國立交通大學

應用化學系碩士班

碩士論文

一維奈米結構釠氧化物VO2, V2O3和β-NaxV2O5之 成長及其鑑定 Growth of Characterization of Nearly-Aligned VO2, V2O3 and β-NaxV2O5 Nanowires

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中華民國一百年七月

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Growth of Characterization of Nearly-Aligned VO₂, V₂O₃ and

β -Na_xV₂O₅ Nanowires

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Growth of Characterization of Nearly-Aligned VO₂, V₂O₃ and β -Na_xV₂O₅ Nanowires

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English Abstract

We report a deposition process to prepare nearly aligned binary and ternary vanadium oxides nanowires, including VO₂(R), VO₂(B), V₂O₃, and β -Na_xV₂O₅. In the first section, $VO_2(R)$, $VO_2(B)$, and V_2O_3 nanowires were prepared by reducing the precursor of V_2O_5 nanowires with controlled concentration of reducing gas flow and reduced period. The large 1896 portions of NWs microstructure are successfully preserved during the reduction, and are still nearly vertical-aligned on the surface. The growth directions of these reducing products were influenced by the growth direction of the original phase, V₂O₅ NWs. On the basis of the growth direction of each product, possible mechanisms of conversion during reduction reaction are proposed. In the second section, β -Na_xV₂O₅ nanowires were prepared via a route combined the original thermal evaporation procedure with additive pre-treatment of the surfaces of substrates. The sodium silicate, source of the sodium, was first coated on the substrates and the amount of precursor was carefully controlled on a Na⁺-free substrate. The length of the β -Na_{0.24}V₂O₅ wires could be controlled in the range of 5-25µm by changing the reaction condition, which grew along the [100] direction.

X-ray powder diffraction (XRD) and transmission electron microscopy (TEM) were used to confirm the crystallinity of oxide nanowires. The effect of the controlled amount of deposited material and morphology were studied. A possible formation mechanism was proposed. The field emission properties of these binary and ternary vanadium oxides nanowires have been investigated. The results show that the as-prepared NWs possess excellent field emission performances with low turn-on field, large emission current density and linear Fowler-Nordheim behaviors. The studies suggest that these 1D nanomaterials could serve as promising candidates for future field emission devices.



一維奈米結構釠氧化物 VO_2 , V_2O_3 和 β -Na_x V_2O_5 之

成長及其鑑定

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Chinese Abstract

在這份研究報告當中,我們提出一套新穎的合成方法來沉積接近垂直成長之奈米線 薄膜。能經由此套合成方法製備出的材料有R相之釩氧化二釩(VO₂(R))、B相之二氧化釩 (VO₂(B))、三氧化二釩(V₂O₃))以及β相的鈉釩氧化物(β-Na_xV₂O₅)奈米線,這套合成方法 能細分成兩個部分。在第一部分當中,V₂O₅奈米線是作為一前驅產物,先一步的由熱 蒸鍍合成法製備而得。接著再進一步的將V₂O₅奈米線在還原氣體的氛圍下加熱,進行 還原反應。在此還原反應中,有影響的可變參數為還原溫度、還原反應時間以及還原氣 體的組成。經由準確的調控反應參數能夠成功的製備出三個具有不同結晶性釩氧化物的 奈米線,其中包括VO₂(R),VO₂(B)和 V₂O₃。在還原反應之後,獲得的釩氧化物能夠順 1896 利得保有原先的奈米線構型,且奈米線也仍然是近乎垂直的排列在基板上。在研究奈米 線的結晶性的過程當中,我們發現被還原的釻氧化物其奈米線的成長方向會受到最原始 的V₂O₅奈米線的成長方向所影響。因此,在此論點的基礎下,我們考慮各個奈米線的 成長方向,成功的建構出在還原反應裡可能進行的轉變機制。

再另一個部分中,在原有的熱蒸鍍合成法中加入「基板前處理」後,β-Na_xV₂O₅奈 米線就能成功的被製備獲得。在此系統中,矽酸鈉鹽是作為提供鈉離子來源的反應前驅 物,因此我們在不含鈉的基板上先一步的沉積一層矽酸鈉鹽薄膜並精準的控制其鈉的含 量。接著在熱蒸鍍合成法中,釠氧錯合物會被蒸氣攜帶至基板上再與基板上的鈉離子反 應,進一步的生成出β-Na_xV₂O₅奈米線。經由X光粉末繞射與穿透式電子顯微鏡的量測 之後,能夠推測出β-Na_xV₂O₅奈米線是沿著[100]的方向成長。在實驗的過程當中,我們 發現到會影響此反應的可變實驗參數有反應溫度,熱蒸鍍時所使用的釠氧離子溶液的濃

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度以及所使用的矽酸鈉鹽溶液的濃度。經由調控這些參數,能夠控制成長在基板上的奈 米線長度。最後在研究報告當中,我們將提出其可能的成長機制。

經由探討各個奈米線材的電子場發射性質,顯示出各個材料本身的性質與其場發射 特性具有相當的關連性。此報告中所製備出的奈米線皆具有低觸發電壓(turn-on field)、 高最大發射電流密度(emission current density)。製備出的奈米線材中,V₂O₃奈米線是有 較好的電子場發射性質,其觸發電場值為 5.2V/µm,而在電場值 8.3V/µm的作用底下所 測得的最大發射電流密度為 8.3mA/cm²。這些研究結果皆顯示出, 釩氧化物奈米現在未 來的場發射元件上具有相當大的發展潛力。



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的氣勢 XD,雖然跟你相識只有一年,但是跟你聊天都能很盡興;漸漸顯露本性的金魚, 最近常常聽到妳一針見血的尾刀真的很有趣!在重訓室見識到妳的 power,真的很不簡 單,看的我相當慚愧,我真的該好好努力鍛練一下了 XD;汗操很好的浩恩,你是個很 好揪的運動咖,跟你打羽球、桌球都很有意思,只可惜我的籃球很弱,沒辦法跟你打得 很盡興!另外你真的很愛垂坤的零食,有需要在摳我吧,隨時幫你訂;實驗室的新成員 VG、宅維、昀聲,跟你們相處的時間不長,但是感覺你們都是很有趣的人物,似乎都 很享受學長們的調侃 XD,真心希望你們會喜愛這個 CSlab, Enjoy it!;活潑可愛又很 sweet 的婉欣學妹,雖然妳常常說自己很不會安慰人,但是對我來說妳的關心就是一個 很好的慰藉。我們相差兩屆,沒辦法有更多的相處時光,真的感到相當的可惜,祝福妳 也能有個充實且愉快的兩年實驗室生活, Cheer up!。兩年的研究生生活因為有你們, 而變的精彩許多,真的很感謝大家!希望在未來還能夠有許多相聚的機會。

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在家睡覺的時間變少了,真的很懷念過去,睡前關燈的聊天時光,聊聊今天又作了哪些 事阿、哪個學妹真的很可愛阿,你想追哪個女生阿之類的事情,但是現在不要跟我聊你 女朋友,太閃了,我可受不了!

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Introduction

1.1 Brief review of 1D Nanomaterials

In the past decades, the word "nanotechnology" has been widely mentioned, which means any technology based on nano-scaled materials for any application in the real world. Nanotechnology includes the production and application of physical, chemical, electronic, and biological systems at scales ranging from 1 to 100 nanometers, and the length of 1 nm equals a row of 10 hydrogen atoms. When scale size of material was shrunk to nano-level, the properties of materials behave differently compared to previously bulk ones. For example, gold shows the various color (orange, red, purple, blue) when particle size was changed from 1 to 500 nm.¹ The energy band gap of Si rose from 1.12 eV to 2.60 eV when the size scale of Si was lowered down to $3nm^{3}$.²

Investigation of one-dimension (1D) nanomaterials was one portion of nanoscale materials. It is generally accepted that 1D nanostructures are more prone to charge transport than the bulk crystalline structures. In addition, 1D nanomaterials can demonstrate the characteristics of field emission owing to quantum tunneling effect.³⁻⁴ They are also expected to as potential candidates for both interconnect⁵ and functional units in fabricating sensor,⁶ electronic, optoelectronic⁷, thermoelectric⁸⁻⁹ and electrochemical¹⁰⁻¹² devices with nanoscale dimension. A wide range of metal oxide with 1D nanostructure in belt, rod, wire and

nanotube^{10, 13-15} forms were developed in recent years, which have raised much attention for their peculiar physical properties and their potential applications.^{7, 16-19} Among these metal oxide materials, 1D nanostructure for vanadium family oxides, including binary and ternary vanadium oxide, shows diverse physical and chemical properties in electronic, thermochromic, magnetic, and optoelectronic properties for their variable oxidation states, which have been widely applied on chemical sensors²⁰⁻²³, ultrafast optical switchers, electrochromic²⁴, thermochromic²⁵ devices. Furthermore, the unique structural features also allow the application on rechargeable lithium battery for serving as electrodes.¹¹

In this thesis, we are going to reveal the growth of kinds of vanadium family oxides with nanowires morphology, including V_2O_5 , $VO_2(R)$, $VO_2(B)$, V_2O_3 , and a ternary phase β -Nax V_2O_5 , via a series of procedure containing thermal evaporation, pre-treatment of substrate, and post- reduction treatment. Crystalline, morphology, growth mechanism, and field emission properties of these nanowires and thin-film are deliberated in detail.

