## 國立交通大學

多媒體工程研究所 碩士論文

駕駛在不同瞌睡程度下 大腦訊號傳遞網絡的變化

**Research on the Brain Networks in Different Drowsy Stages of Drivers** 

研究生: 陳佳鈴

指導教授:林進燈

中華民國 一百年 一月

## 駕駛在不同瞌睡程度下 大腦訊號傳遞網絡的變化

# Research on the Brain Networks in Different Drowsy Stages of Drivers

研究生: 陳佳鈴
Student: Chia-Lin Chen

指導教授: 林進燈 博士 Advisor: Dr. Chin-Teng Lin

國立交通大學 多媒體工程研究所 碩士論文

A Thesis

Submitted to Institute of Multimedia Engineering
College of Computer Science
National Chiao Tung University
in Partial Fulfillment of the Requirements
for the Degree of Master

in

Computer Science January 2011 Hsinchu, Taiwan, Republic of China

中華民國一百年一月

## 駕駛在不同瞌睡程度下 大腦訊號傳遞網絡的變化

學生: 陳佳鈴 指導教授: 林進燈

博士 國立交通大學多媒體工程研究所

## 中文摘要

在車禍事故的原因當中,駕駛者瞌睡普遍被認為是主要因素。過去我們研究團隊層探討駕駛者瞌睡的腦電波現象,設計利用腦電波偵測瞌睡之演算法,並且開發成為駕使者的無線可攜式瞌睡偵測設備。縱使我們已經有良好的瞌睡偵測指標,然而過去的方法中並不能解決從清醒到瞌睡漸進程度上的偵測。本研究探討的是,受測者從清醒到瞌睡過程中腦內訊號傳遞網絡是如何改變,以及真實生活中動態刺激造成的訊號傳遞。一共有六位受測者參與模擬夜間高速公路動態及非動態的虛擬實境開車實驗,並且利用此環境給與受測者事件相關開車偏移任務。受測者的腦電波會經過獨立訊號分析、Granger 因果關係分析、時域頻譜轉換等方法進行分析比較。結果顯示,從駕駛者清醒到瞌睡的過程中,訊號傳遞的目的地會從腦中前面的區域移動至後面的區域。此外在動態模擬下駕駛腦內掌管視覺和運動區域會比非動態的情況還要活躍。未來可利用這樣的結果,在適當的腦區位置偵測駕駛目前的瞌睡狀態,並且於進入瞌睡前就給與警示,使駕駛者的行車表現能保持良好水平。

#### 關鍵字:

瞌睡、駕駛行為表現、腦電波、訊號傳遞網絡、Granger causality、獨立成份分析

## **Research on the Brain Networks in Different Drowsy Stages of Drivers**

Student: Chia-Lin Chen Advisor: Dr. Chin-Teng Lin

Institute of Multimedia Engineering National Chiao Tung University

## **Abstract**

Driver drowsiness was generally regarded as a main reason of causing car accidents. Our team had investigated EEG signals in drowsy state of driver, designed the algorithm that detecting drowsiness by EEG signals, and developed the wireless and portable application of detecting drowsiness for drivers. Although we already had the good indicators of detecting drowsiness by EEG signals, detecting the levels of drowsiness remained unsolved nowadays. The aim of this study is to explore the changes of brain signal transferring network from alertness to drowsiness and those signal flows generated by kinetic stimulation in real life. Six subjects participated in virtual-reality (VR)-based highway driving experiments on motion and motionless platform, and the event-related lane-departure task was used in the VR environment to simulate the long-term highway driving. The task-related EEG was analyzed using independent component analysis, Granger causality, and time-frequency. Results demonstrated the destination of signal flow was from anterior brain region shifting to posterior region respective alert to drowsy state. Furthermore, the EEG transferring dynamics were more active in occipital area and motor area on motion platform. In the future, the results can be used for detecting drowsiness in proper brain region, and warn the driver before drowsiness to make the performance of driver keep at a good level.

#### Keyword:

Drowsiness, driving performance, electroencephalograph (EEG), brain network, Granger causality analysis, independent component analysis (ICA)

## **Contents**

中文摘要	iii
Abstract	iv
Contents	V
List of figures	vi
List of tables	vii
1. Introduction	1
1.1. The importance of drowsiness detection	1
1.2. The Drowsiness detection index	1
1.2.1. The behavioral monitoring	1
1.2.2. The image-based technique	2
1.2.3. The physiological signal based system	2
1.3. Drowsiness related EEG phenomenon of drivers	
1.4. The brain network by Granger causality	4
1.5. Aims of this study	5
2. Methods	7
2.1. Subjects	
2.2. Experimental apparatus	7
2.2.1 Virtual reality driving simulation environment	7
2.3. Experimental paradigm	9
2.3.1. The event-related lane-departure task	9
2.4. Data acquisition	11
2.4.1. EEG data	11
2.5. Data analysis	15
2.5.1. Preprocessing	16
2.5.2. Independent Component Analysis (ICA)	17
2.5.3. Component selection	19
2.5.4. Data classification	20
2.5.5. Granger causality	22
2.5.6. Power spectrum analysis	30
3. Results	32
3.1. Behavioral data	32
3.2. Brain network of single subject data from alert stage to drowsy stage.	33

3.3. Brain network of grouping data from alert stage to drowsy stage	35
3.3.1. Brain network in 3D coordinates	36
3.3.2. Brain network in stage 1	36
3.3.3. Brain network in Stage 2	37
3.3.4. Brain network in Stage 3	38
3.3.5. Brain network in Stage 4	39
3.4. The comparison of brain network in motion and motionless condition	on39
3.4.1. The comparison of brain networks in stage 1	40
3.4.2. The comparison of brain networks in stage 2	41
3.4.3. The comparison of brain networks in stage 3	42
3.4.4. The comparison of brain networks in stage 4	
3.5. Summary	47
4. Discussion	50
4.1. The brain network from alert state to drowsy state	51
4.1.1. The concentration of brain networks	51
4.1.2. Criterion of drowsiness occurring	53
4.2. The difference of brain networks in motion and motionless condition	n54
5. Conclusion	56
6. Future works	57
6.1. Brain networks in frequency domain	57
6.2. Application on EEG monitoring embedded system	
7. Reference	58
List of figures	
Figure 1. The car of virtual reality environment at Brain Research Center	Ω
Figure 2. The view of 3-D virtual reality environment	
Figure 3. A bird's eye view of the event-related lane-departure paradigm	11
Figure 4. The channel location and EEG recording equipment	
Figure 5. The 3D digitizer was used for constructing the subject's head mod	
Figure 6. The process of digitizing and recording the real locations	
Figure 8. An example of topographic maps of 30 independent components.	
Figure 9. The diagram of stage segment in S02. The dark blue bars shown	
distribution of trial number and RT.	
Figure 10. The BIC testing in subject 01 (S01) with 36 trials	
Figure 11. The diagram of Granger causality application of bi-variate time s	
after order selection.	

Figure 12. The diagram of time-frequency transform of ICA component activity in	
an epoch3	
Figure 13. The brain connectivity of S06 from stage 1 ~ 4 in simulated real drivin	_
Figure 14. The brain network in 3D coordinates3	6
Figure 15. The brain networks of grouping data in stage 1 and 2 (motion condition)	27
Figure 16. The brain networks of grouping data in stage 3 and 4 (motion	
condition)3	
Figure 17. The brain network in stage 14	
Figure 18. The brain network of stage 24	
Figure 19. The brain network of stage 34	
Figure 20. The brain network of stage 44	6
Figure 21. The trend of brain networks and EEG power spectrum in motion and	
motionless condition4	
Figure 22. The dendrogram of signal flow from drowsiness to alert in motion and motionless condition5	
Figure 23. The consistent parts of brain networks5	2
Figure 24. The inconsistent parts of brain networks5	
List of tables	
Tables 1. The Stage no. and the Respective RT3	12
Tables 2. Trial Number and RT of Behavior Data3	3
WILLIAM TO THE PARTY OF THE PAR	

## 1. Introduction

## 1.1. The importance of drowsiness detection

In previous study, the fatigue which caused drivers inattention or drowsiness, was the major risk factor for serious injury and death in car accidents [1-4] National Sleep Foundation (NSF) reported that 60% of drivers had felt drowsy during driving, and 37% of the drivers had actually fallen asleep. The National Highway Traffic Safety Administration (NHTSA) also reported that at least 100,000 police-reported crashes were directly caused by drowsy driving in 2006 and leaded to 1,500 deaths, 71,000 injuries and \$12.5 billion in monetary losses (National Sleep Foundation 2007 State of the States Report on Drowsy Driving). Therefore, to early detect the drivers' drowsiness and to help to keep the drivers' alertness for avoiding the car accidents that caused by drowsiness are important to protect living safeties of people.

Drowsiness detection has been widely researched by varied measurements [5, 6] including the monitoring subject's behavior and image based techniques and physiological signal-based system. The following sections would explain the advantage and limitation of these methods.

## 1.2. The Drowsiness detection index

## 1.2.1. The behavioral monitoring

Previous studies had shown that driver's response performance is negatively relative to the drowsiness. The response performances were defined in terms of response time [7, 8], driving trajectories [9, 10] and patterns of drivers' moving handle wheel [11, 12]. The limitation of behavioral monitory system is highly depended on driving behavior, experiences, road conditions, and all other environmental variables. But, previous have showed that behavioral performance

is opposite correlated with the driver's alertness. Specifically, the subject's response performances, which index by response time, are decreased along with the increases of drivers' drowsiness [13, 14].

#### 1.2.2. The image-based technique

The image-based technique detect the eye gaze position, eye closure or the head position by the video camera[15] to calculate the duration of eye gaze fixation and the eye closure or frequency of eye movement, eye blinking [16-18] or head movement [19] for correlating the subject's drowsiness level. However, the quality of recorded image is easily influenced by the environment [20], with which is necessary for the camera needed to interact.

## 1.2.3. The physiological signal based system

the Several studies used physiological signals. including the electrocardiograph (ECG), electro-oculograph (EOG), or electroencephalograph (EEG), to monitor the subject's alertness. The heart rate or heart rate variability [23] which derived from the ECG signals has been known easily effected by the subject's psychological and physiological conditions, and therefore the ECG signals is not a good index for monitoring the driver's alertness. And some laboratories tried to use the electro-oculograph (EOG) signals to define the driver's alertness. It is reported that the rate of eye blinking [24] was declined along with the decreases of subject's alertness. However, the time window for analyzing the EOG signals to assess the driver's drowsiness was around 240 sec. which is too long to use in the drowsiness warning system in the real driving. Hence, the EEG signals are free from the limitation of long average windows to detect drowsiness. Therefore, EEG remains the most popular modality and the better index used to monitor drowsiness state in real-time.

# 1.3. Drowsiness related EEG phenomenon of drivers

Previous studies had shown that Along with the subject's drowsiness level, the neural activities are changed especially in which activities generated from the occipital lobe. Furthermore, the power of occipital alpha (8-12 Hz, [25-29]) and theta band (4-7 Hz, [27-30]) were increased following the decreases of subject's performances. The similar brain dynamic changes are also observed in a virtualrealty (VR) environment of driving experiments. Lin et al. [31] reported that the power of occipital alpha band was linearly increased from alertness to mild drowsy and then the alpha power was maintain at the same level or slightly decreased from mild drowsiness. In addition, the occipital theta power was also found increased monotonically from alert to deep drowsy. And Lin et al. [32] also demonstrated that EEG is feasible to accurately estimate quantitatively driver's performance in a realistic simulator by the results above, and constructed 3 editions of EEG monitoring system for drowsiness detection and warning. The first edition [33, 34] was a portable development of wireless brain computer interface using the alpha power increasing in occipital channels to detect drowsiness for warning drivers. Several studies investigated the algorithm for detecting drowsiness by EEG feature. The research team of Lin et al. [26] used independent component analysis (ICA) to remove most of EEG artifacts and suggest an optimal montage to place EEG electrodes for raising average estimation accuracy. Extending previous study, ICA-based fuzzy neural network was used in adaptive EEG-based alertness estimation system for optimizing predict performance [35]. In order to reduce the feature dimension of EEG signals, the nonparametric feature extraction methods were applied to one channel single-trial EEG signal [36, 37]. The latest algorithm reported an unsupervised subject- and session-independent approach for detection departure from alertness [38]. The second edition of EEG monitoring embedded system not only added independent component analysis (ICA) algorithm to monitoring system for raising average accuracy, but also minimized rear-end digital signal

processing unit [39]. Duann et al. had shown that it is feasible to correctly estimate the changing level of driving performance using the EEG feature obtained from the forehead non-hair channels [40]. The third edition used the unsupervised algorithm, smaller front-end, cell phone as rear-end and EEG signals from non-hair area without use of gel or skin preparation, and therefore it is more suitable for drivers in practical application [41]. In addition, Lin et al. investigated the EEG signals changes induced by arousing feedback, and the results shown that significant decrease in power spectra in theta and alpha bands following auditory feedback was found in the bilateral occipital component [42]. The above results suggest that occipital alpha and theta bands would be as good EEG features for indexing the driver's drowsiness, and the feature extraction algorithms were developed to apply on drowsiness detection and warning embedded system.

