# 國 立 交 通 大 學 電信工程學系

## 碩士論文

應用於無線區網的低溫陶瓷共燒寬頻混頻器 與低溫陶瓷共燒濾波器



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#### 中華民國 九十三 年 六 月

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## LTCC Broadband Mixer and LTCC Filters for Wireless LAN Applications

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A Thesis

Submitted to Institute of Communication Engineering College of Electrical Engineering and Computer Science National Chiao Tung University In Partial Fulfillment of the Requirements for the Degree of Master of Science In **Communication Engineering** 

June 2004

Hsinchu, Taiwan, Republic of China

中華民國 九十三 年 六 月

#### 應用於無線區網的低溫陶瓷共燒寬頻混頻器與低溫

#### 陶瓷共燒濾波器

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#### 摘要

ATTILLE,

在本論文中,將呈現利用低溫陶瓷共燒技術實現寬頻混波器與三 階交錯耦合梳形濾波器及利用多層結構實現 Marchand 平衡轉換器於 無線網路 IEEE802.11a (5.2GHz, 5.7GHz) and 802.11b (2.4GHz)應用的 研發.

由於多層結構 Marchand 平衡轉換器的相速度不相等,造成輸出端的訊號在強度與相位上更不平衡,探討 Marchand 平衡轉換器的相速度補償且藉由補償的方式改善其效能且相當的卓著。

當實現低溫陶瓷共燒寬頻混波器時,由於螺旋型態的夾層耦合帶線,亦會造成相速度的不相等,因此,藉由補償的方式使得混頻器更 加寬頻。

最後,設計在低於通帶端有一傳輸零點的三階交錯耦合梳形濾波器,使得在低止帶處有較高的衰減量,並抑制所有的低頻訊號,另外, 其相當寬的上止帶與位於上止帶的零點,更能抑制二倍頻與三倍頻的 訊號。

I

## LTCC Broadband Mixer and LTCC filters for Wireless **LAN Applications**

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This thesis presents the development of the broadband mixer and the three-pole combline filter with cross-coupling using Low temperature cofired ceramics (LTCC) technology and the Marchand balun using the multilayer structure for IEEE802.11a (5.2GHz, 5.7GHz) and 802.11b (2.4GHz) wireless LAN applications.

The difference in phase velocity of the Marchand balun in multilayer structure makes the amplitude and phase more unbalanced at output ports. Compensating the difference in phase velocity of the Marchand balun is studied and it can improve the performance of the Marchand balun significantly.

When implementing LTCC broadband mixer, the difference in phase velocity also can be found due to the spiral broadside coupled stripline. Therefore, compensation in phase velocity increases the bandwidth of the mixer.

Finally, the design of the three-pole combline filter with cross-coupling with the characteristic of having transmission zeros at the low-side skirt can generate a high attenuation rate and suppress all the lower stopband signals. Beside, the filter with broad stopband and transmission zeros at the high-side skirt can suppress the second harmonic and third harmonic.

## Acknowledgment

#### 誌謝

能夠完成此論文,首先,要感謝指導教授張志揚博士在這兩年求學生 涯中,給予指導與鼓勵,不僅讓我在微波領域知識獲益良多,也在研究方 式與態度有所增長。同時也要感謝口試委員楊正任博士、邱煥凱博士及周 復芳博士的不吝指導,使得此篇論文更為完善。另外,也要感謝謝華新科 技全力協助完成 LTCC 電路的製作。

感謝實驗室的學長及同窗好友牧榮、澤民、俊毅、湘竹和實驗室的學 弟妹們,讓實驗室不再是刻板印象中毫無生氣的實驗室,而是充滿生機的 實驗室,使我的研究生活添加不少的樂趣。當然,最後要感謝陪我一路走 來的家人,你們毫無保留的付出,讓我無後顧之憂地生活與求學,給我最 大的支持與鼓勵,我能有現今的一切,都是你們的功勞。

最後,將此論文獻給我最愛的父親以及母親。

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#### **Chapter 1** Introduction

In recent years, the wireless communication market has experienced explosive growth. There is increasing demand to make portable communication systems lighter, more compact, and better functionality. The ceramic multilayer substrate technology such as LTCC (Low temperature cofired ceramics) enables the creation of monolithic, three-dimensional, cost-effective microwave circuits and modules [1-5]. Monolithic LTCC structures incorporating buried components and surface-mounted components allow increased design flexibility by providing a mechanism for establishing microstrip, stripline, coplanar waveguide and DC lines within the same medium. Additionally, the reduced weight of LTCC packages and the low loss characteristics of the dielectric and conductors make LTCC an ideal candidate for high performance commercial and military electronic systems. Integrated passive components such as filters, couplers, baluns and impedance transformers are usually based on transmission line sections of quarter wavelengths. Hence, the sizes of these circuits are large at low frequencies.

Today's wireless telecommunication for dual-band applications such as IEEE802.11a (5.2GHz, 5.7GHz) and 802.11b (2.4GHz) wireless LAN have increased rapidly. The broadband characteristic of the balun has the potential to provide various wide-band applications such as broadband mixer [6-14]. Recently, monolithic Marchand baluns have been revisited and shown to be feasible in wireless communication applications. However, they suffer from high amplitude and phase unbalance at output ports.

Figure 1-1 shows the balanced diplexer module that will be implemented and integrated by LTCC technology for wireless local area network (LAN) applications.

The diplexer module will meet the specifications of IEEE 802.11a(5.2GHz > 5.7GHz) and 802.11b(2.4GHz) wireless LAN. In the wireless LAN applications, the image signal needs to be highly attenuated to maintain the high quality signal received from the antenna. Moreover, the leakages of harmonics from the transmitted circuit appearing in the received circuit must be suppressed. Using the technique of cross-coupling to produce transmission zeros, the rejection below the passband is increased. This can reduce the number of resonating elements required to meet a specification and this, in turn, reduces the insertion loss, size, manufacturing cost of the design and tuning time. Therefore, the filter with the characteristic of having transmission zeros at the low-side skirt can generate a high attenuation rate and suppress all the lower stopband signals. The filter with broad stopband and transmission zeros at the high-side skirt can suppress the second harmonic and third harmonic.