1.2 Family of Vanadium Oxide

Within the family of metal oxide, researches of vanadium oxides have been particularly focused in recent years for their diverse electronic, electrochromic, thermochromic, magnetic, and optoelectronic properties. Vanadium adopts different valence states, which can afford several oxidized structures. Besides, single-valence compounds such as VO, V_2O_3 , VO_2 and V_2O_5 , a number of mixed-valence oxides, e.g., V_6O_{13} or $V_{10}O_{24}$, have been reported.²⁶⁻²⁷ Specifically, in this manuscript, we are interested in V_2O_5 , VO_2 and V_2O_3 , each of which has raised more attraction owing to their natural properties. The brief reviews of these vanadium oxides will be introduced clearly in the following section.

1.2.1 Structure, Properties and Application 396

 $1.2.1.1 V_2 O_5$

The structure of V₂O₅ crystallizes in orthorhombic unit cell with lattice parameter of a = 11.51 Å, b = 4.36 Å, c =3.56 Å; and the space group is $Pmn2_1$. V₂O₅ adopts a layer framework with large V–O separation along the crystallographic *b* direction. (see Figure 1.1a) In V₂O₅, V atom is coordinated with five oxygen atoms and forms a VO₅ square pyramid. Along c-axis, VO₅ square pyramids are linked by sharing edge and results in a zigzag chain structure. Two adjacent chains further share their corner oxygen atoms to form the final 2D network. (see Figure 1.1b) The fivefold coordination of vanadium with oxygen is shown in

Figure 1.2. The vanadium atom has coordinated with five oxygen atoms (V–O bond lengths vary from 1.585 Å to 2.021 Å): one with O_1 atoms, one with O_2 atoms and three with O_3 atoms. The distance between V atom and O_1^* atom located at neighboring layer are too far away, leading to weak V-O₁* bond and the layer structure.²⁸ In the family of vanadium compound, V₂O₅ is the most stable one with the highest oxidation state and exhibits peculate layer structure, which are expected to be potential material for applications in chemical sensor, catalyst, electrochemical cell, and photoelectric devices. For example, Zarbin and co-workers fabricate V2O5 nanoparticles to be a cathodic material for Li⁺-based batteries.²⁹ The study showed that the two-dimensional layer structure of V₂O₅, which favors intercalation of guest species, with high charge density and electrochemical stability makes this compound an attractive cathodic material for lithium rechargeable cells. Liu et al. had prepared the single crystal V₂O₅ nanofibers to be an ethanol sensor material.²¹ The V₂O₅ nanobelts are n-type semiconductor and the charge transport proceeds via electron hoping between V^{4+} (impurity) and V^{5+} centers. When the reducing gases like ethanol are attached to the oxygen adsorbates $(O \text{ or } O_2)$ covering on the surface of V₂O₅ nanobelts by hydrogen bond, the charge carriers (V4+) will increase owing to charge transfer from oxygen adsorbates to belts. The study showed that V_2O_5 have excellent potential for sensor application.



Figure 1.1 Projection of the V_2O_5 crystal structure along (a) [100] and (b) [010] axis.



Figure 1.2 Coordination of vanadium with oxygen in vanadium pentoxide.

1.2.1.2 VO₂(R)

Vanadium dioxide (VO₂) is a traditional binary compounds with several allotropic phase, including VO₂(R), VO₂(B), VO₂(A).³⁰ The VO₂(R) with rutile monoclinic structure (space group : P2₁/c (14)) is the most stable phase in thermodynamic concern. The projection of the VO₂(R) structure along [1 0 0] has been shown in Figure 1.3a, which gives a distorted rutile structure. In VO₂(R), vanadium atoms are coordinated with five oxygen atoms and form an octahedral geometry, with their axial axes aligned alternatively along [0 1 1] and [0 -1 1]. The octahedron is not regular which contains one shorter V-O bond length of 1.7 Å and leads to distorted rutile structure with lower symmetry. The adjacent octahedra share edges along the a-axis to form chain structure. (see Figure 1.3b) The 2₁ symmetry can be found on bc-plane and the chain structures are connected by sharing their corners to build a 3D network.



Figure 1.3 Projection of the $VO_2(R)$ structure along (a) [100] and (b) [010] axis.

 $VO_2(R)$ possesses unique semiconductor-to-metal phase transition (SMT) at around 340K. Below the phase transition temperature, $VO_2(R)$ shows semiconductor behavior with a monoclinic crystal (distorted rutile structure), and exhibits the optical property with IR transparent. Above the transition temperature, $VO_2(R)$ converts to tetragonal crystal (regular rutile structure) and shows an abrupt improvement in resistivity beyond (see Figure 1.4).³¹ It becomes a metal-like material, and the optical property changes to IR reflection. Accompanied with the phase transition, $VO_2(R)$ shows not only a reversible abrupt change of electric and magnetic properties but a peculiar change of optical transmittance/reflectance in IR area, which are excellent potential for application in "smart windows".³²



Figure 1.4 Conductivity as a function of reciprocal temperature for the lower oxides of titanium and vanadium. Measurements were made along the [100] direction in VO, and along the c axis in V_2O_3 and $VO_2(R)$.³¹

$1.2.1.3 VO_2(B)$

The other phase of VO₂, VO₂(B) have a little in common with the rutile phase. VO₂(B) possesses larger monoclinic unit cell than that of VO₂(R) with lattice parameter of a = 12.03 Å, b = 3.69 Å, c = 6.42 Å, and β = 106.6° and the space group is C2/m(12). The VO₂(B) structure can be considered as regular-stacked identical layers of atoms along b axes. Figure 1.5 shows the arrangement of polyhedron in the (0 1 0) plane of VO₂(B) and the second layer is shifted with respect to the first one by 1/2, 1/2, 0. In this structure, V-O also forms a six-coordinated environment with slight distortion. The fourfold axes of the octahedra are more or less aligned along a single crystallographic direction, namely, [0 1 0]. Figure 1.5b shows a single layer of (0 1 0) plane for the ideal VO₂(B) structure. The structure is based on an oxygen *hcp* array with ordered oxygen vacancies, drawn here with hollow red circle. Vanadium atoms further occupy in residual octahedral sites.³⁰ Along b-axis, the layer structure stacks through edge-sharing to form a 3D framework.



Figure 1.5 (a) Projection of the VO₂(B) structure along [010]. There are packing of edge sharing octahedra that are only linked by corners in (a,b) planes. Octahedra at y=0 and y=1/2 are, represented yellow and blue, respectively. (b) One (010) plane for the idealized VO₂(B) structure. Note that, with respect to the perfect oxygen bcc lattice, there are oxygen vacancies.

 $1.2.1.4 V_2 O_3$

 V_2O_3 crystallizes in Al₂O₃ (corundum) structure with a hexagonal unit cell (space group: *R*-3c (167)) and the lattice parameters are a = 4.95 Å, b = 4.95 Å, c = 14.00 Å. Figure 1.6 shows the projection of [0 0 1] direction for the V_2O_3 structure, the structure is based on an oxygen *hcp* array with vanadium locating at 2/3 octahedral sites.

 V_2O_3 also undergoes the semiconductor-to-metal phase transition (SMT) with a lower temperature (~-120°C).³¹ During the phase transition process, an insulating, antiferromagnetic phase with monoclinic crystal converts to metal-like phase with corundum structure and a large change in electrical resistivity (~6 order of magnitude) is observed (see figure 1.4).



Figure 1.6 One (001) plane for the V_2O_3 structure. It shows a *hcp* arrays of O atoms (yellow) with vanadium atoms (red) occupied at 2/3 octahedral sites.

1.2.2 Literature Review of Vanadium Oxide

In the past decades, the fabrication of vanadium oxides with 1D nanostructures has been researched intensively. A variety of methods, such as CVD³³⁻³⁴, hydrothermal route²³, sol-gel²⁰, have been developed to prepare vanadium oxides with 1D nanostructure. Table 1.1 summarizes the synthetic method for different vanadium oxides with 1D nanostructure in previous report.

