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音樂情緒及其腦電波頻譜動態之探討

Exploring EEG Spectral Dynamics of Music-Induced
Emotions

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中華民國一百年七月

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摘要

本研究使用 Vieillard 等人所設計出的 56 個可以引發情緒的音樂片段,分析其 MIDI 音樂以得到音樂特徵;在實驗中,請17位受測者聆聽這些音樂並為自己聽音樂的情緒 作二個維度的評量:正負向(valence)與強弱向(arousal);同時並量測受測者的腦 電波 (Electroencephalography),以探討聽音樂時的腦電波頻譜動態現象。本研究中 使用 Gomez 與 Danuser 的迴歸方式,歸納五個音樂特徵與受測者的自我情緒評量之間的 關係。音樂特徵分析的結果顯示,不同音樂特徵與聽者的情緒存在某些相關性,例如大 調的音樂使人有正向感覺、小調的音樂則令人感到低落;音樂速度的快慢也影響聽者情 緒的激昂或平靜。但較複雜的音樂特徵,例如節奏、旋律變化甚至和聲組成,則較難用 簡單的音樂計算得到有效的情緒音樂特徵。本研究將聽音樂時的腦電波分為5個頻帶 delta (1~3 Hz), theta (4~7 Hz), alpha (8~12 Hz), beta (13~30 Hz), 及 gamma (31~50 Hz), 並先以獨立成份分析(ICA)分出不同訊號源, 再依訊源特徵對訊號分群, 所得的腦 波訊號大小(EEG power)再經 ANOVA 分析,檢驗特定腦區頻帶的作用是否與受測者的 情緒正負向或情緒強弱有關係。研究發現,大腦的前額葉,特別是兩側腦半球現象的不 對稱性,在左邊 alpha 及右邊 gamma 頻帶都分別與情緒的正負向相關;而在額葉中線的 alpha、左側軀體運動區的 delta 以及枕葉區的 theta 頻帶都分別發現與情緒強度相關 的現象。由本研究可再次證實,大腦兩邊的不對稱現象是情緒正負趨向的指標;而大腦 在許多區域都對聽音樂引發的情緒有反應。

關鍵字:情緒、音樂特徵、情緒正負向、情緒強弱向、腦電波、獨立成份分析 、頻譜 動態、不對稱性

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Exploring EEG Spectral Dynamics of Music-Induced Emotions

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Abstract

Vieillard et al. (2008) has designed 56 emotional musical excerpts that convey four different emotions. The MIDI formats of the musical excerpts were analyzed to obtain their musical features. In addition, 17 participants were invited to listen to the musical excerpts and to assess their emotions by two evaluative dimensions: positive/negative valence and high/low arousal. The regression model developed by Gomez and Danuser was used to discover the relationships between the assessments by the participants and the five musical features. The result of the musical feature analysis indicated a correlation between musical features and the emotions of the listener. For example, major musical keys created positive feelings, minor musical keys created depressed feelings, and music tempo made the participants feel excited or peaceful. Rhythm, melody, and harmony (i.e. more complex musical features) were rather difficult to calculate with simple musical features to determine the relationship of complex musical features with emotional state. In part two of the study, the participants' electroencephalography (EEG) was measured while they listened to musical excerpts to investigate their EEG spectral dynamics. The five frequency bands for EEG were delta (1~3 Hz), theta (4~7 Hz),

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alpha (8~12 Hz), beta (13~30 Hz), and gamma (14~50 Hz). First, the Independent

Component Analysis (ICA) was completed to distinguish the source of different signals. Then, all signals were classified based on the feature of the sources. The obtained EEG power of the signals was analyzed with ANOVA to determine if frequency bands of specific brain regions were related to participants' positive/negative valences and high/low arousals. The results demonstrated that the frontal lobe was characterized by hemispheric asymmetry with the left-frontal alpha and the right-frontal gamma indicating a relationship with positive/negative valences. Furthermore, the frontal midline alpha, the left somatomotor delta, and the occipital theta were found to be related to high/low arousal. This study reaffirmed the phenomenon of hemispheric asymmetry as a good indicator for positive/negative valences. Furthermore, responses of many brain regions have an observable relationship with music-induced emotions.

Keywords: emotion, musical feature, valence, arousal, Electroencelography (EEG), independent component analysis (ICA), spectral dynamic, asymmetry

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Chapter 1 Introduction

1.1. Motivation

While human listening to music, their emotion can be influenced. Music can change emotions, release emotions. People enjoy or comfort themselves while listening to music [1, 2].

People listen to different types of music which can induce different emotions. What composition of music can be used to induce specific emotion type was interesting to explore. Furthermore, besides the listener's self-reports, the emotional bio-signals induced by music are also worth to investigating.

1.2. Literature review

Several researchers have explored the relationships between musical structure and perceived emotions [3, 4]. There are various studies on music affective characterization from acoustic features [5, 6]. In the study of Gomez & Danuser, published by Emotion(2007) [7], the relationships between musical structure and felt emotions were investigated. They invited three musical experts to judged 11 musical features of all musical excerpts that would be listened in the experiment of their study. They used a regression model to figure out the relationship between musical feature and affections of subjects. The model could reveal that, for these subjects, some musical

feature settings would induce certain emotional states.

The autonomic nervous system (ANS) is excited during music listening. These reactions are similar to those shown to other emotional stimuli, including changes in heart rate, skin temperature, electrodermal response, and respiration, etc. [8-12]. Besides ANS, the central nervous system (CNS), including brain, can provide informative characteristics in responses to the emotional states. In the brain research, listeners' responses to music involve regions of the brain that are known from previous research to be implicated in emotional responses, including thalamus, hippocampus, amygdale, prefrontal cortex, orbitofrontal cortex, midbrain/periaqueductal gray (PAG), insula, and nucleus accumbens [13-17]. The Electroencephalogrphy (EEG) is a noninvasive measurement with temporal resolution in milliseconds. The studies using EEG to investigate the processing of emotion have shown some EEG evidence related to emotion. One of the common indicators is the anterior alpha-power asymmetry derived from the spectral differences between a symmetric electrode pairs [18-20]. Other emotional EEG activities have been found in many regions, such as frontal, frontal-central, parietal areas [21-26]. Due to different experimental design, some findings from different studies have controversies [27].

1.3. Aims and Objectives

In this study, the pre-labeled 56 musical excerpts published by Vieillard et al. [28] were used in the musical feature analysis and listening tasks. All selected musical features were evaluated by computer, not by human

judgment. During music listening, subjects' 62-channel EEG signals were recorded simultaneously. Independent component analysis (ICA) was used to decompose the 62-channel EEG signals into temporally independent processes, whose sources originated from multiple brain regions, and power spectra were computed from the activation time course of each independent component. Finally, independent components with similar features, such as topographic maps, dipole sources, and power spectra, were grouped into clusters across subjects. Besides, subjects were ask to have self-reports for their feelings for listened musical excerpts.

This study aims to 1) figure out the relationship between affection and musical features according to subjective emotion ratings, 2) investigate the emotional EEG power responses during listening to music.

Chapter 2 Experimental Design

2.1. Stimuli

The stimuli were musical excerpts which were designed by Viellard and collaborators [28]. All excerpts were piano sounds. The duration of each excerpts ranged from 8 to 16 seconds (mean duration = 12.5 secs). According to the original study, these excerpts were labeled to four emotional types, happiness, sadness, peacefulness and scary. Each type includes 14 excerpts. By the research of Viellard et al., in each emotional type, there are 4 excerpts which had lower confidence level, respectively, though we put these 16 excerpts in the latest session of our experiment.

2.2. Presentation order of stimuli

All excerpts except the 16 excerpts which had low confidence rating level were presented in early sessions. The 40 excerpts were sorted by each "mean valence + mean arousal" value which pre-rated by Viellard et al. and they were separated to four blocks, each had 10 excerpts. Of the 24 possible arrangements of the four blocks, eight were selected, with the condition that each block had to be twice in first, second, third and fourth position. The order of the 10 stimuli within each block was randomly determined. The eight presentation orders were counterbalanced across subjects. This is done to guarantee a permissible emotional difference between stimuli as

regards affective ratings [29]. In the first two sessions, each one had 20 stimuli. In the latest session, the other 16 excerpts were presented in the random order.

2.3. Experimental environment

The experiment was run in a shielding room with a little light and comfortable temperature. Each subject was seated in front of a 19-inch LCD monitor. Subjects were asked to follow the instructions displayed in the monitor and evaluate subjective ratings by mouse. They moved the mouse cursor and click one certain button to choose their answers. Musical excerpts were played with an appropriate volume in a well covered earphone (TDH-49P, Telephonics).

