# 國立交通大學

# 照明與能源光電研究所

# 碩士論文

設計 ITO/TiO<sub>2</sub> 光子晶體基板達成非晶矽太陽電池的吸收提升
Design of High Absorption Structure Using ITO/TiO<sub>2</sub> Photonic
Crystal Substrate for a-Si Thin Film Solar Cells

研究生:施懷翔

指導教授:郭浩中教授

林建中教授

中華民國101年7月

## 設計 ITO/TiO2 光子晶體基板達成非晶矽太陽電池的吸收提升

# Design of High Absorption Structure Using ITO/TiO $_2$ Photonic

## Crystal Substrate for a-Si Thin Film Solar Cells

研究生:施懷翔 Student:Huai-Shiang Shih

指導教授:郭浩中 教授 Advisor: Hao-Chung Kao

林建中 教授 Chien-Chung Lin

國立交通大學 照明與能源光電研究所

碩士論文

A Thesis

Submitted to Institute of Lighting and Energy Photonics

College of Photonics

National Chiao Tung University

in partial Fulfillment of the Requirements

for the Degree of

Master

in

Lighting and Energy Photonics

July 2012

Tainan, Taiwan, Republic of China

中華民國 101 年7月

設計 ITO/TiO2 光子晶體基板達成非晶矽太陽電池的吸收提升

研究生:施懷翔 指導教授:郭浩中、林建中

國立交通大學照明與能源光電研究所 碩士班

### <u>論文摘要</u>

我們藉由設計光子晶體結構來提升反射與達到光誘捕效果,以提升非晶矽薄膜太陽 能電池主動區的光學吸收路徑,增加吸收效率。在這篇文章中,我們建立光子晶體在非 晶砂太陽能電池中的模擬模型,分別位於背光面作為背反射器;以及位於主動區的入光 面作為光子晶體基板,再經由嚴格耦合波分析(RCWA)法計算並分析模擬結果。

在主動區背光面的光子晶體結構,我們選擇材料 ITO (折射率約 2.01)和 a-Si (折射率約 4.25)以六角最密堆積交互排列。經優化後,兩種不同的 ITO 結構——孔洞與柱狀,分別提高了吸收效率 2.89%與 3.26%。而利用最佳化結構有效增加長波長區吸收的特性,可以達到減少主動區厚度節省材料的效果。

在主動區入光面的光子晶體結構,由於ITO/a-Si 光子晶體結構會造成能量損失,因此我們選用TiO<sub>2</sub>(折射率約2.49)取代 a-Si。ITO/TiO<sub>2</sub>光子晶體結構優化後,孔洞結構提升吸收約16.97%; 柱狀結構提升約18.93%。在短長波區,此結構減少了反射,並在長波長區提供光散射增加吸收長度。最後,我們模擬光子晶體基板的光學特性。反射和穿透頻譜顯示,光子晶體基板在入射光由正向到大角度的變化下,都有助於減少反射、增加穿透來提升主動區的吸收。

Design of High Absorption Structure Using ITO/TiO<sub>2</sub> Photonic

Crystal Substrate for a-Si Thin Film Solar Cells

Student: Huai-Shiang Shih Advisors: Prof. H. C. Kuo, Prof. C. C. Lin

Institute of Lighting and Energy Photonics

National Chiao Tung University

**ABSTRACT** 

We demonstrate a flatted photonic crystal (PhC) structure to serve both function of reflection and light trapping effect in a-Si thin film solar cells. In this work, we build a model in simulation of PhC structures in different side of solar cells, rear and front, which is calculated by rigorous coupled-wave analysis (RCWA) method.

In rear side of cell, we chose materials of ITO (n=2.01) and a-Si (n=4.25) to for construction of hexagonal hole and rod array. The optimum structure enhance absorption at about 3.26% by hole and about 2.89% by rod. In other hand, optimized PhC structures can reduce thickness of active layer at about 35% by enhance optical path length in long wavelength region.

In front side, we replace a-Si by TiO<sub>2</sub> (n=2.49) to avoid energy loss brought by a-Si in PhC structures. The optimized ITO/TiO<sub>2</sub> PhC structure enhance at about 18.93% by hole and at about 16.97% by rod. The ITO/TiO<sub>2</sub> PhC structures at front side provide anti-reflective effect in short wavelength region and light scattering effect in long wavelength region. Finally, we simulated optical properties of two substrates possess the same dimension of the front PhC structures. The reflectance and transmittance spectrum show that the PhC substrates contribute more absorption in active layer at large angles of incident light.

ii

## Acknowledgements

兩年的研究生生活一轉眼就過去了,如今馬上就要畢業。首先,我要感謝我的指導教授郭浩中老師和林建中老師。郭老師從我入學以來就時常給我意見,關心我的近況。林老師則是在臺南念書的半年間給予了我許多意見和幫助。兩位教授也在 CLEO 時,和王興宗教授和施閔雄教授一同,為我們一行人提供不論吃飯、生活、還是上台的準備各方面的建議。此外也要感謝余佩慈老師,讓我能自由使用實驗室的量測儀器,並信任我能妥善管理。以及感謝賴芳儀老師兩年當中就算懷有身孕也還是遠道而來參加我們的小組討論。最後則是感謝李柏璁老師和謝嘉民老師參加我們的口試,並肯定我的研究成果。

再來是感謝實驗室的各位學長姊和同學。學長們首先要感謝閔安學長和 Michael 學 長,閔安學長當時身為太陽能組的帶頭大哥,將太陽能組在郭老師個旗下成功蓬勃發展, 讓我們能獲取許多的資源。在學長的嚴厲督促下讓我的研究生活有穩定的進展,也在我 光電年會的投稿題目上給予意見與幫助。有幸參加學長的婚禮給予祝福讓我深感榮幸。 Michael 學長為實驗室留下的許多貢獻,實驗儀器軟硬體的架設,讓我們這些學弟妹做 實驗時事半功倍。此外超強的物理概念和研究知識讓每次去請教問題的我都深感佩服, 相信以學長的本事,未來的研究生涯也能一帆風順。兩位學長雖然只和我們相處一年多 就畢業了,但卻留下了會伴隨到我們畢業;甚至是出社會後也很重要的知識與經驗。然 後我要感謝李博和鏡學,你們兩位就像實驗室的老大哥,縱使總是被郭老師重點關注, 但是你們對實驗室的貢獻我們學弟妹都有感受到。接著要感謝信助學長和國儒學長,由 於你們很好相處讓我總是沒甚麼顧慮,但是你們也是會認真關心我的研究進度,提供想 法與意見。前往 CLEO 時也是有你們兩位的照顧;加上板弟、映佑、Bobo、Jo 博,讓 這趟旅程充滿歡樂。信助學長在閔安學長之後帶領整個太陽能團隊,少了閔安學長那種 緊迫的壓力有好有壞,但我相信每個人都會肯定你的付出。國儒學長在我辦理各項出國 手續時不厭其煩地一一回答我的問題,讓對這些瑣事一竅不通的我順利踏上異國土地在 平安歸來,學長可說是最大功臣。還有就是 Joseph 學長、家華學長和 Gibu 學長, Jo 博 在每一次的大咪中主持會議,在我們碩一時挑出報 paper 的問題;碩二以後關心我們的進度。在我們參加 CLEO 期間還願意飛越整個美洲跑來幫忙讓我們玩得更盡興。家華學長架設管理的許多儀器相信不只是我,實驗室其他人都是受用無窮。縱使在當初不熟練操作時闖了不少禍,學長也還是幫我把問題解決,也答應讓我改裝電燈開關,讓我們實驗沒有太大壓力。Gibu 學長在我實驗遇到問題時前往請教時總是能提供幫助,讓我每多遇到一次問題,就能從學長身上多學到一點東西。

