proof. Next, if zeros in rows 1, 2, n appear in the same column and a zero of them is on the main diagonal. Since the diagonal entries are distinct, we have  $a_{1n} = a_{2n} = a_{nn} = 0$ . Then  $A^1 \ge A^n$ . By Lemma 2.3, A is similar to a nonnegative irreducible matrix Q, where  $Q_n = A_1 + A_n$  and  $Q_k = A_k$  for  $k \ne n$ . Moreover, Q has exactly k zeros, where k < n + 2. Thus A is similar to a positive matrix. Finally, We consider the situation when zeros in rows 1, 2, n do not appear in the same column. In the situation, if  $n \ge 6$ , then there is an  $a_{ij} = 0, 3 \le j \le 5, i \ne j$  such that  $a_{kj} > 0$  for  $k \neq i$  and  $a_{il} > 0$  for  $l \neq j$ . By Lemma 2.4, A is similar to a positive matrix. For n = 4, applying Lemma 2.4, we only need to consider the pattern:

$$\left[\begin{array}{rrrr} + & + & 0 & + \\ + & + & 0 & + \\ + & + & + & 0 \\ 0 & 0 & + & 0 \end{array}\right]$$

By Lemma 2.3, we know that it is similar to a nonnegative irreducible matrix with exactly k zeros, where k < n + 2. Hence it is similar to a positive matrix.

**Case 2**. Two zeros in row *n* are off the main diagonal. We may assume that  $a_{n1} = a_{n2} = 0$ :

1. There are two additional zeros in the first two columns:

If  $n \ge 6$ , then there is an  $a_{ij} = 0, 3 \le j \le 5$ ,  $i \ne j$  such that  $a_{kj} > 0$  for  $k \ne i$  and  $a_{il} > 0$  for  $l \ne j$ . By Lemma 2.4, A is similar to a positive matrix. For n = 4, using Lemma 2.4, we only need to consider the following patterns:

$$\begin{bmatrix} + & + & 0 & 0 \\ 0 & + & + & + \\ + & 0 & + & + \\ 0 & 0 & + & + \\ \end{bmatrix}, \begin{bmatrix} + & 0 & + & + \\ + & + & 0 & 0 \\ 0 & + & + & + \\ 0 & 0 & + & + \\ \end{bmatrix}, \begin{bmatrix} 0 & + & + & + \\ + & + & 0 & 0 \\ + & 0 & + & + \\ 0 & 0 & + & + \\ \end{bmatrix},$$

$$\begin{bmatrix} 1896 \\ + & 0 & + & + \\ 0 & + & + & + \\ + & + & 0 & 0 \\ 0 & 0 & + & + \\ \end{bmatrix}.$$

Four patterns above, by Lemma 2.3, we know that these are similar to nonnegative irreducible matrices with exactly k zeros, where k < n + 2. Hence these are similar to positive matrices.

2. There is an additional zero in the first two columns:

We may assume that column 1 has two zeros. First, we consider the situation when zeros in rows 1, 2 do not appear in the same column. Since  $A^2 \ge A^1$ , by Lemma 2.3, A is similar to a nonnegative irreducible matrix Q, where  $Q_1 = A_1 + A_2$ ,  $Q_k = A_k$  for  $k \ne 1$ . Then Q has exactly m zeros, where m < n + 2. Hence, Q is similar to a positive matrix and this implies that A is similar to a positive matrix.

Next, we consider the situation when zeros in rows 1, 2 appear in the same column. If  $n \ge 6$ , then there is an  $a_{ij} = 0, 3 \le j \le 5, i \ne j$  such that  $a_{kj} > 0$  for  $k \ne i$  and  $a_{il} > 0$  for  $l \ne j$ . By Lemma 2.4, A is similar

to a positive matrix. For n = 4, by Lemmas 2.3 and 2.4, we only need to consider the following patterns:

$$A(1) = \begin{bmatrix} + & + & 0 & + \\ + & + & 0 & 0 \\ 0 & + & + & + \\ 0 & 0 & + & + \end{bmatrix}, A(2) = \begin{bmatrix} + & + & 0 & + \\ 0 & + & 0 & + \\ + & + & + & 0 \\ 0 & 0 & + & + \end{bmatrix}, A(3) = \begin{bmatrix} + & + & + & 0 \\ 0 & + & + & 0 \\ + & + & 0 & + \\ 0 & 0 & + & + \end{bmatrix}.$$

All of the above patterns are discussed in Proposition 3.3.