2.4. Experimental procedure

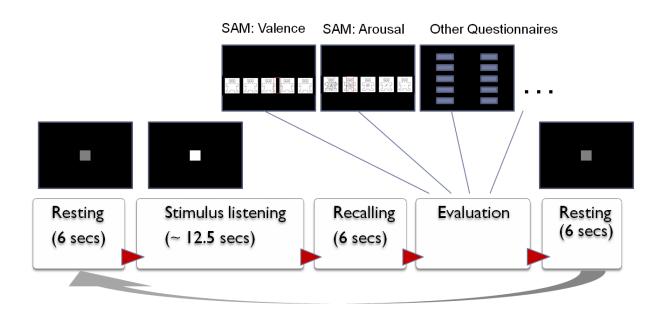


Fig. 1. The chart for experimental procedure.

The experimental scenario was wrote and played in the software Presentation (version 0.60, Neurobehavioral Systems). As the Fig. 1 shows, each musical excerpt was in one trial. The duration of excerpt was varied by each excerpt. Before and after each trial, there were fifteen seconds resting time, subjects had to sustain their physiological state in a steady condition until they were asked to evaluate their ratings. After each musical excerpts listening, the subjects had six second to recall the music that they had listened just before, and then, they were asked to evaluate their affections. The subjective valence and arousal ratings were evaluated through the modified 9-scale-SAM (Self-assessment manikin, [30], Fig. 2). The valence and arousal rating values were recorded as 1, 2, ..., 9, respectively. Then other questionnaires, include adjectives come from the analysis for emotional responses [31], were answered, therefore, subjects were assured to fully engage in the musical listening. All questions had limited choices. The evaluation time was not limited though subjects were asked to response without too much thinking.

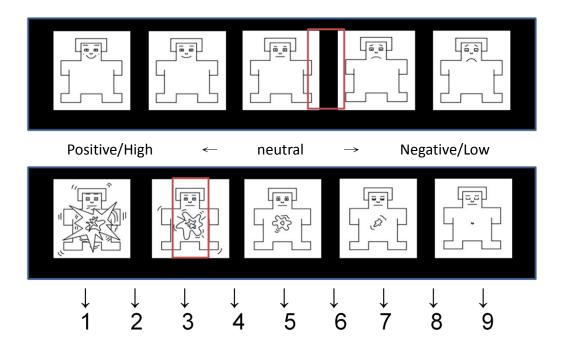


Fig. 2. The 9-scale-SAM (Self-assessment manikin): the top: the valence manikin; the bottom: the arousal manikin. In the experimental scenario, the valence and arousal manikin was played in sequence. The participates did not saw the number from 1 to 9 associated to each position, they just moved the red rectangle left or right with mouse to select their choice.

2.5. Subjects

Seventeen subjects were invited to this experiment. They all voluntarily participated in this experiment and have signed a letter of consent. All subjects but one men were right-handed. They were undergraduate or graduate students in Hsinchu, Taiwan. Subjects were majored in different colleges but not in the music department. More details of subjects' profiles are in the Appendix VIII.

2.6. EEG Recording

The EEG data were recorded at 1000 Hz sampling rate from an electrode cap (Neuromedical Supplies 62-channel Quik-Cap) based on the international 10-20 system (Fig. 3), used a NeuroScan NuAmps amplifier with a band-pass filter (0.1 to 50 Hz). The reference channel, REF, was placed on the center of head (between Cz and CPz). The impedance of each electrode was ensured to be less than 10 k ohms before the EEG acquisition began.

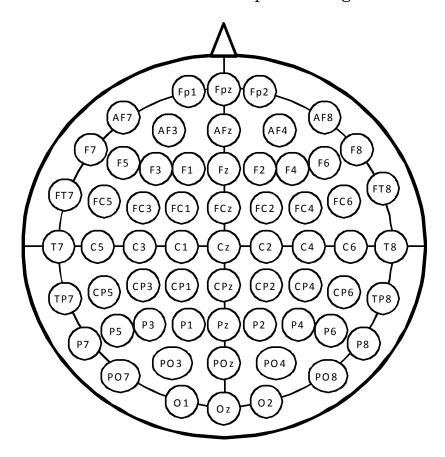


Fig. 3. The layout of electrodes on the EEG caps used in the experiments.

Chapter 3 Data Analysis

3.1. Musical Feature Analysis

Each musical feature comes from dissecting the MIDI files of musical excerpts. All features were analyzed in MATLAB environment with the MIDI toolbox¹.

3.1.1. Musical features selection and evaluation

Five musical features were analyzed in this study. They are mode, tempo, rhythm, pitch range and pitch level. These musical features were easy to be evaluated and were thought to be important features with related to emotions of human [7]. The evaluation of five features used the MIDI toolbox to analyze the MIDI information of each musical excerpt. The details were described in Appendix II.

3.1.2. Affective space regression to musical features

The analytic approach was used by Gomez et al. [7] to correlate the musical features with subjective ratings, valence and arousal, simultaneously. The musical feature model Y = α + β_V V + $\beta_A A$ + $\beta_{VA} V^* A$ was fitted by the

¹ Eerola, T. & Toiviainen, P. (2004). *MIDI Toolbox: MATLAB Tools for Music Research*. University of Jyväskylä: Kopijyvä, Jyväskylä, Finland. Available at http://www.jyu.fi/musica/miditoolbox/.

M-estimator with Huber type psi function. V and A are the valence and arousal ratings evaluated by each subjects. Models were fitted by each subject separately. The coefficients were tested by Wilcoxon signed-ranked test against the null hypothesis of symmetry around zero. The coefficients were used if they are much different from zero, p < 0.05. The median of subjects' coefficients was used to figure out the correlation for affective space and musical features.

3.2. EEG Data Analysis

3.2.1. Analysis tools

The EEG raw data were loaded to the MATLAB (7.4.0) environment and dealt with the EEGLAB toolbox (v6.03b). Most of calculation methods done to the EEG data in this study were followed to the EEGLAB functions [32]. The EEG pre-processing flow was shown in the Fig. 4. The three-session-EEG data were merged in the order of experimental procedure. The original EEG data were put into high-pass- and low-pass-filter, 0.1 ~ 50 Hz, and the sampling rate was decreased from 1000 to 250 Hz.

3.2.2. Epoch Extraction

Each epoch was extracted from -5 sec to 25 sec, with the stimulus onset (zero sec) was set in the beginning of each musical excerpt. Some EEG artifacts caused entire epoch to be rejected. Such as big body movements

might result to big noisy signals through some channels, and, in some periods, a few electrodes lost skin contacts would make these channels to be useless in these periods. An example for artifact removal was in Fig. 5. In one epoch, if the artifacts occurred in the resting time (this period would be treated as baseline), or in the interesting period of this study (when musical excerpt was playing, or subject was recalling), this epoch would be rejected.

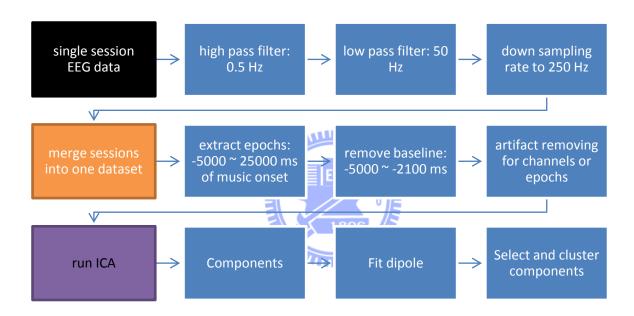


Fig. 4. The chart outlines the EEG pre-processing step by step.

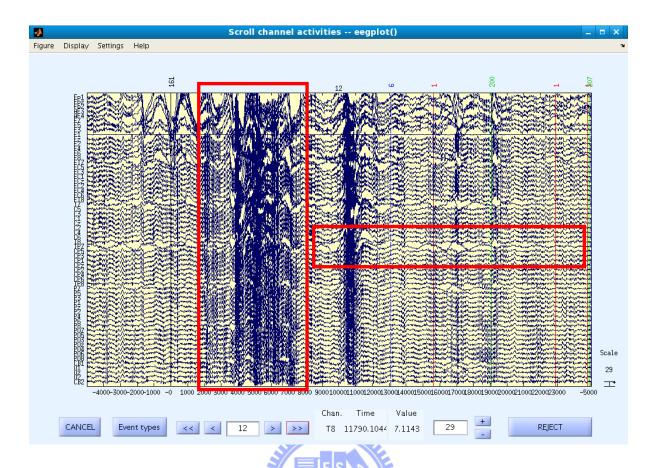


Fig. 5. An example for EEG artifact of this study. The left red rectangle indicate wide noisy signals that might be caused by big body movements; in the right side rectangle, there are single channel noise.

3.2.3. Independent component Analysis (ICA) and ICA Decomposition

The ICA was extensively applied to solve the problem of EEG source separation, identification and localization [33]. The ICA related functions in the EEGLAB toolbox were used to run the analysis. In the procedure of the ICA decomposition, the two-dimensional topographic scalp map which indicates the activation weights distributed across electrodes for each independent component was generated for further judgment. Then, each interesting component was fitted dipole by the implemented functions of EEGLAB. After the EEG pre-processing of all subjects, the STUDY tool in the EEGLAB was used for clustering all useful components from all subjects. In

this study, the component scalp maps, dipoles and power spectra were measures for clustering. The clusters were generated by the K-means algorithm. Finally, some human manual re-assignment or rejections were performed to confirm that all dipoles in the same cluster were in a reasonable distribution.