下面要感謝的是肉哥和 Jolin 兩位學長,兩位學長在碩二時就一一地將各項實驗室 的注意事項、儀器使用、做研究的方法教給剛進實驗室的我們。當我們升上碩二時,兩 位學長直升博班讓我和 13 兩個人依然有隨時解決問題的對象。肉哥我知道你總是對我 的進度感到不耐煩,所以一再的對我督促,我很感謝你這樣子的事能不厭其煩地持續到 我畢業。雖然我就要畢業了,但是肉哥你博班的生涯才正要忙碌起來,不過以學長你的 本事,我想縱使無法事事順利,也一定能在最後有不錯的結果。Jolin 學長身為太陽能組 的製程一哥,對實驗室帶來的貢獻絕對非同小可,和你的討論雖然不多,但是我很清楚 你總是會認真回答我的問題,和肉哥的組合對我和 13 來說是我們最大的靠山。還有臺 南林老師實驗室的威麟學長,雖然我們相處只有我在臺南念書的半年,但是很感謝學長 在吃飯和交通上給予的許多幫助。繼續要感謝祐廣、Bobo、蛋黃、名璿、珣珳幾位學長 姊,祐廣你和我們同時加入實驗室,這兩年間互相扶持各有所獲。Bobo 雖然和你相處 的時間不長,但是在 CLEO 期間真的受到你很多幫助。蛋黃和名璿當你們在賴老師那邊 時就我們有所交流提供一些意見,來到郭老師這裡念博班後對我們的幫助更是直接。珣 交學姊妳也常常對我們提供意見,讓我們有新的想法可以嘗試。還有要感謝板弟、映佑、 小健、David,板弟和映佑兩位學長在聖荷西和三藩市帶領我們一行人度過有趣的兩週, 很感謝你們能包容包括那兩週在內,我在實驗室兩年間所帶來的麻煩。小健和 David 我 是真的從學長們身上學到不少東西,和你們的相處帶給我不少樂趣。

實驗室的各位同學們:13、老漢、炫廷、布璽、魔王、球男、萬海、雨婷;以及林 老師實驗室的:一正、印聰、禹軒、磬毓;還有余老師、盧老師、賴老師的學生們:阿 賢、國軒、呈穎、秉承、小蔡、銘洋。和你們一起相處的這段時間,讓我的研究生生活 除了實驗和研究外豐富了許多多采多姿的經歷,能和你們相遇並互相合作奮鬥的這兩年, 一定是相當寶貴的經歷。然後還有鈞凱和芸伶兩位學弟妹,就連我經過實驗室兩年的洗 鍊也能在此時畢業,相信你們也一定能順利完成這個學業。

最後我要感謝我的家人,有你們無條件的支持我才能無後顧之憂的將精力投注在課業上。很遺憾祖父母和外婆在這段期間相繼離開我們,但是能讓祂們知道有個讀交大的孫子一直是我的驕傲。我很明白你們對我的期待,我也很感謝你們無私的付出,在未來的路上我一定會好好努力,活用知識讓自己過上好生活並回報你們,祝你們長命百歲,永遠健康快樂。



# Content

論文摘要	i
Abstract	ii
Acknowledgements	iii
Content	vi
List of Tables	
List of Figures	ix
Chapter 1.Introduction	1
1.1 Brief development of the solar cells	2
1.2 The advantages and the challenge of a-Si thin film solar cells	5
1.3 Motivation	8
Chapter 2.The physics of a-Si thin film solar cells	10
2.1 The physics of solar cells	
2.2 The fundamental structure of thin film solar cells	11
	14
2.3.1 Brief history of a-Si	14
	16
2.3.2 The characteristics of a-Si	10
Chapter 3. Simulation Method: RCWA	25
Chapter 3. Shirulation Method. RCWA	
Chapter 4. Light Management	30
4.1 Light trapping	
4.2 Reflection	
4.3 Photonic crystal (PhC) structure	33

Chapter 5. Simulation34
5.1 Structure in rear side
5.1.1 Optimized Structure
5.1.2 Spectrum analysis
5.2 Structure in front side
5.2.1 Spectrum analysis
5.2.2 Optimized Structure
Chapter 6. Conclusion
Reference

# **List of Table**

Table 4.1 Refractive indices of selected semiconductors and AR coat materials	.31
Table 5.1 The optimum result of ITO/a-Si PhC structure in rear side	39
Table 5.2 The optimum result of ITO/TiO <sub>2</sub> PhC structure in front side	47



# **List of Figures**

Figure 1.1	The amount of energy usage in next 100 years (German Advisory Council on
	Global Change WBGU Berlin 2003)2
Figure 1.2	This is the classification of various materials and the efficiency of solar cells
	under the standard solar simulator irradiation measurement
Figure 1.3	(Upper panel) Spectra of the optical absorption coefficient $\alpha(h\nu)$ as a function of
	photon energy hv for crystalline silicon (c-Si) and for hydrogenated amorphous
	silicon (a-Si:H). (Lower panel) The solid curve indicates the irradiance of photons
	in the solar spectrum with energies hv or larger6
Figure 1.4	(a) Absorption coefficients of a number of different photovoltaic materials. (A
	derivative of the organic semiconductor polyphenylene vinylene (MDMO-PPV) is
	included for comparison.); (b) Maximum photon current available from each
	material under AM1.5 illumination, as a function of film thickness, assuming
	perfect collection of all photogenerated charges. The saturation photocurrent is a
	function of band gap. The maximum photocurrent supplied by the Am1.5
	spectrum is around 49 mA/cm <sup>2</sup> 8
Figure 2.1	In a pn photodiode, excess electrons are donated from the n-type to the p-type
	layers, leaving the charges and electric fields illustrated. Each photon absorbed in
	the undoped, intrinsic layer generates an electron and a hole photocarrier. The
	electric field causes these carriers to drift in the directions shown10
Figure 2.2	Bandedge and Fermi-level profiles in a pin solar cell under open-circuit conditions.
	The open-circuit voltage is precisely the value of $E_{\text{Fh}}$ at the left interface (x = 0).
	The built-in potential $V_{BI}$ is illustrated. Note that the p-layer has a slightly (0.2 eV)
	larger band gap than the i-layer; the calculation assumes symmetrical offsets of
	the valence and conduction bands at the p/i interface11

Figure 2.3	in a pin photodiode, excess electrons are donated from the n-type to the p-type
	layers, leaving the charges and electric fields illustrated. Each photon absorbed in
	the intrinsic layer generates an electron and a hole photocarrier. The electric field
	causes these carriers to drift in the directions shown. pin diodes are incorporated
	into solar cells in either the superstrate or substrate designs. For amorphous
	silicon-based cells, photons invariably enter through the p-type window layer as
	shown here
Figure 2.4	Current density versus voltage under solar illumination for a very early
	single-junction amorphous silicon solar cell (Carlson and Wronski) and from a
	recent "triple-junction" cell (Yang, Banerjee, and Guha). The stabilized efficiency
	of the triple-junction cell is 13.0%; the active area is 0.25 cm <sup>2</sup>
Figure 2.5	(a) hydrogenated amorphous silicon model. The gray areas show that the silicon
	atoms; white ball represents hydrogen, indicating that the clustered and relatively
	isolated dilute configuration (b) the a-Si:H dangling bond density and hydrogen
	density relationship. Data from the deuterium and defect profiles (350°C
	deuterium). The curve of Zafar and Schiff from analog17
Figure 2.6	The density of defects (dangling bonds) in the light a-Si: H film under
	measurement by Park, Liu, and Wagner
Figure 2.7	Seasonal variations in the average conversion efficiency (solid symbols) of an
	amorphous silicon triple-junction module, along with the daily mean temperature
	(open symbols)19
Figure 2.8	In hydrogenated amorphous silicon electronic density of states g(E). The shaded
	areas indicate delocalized states in the bands; these band tail-like state exponential
	distributions. The middle band is a serious defect, such as suspension Si bond
	displayed about two-band peak of the E <sub>F</sub> 20