In fact, V_2O_5 tends to form 1D and 2D nanomaterials owing to peculiar layer structure. Recently, lots of groups have proposed a variety of methods such as hydrothermal, sol-gel, CVD ,and electrochemical deposition to control the formation of V_2O_5 1D nanomaterials. For example, Zhou et al. grew V_2O_5 nanobelts from the reaction of ammonia metavanadate with nitric acid under thermal treatment.³⁵ Raible and coworkers had used sol-gel method to synthesis V_2O_5 nanofibers, whose lengths could be controlled by adjusting the reaction period. ²⁰ Bando fabricated V_2O_5 nanowires with centimeter length via a hydrothermal reaction in an aqueous solution of V_2O_5 and H_2O_2 .³⁶

However, less synthetic routes are suitable to fabricate the 1D nanomaterial of reduced vanadium oxides (VO₂(R), VO₂(B), V₂O₃). A common preparative procedure for 1D nanostructure vanadium dioxides of VO₂(R) and VO₂(B) involves the hydrothermal treatment of vanadium precursor with reducing agents. For example, Sediri et al. have reported the use of aniline as both reducing agent and structural templating agent for the preparation of VO₂(B)

nanoneedles from bulk V_2O_5 powder.³⁷ Ji and co-workers successfully fabricated single crystal $VO_2(R)$ nanorods from the reaction of the bulk V_2O_5 powder under hydrothermal treatments in the presence of oxalic acid as reducing agent and $H_2SO_{4(aq)}$ as acidifying agent, respectively.²⁵ $VO_2(R)$ with 1D nanostructures has also been synthesized via PECVD first reported by Guiton et al..³⁸ They had successfully deposited $VO_2(R)$ nanowires on the Si₃N₄ substrates from $VO_2(B)$ powder as a vanadium source under thermal evaporation (900°C-1000°C) with the assistance of the carrier gas flow of Argon gas. V_2O_3 with 1D nanostructure have only been synthesized through reduction reaction from V_2O_5 or VO_2 to V_2O_3 . For example, Santulli et al. first prepared VO_2 nanorods by hydrothermal treatment, and further reduced it to V_2O_3 in the presence of reducing gas.³⁹ Corr and co-workers reported a systematic reduction of V_2O_5 nanoscrolls to $VO_2(R)$ and V_2O_3 by adjusting reduction temperature and duration time.⁴⁰

Material	Synthetic method
V_2O_5	Hydrothermal ^{23, 41} , sol-gel ²⁰ , CVD ³³⁻³⁴ , electrochemical deposition ⁴²
$VO_2(R)$	Hydrothermal ^{25, 43-44} , CVD ^{38, 45} , Reduction ⁴⁰
$VO_2(B)$	Hydrothermal ^{13-14, 37}
V_2O_3	Reduction ³⁹⁻⁴⁰

Table 1.1 Synthetic routes for different vanadium oxides with 1D nanostructure.

1.3 Ternary Phase of Bronze Vanadium Oxide β-Na_xV₂O₅

Recently, the research in low dimensional metal oxide nanomaterial has been focused on ternary phase such as NaV₆O₁₅, Zn₂SnO₄, ZnMgO, and etc. 1D nanostructure for bronze vanadium oxides, such as β -Na_xV₂O₅, AgV₆O₁₅, K₂V₈O₂₁, CaV₆O₁₆, are well known to exhibit a wide range of practical applications.^{22, 46-50} Owing to their unique structural feature combined with chemical and physical properties, they are good candidates for serving as a electrodes for rechargeable lithium battery⁵¹, supercapacitors⁵², and other else. In this study, the interesting 1D nanomaterial of β -Na_xV₂O₅ is the target product, and it will be introduced clearly in the following section.

1.3.1 Structure and Properties

 β -Na_xV₂O₅ is a member of the vanadium bronzes M_xV₂O₅ (M=alkali, alkaline, earth and metal) family. M_xV₂O₅ are mixed-valence compounds, whose structure, magnetic, and electronic properties are demonstrated by the M cationic species and the concentration *x*. The β phase is located around stoichiometric concentration of x = 0.23-0.41. The β -Na_xV₂O₅ possesses a monoclinic unit cell with space group of *C*2/m, and Figure 1.7 shows the unit cell of β -Na_{0.33}V₂O₅ containing six NaV₆O₁₅ formula unit. In the structure of β -Na_{0.33}V₂O₅, the V-O framework comprises of the three distinct types of double chains directed along the b axis. The V1-site has a six-coordinated octahedral geometry and forms a zigzag chain by edge-sharing. The V2 site with a similar octahedral coordination form a two-leg ladder chain of corner-sharing VO₆ octahedra, and the V3 site, having a fivefold square pyramidal coordination constructs a zigzag chain of edge-sharing VO₅ pyramids. The Na⁺ ions are located in the tunnels formed by above V-O framework. In the case of concentration x = 0.33, the Na⁺ ions occupy only one of two nearest-neighboring site A on ac plane. The electrons of the Na⁺ ions are transferred into the d shells of V ions, resulting in the mixed valence states V^{4+} (d^{d}) and V^{5+} (d^{0}).⁵³ Precious study had demonstrated that in the high temperature, T > 136K, the donated electrons are situated at the V1 site, with one half of two V1 site being $V^{4+}.^{54}$ These features make $\beta \cdot Na_x V_2 O_5$ possesses novel physical, magnetic and superconductive properties at a critical condition.⁵⁵⁻⁵⁷



Figure 1.7 The projection of β -Na_xV₂O₅ crystal structure along [010].

1.3.2 Literature Review of β-Na_xV₂O₅

Recently, β -Na_xV₂O₅ has been synthesized with 1D Nanostructure through CVD and hydrothermal route. The hydrothermal method involves the reaction between V₂O₅ and sodium precursor in the presence of reducing agents. For example, Yu et al. first reported a general route for the synthesis of NaV₆O₁₅ single-crystal nanowires based on hydrothermal treatment between V₂O₅ and NaHSO₄·H₂O.⁵⁸ They also successfully synthesized NaV₆O₁₅ nanoneedles by changing the sodium precursor, NaHSO₄·H₂O_(*aq*) to PSMA-Na_(*aq*).⁵⁹ Zhou and co-workers successfully fabricated single crystal NaV₆O₁₅ nanorods from the reaction of bulk V₂O₅ powder under hydrothermal treatment in the presence of NaCl as sodium precursor and H₂O₂ (*aq*) as reducing agent, respectively.⁵¹

Another preparative method based on CVD was proposed by Shivashankar⁶⁰, and the installment is shown in Figure 1.8. They successfully deposited single crystal $Na_xV_2O_5$ NWs on the surface of sodium silicate glass with the assistance of Ar/O₂ flow as carrier gas. In this study, the source of Na⁺ ions were from the glass substrate that diffused into the vanadium oxide precursor and further reacted to form β -Na_xV₂O₅ nanowires.

1.3.3 Application

 β -Na_xV₂O₅ possesses a more rigid 3D network that is different from the V₂O₅ with layer-type structure. The Na⁺ ions are located inside the tunnels of V-O framework, resulting

in the mixed-valence states $V^{5+}(d^0)$ and $V^{4+}(d^1)$. Recently, this particular feature is expected to improve the property of ions transport, charge storage and electronic transport for applications like supercapacitors and cathode material in lithium battery. For example, Zhou and co-workers used single crystalline NaV₆O₁₅ nanorods to serve as a cathode material for rechargeable lithium battery and obtained a high electrochemical performance upon lithium insertion and extraction.⁵¹ Lee et al. had successfully fabricated β -Na_{0.33}V₂O₅ nanobelts via hydrothermal route and used that as an electrode for supercapacitor.⁵² The three dimensional framework crystal structure of the β -Na_{0.33}V₂O₅ nanobelts network electrode shows a high specific capacitance of 320 F·g⁴ and only 34% degradation in specific capacitance being observed in galvanostatic cycling testing after 4000 cycles. These results suggested that the β -Na_{0.33}V₂O₅ nanobelt network structure is a potential energy storage material.



Figure 1.8 Schematic illustration of the hot-wall, low pressure CVD reactor proposed by Shivashankar.⁶¹

1.4 Thermal Evaporation-Like Synthesis for Vanadium Oxide NWs

Recently, we applied a facile route to control the growth of nearly aligned MoO₃ and V_2O_5 nanowires (NWs) on varies substrates based on thermal evaporation.⁶²⁻⁶³ In the case of V_2O_5 , the V_2O_5 nanowires were deposited on the surface of glass substrate as following: First, a mixture of V_2O_5 powder (0.1g, 0.55mmole) and NH₂OH·HCl_(aq)(3M, 2mL) was placed in a glass vial stirring at 50°C. After the color of mixture turned from orange to blue, a glass slide rinsed by ethanol and deionized-water was covered on the top of the glass vial. Thereafter, this installation was transferred to a programming furnace. The temperature was raised to 400°C over duration of 1 hour, and the V_2O_5 nanowires were grown on the surface of glass slide; a schematic illustration appears in Figure 1.9.

Initially, the dispersed bulk V_2O_5 powder was reduced by NH₂OH·HCl_(aq) to form a pre-mixture with blue color indicative of the formation of polyvanadate species (VO_x). During the thermal treatment, the polyvanadate species were transport to the surface of glass with the assistance of H₂O_(g) and NH_{3(g)} (vaporized from decomposed NH₂OH·HCl). During calcination with elevated temperature, the polyvanadate species stacked and crystallized, leading to growth of NW-formed V₂O₅. The study demonstrated that the presence of NH₂OH·HCl in the growth process was found to be essential, which was not only provided the H₂O and NH₃ vapor source but also reduced V₂O₅ to polyvanadate species dissolved in solution. Comparing with other synthetic routes (hydrothermal, sol-gel, etc.) which suffer from long reaction time and complicated cleanup procedures, and this thermal evaporation method exhibits the advantages of simple, economical, mild and time-saving treatment for preparing V_2O_5 nanowires thin film on the substrate directly. Moreover, this method is anticipated to be applied to fabricate various metal-oxide nanocrystals on oxide substrates with special crystal morphologies on choosing a suitable precursor. Owing to these features, this thermal evaporation method still possesses much potential development and is worth to continually study.