The SIR (Stepped Impedance Resonators) filter [15] and combline filter [3, 16-20] have the characteristic of broad stopband due to the destruction of the periods of resonators. However, the combline filter has more compact size than the SIR filter. Therefore, it has been widely used in wireless communication systems.



Figure 1-1 LTCC balanced diplexer module for wireless LAN applications

Specification	5GHz band	2.4GHz band
Frequency band	4.9~5.9GHz	2.4~2.5GHz
Input impedance	50 ohm	50 ohm
Output impedance	50 ohm	50 ohm
BPFs rejection	30dBc @ 0.5GHz~4GHz	30dBc @0.88GHz~1.785GHz
	20dBc @ 4GHz~4.5GHz	35dBc @ 1.85GHz~1.91GHz
	30dBc @ 9.8GHz~11.8GHz	30dBc @ 2.1G
	20dBc @ 14.7GHz~17.7GHz	30dBc @ 4.8GHz~5GHz
		20dBc @ 7.2GHz~7.5GHz

Table 1.1 Specifications of the filters for wireless LAN

In Chapter 2, the Marchand balun has been implemented by two substrates. However, it suffers from high amplitude and phase unbalance at output ports. Adding a short transmission line between two microstrip broadside coupler to compensate the difference between even mode and odd mode phase velocity is proposed.

In Chapter 3, we will develop the broadband double-balanced mixer with LTCC technology. The ceramic substrate of the LTCC has dielectric constant of 7.8. To achieve broad bandwidth in designing the double-balanced mixer, broadband baluns are the key components in the mixer. In this design, using spiral broadside coupled stripline to implement the Marchand balun has more compact size. However, the same phenomenon can be found in the spiral broadside coupled stripline discussed in Chapter 2. Therefore, we also compensated the even and odd mode phase velocities with a transmission line.

In Chapter 4, the three-pole combline filter with cross-coupling is proposed. Table 1.1 shows the specifications of the filter in Figure 1-1. The LTCC dielectric constant is 33 and each layer thickness is 1.2mil. Therefore, we can minimize the circuit as small as possible. In Chapter 5, the substrate and the shielding box effects for the three-pole combline filter with cross-coupling are discussed.

Chapter 6 is the conclusion.



## Chapter 2 Compensated Marchand balun

Baluns are key components in balanced circuit topologies such as doublebalanced mixers, push-pull amplifiers, frequency doublers, antenna feed networks and phase shifters. The word balun is an acronym for <u>bal</u>anced-to-<u>un</u>balanced converter, and the function is employed to change an unbalanced signal to a balanced signal with equal potential but opposite polarity. The four-port passive circuits, such as rat-race hybrids and waveguide magic tees, can be used as baluns. Major limitations of these components are their narrow bandwidths and the lack of a method for center-tap grounding. Most coupled lines based baluns require a high even mode to odd mode impedances ratio, one order of magnitude or more. This results in good balance and reasonable bandwidth. The Marchand balun has a better bandwidth and more balanced outputs than the coupled line baluns because the smaller difference between the even and odd mode impedance compared with what is needed for the coupled line balun case. Proper selection of balun parameters can achieve a bandwidth of more than 10:1.

The Marchand balun is perhaps one of the most attractive due to its planar structure and wide-band performance [6-7]. Beside, multilayer configurations make MIC/MMIC more compact and can exhibit wide bandwidths due to tight coupling in coupled line baluns [8-14].

#### 2.1 Analysis of the Marchand balun

The Marchand balun is a derivative of one of the first balun configurations that was physically realized in a coaxial configuration as shown in Figure 2.1-1(a). The equivalent transmission line model for the Marchand balun is shown in Figure 2.1-1(b).





Figure 2.1-1 Marchand balun (a) Coaxial cross section (b) Equivalent transmission line model

The Marchand balun basically consists of an unbalanced, an open-circuited, two short circuited, and balanced transmission line sections as shown in figure 2.1-2. Each section is about a quarter-wavelength long at the center frequency of operation. The Marchand balun consists of two coupled sections, which may be realized using microstrip-coupled lines, Lange couplers, multilayer coupled structures, or spiral coils.



Figure 2.1-2 Basic logic of the Marchand balun



Figure 2.1-3 Schematic of the symmetrical Marchand balun as two identical

Figure 2.1-3 shows the schematic of the Marchand balun as two identical couplers. For symmetrical baluns, the scattering matrix of the balun can be derived from the scattering matrix of two identical couplers. The unbalanced input impedance is  $Z_0$  and the balanced output impedance is  $Z_1$ . If the source impedance and load impedances are equal to  $Z_0$ , the scattering matrix for ideal couplers with infinite directivity and coupling factor C is given by

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$$[S]_{coupler} = \begin{bmatrix} 0 & C & -j\sqrt{1-C^2} & 0 \\ C & 0 & 0 & -j\sqrt{1-C^2} \\ -j\sqrt{1-C^2} & 0 & 0 & C \\ 0 & -j\sqrt{1-C^2} & C & 0 \end{bmatrix}$$
(2.1)

Then, the S-parameters of the balun in Figure 2.1-3 are then given by (2.2) from [6].

$$[S]_{balun} = \begin{bmatrix} \frac{1-C^{2}\left(\frac{2Z_{1}}{Z_{0}}+1\right)}{1+C^{2}\left(\frac{2Z_{1}}{Z_{0}}-1\right)} & j\frac{2C\sqrt{1-C^{2}}\sqrt{\frac{Z_{1}}{Z_{0}}}}{1+C^{2}\left(\frac{2Z_{1}}{Z_{0}}-1\right)} & -j\frac{2C\sqrt{1-C^{2}}\sqrt{\frac{Z_{1}}{Z_{0}}}}{1+C^{2}\left(\frac{2Z_{1}}{Z_{0}}-1\right)} \\ \frac{2C\sqrt{1-C^{2}}\sqrt{\frac{Z_{1}}{Z_{0}}}}{1+C^{2}\left(\frac{2Z_{1}}{Z_{0}}-1\right)} & \frac{1-C^{2}}{1+C^{2}\left(\frac{2Z_{1}}{Z_{0}}-1\right)} & j\frac{2C^{2}\sqrt{\frac{Z_{1}}{Z_{0}}}}{1+C^{2}\left(\frac{2Z_{1}}{Z_{0}}-1\right)} \\ -\frac{2C\sqrt{1-C^{2}}\sqrt{\frac{Z_{1}}{Z_{0}}}}{1+C^{2}\left(\frac{Z_{1}}{Z_{0}}-1\right)} & j\frac{2C\sqrt{\frac{Z_{1}}{Z_{0}}}}{1+C^{2}\left(\frac{Z_{1}}{Z_{0}}-1\right)} & \frac{1-C^{2}}{1+C^{2}\left(\frac{Z_{1}}{Z_{0}}-1\right)} \end{bmatrix}$$