## 1.4. The brain network by Granger causality

The most studies investigating EEG signal in drowsiness state focused on how the EEG power changing along with the subject's driving performance, and it was the only indicator for estimation. In order to obtain more EEG features associated drowsiness for estimation indicator, realizing the brain network from alertness to drowsiness was what we intended to. For comprehending causal relationship between each source of signal from alert to mild drowsiness and to deep drowsiness, one approach to gaining this information is the so-called Granger causality (GC) [43].

Many studies used Granger causality (GC) to analyze EEG or other brain neural signals for realizing the causal relationship between distant brain site [44-46]. The brain networks, causal relationship or neural interactions, means how those signal flows transfer among distinct region in brain under one condition or function. GC could be applied on invasive-recorded local field potential (LPF) [47, 48] functional magnetic resonance image (fMRI) [49, 50] and EEG [51, 52] to construct the brain network. Most research analysis LPF of a small specific brain

area under one experimental function such as the study of Guéquin et al. [46] used GC to LPF data to investigate the functional connectivity between primary auditory cortex (Heschl's gyrus) and secondary auditory cortex (lateral part of Heschl's gyrus) under amplitude modulated sound processing [47]. However, the location of drowsy cortex is undefined, and therefore LPF is not good signal for observing drowsiness. The fMRI was also used for GC analysis; Duann et al [47] reported that the great connectivity was generated between inferior frontal cortex and presupplementary motor area during stop signal inhibition by using fMRI data to GC algorithm. Although fMRI data were recorded from whole brain, but the time resolution was not good as EEG data, and therefore it could not reflect the real-time reaction like EEG data in this experiment design. Some studies even applied GC combined with Independent component analysis (ICA). The brain connectivity between the independent components was investigated by applying to the GC analysis of fMRI study of word perception experiment in Londei et al.'s study [49]. The GC analysis in EEG data by Milde et al. [51] has been used for optimizing the adaptive algorithm of GC and realizing the brain network of laserevoked brain potentials. The EEG signal is more adaptive to the large detectable range and the simulated driving experiment and consequently is the most suitable signal for obtaining the brain networks by GC.

## 1.5. Aims of this study

The connectivity between independent components at driver's different drowsy levels was accessed by GC analysis applied in EEG data. The different drowsy levels were defined by the behavior response; the behavior performance could reflect the subject's consciousness to classify the relative EEG data to different drowsy level. Independent component analysis was used for approaching the signal sources replacing the channel data.

The aims of this study were (1) To determine the concentrations of brain connectivity between different brain regions from subject's alert status to drowsiness status. (2) To compare the above brain network in motion and

motionless simulation, finding the influence of kinesthetic input on EEG signal flows.



## 2. Methods

## 2.1. Subjects

Seven male subjects (ages from 23 ~ 30), were recruited from NCTU to participate in this experiment. They didn't have psychological and neurological diseases. The age All of them had normal hearing and normal or corrected-to-normal vision. None of them reported psychiatric or sleep disorders. Subjects were given instruction on how to respond to the events before participating in the experiment for the first time. They were required to sign the research consent before the experiment. All subjects have participated in the "motionless" session, and seven of them also participated in the "motion" session.

## 2.2. Experimental apparatus

## 2.2.1 Virtual reality driving simulation environment

For safety concern, a 3D virtual reality-driving simulator was built to simulate real-life driving environment. A real car body was mounted on a six degree-of-freedom (DOF) Steward motion platform, which simulated the vibration caused by uneven road surface as well as kinesthetic force during real-life driving (Figure 1-A 1-B). In addition, the temperature, background illumination and other unexpected stimuli or distraction were under control to access the better EEG signal. The VR-based high way scenes were generated from seven personal computers which synchronized by the internet connection and then were projected to seven screens via seven projectors (Figure 1-C). These large screens generate an immersive sensation and near real-life driving environment (Figure 2-A).

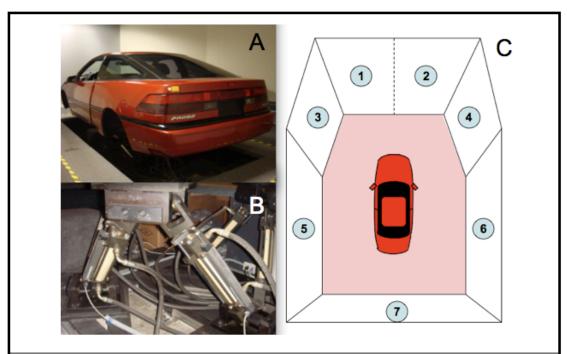


Figure 1. The car of virtual reality environment at Brain Research Center (BRC), National Chiao Tong University. 1-A: The real car frame mounted on the motion platform. 1-B: The six degree-of-freedom steward platform under the car frame. 1-C: The 360°-surrounded virtual reality scenes which projected from seven projectors.

Figure 1. The car of virtual reality environment at Brain Research Center.

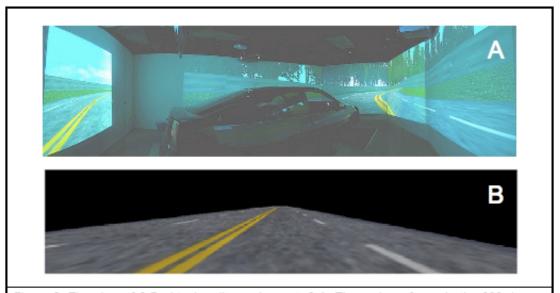


Figure 2. The view of 3-D virtual reality environment. 2-A: The real car frame in the 360 degree virtual reality environment. Large screens generate an immersive sensation and near real-life driving environment. 2-B: The view of driving on the third lane of 4-lane highway at night in this experiment. Subjects were instructed to steer back to the center of the cruising lane as quickly as possible after they detected the deviation.

Figure 2. The view of 3-D virtual reality environment.

## 2.3. Experimental paradigm

#### 2.3.1. The event-related lane-departure task

In the study, the driver's drowsiness level was indexed by the event-related lane-departure task [53]. The VR scene which can properly emulate a car driving at a constant speed of 100 km/hr on the third lane of a 4-lane highway at night by refreshing 60 times per second (Figure 2-B). There were not acceleration and brake function in the car, so all the subjects had to do was controlling the steering wheel. The car was randomly drifted away from the center of the cruising lane to left or right with equal probability, which was controlled and triggered from the WTK program, to mimic the consequences of a non-ideal road surface [54-56]. During the experiment, subjects were instructed to steer back to the center of the cruising lane as quickly as possible after they detected the deviation. However, if the subjects fall asleep or stopped responding to the deviation, the vehicle will

eventually hit the virtual curb on either side without crash and continue moving along the curb. After such non-responsive periods subjects resumed task performance without experimenter intervention. The next deviation event occurred randomly 5 to 10 s after the moment when the vehicle was back in the third lane. Three parameters were recorded via a synchronous pulse marker train recorded by the EEG acquisition system in parallel, the onset of deviation, the subject's response onset and response offset (Figure 3). The deviation onset is recorded the moment that the car started to drift away. The onset of subject's response is defined as the moment that the subject turned the steering wheel to fix the deviation to the center of the third lane. The last one, response offset, is defined as the moment when the vehicle return to the center of cruising lane and the subject ceases to turn the wheel. Each complete single epoch included the three parameters in this task and started at the beginning of baseline. The baseline meant the duration of 1 second before the deviation onset, and the response time (RT) was calculated the period from the deviation onset to the response onset. Such experimental design allows the observation of continuous transition from complete alertness to deep drowsy states, and the baseline part without interference of muscle controlling could be used for analyzing the brain network.

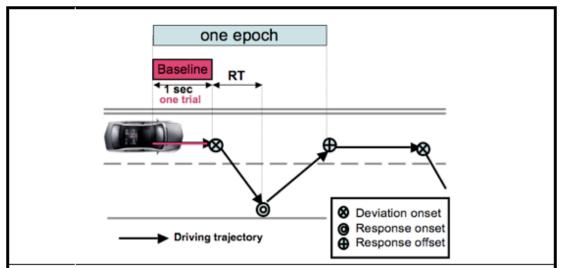


Figure 3. A bird's eye view of the event-related lane-departure paradigm. The car was randomly drifted away from the center of the cruising lane to left or right. Subjects were instructed to steer the car back to the center of the cruising lane as quickly as possible. The black arrows presented the driving trajectory. The circle with an x symbol the deviation onset. The double circle was the response onset. The circle with + symbol was the response offset. The pink line was the baseline meant the duration from 1 sec before to the onset of car drifting. The response time (RT) was the time duration between the deviation onset and response onset. A completed trial is from 1 sec before the deviation onset to the response offset.

Figure 3. A bird's eye view of the event-related lane-departure paradigm.

1896

## 2.4. Data acquisition

## 2.4.1. EEG data

#### 2.4.1.1. Channel location measurement

The brain activities from subject's skull were recorded by Ag/AgCl electrode cap with 30 channels (plus 2 references). The texture of cap was elastic and attached to an adjustable strap, and therefore it could totally cover subject's head without discomfort. All channels were arranged base on the modified International 10 - 20 system (Figure 4-A and 4-B). For accessing the actual location of each channel, each channel was redigitized by the 3D digitizer (Fastrak®, Polhemus, Figure 5) to reconstruct individual subject's head model by the mathematical algorithms [57] for localizing the sources of brain activities.

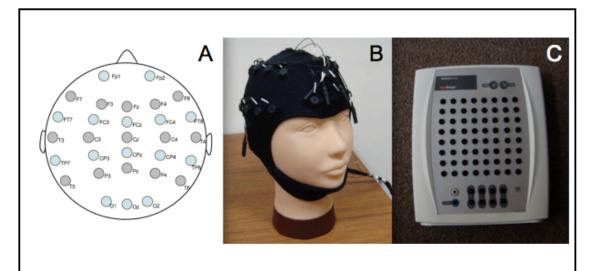


Figure 4. The channel location and EEG recording equipment. 4-A: The sketch map of the channel relative position in the 30-channel recording system. 4-B: The real 30-channel EEG cap in this experiment. 4-C: The Neural Scan Express System (NuAmps, Compumedics Ltd., VIC, Australia) for amplifying the signal measured by the electrode under the EEG cap.

Figure 4. The channel location and EEG recording equipment.

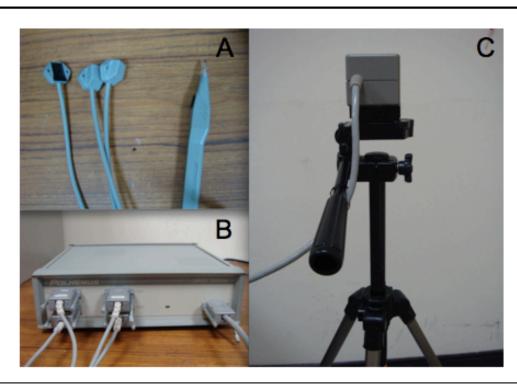


Figure 5. The 3D digitizer (Fastraka, Polhemus) was used for constructing the subject's head model. 5-A: The left equipments was the receivers, and the transmitter would measure the relative position and orientation of receiver; the right one was also a receiver with a pen shape, it would be measured only when pushing the button on penstock. 5-B: The System Electronics Unit (SEU) could supply power and connect each part and computer. 5-C: The transmitter was the device which produced the electro-magnetic field for access the position and orientation of the receivers relative to itself.

Figure 5. The 3D digitizer was used for constructing the subject's head model.



Figure 6: The process of digitizing and recording the real locations. The transmitter which was figure below was put behind the subject's head and put the 3 receivers under the Oz, T3, and T4 channel inside the electrode cap. After these setups, the 3-D relative location of each channel was pointed out by the receiver pen.

Figure 6. The process of digitizing and recording the real locations.

#### 2.4.1.2. Amplify the EEG signals

It is necessary to minimize the contact impedance of each electrode for increasing signal to noise ratio and diminishing the external noise integrating during the EEG recording. For this purpose, the conductive gel (Quik-Gel<sup>TM</sup>, Compumedics NeuroMedical Supplies<sup>TM</sup>) was carefully filled into each channel. The contact impedance of the EEG electrodes was controlled under  $5k\Omega$  before each experiment. Furthermore, the collected EEG activities were amplified by the Neural Scan Express System (NuAmps, Compumedics Ltd., VIC, Australia, Figure 4-C) and then recorded at 500 Hz sampling rate.

وبالللان

## 2.5. Data analysis

The data analysis flowchart in this study was shown in Figure 7. The motion and motionless data of each subject were generated a set of causal relationship by the analysis procedure respectively. Both EEG data and behavior data had to be preprocessed at first for rejecting those abnormal trials and artifacts. In the next step, EEG data were applied independent component analysis (ICA) for searching out the source data under 30 EEG channels, and on the other hand, the RT of each trial was calculated for EEG drowsy level classification. The third step in EEG data analysis, only interesting components were chosen to do further investigation, and then the drowsy levels, drowsy stages, of these components data were classified by RT in step 2 of behavior data. After those processes, we could apply the main algorithm, Granger causality (GC) which was indicated by purple block in figure 7, to the component data in the fifth step. There were 3 sub-steps in GC algorithm: order selection, Kalman filter and GC value accession. In the last step, the significant GC value was obtained after statistical testing. The causal relationship of each component in different drowsy levels could be realized after all these steps. The details of each process would be interpreted in following sub-chapter.