Figure 1.9 Schematic illustration of experiments to deposit V_2O_5 NWs on the surface of a substrate.⁶²

1.5 Theory of Electron Field Emission

1D nanostructures, such as nanobelts, nanorods, nanotubes, and nanowires, have raised much attention in the field emission application owing to their unique electrical properties, chemical stability, and high aspect ratios in appearance of these materials. Electron field emission (EFE) is a quantum tunneling phenomenon of electron from the surface of a material subjects to a strong electron field. The energy diagram of a metal-vacuum system without external electric field is shown in Figure 1.10a. When a sufficiently high field was applied on a metal or semiconductor, electrons will tunnel through the surface potential barrier of the sample surface into vacuum level. As shown in Figure 1.10b, the vacuum level is bent and reduced at extremely high electric field. Energy barrier between the surface of metal and the vacuum become so narrow that the electron can tunnel through it easily, even at very low temperature.



Figure 1.10 Energy diagram of metal-vacuum level (a) without electric field, (b) under high electric field.

Fowler and Nordheim obtained the accurate description of field emission, based on tunneling of electrons through the surface potential barrier, in 1928.⁶⁴ Considering the emission of electrons from a object, the emission current defined by multiplying the number of electrons N(W,T) is determined to multiply the flux of electron incident on the surface potential barrier and the tunneling probability of electrons to overcome the potential barrier as shown below.

$$J(E,T) = e \int_0^\infty N(W,T) D(W) dW$$
(1.1)

When E, T represent the applied electric field and the temperature, N(W,T) and D(W) are the function of E, and T. By integrating equation (1.1), it will change into

$$J(E,T) = \frac{e^{3}E^{2}}{16\pi^{2}h\phi t^{2}(y_{0})} \frac{\pi c_{0}k_{B}T}{\sin(\pi c_{0}k_{B}T)} \exp\left[-\frac{4}{3e}\left(\frac{2m}{h^{2}}\right)^{1/2}v(y_{0})\frac{\phi^{3/2}}{E}\right]$$
(1.2)
while the time factor is
$$y_{0} = 3.79 \times 10^{-4} \frac{E^{1/2}}{\phi}$$
(1.3)

(1.3)

The velocity is

$$V(y_0) \tag{1.4}$$

Set T approximate to zero to discuss the field emission, equation (1.2) could be shown as

$$J(F) = AF^{2} \exp\left[\frac{-B\phi^{3/2}}{F}\right]$$
(1.5)

while $A = \frac{e^3}{16\pi\hbar\phi t^2(y_0)}$, and $B = \frac{4}{3e} \left(\frac{2m}{\hbar^2}\right)^{1/2} v(y_0)$
This is known as Fowler-Nordheim equation. Under the field emission condition, y is between 0-1, and the function of v(y) and $t^2(y)$ could be approximated to:

$$t^{2}(y) = 1.1, v(y) = 0.95 - y^{2}$$

By substituting the approximation above, $J = I/\alpha$, and $F = \beta V$ into equation (1.5), the

expression becomes

$$I = aV^{2}exp\left(\frac{-b}{V}\right)$$
(1.6)
where $a = \frac{\alpha A\beta^{2}}{1.1\phi}exp\left[\frac{B(1.44 \times 10^{-7})}{\phi^{1/2}}\right]$ and $b = \frac{0.95B\phi^{3/2}}{\beta}$
 $A = 1.54 \times 10^{-6}, B = 6.87 \times 10^{-7}.$

A denotes the effective emission area and β is the field enhancement factor. There are three factors, α , β , and ϕ , in equation (1.6) could influence the magnitude of the field **1896** emission current density. equation (1.6) can be further expressed as

$$\ln\left(\frac{I}{V^2}\right) = \ln a - b\left(\frac{1}{V}\right) \tag{1.7}$$

As a consequence, for a field emission data, by plotting of $log(I/V^2)$ versus 1/V, a straight line with negative slope could be obtained, and was designated as F-N plot. Through this plot, one can judge the field emission properties of a sample. When the work function of the sample is known, the field enhancement factor could be calculated from the slope of F-N plot.

Emitters	Synthesis method	$E_{to}(V/\mu m)^{a}$	J _{max} (mA/cm ²) ^b	β	ref
V ₂ O ₅ nanowires	Thermal evaporation	8.3	1.6 at field of 18 (V/um)		62
V ₂ O ₅ nanotubes	Template-based wetting	7.3	2.1 at field of 9.0 (V/um)		3
V_2O_5 nanorods	Template-based wetting	6.4	2.31 at field of 9.2 (V/um)		4
V ₂ O ₅ nanowires	Hydrothermal	2.8	14.7 at field of 4.42 (V/um)	2256	36
ZnO nanotubes	CVD	7.3	1.3 at field of 11.8 (V/um)		65
ZnO nanoneedles	CVD	2.5	-	2000	66
MoO ₃ nanowires		3.5	13 at field of 8.0 (V/um)		67
MoO ₃ nanoflowers	CVD	8.7	-	-	68
SnO ₂ nanowires	CVD	4.03	-	1008	69
Ga ₂ O ₃ nanowires	CVD	6.2	-	880	70
WO ₃ nanowires	CVD	4.45	-	-	71
WO ₃ nanowires	CVD	4.0	2.25 at field of 7.0 (V/um)	1904	72

Table 1.2 FE parameters of 1D nanostructures in the previous study

a: E_{to}: turn-on field

b: J_{max}: maximum current density



1.6 Aim of This Thesis

Vanadium oxides and their derivatives exhibit outstanding chemical and physical properties, which are used in many fields. A facile and economical way to grow vanadium oxides NWs represents a significant improvement for application in future nanodevices. The conventional synthetic routes suffer from time-consuming, complicated procedure, and uneconomic. Previously, our group reported a facile route to control the growth of nearly aligned V_2O_5 and MoO_3 nanowires on varies substrates based on thermal evaporation. It is anticipated that the synthetic procedure is suitable for preparing other 1D metal oxides.

In this thesis, we extend this deposition process to obtain binary and ternary phase of vanadium oxides with 1D nanostructure. We tried to combine the original thermal evaporation procedure with post-reduction treatment to obtain reduced vanadium oxide. In the first part, V_2O_5 NWs thin-films were first deposited on the surfaces of substrates via a thermal evaporation route. The reduced vanadium oxide phase of VO₂ and V_2O_3 NWs were obtained by adjusting the experimental parameters of reduction. In the second part, the target product we planned to prepare is β -Na_xV₂O₅, and a well–controlled Na⁺ ion source is necessary. Based on this concept, a modified thermal evaporation with an surface pre-treatment of substrate was developed. The sodium silicate is used as the source of the sodium ion, which was first coated on the substrates and the amount of precursor was carefully controlled on a Na⁺-free substrate. The polyvanadate species are delivered to the surface of substrate during

evaporation process, which were further react with Na⁺ ion to form a ternary compound of β -Na_xV₂O₅.

Several analytical techniques were used to confirm the nature of as-prepared typical product, and the crystalline, morphology, reaction condition, and growth mechanism of these nanowires and thin-film would be discussed in detail. Finally, field emission properties of these NWs sample are demonstrated and studied in this work.



Chapter 2.

Controlled Reduction of Nearly-Aligned Nanowires of V_2O_5 to $VO_2(R)$, $VO_2(B)$ and V_2O_3

2.1 Introduction

In the previous section, we mentioned a facile route to control the growth of nearly aligned MoO₃ and V₂O₅ NWs on varies substrates. The as-synthesized V₂O₅ NWs on substrate were further used as a precursor to prepare reduced vanadium oxide nanowires with controlled reduction conductions. In this section, we had set a systematic reduction condition and successfully obtained several reducing vanadium oxide phase of VO₂(R), VO₂(B), and V₂O₃ by adjusting reaction period or the composition reducing gas flow. The structural **1896** information of reducing vanadium oxide nanowires was investigated with various analytic techniques such as SEM, TEM, SAED, XRD, and XPS. In addition, field emission measurements were carried out and the results show small actuation voltages and a large current density of reducing vanadium oxides NWs arrays, which properties are prospectively useful in optoelectronic nanodevices.

2.2 Experimental

2.2.1 Synthesis

2.2.1.1 The V_2O_5 nanowires film

 V_2O_5 nanowires were grown on a substrate with a thermal evaporation method developed in previous study. The details of the deposition procedures are described elsewhere.

2.2.1.2 The Reduction of the Nanowires Thin Film

Before reduction reaction, V_2O_5 NWs were examined with P-XRD to check the purity. Initially, pure V_2O_5 nanowires thin film was placed in an alumina crucible, which was subsequently placed in a tube furnace. The reduction reaction proceeded with constant heating rate 200°C hr⁻¹ and kept at the 450°C. The vanadium oxide nanowires with different oxidation states were obtained by adjusting the composition of the reducing gas and the reaction period, and the parameters were summarized in Table 2.1.