$$(2.2)$$

Equation (2.2) shows that the use of identical coupled sections results in balun outputs of equal amplitude and opposite phase, regardless of the coupling factor and port terminations. To achieve optimum power transfer of -3dB to balanced port, we require

$$|S_{21}| = |S_{31}| = 1/\sqrt{2}$$
(2.3)

With (2.2) and (2.3), the required coupling factor for optimum balun performance is give by

$$C = \frac{1}{\sqrt{\frac{2Z_1}{Z_o} + 1}}$$
(2.4)

With equation (2.4), we can design the Marchand balun by determining one of two variables and the other can be obtained. If we choose all the ports are terminated with  $50\Omega$ , the required coupling factor is -4.8dB. Then, the coupled line will be designed to meet the required coupling factor.

#### 2.2 Realization of the Marchand balun

To increase the even mode and odd mode impedance ratio, we implemented the Marchand balun with microstrip broadside couplers. The Marchand balun was fabricated using Rogers 4003( $\varepsilon_r$ =3.38) with multilayer structures. The substrate consists of 2 layers, the lower layer of 20mil and the upper layer of 8mil as shown in Figure 2.2-1(b). Figure 2.2-1 shows the side view and the top view of the implemented Marchand balun. The dimensions for the various line sections are input line A has a 16 mil line-width and is 447 mil long. The line width, length for the open-circuited line B and short-circuited lines C are 24 mil, 447 mil and 30 mil, 447 mil, respectively. The unbalanced input impedance is 50 $\Omega$  and the balanced output impedance is 50 $\Omega$ .



Figure 2.2-1 Realization of the Marchand balun (a) top view of the Marchand balun (b) side view

Figure 2.2-2(a) shows the equivalent transmission line model of the Marchand balun in Figure 2.2-1(a). Corresponding values of the transmission line model are:

- $Zo = 50\Omega$  $Z_1 = 58.18\Omega$  $Z_2 = 42.7\Omega$
- $Z_{s1} = Z_{s2} = 64 \,\Omega$
- $Z_L = 100\Omega$



Figure 2.2-2(a) Equivalent circuit in this design (b) Return loss of the transmission line model in (a)



Figure 2.2-3 Simulated results of the Marchand balun



Figure 2.2-4 Simulated results of the amplitude unbalance and the phase unbalance

The balun has been simulated on a full-wave EM simulator (Sonnet). Figure 2.2-3 and Figure 2.2-4 show the amplitude unbalance at balanced output ports is within 1dB,and the phase unbalance at balanced output ports is less than 10° over the frequency range of 1.6 to 4.8GHz where  $|S_{11}| < -10$ dB.

2.3 Realization of the compensated Marchand balun with a short transmission-line

From the simulated results in Figure 2.2-3 and Figure 2.2-4, the phase and amplitude balance of the Marchand balun are poor. The main reason for the poor balance is the difference between even mode and odd mode phase velocity (Stringently speaking, the normal mode of the broadside microstrip coupler are c mode and  $\pi$  mode). However, the results of the even and odd mode excited method meet the coupling of the couplers. Beside, several papers [2,8] also simplify c mode and  $\pi$  mode as even mode and odd mode. Therefore, we explain the mechanism of the Marchand balun with even and odd mode. The coupled electrical length  $\theta$ , even mode transmission phase  $\theta_e$  and odd mode transmission phase  $\theta_o$  are given by

$$\theta = (\theta_e + \theta_o)/2 \tag{2.5}$$

$$\theta_e = \omega L / V_p^{\ e} \tag{2.6}$$

$$\theta_o = \omega L / V_p^{o}$$
(2.7)

where  $\omega$  is the operation frequency, L is the physical length of coupled line,  $V_p^{e}$  and  $V_p^{o}$  are the even mode and odd mode phase velocities, respectively. For the microstrip broadside structure, because the even mode phase velocity is always faster than odd mode phase velocity,  $\theta_o$  is always larger than  $\theta_e$  for all frequencies as shown in Figure 2.3-1. Therefore, the difference between the even mode and odd mode phase velocity degrades the bandwidth of the Marchand balun.

Compensation for the difference in normal mode phase velocity in the broadside-coupled structure is required. Adding capacitors at four ends of the couplers in [2] is effective in improving the difference between the even mode and odd mode phase velocity. But it needs too many capacitors for compensation. Therefore, compensation is accomplished by connecting a short transmission line to a pair of couplers. The short transmission line acts like placing the capacitor to ground (see Figure 2.3-2), instead of between the plates, would have the effect of "slowing down" the even mode phase velocity. As shown in Figure 2.3-1, the dot lines are the transmission phases of the even mode and odd mode in the uncompensated coupler and the solid lines are the transmission phases of the even mode and odd mode and odd mode in the compensated coupler. Adding the capacitor in one end is effectively in improving the difference between the even mode and odd mode phase velocity.



Figure 2.3-1 Even mode and odd mode transmission phase vs. frequency



Figure 2.3-2 Circuit diagram of proposed topology

The Marchand balun can be modified as shown in Figure 2.3-3. We add the short

transmission line between two couplers. The dimensions of the modified Marchand balun and the Marchand balun in Figure 2.2-1 are the same except the middle transmission line. Figure 2.3-4 shows the proposed 3-D structure of the Marchand balun.



