

# 國立交通大學

應用數學系

碩 士 論 文

非同餘子群的模型式的同餘性質



Atkin and Swinnerton-Dyer Congruences  
Associated to Fermat Curves

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眾所周知的，費馬曲線  $x^n + y^n = 1$  是一個與特殊線性群  $SL_2(\mathbb{Z})$  的有限指數子群  $\Gamma_n$  相關聯的模曲線，當  $n$  不等於 1, 2, 4, 8 時， $\Gamma_n$  是一個非同餘子群。現在令費馬曲線的虧格為  $g$ ，scholl 的定理告訴我們， $\Gamma_n$  上權為 2 的尖點型式與由此曲線相關聯的 Tate 模所建構出的  $2g$  維  $l$  進數伽羅瓦表現會滿足 Atkin and Swinnerton-Dyer 同餘。

在這篇論文中，我們將會分解伽羅瓦表現，然後給一個更加精確的 Atkin and Swinnerton-Dyer 同餘。我們將會解決  $n = 6$  的情況。

# Atkin and Swinnerton-Dyer Congruences Associated to Fermat Curves

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It is known that each Fermat curve  $x^n + y^n = 1$  is the modular curve associated to some subgroup  $\Gamma_n$  of  $SL_2(\mathbb{Z})$  of finite index. Moreover if  $n \neq 1, 2, 4, 8$  then  $\Gamma_n$  is a noncongruence subgroup. Let  $g$  be the genus of the Fermat curve, by Scholl's theorem, cuspforms of weight 2 on  $\Gamma_n$ , together with the  $2g$ -dimensional  $l$ -adic Galois representations coming from the Tate module associate this curve, satisfy the Atkin and Swinnerton-Dyer congruence.

In this thesis, we decompose this Galois representation and give a more precise Atkin and Swinnerton-Dyer congruence. The case  $n = 6$  will be completely worked out.

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# 1. Introduction

The Fourier coefficients of a normalized newform  $f = \sum_{n \geq 1} a_n(f)q^n$  of weight  $k$ , level  $N$ , and character  $\chi$  on a congruence subgroup, where  $q = e^{2\pi i\tau}$ , satisfy the recursive relation

$$a_{np}(f) - a_p(f)a_n(f) + \chi(p)p^{k-1}a_{n/p}(f) = 0 \quad (1.0.1)$$

for all prime  $p$ ,  $p \nmid N$ . For noncongruence subgroups, the recursive relation in (1.0.1) no longer holds. Nevertheless, Atkin and Swinnerton-Dyer observed other congruence relations which are introduced in Chapter 3.

Recall that the Fermat curve  $F_n = x^n + y^n = 1$  is the modular curve with genus  $g = \frac{(n-1)(n-2)}{2}$  associated to the modular subgroup

$$\Gamma_n = \left\langle \left( \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}^n, \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}^n, \Gamma(2)' \right) \right\rangle$$

where  $\Gamma(2)'$  denotes the commutator subgroup of  $\Gamma(2)$ . When  $n \neq 1, 2, 4, 8$ ,  $\Gamma_n$  is noncongruence. Cusp forms of weight 2 can be obtained by differential forms and the parametrization  $(x, y) = (\sqrt[n]{1-\lambda}, \sqrt[n]{\lambda})$ , where  $\lambda = \frac{\theta_2(\tau)^4}{\theta_3(\tau)^4}$ , described in section 4.1. By Scholl's theorem, they satisfy the Atkin and Swinnerton-Dyer congruence with a characteristic polynomial of degree  $2g$ . However, this means that even in the case of the smallest odd prime 3, we are required to figure out at least  $3^{2g}$  terms in cusp forms. Therefore, this calculation is not a simple task.

In order to reduce difficulty, in Chapter 4, we decompose Scholl's  $2g$ -dimensional Galois representations into pieces for the case  $n = 6$ , and give a more precise Atkin and Swinnerton-Dyer congruence.

## 2. Review of modular forms on congruence subgroups

### § 2.1 Modular forms and cusp forms

The **modular group** is the group of  $2 \times 2$  matrices with integer entries and determinant 1,

$$SL_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\}$$

The modular group is generated by the two matrices

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \text{ and } \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

Each element of the modular group is also viewed as an automorphism (invertible self-map) of the Riemann sphere  $\widehat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$ , the fractional linear transformation

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} (\tau) = \frac{a\tau + b}{c\tau + d}, \tau \in \widehat{\mathbb{C}}.$$

This is understood to mean that if  $c \neq 0$  then  $-d/c$  maps to  $\infty$  and  $\infty$  maps to  $a/c$ , and if  $c = 0$  then  $\infty$  maps to  $\infty$ . The identity matrix  $I$  and its negative  $-I$  both give the identity transformation, and more generally each pair  $\pm\gamma$  of matrices in  $SL_2(\mathbb{Z})$  gives a single transformation. The group of transformations defined by the modular group is generated by the maps described by the two matrix generators,

$$\tau \mapsto \tau + 1 \text{ and } \tau \mapsto -1/\tau$$

The **upper half plane** is

$$\mathbb{H} = \{\tau \in \mathbb{C} : \text{Im}(\tau) > 0\}.$$



The formula

$$\operatorname{Im}(\gamma(\tau)) = \frac{\operatorname{Im}(\tau)}{|c\tau + d|^2}, \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$$

shows that if  $\gamma \in SL_2(\mathbb{Z})$  and  $\tau \in \mathbb{H}$  then also  $\gamma(\tau) \in \mathbb{H}$ , i.e., the modular group maps the upper half plane back to itself. In fact the modular group acts on the upper half plane, meaning that  $I(\tau) = \tau$  where  $I$  is the identity matrix and  $(\gamma\gamma')(\tau) = \gamma(\gamma'(\tau))$  for all  $\gamma, \gamma' \in SL_2(\mathbb{Z})$  and  $\tau \in \mathbb{H}$ .

Let  $N$  be a positive integer. The **principal congruence subgroup of level  $N$**  is

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

In particular  $\Gamma(1) = SL_2(\mathbb{Z})$ . Being the kernel of the natural homomorphism  $SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{Z}/N\mathbb{Z})$ , the subgroup  $\Gamma(N)$  is normal in  $SL_2(\mathbb{Z})$ . In fact the map is a surjection, inducing an isomorphism

$$SL_2(\mathbb{Z})/\Gamma(N) \xrightarrow{\cong} SL_2(\mathbb{Z}/N\mathbb{Z}).$$

This shows that  $[SL_2(\mathbb{Z}) : \Gamma(N)]$  is finite for all  $N$ . Specifically, the index is  $[SL_2(\mathbb{Z}) : \Gamma(N)] = N^3 \prod_{p|N} \left(1 - \frac{1}{p^2}\right)$  where the product is taken over all prime divisors of  $N$ .

**Definition 2.1.** A subgroup  $\Gamma$  of  $SL_2(\mathbb{Z})$  is a **congruence subgroup** if  $\Gamma(N) \subset \Gamma$  for some  $N \in \mathbb{N}$ , in which case  $\Gamma$  is a congruence subgroup of **level  $N$** . If  $\Gamma$  does not contain  $\Gamma(N)$  for any  $N$ , then we say  $\Gamma$  is a **noncongruence subgroup**.