3.2.4. Time-frequency transform and statistic for EEG data

The EEG data were computed in time-frequency domain by the FFT computation. The "winsize" and "padratio" of the "newtimef" function were set to be 512 and 2. The EEG power baseline of each epoch was on the 0 ~ 3000 ms in order to remove the excitation from sounds.

EEG spectrum were separated to five frequency bands, delta(1 ~ 3 Hz), theta(4 ~ 7 Hz), alpha(8 ~ 12 Hz), beta(13 ~ 30 Hz), and gamma(31 ~ 50 Hz). In each epoch, frequency band power during listening to music was averaged. These power levels were treated as observations in the analysis of variation (ANOVA). Each excerpt was labeled to PV(positive valence)/NV(negative valence) and HA(high arousal)/LA(low arousal) according to the subjective SAM ratings. The neutral scale of valence or arousal ratings was excluded in ANOVA. The clearer sight was in Fig. 6.

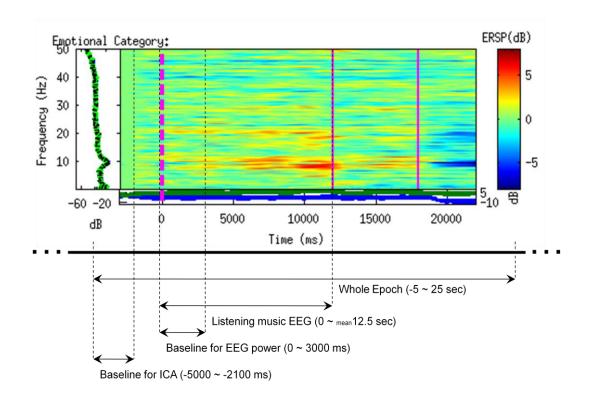


Fig. 6. The chart of the time relationship of an epoch. The epoch was extracted from each trial from -5 \sim 25 sec of the music onset. The baseline for ICA was in the -5000 \sim -2100 ms, before the music playing. The baseline for each trial of music listening EEG power was from 0 \sim 3 sec.

Chapter 4 Results

4.1. Subjective ratings related to pre-labeled emotional types

Table. 1. The affective ratings of four initiated emotion types.

Pre-labeled			A	ffective Ra	tings Types	8		
Types	PVHA	PVLA	NVHA	NVHA	PV	NV	HA	LA
Peacefulness (PVLA)	5.8%	50.0%	13.4%	0.4%	8.0%	1.3%	1.8%	19.2%
Happiness (PVHA)	51.1%	26.8%	0.0%	0.9%	19.6%	0.0%	0.0%	1.7%
Scary (NVHA)	8.9%	0.9%	9.8%	54.0%	2.2%	8.9%	12.1%	3.1%
Sadness (NVLA)	0.9%	4.8%	48.3%	14.8%	1.3%	19.6%	1.3%	9.1%

PV: positive valence, NV: negative valence, HA: high arousal, LA: low arousal

The affective ratings distribution to the pre-labeled four types was shown in Table. 1. As listed in the table, only about 50 % (< 60 %) of the ratings belong to the pre-labeled emotion type, about 10 % to 20 % trials were just rated to one of the two dimension, another one scale were rated on neutral scale. These results indicate that self-report have some different ratings between participants, even though they were rating on the same excerpt. The radar chart on below has a clearer view Fig. 7.



Fig. 7. The radar chart indicate the subjective rating distribution of in four initiate emotional types.

4.2. Subjective ratings regression to musical features

Table. 2. Medians of the Estimated Regression Coefficients β_{V} (Valence), β_{A} (Arousal), and β (Valence × Arousal) for the six Musical Features

Musical feature	Constant a	Valence estimate coefficient β_V	Arousal estimate coefficient β_A	Valence \times Arousal estimate coefficient β_{VA}
Feature 1 (mode)	2.801 (0.62)	0.309*** (0.07)	- 0.053** (0.08)	
Feature 2 (tempo)	3.076 (0.67)	0.009 (0.15)	- 0.104 (0.18)	0.051*** (0.04)
Feature 3 (rhythm)	3.390 (0.13)	0.005 (0.02)	0.078*** (0.02)	
Feature 4 (pitch level)	3.782 (1.64)	0.089 (0.25)	- 0.114* (0.36)	0.025* (0.05)
Feature 5 (pitch range)	2.014 (1.71)	0.400*** (0.34)	0.405*** (0.34)	- 0.084*** (0.06)

The estimated models for the 5 musical features are presented in Table. 2. In order to have a clear understanding to the relationships between musical features and felt valence and arousal, Fig. 10 (in the next chapter) shows contour plots for features in the 2-D emotion space. Mode was mostly related to valence, i.e. major mode could induce positive valence, minor mode could induce negative valence; in addition, mode had very little but meaningful effects on arousal dimension. Fast tempo could induce positive high-arousal, and even the arousal level was low or if the valence level was negative, it might be induced by slow tempo. For rhythm model, only the coefficient of arousal had significant effect. The rhythm was more complex for high arousal and simpler for low arousal. The pitch level was the lowest on negative high-arousal state, and was the highest on positive high-arousal state; thus, the middle pitch level was on any valence low-arousal level and on neutral

Note. Standard deviations are in parentheses. * p < .05 ** p < .01 *** p < .001 (Wilcoxon signed rank test against the null hypothesis of symmetry around zero).

valence with regardless arousal emotions. The pitch range was wider for negative high-arousal excerpts and positive low-arousal excerpts with narrower ranges in the negative low-arousal and positive high-arousal states.

4.3. Results of IC Clusters

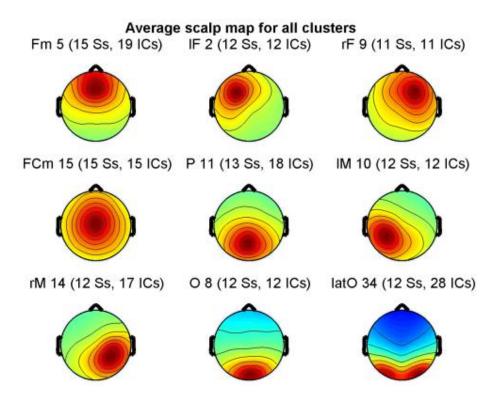


Fig. 8. Average scalp map for all clusters.

Fig. 8 shows the average scalp map for the final nine IC clusters. They are frontal-midline, left frontal, right frontal, frontal-central midline, parietal, left motor, right motor, occipital-midline, and lateral occipital. The number of subjects included in each cluster is range from 11 to 15, of totally 17 subjects. The mean Talairach locations and more details about the nine clusters are listed in Table. 3. In some clusters, there are more than one

component from one subject, especially the lateral occipital cluster included some components with bilateral dipoles. The residual variances of dipole fitting were small enough thus the dipole fitting were good for use. The clustered IC topographic maps, dipole sources, and power spectra were shown in Appendix I .

Table. 3. The base information about IC Clusters

IO Classica assess	#4-	#10-	Talairach	Residual variance (%)				
IC Cluster name	#sets	#ICs	coordinates (x, y, z)	rv _{max}	rv _{min}	rv _{mean}		
Frontal-midline (Fm)	15 (88%)	19	(-1, 29, 30)	9.6	0.38	3.06		
Left-frontal (lF)	12 (71%)	12	(-24, 21, 42)	6.37	0.93	3.43		
Right-frontal (rF)	11 (65%)	11	(24, 12, 27)	4.48	0.83	2.72		
Frontal-cental-midline (FCm)	15 (88%)	15	(0, -11, 34) 1896	6.94	0.77	2.77		
Parietal (P)	14 (82%)	19	(-2, -54, 38)	6.28	1.45	3.2		
Left-motor (lM)	12 (71%)	12	(-32, -31, 40)	8.89	1.21	3.3		
Right-motor (rM)	12 (71%)	17	(33, -37, 40)	7.15	0.79	3.13		
Occipital-midline (Om)	11 (65%)	11	(1, -74, 15)	2.99	1.71	2.14		
Lateral-occipital (latO)	12 (71%)	28	Left (-22, -67, 13) Right (20, -71, 11)	6.31	0.54	2.94		

4.4. Results of EEG spectral dynamics analysis

Table. 4 shows the EEG spectral dynamics with significant differences between groups, positive/negative valence, high/low arousal, or with the

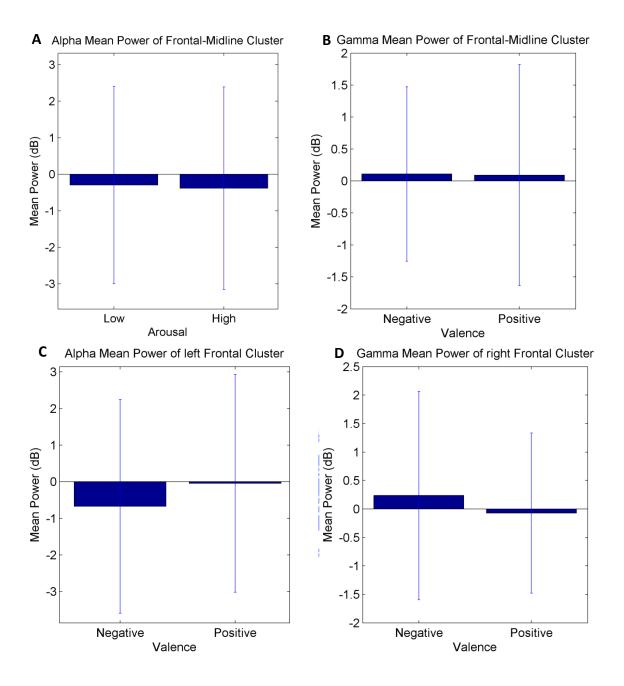
interaction terms. On the other hand, the mean power and standard deviation of pairs with significant differences were shown in Fig. 9.