Figure 2.3-3 Top view of the compensated Marchand balun with a short transmission line



Figure 2.3-4 3-D structure of the proposed Marchand balun



Figure 2.3-5 Operational bandwidth of the compensated Marchand balun.

Figure 2.3-5 indicates the operational frequency of the compensated Marchand balun as a function of transmission line impedance. The bandwidth is defined as the frequency range yielding the amplitude difference within 1dB, the phase unbalance within 10° and  $|S_{11}| < -10$  dB. These difference result in more than 20-dB suppression of the undesired signal when the balun is in balanced mixers. The bandwidth increases when Z<sub>0</sub> decrease and is maximum at line impedances from 58 to 46  $\Omega$  for the line length of 65mil. Lower line impedances degrade the bandwidth because the amplitude and phase differences caused by the added transmission line are too large to compensate for the differences of the Marchand balun.



Figure 2.3-6 Amplitude unbalance with various transmission line impedances



Figure 2.3-7 Phase unbalance with various transmission line impedances

Figure 2.3-6 and Figure 2.3-7 show that the amplitude unbalance and phase unbalance with various transmission line impedances. Although the amplitude unbalance is within 1dB and phase unbalance is less than 5° over the frequency range of 1.6 to 6.21Hz at the line impedances from 58 to 46  $\Omega$ , the optimum line impedance

can obtained from Figure 2.3-6 and Figure 2.3-7. The optimum line impedance is about 50 $\Omega$  for the line length of 65mil. Therefore, we choose the short transmission line (65 mil×65 mil) as our design between two couplers. The simulated responses of the modified Marchand balun are shown in Figure 2.3-8, Figure 2.3-9 and Figure 2.3-10.



Figure 2.3-9 Simulated phase responses of the Marchand balun



Figure 2.3-10 Simulated results of the amplitude unbalance and the phase unbalance



Figure 2.3-11 Simulated results of the amplitude unbalance and the phase unbalance for compensated and uncompensated Marchand balun

From the simulated results shown in Figure 2.3-8, the  $S_{11}$  is less than -10dB in the range of 1.6 to 6.1GHz. The differences of the amplitude and phase between the balanced output ports are shown in Figure 2.3-10. The amplitude unbalance at

balanced output ports is within 0.5 dB, and the phase unbalance at balanced output ports is less than 3° over the frequency range of 1.6 to 6.1GHz where  $|S_{11}| < -10$ dB. Figure 2.3-11 shows the noticeable improvement in the amplitude balance and phase balance for the Marchand balun with the optimum compensated transmission line.

#### 2.4 Fabrication of the modified Marchand balun

Figure 2.4-1 shows the photograph of the fabricated balun. We add the short transmission line (65 mil $\times$ 65 mil) between two couplers. To implement the two-layer configuration, we use the plastics screws that have seldom effects to the circuit to fix the two substrates.



Figure 2.4-1 Photograph of fabricated balun



Figure 2.4-2 Measured responses of the Marchand balun



Figure 2.4-3 Measured phase responses of the Marchand balun



Figure 2.4-4 Measured results of the amplitude unbalance and the phase unbalance

From the measured results shown in Figure 2.4-2, the  $S_{11}$  is less than -10dB in the range of 1.9 to 6.2GHz. The differences of the amplitude and phase between the balanced output ports are shown in Figure 2.4-4. The amplitude unbalance at balanced output ports is within 1dB, and the phase unbalance at balanced output ports is less than 5° over the frequency range of 1.6 to 6.2 GHz where  $|S_{11}| < -10$ dB. In Figure 2.3-9 and Figure 2.4-3, the output signals of measured phase responses have more periods than simulated phase responses. This is because the output transmission lines and the SMA connectors are neglected in EM simulation. These neglected components contribute the electrical length for output signals. Hence, the fabricated balun has more periods.

### **Chapter 3**

### **Broadband LTCC Doubly Balanced Mixer**

Figure 3.2-1 shows a double-balanced ring mixer. It consists of two transformers and a ring of identical diodes. The advantages over the double-balanced mixer are inherent isolation between all port, rejection of LO noise and spurious signals, rejection of spurious responses and certain intermodulation products, and extremely broadband operation. The disadvantages are the need for four diodes and two hybrids, greater LO power requirements, and generally higher conversion loss than single-diode or singly balanced mixers.

#### 3.1 The property of the Schottky diode

The Schottky diode is a non-linear device. The equivalent circuit and I-V curve can be expressed in Figure 3.1-1. It consists of capacitor C(v), resistor R(v) as a function of the voltage and a fixed series resistor Rs. The non-linear characteristic of R(v) is used in designing mixer. When pumping the Schottky diode with greater local oscillator (LO) power, the signal would be rectified (only the positive cycle can turn on the diode). Hence, the diode current  $i_{LO}(t)$  and conductance waveform  $g_{LO}(t)$  is shown as Figure 3.1-2. The Fourier series expansion of  $g_{LO}(t)$  can be expressed as following.

$$g_{LO}(t) = \sum_{n=-\infty}^{\infty} g_n e^{j n w_{LO} t}$$
(3.1)

Because the non-linear characteristic of the diode, the diode involves harmonics of RF frequency when RF signal is applied to the diode at the same time. The Fourier

series expansion of the RF voltage can be given by

$$V_{RF}(t) = \sum_{m=-\infty}^{\infty} V_m e^{j m w_{RF} t}$$
(3.2)

Hence, the current  $i_{d}$  on the diode produced by  $V_{\text{RF}}$  and  $g_{\text{LO}}$  can be expressed as following.

$$\dot{i}_{d}(t) = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} g_{n} V_{m} e^{j(m w_{RF} + n w_{LO})t}$$
(3.3)

The diode current id involves all intermodulation products of the RF frequency and LO frequency. The terms which (m,n) equals (1,-1) or (-1,1) are the desired signals(IF signals). The low pass filter can eliminate all other higher order terms.