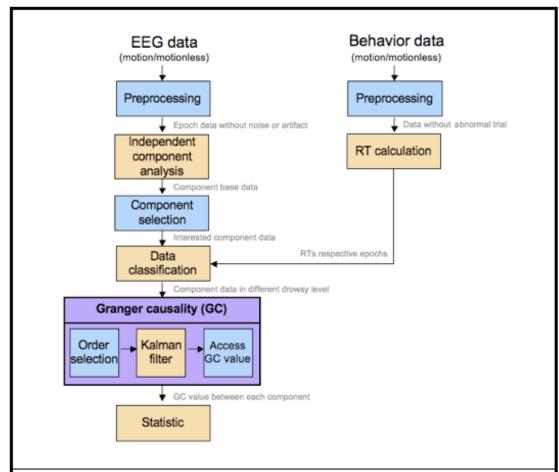


Figure 7: The flowchart of data analysis procedure in single subject. The color box in the figure present each process which be explained in the following chapter. After the last step, statistic, causal relationship between each component would be interpreted. The data were run the flow in both motion condition and motionless condition respectively.

Figure 7. The flowchart of data analysis procedure in single subject.

#### 2.5.1. Preprocessing

There were four steps in preprocessing: Integration of EEG and behavioral Data, reaction time, epoch extraction and artifact removal. Because driving trajectories and EEG signals were recorded by the different equipments (VR scene computer and Neuroscan respectively), we had to align these data by the triggers recorded in both machine first. Then the RT as defined in the event-relate lane departure [53] in each trial could be accessed by the driving trajectories. Third, the epochs were time-locked to 1 sec before deviation onset

from EEG data, which were referred as baseline. The last step, the abnormal trials in behavior data and EEG data had to be discarded before further analysis. Including the trials with RTs less than 0.3 sec, the overshoot or zigzag trajectories when subject reacted to car shifting, with extreme values in EEG channels and with severe fluctuations across most EEG channels were be abandoned.

#### 2.5.2. Independent Component Analysis (ICA)

In this study, the inter-connection of those functional EEG sources was the intention to investigate. However, since the volume conduction of the skull and scalp tissue [58], the signal recorded from individual electrode is easily mixed with signals generated from other brain regions or which are not located at the position around the electrode or other sources outside of our brain, including the eye-movement (EOG), eye-blinking, muscle-movement (EMG). For approaching the more corrected brain sources from the mixing EEG signals which were from the experimental electrode and removing the unrelated signals to obtain the pure neural activities, we applied the ICA algorithm (the runica function of the EEGLAB toolbox) on the EEG signals to separate these mixing signals from source signals in each subject.

The independent component analysis is widely used for blind source separation problem [59-61]. There were four basic assumptions in ICA theorem: First, the source signals (neuron activities) were independent to each other and the correlation between each two sources was zero or close to zero. Second, the propagation delay from sources to sensors was negligible. Third, the sources were analog and the possibility density function (p.d.f.) was not the gradient of a linguistic sigmoid. Fourth, the summation at scalp electrodes of potentials arising from different brain areas was linear [62]. The ICA model is:

$$X(t) = A * S(t) \tag{1}$$

Where A was a linear transform called an m\*n mixing matrix and  $S(t) = \left[s(t)_1 \dots s(t)_n\right]$  were statistically mutually independent. This ICA model described how the observed data were generated by a mixing process of the component vectors  $\mathbf{s}_i$ . The independent component vectors  $\mathbf{s}_i$  (often abbreviated as ICs) were latent variables which could not be directly observed. The mixing matrix A is assumed to be unknown. All we observed were the random variables  $X(t) = \left[x(t)_1 \dots x(t)_m\right]^T$ , and the task of ICA was is to transform the observed vectors  $\mathbf{x}_i$ , using a linear static transformation matrix W as:

$$U(t) = W * X(t)$$
 (2)

A linear mapping W was from ICA such that the unmixed signals U(t) are statically independent. ICA was done by adaptively calculating the w vectors and setting up a cost function, which either maximizes the nongaussianity of the calculated  $S_k = (w^T * x)$  or minimizes the mutual information. In some cases, a priori knowledge of the probability distributions of the sources could be used in the cost function. After ICA training, we can obtain N ICA components U(t) which was very close to the real source activities S decomposed from the measured N-channel EEG data X(t).

$$U(t) = \begin{bmatrix} u(t)_{1}^{T} \\ u(t)_{2}^{T} \\ \vdots \\ u(t)_{30}^{T} \end{bmatrix} = W * X(t) = \begin{bmatrix} w_{1,1} \\ w_{2,1} \\ \vdots \\ w_{30,1} \end{bmatrix} * x_{1}(t) + \begin{bmatrix} w_{1,2} \\ w_{2,2} \\ \vdots \\ w_{30,2} \end{bmatrix} * x_{2}(t) + \dots + \begin{bmatrix} w_{1,30} \\ w_{2,30} \\ \vdots \\ w_{30,30} \end{bmatrix} * x_{30}(t)$$
 (3)

In this study, N=30, thus we obtain 30 components from 30 channel signals.

## 2.5.3. Component selection

The scalp maps of each component represented the relative weight to compose from the channels (Figure 8). The maps from individual subject were generated by using the function topoplot of EEGLAB toolbox, which principle was rendering a column of the inverse of ICA weighting matrix onto the scalp (3). It was also revealed the spreading of the component topography.

In this study, there were six sources generated from the frontal area, supplementary motor area (SMA), somatomotor area, occipital midline area and bilateral occipital area to be submitted for the further analysis [62], as shown in figure 8. Figure 8 shown the 30 isolated scalp maps from the subject 01, and the components in red circle represented which were selected to analyze by Granger causality. The component 2, 3, 4, 5, 6 and 7 were frontal, SMA, right somatomotor, left somatomotor, occipital midline and bilateral occipital areas respectively.

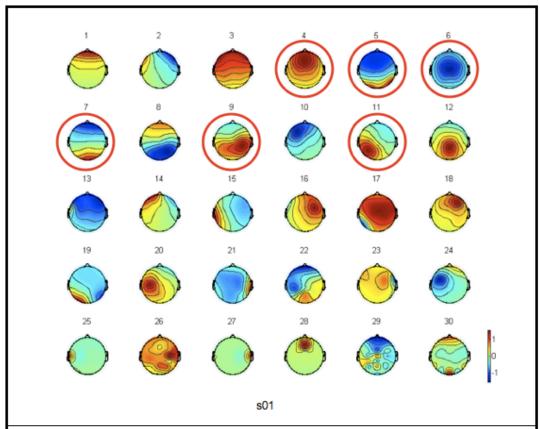


Figure 8: An example of topographic maps of 30 independent components. The independent component 4,5,6,7,9 and 11 were respective frontal area, bilateral occipital area, SMA area, occipital midline area, right somatomotor and left somatomotor area. Those activities of components marked by a red circle were selected for analysis analysis.

Figure 8. An example of topographic maps of 30 independent components.

#### 2.5.4. Data classification

For observing the brain network in different drowsiness level, the criteria of different drowsiness level were defined to classify the EEG activities. The trial respective RT of the event-related lane-departure task was the basis in this study. If subjects stayed conscious, they could turn the car back more quickly which meant the RT would be shorter; and vice versa. All the data trial of one subject were segmented to 4 different stages from alertness to drowsiness (Figure 9). For decreasing the trial number difference between each stage, the stage length was not equal from 0.5 second to 1 second. All subjects was kept in alert state in the most of time, that majority of the distribution was centered on the range of RT

less than 1. The segments before RT=1.5 which represented as stage 1 to stage 2 were shorter, stage length were 0.5 second. However, the trial number decreased along with the increase of RT as RT>1.5. The stage length of stage 3 and stage 4 should be larger than 0.5 second for engaging the trial number in one stage to avoid unreasonable analysis. The stage 0 was regarded as baseline for statistical testing. The baseline had to keep in the most alert state and be controlled under reasonable trial number. Therefore the length of stage 0 was segmented as the first 14% alert trial instead of being segmented by RT for eliminating the difference in all subjects.

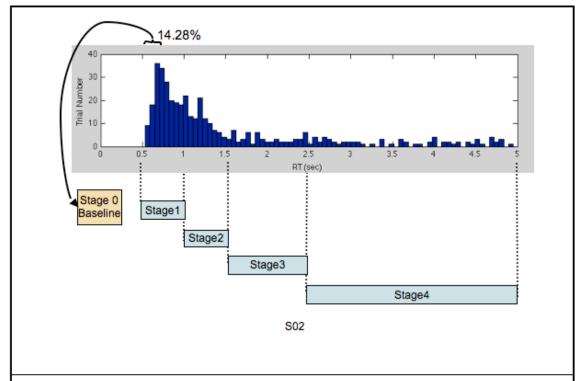


Figure 9: The diagram of stage segment in S02. The dark blue bars shown the distribution of trial number and RT. The stage 1 was segmented by the most 14.28% alert to be the baseline. Others were segmented by the RT to define different alert level, and from alert state to drowsy state respectively stage 1 to 4.

Figure 9. The diagram of stage segment in S02. The dark blue bars shown the distribution of trial number and RT.

## 2.5.5. Granger causality

For perceiving the connectivities in human brain under drowsiness state and alert state, we used Granger causality algorithm to obtain their causal relationship. The concept of causality was expressed by Granger for econometric purpose [43]. It based on the notion that causes imply effects during the future evolution of events and, conversely, the future cannot affect present. Granger causality assumed one time series y(t) caused another time series x(t) if y(t-1),y(t-2),...,y(t-p) participation in prediction of x(t) could significantly improves. The t was time point and p was order. Therefore, the basic assumption of Granger causality was that the participating time series must be autoregressive model (4). In multi-channel EEG data, there was a time-variate multivariate autoregressive model (tvMVAR) to fit all time series [64]. Consider a set (K components) of processes like X represented as:

$$X_{t} = A_{t-1} * X_{t-1} + \dots + A_{t-p} * X_{t-p} + E_{t}$$
 (4)

where, t represented the current time point,  $X_{\iota}, X_{\iota-1}, \ldots, X_{\iota-p}$  were K x 1 observed data matrix, p was model order, and E was a zeros mean Gaussian noise vector.  $A_{\iota-1}, \ldots, A_{\iota-p}$  were K x K coefficient matrix of tvMVAR, and it also represented as linear time-lagged dependence. At a given time, the diagonal elements of A represented the self-connectivity of each channel, and off-diagonal element represented the inter-connectivity between each channel. If the prediction of  $X_{\iota}$  of  $\alpha$  component was more accurate by adding a specific component  $\beta$ , we could say, component  $\beta$  caused component  $\alpha$ . In other words, If the error matrix  $E_{\iota}$  was controlled in the minimum, the coefficients of component  $\beta$  to complete component  $\alpha$  indicated how much the  $\beta$  caused the  $\alpha$ . The following 4 section, order selection, Kalman filter, Granger causality value and statistical testing were 4 steps to determine if there was any connection exist between two time series components. The purpose of the first three steps was

accessing the coefficients of observed data and analyzing the strength of causal relationship between each component in each time sample and different drowsy stage. The statistical testing was used to determine the causal relationship was established or not.

#### 2.5.5.1. Order selection

Before accessing the observed measurements model coefficients, selecting the model order to get the best prediction was necessary in each different drowsy stage GC analysis. The Bayesian information criterion (BIC) [65] function was used to choose the model order p to yield a well-fit tvMVAR. The penalty was of each order calculated by BIC criterion, and selected the model order for which BIC penalty reaching for a minimum.

$$BIC(p) = de_p + \log(tn) * p/n$$
 (5)

where, p was the model order presenting the current order, de was the predict error of p order, tn was the trial number of the observed data, n was the sample number of this trial. The error of observed data model prediction, de, was computed by QR decomposition algorithm which was often used to solve the linear least square problem. Therefore the de value had to be accessed once as calculating the p order each time. The BIC value of order p was the penalty of the observed data model prediction, that is, the lower value meant the better prediction and the more well-fit generated model.

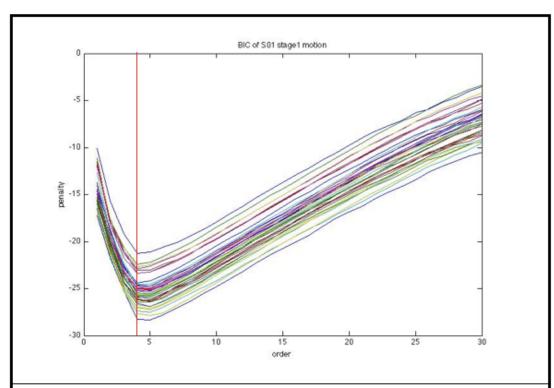


Figure 10: The BIC testing in subject 01 (S01) with 36 trials. Each line represented one BIC testing of trial, it showed the testing order from 1 to 30 (x axes) respective their penalty (y axes). The red line in indicated the order 4 reached for the minimum, therefore order 4 would be selected to be the model order. In all trials in one stage of one subject, the shape of the curve was greatly similar.