Frontal-midline has gamma difference related to valence and has alpha difference related to arousal. In the left frontal cluster, alpha band activity was related to valence. Right frontal gamma had differences between positive/negative affection; also in the right frontal cluster, beta reaction was related to the interaction between valence and arousal. Furthermore, in the left motor cluster, there are arousal related delta activities. Finally, in this study, occipital midline, as well as lateral occipital, had difference on theta power related to arousal.

Table. 4. The outline of IC clusters under ANOVA significant level

IC	Valence					Arousal				Valence*Arousal					
Cluster	δ	θ	α	β	Γ	δ	θ	α	β	Γ	δ	θ	α	β	Γ
Fm					**			**							
1F			*												
rF					*									***	
FCm															
P															
1M						*									
rM															
Om							*								
latO							*								

^{*} *p*<0.05 ** *p*<0.01 *** *p*<0.005



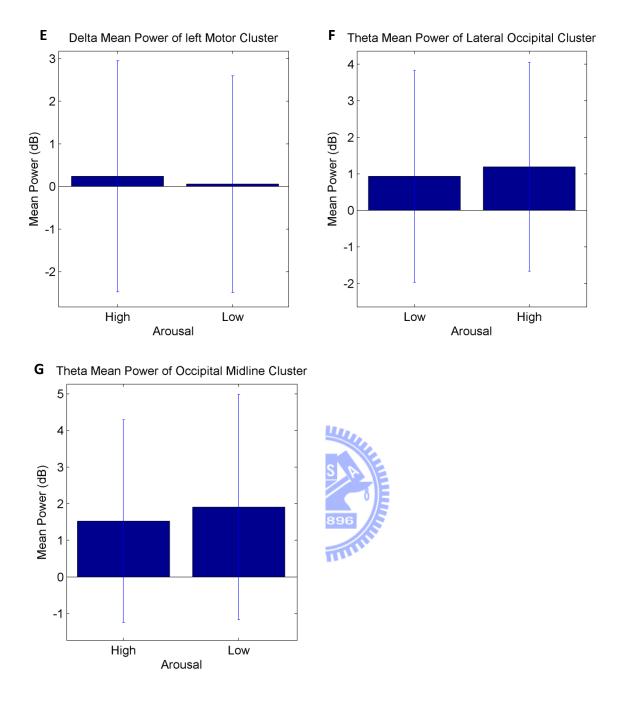


Fig. 9 The average power and standard deviation of clusters with significant level (p< 0.05). A) Frontal-midline alpha, B) frontal-midline gamma, C) left frontal alpha, D) right frontal gamma, E) left motor delta, F) lateral occipital theta, and G) occipital midline theta.

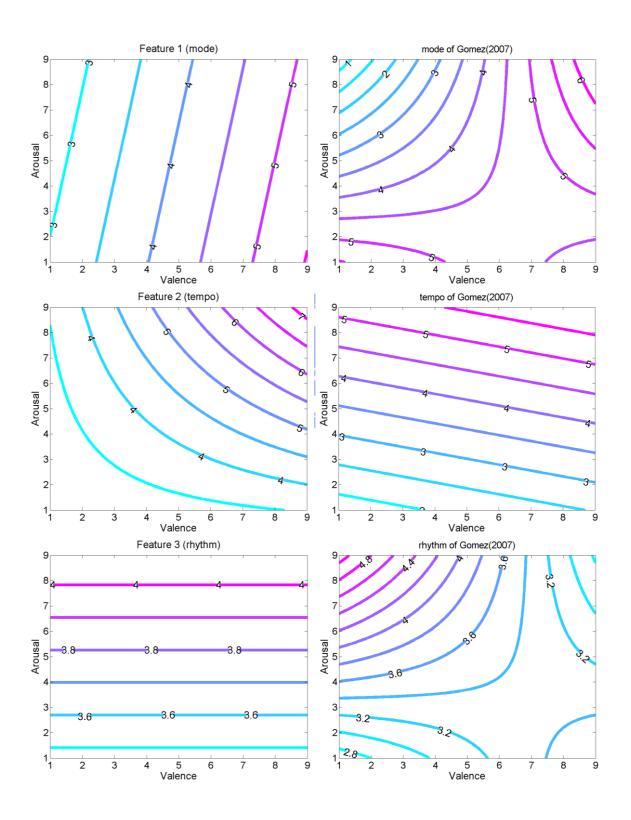
Chapter 5 Discussion

5.1. Musical features and affection

The MIDI content of the 56 excerpts was analyzed with simple algorithm. The regression results of this study were compared with the results of Gomez & Danuser [7]. In order to have a clear comparison, the affective space of regression results of these two studies were put together in Fig. 10.

Mode not only influences the valence but also the intensity of affection slightly. Comparing with the results of Gomez, the human sense of mode is not simple as major/minor separation, however, the mode could be in different degrees to influence the emotion of human. In this study, the tempo is related to the interaction of valence and arousal; in clearly, the rapid music could induce positive high-arousal emotion, but the affection might tend to be negative and low arousal. The rhythm perception was not easy to evaluate by calculation, the regression results of human-perception rhythm were more complex than the algorithm used in this study. The regression result of pitch level in the current study was consisted with the study of [7], that is, the low pitch could induce negative high-arousal emotion but they are not in agree on the high pitch level. The high pitch level could cause not only low but also high arousal emotion in positive condition. The results of pitch range which were quadrant distribution were much similar with the results of Gomez & Danuser.

The estimated models of two studies were very different in some features especially rhythm. The complex features couldn't be simulated with simple algorithm.



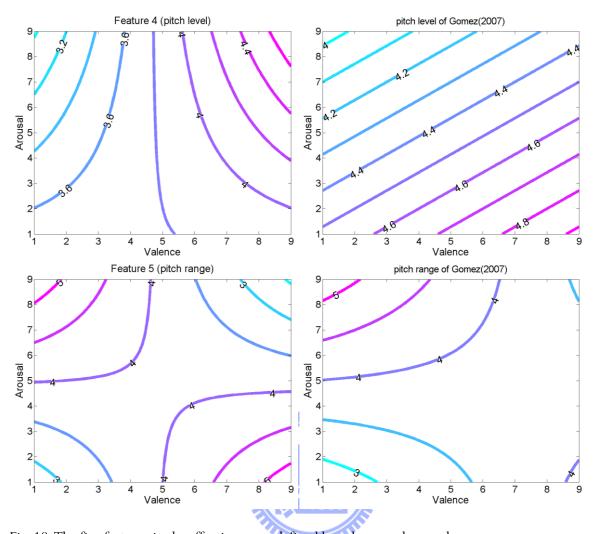


Fig. 10. The five features in the affective space defined by valence and arousal. On the x- and y-axes, 1=most negative valence/lowest arousal and 9=most positive valence/highest arousal. The estimated models represented as follows: This study:

5.2. EEG activities features

As in many studies, the frontal lobe plays an important role in human The current study found the alpha power variation related to arousal found in the frontal-midline region was similar with the other study which found the overall frontal EEG alpha activity could distinguish the intensity of the emotions [20]. Besides, the frontal-midline have gamma power related to valence in the current research can be verified by the emotion-related study of MEG which detects beta-low gamma ERDs in the anterior cingulated cortex (ACC) [34]. Furthermore, the asymmetric oscillation of anterior brain region was also a common indicator related to affections. In this study, the alpha power activity difference was found related to valence in left frontal region, and the gamma power in right frontal region; also, the beta rhythm was found in right frontal related to the interaction of valence and arousal. In the top-30 emotional EEG feature pairs listed in the study of Lin el al. [27], the similar findings such as the alpha asymmetry at FP1-FP2 sites, gamma asymmetry at F3-F4 and FP1-FP2 sites, and beta asymmetry at F7-F8 and FT7-FT8 sites could be corresponded. Moreover, the study of Koelstra [35], investigated the correlations of valence and arousal with powers in four frequency bands, shown electrodes on the left frontal region had alpha power significant correlation to valence. In addition, also in [35], FC6-beta correlated to valence and FC6, F8-gamma correlated to valence were found to correspond to the current study with right frontal beta and gamma reactions.