(a) The equivalent circuit of the diode (b) I-V curve of the diode Figure 3.1-1 Equivalent circuit and I-V curve of a diode


#### 3.2 Analysis of double-balanced ring mixer



Figure 3.2-1 Analysis of double-balanced ring mixer



Figure 3.2-2 Voltage and current waveform of the diodes

- (a) LO voltage and current (index:n)
- (b) RF voltage and current (index:m)

The RF signal is applied to the primary of one transformer, and the LO is applied to the primary of the other. The center tap of the LO transformer's secondary is grounded, and the center tap of the RF secondary serves as the IF output. (In theory, the LO center tap could be used for the IF output, but the LO-to-IF isolation, which is usually more critical than the RF-to-IF isolation. This is because LO signal power is larger than RF signal power. In general, the LO signal power for double balanced mixer is above 10dBm.) If two identical loads are connected in series across the entire transformer's secondary as shown in Figure 3.1-1, their connection point is also a virtual ground. In Figure 3.1-1 the points A and A' are virtual grounds for the LO signals, and B and B' are virtual grounds for the RF signals. Since the RF transformer's secondary is connected to the LO virtual-ground nodes and the LO transformer's secondary is connected to the RF virtual grounds. Therefore, the LO-to-RF isolation is theoretically infinite. Also, one can ignore the RF transformer while examining the LO circuit, and vice versa.

When LO power is applied, an AC LO voltage is applied to the nodes B and B'. When B is positive and B' is negative, the diodes D1 and D2 are turned on. D3 and D4 are reverse biased. They are reverse biased by a voltage equal to the forward turn-on voltage of the other pair, and enough to make them effectively open circuits. In the next half-cycle of LO voltage, D3 and D4 are turned on and D1 and D2 are off. Figure 3.1-2(a) indicates the results when LO power is applied. When RF power is applied, the results can be seen in Figure 3.1-2 (b). For harmonics (n) of LO signal and harmonics (m) of RF signal, the relationship of current between each diode in Figure 3.2-1 can be expressed as follow:

 $i_{d1}$ : reference current (3.4)

$$i_{d2} = (-1)^m i_{d1} \tag{3.5}$$

$$i_{d3} = (-1)^n (-1)^m i_{d1}$$
(3.6)

$$\dot{i}_{d4} = (-1)^n \dot{i}_{d1} \tag{3.7}$$

Therefore, the IF output currents  $i_{if1}$  and  $i_{if2}$  can be expressed as follow

$$i_{if1} = i_{d1} - i_{d2} = \left[1 - \left(-1\right)^m\right] i_{d1}$$
(3.8)

$$i_{if2} = i_{d3} - i_{d4} = \left[ \left( -1 \right)^{n+m} - \left( -1 \right)^n \right] i_{d1}$$
(3.9)

When (m,n)=(1,-1),  $i_{if1}=i_{if2}=2i_{d1}$ . The total IF output current  $i_{if}$  is  $4i_{d1}$  which can be obtained in the sum port of the transformer. When m is even or n is even,  $i_{if1}$  and  $i_{if2}$  are zero. Therefore, the double-balanced mixer has no spurious responses that involve the even harmonics.

#### 3.3 Realization of the Broadband LTCC double-balanced mixer

To implement the broadband LTCC double-balanced mixer, the broadband transformers discussed in Chapter 2 are the key components. The Marchand balun, which has several versions, is the most commonly used component in broadband double-balanced mixer. Tight coupling can be obtained by placing the coupled line in a broadside manner and by using spiral-type coupled lines, the size of quarter-wave line based integrated passive components is decreased. As a result, the required surface area could be reduced. In addition, the spiral-type coupled lines contribute to the minimization of the thickness of the substrate. Therefore, spiral broadside coupled stripline structure achieves required characteristic impedance with thinner dielectric thickness than broadside coupled stripline structure as shown in Figure 3.3-2.

Because of the increasing magnetic flux, the spiral-type transmission line has a larger inductor value than the straight-type transmission line for the same line length. Therefore, the spiral broadside coupled stripline has larger even mode impedance than straight broadside coupled stripline. Figure 3.3-1 shows the lumped element equivalent circuit of symmetrical coupler. Equation (3.10) and (3.11) are the formulas

of the even and odd mode impedance for symmetrical coupler.



Figure 3.3-1 Lump element equivalent circuit of the symmetrical coupler

$$Zoe = \sqrt{\frac{L_1 + L_m}{C_1 - C_m}}$$
(3.10)

$$Zoo = \sqrt{\frac{L_1 - L_m}{C_1 + C_m}}$$
(3.11)

Dimension	BCS	SBCS
<b>S</b> [ µm ]	74	74
<b>Β</b> [ μm ]	1588	888

Table 3.1 Dimension comparison between BCS and SBCS

Table 3.1 shows the dimension comparison between BCS and SBCS. It indicates that the same coupling (-3.5dB) can be achieved by using spiral broadside coupled stripline with minimum thickness of the substrate. Figure 3.3-2 shows the implemented Marchand balun that consists two identical broadside coupled stripline having 2 turns and fabricated with LTCC technology using conductor linewidth of 100  $\mu$  m and gap of 180  $\mu$  m. The ceramic substrate of the LTCC has the dielectric constant of 7.8 and the coupled-line ground plane spacing of 888  $\mu$ m as shown in Figure 3.3-3(b). In this spiral broadside coupled stripline, the gap and the linewidth ratio is about 2:1. From the EM simulated results in the spiral broadside coupled stripline, the even mode phase velocity is faster than odd mode phase velocity. As discussed in Chapter 2, we can add a short compensated transmission line (900 $\mu$ m ×100 $\mu$ m) to slow down the even mode phase velocity as shown in Figure 3.3-2 (a). Furthermore, the compensated technique can decrease the coupled line segments. The coupling between adjacent coupled line segments will degrade the bandwidth of the Marchand balun.





Figure 3.3-2 LTCC Marchand balun (a) Top view of the LTCC Marchand balun (b) Side view of the Marchand balun



Figure 3.3-3 3D structure of the LTCC Marchand balun

Figure 3.3-3 shows the 3D structure of the LTCC Marchand balun that is implemented by two spiral broadside coupled stripline. Two spiral broadside coupled

stripline in the same plane not only increases the even mode and odd mode ratio, but also reduces the layers of the LTCC mixer. Therefore, the lower cost can be obtained. For straight broadside-coupled stripline with linewidth of  $100 \,\mu m$  and gap between two couplers of  $74 \,\mu m$ , the even mode and odd mode impedance are  $96\Omega$  and  $24\Omega$ , respectively. For spiral broadside coupled stripline shown in Figure 3.3-2, the even mode and odd mode impedance are about  $135\Omega$  and  $27\Omega$ , respectively.