Figure 2.9	(a) Absorption coefficient spectra for a-SiGe alloys; the optical band gaps and
	corresponding Ge fractions x are 1.25 to 0.58, 1.34 to 0.48, 1.50 to 0.30, 1.72 to
	0.0. (b) Typical optical band gaps for a-Si <sub>1-x</sub> Ge <sub>x</sub> :H alloys for varying Ge-ratio $x$
	and atomic fraction <i>h</i> of hydrogen24
Figure 3.1	Geometry for planar-grating diffraction
Figure 4.1	Light trapping structures with a tilted rear surface, illustrating a "double bounce"
	light path31
Figure 5.1	The photonic crystal (PhC) structure in our design
Figure 5.2	The parameters of (a) thickness, (b) period, and (c) ITO ratio35
Figure 5.3	The simulation model with ITO/ a-Si PhC structure in rear side36
Figure 5.4	(a) Hole structure. (b) Rod structure
Figure 5.5	Integrated current density with different thickness of ITO PhC structure with a-Si
Figure 5.6	filling in between PhC
Figure 5.7	Integrated current density in different period and ITO ratio of (a) hole PhC structure
	and (b) rod PhC structure in rear side. The thickness of PhC structures are 150nm
	both
Figure 5.8	The absorption spectrum in different cases. Hole: period 300nm, thickness 150nm,
	ITO ratio 55%. Rod: period 300nm, thickness 150nm, ITO ratio 65%40
Figure 5.9	Current density of optimum hole (period 300nm, thickness 150nm, ITO ratio 55%)
	and rod (period 300nm, thickness 150nm, ITO ratio 65%) PhC structures with
	different thickness of a-Si active layer. The current density of reference cell with
	430nm active layer is 10.78mA/cm <sup>2</sup>
Figure 5 10	)(a) The simulation model with ITO/TiO <sub>2</sub> PhC structure in front side (b) Hole

structure. (c) Rod structure42
Figure 5.11 The absorption of ITO/a-Si PhC structure in front side of a-Si thin film solar cell,
parameters: period 600nm, thickness 250nm, ITO ratio 50%
Figure 5.12 The absorption of ITO/TiO <sub>2</sub> PhC structure in front side of a-Si thin film solar cell,
parameters: period 600nm, thickness 250nm, ITO ratio 50%
Figure 5.13(a) The integrated current density and (b) average reflection in different thickness
of PhC structure with period 600nm, ITO ratio 50%45
Figure 5.14 Integrated current density in different period and ITO ratio of (a) hole PhC structure
and (b) rod PhC structure in front side. The thickness of PhC structures are 200nm
both
Figure 5.15 The electric field by finite-difference time-domain (FDTD) method in ITO/TiO <sub>2</sub>
PhC structures (a) hole, (b) rod47
Figure 5.16The electric field by finite-difference time-domain (FDTD) method in a-Si back
ground with optimum ITO/TiO2 PhC structures (a) hole, (b) rod48
Figure 5.17The optical properties versus different incident angle of light, the reflection of (a)
reference, (c) hole structure, (e) rod structure, and the transmission of (b)
reference, (d) hole structure, (f) rod structure49

# Chapter 1. Introduction

In recent years, smart phones and 3C products is becoming increasingly popular, thus demand for energy is increasing. But the most important source of energy, fossil fuels, is approaching depletion slowly. Today, worldwide, 68% comes from fossil fuels (41% coal, 21% gas, 5.5% oil), 13.4% from nuclear fission and 19% from hydro and other renewable sources [1]. There is no prospect that we can do without any of these.

International Energy Agency (IEA) mentioned in 2007 and 2030 global energy demand will grow 1.5% at World Energy Outlook 2009. However, according to the BP2009 World Nuclear Association, about 1.258 trillion barrels of oil reserves which about 42 years usage amount, and 185 trillion cubic meters fuel gas reserves which about 60 years usage amount, about 826 billion metric tons coal reserves which is about 122 years usage amount [2].

In this regard, an energy revolution can be expected, and the rise of green energy will play a big lead of the energy revolution. The green energy is a reusable and less polluting energy sources, such as wind, solar, geothermal, tidal, etc. Solar energy has a very large number of sources of supply. Because the sun produces about  $1.76 \times 105$  MW energy per year, of which 600 MW irradiation on the surface of the earth are available. Its energy is 100,000 times than the global average power. With technological advances, our solar energy use efficiency is also increased. It is expected for a large proportion in the next available energy sources account. From Figure 1.1, we can see the future of solar energy usage is expected to continue to rise.

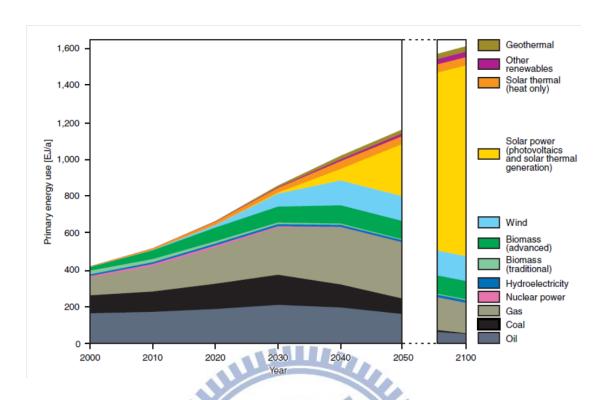


Figure 1-1 The amount of energy usage in next 100 years (German Advisory Council on Global Change WBGU Berlin 2003)

### 1.1 Brief development of the solar cells

The development of the solar cell stems from the work of the French physicist Antoine-César Becquerel in 1839. Becquerel discovered the photovoltaic effect while experimenting with a solid electrode in an electrolyte solution. About 50 years later, Charles Fritts constructed the first true solar cells using junctions formed by coating the semiconductor selenium with an ultrathin, nearly transparent, layer of gold. But transform of Fritts's devices less than 1 percent of the absorbed light into electrical energy.

By 1927 another metal-semiconductor-junction solar cell, in this case made of copper and the semiconductor copper oxide, had been demonstrated. By the 1930s both the selenium cell and the copper oxide cell were being employed in light-sensitive devices, such as photometers, for use in photography. These early solar cells, however, still had

energy-conversion efficiencies of less than 1 percent. Finally, the silicon solar cell was been achieve development by Russell Ohl in 1941.

In 1954, three other American researchers, G.L. Pearson, Daryl Chapin, and Calvin Fuller, demonstrated a silicon solar cell capable of a 6-percent energy-conversion efficiency when used in direct sunlight. By the late 1980s silicon cells, as well as those made of gallium arsenide, with efficiencies of more than 20 percent had been fabricated. In 1989 a concentrator solar cell, a type of device in which sunlight is concentrated onto the cell surface by means of lenses, achieved an efficiency of 37 percent due to the increased intensity of the collected energy. In general, solar cells of widely varying efficiencies and cost are now available [3].

After the oil crisis, the most of the world's countries alerted the important of new alternative energy development. The sun is just inexhaustible natural energy, neither energy depletion concerns, but also avoids the problem of energy monopoly. Therefore, countries actively developed the application of solar energy technology, expected by increasing the use of solar energy to reduce dependence on primary energy. The solar cell conversion efficiency research began to flourish. Today's market-oriented solar cells can be focus on the conversion efficiency of about range of 10% to 36% [4], while the theoretical maximum efficiency can reach 86% [5]. It can be seen that in the photoelectric conversion efficiency we have a lot of space to develop these technologies which will be a great help to solve the international community energy crisis in the future.