3. The first two columns are both a zero:

First we consider the situation when zeros in rows 1, 2 do not appear in the same column. Since  $A^2 \ge A^1$ . By Lemma 2.3, A is similar to a nonnegative irreducible matrix Q such that Q has exactly k zeros, where k < n + 2. Hence A is similar to a positive matrix. Next, suppose that  $a_{1r} = a_{2r} = 0$ . If r = n, from Lemmas 2.2 and 2.4, our analysis reduces to the situation:



By LLS 2, *B* is similar to a positive matrix. If  $3 \le r < n$ , we may assume that r = 3 and  $n \ge 6$ . By the hypothesis, there is an  $a_{ij} = 0, 4 \le j < n$ ,  $3 \le i < n, i \ne j$  such that  $a_{kj} > 0$  for  $k \ne i$  and  $a_{il} > 0$  for  $l \ne j$ . By Lemma 2.4, *A* is similar to a positive.

**Case 3.** Row *n* has two zeros and a zero in row *n* is on the main diagonal: We may assume that  $a_{n1} = a_{nn} = 0$ . Then there exist  $a_{i_1j} = a_{i_2j} = 0$  for some  $1 \le i_1, i_2 \le n$  and  $i_1, i_2 \ne j$ . Replace *A* by  $A^T$ . By Case 2, we obtain the proof.

**Proposition 3.3** For  $1 \le i \le 3$ , A(i) is defined as in the proof of Theorem 3.2. Then A(i) is similar to a positive matrix.

*Proof.* Let  $E_{ij}$  denote a (0,1) matrix with element (i,j) equal to one and all other elements equal to zero. We define

$$F_{ij}(\epsilon) = I - \epsilon E_{ij}$$

Clearly,  $F_{ij}^{-1}(\epsilon) = F_{ij}(-\epsilon)$ .

For i = 1, 2, 3,  $A(i) = [a_{ij}]_{i,j=1}^4$ , if  $a_{22} < a_{44}$ ,  $F_{42}(\epsilon)A(i)F_{42}^{-1}(\epsilon)$  is nonnegative and has positive column 2 for all sufficiently small  $\epsilon > 0$ . If  $a_{22} > a_{44}$ ,  $F_{42}(-\epsilon)A(i)F_{42}^{-1}(-\epsilon)$  is nonnegative and has positive column 2 for all sufficiently small  $\epsilon > 0$ . Thus A(i) is similar to a positive matrix.

#### 4 Matrix with exactly n+2 nonzero entries

Suppose that A is an  $n \times n$  irreducible nonnegative matrix with tr(A) > 0. In this section, we show that A is similar to a positive matrix when A has exactly n + 1 or n + 2 nonzeros entries.

**Proposition 4.1** Let A be an  $n \times n$  irreducible nonnegative matrix with exactly n+2 positive elements and tr(A) > 0. Suppose that A is permutationally similar to a matrix of the form



where the positions of positive diagonal entries are (i - 1, i - 1)th and (i, i)th, and we replace + of diagonal entries by  $\oplus$ . Then A is similar to a positive matrix.

*Proof.* Obviously,  $A^{i-1} \ge A^{i-2}$ . By Lemma 2.3, A is similar to a nonnegative irreducible matrix B with pattern



Using similar step, we get that A is similar to a nonnegative irreducible matrix C with pattern

by observing this pattern, we have  $C^1 \ge C^n$ , and then it is similar to a non-negative irreducible matrix P with pattern

Using similar step, by Lemma 2.3,  ${\cal P}$  is similar to a nonnegative irreducible matrix with pattern



where it has positive column i - 1. By Lemma 2.1, it is similar to a positive matrix.

From the proof of Proposition 4.1, the diagonal entry of position (i, i) can be zero. Hence we have the following theorem.

**Theorem 4.2** Let A be an  $n \times n$  irreducible nonnegative matrix with exactly n + 1 positive elements and tr(A) > 0. Then A is similar to a positive matrix.