Figure 10. The BIC testing in subject 01 (S01) with 36 trials.

Figure 10 shown that the shape of the curve was greatly similar in all trials from one subject. The order with the lowest penalty would be selected to be the model order as the red circle in figure 10. In the example, 4 was selected to be the model order for predicting the model coefficients in the next step.

#### 2.5.5.2. Kalman filter

Figure 11 showed the following steps of Granger causality analysis after order selection in an example of bi-variate. Applying Kalman filter was the next step. The purpose of Kalman filter was to use measurements that are observed over time that contain noise, random variations, and other inaccuracies, and produce the model whose values that tended to be closer to the true values of the measurements and their associated calculated values [66,67]. In GC analysis,

the advantage of adaptive filter such as Kalman filter was that the autoregressive model of observed data could be variable. Kalman filter could detect the change of linear combination of model along with time series in each prediction, therefore the assumption of stationary input data was unnecessary and one set of model coefficients was accessed in each time sample [68]. Otherwise, Milde et al. [51] reported that this adaptive way to access the model coefficients was more suitable than recursive least square (RLS) [69], which was the other adaptive filter used in GC analysis.

The procedure of filtering was producing estimates of the true values of measurements and their associated calculated values by predicting a value, estimating the uncertainty of the predicted value, and computing a weighted average of the predicted value and the measured value. The estimates produced by the method tend to be closer to the true values than the original measurements because the weighted average has a better estimated uncertainty than either of the values that went into the weighted average. Assume there was a m-dimensional vector autoregressive model of order p, and those functions could be shown as below:

1896

$$Y(t) = H(t) * X(t) + \varepsilon(t)$$
(6)

$$X(t) = \Gamma(t) * X(t-1) + W(t)$$
(7)

$$K_{t} = P_{t|t-1} * H(t)^{T} * [H(t) * P_{t|t-1}H(t) + R(t)]^{-1}$$
(8)

$$P_{t|t} = P_{t|t-1} - K_t * H(t) * P_{t|t-1}$$
(9)

$$\varepsilon(t) = Y(t) - H(t) * X_{t|t-1}$$
(10)

$$X_{t+1|t} = \Gamma(t) * \left[ X_{t|t-1} + K_t * \varepsilon(t) \right]$$
(11)

$$P_{t+1|t} = \Gamma(t) * P_{t|t} * \Gamma(t) + Q(t)$$
 (12)

$$X_{0|-1} = X_0 ag{13}$$

$$\mathbf{P}_{0|-1} = \mathbf{P}_0 = \begin{bmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{bmatrix}$$
 (14)

where, t was the current time point, Y(t) was the observed data, H(t) was the observed measurement matrix with dimension  $m*m^2p$ ,  $X_t$  was the  $m^2p*m^2p$ state variable matrix, and it also represented the model coefficient matrix in this study.  $\Gamma(t)$  was the transition matrix whose size was also  $m^2p*m^2p$ , and it was identical matrix for assuming the coefficients evolve according to random walks.  $K_t$  was the Kalman gain matrix,  $P_{t|t}$  was the priori covariance matrix of the estimation error,  $P_{t+1|t}$  was the posteriori covariance matrix, R(t) was the observation noise covariance,  $R(t) = \varepsilon * \varepsilon^T$ , W(t) was the zero-mean white process-noise, Q(t) was the state-noise covariance. The function 6 and 7 were basic state-space form. Y(t) and H(t) were known observation data, and the aim of Kalman filter in this study was to estimate the model coefficients  $X_t$  by Y(t)and H(t), in the other words, to access the function 6. Function 8, 9 and 10 were the innovation part in the filter preparing for next time point prediction, and function 11 and 12 were prediction part. The filter trained the observed data time sample by time sample, and then the object coefficient matrix  $X_i$ , at each time sample could be solved. The initialization was shown in function 13 and 14.

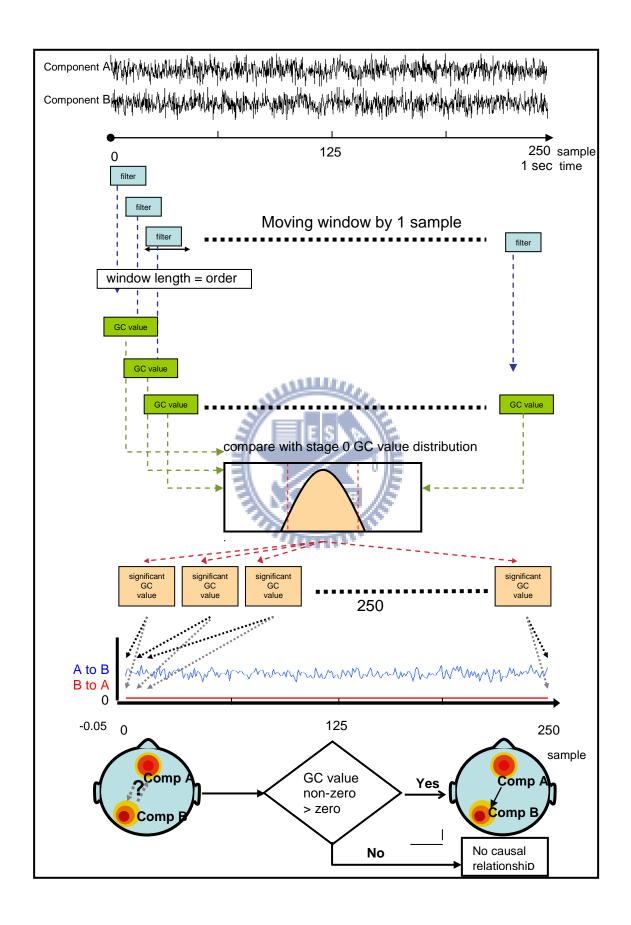


Figure 11: The diagram of Granger causality application of bi-variate time series after order selection. There were 2 components in this example, component A (comp A) and component B (comp B). Two components were trained by the Kalman filter which were represented as blue blocks with order length as window length to access the model coefficients. Next step was calculated the GC value in each time point by coefficients from filter represented by green blocks. Testing the significance was after the GC value calculating represented as pink blocks. Each GC value along with the time series was compared with the distribution of GC value in stage 0, if the value was in the distribution, the value was set for 0; else the value kept the original GC value. The last step of Granger causality was decision of the causal relationship. If the non-zero value was much more than the zero in the whole GC value along the time series, then the connection, causal relationship was established.

Figure 11. The diagram of Granger causality application of bi-variate time series after order selection.

#### 2.5.5.3. Granger causality value

The original autoregressive model of observed data appeared to be analyzed by GC after filtering. We used a three components example to explain how to access GC value from the coefficient matrix  $X_i$  in function 6. Assume the autoregressive model was solved by Kalman filter in previous section:

$$Y(t) = \sum_{i=1}^{p} X(i) * Y(t-i) + \varepsilon(t)$$
 (14)

The same with the function 6, Y(t) was the observed data with m components and t presented the current time point, p was the model order,  $X_i$  represented linear time-lagged dependence which was referred as coefficient matrix from lag 1 to order p. For explaining legibly, the function 14 was simplified order to be equal to 1 and only three components, not only that, the equation was expanded as following function:

$$\begin{vmatrix} y_1(t) \\ y_2(t) \\ y_3(t) \end{vmatrix} = \begin{bmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{bmatrix} * \begin{vmatrix} y_1(t-1) \\ y_2(t-1) \\ y_3(t-1) \end{vmatrix} + \begin{vmatrix} \varepsilon_1(t) \\ \varepsilon_2(t) \\ \varepsilon_3(t) \end{vmatrix}$$
(15)

The diagonal elements,  $x_{11}x_{22}x_{33}$  represented the self-connectivity at lag 1, and the others were inter-component connectivity at lag 1. These coefficients were positive relation with causal relationship in above coefficients matrix. For instance,  $y_1(t)$  was composed by  $y_1(t-1)$   $y_2(t-1)$   $y_3(t-1)$  with coefficients  $x_{11}x_{12}x_{13}$  respectively, that mean the relative strength of influence from component  $y_2$  to  $y_1$  at time point t-1 was expressed by the ratio  $abs(x_{12})/abs(x_{11}) + abs(x_{12}) + abs(x_{13})$ , and from  $y_3$  was expressed by the ratio  $abs(x_{13})/abs(x_{11}) + abs(x_{12}) + abs(x_{13})$ . Therefore the GC value was designed [70,71]:

$$GC(t)_{comp1 \to comp2} = \frac{\sum_{i=1}^{p} abs(x_{21}(i))}{\sum_{i=1}^{p} abs(x_{11}(i) + \dots + x_{m1}(i))}$$
(16)

#### 2.5.5.4. Statistical testing

For the difference in time-space and the EEG variance from person to person, the statistic testing was trial by trial in each subject. The EEG data in stage 0, baseline, were regarded as reference for the GC value in stage 1 to 4 to test the significance. All the single GC values along with time series were compared with the distribution of stage 0 distribution, if the GC value from stage 1 – 4 wasn't in the 95% confidence interval of stage 0, the GC value was significant and the GC value was kept, else the GC value was set for 0. Since avoid influence of the extreme value from minor trial, the median were used to average all the trials. After the median accessing, only if number of non-zero GC values were much more than the number of zero in whole time series between two components, the causal relationship in these two components was established as the example of connection of comp A to comp B in figure 11.

### 2.5.6. Power spectrum analysis

Previous studies suggested that drowsy EEG dynamics could be observed from two different aspects, the tonic and phasic changes [72, 73] and both aspects were processed by frequency analysis. Phasic change referred to the EEG power triggered from stimulation by the specific events, like the deviation onset in our experiment. Time-frequency was used to investigate the change of power spectral in grouping data from deviation onset to deviation offset. On the other hand, the tonic changes referred to the converting of baseline power spectral associated with change of cognitive state such as the different drowsy stage in this study. The baseline data were transferred to power spectral in each stage to observer the tonic changes from alert state to drowsy stage.

#### 2.5.6.1. Event-related spectrum perturbation (ERSP)

Time-frequency transform is a spectrotemporal decomposition technique to analysis the event-related data perturbations in spectral domain of EEG channel data or component data [74]. For assessing the EEG activities during the stimulation and after the response in different drowsy stage, the epochs of each stage from all subjects were extracted from -1 ~ 7 seconds to estimate the changes of ERSP. The 2000 points in each observed component epoch was chronically divided into 200 overlapped sub-windows with 250 points, and the power spectrum of each sub-window was computed by discrete wavelet transforms (DWT) using newtimef() function of EEGLAB [73]. The frequency bins respective to the 200 sub-windows that distributed in -1 to 7seconds were obtained stage by stage. In the last step, we average the frequency bin in all the epochs in one stage to realize the ERSP changes from deviation onset in each drowsy stage.

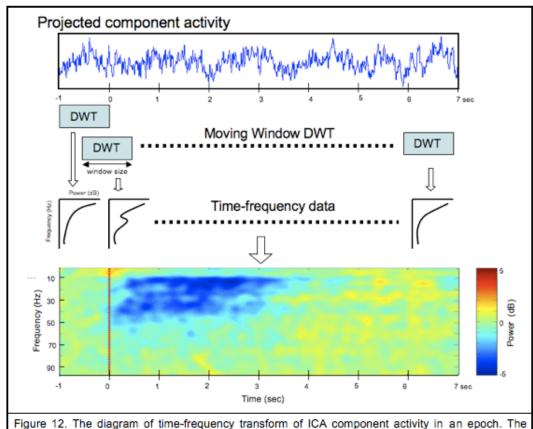


Figure 12. The diagram of time-frequency transform of ICA component activity in an epoch. The moving window discrete wavelet transforms (DWTs) was used to transform the time domain activities into spectrotemporal activations. There were 200 windows distributed in 8 secs epoch, and the window size was 250 points.

Figure 12. The diagram of time-frequency transform of ICA component activity in an epoch.

#### 2.5.6.2. Tonic power spectrum

Tonic data were the main source for Granger causality to assess the brain network in different drowsy stage because we assuming there were not unexpected brain activities or event in this duration. Therefore the state were stable in whole baseline, the power spectrum should also be stable. Each observed component baseline with 250 samples from all subjects was directly computed the power spectrum by fast Fourier transforms using fft() function in MATLAB stage by stage. The power respective to frequency in each component and each stage was obtained. Then we computed the average value from all trials by the frequency power in different drowsy stage to observer the tonic changes from alert state to drowsy stage.