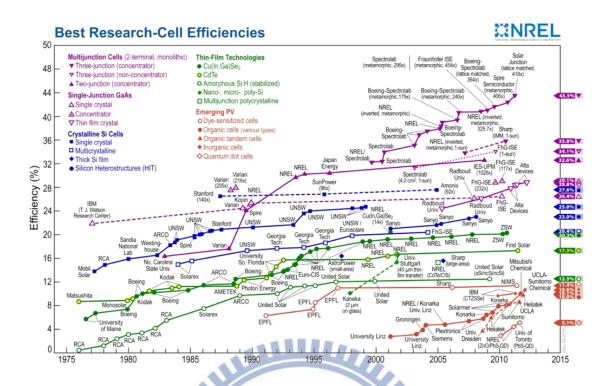


Figure 1.2 This is the classification of various materials and the efficiency of solar cells under the standard solar simulator irradiation measurement.

According to different materials and fabrication process methods can be divided into three different types of solar cells. Material visible on the market today include: silicon materials (single crystal silicon, polycrystalline silicon, amorphous silicon), III-V semiconductor (GaAs) materials, II-VI semiconductor (CdS / CdTe) materials and organic materials (TiO<sub>2</sub>) and etc. Figure 1.2 is the current solar cell material types and their efficiency comparison chart. The GaAs solar cells is highest for the current efficiency, its efficiency can be as high as 30% or more, but it is expensive and containing highly toxic. In the early, it is used on the defense industry and space satellites, most recently the III-V semiconductor (GaAs) materials has been used to people's livelihood for the high-efficiency concentrator solar power system. However, the organic solar cells are used in the commercial market yet, but it is really the direction of study at domestic and international. Although the photoelectric conversion efficiency of organic solar cells is currently low, but the process is simple, low cost, and has advantages of transmittance, large area manufacturing and can combine with the

flexible substrate. CIGS solar cell with low cost, long life and high efficiency potential is a lot of companies to invest in emerging industries, but there is no standardization of products and technical specifications. The CIGS solar cells used the indium material to fabricate. According to scholar's statistic, the indium material left about 10,000 tons in the whole world. The next problem that CIGS solar cell has to face is lack of material in the future. Silicon solar cell technology is currently the most mature and widely applied. There are three types of silicon solar cells, including single-crystal silicon, polycrystalline silicon, and amorphous silicon solar cells. And single-crystal silicon and polycrystalline silicon solar cells are technically more mature and can be mass production.

# 1.2 The advantages and the challenge of a-Si thin film solar cells

The advantages of amorphous silicon solar cells fabricated by Carlson and Wronski are:

- The technology involved is relatively simple and inexpensive compared to the technologies for growing crystals.
- > The optical properties of amorphous silicon are very promising for collecting solar energy

In Figure 1.3, the upper panel shows the spectrum for the optical absorption coefficients  $\alpha(hv)$  for amorphous silicon and for crystalline silicon [6]. In the lower panel of the figure, we show the spectrum of the "integrated solar irradiance;" this is the intensity (in W/m2) of the solar energy carried by photons above an energy threshold hv [7].

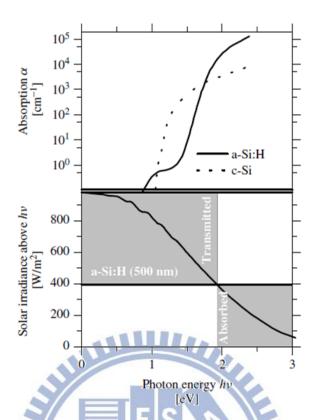


Figure 1.3 (Upper panel) Spectra of the optical absorption coefficient  $\alpha(hv)$  as a function of photon energy hv for crystalline silicon (c-Si) and for hydrogenated amorphous silicon (a-Si:H). (Lower panel) The solid curve indicates the irradiance of photons in the solar spectrum with energies hv or larger.

In this example, the figures used of a-Si:H and thin-layer thickness d = 500nm. Such a layer with the absorption of the photon's energy is basically greater than 1.9 eV. We assume the reflection of sunlight has been minimized and solar radiation can be found in the marked gray area of the absorption layer base to absorb the energy transfer of about 420 W/m<sup>2</sup>. The results of absorption in 500 nm thick layer of crystalline silicon less than 200 W/m<sup>2</sup>. In order to achieve 500nm a-Si: H thin layer of the same energy absorption as c-Si must be thicker. This means that the solar cells produced by the a-Si and c-Si is relatively only a very few materials.

But amorphous semiconductors contain intrinsic defects which increases the density of traps and recombination centers. For solar cells, this has the consequence that:

- Diffusion lengths are shorter, so the material needs to be a strong optical absorber. Alternatively, multiple junctions must be used to make the device optically thick. In the case of very short diffusion lengths, it may be necessary to use extended built-in electric field to aid carrier collection. This is the case in amorphous silicon, where p-i-n structures are preferred.
- Losses in the layers close to the front surface are greater, so it is advantageous to replace the emitter with a wider band gap window material.
- The presence of defect states in the band gap can make the materials difficult to dope, and limit the built-in bias available from a junction through Fermi level pinning.
- The presence of grain boundaries and other intrinsic defects increases the resistivity of the film particularly at low doping densities, and males the conductivity dependent on the carrier density, so influencing the electrical characteristics of devices.
- The presence of defects similarly means that minority carrier lifetime and diffusion constant are carrier density dependent.

Good thin film materials should be low cost, non-toxic, robust, and stable [8]. They should absorb light more strongly than silicon. Higher absorption reduces the cell thickness and relaxes the requirement for long minority-carrier diffusion lengths, allowing less pure polycrystalline or amorphous materials to be used. Figure 1.4 compares the absorption coefficients for several photovoltaic materials and the maximum photo current which can be generated in a thin film as a function of its thickness. Notice how weakly crystalline silicon absorbs, in comparison with the other materials. Suitable materials should transport charge efficiently, and should be readily doped. Materials are particularly attractive if they can be deposited in such a way that arrays of interconnected cells can be produced at once (Figure. 1.4). This greatly reduces the module cost.

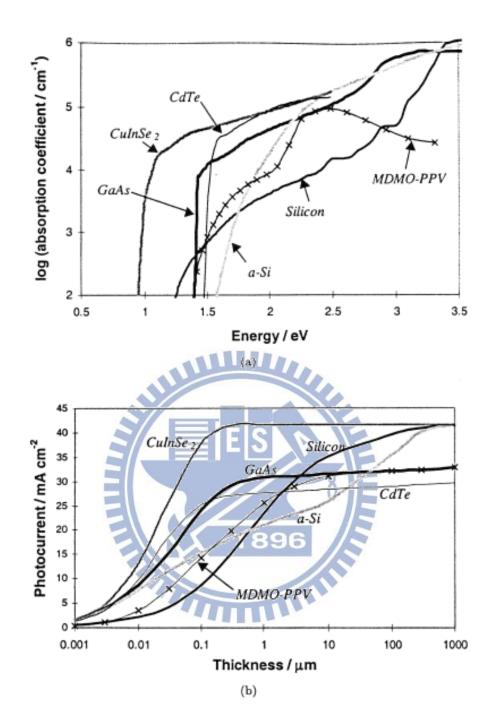


Figure 1.4 (a) Absorption coefficients of a number of different photovoltaic materials. (A derivative of the organic semiconductor polyphenylene vinylene (MDMO-PPV) is included for comparison.); (b) Maximum photon current available from each material under AM1.5 illumination, as a function of film thickness, assuming perfect collection of all photogenerated charges. The saturation photocurrent is a function of band gap. The maximum photocurrent supplied by the Am1.5 spectrum is around 49 mA/cm². [9]

#### 1.3 Motivation

The future solar cell are as following, thin film, low-cost, high efficiency, so the amorphous silicon (a-Si) thin film solar cell was considered for future generation of photovoltaics applications. It can also be deposited on flexible substrate for versatile applications. Large area modules of a-Si solar cells have been widely commercialized. It can reduce the cost of fabrication process. However, a-Si thin film solar cells don't have enough optical paths for incident light, but increase active layer due to poor carrier diffusion property and increase the cost. Therefore, we design adding anti-reflective and scattering structure, we attend to solve these problems and improve the efficiency of thin film solar cell.