*Proof.* From the hypothesis, A is permutationally similar to a matrix of the form



where  $a_1, \dots, a_n, a_{n+1} > 0$ . From the proof of Proposition 4.1, we show that A is similar to a positive matrix.

**Proposition 4.3** Let A be an  $n \times n$  irreducible nonnegative matrix with exactly n + 2 positive elements and tr(A) > 0. If A is permutationally similar to a

matrix of the form



where the positions of positive diagonal entries are (i, i)th and (i + k, i + k)th, and we replace + of diagonal entries by  $\oplus$ . Then A is similar to a positive matrix.

*Proof.* Using the method of Proposition 4.1 and Lemma 2.3, A is similar to the following pattern matrix

Since  $P_i \ge P_{i+1}$ , by Lemma 2.3, P is similar to the following pattern matrix



By the discussion above, Q is similar to a nonnegative irreducible matrix with pattern



It has positive column i + k - 1 and then A is similar to a positive matrix.

**Proposition 4.4** Let A be an  $n \times n$  irreducible nonnegative matrix with exactly n + 2 positive elements and tr(A) > 0. If A satisfies the following properties:

- (i) The diagonal entries have a nonzero element.
- (ii) There is a positive (i, j) position with  $i \neq j$ , and ith row and jth column have an additional positive element respectively.

Then A is similar to a positive matrix.

We need the following lemma to prove Proposition 4.4.

**Lemma 4.5** Let  $A = [a_{i,j}]_{i,j=1}^n$  be an irreducible nonnegative matrix with exactly n + 1 positive elements and tr(A) = 0. If A satisfies (ii) of Proposition 4.4. Then A is permutationally similar to a matrix of the form



Proof. Obviously.

The proof of Proposition 4.4:

Here we replace the nonzero diagonal entry (k, k) and positive (i, j) position by notation  $\oplus$ . By Lemma 4.5, we only need to consider the following two cases. **Case 1**.  $\oplus$  in the position (i, 1), where  $3 \le i \le k$ :

Since  $A^k \ge A^{k-1}$ , by Lemma 2.3, A is similar to a nonnegative irreducible matrix A' with  $A'_{k-1} = A_k + A_{k-1}$  and  $A'_r = A_r$  for  $r \ne k-1$ . Repeat this

step, then A is similar to the following pattern

Since  $C_k \ge C_{k+1}$ , by Lemma 2.3, C is similar to a nonnegative irreducible matrix C' with  $C'^{k+1} = C^k + C^{k+1}$  and  $C'^r = C^r$  for  $r \ne k+1$ . Repeat this step, then C is similar to the following pattern

	0+	0 + +	0++++		189	0+6	0 + + :			0 + :	+   +   +   +   +   +   +   +   +   +
$D \equiv$	Ð			+		: + +	: + ⊕ +	+	· ·	+ +	: + + : + + + + +

From Lemma 2.1, D is similar to a positive matrix. Case 2.  $\oplus$  in the position (i, 1), where i > k:



Since  $A^k \ge A^{k-1}$ , by Lemma 2.3, A is similar to a nonnegative irreducible matrix A' with  $A'_{k-1} = A_k + A_{k-1}$  and  $A'_r = A_r$  for  $r \ne k-1$ . Repeat this step, A is similar to the following pattern



Since  $Q_k \ge Q_{k+1}$ , by Lemma 2.3, Q is similar to a nonnegative irreducible matrix Q' with  $A'^{k+1} = Q^k + A^{k+1}$  and  $Q'^r = Q^r$  for  $r \ne k+1$ . Repeat this

step, Q is similar to the following pattern

Since  $R^{i-1} \ge R^1$ , by Lemma 2.3, R is similar to a nonnegative irreducible matrix R' with  $R'_1 = R_1 + R_{i-1}$  and  $R'_r = R_r$  for  $r \ne 1$ . Repeat this step, R is similar to the following pattern



which is positive column i - 1. From Lemma 2.1, A is similar to a positive matrix.