	Parameter of reduction			Refined cell parameter					
					Space				
Product	composition	Temp.	duration	lattice	group	a(Å)	b(Å)	c(Å)	β(°)
VO ₂ (R)	10%H ₂ /90%Ar	450°C	12 hours	monoclinic	P21/c	5.74	4.53	5.38	122.65
VO ₂ (B)	100% H ₂	450°C	2 hours	monoclinic	C2/m	12.03	3.69	6.39	106.88
V_2O_3	100% H ₂	450°C	4 hours	hexagonal	R-3c	4.94	4.94	13.99	-

Table 2.1 Parameter of reduction reaction and refined cell parameter of as-obtained reduced product

2.2.2 Characterization

The crystalline were measured by powder X-ray diffraction (XRD, Bruker AXS D8 Advance, Leipzig Germany) with Cu-Ka radiation operating at 40kV, 40 mA. The micrographs of scanning electron microscope (SEM, Hitachi, S-4700I, operated at 15kV) and transmission electron microscope (TEM, JEOL, JEM-3000F, operated at 200kV) were used to determine the morphology and the size of nanowires. The samples for TEM experimental were prepared as-following: first, the NWs were separated from glass by ultrasonic dispersion of the thin film in ethanol for 5 minutes. Then, the dispersion solution was dropped onto a copper grid (carbon film-coated 100 mesh), and dried in air atmosphere leaving spread NWs on the carbon film. X-Ray photoelectron spectroscopic analysis was made with a XPS spectrometer (PHI Quantera SXM); the binding energy was calibrated by use of the C-1s line at 284.4eV. For field emission measurement, the vanadium oxide thin films were placed into a vacuum chamber with a pressure less than 3×10^{-7} Pa at room temperature. The distance between the electrode and sample was fixed at 100µm and the high-voltage sourcemeter (Keithly 2410) was used to measure the current-to-voltage characteristics.

2.3 Results and Discussion

2.3.1 Synthesis and Reduction Condition

To prepare vanadium oxides NWs thin film with various oxidation states, the as-prepared V_2O_5 NWs were heated in a tube furnace under H₂/Ar pre-mixed gas with specific composition and flow rate of 0.4 SLPM (Standard Liter Per Minute). The initial attempt to reduce V_2O_5 thin film was carried out under ambient concentration of H₂/Ar pre-mixed gas. It was found out that the VO₂(R) and VO₂(B) can be synthesized with low and high concentration of H₂, separately. On the basis of this finding, we set two reduction systems to control the product of reduced vanadium oxide. All parameters and results of the reduction condition are referred in Table 2.2, and the pure phase of reduced vanadium oxides were obtained according to the condition presented in Table 2.1.

	System	temperature	composition	duration	product
1	Mild	450°C	10% H ₂	бhr	V_2O_5
2	Reduction	450°C	$10\%H_2$	9hr	V2O5+VO2(R)
3	System	450°C	$10\%H_2$	12hr	VO ₂ (R)
4	Rapid	450°C	100% H ₂	2hr	VO ₂ (B)
5	Reduction	450°C	100% H ₂	4hr	V_2O_3
5	System	450°C	100% H ₂	6hr	V2O3

Table 2.2 Parameter and final product of two reduction system

2.3.2 Structural and Purity Characterization

The crystallinity and purity of as-prepared NWs were confirmed by using powder XRD, as shown in Figure 2.1. The XRD profile of for V₂O₅ NWs can be indexed as orthorhombic unit cell (JCPDS number: 72-0589, space group: $Pmn2_1$; a = 11.45 (2) Å, b = 4.430 (6) Å, c = 3.542 (3) Å). Figure 2.1b shows the XRD pattern of reducing product obtained at mild reduction condition (condition 1), which corresponds to monoclinic rutile VO_2 (JCPDS number, space group :43-1051) On the other hand, rapid reducing conditions (condition 2 and 3) preferred to form VO₂-B (Figure 2.1c, JCPDS number: 65-7960) and V₂O₃ phases (Figure 2.1d, JCPDS number: 84-0316). Refined lattice parameter of all reducing product were referred in Table 2.1. The XRD profile of VO₂(R) and VO₂(B) show low signal-to-noise ratios for all diffraction peaks, indicative of low yield and the formation of amorphous phase. According to these results, we assume that the reduction reaction can be regarded as continuous process, and the vanadium oxide will be reduced continually by hydrogen. The transformation of V^{4+} into V^{3+} occurs immediately after the conversion of V^{5+} to V^{4+} . V^{4+} can be defined as the transition state during the reduction reaction and we limit the duration time to cease the reaction and preserve the vanadium oxide with V^{4+} state. Furthermore, the amorphous VO_x (oxidation states of V and III) are also preserved at the same time and PXRD profiles reveal a low signal-to-noise ratio. The lowest oxidation state of vanadium ion is +3 in V_2O_3 . When the duration time of reduction was increased, the amorphous VO_x species would be completely converted to V_2O_3 and crystallize, which results in a PXRD pattern with good signal-to-noise ratio.



Figure 2.1 the XRD profiles of (a) V_2O_5 , (b) $VO_2(R)$, (c) $VO_2(B)$ and (d) V_2O_3 .

2.3.3 The Morphology and Structure of As-Obtained Typical Product

The representative morphology and structure of as-prepared NWs were investigated by FE SEM and TEM, as shown in Figure 2.2 and Figure 2.3, respectively. Figure 2.2a shows the SEM image of V_2O_5 NWs, and the microstructures of that are long uniform nanowires. Large portion of V_2O_5 NWs possesses length of up to tens of micrometer as calculated from SEM image. Other SEM image (see Figure 2.4) also confirm the NWs structure of V_2O_5 with width of 70~150nm. The side view image (inset of Figure 2.2a) clearly reveals that V_2O_5 NWs are nearly vertical-aligned to the surface of the glass. Figure 2.2b-2.2d show the SEM images of the reduced product, and the insets are their corresponding side view image. The results suggest that the large portions of NWs microstructure are maintained with nearly vertical-aligned structure to the surface. It is demonstrated that the morphology is not destroyed during the reduction reaction.

Figure 2.3a shows the TEM image of a single V_2O_5 NW and insets show its corresponding selected area electronic diffraction (SAED) pattern (top) and high resolution-TEM (HR-TEM) image (bottom). The SAED pattern and HRTEM image of a single wire recorded from [0 1 0] zone axis, and SAED pattern reveals sharp and clean diffraction spots, indicative of single crystalline property. HRTEM image indicates lattice fringe of 5.72Å and 3.39Å, corresponding to (2 0 0) and (1 0 -1) crystal planes for orthorhombic V₂O₅, respectively. The growth direction is along the c-axis, which is deduced from the angle of 90° between the c-axis and the a axis, consistent with the previous studies. Figure 2.3b-2.3d show the TEM images of single NW of reduced products and insets show their corresponding SAED pattern (top) and HR-TEM image (bottom). The SAED pattern and HR-TEM of VO₂(R), VO₂(B), and V₂O₃ single wire were recorded from zone axis of [2 -1 1], [0 0 1], and [2 -2 1], respectively. All SAED pattern of reduced product also exhibits sharp and clean diffraction spots owing to single crystalline property. The HR-TEM taken of a portion of VO₂-R NWs reveals parallel lattice fringes with inter-layer distance ~3.31 Å and 3.19 Å, which corresponding to plane (1 1 -1) and (0 1 1). Combined with the TEM image, the growth direction of $VO_2(R)$ NW is closed to the vector which is perpendicular to the plane (1 1 -1). The HR-TEM of VO₂(B) NWs shows the d-spacing of 3.5 Å and 5.7 Å, consistent with that of the (1 - 1 0) and (2 0 0), respective. The growth direction of VO₂(B) NW is along the b-axis, which is deduced from the angle of 90° between the b-axis and the a axis. As shown in Figure 2.3d, the marked interplanar d-spacing of 2.5 Å and 5.0 Å corresponds to the (0 1 -2) and (1 -1 4) lattice plane of hexagonal V_2O_3 . The results of SAED and HR-TEM demonstrate that the V₂O₃ NWs are single crystalline in structure along growth direction of the normal vector of plane $(1 \ 1 \ 0)$, which can be deduced by using the angle of 90° between two normal vector of plane (1 1 0) and (1 -1 4). The crystal growth directions of all reduced products are suggested by SAED and HR-TEM analyses, and that is influenced by the conversion of precursor product V₂O₅ NWs.



Figure 2.2 Top view SEM image and corresponding side view image (inset) of (a) V_2O_5 , (b)

 $VO_2(R)$, (c) $VO_2(B)$, (d) V_2O_3 NWs thin-film.



Figure 2.3 TEM (left), SAED (right top), and HRTEM (right bottom) images of as-obtained

(a) V_2O_5 , (b) $VO_2(R)$, (c) $VO_2(B)$, (d) V_2O_3 NWs, respectively.