So we want to use anti-reflection (AR) and light scattering structure in our design. Using AR coating can reduce reflection of sunlight, increasing the photons that enter the active layer to be absorption. In other hand, the light scattering structure increases optical length by changing the direction of incident-light-propagation, and also traps photons in the active layer by total reflection.

In this study, we have designed a photonic crystal structure. Due to the periodic change in refractive index with period ranging over lengths close to optical wavelength, anti-reflection and light scattering can be achieved. In addition, the photonic crystal layer was designed with a flat surface in order to avoid the decrease in  $V_{oc}$  and F.F. caused by a rather poor coverage of a-Si thin film on textured substrate.

## Chapter 2. The physics of a-Si thin film solar cells

### 2.1 The physics of solar cells

When a photon is absorbed, its energy is given to an electron in the crystal lattice. Usually this electron is in the valence band, and is tightly bound in covalent bonds between neighboring atoms, and hence unable to move far. The energy given to it by the photon "excites" it into the conduction band, where it is free to move around within the semiconductor. The covalent bond that the electron was previously a part of now has one fewer electron — this is known as a hole. The presence of a missing covalent bond allows the bonded electrons of neighboring atoms to move into the "hole," leaving another hole behind, and in this way a hole can move through the lattice. Thus, it can be said that photons absorbed in the semiconductor create mobile electron-hole pairs.

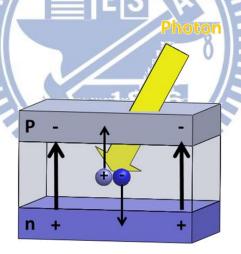


Figure 2.1 In a pn photodiode, excess electrons are donated from the n-type to the p-type layers, leaving the charges and electric fields illustrated. Each photon absorbed in the undoped, intrinsic layer generates an electron and a hole photocarrier. The electric field causes these carriers to drift in the directions shown.

A photon need only have greater energy than that of the band gap in order to excite an electron from the valence band into the conduction band. However, the solar frequency spectrum approximates a black body spectrum at about 5,800 K,[10] and as such, much of the solar radiation reaching the Earth is composed of photons with energies greater than the band

gap of silicon. These higher energy photons will be absorbed by the solar cell, but the difference in energy between these photons and the silicon band gap is converted into heat (via lattice vibrations — called phonons) rather than into usable electrical energy.

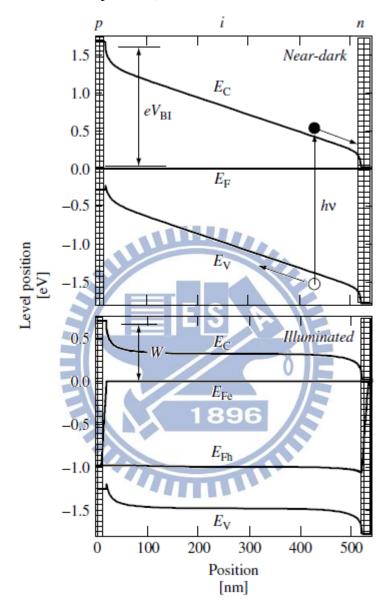


Figure 2.2 Bandedge and Fermi-level profiles in a pin solar cell under open-circuit conditions. The open-circuit voltage is precisely the value of  $E_{Fh}$  at the left interface (x = 0). The built-in potential  $V_{BI}$  is illustrated. Note that the p-layer has a slightly (0.2 eV) larger band gap than the i-layer; the calculation assumes symmetrical offsets of the valence and conduction bands at the p/i interface

#### 2.2 The fundamental structure of thin film solar cells

Figure. 2.3 shows the efficiency of amorphous silicon solar cell in the past 25 years. The basic concept of the three high-efficiency device: (1) PIN photodiode structure, (2) substrate and superstrate design, (3) multi-junction photodiode structure.

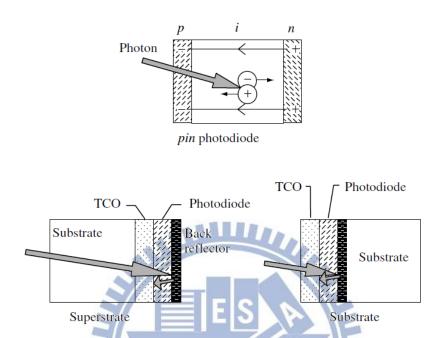


Figure 2.3 In a pin photodiode, excess electrons are donated from the n-type to the p-type layers, leaving the charges and electric fields illustrated. Each photon absorbed in the intrinsic layer generates an electron and a hole photocarrier. The electric field causes these carriers to drift in the directions shown, pin diodes are incorporated into solar cells in either the superstrate or substrate designs. For amorphous silicon-based cells, photons invariably enter through the p-type window layer as shown here. [11]

The deposition of amorphous silicon solar cells have three layers deposited in either the p-i-n or the n-i-p sequence. The three layers are very thin (typically 20nm) p-type layer, a much thicker (typically a few hundred nanometers) undoped intrinsic layer (i-layer), as well as a very thin n-type layer. In this structure, excess electrons from the n-type layer toward the p-type layer, the positive charge and negative charge separation, creating a huge 'built-in' electric field (usually more than  $10^4$  V/cm).

Sunlight enters the photodiodes as a flux of photons that pass through the p-type layer. p-type layer as almost transparent window layer. Solar photons mostly are absorbed in the much thicker intrinsic layer. The absorption of each photon will generate an electron and a

hole [12, 13]. By the built-in electric field separates the photocarriers to n-type layer and p-type layer, resulting in solar power.

Doped a-Si minority carrier mobile is not very far, only a p-n structure will collect the photogenerated carriers from the photon to produce a very thin layer of doped a-Si. In fact, in the analytical performance of a-Si solar cell, one normally considers any photons absorbed by the doped layers to be "wasted." know-how to keep the doping atomic absorption layers of this layer is to make thick enough to capture the most sunlight.

One of the advantages of a-Si thin film solar cells is the ability to absorb sunlight very effectively, but the total absorption layer thickness is less than 1 micron. Therefore, these layers must have a thicker substrate support. Two totally different designs for amorphous silicon solar cells have evolved corresponding to transparent and opaque substrates. Show in Figure 2.3. In the "superstrate" design, sunlight enters through the transparent substrate, which is usually glass or a transparent plastic. The insulating substrate needs a conductive layer; which is typically transparent conductive oxide (TCO) such as tin dioxide (TiO<sub>2</sub>). The amorphous silicon photodiode are deposited onto the TCO, start with a p-type window layer deposition. Finally, a back-reflection layer deposited onto the photodiode to act as an electrode to the n-type photodiode layer.

In the "substrate" design, the order of the sunlight enters the superstrate design compared to the opposite. The reflection fabricated on the substrate, followed by deposition of a photodiode and finally a TCO at the top layer as the electrode.

These two designs permit a very wide range of applications of amorphous silicon solar cells. The superstrate design (light enters through the substrate) is particularly suited to building-integrated solar cells in which a glass substrate can be used as an architectural element. The substrate design has generally been applied to solar cells using flexible, stainless steel (SS) substrates. The detailed construction of a deposition facility of course depends upon

whether the substrate is rigid or flexible. Finally, it turns out that there is a profound effect of the substrate upon the properties of the first photodiode layers deposited upon it; this effect has led to fairly different photodiode structures for the superstrate and substrate designs.