#### 3. Results

## 3.1. Behavioral data

The information of behavior data were shown in Table 1 and Table 2. Table 1 showed the different 4 drowsy levels and the respective RT. Table 2 showed the trial number in each stage and the average reaction time of behavior data. The stages number from 1 to 4 represented the subject's alert status to drowsy status with inconsistent length of RT. The trial distribution in table 2 revealed that the subjects kept in the alert status at most of the time, and therefore the length in stage 1 and 2 were shorter than stage 3 and 4 to balance the difference of trial distribution. The last row indicated the average RT of each stage including the motion and motionless condition.

Table 1: The Stage no. and the Respective RT

Stage no.	Baseline	1	2	3	4	
RT (SEC.)	The First 14.28%Trials	0.5 - 1	1 – 1.5	1.5 - 2.5	2.5 - ∞	

Tables 1. The Stage no. and the Respective RT.

Table 2: Trial Number and RT of Behavior Data

Subject Index	Trials in Stage 0	Avg. RT (SEC.)	Trials in Stage 1	Avg. RT (SEC.)	Trials in Stage 2	Avg. RT (SEC.)	Trials in Stage 3	Avg. RT (SEC.)	Trials in Stage 4	Avg. RT (SEC.)	Total Avg.
S01	45	0.65	196	0.77	66	1.21	19	1.74	37	6.62	1.60
	58	0.69	142	0.82	36	1.12	27	1.85	38	4.84	1.33
S02	67	0.65	183	0.76	108	1.18	58	1.95	120	5.49	2.23
	37	0.90	41	0.90	137	1.16	62	1.82	23	4.50	1.59
S03	62	0.84	79	0.86	236	1.24	108	1.70	11	4.43	1.38
	24	0.59	135	0.71	8	1.01	5	1.25	19	11.66	2.03
S04	40	0.71	96	0.82	109	1.21	54	1.77	23	8.56	1.81
	45	0.74	109	0.82	80	1.19	38	1.85	92	8.34	3.25
S05	61	0.55	135	0.78	100	1.19	64	1.80	109	5.85	2.33
	44	0.68	139	0.81	55	1.11	22	1.78	92	17.09	5.87
S06	36	0.44	168	0.64	21	1.16	6	1.37	14	18.07	1.68
	35	0.59	199	0.71	17	1.07	4	1.55	29	9.89	1.85
Avg.	_	0.64		0.77	min	1.20	_	1.72	_	8.17	2.25
	_	0.70		0.80	_	1.11	_	1.68		9.39	2.20

The pink parts were indicated the motion condition, and the white parts were the motionless condition.

Tables 2. Trial Number and RT of Behavior Data.

# 3.2. Brain network of single subject data from alert stage to drowsy stage

Figure 13 showed the brain connectivity from stage 1 to 4 of S06 in simulated real driving situation. The graph showed the propagations of the 6 components from alert status to drowsy status. Those components were represented by different color, the frontal area was represented by the red dot,

the SMA was orange dot, right and left somatomotor area was yellow and green dot respectively, the occipital midline was blue dot and the bilateral occipital was purple dot. The position of components and the strength of GC connections were indicated in the right bottom of figure. All the connections between any two components had been tested the significance by GC value.

In the S06 case, the most of connections influence were weak in stage 1. The connections got stronger from stage 2, and it was clear that the frontal area received all the information flow from each components except the midline occipital area which also received the information flow from other components, but slighter than the frontal area. However, the propagations of information flow, or causal relationship, was changing in stage 3. In stage 3, the strength of connections was deadened and the afflux was not the frontal area or the midline occipital area. The causal connectivity related to right and left somatomotor areas were becoming dynamic in this stage, and some influences were into the bilateral occipital area. The last stage, stage 4, those connections were similar to those in stage 1 or 2, the afflux were centered on frontal area and occipital area, but the strength of connection was different, the stronger connections were into the occipital areas instead of the frontal area. In this stage, the flow direction of causal relationship was more consistent than in other stage.

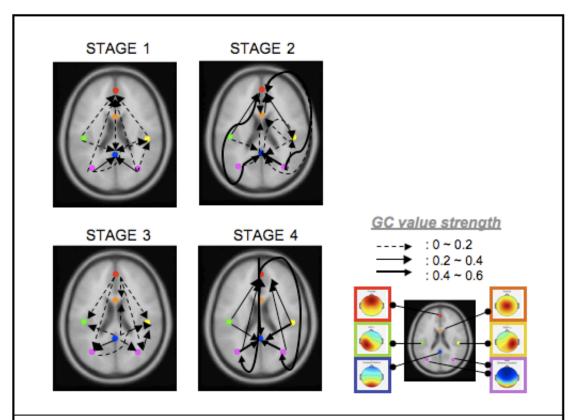


Figure 13: The brain connectivity of S06 from stage 1 ~ 4 in simulated real driving. The six components was indicated with different color at right bottom of the figure, and the thickness of connection represented as the strength of GC value. From stage 1 to 4, the direction of strong signal flow was from frontal area to occipital area.

Figure 13. The brain connectivity of S06 from stage 1 ~ 4 in simulated real driving.

# 3.3. Brain network of grouping data from alert stage to drowsy stage

In order to observe the consistence in each subject, the integration of brain network of 6 subjects was shown below. For keeping the difference of baseline from person to person, the causal relationships were accessed by single subject instead of clustering all the trials to do GC analysis. Afterwards, the connection between two components appeared over 3 subjects at the same stage, and it would be selected and shown in the Figure 14 ~ 16. This grouping way could keep the reliability of reference of statistical testing.

#### 3.3.1. Brain network in 3D coordinates

In results of grouping data, the dipoles were fitted to 3D talairach coordinates model to present the brain network. The coordinate was indicated in the right side of Figure 14, and the three surfaces were left to right, anterior to posterior and superior to inferior of human brain. As shown in Figure 13, the six components were represented by different color dots respectively in Figure 14, and those dots also projected to the three surfaces with a half dot to indicate, and therefore the EEG equivalent-dipole locations and orientations of human brain were visualized

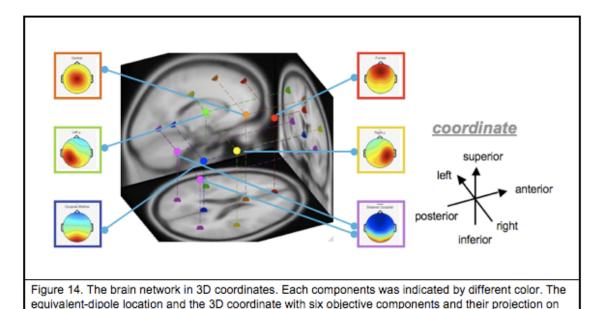


Figure 14. The brain network in 3D coordinates.

each surface.

### 3.3.2. Brain network in stage 1

The results of stage 1 were shown in Figure 15-A. Subjects should stayed in very alert status in this stage for the respective RT was 0.5 ~ 1 second. In stage 1, the signal flow sent to frontal area, SMA and midline occipital area, especially the frontal area. All components sent signals to frontal area excepting the SMA, and SMA received the signal flow from occipital areas. On the other hand,

midline occipital received the signal flows from two somatomotor areas. Generally, the trend of signal flow was directing to the anterior part of brain from posterior part in stage 1, and somatomotor areas sent to both frontal and midline occipital area.

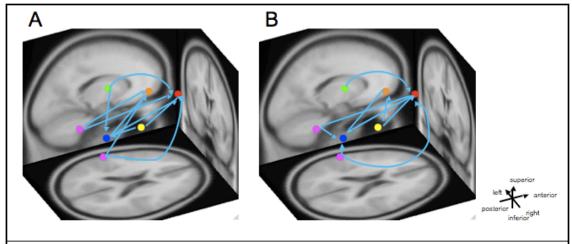


Figure 15. The brain networks of grouping data in stage 1 and 2 (motion condition). The start of the arrow represented the source of signal flow, and the end represented as the destination. 15-A: The result in stage 1. The trend of signal flow was directing to the anterior part of brain from posterior part, and somatomotor areas sent to both frontal and midline occipital area. 15-B: The result of stage 2. The main destinations of signal flows were frontal area and midline occipital area which inheriting the previous stage.

Figure 15. The brain networks of grouping data in stage 1 and 2 (motion condition).

## 3.3.3. Brain network in Stage 2

The respective RT was 1 ~ 1.5 seconds in stage 2, and we showed the results in simulated real driving (motion condition) in Figure 15-B. The trend was still towards frontal, central and midline occipital area, and the directions of connection centered on frontal area much more than previous stage. The signal flow from somatomotor did not send to occipital midline area, only sent to the anterior of human brain. And the signal flow from bilateral occipital area to SMA in stage 1 shifted to midline occipital area in this stage. It is clearly that the main destinations of signal flows were frontal area and midline occipital area which inheriting the previous stage.

#### 3.3.4. Brain network in Stage 3

There were many changes in stage 3 whose RT was 1.5 ~ 2.5 seconds. The main concentration of signal flow was not frontal area anymore, but was occipital midline area. In Figure 16-A, there were three components sending information to midline occipital area and two sending to SMA and left somatomotor area. The midline occipital area collected the most connections clearly. There had been four connections concerning frontal area in previous stage, but only left one, midline occipital to fontal, in stage 3. Frontal area became a signal source in this stage; it sent signals to SMA area and left somatomotor area. The SMA received the signal flows from frontal area and right somatomotor area, and seemed like the destination of signal flows shifted to posterior brain gradually. The sending of somatomotor area was back to midline occipital area and SMA, and somatomotor received the signals from midline occipital area. Stage 3 was the turning point of signal transmission from alert state to drowsy state, and it was different explicitly. The effect of frontal area was reduced and the somatomotor area and midline.

A

B

Superior left anterior posterior right inferior

Figure 16. The brain networks of grouping data in stage 3 and 4 (motion condition). The start of the arrow represented the source of signal flow, and the end represented as the destination. 16-A: The result in stage3. The main trend of signal flow was not sending to frontal area, but was occipital midline area. 16-B: The result of stage 4. There was a huge effect of midline occipital area. The trend of brain networks transferred to occipital area obviously, especially in the midline occipital area, beside that, the opposite location, frontal area, also received the signals from bilateral occipital

Figure 16. The brain networks of grouping data in stage 3 and 4 (motion condition).

#### 3.3.5. Brain network in Stage 4

In the last and most drowsy stage, which RT was more than 2.5 seconds, the brain network inherited the previous stage and the direction of signals centered on midline occipital area much more. In Figure 16-B, we could observe that the major arrows directed to posterior brain, that was, the occipital area. The midline occipital area received signal flows from all of the components except frontal area, and bilateral occipital area also received three causal relationships from three components SMA, right somatomotor, and including the frontal area. All causal relationships were towards to posterior brain, but there was one arrow directed to anterior part of brain, the bilateral occipital area to frontal area. The concentration of brain networks transferred to occipital area obviously, especially in the midline occipital area, beside that, the opposite location, frontal area, also received the signals from bilateral occipital. The main effect in drowsy stage was midline occipital area, which received those signal flows and didn't send to any component. It was quite different with the brain network in alert stage such as stage 1 or 2.

## 3.4. The comparison of brain network in motion and motionless condition

This section showed the comparison of brain network in motion platform and motionless platform. We used two kinds of simulator to represent the difference from reality and laboratory condition. The brain networks in motionless condition explain the brain networks from alert stage to drowsy stage only with the visual stimulation. Although the design of experiment was not similar with the real driving, the influence of consciousness was more remarkable. The kinesthetic stimulation was an extra input in motion condition for simulating reality situation, and therefore we indicated the connections only in motion condition to elucidate the influence on causal relationship from the extra input. In each stage, there were 3 brain networks in Figure 17 ~ 20 respective to motion, motionless

condition and the comparison. The parts of motion condition were the same with which in Figure 14 ~ 16, and in comparison, the consistent signal flow represented by blue arrows in both condition and those inconsistent parts by red.

### 3.4.1. The comparison of brain networks in stage 1

The concentration of signal flow was frontal and midline occipital area in motion condition, however, it only sent to frontal area in motionless condition. And the number of connections in motionless were fewer than in motion condition, the brain network was more definite. In the comparison, all the connections in motionless condition were the consistent part in stage 1, and it expressed that the frontal area was caused by the four components, which two somatomotor and two occipital areas, in both condition. The consistent trend was from posterior to anterior brain. However, there were lots extra connections in motion condition, and those almost were concerned in SMA and midline occipital area. We could find out the SMA and occipital midline area did not participate in motionless condition.

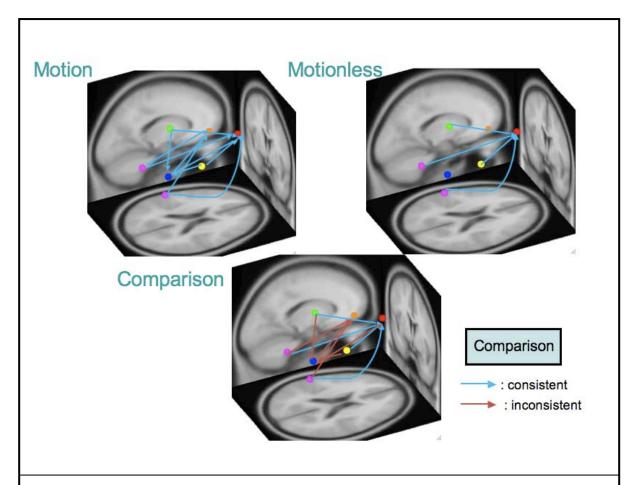


Figure 17: The brain network in stage 1. In motion condition, the signal flows were sent to frontal, SMA and midline occipital area, but only the signal flows were only sent to frontal area in motionless condition. In comparison, the connections linking the central and midline occipital were extra in motion condition.