The EEG reaction was found in motor region during listening to music however the participant did not have body movement. It is referred to the role of a mirror-neuron system in perception of emotion [36]. For example, the music with slow tempo, lower pitched sounds, resembling with sad music, which involved slow, low-intensity movements; on contrary, the fast, high-pitched music, could be regarded as happiness music, is associated with rapid, high-energy movements, such as can be observed in spontaneous dancing to music. The sensory-motor interactions were involved during listening to music. In the current study, the delta oscillation was found in the left somato-motor region related to affective arousal. In the other study [35], the theta power with significant correlation to arousal was found on CP6, near to left somato-motor area. Although it is not the same frequency band power found as the current study, it could be evidence that left somato-motor area would be aroused during listening to music. Specifically, the right handed people may have more tendencies to move with right side body, in spite of they are just imagining. For almost subjects, it is reasonable that the motor EEG activate in the opposite side to the preferred hand.

The theta activity was found in occipital-midline and lateral-occipital region related to human arousal while listening to music. On the other hand, in the findings of [35], the occipital theta was found to be correlated with valence. Furthermore, the O1-O2 asymmetry was in the top-30 feature pairs of [37]. The occipital region involved the visual processes of brain. Nevertheless, these emotional related EEG activities were not caused by visual stimuli.

In Fig. 9, the mean power bar shows the EEG intensity of groups but the

standard deviations were larger than the distance of difference between two groups. That might be attributed to the personal difference of affection.

5.3. The limitation of this study

As the research of music psychologies, the mode, tempo would be the major effective factor to valence and arousal. Besides, other musical features such as melody, harmonic, timbre, or articulation also influence emotion of listeners [1, 7]. In this study, only five musical features were selected for the reason of the 56 musical excerpts were all synthesized piano sound. Therefore, the timbre of all excerpts was the same and their articulation was unitary.

The participants in this study were all students in Taiwan. Though, the personality profiles, such as music experience, with or without music training, or the preference of music, even the culture background of participants were not manipulated to distinguish its EEG activities between participants.

This study was a touchstone of emotion research. The initial motivation of this study was to using the EEG to recognize human's emotion during listening to music. Furthermore, if we known personality's emotion EEG feature, the musical features of specific emotion type could be modeled according to subject's EEG characteristics. For more advanced applications, that can be used to music therapy, or to make lots of computer games to be more colorful in auditory.

Chapter 6 Conclusion

In this study, 56 musical excerpts were analyzed according to the MIDI information, using simple algorithm to get five musical features of each excerpts. In the experiment, 17 participants were invited to listening musical pieces, and were asked to report their affections after each song. EEG (Electroencephalogrphy) was recorded during listening. EEG was pre-processed and decomposed into independent brain processes with ICA (independent component analysis).

As the results shown, the estimated musical features compared with results of Gomez & Danuser indicated that we can simulate simple features, such as mode, tempo, pitch range, without more details about human perceptions. Some discrepancies exist on more complex features involved advanced musical analysis.

EEG results show that the asymmetric on lateral frontal lobe which react to pleasantness, there are left frontal-alpha, right frontal-gamma. The frontal lobe also distinguished levels of arousal on alpha band and valence on gamma band. That somato-motor region also activated during musical listening revealed that people had motivation or imagery to dance with musical sounds. And in the occipital also had some information related to arousal.

This study confirms that the asymmetry plays an important role in valence processing. Beside, multiple brain regions are involved in emotions induced by music.

The future work may investigate the relationship between EEG and multi-scaled valence and arousal reported by subjects. In this way, the difficult might be the data of grouped subjects may cause the correlation to be much small as the reason of some individual differences.



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Appendix I IC clusters results on individual components

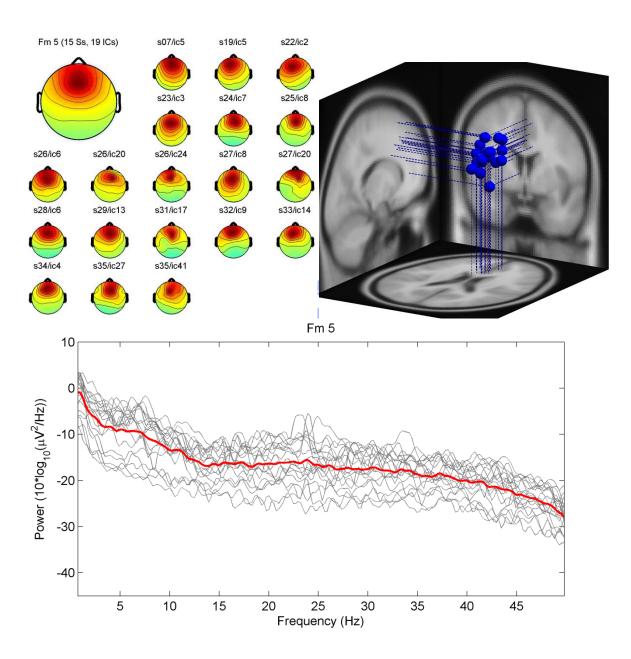


Fig. 11. The scalp map, dipole locations, and spectra of frontal midline cluster.

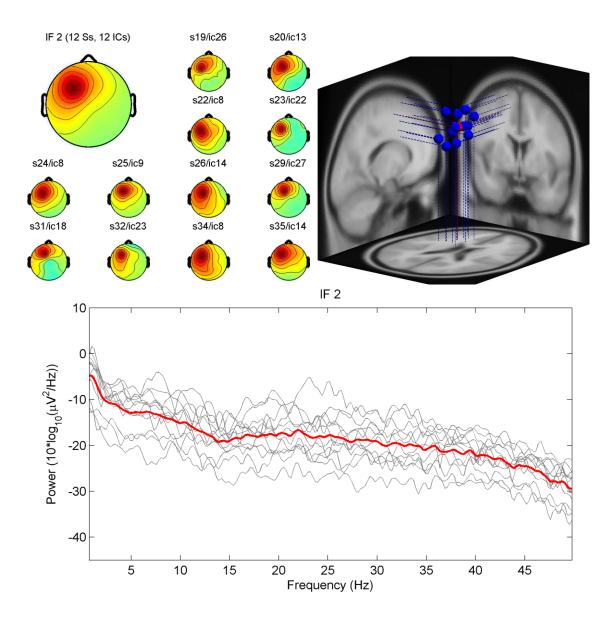


Fig. 12. The scalp map, dipole locations, and spectra of left frontal cluster

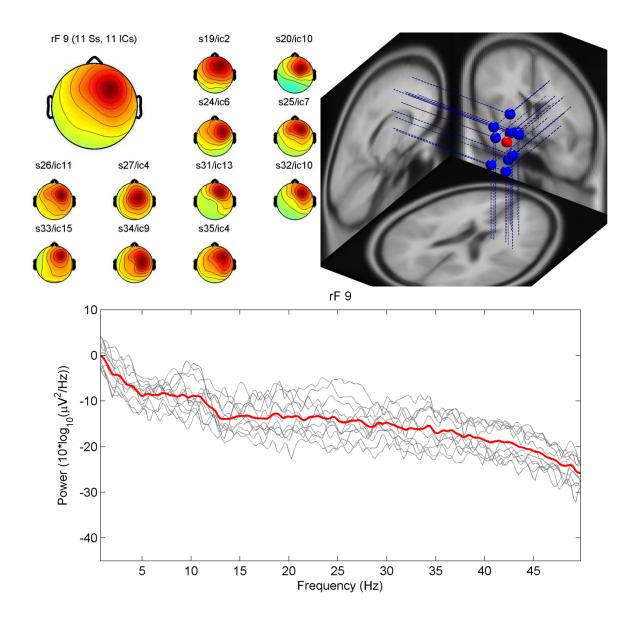


Fig. 13. The scalp map, dipole locations, and spectra of right frontal cluster.

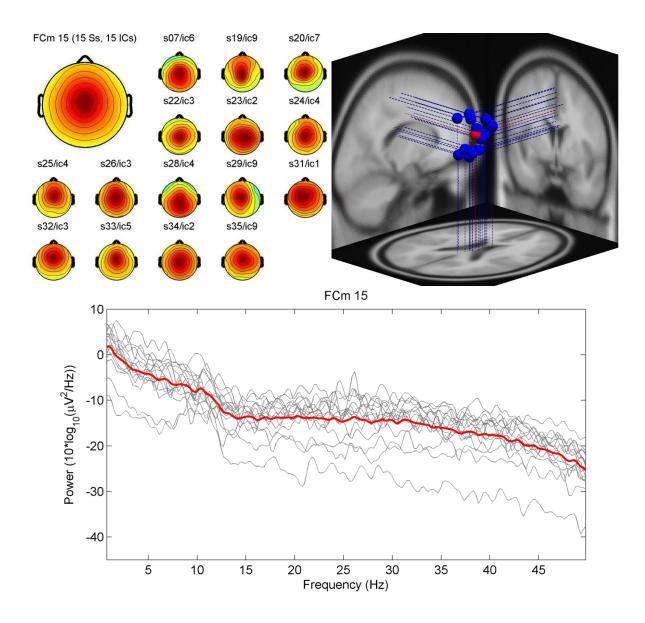


Fig. 14. The scalp map, dipole locations, and spectra of frontal-central midline cluster.