#### 2.3 Introduction of a-Si thin film solar cells [11]

#### 2.3.1 Brief history of a-Si

There are also many noncrystalline semiconductors. In these materials the chemical bonding of atoms is nearly unchanged from that of crystals. Nevertheless, a fairly small, disorderly variation in the angles between bonds eliminates the regular lattice structure. Such noncrystalline semiconductors can have fairly well electronic properties-sufficient for many applications. The first commercially important example was xerography in 1960s [14, 15]. Sterling and Swann exploited the photoconductivity of noncrystalline selenium. Selenium absorbs those photons from an incident light beam that have photon energies exceeding some threshold energy. The photon that is absorbed generates a positively charged "hole" and a negatively charged electron that are separated and swept away by the large electric fields used in x glow discharge.

However, solar cells require that photogenerated electrons and holes be separated by relatively modest electric fields that are "built-in" to the device. Selenium and many other noncrystalline semiconductors proved unsuitable for making efficient cells.

Around 1973, Walter Spear and Peter LeComber in Dundee, Scotland discovered that amorphous silicon prepared using a "glow discharge" in silane (SiH<sub>4</sub>) gas had unusually good electronic properties. Its basis by Chittick, Sterling and Alexander established at an early stage [16]. Spear and LeComber in 1975, the electrical conductivity of amorphous silicon by mixing silane and phosphine (PH<sub>3</sub>) gas or some diborane (the B<sub>2</sub>H<sub>6</sub>) gas to increase. Like such as crystalline silicon, phos4phorus-doped amorphous silicon to enhance the electronic

conductivity (n-type) and boron doping to enhance hole conduction (p-type).

In 1974, at the Radio Corporation of America (RCA) Research Laboratory in Princeton, David Carlson discovered that he could take advantage by using the silane glow discharge deposition to make the fairly efficient of thin films solar cells. In 1976, he and Christopher Wronski published an amorphous silicon based solar cell [17] conversion efficiency of about 2.4% [18, 19].

Carlson and Wronski amorphous silicon battery output voltage and current density in Figure 2.4. The scientists found that amorphous silicon thin films made by glow discharge (or "plasma deposition") are very much superior to the amorphous silicon thin films prepared, for example, by simple evaporation of silicon.

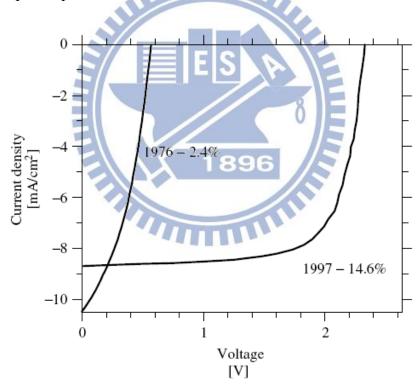


Figure 2.4 Current density versus voltage under solar illumination for a very early single-junction amorphous silicon solar cell (Carlson and Wronski [17]) and from a recent "triple-junction" cell (Yang, Banerjee, and Guha [20]). The stabilized efficiency of the triple-junction cell is 13.0%; the active area is 0.25 cm<sup>2</sup> [11]

After several years of development, there has been containing a substantial proportion of hydrogen atoms combine to plasma deposition of amorphous silicon structure, and these hydrogen atoms is essential in improving the electronic properties of plasma deposited materials [21]. Therefore, the amorphous silicon (a-Si) has been generally known as hydrogenated amorphous silicon (a-Si: H). In recent years, many researchers have used in the hydrogenation of the amorphous silicon, hydrogen-free amorphous silicon so far only a few people in the study.

#### 2.3.2 The characteristics of a-Si

#### 2.3.2.1 Atomic Structure

The basic structure of the silicon atoms and amorphous silicon is roughly the same: each silicon atom is covalently attached to four other silicon atoms are arranged as a tetrahedron. Different amorphous structure compared to the crystal structure, connected with each other has curved amorphous covalent bond, there will be more than atoms and stick them in the fourth key, forming a perfect amorphous structure, and has a "dangling bonds." Amorphous structure achieve the same crystal silicon atom bond length and bond angle values alloys [22, 23], such as As<sub>2</sub>Se<sub>3</sub>, the formation of amorphous cooling liquid.

For hydrogenated amorphous silicon (a-Si: H) in the Si-H bonds to resolve this structural problem. Percentage less silicon atoms make silicon covalent bond neighbors only three silicon neighbors, the fourth covalent bond silicon hydrogen atoms. The two principal "phases" of hydrogen evidenced by proton magnetic resonance are termed the dilute and clustered phases [24]. Distance of about 1 nm in the dilute phase hydrogen atom between two or more hydrogen atoms adjacent in the clustered phase. Structure is shown in figure 2.5 (a). The density of hydrogen depends on the conditions under which the material is made.

#### 2.3.2.2 Defects and Metastability

Although the basic structure of amorphous Figure 2.5 shows, but it is also an ideal chemical structure: each atom to form a normal number of chemical bonds (four silicon atoms

and one hydrogen atom). This structure largely determines the overall electronic and optical properties, however, many a-Si:H electronic properties is also strongly influenced by the chemical bond defects [25]. Of a-Si:H defect atomic structure has been extensively studied in the electron spin resonance.

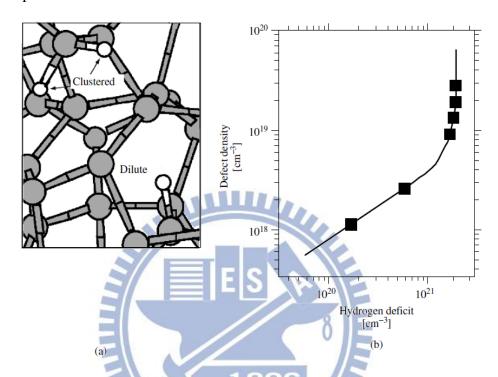


Figure 2.5 (a) hydrogenated amorphous silicon model. The gray areas show that the silicon atoms; white ball represents hydrogen, indicating that the clustered and relatively isolated dilute configuration (b) the a-Si:H dangling bond density and hydrogen density relationship. Data from the deuterium and defect profiles (350°C deuterium). The curve of Zafar and Schiff from analog. [11]

A dangling bond [26] structure is illustrated in Figure 2.5 Imagine hydrogen atoms from a dilute phase is removed, leaving the lower right corner of the corner of a single non-bonding electron (referred to as the dangling bonds). Real-world observations, with the heating of the a-Si:H caused an increase in hydrogen to remove the dangling bond density. A measurement of this relationship has been proposed, as shown in Figure 2.5 (b) [27, 28] below. Need to pay attention to the density of the dangling bonds are generally much lower than the loss of density of hydrogen; it is difficult to produce hydrogen in the clustered phase dangling bonds.

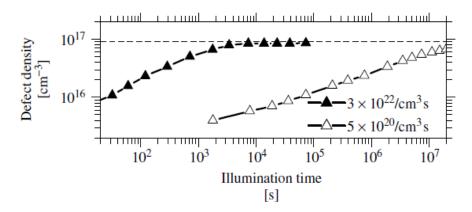


Figure 2.6 The density of defects (dangling bonds) in the light a-Si: H film under measurement by Park, Liu, and Wagner [11, 29].

The most important of the a-Si:H defect study did not focus on the relationship between the hydrogen defects, but the effect of the light irradiation. But in the high-intensity lighting, the defect density reaches a steady state of about 10<sup>17</sup>/cm<sup>3</sup>. This is a very important purpose of the engineering and commercial applications, said the long light of a-Si:H will reach a steady state.

Hydrogen atoms and the a-Si:H between defects in close contact to understand the defects in the conditions created. The idea of this condition is to provide the energy required for lighting by the dilute phase away from the hydrogen atom transfer, thus creating a dangling bond, and by the change of a-Si:H preparation conditions reduce the impact of this effect [30].