Figure 3.3-4 Simulated results of the Marchand balun

The simulated results of the LTCC Marchand balun was obtained using EM simulator (Sonnet). The unbalanced input impedance is  $50\Omega$  and the balanced output impedance is  $70\Omega$ . Figure 3.3-4 shows that the S<sub>11</sub> is less than –10dB in the range of 2.3 to 6.15GHz. The differences of the amplitude and phase between the balanced

output ports are shown in Figure 3.3-5. The amplitude imbalance at balanced output ports is within 1dB, and the phase imbalance at balanced output ports is less than  $10^{\circ}$  over the frequency range of 2.3 to 6.15GHz where  $|S_{11}| < -10$ dB.



Figure 3.3-5 Simulated results of the amplitude unbalance and the phase unbalance

Figure 3.3-7 shows the  $S_{11}$  is less than -10dB in the range of 2.5 to 6.6GHz. The differences of the amplitude and phase between the balanced output ports are shown in Figure 3.3-8. The amplitude imbalance at balanced output ports is within 1dB, and the phase imbalance at balanced output ports is less than 10° over the frequency range of 2.5 to 6.15GHz where  $|S_{11}| < -10$ dB. The capacitors serve as the lowpass filter for IF signals and the high pass filter for RF signals. The IF signals can be obtained as shown in Figure 3.3-6. The performance of the Marchand balun was degraded by the capacitors.



Figure 3.3-6 Schematic of the Marchand balun with IF output



Figure 3.3-7 Simulated results of the Marchand balun



Figure 3.3-8 Simulated results of the amplitude unbalance and the phase unbalance

Designing the optimum capacitors is extremely important for the IF signal. When the capacitor value is too large, it will degrade the conversion loss of the higher IF frequencies. When the capacitor value is too small, two short-circuited section aren't perfect grounding at the RF frequencies. Therefore, the amplitude unbalance and the phase unbalance of the Marchand balun are poor.



Figure 3.3-9 3D structure of the double-balanced mixer

The 3-D structure of the double-balanced mixer is shown in Figure 3.3-9. The diodes will be mounted on the top of the LTCC component. The Schottky diode-quad such as Metelics MSS-40, 455-B40 can be used as mixing elements. The chip size of the LTCC double- balanced mixer is  $4800 \mu m \times 3400 \mu m \times 962 \mu m$ .

#### 3.4 Simulated results of the double-balanced mixer

The simulated results of the double-balanced mixer were obtained using the circuit simulator (Agilent ADS). Because the circuit is symmetrical, we can exchange the RF and LO ports. Figure 3.4-1 shows that conversion loss is less than 8dB in the RF frequency range of 2.4 to 6.4GHz. Figure 3.4-2 shows that conversion loss is less than 8dB in the RF frequency range of 1.75 to 6.5 GHz.



Figure 3.4-1 Conversion loss vs. RF frequency for RF balun center tap



Figure 3.4-2 Conversion loss vs. RF frequency for LO balun center tap

Figure 3.4-3 and Figure 3.4-4 show the RF-IF, RF-LO and LO-IF isolation of the LTCC mixer. The LO-RF isolation and LO-IF isolation for the center tap of the RF secondary served as the IF output are good as discussed in Chapter 3.2. For the center tap of the RF secondary served as the IF output, the RF-IF isolations over the frequency range of 1.5 to 2.8GHz are poor. The same result (LO-IF isolation) can be obtained for the center tap of the LO secondary served as the IF output. The main factor is the design of the capacitor. For the center tap of the RF secondary served as the IF output, the capacitors aren't perfect grounding for RF signals at low frequencies. Hence, the RF-IF isolation isn't good at lower frequencies. Increasing capacitor values will improve the RF-IF isolation, but degrade the conversion loss of the IF signal.





Figure 3.4-3 LO-IF, LO-RF, RF-IF isolation vs. RF frequency for RF balun center tap



Figure 3.4-4 LO-IF, LO-RF, RF-IF isolation vs. RF frequency for LO balun center tap



Figure 3.4-5 Conversion loss vs. IF frequencies for RF balun center tap

Figure 3.4-5 shows the IF bandwidth of the LTCC double-balanced mixer. The capacitors restrict the IF bandwidth. The larger IF results in larger conversion loss.

### **Chapter 4**

## **Combline Filter with Capacitive Cross-coupling**

#### 4.1 Theory of the typical combline filter

A typical tapped combline filter is shown in Figure 4.1-1[16-20]. The lines are each short circuited to ground at the same end while opposite ends are terminated in lumped capacitors. As the capacitors are increased the shunt lines behaves as inductive elements and resonate with the capacitors at a frequency below the quarter-wave frequency. At the resonant frequency of the filter, the lines are significantly less than a quarter wavelength length. Thus, the larger the loading capacitances, the shorter the resonator lines, which results in a more compact filter structure with a wider stopband between the first passband and the second passband. If the capacitors are not present, the resonator line will be  $\lambda_0/4$  long at resonance, and the structure will have no passband. This is because the magnetic and electric couplings totally cancel each other out in this case.

In this type of filter, the second pass band occurs when the resonator line elements are somewhat over a half-wavelength long. So, if the resonator lines are  $\lambda_0/8$  long at the primary passband, the second passband will be centered at somewhat over four times the midband frequency of the first passband. If the resonator line elements are made to be less than  $\lambda_0/8$  long at the primary passband, the second passband will be even further removed.