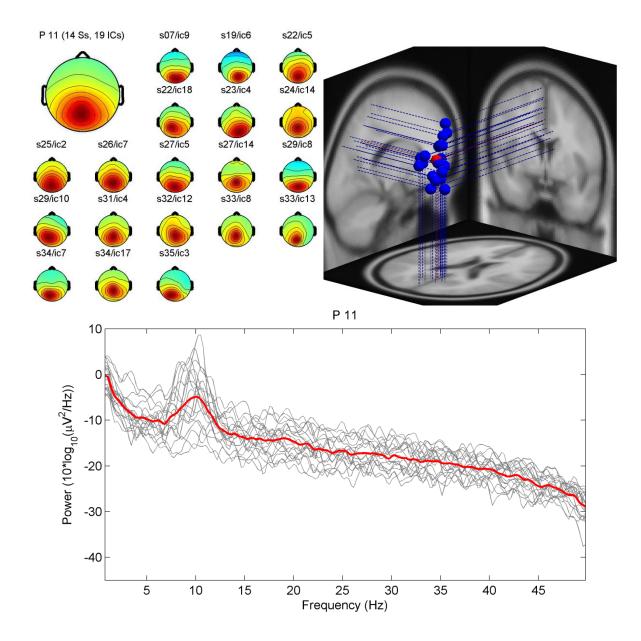


Fig. 15. The scalp map, dipole locations, and spectra of parietal cluster.

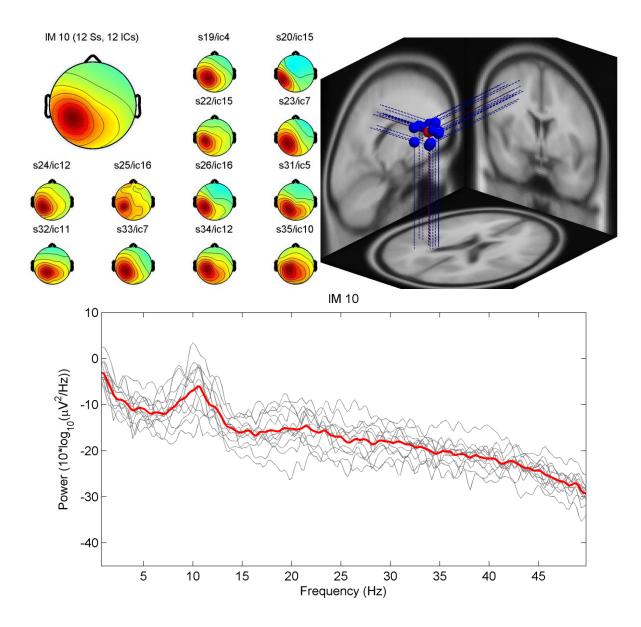


Fig. 16. The scalp map, dipole locations, and spectra of left motor cluster.

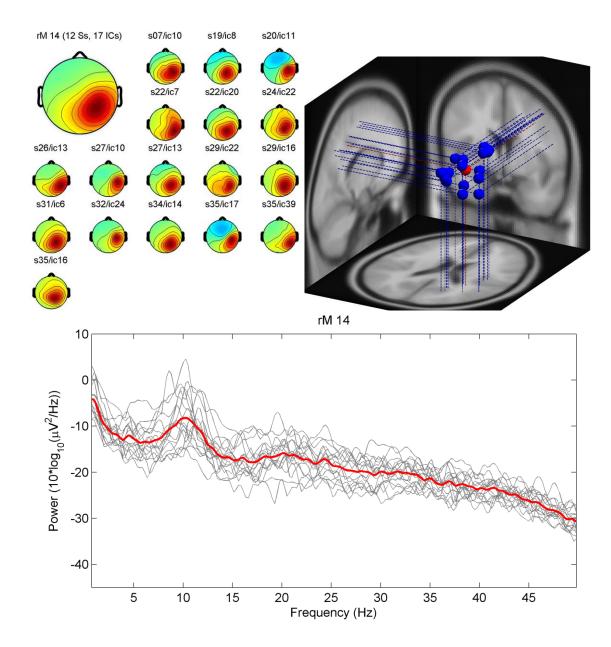


Fig. 17. The scalp map, dipole locations, and spectra of right motor cluster.

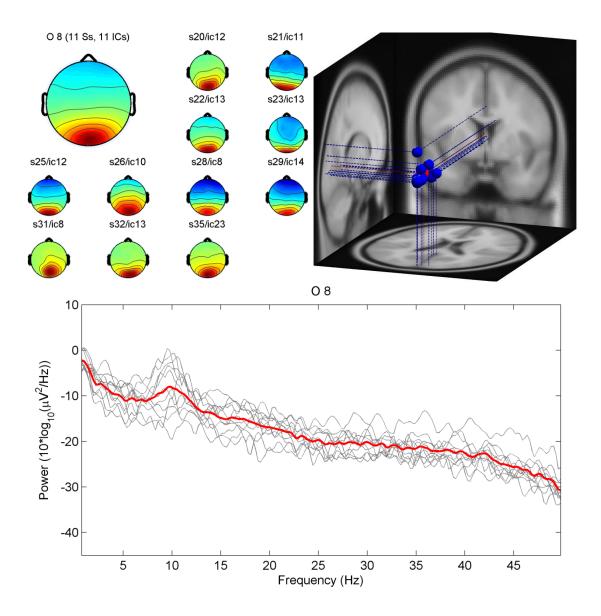


Fig. 18. The scalp map, dipole locations, and spectra of occipital midline cluster.

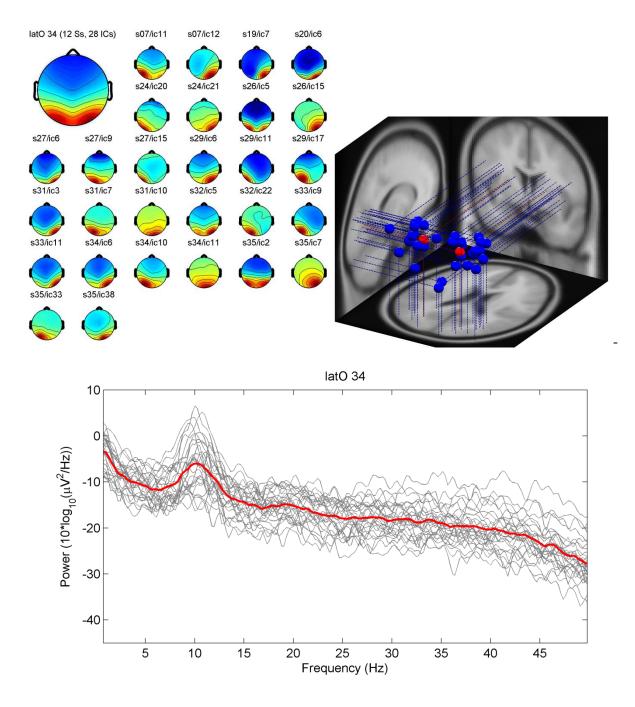


Fig. 19. The scalp map, dipole locations, and spectra of lateral occipital cluster.

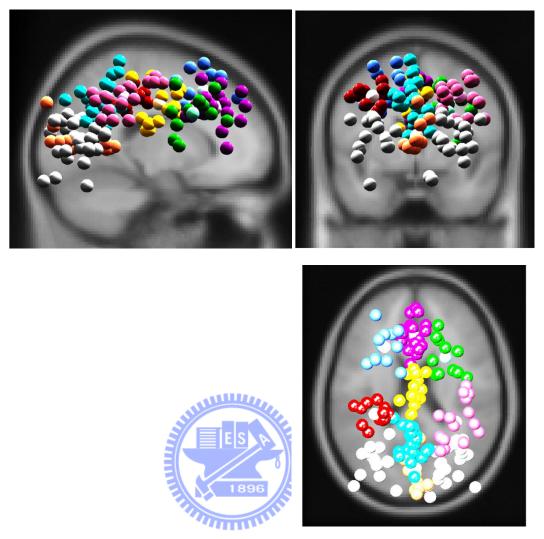


Fig. 20. The dipole locations projected to 3 planes: Sagittal view(top left), Coronal view(top right), Horizontal view(bottom), group clusters with same colors.

Appendix II Musical features evaluation

The note numbers and their note-onset time and duration of each excerpt were loaded by 'midi2nmat.m'. The note number was ranged from 0 to 127, where middle C(C4) was 60.

• Feature 1 (Mode)

The Feature 1 was referred to the mode. In this analysis, the mode was determined by key finding instead of investigating the tendency to major or minor. The key finding was performed by the K-S key-finding algorithm using the 'kkkey' function. If the key with the highest correlation coefficient was in major, the mode will set to major, and vice versa.