Figure 17. The brain network in stage 1.

### 3.4.2. The comparison of brain networks in stage 2

The destination of signal flow still was frontal and midline occipital area in motion condition, but the effect of SMA was decreased. In motionless condition, the brain network was very like the one in stage 1, nevertheless, the causal relationship from somatomotor area was replaced with from the SMA. In comparison part, the most of those connections sent to frontal area were keeping consistent, and there were connections about somatomotor area and midline

occipital area belonged to inconsistent part. The direction of inconsistent connection was different with previous stage. Somatomotor area sent the signal flow to anterior brain, and the bilateral occipital sent to midline occipital area.

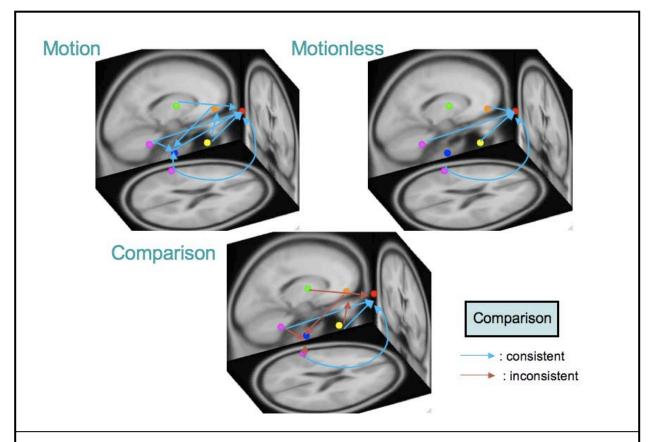


Figure 18: The brain network of stage 2. The trends were still towards to the frontal, SMA and occipital midline area, but the somatomotor area sent the signal flow to frontal area instead of occipital midline area in motion condition, and fewer connections were towards to SMA. In motionless, the SMA sent to frontal instead of left somatomotor area sending. The trend was expanded from the previous stage: Consistent parts of comparison were those signal flow sent to frontal area, and inconsistent parts were those sent to SMA and midline occipital area.

Figure 18 The brain network of stage 2.

### 3.4.3. The comparison of brain networks in stage 3

The brain network was quite different in both motion and motionless condition. The main destination was not the frontal region, but was midline

occipital area. In motion experiment, the signal flow sent to midline occipital much more than stage 2, and the somatomotor area also received massage in this stage. In the other side, the motionless condition, the signal flows were centered on midline occipital area obviously, from bilateral occipital and SMA. In previous stage, there were four connections linking with frontal area, but there was none in stage 3. Although the two conditions also changed the concentration from anterior brain to posterior brain, the consistent part in comparison was only one connection, SMA to midline occipital area. There were many connections in inconsistent part, those about the left and right somatomotor area and the frontal area. The somatomotor area did not generate any causal relationship in motionless, but they were active in motion condition. That was the biggest difference in this stage.



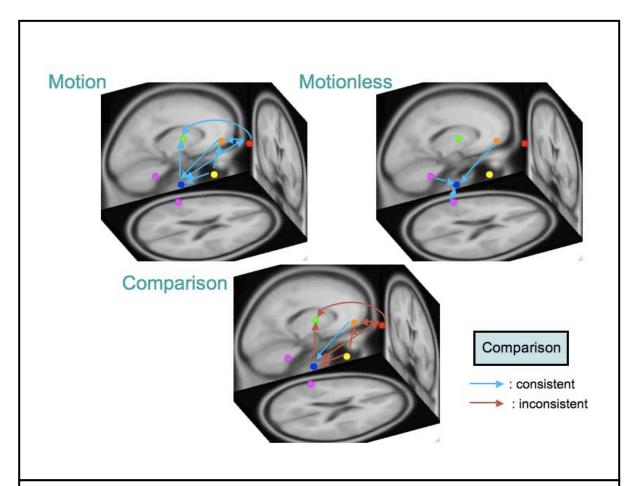


Figure 19: The brain network in stage 3. Both in motion and motionless condition, the main destination of signal flow was not frontal area, but was occipital midline area. The causal relationship seemed to shift to posterior part gradational in motion condition, and seems like had shifted in motionless. In comparison, there were many difference which about the left and right somatomotor area and the frontal area.

Figure 19. The brain network of stage 3.

#### 3.4.4. The comparison of brain networks in stage 4

In the drowsiest stage, the concentration of brain networks transferred to occipital area obviously, especially in the midline occipital area, beside that, the opposite location, frontal area, also received the signals from bilateral occipital in motion condition. The brain network in motionless condition was similar with the previous stage, but the concentration was stronger. All the signal flows were sent to midline occipital area, and only one somatomotor area did not work. In the comparison part, the consistent connections were sent to midline occipital area, and the inconsistent connections were including the left somatomotor area to midline occipital area, those sent to bilateral occipital area and the bilateral occipital to frontal area in motion condition.



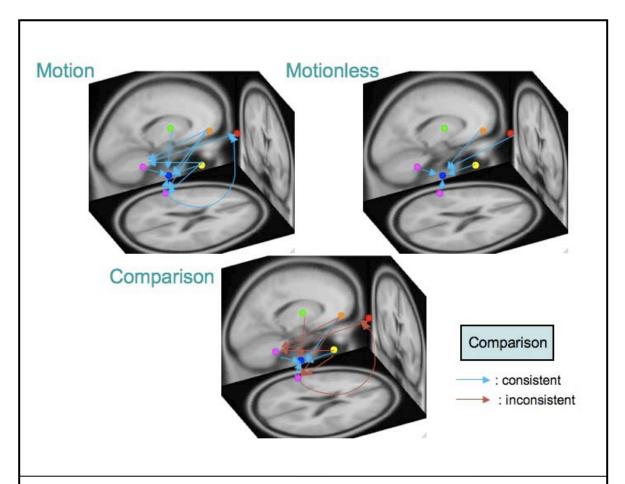


Figure 20: The brain network in stage 4. The brain network extended the previous stage and centered on midline occipital area. In motion condition, the midline and bilateral occipital area received connections from all of the components, and the midline occipital area in motionless also receive the most signal flows. However, the connection were more complex in motion condition, the signal flow did not only send to midline occipital area, but also to bilateral occipital and frontal area. Beside that, the both somatomotor area were active in motion but not in motionless condition.

Figure 20. The brain network of stage 4.

## 3.5. Summary

Figure 21-A showed the concentration of brain networks from alert to drowsiness in motion and motionless condition. From alert to drowsiness, the concentration of signal flows shifted from frontal area to midline occipital area. This phenomenon was more obvious in laboratory situation than reality situation. There were a lot kinetic stimuli in real life like motion condition to make the brain networks more complex to be illustrated. Figure 21-B showed the power spectrum of midline occipital component in different drowsy stage in both two conditions. The power of alpha band increased along with drowsy level, as in brain networks, the phenomenon was more obvious in motionless condition.



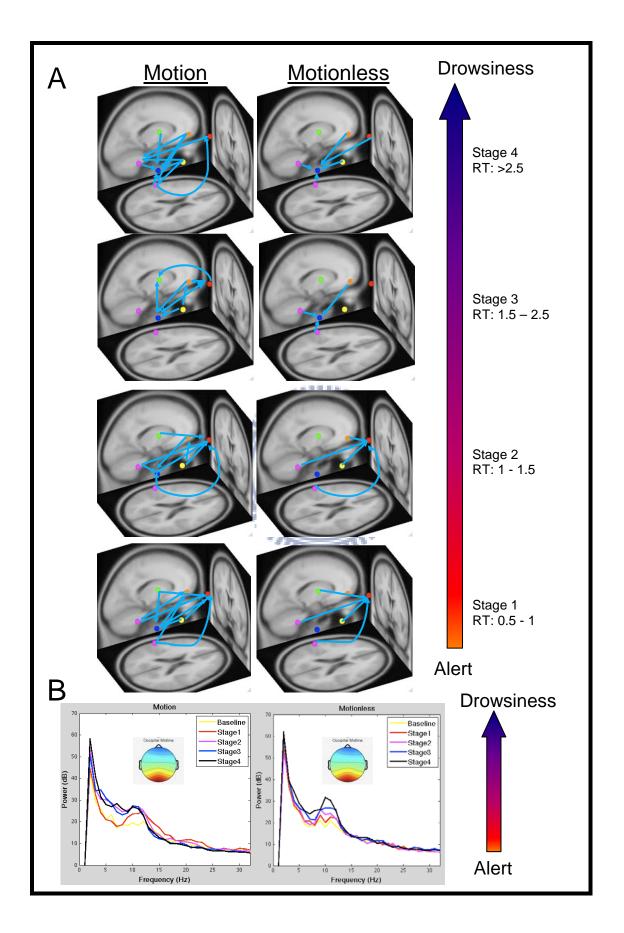


Figure 21: The concentration of brain networks and EEG power spectrum in motion and motionless condition. 21-A: The destination of signal flows shifted from frontal area to midline occipital area with subject's consciousness. Brain networks in real life condition were more complex than laboratory condition for extra kinetic stimulation. 21-B: The power spectrum of occipital component respective drowsy stage. The power of alpha band increased along with drowsy level, as in brain networks, the phenomenon was more obvious in motionless condition.

Figure 21. The trend of brain networks and EEG power spectrum in motion and motionless condition.



#### 4. Discussion

The aims of this study were to determine the brain connectivity between different brain regions from subject's alert status to drowsiness status and to compare the above brain network in motion and motionless simulation, finding the influence of kinesthetic input on EEG signal flows in reallife. The results in previous chapter showed the brain networks in each stage and the consistent and inconsistent part in comparison of motion and motionless conditions. From alert stage to drowsy stage, the concentration of signal flow shifted from the anterior brain to the posterior brain. In addition, there were more connections supposed to be including kinetic stimulus in motion condition than in motionless condition. Figure 22 showed the structure of signal flows summarized from Figure 21 to illustrate the finding clearly.

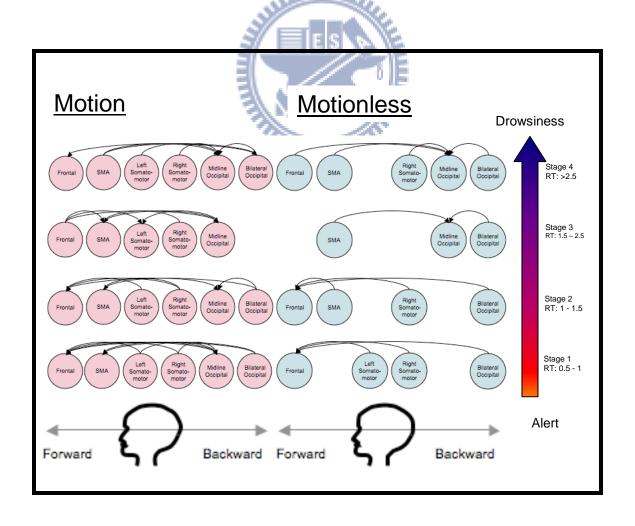


Figure 22: The dendrogram of brain networks from drowsiness to alert in motion and motionless condition. The structure illustrated the concentration of brain network and the difference between two conditions. Stage 1 and 2 seemed like the same status, and the enormous change appeared in stage 3. In addition, stage 4 was expanded from stage 3. The involving components and connections in motion condition were much more than motionless condition. It illustrated the brain networks were influenced by more inputs in real-driving simulation.

Figure 22. The dendrogram of signal flow from drowsiness to alert in motion and motionless condition.

# 4.1. The brain network from alert state to drowsy state

#### 4.1.1. The concentration of brain networks

In Figure 23, the green line represented the consistent part of brain networks in motion and motionless condition. Most connections in motionless also occurring in motion condition explained that it caused by drowsiness level. Frontal area was the main region to receive signal flows from other posterior components in stage 1 and 2, but the trend totally changed in next stage. The destination was not frontal area in stage 3; most signals were sent to midline occipital area. In addition, the connections directed to midline occipital more obviously in stage 4. The trend was that sending to frontal area in alert stage and then sending to occipital area since into drowsier stage.