Figure 2.4 High magnitude resolution of side view image of as-prepared (a) V_2O_5 , (b)

VO₂(R), (c) VO₂(B), (d) V₂O₃ NWs

2.3.4 X-Ray Photoelectron Spectroscopic Analyses.

XPS analyses have been further achieved to investigate the information of the oxidation state of vanadium ions in as-prepared product. As shown in Figure 2.5, the high resolution XPS spectra for V 2p region shows the binding energy of V $2p_{1/2}$ and V $2p_{3/2}$. The peak in V 2p_{3/2} spectrum of VO₂R and VO₂B (Figure 2.5b and 2.5c) are broad ranging from 514 eV to 518 eV, indicative of mixed oxidation states of vanadium ion. After peak modeling, the V $2p_{3/2}$ spectrum contains three contributions at 517.02 eV, 515.93 eV, and 515.04 eV corresponding to V^{5+} , V^{4+} , and V^{3+} ions. These results demonstrate that amorphous phase of V^{3+} and V^{5+} complex certainly exist in VO₂-R and VO₂-B and result in low signal-to-noise ratio in XRD pattern. The broad peak caused by mixed oxidation states of vanadium ions (V⁵⁺, V^{4+} , and V^{3+} ions) can also be found in the V $2p_{3/2}$ spectrum of V_2O_3 (see Figure 2.5d). The appearance of V^{5+} and V^{4+} ions might be due to the oxidation of vanadium ions at the surface of NWs, and this phenomenon are over-amplified by XPS owing to its surface sensitivity. In our case, the amount of oxidation vanadium ions existing at the surface of the NWs are too less to measure at XRD.



2.3.5 Transformation Mechanism

To realize the transformation mechanism, we assume that the crystal growth directions of all reduced products are influenced by the precursor product V₂O₅ NWs. Based on this assumption; we proposed the schematic illustration of transformative evolution according to the growth direction of each phase of VO_x NW. The Figure 2.6 shows the single atomic layers of each phase of VO_x NW along with their corresponding growth plane, which were determined from the crystalline analyses of HR-TEM and SAED. The Figure 2.6a shows one layer of (001) plane for V₂O₅ structure, and the layer structures along b-axis are the key fragment structure for the transformative evolution. During reduction treatment with reducing gas, the distance of each adjacent layer will become close and the layers will connect with each other through the O-V-O bond to form 3D network structure. The lowest oxidation state of vanadium ion is +3 in V_2O_3 , and two intermediates can be found in $VO_2(R)$ and $VO_2(B)$ phases. It is considered as a continuous process, the transformation from V_2O_5 to VO_2 (route a and b) will occur first, then VO_2 phase will further convert into V_2O_3 (route c and d).

In route a, the odd layer of V_2O_5 fragment will be shifted with respective original location by -1/4, -1/2, 0. After the dislocation, the odd layers will connect with even layers by dangling oxygen atoms and the redundant oxygen atoms will be removed by hydrogen molecules. The formative layer structures will close to adjacent one and further form a new bonding between vanadium and oxygen atoms, resulting in VO₂(B) phase structure. In route c, the VO₂(B) phase will further be reduced to form V₂O₃ phase. When the reduction treatment is kept going, VO₂(B) will undergo the similar process with route e. The fragments of VO₂(B) will shift along a-axis and connect with adjacent one through originally dangling oxygen atoms. Also, the redundant oxygen atoms will be removed by hydrogen molecules to form the final V₂O₃ structure.



Figure 2.6 Schematic illustration of transformative evolution. It shows single atom layers of (a) V_2O_5 , (b) $VO_2(R)$, (c) $VO_2(B)$, (d) V_2O_3 along their corresponding growth plane.

2.3.6 Electronic Field Emission Property.

The interesting electronic field emission properties of as-prepared typical product were measured in a vacuum box with a parallel-plate configuration of electrodes with a separation 100µm between the anode and an emitting surface of area 0.785mm². Figure 2.7 reveals the emission current density (J) versus an applied macroscopic field (E) within a ~0-1100V bias voltage range at separations of 100µm between the samples and electrode. The turn-on field (E_{to}), defined as the macroscopic field required producing a current density of 10μ A/cm², and the maximum current density (J_{max}) are summarized at Table 2.3. The un-reduced V₂O₅ NWs thin film possesses the poor FE properties with E_{to} of 8.3 V/µm and J_{max} of 3.68 mA/cm² at the field of 11V/um. The results reveal that the FE properties are improved obviously when the V2O5 NWs convert to other reduced vanadium oxide. Notably, the FE properties are effective to be improved as oxidation state decreasing. Among these typical product, the lowest oxidation state V_2O_3 NWs exhibit the best FE properties with E_{to} of 5.2 V/µm and J_{max} of 8.3 mA/cm² at the field of 8.3V/um. The variations of the turn-on fields may be attributed to the differences in phases and chemical compositions. A Fowler–Nordheim (F–N) plot of (ln I/E2) versus (1/E) appears in the inset of Figure 2.7; a linear relation indicates that the field emission from the films of all vanadium oxides NWs agrees with the F-N theory and the emitted currents are produced owing to quantum tunneling at the surface.



Figure 2.7. The plot of Field emission current density verse applied electric field and its corresponding Fowler-Nordheim plots (inset) of as-prepared (a) V_2O_5 , (b) $VO_2(R)$, (c) $VO_2(B)$, (d) V_2O_3 NWs thin-film, respectively.

	$E_{to}(V/\mu m)$	$I_{max}(mA/cm^2)$
V2O5 NWS	8.3	3.68 at an applied field of $11V/\mu m$
VO ₂ (R) NWs	7.2	4.50 at an applied field of $11V/\mu m$
VO ₂ (B) NWs	6.0	8.21 at an applied field of $11V/\mu m$
V ₂ O ₃ NWs	5.2	8.36 at an applied field of $8.3V/\mu m$

Table 2.3 FE properties as-prepared product NWs

2.4 Summary

In this section, we reported the synthesis of nearly aligned VO_x NWs with precursor of V₂O₅ NWs on substrate. Products of VO₂(R), VO₂(B), and V₂O₃ NWs were successfully obtained via controlled concentration of reducing gas flow and reduced period. We also demonstrate the single crystalline properties of reduced vanadium oxides NWs with specific growth direction. On the basis of the growth direction of each product, possible mechanisms of conversion during reduction reaction are proposed. All as-obtained VO_x NWs possess interesting field emission properties with linear F-N property, which are influenced by morphology of NWs and the nature of material. Among these NWs, V₂O₃ NWS shows the best FE properties with a low turn-on field of 5.3 V/µm and a maximum current density of 8.3 mA/cm² at the applied field of 11.0 V/µm. The feature of vanadium oxide shows excellent FE properties with low turn field and high maximum current density, which might be used as field emission emitter.

Chapter 3

Controlled Synthesis of Nearly Vertical-Aligned Na_{0.24}V₂O₅ Nanowire Thin Films

3.1 Introduction

As a member of vanadium oxide derivative compounds, β -Na_xV₂O₅ (x=0.23-0.41) have been synthesized with 1D nanostructure by CVD and hydrothermal route, which require long reaction time and complicated procedures.^{51-52, 58, 60} Moreover, grown NWs of Na_{1+x}V₃O₈ and β -Na_xV₂O₅ on glass substrates were reported.^{60, 73} The source of Na⁺ ions was from the substrate that diffused into the vanadium oxide precursor.

In this section, a ternary phase of bronze vanadium oxide β -Na_xV₂O₅ NWs were **1896** successfully deposited on the substrate via a modified procedure that combined the original procedures with an additional treatment on the surface of substrate. The source of the Na⁺ is from sodium silicate, which was first coated on the substrates and the amount of precursor was carefully controlled. Several parameters, including reaction temperature and the concentration of reactants, were found to play important roles in controlling morphology of the final products. Field emission measurements were carried out and the results show small actuation voltages and a large current density of β -Na_xV₂O₅ NWs arrays, which properties are prospectively useful in an optoelectronic nanodevice.

3.2 Experimental Section

3.2.1 Synthesis

Thin films of β -Na_xV₂O₅ NWs were grown on a substrate with a two-steps synthetic process including substrate treatment and thermal evaporation.

3.2.1.1 Substrate treatment.

Sodium metasilicate solutions (Na-solution) were prepared by dissolving Sodium metasilicate (Na₂SiO₃ · 9H₂O) in deionized water with concentrations ranging from 0.0125M to 0.05M. Prior to the coating treatment, a sodium-free glass substrate ($2cm\times1cm$) was first cleaned by ethanol and deionized water, followed by dropping 0.2 ml of as-prepared solution onto substrate, and finally dried overnight at 40°C to form a thin film of sodium metasilicate salt on the glass.