• Feature 2 (Tempo)

This feature was referred to the tempo of each excerpt. The tempo could be elucidated in the numbers of notes-on-set in one period. In the analysis of midi files, if more than one note sang at the same time, it should be regarded as one note-on. In this method, the tempo of each excerpt was evaluated to be

of on-set notes / total duration time.

• Feature 3 (Rhythm)

The evaluation of rhythm was according to the algorithm designed by Thul & Toussaint [38], which is one of methods used to calculate measurement of musical pieces, and it can be regarded as rhythm complexity.

• Feature 4 (Pitch level)

The Feature 4 was referred to pitch level. The pitch level express the pitch of

one song was in upper or lower register. In this study, the pitch distribution of one excerpt was supposed to be normal distribution. We use the 'normfit.m' function of the Statistics Toolbox to fit the normal form of the pitch distribution. The mean value of the fitted distribution was tough to be the pitch level of one musical excerpt.

• Feature 5 (Pitch range)

The Feature 5 was referred to pitch range. In this study, the pitch range was simply evaluated by the range of the highest pitch and the lowest pitch of one song. That is,

max(pitch number) - min(pitch number).

The z-scores of these five musical features were transformed. Then, the scores were extended and translated to $0 \sim 7$.

Appendix III Instructions of Experiment

Musical Emotions 實驗指導語 2010/1/20

口頭上的指導語:

簡介

我先簡單介紹一下,我是葉人慈,現在是交大聲音科技學程碩士班二年級的學生。我在交大腦科學研究中心做 的是與音樂情緒相關的生理資訊研究。

我們會量測受測者的腦電波、心跳以及呼吸,這些測量的裝置都是非侵入式的,不會對人體造成侵入性的傷害。 如此之外,我們也會請你填寫一些與研究相關的問卷,我們不會把這些個人的資訊公開,請你放心填寫。

首先要請你填一份受測者同意書...

我們的實驗大概需要一個半小時的時間,所以大概每隔二十分鐘左右,我們會讓你休息一下...

熟悉場景及流程

我們在前面跟後邊都有放一個監視器,所以如果你在過程中有問題,你可以蓋住鏡頭或舉手讓我知道。 (確認耳機正常...)

我現在給你看一下實驗的場景和流程。

首先進入到這個簡介畫面,它會告訴你說,聆聽音樂的時候請你看著螢幕上的十字;出現方塊的時候,請你放 鬆,保持心情平静。

實驗的流程就是,請你仔細聆聽每個音樂片段,在每個片段之後,螢幕上會出現一些問題,這些問題跟音樂引 發的情緒有關,請你用滑鼠選擇一個適當的答案

看過這個說明後,就可以按滑鼠左鍵開始實驗

首先是方塊,這時候請你保持放鬆。

接下來就開始放音樂...

之後經過一小段的回想,螢幕上會有一些問題,你只要選擇跟音樂內容有點符合的答案就可以了,不需要選出 跟你所想的完全相同的答案。

這個畫面是要選擇這個片段讓你開始有感受的時間點,請你在這個時間軸上,點選一個你開始有感受的時間... 如果不確定,可以選"不確定"...

之後請你選出你的感受的正/負面程度,左邊是最正面(可能是快樂或愉快)、右邊是最負面(可能是哀傷或憤怒); 你可以選每張圖,或是每個圖的中間,所以總共有九個選項...

然後是選你的感受的強烈程度,如果這個片段會讓你激動起來(可能是很興奮或很憤怒),就往左邊選;如果這 個片段會讓你趨向緩和(可能是很舒服到讓你想睡覺,或是讓你悲傷到很無力的狀態),就往右邊選;一樣是有五個圖 包含中間總共九個選項...。

接下來的三個問題,你可能會找不到可以完全表達你的感受的選項,但是沒關係,就請你選一個跟你的感覺有 點相似的答案就可以了。如果你真的判斷不出來,或是你忘記你的感受,那你可以選擇"不確定"。

如果沒有任何其他問題,最後要提醒你,實驗過程中,尤其是聽音樂的時候,爲了生理訊號的品質不受到干擾, 請不要任意移動或晃動你的身體、四肢或臉部、頭部、眼睛,所以你可以先找到一個比較舒適的狀態,然後保持放

好,那我們準備開始!門關上之後,進入黑色畫面實驗就正式開始!

休息時間

現在休息 10 分鐘, 我先幫你把耳機拿下來...請等一下(拔 sensor 接頭)... 好,現在請你到外面休息一下,有一份問卷給你填...

螢幕上的指導語:

實驗前

注意事項: 聆聽音樂時,請你注視螢幕中央的 + 符號; 當你看到螢幕中間出現方塊圖樣時,請保持心情平靜,等候音樂出現. 實驗前,請將你的身體調整到最舒適的位置; 實驗過程中,"不可"任意改變臉部及身體(含手,腳)的狀態.

請你在實驗過程中,仔細聆聽每個音樂片段;在每個片段播放之後, 我們將會讓你根據每段音樂的內容回答問題,這些問題與音樂引發的情緒有關. 回答問題時,請用滑鼠點選最符合當時感受的選項.

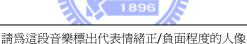
閱讀完以上說明後,請按滑鼠左鍵開始實驗...

Recall

現在請你回想剛才播放的音樂片段... 之後根據這個片段,回答螢幕上的問題...

Question and Response

請在橫軸上標出這段音樂開始引發你的情感的時刻 time bar/不確定



SAM valence

請爲這段音樂標出代表情緒激動/放鬆程度的人像 SAM arousal

在你的印象中,有沒有聽過這段音樂? 確定有聽過/好像有聽過/沒有聽過/不知道

你覺得這段音樂可能類似以下何種情境?

聖歌聲中的殿堂	安詳、皎潔的月夜					
與愛人的溫情約會	英勇、豪壯的場面					
溫馨、感性的時刻	歡樂的慶祝會					
傷感的離別	緊張刺激的橋段					
往事回憶	令人煩燥的氣氛					
不確定						

你覺得這段音樂是:

柔暢的	強勁的					
沉重的	跳躍的					
平靜的	激動的					
緩和的	急切的					
有精神的	哀傷的					
不確定						

休息前

休息時間...

請等候實驗操作者爲你整理實驗裝置之後再放鬆...! 謝謝你!

<mark>結束前</mark>

本階段實驗結束!

請等候實驗操作者爲你整理實驗的裝置之後再放鬆...! 謝謝你!



Appendix IV A Letter of Concent to participents

國立交通大學腦科學研究中心 受試者同意書

本人已充分了解本實驗的流程,並了解腦電波及周邊生理信號 量測為非侵入性的實驗方法,且受測者所填問卷資料僅供實驗分 析使用,願意擔任受試者,並對實驗之細節保密。

受試者:_____ (請簽名)

Appendix V Questionnaire for partisipents' profile

常い でこ	7 55 · W	. 1		t <i>克</i>		己錄員			
受測者編		ical Emotio 出生	ons 年 月	年齢	時間:_	歳	^年 性別	月_ ┃□男	E
身高	<u></u> 公分			血型	ПО	<u>/// </u>		<u> </u>	 \ \bar{AB}
カロ <u></u> 教育程度	□高中 [項士 □博士	職業	<u>□</u> 學生		 教 [<u> </u>	
双月在及 視力		□汽子 □□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□□		色盲	<u>□ + エ</u> □有				
聽力	□正常□			慣用手	<u>□</u> // // // // // // // // // //		<u>为</u> 手		
電話		而 均 3 2 6 7	Email	1兵74 1	□		1		
音樂經驗與	 L 背 暑		Dilicit						
	、A	/所):		□參加過音	-	團:			
			合唱□歌唱□	樂團□卡啦 OK	•				
				分的樂譜內容			終譜 內		
			懂內容 對			, /	. 4		
3. □學習		:		,學習/使用期]間:				
4. 自認辨	識音準能力	 : □非常準	確/有絕對音感	戊 □還不錯/沒	<u></u>	音感			
				□能分辨相鄰			無法	辨識于	音準
5. 聽音樂	的時機(可衫	複選): 🏻 🗷	不會刻意去聽	□安靜或休息	時會想罪	惠			
]看書時會聽	□隨時有機會	就聽				
6. 就大部	分的經驗來	說,聽音樂	時會:	當作背景音樂	□仔絲	田耹聽	音樂的	勺內容	
7. 常聽的	音樂類型:	□西洋古典	· □歐美 □日	韓 □台灣及華	人 □オ	立丁 []印度	□非	洲
	可複選)	□國樂 [□老歌 □閩南	」語 □客語□』	原住民 []其他	z:		
8. 喜歡的	音樂風格:	□金屬/.	搖滾樂(快節奏	·) □抒情	歌曲(舍	予緩節	奏)		
	序,最喜歡 = 1)	□激昂的古	典樂(如:交響	肾曲) □優美	的古典	樂(如	:室内	引小品))
健康狀況									
	否有重大傷								
	□有,								
	否需要定期								
□沒有									
			夏需要長期服藥	(或動手術:					
	一 □有,								
/ /	`特殊飲食安	• • • •							
		請說明							
. •		非因 飲料之習	• • • •		ᅩᆎᆸᄖ	申広・			
□没有	□月,			· t · · · · · ·		え及・			
		十均母迥略	7	或CC	.)				
6 亚均台	.口胚肥时制	4 • .1	、哇・白娅珊珊	口母・□北尚	42 □	42 [一出示	□ ¥	
	日睡眠時數	汝: _/	、時;自評睡眠	【品質:□非常	好 🔲	好 []尚可	□差	. [/
6. 平均每 差 7. 運動習		及:/	、時;自評睡眠	民品質:□非常	好 🔲	好]尚可	□差	