Steady state of a-Si:H cell and thin film has considerable temperature dependence. For example, Figure 2.7 shows that the module efficiency is greatly affected by the season and highest following the hottest day of. The measurement may be understood by considering that the stabilized condition is due to competition between two rates: the creation of metastable defects by light and a thermally activated process that anneals them away.

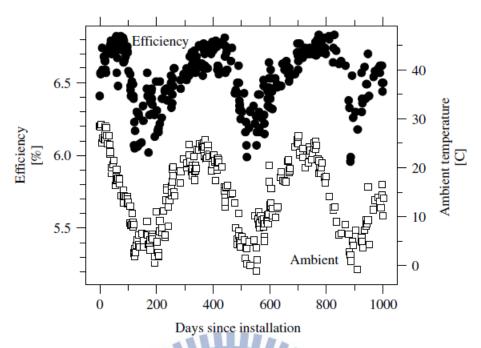


Figure 2.7 Seasonal variations in the average conversion efficiency (solid symbols) of an amorphous silicon triple-junction module [31], along with the daily mean temperature (open symbols) [11]

#### 2.3.2.3 Electronic Density-of-states

Optical and electronic properties of semiconductor are the most important concept to understand the electronic density-of-states g(E). If a single electron is added to a solid, it may be viewed as occupying a well-defined state (or molecular "orbital") at a particular energy level E. Within a range of energy  $\Delta E$ , the number of such states per unit volume of the solid is  $g(E)\Delta E$ 

Figure 2.8 shows the density of states in hydrogenated amorphous silicon as it has emerged primarily from measurements of electron photoemission [32, 33], optical absorption [34], and electron and hole drift mobilities [35].. In the dark at low temperatures, the energy below the Fermi level states are electronic, the Fermi energy level above the state bit is empty. Two energy bands are as follows: an occupied valence band ( $E < E_V$ ), with Si-Si and Si-H-bonding is not occupied conduction band ( $E > E_C$ ).

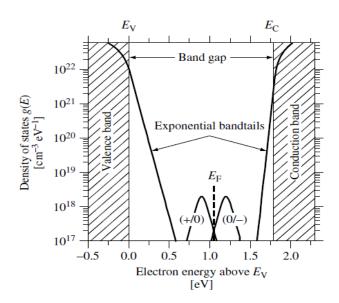


Figure 2.8 In hydrogenated amorphous silicon electronic density of states g(E). The shaded areas indicate delocalized states in the bands; these band tail-like state exponential distributions. The middle band is a serious defect, such as suspension Si bond displayed about two-band peak of the  $E_F$ . [11]

#### 2.3.2.4 Bandtails, Bandedges, and Band gaps

Any semiconductor, crystalline or amorphous, must have such an energy gap. For a perfect crystal, the valence band and conduction band edge  $E_V$  and  $E_C$  can be well defined, and the energy gap of  $E_g = E_C - E_V$ . Disordered semiconductors are the exponential distribution with a tail (bandtail) distributed in the bandedge near. For the valence band, can be written as g (E) =  $g_v exp$  [- (E-E<sub>V</sub>) /  $\Delta E_V$ ]. The width  $\Delta E_V$  for the interpretation of optical absorption experiments the exponential distribution, significant spectrum in Figure 1.4. For a-Si:H, typical  $\Delta E_V = 50 \times 10^{-3}$  eV,  $\Delta E_V$  also been used to explain the hole in the electric field of the slow drift (the hole mobility) [35, 36]. Guide width  $\Delta E_C$  in the best a-Si: H material is much narrower, about  $22 \times 10^{-3}$  eV, but significant increase in the amorphous silicon germanium alloy.

In view of the index bandtails the presence of the bandedge the value has been questioned. Most people think of the band edge is separate from the electronic orbital energy. The bandedges accordingly referred to as the conduction band and valence band edge.

However, the amorphous semiconductor not exist a fixed bandedges state density; it is difficult to determine some of the ambiguities. Amorphous silicon used in solar cells, with different energy gaps, the most common measurement method is the analysis of optical absorption coefficient  $\alpha$  (hv) =  $(A/hv)(hv-ET)^2$ . is similar to Figure 1.4; one typical analysis yields an "optical" or "Tauc" band gap  $E_T$ . The proportionality constant A just a proportionality constant [38].

The bandgap of a-Si:H is usually about 1.75eV, essentially alloy or carbon germanium deposition and the environment will result in changes. The definition of higher than the Tauc band gap photon energy absorption coefficient  $\alpha$ ,  $\alpha = 3 \times 10^3/\text{cm}$  production value (denoted as E3.5). Finally, learned that the optical band gap estimated and real gap of  $E_g = E_C - E_V$  is a difference. Internal photon measurement [39] of the energy gap of about greater than of Tauc can gap 50 to 100 meV.

#### 2.3.2.5 Defects and Gap States

The observation bandtails defect levels between the different energy levels in undoped a-Si: H, these levels is due to the dangling bonds ("D-centers") by electron spin resonance measurements. For example, the infrared absorption of photon energy around 1.2 eV, and optical separation process so that the transfer of electrons from the valence band into the conduction band to produce a defect, the infrared absorption coefficient is proportional to the D-center density over a range of at least a factor of 100 in the density [40].

The next need to be resolved is the appropriate level, as shown in Figure 2.7. Able to gap the three charge states (with + e, 0 and -e), resulting in two levels (0/+ and -/0 charge state). Rough estimate of the near-dark conditions, (-/0) 0.6 eV, below about undoped a-Si:H low defect density  $E_C$  [41]. The (+/0) level lies about 0.3 eV below the (-/0) levels; the difference between the 2 levels is usually termed the correlation energy of the D-center [42].

Apparently actually different densities in a-Si:H change doping, may be different between the samples of the dark and illuminated state [43].

#### **2.3.2.6 Doping**

Doped layer is an integral solar cell. This is by intentionally doped, such as phosphorus, boron atom, to change the Fermi energy. However, in amorphous silicon, doped with work and compared to crystalline silicon is very different. For example, in crystalline silicon, phosphorus (P) has five valence electrons in the lattice instead of silicon atoms, four electrons to participate in the adjacent silicon atom bonded. The fifth free electrons occupy the bottom of the conduction band, so that the doped Fermi level to about this level.

In amorphous silicon, phosphorus atom has only three neighbors. This is actually a favorable chemical structure, because the phosphorus atom in the form usually only three bonds (p orbitals of the three valence electrons). The last two electrons in the s orbital is not involved in binding, and maintain close links P atom. This makes the occurrence of a more powerful combination of amorphous silicon than crystalline silicon, but the lack of a rigid array. In amorphous silicon, it is necessary to rearrange the silicon lattice and leave a number of silicon dangling bonds, so that the P atoms in this configuration. For this reason, most of the excess energy for the rearrangement is expected to get a better P doping.

Therefore, phosphorus doping is a paradox in amorphous silicon. Street for the first time in 1982 to solve this problem. He recognized that the formation of a positively charged at the same time, coordination  $P_4$ + and negatively charged dangling bonds  $D^-$  can occur occasionally bonding, rather than the ideal tripartite coordination. This theory led to two important consequences [44]. Inefficient Si doping, most of the dopant atom does not help to provide free electrons, this cannot improve so that the Fermi level. Second, each atom of phosphorus dopant does provide electronic, but to be silicon dangling bonds. These defects, the doping

level is far below the conduction band. Since the electron and hole is photovoltaic (PV) energy conversion essential, doping will not contribute to the solar cell photovoltaic generation.