Every congruence subgroup  $\Gamma$  has finite index in  $SL_2(\mathbb{Z})$ . Besides the principal congruence subgroups, the most important congruence subgroups are

$$\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{N} \right\}$$

(where “\*” means “unspecified”) and

$$\Gamma_1(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

satisfying

$$\Gamma(N) \subset \Gamma_1(N) \subset \Gamma_0(N) \subset SL_2(\mathbb{Z})$$

Two pieces of notation are essential before we continue. For any matrix  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$  define the **factor of automorphy**  $j(\gamma, \tau) \in \mathbb{C}$  for  $\tau \in \mathbb{H}$  to be

$$j(\gamma, \tau) = c\tau + d$$

and for  $\gamma \in SL_2(\mathbb{Z})$  and any integer  $k$  define the **weight- $k$  operator**  $[\gamma]_k$  on functions  $f : \mathbb{H} \rightarrow \mathbb{C}$  by

$$(f[\gamma]_k)(\tau) = j(\gamma, \tau)^{-k} f(\gamma(\tau)), \quad \tau \in \mathbb{H}$$

Since the factor of automorphy is never zero or infinity, if  $f$  is meromorphic then  $f[\gamma]_k$  is also meromorphic and has the same zeros and poles as  $f$ .

**Definition 2.2.** Let  $\Gamma$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  and let  $k$  be an integer. A function  $f : \mathbb{H} \rightarrow \mathbb{C}$  is a **modular form of weight  $k$  with respect to  $\Gamma$**  if

- (1)  $f$  is holomorphic,
- (2)  $f$  is weight- $k$  invariant under  $\Gamma$ ,
- (3)  $f[\alpha]_k$  is holomorphic at  $\infty$  for all  $\alpha \in SL_2(\mathbb{Z})$ .

If in addition,

- (4)  $a_0 = 0$  in the Fourier expansion of  $f[\alpha]_k$  for all  $\alpha \in SL_2(\mathbb{Z})$ ,

then  $f$  is a **cusp form of weight  $k$  with respect to  $\Gamma$** . The modular forms of weight  $k$  with respect to  $\Gamma$  are denoted  $\mathcal{M}_k(\Gamma)$ , the cusp forms  $\mathcal{S}_k(\Gamma)$ .

## § 2.2 Hecke operators

Let  $\Gamma_1$  and  $\Gamma_2$  be congruence subgroups of  $SL_2(\mathbb{Z})$ . Then  $\Gamma_1$  and  $\Gamma_2$  are subgroups of  $GL_2^+(\mathbb{Q})$ , the group of  $2 \times 2$  matrices with rational entries and positive determinant. For each  $\alpha \in GL_2^+(\mathbb{Q})$  the set

$$\Gamma_1\alpha\Gamma_2 = \{\gamma_1\alpha\gamma_2 : \gamma_1 \in \Gamma_1, \gamma_2 \in \Gamma_2\}$$

is a **double coset** in  $GL_2^+(\mathbb{Q})$ . Under a definition to be developed in this section, such double cosets transform modular forms with respect to  $\Gamma_1$  into modular forms with respect to  $\Gamma_2$ .

The group  $\Gamma_1$  acts on the double coset  $\Gamma_1\alpha\Gamma_2$  by left multiplication, partitioning it into orbits. A typical orbit is  $\Gamma_1\beta$  with representative  $\beta = \gamma_1\alpha\gamma_2$ , and the orbit space  $\Gamma_1\backslash\Gamma_1\alpha\Gamma_2$  is thus a disjoint union  $\bigcup \Gamma_1\beta_j$  for some choice of representatives  $\beta_j$ . The next two lemmas combine to show that this union is finite.

**Lemma 2.3** ([2] Lemma 5.1.1). *Let  $\Gamma$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  and let  $\alpha$  be an element of  $GL_2^+(\mathbb{Q})$ . Then  $\alpha^{-1}\Gamma\alpha \cap SL_2(\mathbb{Z})$  is again a congruence subgroup of  $SL_2(\mathbb{Z})$ .*

**Lemma 2.4** ([2] Lemma 5.1.2). *Let  $\Gamma_1$  and  $\Gamma_2$  be congruence subgroups of  $SL_2(\mathbb{Z})$ , and let  $\alpha$  be an element of  $GL_2^+(\mathbb{Q})$ . Set  $\Gamma_3 = \alpha^{-1}\Gamma_1\alpha \cap \Gamma_2$ , a subgroup of  $\Gamma_2$ . Then left multiplication by  $\alpha$ ,*

$$\Gamma_2 \longrightarrow \Gamma_1\alpha\Gamma_2 \quad \text{given by} \quad \gamma_2 \mapsto \alpha\gamma_2,$$

*induces a natural bijection from the coset space  $\Gamma_3\backslash\Gamma_2$  to the orbit space  $\Gamma_1\backslash\Gamma_1\alpha\Gamma_2$ . In concrete terms,  $\{\gamma_{2,j}\}$  is a set of coset representatives for  $\Gamma_3\backslash\Gamma_2$  if and only if  $\{\beta_j\} = \{\alpha\gamma_{2,j}\}$  is a set of orbit representatives for  $\Gamma_1\backslash\Gamma_1\alpha\Gamma_2$ .*

We say that two subgroups  $H_1$  and  $H_2$  of a group  $G$  are **commensurable**, if the indices  $[H_1 : H_1 \cap H_2]$  and  $[H_2 : H_1 \cap H_2]$  are finite.

**Theorem 2.5.** *Any two congruence subgroups  $\Gamma_1$  and  $\Gamma_2$  of  $SL_2(\mathbb{Z})$  are commensurable.*

*Proof.* First we know  $[SL_2(\mathbb{Z}) : \Gamma(N)] = N^3 \prod_{p|N} \left(1 - \frac{1}{p^2}\right)$  is finite. Consider for any subgroups  $\Gamma_1, \Gamma_2$  of  $SL_2(\mathbb{Z})$ , take  $N_1, N_2 \in \mathbb{N}$  such that  $\Gamma(N_1) \subset \Gamma_1$  and  $\Gamma(N_2) \subset \Gamma_2$ , and let  $N_3 = \text{lcm}(N_1, N_2)$ , then we have

$$\Gamma(N_3) \subset \Gamma(N_1) \cap \Gamma(N_2) \subset \Gamma_1 \cap \Gamma_2$$

which implies  $[SL_2(\mathbb{Z}) : \Gamma(N_3)] \geq [\Gamma_1 : \Gamma(N_3)] \geq [\Gamma_1 : \Gamma(N_1) \cap \Gamma(N_2)] \geq [\Gamma_1 : \Gamma_1 \cap \Gamma_2]$

Similarly, we can prove  $[\Gamma_2 : \Gamma_1 \cap \Gamma_2]$  is finite.  $\square$

In particular, since  $\alpha^{-1}\Gamma_1\alpha \cap SL_2(\mathbb{Z})$  is a congruence subgroup of  $SL_2(\mathbb{Z})$  by Lemma 2.3, the coset space  $\Gamma_3 \backslash \Gamma_2$  in Lemma 2.4 is finite and hence so is the orbit space  $\Gamma_1 \backslash \Gamma_1 \alpha \Gamma_2$ . With finiteness of the orbit space established, the double coset  $\Gamma_1 \alpha \Gamma_2$  can act on modular forms.