Appendix VI Questionnaire after

Experiment

國立交通大學巡科學研究中心

		四工义地人	(子)個不	一子們	九十八			
Musical Emotions 實驗								
	實驗後的評量	•						
	受試者編號:	受涉	則時間: <u></u>	年月	日	~ :		
L.	前一晚的睡眠狀況	□極充足	□很充足	□普通	□有點不充足	と □非常不充足		
	音樂整體音量	□大到受不了	□有點大	□適中	□可以再大一點	□聽不清楚		
	評量正向/負向的感受	□極容易	□很容易	□普通	□有點難	□非常難		
	評量激動/冷靜的感受	□極容易	□ 很容易	□普通	□有點難	□非常難		
	評量對音樂的感受	□極容易	□很容易	□普通	□有點難	□非常難		
	受到先前播放的音樂	□很受影響	□有點影	影響	□普通	□不受影響		
		Ţ,	1896	TITLE				

Appendix VII Mental Assessments

Depression, anxiety and alexithymia were assessed by the Chinese versions ² ³ ⁴ of the Back Depression Inventory (BDI-II; Back, 1996), the State-Trait Anxiety Inventory (STAI; Laux et al., 1981) and the Toronto-Alexithymia Scale (TAS; Taylor et al., 1985). As in Table. 5, all subjects were non-alexithymia, minimal or mild (5 persons) depression, except 2 person had no data.

Table. 5 The results of subjective self-mental assement.

		TAS-20	STAI-state	STAI-trait		BDI-II
s07	Х	- <u>\$</u>	X	X	Х	-
s19	Х	- 3 (X IS IN	X	X	-
s20	10	Non-alexithymia	38	54	9	Minimal depression
s21	35	Non-alexithymia	37	39	7	Minimal depression
s22	27	Non-alexithymia	36	49	17	Mild depression
s23	37	Non-alexithymia	41	44	15	Mild depression
s24	25	Non-alexithymia	52	46	8	Minimal depression
s25	20	Non-alexithymia	40	46	14	Mild depression
s26	31	Non-alexithymia	36	48	15	Mild depression
s27	11	Non-alexithymia	43	43	14	Mild depression
s28	34	Non-alexithymia	48	62	9	Minimal depression
s29	33	Non-alexithymia	37	45	7	Minimal depression
s 31	34	Non-alexithymia	40	46	10	Minimal depression
s32	14	Non-alexithymia	31	46	4	Minimal depression

²盧孟良、車先蕙、張尚文、沈武典(2002)。*中文版貝克憂鬱量表第二版之信度和效度。台灣精神醫學,第 16 卷*(第 4 期)。

³ 鍾思嘉 & 龍長風(1984)。*修訂情境與特質焦慮量表之研究。測驗年刊,第 31 卷*,頁 27-36。

⁴林育臣、陳展航(2003)。 *台灣版多倫多述情量表之因素分析。台灣精神醫學,第 17 卷*(第 4 期),頁 276-282。

s33	17	Non-alexithymia	42	48	13	Minimal depression
s34	24	Non-alexithymia	31	31	9	Minimal depression
s35	25	Non-alexithymia	39	51	5	Minimal depression
Average	25.13333		39.4	46.53333333	10.4	
S.T.D.	8.447386		5.376492	6.561165208	3.860915	



Appendix VIII Subjects' Profiles

	年齡	性別	就讀科系	慣 用	音樂背景	自評 識譜	演奏樂器	自評 音準	聽音樂時機	聽音樂習慣	常聽的音樂類型	喜愛的音樂 風格
				手		能力		辨識				
								能力				
S07	25	Male	A 大聲音	左	玩樂團	4	吉他3年	4	有機會就聽	聽內容	2,3	1,2
S19	24	Male	A 大聲音	右	合唱團,卡拉 OK	2	鋼琴 10 年	2	安靜休息時聽	當背景	1,4	2>4>1>3
S20	20	Female	A 大工工	右	管樂團,打擊樂	2	打擊6年	3	有機會就聽	聽內容	1,2,9	1>2>3>4
S21	20	Male	A 大運管	右	直笛表演	1	直笛3年,鋼琴6年	2	安靜看書時聽	當背景	1,2,4,9	2>4>3>1
S22	19	Male	A 大土木	右	無經驗	3	口琴1月 [[[]]	3	有機會就聽	當背景	2,3,4,9,10,12	2>4>3>1
S23	24	Male	A 大顯示	右	合唱團,卡拉 OK	5	直笛6年	5	不刻意去聽	聽內容	1,10	1
S24	19	Female	-	右	玩樂團	2	Bass 吉他 1 年	3	有機會就聽	當背景	2,3,4,8,9,10	2>4>1>3
S25	21	Male	B 大物理	右	弦樂團	2	小提琴7年 1896	2	安靜看書時聽	當背景	24,10	1>2>3>4
S26	24	Male	A 大材料	右	音樂性社團	2	大提琴1年	5	安靜看書時聽	當背景	1,2,4	2,4
S27	19	Female	B 大化學	右	合唱團,卡拉 OK	3	無	3	有機會就聽	聽內容	1,2,3	1>2>4>3
S28	21	Male	-	右	合唱團,卡拉 OK	5	無	3	有機會就聽	當背景	1,2	2>4>3>1
S29	24	Male	A 大電子	右	無經驗	4	無	5	不刻意去聽	當背景	1,2,3	2>4>1>3
S31	23	Male	C 大電機	右	吉他社,歌唱	3	吉他3年	4	不刻意去聽	聽內容	2,4,9	4>2>3>1
S32	21	Female	A 大運管	右	無經驗	2	鋼琴3年	3	不刻意去聽	當背景	2,3,4	2>1>4
S33	20	Female	A 大電機	右	鋼琴表演	1	鋼琴 10 年	3	看書時聽	聽內容	1,2,3	1>3>4>2
S34	19	Female	A 大工工	右	玩樂團	3	豎笛3年	3	安靜看書時聽	當背景	1,2,4	2>4>3>1
S35	20	Female	A 大傳播	右	合唱團,卡拉 OK	3	無	3	不刻意去聽	當背景	4,9,10	2>4>1>3

自評識譜能力:1非常快速精準2了解大部分樂譜內容3稍微了解樂譜內容4看過樂譜但不懂內容5對樂譜完全陌生

自評聽音準能力:1很準確2還不錯3能辨識出旋律4能辨別兩個音5無法辨識音準

常聽的音樂類型: 1 西洋古典 2 歐美流行 3 日韓流行 4 華人流行 5 拉丁 6 印度 7 非洲 8 中國 9 華語老歌 10 閩南語 11 客語 12 原住民

喜愛的音樂風格:1金屬/搖滾樂(快節奏)2抒情歌曲(舒緩節奏)3激昂的古典樂4優美的古典樂(如:室內小品)

Autobiography

Wrote for the course of Academic English Writing on May, 2009

My experience is very simple if you just see what I learned before graduate school. I graduated from general high school, and attended the department of electrical engineering in National United University. Then, many or most people may go to work being an engineer in a technical company, or, may study in electrical related graduate school, but I did not, because of music.

I am not a typical music learner. In my elementary school years, I admired some classmates for their piano talents. Before following any piano teacher, I played the electrical piano just for fun at home. In one special opportunity, I learned to play the piano from a Korean piano teacher for about one year. In the one year, I achieved the intermediate level of formal piano lessons. Besides, I also learned how to use chords for improvisation. I stopped the class because school works became harder. However, I still practice playing the piano in my free time.

Besides the piano learning, I also attended the chorus in high school and university. The high school chorus I attended is one of the best high school choruses in Taiwan. And the university's student-chorus was a new club in the school. By the way, I participate in a choir for singing the song about nature loving, and sometimes, play an accompaniment.

I wanted to combine my learning in school with the music I loved. In 2008, there was a new master program founded in the National Chiao Tung University, the Master Program of Sound and Music Innovative Technologies (SMIT). I'm lucky to study in this program now. I am learning the knowledge of sounds, electro-acoustics, and playing the newest musical technologies in SMIT. I am researching on the human brain and its reaction to music from the view of cognitive neuroengineering. We use the technology of psychophysics to investigate into the human brain waves, respiratory changes or heart rate variability, etc. We want to know what kinds of music can help people sleep, or what music can make people more animated.

It's a big challenge for me to study in this graduate program, because it's a new field for me. I have many things to learn. Nevertheless, I can enjoy in it for my interesting is music.