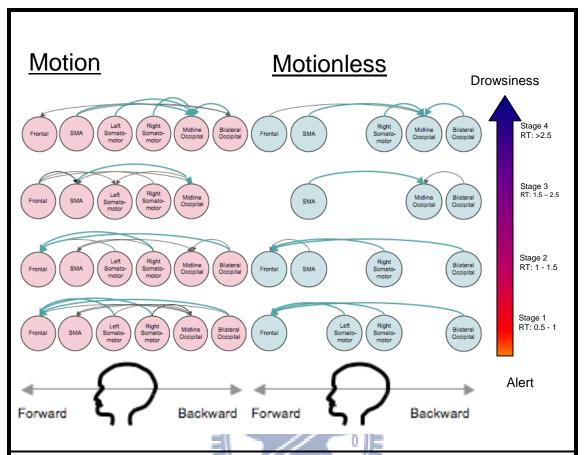


Figure 23: The consistent part of brain networks. The green lines of structure emphasized how the concentration of brain networks shifting from alert to drowsiness: The concentration was frontal area in stage 1 and 2, but it shifted to midline occipital area with increasing drowsiness in stage 3 and 4. In addition, the transition of brain networks from alert to drowsiness occurred in stage 3.

Figure 23. The consistent parts of brain networks.

#### 4.1.1.1. Frontal area

The results in our study showed that the trend was directing to the frontal area from each posterior brain in alert stage such as stage 1 and 2, and it vanished gradually from stage 3. These results in motionless were corresponded with the motion condition. The finding may was consistent with an early study suggested that the frontal midline theta EEG/MEG activity was readily measured from the scalp during concentration or heightened attention in most human

subjects [75]. The signal flow generated by subjects who operating the experiments sent with highly attention to frontal area may cause the EEG activity in theta band arousing in alert stage. In addition, the temporal order of task coordination was related to frontal area [76]. In previous study, a higher activity was found in for the random-order condition compared with the fixed-order condition by fMRI data analysis [77]. The deviation onsets in each epoch in this study were also in random order. Therefore, the random order of deviation may be the one reason that signal flow towards to frontal area.

#### 4.1.1.2. Midline occipital area

In midline occipital area, it received signal flows in each stage from alert to drowsy, beside that, the number of connection was the most in drowsiest stage in grouping data and the strength was the strongest in single subject data. In study of Huang et al. [78], during each drift event by simulated driving, BOLD signals were activated in visual component located in occipital area. It may cause the signal flows sending to occipital area in alert stage in our study. However, the signal flow weaken in stage 3 and strengthened in the drowsiest stage may demonstrate by subject's unconsciousness. In other sustained attention task study, the tonic brain activities in occipital cortex have been shown to reflect fluctuations of drowsiness level [72 79 80]. The broadband power of alpha and theta bands increased in visual component as the RT or error increased [26 53 73], and the power spectrum was consistent in midline occipital area shown in Figure 21. The EEG change in previous study was corresponded with our brain network change. Therefore those signal flow from other components may cause the alpha and theta bands increasing in occipital cortex in subject's drowsy stage.

#### 4.1.2. Criterion of drowsiness occurring

For the similar involving components and connections, stage 1 and 2 seemed liked to be the same consciousness. The transient of brain networks occurred in stage 3, the concentration shifted to posterior brain region. And the

last stage, drowsiest stage, basically followed the previous stage, but sent to midline occipital area with more signal flows. Therefore, we speculated the criterion of drowsiness occurring from alert to strong drowsiness might be stage 3 closed to  $RT = 1.5 \sim 2.5$  seconds.

## 4.2. The difference of brain networks in motion and motionless condition

The kinesthetic stimulation was an extra input in motion condition, and we demonstrated the difference from the extra stimulation between two conditions by brain network. In Figure 24, the inconsistent parts indicated by red lines. It was obvious that the connections and involving components in motion condition were much more than motionless condition. The difference demonstrated the brain networks in real-driving were as not simple as the results of laboratory experiments. Furthermore, the SMA area was concentration of those causal relationships in motion condition in stage 1 ~ 3, but motionless not. And there were many extra connections related to somatomotor area in motion condition It might be consistent with previous study [80] that the kinetic stimulation of driving deviation in alert state would cause negative event-related potential in SMA and Mu blocking in alpha power occurred earlier in motion condition. On the other hand, the kinetic stimulation from z direction [81] and roll rotation [82] would generate negative ERP in Cz channel, which closed to SMA components.

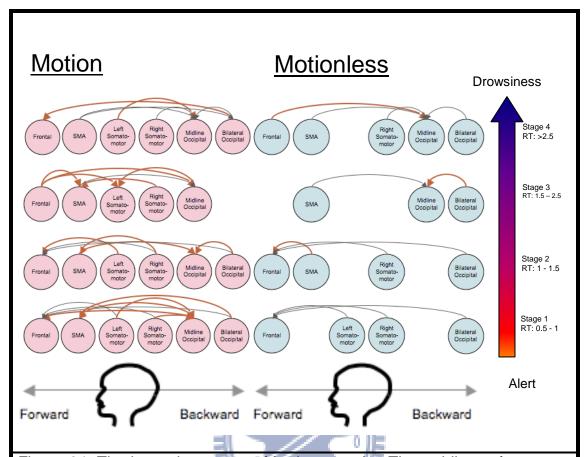


Figure 24: The inconsistent part of brain networks. The red lines of structure emphasized how the kinetic stimulation causing the brain networks from alert to drowsiness: It was obvious that the networks in motion condition were much more complex than motionless. The stimulation from reality would generate more causal relationships in brain. In addition, some connections would be reduced by extra stimulation shown in right structure.

Figure 24. The inconsistent parts of brain networks.

### 5. Conclusion

In this study, we investigated the brain network between components in different drowsiness stage and compared the relationship by motion simulator and motionless simulator. The event-related lane-departure task was used in the VR environment to simulate the long-term high way driving and the task-related EEG spectral dynamics in terms of tonic changes were analyzed using independent component analysis, Granger causality and time-frequency. Results demonstrated the signal flow of brain network was concentrated intensely on frontal area and mildly on midline occipital area in alertness status, and the strength of signal flow to midline occipital area was increase gradually along with drowsiness level. Furthermore, the kinetic stimulation generated signals sent to visual and motor components in alertness stage. In the future, analyze by Granger causality in frequency domain to figure out further causal relationships, and the EEG feature related to drowsiness may be a new indicator for embedded drowsiness detection system.

#### 6. Future works

## 6.1. Brain networks in frequency domain

In order to further interpret our results, investigating the brain networks in frequency domain or dynamic causal model could be the next step. The two algorithms analyzed the causal relationships between two regions in frequency domain. The brain networks in frequency may help us realize the physiology reason of each causal connection in brain networks.

# 6.2. Application on EEG monitoring embedded system

The results that the brain networks represented the drowsiness level might be applied on our EEG monitoring device for drowsiness detection. Frontal area and midline occipital area might be the channel location for measuring EEG data, and the warning criterion would be the brain networks in stage 3, RT 1.5 ~ 2.5 seconds. When concentration of signal flows was not frontal area, or concentration shifted to midline occipital area, the drivers would receive warning from detection development. Using brain networks for predicting drowsiness could solve that there was not good criterion for warning in previous indicator and it was more reliable to detect by EEG features from two regions.

#### 7. Reference

- [1] J. C. Stutts, J. W. Wilkins, J. S. Osberg, and B. V. Vaughn, "Driver risk factors for sleep-related crashes," *Accident Analysis & Prevention*, vol. 35, pp. 321-331, 2003.
- [2] T. Ranney, E. Mazzae, R. Garrott, and M. J. Goodman, "NHTSA Driver Distraction Research: Past, Present, and Future," 2001.
- [3] J. C. Stutts, D. W. Reinfurt, L. Staplin, and E. A. Rodgman, "The role of driver distraction in traffic crashes": AAA Foundation for Traffic Safety, 2001.
- [4] J. S. Wang, R. R. Knipling, and M. J. Goodman, "The role of driver inattention in crashes: new statistics from the 1995 Crashworthiness Data System," in 40th Annual Proceedings of the Association for the Advancement of Automotive Medicine, Vancouver, British Columbia, 1996, pp. 377-392.
- [5] S. K. L. Lal and A. Craig, "A critical review of the psychophysiology of driver fatigue," *Biological Psychology*, vol. 55, pp. 173-194, 2001.
- [6] M. St John, M. R. Risser, and D. A. Kobus, "Toward a usable closed-loop attention management system: Predicting vigilance from minimal contact head, eye, and EEG measures," *Proceedings of the 2nd Annual Augmented Cognition, San Franciso, CA*, 2006.
- [7] J. A. Horne and L. A. Reyner, "Beneficial effects of an" energy drink" given to sleepy drivers," *Amino Acids*, vol. 20, pp. 83-89, 2001.
- [8] P. Philip, J. Taillard, M. A. Quera-Salva, B. Bioulac, and T. Akerstedt, "Simple reaction time, duration of driving and sleep deprivation in young versus old automobile drivers," *Journal of Sleep research*, vol. 8, pp. 9-14, 1999.
- [9] A. Campagne, T. Pebayle, and A. Muzet, "Correlation between driving errors and vigilance level: influence of the driver's age," *Physiology & behavior*, vol. 80, pp. 515-524, 2004.
- [10] M. Rimini-Doering, T. Altmueller, U. Ladstaetter, and M. Rossmeier, "Effects of lane departure warning on drowsy drivers' performance and state in a simulator," in *PROCEEDINGS* of the Third International Driving Symposium on Human Factors in Driver Assessment, Training and Vehicle Design, 2005.
- [11] E. M. Ayoob, R. Grace, and A. Steinfeld, "Driver-vehicle-interface (DVI) development of a drowsy driver detection and warning system for commercial vehicles," Robotics Institute, Carnegie Mellon University, 2005.
- [12] J. Fukuda, E. Akutsu, and K. Aoki, "Estimation of driver's drowsiness level using interval of steering adjustment for lane keeping," *JSAE Review,* vol. 16, pp. 197-199, 1995.
- [13] R. D. Ogilvie and R. T. Wilkinson, "The detection of sleep onset: Behavioral and physiological convergence," *Psychophysiology,* vol. 21, pp. 510-520, 1984.
- [14] R. D. Ogilvie, "The process of falling asleep," *Sleep Medicine Reviews,* vol. 5, pp. 247-270, 2001.

- [15] C. A. Perez, A. Palma, C. A. Holzmann, and C. Pena, "Face and eye tracking algorithm based on digital image processing," in *Proc. IEEE Int. Conf. Systems, Man, Cybernetics*, 2001, pp. 1178-1183.
- [16] P. P. Caffier, U. Erdmann, and P. Ullsperger, "Experimental evaluation of eye-blink parameters as a drowsiness measure," *European journal of applied physiology*, vol. 89, pp. 319-325, 2003.
- [17] J. A. Stern, D. Boyer, and D. Schroeder, "Blink rate: a possible measure of fatigue: Fatigue," *Human factors*, vol. 36, pp. 285-297, 1994.
- [18] D. F. Dinges, M. Mallis, G. Maislin, and J. W. Powell, "Evaluation of techniques for ocular measurement as an index of fatigue and the basis for alertness management," National Highway Traffic Safety Administration 1998.
- [19] J. C. Popieul, P. Simon, and P. Loslever, "Using driver's head movements evolution as a drowsiness indicator," in *Intelligent Vehicles Symposium*, 2003. Proceedings. IEEE, 2003, pp. 616-621.
- [20] M. Eriksson and N. P. Papanikolopoulos, "Driver fatigue: a vision-based approach to automatic diagnosis," *Transportation Research Part C*, vol. 9, pp. 399-413, 2001.
- [21] Q. Ji and X. Yang, "Real-time eye, gaze, and face pose tracking for monitoring driver vigilance," *Real-Time Imaging*, vol. 8, pp. 357-377, 2002.
- [22] J. Qiang, Z. Zhiwei, and P. Lan, "Real-time nonintrusive monitoring and prediction of driver fatigue," *IEEE Transactions on Vehicular Technology*, vol. 53, pp. 1052- 1068, 2004.
- [23] T. Matsuoka, K. Yokoyama, Y. Mizuno, and K. Takata, "Estimation of Drowsiness While Driving Using Experimentally-derived Time Series of Heart Rate Variability," *Bulletin of Daido Institute of Technology,* vol. 36, pp. 97-100, 2000.
- [24] K. F. Van Orden, T. P. Jung, and S. Makeig, "Combined eye activity measures accurately estimate changes in sustained visual task performance," *Biological psychology*, vol. 52, pp. 221-240, 2000.
- [25] M. A. Schier, "Changes in EEG alpha power during simulated driving: a demonstration," *International Journal of Psychophysiology*, vol. 37, pp. 155-162, 2000.
- [26] C. T. Lin, R. C. Wu, S. F. Liang, W. H. Chao, Y. J. Chen, and T. P. Jung, "EEG-based drowsiness estimation for safety driving using independent component analysis," *IEEE Transactions on Circuits and Systems I: Regular Papers*, vol. 52, pp. 2726-2738, 2005.
- [27] N. R. Pal, C. Y. Chuang, L. W. Ko, C. F. Chao, T. P. Jung, and C. T. Lin, "EEG-based Subject-and Session-independent Drowsiness Detection: An Unsupervised Approach," *EURASIP Journal on Advances in Signal Processing*, 2008.
- [28] J. A. Horne and S. D. Baulk, "Awareness of sleepiness when driving," *Psychophysiology*, vol. 41, pp. 161-165, 2004.
- [29] L. A. Reyner and J. A. Horne, "Early morning driver sleepiness: Effectiveness of 200 mg caffeine," *Psychophysiol.*, vol. 37, pp. 251-256, 2000.