Now for  $\beta \in GL_2^+(\mathbb{Q})$  and  $k \in \mathbb{Z}$ , and  $\tau \in \mathbb{H}$ , extend the formula  $j(\beta, \tau) = c\tau + d$  to  $\beta \in GL_2^+(\mathbb{Q})$ , and extend the weight- $k$  operator to  $GL_2^+(\mathbb{Q})$  which called the **weight- $k$   $\beta$  operator** by the rule

$$(f[\beta]_k)(\tau) = (\det \beta)^{k-1} j(\beta, \tau)^{-k} f(\beta(\tau)), \quad \text{for } f : \mathbb{H} \rightarrow \mathbb{C}$$

**Definition 2.6.** For congruence subgroups  $\Gamma_1$  and  $\Gamma_2$  of  $SL_2(\mathbb{Z})$  and  $\alpha \in GL_2^+(\mathbb{Q})$ , the **weight- $k$   $\Gamma_1 \alpha \Gamma_2$  operator** takes functions  $f \in \mathcal{M}_k(\Gamma_1)$  to

$$f[\Gamma_1 \alpha \Gamma_2]_k = \sum_j f[\beta_j]_k$$

where  $\{\beta_j\}$  are orbit representatives, i.e.,  $\Gamma_1 \alpha \Gamma_2 = \bigcup_j \Gamma_1 \beta_j$  is a disjoint union.

Now we introduces two operators on  $\mathcal{M}_k(\Gamma_1(N))$ . Consider the map

$$\Gamma_0(N) \longrightarrow (\mathbb{Z}/N\mathbb{Z})^* \quad \text{taking} \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ to } d \pmod{N}$$

is a surjective homomorphism with kernel  $\Gamma_1(N)$ . This shows that  $\Gamma_1(N)$  is normal in  $\Gamma_0(N)$  and induces an isomorphism

$$\Gamma_0(N)/\Gamma_1(N) \xrightarrow{\sim} (\mathbb{Z}/N\mathbb{Z})^* \quad \text{where} \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ to } d \pmod{N}$$

To define the first type of Hecke operator, take any  $\alpha \in \Gamma_0(N)$ , set  $\Gamma_1 = \Gamma_2 = \Gamma_1(N)$ , and consider the weight- $k$  double coset operator  $[\Gamma_1\alpha\Gamma_2]_k$ . Since  $\Gamma_1(N) \triangleleft \Gamma_0(N)$  this operator translating each function  $f \in \mathcal{M}_k(\Gamma_1(N))$  to

$$f[\Gamma_1\alpha\Gamma_2]_k = f[\alpha]_k, \quad \alpha \in \Gamma_0(N),$$

again in  $\mathcal{M}_k(\Gamma_1(N))$ . Thus the group  $\Gamma_0(N)$  acts on  $\mathcal{M}_k(\Gamma_1(N))$ , and since its subgroup  $\Gamma_1(N)$  acts trivially, this is really an action of the quotient  $(\mathbb{Z}/N\mathbb{Z})^*$ . The action of  $\alpha$  determined by  $d \pmod{N}$  and denoted  $\langle d \rangle$ , is

$$\langle d \rangle : \mathcal{M}_k(\Gamma_1(N)) \longrightarrow \mathcal{M}_k(\Gamma_1(N))$$

given by

$$\langle d \rangle f = f[\alpha]_k \text{ for any } \alpha = \begin{pmatrix} a & b \\ c & \delta \end{pmatrix} \in \Gamma_0(N) \text{ with } \delta \equiv d \pmod{N}$$

This type of Hecke operator is also called a **diamond operator**. Now we are going to define the second type of Hecke operator, again  $\Gamma_1 = \Gamma_2 = \Gamma_1(N)$ , but now  $\alpha = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ , where  $p$  is a prime, we define a weight- $k$  double coset operator

$$T_p : \mathcal{M}_k(\Gamma_1(N)) \longrightarrow \mathcal{M}_k(\Gamma_1(N)), \quad p \text{ prime}$$

is given by

$$T_p f = f[\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma_1(N)]_k.$$

The double coset here is

$$\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma_1(N) = \left\{ \gamma \in M_2(\mathbb{Z}) : \gamma \equiv \begin{pmatrix} 1 & * \\ 0 & p \end{pmatrix} \pmod{N}, \det \gamma = p \right\},$$

so in fact  $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$  can be replaced by any matrix in this double coset in the definition of  $T_p$ .

**Proposition 2.7** ([2] Proposition 5.2.4). *Let  $d$  and  $e$  be elements of  $(\mathbb{Z}/N\mathbb{Z})^*$ , and let  $p$  and  $q$  be prime. Then*

- (1)  $\langle d \rangle T_p = T_p \langle d \rangle$
- (2)  $\langle d \rangle \langle e \rangle = \langle e \rangle \langle d \rangle = \langle de \rangle$
- (3)  $T_p T_q = T_q T_p$

Now we can extend the definitions of  $\langle d \rangle$  and  $T_p$  to  $\langle n \rangle$  and  $T_n$  for all  $n \in \mathbb{Z}^+$ .

For  $n \in \mathbb{Z}^+$  with  $(n, N) = 1$ ,  $\langle n \rangle$  is determined by  $n \pmod{N}$ . For  $n \in \mathbb{Z}^+$  with  $(n, N) > 1$ , define  $\langle n \rangle = 0$ , the zero operator on  $\mathcal{M}_k(\Gamma_1(N))$ . The mapping  $n \mapsto \langle n \rangle$  is totally multiplicative.

To define  $T_n$ , set  $T_1 = 1$  (the identity operator);  $T_p$  is already defined for primes  $p$ . For prime powers, define inductively

$$T_{p^r} = T_p T_{p^{r-1}} - p^{k-1} \langle p \rangle T_{p^{r-2}}, \quad \text{for } r \geq 2,$$

and note that inductively on  $r$  and  $s$  starting from Proposition 2.7(c),  $T_p^r T_q^s = T_q^s T_p^r$  for distinct primes  $p$  and  $q$ . Extend the definition multiplicatively to  $T_n$  for all  $n$ ,

$$T_n = \prod T_{p_i^{e_i}} \quad \text{where } n = \prod p_i^{e_i}$$

so that the  $T_n$  all commute by Proposition 2.7 and

$$T_{nm} = T_n T_m \quad \text{if } (n, m) = 1.$$

**Theorem 2.8** ([2] Proposition 5.3.1). *Let  $f \in \mathcal{M}_k(\Gamma_1(N))$  have Fourier expansion*

$$f(\tau) = \sum_{m=0}^{\infty} a_m(f) q^m \quad \text{where } q = e^{2\pi i \tau}.$$

*Then for all  $n \in \mathbb{Z}^+$ ,  $T_n f$  has Fourier expansion*

$$(T_n f)(\tau) = \sum_{m=0}^{\infty} a_m(T_n f) q^m$$

where

$$a_m(T_n f) = \sum_{d|(m,n)} d^{k-1} a_{mn/d^2}(\langle d \rangle f). \quad (2.8.1)$$

In particular, if  $f \in \mathcal{M}_k(N, \chi)$  then

$$a_m(T_n f) = \sum_{d|(m,n)} \chi(d) d^{k-1} a_{mn/d^2}(f). \quad (2.8.2)$$

### § 2.3 Petersson inner product

In this section, we make the space of cusp forms  $\mathcal{S}_k(\Gamma)$  into an inner product space, the integral in the following definition is well defined and convergent.