Figure 4.1-1 Typical combline bandpass filter



Figure 4.1-2 Transformation of equivalent circuit of coupled line



Figure 4.1-3 Schematic of J-inverter



Figure 4.1-4 Equivalent circuit of the combline filter



Figure 4.1-5 J inverter equivalent circuit for the combline filter



Figure 4.1-6 Lump element equivalent circuits of the combline filter

Figure 4.1-2 can be equivalent to a J-inverter with  $J = |Y_0 \cot \theta|$  while  $\theta \neq 90^\circ$ as shown in Figure 4.1-3. Then, the combline filter in Figure 4.1-1 can be convert to the equivalent circuit as shown in Figure 4.1-4. The J inverter equivalent circuit for the combline filter is shown in Figure 4.1-5. Figure 4.1-6 is the lump element equivalent circuits of the combline filter.

#### **4.2 Phase relationships**

Let the phase component of the Y-parameter  $S_{21}$  be denoted  $\Phi_{21}$ . Consider the series capacitor of Figure 4.2-1(a) as two port devices. The signal entering port 1 will undergo a phase shift upon exiting port 2. This is  $\Phi_{21}$ , and it tends toward  $+90^{\circ}$ . For the series inductor as shown in Figure 4.2-1(b), the phase shift is  $-90^{\circ}$ . For the shunt inductor/capacitor pairs in Figure 4.2-1(c), the phase shift at off-resonance frequencies is dependent on whether the signal is above of below resonances. For signals below the resonance frequency, the phase shift tends toward  $+90^{\circ}$ .

The three-resonator structure of Figure 4.3-1 and Figure 4.3-2, which represents a cascaded triplet (CT) section using a capacitive cross-coupling between resonators 1 and 3. Path 1-2-3 is the primary path, and path 1-3 is the secondary path that follows the capacitive cross-coupling. In Figure 4.2-2(a), the phase shifts for two paths are given in Table 4.1. Above resonance, the two paths are in phase, but below resonance, the two paths are out phase. This destructive interference causes a transmission zero on the low-side skirt as shown in Figure 4.2-2(b). Stronger coupling between 1 and 3 causes the zero to move up the skirt toward passband. Decreasing the coupling moves it farther down the skirt.



Figure 4.2-1 Phase shifts for series capacitor, series inductor and shunt inductor/capacitor pairs (a) Series capacitor, (b) Series inductor, (c) Shunt inductor/capacitor pairs



Figure 4.2-2 CT section (a) Multi-path coupling diagram for CT section with capacitive cross-coupling (b) Possible frequency response

	Below Resonance	Above Resonance			
Path 1-2-3	$90+90+90 = 270^{\circ}$	90-90+90=90°			
Path 1-3	90°	90°			
Result	Out phase	In phase			

Table 4.1 Total phase shifts for two paths in a CT section with capacitive cross-coupling

# 4.3 Design of the LTCC three-poles combline filter with cross-coupled capacitor

To meet the specifications in Table 1.1, the filter should generate the zero at 2.1GHz. As discussed in Chapter 4.2, we can design a CT-type filter using a capacitive cross-coupling between resonators 1 and 3 to generate the zero in the low-side skirt. The proposed structure in this design is shown in Figure 4.2-1, which shows the combline filter with cross-coupled capacitor modified from the typical combline filter. The coupling between adjacent resonators can be tuning easily with the direct-coupled capacitors. Edge coupling has restricted the bandwidth of the typical combline filter. The modified combline filter can achieve larger bandwidth

than typical edge-coupled combline filter through the direct-coupled capacitors. Figure 4.3-2 shows the equivalent circuit of the modified combline filter.



Figure 4.3-2 Equivalent circuit of the modified combline filter

Step 1: Choose the optimum loading capacitors for this design. The larger the loading capacitances, the shorter the resonator lines, which results in a more compact filter structure with a wider stopband between the first passband and the second passband. However, the circuit dimension restricts the values of the

MIM capacitors.

- Step 2: Design the inductors of the resonators using transmission lines and these resonators resonate at 2.45GHz.
- Step 3: Choose  $C_{12}$ ,  $C_{23}$ , and  $C_{13}$  to meet the specifications in Table 1.1.
- Step 4: Fine-tune all component values.

The corresponding component values in Figure 4.3-1 are  $C_{10} = C_{30} = 4.18$  pF,  $C_{20}=3.93$  pF,  $C_{12} = C_{23} = 0.9$  pF,  $C_{13}=0.319$  pF and the length and width are 73 mil×6 mil for SL<sub>1</sub>, SL<sub>2</sub> and SL<sub>3</sub>. Figure 4.3-3 shows that the simulation results using the circuit simulator (AWR Microwave Office). Figure 4.3-3 indicates that the low-side skirt zero is at 2.1GHz and return loss in the passband (2.4-2.5GHz) is less than -30dB.The filter also suppress the second harmonic, third harmonic and all the lower stopband signals. This design meets the specifications of the Table 1.1.



Figure 4.3-3 Simulated response of the combline filter with Microwave Office



Figure 4.3-4 Applying Y-parameter to analyze the transmission zeros



Figure 4.3-5 Combline filter and cross-coupled capacitor

These transmission zeros occur at the frequencies where  $Y_{21}$  of  $C_{13}$  and  $Y_{21}$  of the reminder part of the filter has the same magnitude, but opposite phase as shown in Figure 4.3-4 and Figure 4.3-5. This means that  $Y_{21}$  of the three-pole combline filter with capacitive cross-coupling at these frequencies will be zero. The location of zero agrees with discussion in Chapter 4.2. After the circuit simulation, we convert these values into the LTCC structure and simulate with the fully 3-D EM simulator (HFSS). The ceramic substrate of the LTCC has dielectric constant of 33, stripline ground plane spacing of 16.2 mil and the conductor (thickness:0.4mil) is silver. The 3-D structure of the combline filter is shown in Figure 4.3-6. The size of the LTCC filter is  $100 \text{ mil} \times 75 \text{ mil} \times 32.4 \text{mil}$ .



Figure 4.3-6 3-D structure of the LTCC combline filter



Figure 4.3-7 EM simulated responses of the LTCC combline filter

From the EM simulated responses in Figure 4.3-7, the low-side skirt zero is at 2.1GHz and return loss in the passband (2.4-2.5GHz) is less than –30dB. The simulation results meet the specifications in Table 1.1. Figure 4.3-7 indicates that the filter generates one extra transmission zero in the lower-side skirt. As shown in Figure 4.3-6, the extra transmission zero may be caused by the via-holes of the stripline. The modified combline filter is connected with the inductance L as shown in Figure 4.3-8.