3.2.1.2. Thermal evaporation.

The solution for each precursor was prepared as following: V_2O_5 powder (0.1g, 0.55mmole) and NH₂OH·HCl_(aq)(3M, 2mL) were well mixed in a glass vial, and stirred at 50°C. The concentration of precursor solution was ranged from 0.15M to 0.45M with fixed ratio of V_2O_5 and NH₂OH·HCl. The color of mixture finally turned from orange to blue, indicating the reduction of V_2O_5 . Thereafter, a glass substrate as prepared was placed on the top of the vial, and this installation was transferred into a programming furnace. The

temperature was raised to 300°C -400°C with a constant rate of 100°C /hr and kept for 1 hour. Finally, well-aligned β -Na_xV₂O₅ NWs could be found on the lower side of glass.

3.2.2 Characterization.

The as-prepared thin film products were characterized with several analytical techniques. The crystallinity of products were confirmed by powder X-ray diffraction (XRD, Bruker AXS D8 Advance, Leipzig Germany) with Cu-K α radiation ($\lambda = 1.54060$ Å) operating at 40kV, 40 mA. Cell parameters were refined with the program CELREF.⁷⁴ Chemical composition of the β -Na_xV₂O₅ NWs was determined with an inductively coupled plasma-atomic emission spectrometer (ICP-AES, Jarrell-Ash ICAP 9000). The crystal morphology and dimension of NWs were determined from the micrograph analyses of scanning electron microscope (SEM, Hitachi, S-4700I, operated at 15kV) and transmission electron microscope (TEM, JEOL, JEM-3000F, operated at 200kV). To prepare the sample for TEM experiment, the β -Na_xV₂O₅ NWs were scraped from glass by ultrasonic dispersion of the thin-film in ethanol for 5 minutes. The resulting solution was then dropped onto a copper grid (holey carbon-coated 100 mesh), and dried in air to spread NWs on the carbon film. X-ray photoelectron spectroscopic (XPS) analysis was made with a PHI Quantera SXM spectrometer; the binding energy was calibrated by use of the C-1s line at 284.4eV. Diffuse reflectance measurements were performed near 25°C with a UV–visible spectrophotometer (Hitachi/U-3010) and an integrating sphere was used to measure the diffuse reflectance spectra over a range 400–800 nm. For field emission measurement, the β -Na_xV₂O₅ thin films were placed in a vacuum chamber with a pressure less than 5×10⁻⁶ Pa at room temperature. The distance between the sample and electrode was adjusted to 100µm and the current-to-voltage characteristics were recorded by high-voltage source meter (Keithly 2410).



3.3 Results and Discussion

3.3.1 Structural and Composition Characterization

The crystallinity and purity of as-prepared β -Na_xV₂O₅ NWs were confirmed by using powder XRD. Figure 3.1 shows XRD patterns of products as deposited on a glass substrate synthesized at various temperature. The patterns can be indexed on the basis of a monoclinic unit cell with refined lattice parameters of a = 15.40 (3) Å, b = 3.612 (3) Å, c = 10.05 (3) Å, β = 109.5° (2), which is close to the calculated XRD pattern for $Na_{0.76}V_6O_{15}$ (JCPDS number: 75-1653, space group: C2/m(12)). As shown in Figure 3.1, the sample synthesized at lower temperature exhibits broad XRD signal, indicative of poor crystallinity. With raising reaction temperature, sharp XRD signal with high signal-to-noise ratio are observed due to improved crystallinity. Moreover, reaction temperature also affects the intensity of Bragg peaks. For the sample prepared at 400°C, the strongest Bragg peak set is located at (1 1 -1), whereas the samples prepared at low temperature exhibit the same maximum diffraction peak (2 0 0) as the calculated pattern. The results indicate that Na_xV₂O₅ NWs synthesized at 400°C exhibit preferential orientation with (1 1 -1) facet. No obvious preferential orientation is observed for samples synthesized at lower temperature. According to these results, we speculate that the NWs observed at $T < 400^{\circ}C$ were randomly oriented on the substrate without any preferential orientation. This assumption will be demonstrated from cross-section view of SEM images in later section.



Figure 3.1 The calculated XRD pattern and the XRD profiles of typical product synthesized at the temperature of (a) 300°C, (b) 350°C and (c) 400°C.

Chemical composition of typical product was examined with ICP-AES, which shows atomic ratio of Na/V ~ 0.12. Combining with XRD result, it is concluded that the NWs deposited on the substrate via a thermal evaporation route are β phase Na_{0.24}V₂O₅.

3.3.2 The Morphology and Structure of As-Obtained Monoclinic Na_{0.24}V₂O₅ NWs

The size and morphology of the $Na_{0.24}V_2O_5$ NWs as synthesized were first examined with SEM and TEM, as shown in Figure 3.2a and Figure 3.2b, respectively. It is clear that the $Na_{0.24}V_2O_5$ product contains long and uniform NWs with average length of 35µm micrometers. Furthermore, the side view (inset of Figure 3.2a) reveals nearly vertically aligned Na_{0.24}V₂O₅ NWs on the substrate. The TEM image shows a single NW with the width estimated to be 80-100nm. The selected area electronic diffraction (SAED) pattern of a single wire recorded from [-1 3 1] zone axis reveals sharp and clean diffraction spots, indicative of single crystalline property (inset of Figure 3.2b). HRTEM image recorded from [-1 3 1] zone axis indicates lattice fringe of 3.48Å and 2.93Å, corresponding to (2 0 2) and (1 1 -2) crystal planes for Na_{0.24}V₂O₅, respectively. The growth direction along the a-axis is deduced from the angle of 155° between the a-axis and the normal vector of plane (1 1 -2), consistent with the previous studies.^{51, 59}



Figure 3.2 (a) Top view SEM image of $Na_{0.24}V_2O_5$ hin-film and side view in inset (b) TEM (left), SAED (right top), and HRTEM (right bottom) images of as-obtained $Na_{0.24}V_2O_5$ NWs.

3.3.3 X-Ray Photoelectron Spectroscopic Analyses

XPS measurements have been performed to study the information of oxidation states in the Na_{0.24}V₂O₅ NWs. As shown in Figure 3.3a, the spectrum demonstrates the presence of the elements of Na, V, and O. The spectrum for V-2p region (Figure 3.3b) shows binding energies (BE) of V 2p_{3/2} (516.15 eV) and V 2p_{1/2} (523.71 eV). The peak in V 2p_{3/2} spectrum exhibits a shoulder, indicative of mixed oxidation states of vanadium ion. After peak modeling, the V $2p_{3/2}$ spectrum contains two contributions at 516.9 eV and 515.4 eV corresponding to V⁵⁺ and V⁴⁺ ions; similar results can also be found in various ternary vanadium oxide bronze compounds.⁴⁶⁻⁴⁷



Figure 3.3 (a) Overall XPS spectrum and (b) high-magnification XPS spectrum for V-2p region of the NWs of $Na_{0.24}V_2O_5$

3.3.4 Optimization of Synthetic Condition

The coverage density and the average length of β -Na_{0.24}V₂O₅ NWs can be controlled by reaction temperature, the concentration of precursor solution and the concentration of sodium metasilicate during the thermal evaporation. To optimize the synthetic process, we tested several conditions to understand what factors affect the growth of Na_{0.24}V₂O₅ NWs, and the results are summarizes in Table 3.1 and Figure 3.4-3.6. Figure 3.4a-3.4c shows the effect of temperature to Na_{0.24}V₂O₅ NWs that were carried out at 300°C, 350°C, and 400°C, respectively. The average length of Na_{0.24}V₂O₅ wires increase with arising reaction temperature, and the film with the longest average length NWs was obtained at 400°C, similar to our previous study on the V_2O_5 and MoO_3 wires.⁶²⁻⁶³ Notably, the coverage density of NWs increased as temperature arising, indicating that the temperature of deposition affect the amount of Na_{0.24}V₂O₅ nanocrystals created on the substrate. The spaces of NWs growth will be limited when the coverage density of NWs is high, resulting in the growth of nearly vertical NWs with no space to grow NWs along the horizontal orientation. For substrate with low coverage density of NWs, we observe randomly oriented NWs lying on the substrate. The results indicate that the length and amount of Na_{0.24}V₂O₅ NWs can be controlled by reaction temperatures. The substrates with preferred orientation of NWs can be observed in the samples synthesized at high temperature.



Figure 3.4 The side view images of as prepared $Na_{0.24}V_2O_5$ NWs thin-films synthesized at (a) 300°C, (b) 350°C and (c) 400°C, respectively.

The concentration of precursor solution and Na-solution are two additional factors that may affect the yield and morphology of the final product. For comparison, experiments with different concentration of the precursor solutions or sodium metasilicate solutions were prepared to synthesize $Na_{0.24}V_2O_5$ NWs while keeping other synthetic parameters unchanged. Figure 3.5 and 3.6 show the side view of NWs on substrates using different amount of precursor and sodium metasilicate. In general, all reactions yield wired shape of $Na_{0.24}V_2O_5$ NWs that are essentially independent of reaction temperature. For the effect of vanadium precursor, the average lengths of $Na_{0.24}V_2O_5$ NWs are 5.5, 23.1, and 30.5µm for concentration of 0.15, 0.3, and 0.45 M, respectively. For reactions with controlled amount of sodium metasilicate, the average lengths of $Na_{0.24}V_2O_5$ NWs are 12.2, 15.7, and 23.1µm for concentration of 0.0125, 0.025, and 0.05 M, respectively. The results indicate that the coverage density and length of NWs are affected by the concentration of the reactants (either precursor solution or sodium metasilicate solution). The reason for the evolution of NWs might be derived from the supply of reactants. The more the precursors are provided, the longer the growth of $Na_{0.24}V_2O_5$ NWs.