**Definition 2.9.** Let  $\Gamma \subset SL_2(\mathbb{Z})$  be a congruence subgroup. The **Petersson inner product**,

$$\langle \cdot, \cdot \rangle_{\Gamma} : \mathcal{S}_k(\Gamma) \times \mathcal{S}_k(\Gamma) \longrightarrow \mathbb{C},$$

is given by

$$\langle f, g \rangle_{\Gamma} = \frac{1}{V_{\Gamma}} \int_{X(\Gamma)} f(\tau) \overline{g(\tau)} (\text{Im}(\tau))^k d\mu(\tau).$$

where  $V_{\Gamma}$  is the volume of  $X(\Gamma)$  and  $d\mu(\tau) = \frac{dx dy}{y^2}$  for  $\tau = x + iy$ .

This product is linear in  $f$ , conjugate linear in  $g$ , Hermitiansymmetric, and positive definite. The normalizing factor  $1/V_{\Gamma}$  ensures that if  $\Gamma' \subset \Gamma$  then  $\langle \cdot, \cdot \rangle'_{\Gamma} = \langle \cdot, \cdot \rangle_{\Gamma}$  on  $\mathcal{S}_k(\Gamma)$ .

## § 2.4 Oldforms and Newforms

So far the theory has all taken place at one generic level  $N$ . This section begins results that move between levels, taking forms from lower levels  $M|N$  up to level  $N$ , mostly with  $M = Np^{-1}$  where  $p$  is some prime factor of  $N$ .

**Lemma 2.10.** *If  $M|N$  then  $\mathcal{S}_k(\Gamma_1(M)) \subset \mathcal{S}_k(\Gamma_1(N))$*

*Proof.* If  $M|N$ , we have  $\Gamma_1(N) \subset \Gamma_1(M)$  since for any  $\gamma \in \Gamma_1(N)$ , write  $\gamma = \begin{pmatrix} k_1N + 1 & * \\ k_2N & k_3N + 1 \end{pmatrix}$ , and write  $N = lM$  for some integer  $l$ , then  $\gamma = \begin{pmatrix} k_1lM + 1 & * \\ k_2lM & k_3lM + 1 \end{pmatrix}$ , hence  $r \in \Gamma_1(M)$ .

Now if  $f$  is a modular form with respect to  $\Gamma_1(M)$ , it is also a modular form with respect to  $\Gamma_1(N)$  since  $\Gamma_1(N) \subset \Gamma_1(M)$ .  $\square$

**Lemma 2.11.** *For any  $h$  factor of  $N/M$ , let  $\alpha_h = \begin{pmatrix} h & 0 \\ 0 & 1 \end{pmatrix}$ , so that  $(f[\alpha_h]_k)(\tau) = h^{k-1}f(h\tau)$  for  $f : \mathbb{H} \rightarrow \mathbb{C}$ . The linear map  $[\alpha_h]_k$  takes  $\mathcal{S}_k(\Gamma_1(M))$  to  $\mathcal{S}_k(\Gamma_1(N))$ , lifting the level from  $M$  to  $N$ .*

*Proof.* Let  $\gamma = \begin{pmatrix} aN + 1 & b \\ cN & dN + 1 \end{pmatrix} \in \Gamma_1(N)$ . We have

$$h\gamma\tau = \frac{(aN + 1)(h\tau) + hb}{(cN/h)(h\tau) + dN + 1} = \begin{pmatrix} aN + 1 & hb \\ cN/h & dN + 1 \end{pmatrix} (h\tau)$$

By  $h$  is a factor of  $N/M$ , we have  $\gamma' = \begin{pmatrix} aN + 1 & hb \\ cN/h & dN + 1 \end{pmatrix}$  is in  $\Gamma_1(M)$ .

Therefore

$$f(h\gamma\tau) = f(\gamma'(h\tau)) = (cN\tau + dN + 1)^k f(h\tau).$$

This shows  $g(\tau) = f(h\tau)$  is a cusp form on  $\Gamma_1(N)$ .  $\square$



Combining preceding two lemmas, it is natural to distinguish the part of  $\mathcal{S}_k(\Gamma_1(N))$  coming from lower levels.

**Definition 2.12.** For each divisor  $d$  of  $N$ , let  $i_d$  be the map

$$i_d : (\mathcal{S}_k(\Gamma_1(Nd^{-1})))^2 \longrightarrow \mathcal{S}_k(\Gamma_1(N))$$

given by

$$(f, g) \mapsto f + g[\alpha_d]_k.$$

The subspace of **oldforms at level  $N$**  is

$$\mathcal{S}_k(\Gamma_1(N))^{\text{old}} = \sum_{\substack{p|N \\ \text{prime}}} i_p((\mathcal{S}_k(\Gamma_1(Nd^{-1})))^2)$$

and the subspace of **newforms at level  $N$**  is the orthogonal complement with respect to the Petersson inner product,

$$\mathcal{S}_k(\Gamma_1(N))^{\text{new}} = (\mathcal{S}_k(\Gamma_1(N))^{\text{old}})^\perp.$$

## § 2.5 Hecke eigenforms

In this section, we will show if  $f \in \mathcal{M}(N, \chi)$  is a normalized eigenform, then its Fourier coefficients will satisfy the recursive relation  $a_{p^r}(f) = a_p(f)a_{p^{r-1}}(f) - \chi(p)p^{k-1}a_{p^{r-2}}(f)$  for all  $p$  prime and  $r \geq 2$ .

**Definition 2.13.** Let  $f$  be a non-vanishing modular form. If  $f$  is a simultaneous eigenfunction for all Hecke operator  $T_n$ , then we say  $f$  is a **Hecke eigenform**. If the Fourier expansion of  $f$  has leading coefficient 1, then  $f$  is **normalized**.

**Definition 2.14.** Let  $\chi$  be a Dirichlet character modulo  $N$ , we define the  $\chi$ -eigenspace of  $\mathcal{M}_k(\Gamma_1(N))$  by

$$\mathcal{M}_k(N, \chi) = \{f \in \mathcal{M}_k(\Gamma_1(N)) : f[\gamma]_k = \chi(d_\gamma)f \text{ for all } \gamma \in \Gamma_0(N)\},$$

where  $d_\gamma$  is the lower right entry of  $\gamma$ .

**Theorem 2.15.** *Let  $f \in \mathcal{M}_k(N, \chi)$ . Then  $f$  is a normalized eigenform if and only if its Fourier coefficients satisfy the conditions*

- (1)  $a_1(f) = 1$ ,
- (2)  $a_{p^r}(f) = a_p(f)a_{p^{r-1}}(f) - \chi(p)p^{k-1}a_{p^{r-2}}(f)$  for all  $p$  prime and  $r \geq 2$ ,
- (3)  $a_{mn}(f) = a_m(f)a_n(f)$  when  $(m, n) = 1$ .