Figure 4.3-8 Modified combline filter with the inductance L



Figure 4.3-9 Simulated responses of the combline filter with Microwave Office



Figure 4.3-10 Applying Y-parameter to analyze the transmission zeros

The filter with inductance L generates the extra zero in the lower-side skirt as shown in Figure 4.3-9. Besides, the inductance L also makes the transmission zero in the high-side skirt to lower frequency. The Y-parameter response is shown in Figure 4.3-10. The concept of using series L in the resonator is similar to that of [4,20]. The

value of the inductance L is 0.0065nH for the response in Figure 4.3-9. The inductance L is extremely small. Figure 4.3-12 shows the photograph of the LTCC combline filter. Figure 4.3-11 shows the comparison of the measured results and EM simulated results with GSG probe. The insertion loss in the passband (2.4-2.5GHz) is about 2.5dB. The return loss is less than -10dB over the frequency of 2.35 to 2.55GHz.The suppression of the measured results for second and third harmonic are less than -30dB.



Figure 4.3-11 Comparison of measured results and EM simulated results (GSG probe)



Figure 4.3-12 Photograph of the LTCC combline filter 50

## Chapter 5

### **Substrate and Shielding Box Effects**

#### **5.1 Substrate effects**

In chapter 4, the responses of the LTCC bandpass filter are simulated and measured with GSG probe. But considering the practical environment, the LTCC bandpass filter must be mounted on the substrate. The overall performance should include the parasitic of the substrate. The substrate for the filter is Rogers RO4003, where the dielectric constant is 3.38 and the thickness is 20mil. Figure 5.1-2 shows the LTCC filter and substrate environment. As discussed in Chapter 4, the inductance L will cause the shift of the transmission zeros and second passband. Figure 5.1-1 shows the overall schematic of the LTCC filter.



Figure 5.1-1 Overall schematic of the LTCC filter



Figure 5.1-3 EM simulated results for substrate environments



Figure 5.1-4 EM simulated responses with GSG probe and substrate



Figure 5.1-5 EM simulation result with various via-holes

Figure 5.1-4 indicates that increasing inductance L will make the zero in the high-side skirt to lower frequency and the zero in the low-side skirt to high frequency. From the simulated results in Figure 5.1-5, the number of plated via-holes can control the parasitic via-hole inductance. If the number of plated via-holes increases, the

parasitic via-hole inductance L decreases. The first transmission zero in low-side skirt shift to a lower frequency and the transmission zero in high-side skirt shift to a high frequency. Although the series inductance L will affect the response of the LTCC filter, we can fine-tune the values of the inductance of the transmission line, load capacitors, and coupled capacitors in the LTCC filter to meet the specifications for holding the same series inductance L. In Figure 5.1-6, the solid lines are the measured response of LTCC three-pole combline filter with the substrate. The insertion loss in the passband (2.4-2.5GHz) is about 2dB. The return loss is less than –10dB over the frequency of 2.25 to 2.55GHz.The suppression of the measured results for second and third harmonic are less than –20dB.



Figure 5.1-6 Comparison of measured results and EM simulated results (mounted on the substrate)



Figure 5.1-7 Photograph of the LTCC combline filter mounted on the substrate



#### 5.2 Shielding box effect

Figure 5.2-1 indicates that the responses of LTCC bandpass filter are affected by variations of box sizes. Table 5.1 shows the frequencies of the transmission zeros. The transmission zero at 2.1GHz generated by cross-coupled capacitor isn't affected by different box sizes. When increasing the box size, the zero at the low-side skirt move toward high frequency and the zero at the high-side skirt move toward low frequency. For GSG probe measurement, the responses are affected seriously by box size (parasitic effect).



Figure 5.2-1 EM simulated results with various box sizes

Summer.

Box size	100×75 mil	100×80mil	100×85mil	100×90mil		
Left zero	0.83GHz	1.00GHz	1.08GHz	1.19GHz		
Right zero	8.84GHz	7.7GHz	6.97GHz	6.32GHz		

Table 5.1 Various box sizes vs. the locations of transmission zeros

### **Chapter 6** Conclusion

In Chapter 2, the measured results of the modified Marchand balun agree well with the simulated results. Some of the possible reasons cause the difference between the simulation and measured results are: the air gap between two layers, the inductance of the via used to connect bottom transmission lines and ground, non-identical physical lengths of the two extended output ports and misalignment between two substrates. This kind of balun showed a good performance in spite of imperfect fabrication facilities leading to a misalignment between the two substrates. Thus, this design allows considerable flexibility in the design procedure and yields reasonable tolerances for fabrication. Adding the transmission line between two couplers improves the performance of the Marchand balun significantly.

In addition, the compensated transmission line can be added between the LTCC spiral broadside coupled stripline to increase the bandwidth of the Marchand balun in Chapter 3. Then, the broadband LTCC double-balanced mixer can be implemented with two Marchand balun. The simulated results indicate that the LTCC double-balanced mixer can achieve broadband bandwidth.

In Chapter 4 and 5, the measured results of the three-pole combline filter with cross-coupling measured with GSG probe and the substrate environments are shown in Figure 6-1. The solid lines are the measured results with substrate environments and the dot lines are the measured responses with GSG probe. These measured results agree with the simulated results in Figure 5.1-4 except the location of zeros. The ceramic substrate of the LTCC has dielectric constant of 33. Under such high substrate dielectric constant, the performances of the filter are sensitive to the shrinkages of the LTCC. In a free sintering process, the LTCC is shrinking in X- and

Y- and Z-direction (thickness). Therefore, the shrinkage of the LTCC may cause the error of the dimension. In Figure 5.1-6, the suppression of the combline with the substrate environment is -24dB for second harmonic. Decreasing the series L (more via-holes) can move the high-side zero toward higher frequency. Then, the suppression can achieve -30dB.



Figure 6-1 Measured results with GSG probe and substrate environments

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