Figure 3.5 The side view images of as prepared $Na_{0.24}V_2O_5$ NWs thin-films synthesized by

using precursor solution of concentration (a) 0.15M, (b) 0.30M and (c) 0.45M, respectively.



Figure 3.6 The side view images of as prepared $Na_{0.24}V_2O_5$ NWs thin-films synthesized by using Na-solution of concentration (a) 0.125M, (b) 0.025M and (c) 0.05M, respectively.

[Na- Solution]	[Precursor Solution]	Reaction temperature	Average length of nanowires
0.05M	0.3M	300°C	15±3 μm
0.05M	0.3M	350°C	20±4 µm
0.05M	0.3M	400°C	26±4 μm
0.05M	0.45M	400°C	37±5 μm
0.05M	0.15M	400°C	7±2 μm
0.025M	0.3M	400°C	15±4 μm
0.0125M	0.3M	400°C	13±3 μm

Table 3.1 Reaction conditions and morphology properties of Na_{0.24}V₂O₅ NWs identified with SEM

3.3.5 Formation Mechanism of As-Obtained Na_{0.24}V₂O₅ NWs

To understand the formation mechanism of as-prepared NWs, the evolution process was **1896** analyzed with XRD. The temperature of reaction was kept at 300 °C, which were terminated at definite reaction periods of 15, 30, and 45 minutes. The products were collected for XRD studies and the results are shown in Figure 3.7. For reaction terminated at 15 minutes, the XRD diffraction peaks can be indexed on the basis of the layered phase $V_2O_5 \cdot xH_2O$, consistent with the previous studies.²¹ For reaction ceased at 30 minutes, the product contains mixtures of NaVO₃ and Na_{0.24}V₂O₅. Finally, the product obtained from reaction period of 45 minutes could be identified as pure single phase of Na_{0.24}V₂O₅. On the basis of these results, we propose a reaction mechanism about the growth of Na_{0.24}V₂O₅ NWs, as shown in Figure During the initial stage of reaction, polyvanadate species (VO_x) were deposited on the substrate with the assistance of vapor transportation.⁶² The polyvanadate species (VO_x) can be considered as mixtures of amorphous and crystalline phase of partially reduced vanadium oxide. At the initial stage, crystalline phase of V₂O₅·xH₂O appeared. Thereafter, the sodium ions from sodium metasilicate were diffused and reacted to polyvanadate species (VO_x and V₂O₅·xH₂O) to form NaVO₃, which was further reacted to form the final product β -Na_xV₂O₅.⁵⁵ The β -Na_xV₂O₅ NWs will continue to grow as polyvanaate species and Na⁺ ion gradually delivered to the seed crystals, resulting in the formation of β -Na_xV₂O₅ NWs. The crystal growth along [100] is affected by stacking of VO_x and the nature of β -Na_xV₂O₅.



Figure 3.7 The XRD pattern of typical intermediate product synthesized at during period of (a)

15 minutes, (b) 30 minutes, (c) 45 minutes.



Figure 3.8 Schematic illustration of reaction mechanism to deposit β -Na_xV₂O₅ NWs on the surface of a substrate

3.3.6 Diffuse Reflectance Measurements

We measured the optical absorption to deduce the intrinsic optical properties of the Na-0.24V₂O₅ NWs aligned on glass substrate. Figure 3.9 shows the UV–visible absorption spectrum of the Na_{0.24}V₂O₅ thin-film that exhibits an onset of absorption near 500 nm, and the optical band gap (*Eg*) for the typical product is calculated from the absorption coefficient, α , using the relation $\alpha h \upsilon = A(h \upsilon - E_g)^{1/2}$ (*A*: constant; $h \upsilon$: energy of incident photon).⁷⁵⁻⁷⁶ The inset of Figure 3.9 shows the plot of $(\alpha h \upsilon)^2$ versus photon energy $h \upsilon$, and the band gap of 2.18eV can be obtained by extrapolating the linear part of the graph to $\alpha h \upsilon = 0$.


3.3.7 Electronic Field Emission Property.

The as-prepared films with nearly aligned Na_{0.24}V₂O₅ NWs may exhibit interesting field emission effect, which was measured with a parallel-plate configuration of electrodes near 295 K with a separation 100µm between the anode and an emitting surface of area 0.785mm². Figure 3.10 depicts the emission current density (J) versus an applied macroscopic field (E) within a ~0-1100V bias voltage range between the anode and samples. The turn-on field (E_{to}) about 7.8V/µm is defined as the macroscopic field required producing a current density of 10μ A/cm². The FE current density can reach 4.66 μ A/cm² when the applied field increases to $11V/\mu m$. The FE properties between thin-films of Na_{0.24}V₂O₅ and V₂O₅ NWs are summarized in Table 3.2. The value of the turn-on field in this study is higher than the results previously reported for vanadium oxide NWs.⁶² The variations of the turn-on fields may be attributed to their crystal structure and chemical composition. A Fowler-Nordheim (F-N) plot of (ln I/E2) versus (1/E) appears in the inset of Figure 3.10; a linear relation indicates that the field emission from the film of Na_{0.24}V₂O₅ NWs conforms to the F-N theory and the emitted current is caused by quantum tunneling at the surface.⁷⁷



plots.

Table 3.2 Comparison of FE properties between as-prepared product and V₂O₅ NWs

	$E_{to}(V/\mu m)$	$I_{max}(mA/cm^2)$
V ₂ O ₅ NWs	8.3	1.8 at an applied field of $18V/\mu m$
Na _x V ₂ O ₅ NWs	7.8	4.66 at an applied field of $11V/\mu m$

3.4 Summary

In this study, high quality and nearly aligned Na_{0.24}V₂O₅ NWs were fabricated via a simple, economical, mild, and template-free thermal evaporation method. The diameter and average length of NWs are 80-100nm and tens of micrometers, which grew along the [100] direction and tilted out of the glass substrate surface. A possible mechanism of crystal growth is proposed, and we also demonstrated that the distribution and length of NWs on thin film could be controlled by reaction temperature, concentration of precursor solution and sodium metasilicate. The as-obtained Na_{0.24}V₂O₅ NWs exhibit excellent field emission properties with a low turn-on field of 7.8 V/ μ m and a maximum current density of 4.66 mA/cm² at the applied field of 11.0 V/ μ m with linear F-N property, which might be used as field emission emitter. These results provide a new strategy to synthesize ternary inorganic NWs with great flexibilities in controlling the sizes, shapes, and coverage density of the NWs on different substrates. This unique synthetic route is expected to be applied to other aligned vanadium oxide bronze NWs, such as $M_x V_2 O_5$ (M = K, Cu, Ag).

Chapter 4

Conclusions

In summary, binary phase (VO₂(R), VO₂(B), and V₂O₃) and ternary phase (β -Na_xV₂O₅) vanadium oxides nanowires have been successfully deposited and nearly vertically-aligned on the surface of substrate via two systematic procedure based on thermal evaporation. These as-prepared 1D nanomaterials possess diameter of 70-150nm and average length of tens micrometer, which grew and tilted on the surface of glass substrate surface with growth direction along specific direction of each product. The growth direction of the reduced products synthesized by post-reduction treatment, including VO₂(R), VO₂(B), and V₂O₃ nanowires, are affected by the growth of the original phase, V₂O₅ nanowires. Based on this **1896** concept, we propose the possible transformation mechanisms during the reduction treatment. On the other hand, the growth direction of β -Na_xV₂O₅ nanowires were examined along [1 0 0] direction, and the possible formation mechanism has also been discussed in detail.

The field emission properties of these binary and ternary vanadium oxides nanowires have been investigated. The as-prepared 1D nanomaterials exhibited excellent field emission performances which are highly dependent on their nature properties of crystal. Field emission properties of these as-prepared nanowires possessed low turn-on field, high emission current density and linear Fowler-Nordheim behaviors. Among these typical products, the lowest oxidation state of vanadium oxides, namely V_2O_3 nanowires, exhibit the best FE properties with E_{to} of 5.2 V/µm and J_{max} of 8.3 mA/cm² at the field of 8.3V/um. These remarkable results suggest that these 1D nanomaterials can be served as promising candidates for future field emission devices.

In this thesis, our study provided a novel synthetic procedure to deposit binary and ternary phase of vanadium oxide with nanostructure on the substrates, which are excellent for preparing metal oxide with 1D nanostructure owing to its utility advantages of simple, economic, time-saving and convenient for depositing thin-film on substrates. This unique synthetic route is anticipated to be applied to fabricate various binary and ternary phase metal-oxide nanocrystals well aligned on substrates with special crystal morphologies in choosing suitable precursors.

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