*Proof.* The only if part is follows from the definition of  $T_n$ . Now we prove the other way. Suppose  $f$  satisfies the three conditions. Then  $f$  is normalized, and to be an eigenform for all the Hecke operators it need only satisfy  $a_m(T_p f) = a_p(f)a_m(f)$  for all  $p$  prime and  $m \in \mathbb{Z}^+$ . If  $p \nmid m$  then formula (2.8.2) gives  $a_m(T_p f) = a_{pm}(f)$  and by the third condition this is  $a_p(f)a_m(f)$  as desired. On the other hand, if  $p|m$  write  $m = p^r m'$  with  $r \geq 1$  and  $p \nmid m'$ . This time

$$\begin{aligned}
 a_m(T_p f) &= a_{p^{r+1}m'}(f) + \chi(p)p^{k-1}a_{p^{r-1}m'}(f) && \text{by formula (2.8.2)} \\
 &= (a_{p^{r+1}}(f) + \chi(p)p^{k-1}a_{p^{r-1}}(f))a_{m'}(f) && \text{by the third condition} \\
 &= a_p(f)a_{p^r}(f)a_{m'}(f) && \text{by the second condition} \\
 &= a_p(f)a_m(f) && \text{by the third condition.}
 \end{aligned}$$

□

### 3. Atkin and Swinnerton-Dyer congruences for noncongruence subgroups

Last section we have develop some properties of the modular forms for congruence subgroups. Given a cuspidal normalized newform  $g = \sum_{n \geq 1} a_n(g)q^n$ , where  $q = e^{2\pi i\tau}$ , of weight  $k \geq 2$  level  $N$  and character  $\chi$ , the Fourier coefficients of  $g$  satisfy the recursive relation

$$a_{np}(g) - a_p(g)a_n(g) + \chi(p)p^{k-1}a_{n/p}(g) = 0 \quad (3.0.1)$$

for all primes  $p$  not dividing  $N$  and for all  $n \geq 1$ .

The following sections will introduce the substitution of the recursive relation for noncongruence subgroups.

### § 3.1 Noncongruence subgroups

Let  $f = \sum_{n \geq n_0} a_n w^n$  be the modular form with coefficients  $a_n$  in a fixed number field. According to Hecke operators, a basis consisting of forms with integral coefficients exists in each space of holomorphic congruence modular forms. Consequently, for every congruence holomorphic modular form with algebraic coefficients, the sequence  $\{a_n\}$  has bounded denominators in the sense that there exists an algebraic number  $M$  such that  $Ma_n$  is algebraic integral for all  $n$ . Therefore, the sequence  $\{b_n\}$  having unbounded denominators implies  $g = \sum_{n \geq n_0} b_n w^n$  is noncongruence.

Some other distinctions between congruence and noncongruence subgroups are demonstrated in [5].

### § 3.2 Atkin and Swinnerton-Dyer congruence

Before we state the Atkin and Swinnerton-Dyer congruences conjecture, let us introduce a model of a modular curve over  $\mathbb{Q}$ .

Let  $\mathbb{H}$  be the upper half plane  $\{\tau \in \mathbb{C} : \text{Im}(\tau) > 0\}$ , and  $\mathbb{H}^*$  denotes the compactified half plane  $\mathbb{H} \cup \mathbf{P}^1(\mathbb{Q})$ .

**Definition 3.1.** Let  $\Gamma$  be a subgroup of  $SL_2(\mathbb{Z})$  of finite index. Consider the compactified quotient space  $\Gamma \backslash \mathbb{H}^*$ , and the canonical map

$$\Gamma \backslash \mathbb{H}^* \rightarrow \Gamma(1) \backslash \mathbb{H}^*.$$

We will say  $\Gamma$  is **defined over**  $\mathbb{Q}$  if there exist

- (1) a nonsingular projective curve  $V/\mathbb{Q}$ ;
- (2) a finite morphism  $\pi : V \rightarrow \mathbf{P}^1_{\mathbb{Q}}$ ;
- (3) a point  $e \in V(\mathbb{Q})$ ; and
- (4) an isomorphism  $\phi : \Gamma \backslash \mathbb{H}^* \xrightarrow{\sim} V(C)$  such that  $\phi(i\infty) = e$  and the diagram

$$\begin{array}{ccc}
\Gamma \backslash \mathbb{H}^* & \longrightarrow & \Gamma(1) \backslash \mathbb{H}^* \\
\downarrow \simeq \phi & & \downarrow \simeq j \\
V(C) & \xrightarrow{\pi_C} & \mathbf{P}^1(C)
\end{array}$$

commutes (where here  $j$  is the usual modular invariant of level 1).

As explained in [1][6][7], there exists a subfield  $L$  of  $K$ , an element  $\kappa \in K$  with  $\kappa^\mu \in L$ , where  $\mu$  is the width of the cusp  $\infty$ , and a positive integer  $M$  such that  $\kappa^\mu$  is integral outside  $M$  and  $\mathcal{S}_k(\Gamma)$  has a basis consisting of  $M$ -integral forms. Here a form  $f$  of  $\Gamma$  is called  **$M$ -integral** if in its Fourier expansion at the cusp  $\infty$

$$f(\tau) = \sum_{n \geq 1} a_n(f) q^{n/\mu},$$

the Fourier coefficients  $a_n(f)$  can be written as  $\kappa^n c_n(f)$  with  $c_n(f)$  lying in the ring  $\mathcal{O}_L[1/M]$ , where  $\mathcal{O}_L$  denotes the ring of integers of  $L$ .

**Conjecture 3.2.** (*Atkin and Swinnerton-Dyer congruences*). *Suppose that the modular curve  $X_\Gamma$  has a model over  $\mathbb{Q}$  in the sense of Definition 3.1. There exist a positive integer  $M$  and a basis of  $\mathcal{S}_k(\Gamma)$  consisting of  $M$ -integral forms  $f_j$ ,  $1 \leq j \leq d$ , such that for each prime  $p$  not dividing  $M$ , there exists a nonsingular  $d \times d$  matrix  $(\lambda_{i,j})$  whose entries are in a finite extension of  $\mathbb{Q}_p$ , algebraic integers  $A_p(j)$ ,  $1 \leq j \leq d$ , with  $|\sigma(A_p(j))| \leq 2p^{(k-1)/2}$  for all embeddings  $\sigma$ , and characters  $\chi_j$  unramified outside  $M$  so that for each  $j$  the Fourier coefficients of  $h_j := \sum_i \lambda_{i,j} f_i$  satisfy the congruence relation*

$$\text{ord}_p(a_{np}(h_j) - A_p(j)a_n(h_j) + \chi_j(p)p^{k-1}a_{n/p}(h_j)) \geq (k-1)(1 + \text{ord}_p n) \quad (3.2.1)$$

for all  $n \geq 1$ ; or equivalently, for all  $n \geq 1$ ,

$$(a_{np}(h_j) - A_p(j)a_n(h_j) + \chi_j(p)p^{k-1}a_{n/p}(h_j))/(np)^{k-1}$$

is integral at all places dividing  $p$ .

In other words, the recursive relation (3.0.1) on Fourier coefficients of modular forms for congruence subgroups is replaced by the congruence relation (3.2.1) for forms of noncongruence subgroups.

**Theorem 3.3** (Scholl). *Suppose that  $X_\Gamma$  has a model over  $\mathbb{Q}$  as before. Attached to  $\mathcal{S}_k(\Gamma)$  is a compatible family of  $2d$ -dimensional  $l$ -adic representations  $\rho_l$  of the Galois group  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  unramified outside  $lM$  such that for primes  $p > k + 1$  not dividing  $Ml$ , the following hold.*

(1) *The characteristic polynomial*

$$H_p(T) = \sum_{0 \leq r \leq 2d} B_r(p)T^{2d-r}$$

*of  $\rho_l(\text{Frob}_p)$  lies in  $\mathbb{Z}[T]$  and is independent of  $l$ , and its roots are algebraic integers with absolute value  $p^{(k-1)/2}$ .*

(2) *For any  $M$ -integral form  $f$  in  $\mathcal{S}_k(\Gamma)$ , its Fourier coefficients  $a_n(f)$ ,  $n \geq 1$ , satisfy the congruence relation*

$$\begin{aligned} & \text{ord}_p(a_{np^d}(f) + B_1(p)a_{np^{d-1}}(f) + \dots + B_{2d-1}(p)a_{n/p^{d-1}}(f) + B_{2d}(p)a_{n/p^d}(f)) \\ & \geq (k-1)(1 + \text{ord}_p n) \end{aligned}$$

*for  $n \geq 1$ .*

**Remark 3.4.** When  $k = 2$ , the  $2d$ -dimensional representation of  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  can be presented explicitly by considering the Tate module of the Jacobian of  $X_\Gamma$  (See [9] for the definition of Tate module).