**Proposition 4.6** Let A be an  $n \times n$  irreducible nonnegative matrix with exactly n + 1 positive elements and tr(A) = 0. If A doesn't satisfy (ii) of Proposition



or

or



*Proof.* Since  $A = [a_{ij}]_{i,j=1}^n$  is irreducible with exactly n+1 nonzero entries, there exist *i*th row and *j*th column such that  $a_{ij_1}, a_{ij_2}, a_{i_1j}, a_{i_2j} > 0$ . By the

hypothesis, columns  $j_1, j_2$  and rows  $i_1, i_2$  are only a zero. Without loss of generality, we may assume that  $i = 1, j_1 = 2$ , and  $j_2 = 3$ . Hence if j = 1, then A is permutationally similar to M(1). If  $j \neq 1$ , then A is permutationally similar to M(2) or M(3).

**Proposition 4.7** Let  $A = [a_{ij}]_{i,j=1}^n$  be a nonnegative irreducible matrix with exactly n + 2 positive elements and tr(A) > 0. If A doesn't satisfy (ii) of Proposition 4.4 and the diagonal entries have a nonzero element,  $\oplus$ . Then A is similar to a positive matrix.

*Proof.* By Proposition 4.6, we only need to consider the cases  $M(i) + \oplus E_{kk}$ , where  $1 \le i \le 3, 1 \le k \le n$ , and  $E_{kk}$  is defined as in Proposition 3.3. The case  $M(1) + \oplus E_{kk}$ : Suppose that  $a_{i1} \ne 0$ .

(1) For k = 1, 2, 3, by Lemma 2.3 (column),  $M(1) + \oplus E_{kk}$  is similar to a nonnegative irreducible matrix with positive column k. Hence  $M(1) + \oplus E_{kk}$  is similar to a positive matrix.

(2) For  $4 \le k \le i + 1$ , if k is odd, by Lemma 2.3 (column),  $M(1) + \oplus E_{kk}$  is similar to a nonnegative irreducible pattern matrix B(1) with the form



 $B(1)^k \geq B(1)^{k-1}.$  Then B(1) is similar a nonnegative irreducible pattern matrix B(2) with the form



 $B(2)^k \geq B(2)^{k+1}.$  Then B(2) is similar to a nonnegative irreducible pattern matrix B(3) with the form

 $B(3)^k \ge B(3)^{k+2}$ , and keep on repeating these steps. Then B(3) is similar to a nonnegative irreducible pattern matrix B(4) with the form



 $B(4)^k \ge B(4)^1$ , and keep on repeating these steps. Then B(4) is similar to a nonnegative irreducible pattern matrix B(5) with the form

	0	+	+				t		+						-	]
	$\begin{array}{c} 0 \\ 0 \end{array}$	$\begin{array}{c} 0 \\ 0 \end{array}$	$\begin{array}{c} 0 \\ 0 \end{array}$	 + +	+++	$\begin{array}{c} 0 \\ 0 \end{array}$	+ +		+ +							
				·	0 0	+ + 0	+ + + ⊕	+	$     \begin{array}{c}       0 \\       0 \\       + \\       +     \end{array} $							
B(5) =							+ : +	0	+ : +	+ • 0	· 0	+				
	+						+++		+++	0	0	$0\\0$	+	•.		
	L +						: + +		: + +				••	0	+0.	

B(5) has positive column k and than B(5) is similar to a positive matrix.

Suppose that k is even. its proof will be omitted, since it is similar to the proof above.

(3) For  $i + 2 \leq k \leq n$ , by Lemma 2.3 (column),  $M(1) + \oplus E_{kk}$  is similar to a nonnegative irreducible pattern matrix P with the form



Since  $P_n \ge P_{k-1}$ , then P is similar to a nonnegative irreducible pattern matrix P', where  $P'^{k-1} = P^n + P^{k-1}$ ,  $P'^l = P^l$  for  $l \ne k-1$ . Repeat these steps, it is similar to a nonnegative irreducible pattern matrix with the form

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L	+	•••							•••	+	+	+	+	+	

It has positive row n. Hence  $M(1) + \oplus E_{kk}$  is similar to a positive matrix. The cases  $M(2) + \oplus E_{kk}$  and  $M(3) + \oplus E_{kk}$ : Its proof will be omitted, since it is similar to the proof above.

From Propositions 4.1, 4.3, 4.4, and 4.7, we obtain the following theorem.

**Theorem 4.8** Let A be an  $n \times n$  nonnegative irreducible matrix with exactly n+2 positive elements and tr(A) > 0. Then A is similar to a positive matrix.



#### References

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