- [30] S. K. L. Lal and A. Craig, "Driver fatigue: electroencephalography and psychological assessment," *Psychophysiology*, vol. 39, pp. 313-321, 2002.
- [31] J.-L. Jeng, "Electroencephalographic spectral changes from alertness to drowsiness in a driving simulator," in *Department of Biological Science and Technology*: National Chiao Tung University, 2007.
- [32] C. T. Lin, R. C. Wu, T. P. Jung, S. F. Liang and T. Y. Huang, "Estimating driving performance based on EEG spectrum analysis," European Association for Signal Processing Journal on Applied Signal Processing, vol 19, pp. 3165-3174, 2005.
- [33] C. T. Lin, Y. C. Chen, T. Y. Hung, T. T. Chiu, L. W. Ko, S. F. Liang, H. Y. Hsieh, S. H. Hsu and J. R. Duann, "Development of wireless brain computer interface with embedded multitask scheduling and its application on real-time driver's drowsiness detection and warning," IE E E Transactions on Biomedical Engineering, vol 55, no. 5, pp. 1582-1591, 2008.
- [34] C. T. Lin, H. Y. Hsieh, S. F. Liang, Y. C. Chen and L. W. Ko, "Development of a wireless embedded brain – computer interface and its application on drowsiness detection and warning," Engineering Psychology and Cognitive Ergonomics, HCII 2007, LNAI 4562, pp. 561-567, 2007
- [35] C. T. Lin, L. W. Ko, I. F. Chung, T. Y. Huang, Y. C. Chen, T. P. Jung and S. F. Liang, "Adaptive EEG-based alertness estimation system by using ICA-based fuzzy neural networks," IEEE Transactions on Circuits and System, vol 53, no. 11, pp. 2469-2476, 2006.
- [36] C. T. Lin, K. L. Lin, L. W. Ko, S. F. Liang, B. C. Kuo and I. F. Chung, "Nonparametric single-trial EEG feature extraction and classification of driver's cognitive responses," European Association for Signal Processing Journal on Advances in Signal Processing, vol 2008, article ID 849040, 2008.
- [37] C. T. Lin, I. F. Chung, L. W. Ko, Y. C. Chen, S. F. Liang and J. R. Duann, "EEG-based assessment f driver cognitive responses in a dynamic virtualreality driving enviroment," IEEE Transactions on Biomedical Engineering, vol 54, no. 7, pp. 1397-1352, 2007.
- [38] N. R. Pal, C. Y. Chuang, L. W. Ko, C. F. Chao, T. P. Jung, S. F. Liang and C. T. Lin, "EEG-based subject- and session-independent drowsiness detection: an unsupervised approach," European Association for Signal Processing Journal on Advances in Signal Processing, vol 2008, article ID 519480, 2008.
- [39] Y. L. Tsai and C. T. Lin, "Real-Time ICA Applied on Wireless Embedded EEG-Based Brain-Computer Interface," Thesis of Department of Electrical and Control Engineering National Chiao Tung University,
- [40] C. T. Lin, "Detecting frontal EEG activities with forehead electrode," Agmented Congnition, HCII 2009, LNAI 5638, pp. 373-379, 2009
- [41] C. T. Lin, L. W. Ko, C. J. Chang, Y. T. Wang, C. H. Chung, F. S. Yang, J. R. Duann, T. P. Jung and J. C. Chiou, "Wearable and wireless brain-

- computer interface and its application," Agmented Congnition, HCII 2009, LNAI 5638, pp. 741-748, 2009
- [42] C. T. Lin, K. C. Huang, C. F. Chao, J. A. Chen, T. W. Chiu, L. W. Ko and T. P. Jung, "Tonic and phasic EEG and behavioral changes induced by arousing feedback," NeuroImage, vol 52, pp. 633-642, 2010.
- [43] C. W. J. Granger, "Investigating causal relations by econometric models and cross-spectral methods," *Econometrica*, vol. 37, pp. 424-438, 1969.
- [44] M. Ding, S. L. Bressler, W. Yang, H. Liang, "Short-window spectral analysis of cortical event-related potentials by adaptive multivariate autoregressive modeling: data preprocessing, model validation, and variability and assessment," *Biological Cybernetics*, vol. 83, pp. 35-45, 2000.
- [45] Y. Chen, G. Rangarajan, J. Feng, M. Ding, "Analyzing multiple nonlinear time series with extended Granger causality," *Applied Physics Letters*, vol. 324, pp. 26-35, 2004.
- [46] W. Hesse, E. Moller, M. Arnold, B. Schack, "The use of time-variate EEG Granger causality for inspecting directed inter-dependencies of neural assemblies," *Journal of Neuroscience Methods*, vol. 124, pp. 27-44, 2003.
- [47] M. Guéguin, "Evidence of functional connectivity between auditory cortical area revealed by amplitude modulation sound processing," *Cerebral Cortes*, vol. 17, pp. 304-313, 2007.
- [48] A. Korzeniewska, "Dynamic of event-related causality in brain electrical activity," *Human Brain Mapping*, vol. 29, pp. 1170-1192, 2008.
- [49] J. R. Duann, "Functional connectivity delineate distinct roles of the inferior frontal cortex and presupplementary motor area in stop signal inhibition," *The Journal of Neuroscience*, vol. 29(32), pp. 10171-10179, 2009.
- [50] fMRI data using vector autoregressive modeling and Granger causality mapping," *Magnetic Resonance Imaging*, vol. 21, pp. 1251-1261, 2003.
- [51] T. Milde, "A new Kalman filter approach for the estimation of high-dimensional time-variant multivariate AR models and its application in analysis of laser-evoked brain potentials," *NeuroImage*, vol. 50, pp. 960-969, 2010.
- [52] E. Moller, B. Schack, M. Arnorld, H. Witte, "Instantaneous multivariate EEG coherence analysis by means of adaptive high-dimensional autoregressive models," *The Journal of Neuroscience*, vol. 105, pp. 143-158, 2001.
- [53] R. S. Huang, T. P. Jung, and S. Makeig, "Multi-scale EEG brain dynamics during sustained attention tasks," 2007.
- [54] C. T. Lin, W. H. Chao, Y. C. Chen, and S. F. Liang, "Adaptive feature extractions in an EEG-based alertness estimation system," 2005.
- [55] C. T. Lin, Y. C. Chen, R. C. Wu, S. F. Liang, and T. Y. Huang, "Assessment of driver's driving performance and alertness using EEG-based fuzzy neural networks," 2005, pp. 152-155.
- [56] C. T. Lin, S. F. Liang, Y. C. Chen, Y. C. Hsu, and L. W. Ko, "Driver's drowsiness estimation by combining EEG signal analysis and ICA-based fuzzy neural networks," 2006, p. 4.

- [57] R. Oostenveld and T. F. Oostendorp, "Validating the boundary element method for forward and inverse EEG computations in the presence of a hole in the skull," *Human brain mapping*, vol. 17, 2002.
- [58] R. Oostenveld and T. F. Oostendorp, "Validating the boundary element method for forward and inverse EEG computations in the presence of a hole in the skull," *Human brain mapping*, vol. 17, 2002.
- [59] A. J. Bell and T. J. Sejnowski, "An information-maximization approach to blind separation and blind deconvolution," *Neural computation*, vol. 7, pp. 1129-1159, 1995.
- [60] P. Comon, "Independent component analysis, a new concept?," *Signal processing*, vol. 36, pp. 287-314, 1994.
- [61] J. Herault and C. Jutten, "Blind separation of sources, part i: An adaptive algorithm based on neuromimetic architecture," *Signal Processing*, vol. 24, pp. 1-10, 1991.
- [62] S. Makeig, A. J. Bell, T. P. Jung, and T. J. Sejnowski, "Independent component analysis of electroencephalographic data," *Human Brain Map*, 2007.
- [63] R. S. Huang, T. P. Jung, J. R. Duann, S. Makeig and M. I. Sereno., "Multiscale BOLD dynamics during simulated driving," *Advances in neural information processing systems*, pp. 145-151, 1996.
- [64] J. Geweke, "Measures of conditional linear dependence and feedback between time series" *Journal of the American statistical association*, vol. 79, pp. 907-915, 1984.
- [65] F. Schwarz, "Estimating the dimension of a model", *Annals of Statistics*, vol. 6, pp. 461-464, 1978.
- [66] R. E. Kalman, "A new approach to linear filtering and prediction problems", Journal of Basic Engineering, vol. 82, pp. 35-45, 1960.
- [67] A. C. Harvey, "Forecasting, structure time series models and the Kalman filter" *Cambridge University Press*, 1990.
- [68] M. Arnold, W. H. R. Witte, H. Bauer, R. Braun, "Adaptive AR modeling of nonstationary time series by means of Kalman filter" *IEEE Transaction on Biomedical Engineering*, vol. 45, pp. 533-562, 1998.
- [69] T. Weiss, W. Hesse, M. Ungureanu, H. Hecht, L. Leistritz, H. Witte, "How do brain area communicate during the processing of noxious stimuli? An analysis of laser-evoked event-relative potential using the Granger causality index", *Journal of Neurophysiology*, vol. 99, pp. 2220-2231, 2008.
- [70] M. Kaminski, "Evaluating causal relations in neural systems: Granger causality, directed transfer function and statistical assessment of significance," *Biological Cybernetics*, vol. 85, pp. 145-157, 2001.
- [71] H. Oya, P. W. F. Poon, J. F. Brugge, R. A. Reale, H. Kawasaki, I. O. Volkov, M. A. Howrd, "Functional connections between auditory cortical fields in humans revealed by Granger causality analysis of intra-cranial evoked potentials to sound: comparison of two methods," *Biosystem,* vol. 89, pp. 198-207, 2007.

- [72] S. Makeig and T. P. Jung, "Tonic, phasic, and transient EEG correlates of auditory awareness in drowsiness," *Cognitive Brain Research*, vol. 4, pp. 15-25, 1996.
- [73] R. S. Huang, T. P. Jung, A. Delorme, and S. Makeig, "Tonic and phasic electroencephalographic dynamics during continuous compensatory tracking," Neurolmage, vol. 39, pp. 1896-1909, 2008.
- [74] A. Delorme, and S. Makeig, "EEGLAB: an Open Source Toolbox for Analysis of Single-Trial EEG Dynamics Including Independent Component Analysis," Journal of Neuroscience Methods, vol 134, Issue 1, pp. 9-21, 2004.
- [75] L. I. Aftanas, and S. A. Golocheikine, "Human anterior and frontal midline theta and lower alpha reflect emotionally positive state and internalized attention: high=resolution EEG investigation of meditation," Neuroscience Letters, vol 310, pp. 57-60, 2001.
- [76] A. J. Szameitat, J. Lepsien, D. Y. von Cramon, A. Sterr and T. Schubert, "Task-order coordination in dual-task performance and the lateral prefrontal cortex: an event-related fMRI study," Psychological Research, vol 70, pp. 541-552, 2006.
- [77] C. Stelzel, E. H. Schumacher, T. Schubert, and M. D'Esposito, "The neural effect of stimulus-response modality compatibility on dual-task performance: an fMRI study," 13th Annual Meeting of the Organization for Human Brain Mapping, Chicago, Illinois., 2007.
- [78] R. S. Huang, T. P. Jung, J. R. Duann, S. Makeig and M. I. Sereno, "Multiscale BOLD dynamics during simulated driving," Psychological Research, vol 70, pp. 514-525, 2006.
- [79] S. Makeig, T. P. Jung and T. J. Sejnowski, "Awareness during droesiness: dynamics and electrophysiological correlates," Canadian Journal of Experimental Psychology, vol 54, no. 4, pp. 266-273, 2000.
- [80] R. S. Huang, T. P. Jung, and S. Makeig, "Analyzing event-related brain dynamics in continuous compensatory tracjing task," in Proc. The 27<sup>th</sup> Annual International Conference of the IEEE Engineering in Medicine and Biology Society, Shanghha, China, 2005.
- [81] L. S. Hsiao and C. T. Lin, "Analyzing event-related brain dynamics in continuous compensatory tracjing task," Thesis of Department of Electrical and Control Engineering National Chiao Tung University, 2006.
- [82] P. M. Baudommiere, S. Belkhenchir, J. C. Lepecq, and S. Mertz, "Otolithvestibular-evoked potentials in humans. Intensity, direction of acceleration (Z+, Z-), and BESA modeling of generators," Ann NY Acad S ci, vol817, 384-386, 1999.
- [83] R. Loose, T. Probst, O. Tucha, E. Bablok, S. Aschenbrenner and K. W. Lange, "Vestibular evoked potentials from the vertical semicircular canals in humans evoked by roll-axis rotation in microgravity and under 1-G,"Behavior Brain Research, vol134, pp.131-137, 2002.