**Definition 3.5.** The two forms  $f$  and  $g$  above are said to satisfy the **Atkin and Swinnerton-Dyer congruence relations** if, for all primes  $p$  not dividing  $MN$  and for all  $n \geq 1$ ,

$$(a_{np}(f) - b_p(g)a_n(f) + \chi(p)p^{k-1}a_{n/p}(f))/(np)^{k-1}$$

is integral at all places dividing  $p$ .

The following are two examples satisfy the Atkin and Swinnerton-Dyer congruence relations.

**Example 3.6.** For the noncongruence subgroup  $\Gamma_{711}$  studied in [1], the space  $\mathcal{S}_4(\Gamma_{711})$  is 1-dimensional. Let  $f$  be a nonzero 14-integral form in  $\mathcal{S}_4(\Gamma_{711})$ . Scholl proved in [8] that there is a normalized newform  $g$  of weight 4 level 14 and trivial character such that  $f$  and  $g$  satisfy the Atkin and Swinnerton-Dyer congruence relations.

**Example 3.7.** An another example is demonstrated in [4]. Let  $\Gamma$  be the index 3 noncongruence subgroup of  $\Gamma^1(5)$  such that the widths at two cusps  $\infty$  and  $-2$  are 15.

- (1) Then  $X_\Gamma$  has a model over  $\mathbb{Q}$ ,  $\kappa = 1$ , and the space  $S_3(\Gamma)$  is 2-dimensional with a basis consisting of 3-integral forms

$$f_+(\tau) = q^{1/15} + iq^{2/15} - \frac{11}{3}q^{4/15} - i\frac{16}{3}q^{5/15} - \frac{4}{9}q^{7/15} + i\frac{71}{9}q^{8/15} + \frac{932}{81}q^{10/15} + O(q^{11/15}),$$

$$f_-(\tau) = q^{1/15} - iq^{2/15} - \frac{11}{3}q^{4/15} + i\frac{16}{3}q^{5/15} - \frac{4}{9}q^{7/15} - i\frac{71}{9}q^{8/15} + \frac{932}{81}q^{10/15} + O(q^{11/15}),$$

- (2) The 4-dimensional  $l$ -adic representation  $\rho_l$  of  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  associated to  $S_3(\Gamma)$  constructed by Scholl is modular. More precisely, there are two cuspidal newforms of weight 3 level 27 and character  $\chi_{-3}$  given by

$$g_+(\tau) = q - 3iq^2 - 5q^4 + 3iq^5 + 5q^7 + 3iq^8 + 9q^{10} + 15iq^{11} - 10q^{13} - 15iq^{14} - 11q^{16} - 18iq^{17} - 16q^{19} - 15iq^{20} + 45q^{22} + 12iq^{23} + O(q^{24}),$$

$$g_-(\tau) = q + 3iq^2 - 5q^4 - 3iq^5 + 5q^7 - 3iq^8 + 9q^{10} - 15iq^{11} - 10q^{13} + 15iq^{14} - 11q^{16} + 18iq^{17} - 16q^{19} + 15iq^{20} + 45q^{22} - 12iq^{23} + O(q^{24}),$$

such that over the extension by joining  $\sqrt{-1}$ ,  $\rho_l$  decomposes into the direct sum of the two  $\lambda$ -adic representations attached to  $g_+$  and  $g_-$ , where  $\lambda$  is a place of  $\mathbb{Q}(i)$  dividing  $l$ .

- (3)  $f_+$  and  $g_+$  (resp.  $f_-$  and  $g_-$ ) satisfy the Atkin and Swinnerton-Dyer congruence relations.

## 4. Atkin and Swinnerton-Dyer congruences associated to Fermat curves

### § 4.1 Fermat curve

For a positive integer  $n$ , let  $F_n$  denote the **Fermat curve**  $x^n + y^n = 1$  of degree  $n$ . There are some properties of Fermat curves.

**Lemma 4.1.** *For  $n \geq 1$ , the genus of  $F_n$  is  $(n-1)(n-2)/2$ , and for  $n \geq 3$ , a basis for the space of holomorphic 1-form is*

$$\omega_{i,j} = \frac{x^i dx}{y^{j+2}}, \quad 0 \leq i \leq j \leq n-3.$$

As shown in [10], we have following two lemmas.

**Lemma 4.2.** *The Fermat curve  $F_n$  is the modular curve associated to the group  $\Gamma_n$  generated by*

$$\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}^n, \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}^n, \Gamma(2)',$$

where  $\Gamma(2)'$  denotes the commutator subgroup of  $\Gamma(2)$ .

Moreover, let

$$\theta_2(\tau) = \sum_{n \in \mathbb{Z}} q^{(2n+1)^2/8}, \quad \theta_3(\tau) = \sum_{n \in \mathbb{Z}} q^{n^2/2}, \quad \theta_4(\tau) = \sum_{n \in \mathbb{Z}} (-1)^n q^{n^2/2},$$

and  $\lambda = \theta_2^4/\theta_3^4$ . Then the Fermat curve  $x^n + y^n = 1$  is parameterized by  $(x, y) = (\sqrt[n]{1-\lambda}, \sqrt[n]{\lambda})$ .

**Lemma 4.3.** *If  $n \neq 1, 2, 4, 8$ , then  $\Gamma_n$  is a noncongruence subgroup.*

Let  $\zeta = e^{2\pi i/n}$  and  $\mu_n$  be the group of  $n$ th root of unity. The group  $G = \mu_n \times \mu_n$  acts on  $F_n$  by  $(\zeta^i, \zeta^j) : (x, y) \mapsto (\zeta^i x, \zeta^j y)$ . Let

$$\sigma : (x, y) \mapsto (\zeta x, y), \quad \tau : (x, y) \mapsto (x, \zeta y).$$

Assume that  $H$  is a subgroup of  $G$ . We consider the quotient curve  $F_n/H$ . The pullbacks of holomorphic 1-forms on  $F_n/H$  will be holomorphic 1-forms on  $F_n$  that are invariant under the action of  $H$ . Say,  $\omega_{i,j} = x^i dx/y^{j+2}$  is invariant under the action of  $H$ . Using the parameterization given in Lemma 4.2, we get a cusp form

$$f_{i,j} = \frac{x^i q dx/dq}{y^{j+2}} = \sum_{k=1}^{\infty} a_k q^{k/2n}$$

on  $\Gamma_n$ . On the other hand, we may consider the  $L$ -function  $L(s, F_n/H)$ , i.e., the  $L$ -function of the Galois representation  $\rho_{F_n/H}$  of  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  attached to the algebraic curve  $F_n/H$ . (We assume for the moment that  $F_n/H$  is always defined over  $\mathbb{Q}$  for all  $H$  and  $n$ ).

#### § 4.2 Case $x^6 + y^6 = 1$

Noticing that  $\lambda = 16q^{1/2} + \dots$ , we slightly modify the Fermat curve and consider the curve

$$x^n + 16y^n = 1$$

instead (so that the cusp form  $f_{i,j} = x^i q dx/y^{j+2} dq$  has rational Fourier coefficient). We shall still let  $F_n$  denote this curve. Also we let

$$\sigma : (x, y) \mapsto (\zeta x, y), \quad \tau : (x, y) \mapsto (x, \zeta y),$$



where  $\zeta = e^{2\pi i/n}$ . Note that a differential form  $x^i dx/y^{j+2}$  is fixed by  $\sigma^a \tau^b$  if and only if

$$(i+1)a - (j+2)b \equiv 0 \pmod{6}.$$

The following table lists the subgroup  $H_{i,j}$  of  $G = \mu_6 \times \mu_6$  that fixes  $\omega_{i,j}$ .

$\omega_{0,0}$	$\omega_{0,1}$	$\omega_{1,1}$	$\omega_{0,2}$	$\omega_{1,2}$	$\omega_{2,2}$	$\omega_{0,3}$	$\omega_{1,3}$	$\omega_{2,3}$	$\omega_{3,3}$
$\langle \sigma^2 \tau \rangle$	$\langle \sigma^3 \tau \rangle$	$\langle \sigma^3 \tau^2 \rangle$	$\langle \sigma^4 \tau \rangle$	$\langle \sigma^2 \tau, \sigma^3 \rangle$	$\langle \sigma^2 \tau^3 \rangle$	$\langle \sigma^5 \tau \rangle$	$\langle \sigma^5 \tau^2 \rangle$	$\langle \sigma \tau^3 \rangle$	$\langle \sigma \tau^2 \rangle$

We now work out the equations for the curves  $F_6/H_{i,j}$ .

**Lemma 4.4.** *We have*

group	differential forms	equation
$\langle \sigma^2 \tau \rangle$	$\omega_{0,0}, \omega_{1,2}$	$v^2 = u^6 + 1$
$\langle \sigma^3 \tau \rangle$	$\omega_{0,1}$	$v^2 = u^3 - 1$
$\langle \sigma^4 \tau \rangle$	$\omega_{0,2}$	$v^2 = u^3 + 4$
$\langle \sigma^5 \tau \rangle$	$\omega_{0,3}, \omega_{1,2}$	$v^2 = u^6 - 1$
$\langle \sigma \tau^2 \rangle$	$\omega_{1,2}, \omega_{3,3}$	$v^2 = u^6 + 1$
$\langle \sigma^3 \tau^2 \rangle$	$\omega_{1,1}$	$v^2 = u^3 + 1$
$\langle \sigma^5 \tau^2 \rangle$	$\omega_{1,3}$	$v^2 = u^3 + 16$
$\langle \sigma^2 \tau^3 \rangle$	$\omega_{2,2}$	$v^2 = u^3 + 4$
$\langle \sigma \tau^3 \rangle$	$\omega_{2,3}$	$v^2 = u^3 - 16$
$\langle \sigma^2 \tau, \sigma^3 \rangle$	$\omega_{1,2}$	$v^2 = u^3 + 1$

*Proof.* Here we prove the case  $\langle \sigma^2 \tau \rangle$ . Consider both of  $xy^4$  and  $y^6$  are fixed by  $\langle \sigma^2 \tau \rangle$ , and the mapping  $(x, y) \mapsto (xy^4, y^6)$  is 6-to-1. Thus,  $xy^4$  and  $y^6$  generate the subfield of the function field of  $F_6$  that is fixed by  $\langle \sigma^2 \tau \rangle$  and an equation for  $F_6/\langle \sigma^2 \tau \rangle$  is given by the relation

$$U^6 = V^4 - 16V^5$$

between  $U = xy^4$  and  $V = y^6$ . Now the curve  $U^6 = V^4 - 16V^5$  is birationally equivalent to  $v^2 = u^6 + 1$  with the birational maps

$$u = \frac{2V}{U}, \quad v = \frac{V^2(8V-1)}{U^3}, \quad U = \frac{u^2}{4(u^3-v)}, \quad V = \frac{u^3}{8(u^3-v)}$$

This proves the case  $\langle \sigma^2\tau \rangle$ . □

**Remark 4.5.** We can compute the genus of  $F_6/H$  using the Riemann-Hurwitz formula. Taking  $H = \langle \sigma^2\tau \rangle$  for example. For the affine part of  $F_6$ , the covering  $F_6 \rightarrow F_6/H$  is unramified at those points of  $F_6$  where  $P_j(\zeta^{2j}x, \zeta^jy)$ ,  $j = 0, \dots, 5$  are 6 distinct points. If  $y \neq 0$ , then the six points are distinct. At those points, the covering is unramified. On the other hand, if  $y = 0$ , then  $P_0 = P_3$ ,  $P_1 = P_4$ ,  $P_2 = P_5$ . The covering is ramified at those points with ramification index 2. There are totally 6 such points  $(\zeta^k, 0)$ ,  $k = 0, \dots, 5$ . Thus, the contribution from the affine part to the total branch number is 6. The infinity part of  $F_6$  consist of 6 points  $Q_j = (\zeta^{j+1/2} : 1 : 0)$ . We have

$$\sigma^2\tau(Q_j) = (\zeta^{j+5/2} : \zeta : 0) \sim (\zeta^{j+3/2} : 1 : 0) = Q_{j+1}$$

Therefore, the covering is unramified at the 6 infinity points, and the total branch number is 6. By the Riemann-Hurwitz formula, if  $g$  is the genus of  $F_6/\langle \sigma^2\tau \rangle$ , then

$$10 - 1 = 6(g - 1) + \frac{6}{2}.$$

Hence, we conclude that the genus of  $F_6/\langle \sigma^2\tau \rangle$  is 2 and the subspace of differential 1-forms on  $F_6$  that are invariant under  $\langle \sigma^2\tau \rangle$  should have dimension 2.

**Theorem 4.6.** The genus of  $F_n/H$  for a cyclic subgroup  $H = \langle \sigma^a\tau^b \rangle$  of  $\mu_n \times \mu_n$  with  $a, b$  are relative primes is

$$g = \frac{n - d_a - d_b - d_{(a-b)}}{2} + 1$$

where  $d_x$  is the greatest common divisor of  $x$  and  $n$ .

*Proof.* By the Riemann-Hurwitz formula, we only need to verify the total branch number  $B$  is  $n(d_a + d_b + d_{(a-b)} - 3)$ .

For the affine part of  $F_n$ , the covering  $F_n \rightarrow F_n/H$  is unramified at those point of  $F_n$  where  $P_j = (\zeta^{aj}x, \zeta^{bj}y)$ ,  $j = 0, \dots, n-1$  are  $n$  distinct points. If  $x \neq 0$  and  $y \neq 0$ , since  $a, b$  are relative primes, we know the  $n$  points are distinct. At those points, the covering is unramified. On the other hand, if  $x = 0$ , then  $P_0 = P_{n/d_b} = \dots = P_{(d_b-1)n/d_b}$ ,  $P_1 = P_{n/d_b+1} = \dots = P_{(d_b-1)n/d_b+1}$ ,  $\dots$ ,  $P_{n/d_b-1} = P_{n/d_b+n/d_b-1} = \dots = P_{(d_b-1)n/d_b+n/d_b-1}$ . The covering is ramified at those points with ramification index  $d_b$ . There are totally  $n$  such points. Similarly, we can determine the case  $y = 0$ . Thus, the contribution from the affine part to the total branch number is  $n(d_a - 1) + n(d_b - 1)$ . The infinity part of  $F_n$  consist of  $n$  points  $Q_j = (\zeta^{j+1/2} : 1 : 0)$  We have

$$\sigma^a \tau^b(Q_j) = (\zeta^{j+a+1/2} : \zeta^b : 0) \sim (\zeta^{j+(a-b)+1/2} : 1 : 0) = Q_{j+(a-b)}$$

Replaces  $a - b$  by  $a - b \pmod n$  if necessary. Therefore, the ramification index of the covering is  $d_{(a-b)}$ , and the total branch number of the infinity part is  $n(d_{a-b} - 1)$ . Sum up the total branch numbers of the affine part and the infinity part, we have  $B = n(d_a + d_b + d_{(a-b)} - 3)$ .  $\square$

**Lemma 4.7.** *The L-functions for the curves in Lemma 4.4 are*

equation	L-function
$v^2 = u^3 + 16$	$L(s, f_{27})$
$v^2 = u^3 + 1$	$L(s, f_{36})$
$v^2 = u^3 + 4$	$L(s, f_{108})$
$v^2 = u^3 - 1$	$L(s, f_{36} \otimes \chi_{-4})$
$v^2 = u^3 - 16$	$L(s, f_{27} \otimes \chi_{-4})$
$v^2 = u^6 + 1$	$L(s, f_{36})^2$
$v^2 = u^6 - 1$	$L(s, f_{36})L(s, f_{36} \otimes \chi_{-4})$

Here

$$f_{27}(\tau) = \eta(3\tau)^2 \eta(9\tau)^2, \quad f_{36}(\tau) = \eta(6\tau)^4$$

**Remark 4.8.** The modular forms  $f_{27}$ ,  $f_{36}$ ,  $f_{108}$  have the following description in terms of Hecke characters.

Let  $K = \mathbb{Q}(\sqrt{-3})$  and  $\zeta = e^{2\pi i/6}$ . The ring of integers  $\mathcal{O}_K$  is  $\mathbb{Z} + \mathbb{Z}\zeta$ . Let  $m = 3$  and define  $\chi$  as follows. If  $a + b\zeta \in \mathcal{O}_K$  is not relatively prime to 3, we let  $\chi(a + b\zeta) = 0$ . For each  $a + b\zeta$  in  $\mathcal{O}_K$  relatively prime to  $m$ , there exists a unique integer  $j$  with  $0 \leq j < 6$  such that  $a + b\zeta \equiv \zeta^j \pmod{m}$ . We set  $\chi(a + b\zeta) = \zeta^{-j}(a + b\zeta)$ . That is,

$(a, b) \pmod{3}$	(0, 1)	(0, 2)	(1, 0)	(1, 2)	(2, 0)	(2, 1)
$\chi(a + b\zeta)/(a + b\zeta)$	$\zeta^5$	$\zeta^2$	1	$\zeta$	-1	$\zeta^4$

Then

$$f_{27}(\tau) = \frac{1}{6} \sum_{a+b\zeta \in \mathcal{O}_K} \chi(a + b\zeta) q^{a^2+ab+b^2}.$$

For  $f_{36}$ , we let  $m = 2\sqrt{-3}$  and define  $\chi$  as follows. If  $a + b\zeta \in \mathcal{O}_K$  is not relatively prime to  $m$ , we set  $\chi(a + b\zeta) = 0$ . For each  $a + b\zeta$  in  $\mathcal{O}_K$  that is relatively prime to  $2\sqrt{-3}$ , there exists a unique integer  $j$  with  $0 \leq j < 6$  such that  $a + b\zeta \equiv \zeta^j \pmod{m}$ . We set  $\chi(a + b\zeta) = \zeta^{-j}(a + b\zeta)$ . Then

$$f_{36}(\tau) = \frac{1}{6} \sum_{a+b\zeta \in \mathcal{O}_K} \chi(a + b\zeta) q^{a^2+ab+b^2}.$$

*Proof.* The only parts that requires a proof are  $v^2 = u^6 + 1$  and  $v^2 = u^6 - 1$ . Here we consider the case  $v^2 = u^6 - 1$ . Let  $x = u^2$  and  $y = v$ . Then we have  $v^2 = u^3 - 1$ . In other words, we have a two-fold cover from  $v^2 = u^6 - 1$  to  $y^2 = x^3 - 1$ . Likewise, let  $x = -1/u^2$  and  $y = v/u^3$ . We have  $y^2 = x^3 + 1$ . Then  $L(s, v^2 - u^6 + 1) = L(s, f_{36})L(s, f_{36} \otimes \chi_{-4})$ .  $\square$

**Theorem 4.9.** The cusp forms  $f_{i,j} = x^i y^{-j-2} dx/dq$  satisfy the ASD congruences with the following L-function.

$f_{i,j}$	$L$ -function
$f_{0,0}$	$L(s, f_{36})$
$f_{0,1}$	$L(s, f_{36} \otimes \chi_{-4})$
$f_{1,1}$	$L(s, f_{36})$
$f_{0,2}$	$L(s, f_{108})$
$f_{1,2}$	$L(s, f_{36})$
$f_{2,2}$	$L(s, f_{108})$
$f_{0,3}$	$L(s, f_{36} \otimes \chi_{-4})$
$f_{1,3}$	$L(s, f_{27})$
$f_{2,3}$	$L(s, f_{27} \otimes \chi_{-4})$
$f_{3,3}$	$L(s, f_{36})$

In fact, we find

$$f_{0,0}(\tau) = f_{36}(2\tau/3), \quad f_{1,2}(\tau) = f_{36}(\tau/3),$$

$$f_{3,3}(\tau) = f_{36}(\tau/6), \quad f_{0,3}(\tau) = f_{36} \otimes \chi_{-4}(\tau/6).$$

Also,

$$f_{0,1}(2\tau) = q + \frac{4}{3}q^3 - \frac{10}{9}q^5 + \frac{40}{81}q^7 - \frac{553}{243}q^9 - \frac{3740}{729}q^{11} + \dots,$$

$$f_{1,1}(2\tau) = q - \frac{4}{3}q^3 - \frac{10}{9}q^5 + \frac{40}{81}q^7 - \frac{553}{243}q^9 + \frac{3740}{729}q^{11} + \dots,$$

$$f_{0,2}(3\tau) = q + \frac{8}{3}q^4 - \frac{4}{9}q^7 - \frac{320}{81}q^{10} - \frac{154}{243}q^{13} - \frac{3328}{729}q^{16} + \dots,$$

$$f_{2,2}(3\tau) = q - \frac{8}{3}q^4 - \frac{4}{9}q^7 + \frac{320}{81}q^{10} - \frac{154}{243}q^{13} + \frac{3328}{729}q^{16} + \dots,$$

$$f_{1,3}(6\tau) = q + \frac{4}{3}q^7 - \frac{46}{9}q^{13} - \frac{472}{81}q^{19} + \frac{1985}{243}q^{25} + \frac{3532}{729}q^{31} + \dots,$$

$$f_{2,3}(6\tau) = q - \frac{4}{3}q^7 - \frac{46}{9}q^{13} + \frac{472}{81}q^{19} + \frac{1985}{243}q^{25} - \frac{3532}{729}q^{31} + \dots.$$

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