#### 2.3.2.7 Alloying and Optical Properties

By substantially changing the deposition conditions affect the structural and optical properties. For example, to change the substrate temperature or diluted silane plasma deposition, lead to changes in a-Si:H thin-film optical energy gap, these changes can be attributed to the micro-structural changes in thin films of hydrogen in the range of 1.6 to 1.8eV [45]. Even larger elements of the alloy changes, such as Ge, can have an impact, the alloy of germanium, carbon, oxygen and nitrogen by mixed silane (SiH<sub>4</sub>) gas, such as GeH<sub>4</sub>, methane, oxygen, or NO<sub>2</sub> and NH<sub>3</sub>. The resulting alloy has a very wide range of band gap a-Si<sub>1-x</sub>Ge<sub>x</sub>:H as an example in Figure 2.8. Simplicity, these alloys usually refers to the use of the apostrophe: the a-SiGe or a-Si<sub>1-x</sub>Ge<sub>x</sub>:H.

There has been some material proved to be useful. In particular, a-SiGe alloy energy gap down to about 1.45 eV as the solar cells absorb a layer of narrow bandgap, so that amorphous silicon allows increasing the absorption to lower photon energy [46]. Figure 2.9 (a) shows the spectral absorption coefficient  $\alpha(h\nu)$ , and change the a-SiGe alloy atomic percentage x the energy gap relationship. These data have two features to attract attention. First, the slope of the differences within the scope of the entire energy gap unchanged (about 50 meV). Second, the high absorption coefficient of the photon energy from the minimum steady increase in the energy gap decreases, the symbol of a corresponding increase in defect density.

Figure 2.9 (b) shows the change of  $a-Si_{1-x}Ge_x$ : H optical bandgap of Ge-ratio x and with atomic fraction h of hydrogen. The figure reflects experimental results for a-Si:H alloys of varying H-fraction [47] and for a-SiGe:H alloys for which both x and h were reported [48].

For constant fraction h, the band gap decreases about 0.7 eV as the Ge ratio x increases from 0 to 1. The band gap increases with atomic fraction of hydrogen h. Energy gap with Figure 2.9 (b) as a useful approximation, especially the atomic ratio of h is the hydrogen in the a-SiGe microstructure, resulting in the possibility of contour map quantitative deviation. In addition, there are also some of the materials as a useful representative of the absorbing layer. In particular, Ge ratio x up to about 0.5, the optical performance becomes very poor, these alloys are no longer applicable to the solar cell [48]. Similarly, only a limited range of H atomic ratio of h yield useful absorption layer.

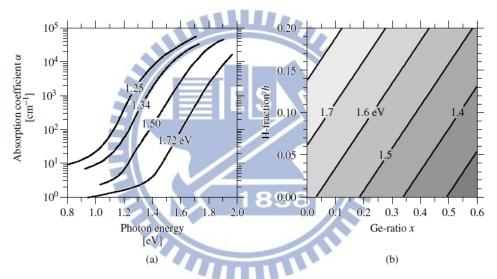


Figure 2.9 (a) Absorption coefficient spectra for a-SiGe alloys; the optical band gaps and corresponding Ge fractions x are 1.25 to 0.58, 1.34 to 0.48, 1.50 to 0.30, 1.72 to 0.0 [45]. (b) Typical optical band gaps for a-Si<sub>1-x</sub>Ge<sub>x</sub>:H alloys for varying Ge-ratio x and atomic fraction h of hydrogen. [11]

It might be thought that a-SiC is also applied to a wider range of bandgap absorption [49]. The widespread uses of B-doped a-SiC alloy material for the p-type window layer [50]. a-SiO and a-SiN is used as the insulator thin film transistor [51], but not the main solar modules.

Chapter 3. Simulation Method: RCWA

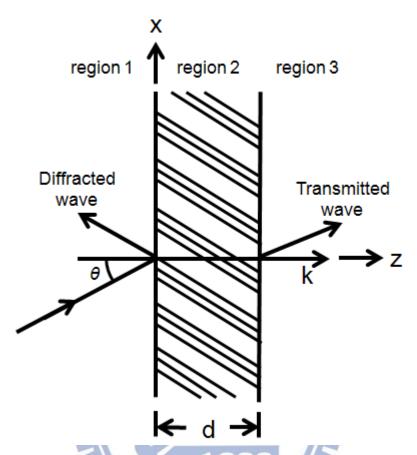


Figure 3.1 Geometry for planar-grating diffraction

The Rigorous Coupled-Wave Analysis (RCWA) is a method to compute diffraction of a field by a given grating structure [52-56]. Therefore the electric field will have only one component (in the y direction of Figure. 3.1). The relative permittivity in the modulated region (0 < z < d) is

$$\epsilon(x, z) = \epsilon_2 + \Delta \epsilon \cos[K(x \sin \phi + z \cos \phi)] \tag{3-1}$$

where  $\varepsilon_2$  is the average dielectric constant,  $\Delta \varepsilon$  is the amplitude of the sinusoidal relative permittivity,  $\phi$  is the grating slant angle, and  $K = 2\pi/\Lambda$ , where  $\Lambda$  is the grating period. The dielectric constant in the unmodulated regions (z < 0 and z > d) is  $\varepsilon_1$  and  $\varepsilon_3$ , respectively. It is assumed that each of the three regions has the permeability of free space.

The general approach to the planar-grating problem involves finding a solution of the wave equation in each of the three regions and then matching the tangential electric and

magnetic fields at the two interfaces (z = 0 and z = d) to determine the unknown constants (resulting from solving the differential-wave equation). In region 1, backward-diffracted waves exist. In general, these waves are produced both by diffraction from within the grating volume (bulk diffraction) and by diffraction from the periodic boundary at z = 0 (boundary diffraction).

These diffraction processes produce spectrum of plane waves traveling back into region 1 (z < 0). The normalized wave amplitudes in region 1 may be expressed as [57-59]

$$E_{1} = exp[-j(\beta_{0}x + \xi_{10}z)] + \sum_{i} R_{i}exp[-j(\beta_{i}x + \xi_{li}z)]$$
 (3-2)

where  $\beta_i = k_1 \sin \theta - iK \sin \phi$  for any integer i (the wave index);  $\xi_{li}^2 = k_l^2 - \beta_i^2$  for l = 1, 3 (the region index);  $k_l = 2\pi \varepsilon^{1/2}/\lambda$  for l = 1, 2, 3;  $\lambda$  is the free-space wavelength;  $j = (-1)^{1/2}$ ;  $\theta$  is the angle of incidence in region 1; and Ri is the normalized amplitude of the ith reflected wave and is to be determined from the matching of the electric and magnetic fields.

In region 3 (z > d), the spectrum of transmitted plane waves may be expressed as

$$E_3 = \sum_{i} T_i exp\{-j[\beta_i x + \xi_{3i}(z - d)]\}$$
 (3-3)

where Ti is the normalized amplitude of the ith transmitted wave to be determined from the field matching.

In region 2 (0 < z < d), the electric field may be expressed as

$$E_2 = \sum_{i} \hat{S}_i(z) exp\{-j[\beta_i x + \xi_{2i} d]\}$$
 (3-4)

where  $\xi_{2i} = k_2 \cos\theta' - iK \cos\theta$ ,  $\theta'$  is the angle of refraction inside the modulated region, and  $\hat{S}i$  (z) is the normalized amplitude of the *i*th wave field at any point within the modulated region. For a given value of i, the wave field inside the grating is not a simple plane wave. It may be expressed as a superposition of an infinite number of plane waves (inherent in the coupled-wave formulation). This superposition includes forward-traveling waves

(components in +z direction) and corresponding backward-traveling waves (components in -z direction). These amplitudes are to be determined from solving the modulated-region wave equation

$$\nabla^2 E_2 + (2\pi/\lambda)^2 \epsilon(x, z) E_2 = 0 \tag{3-5}$$

To find  $\hat{S}_i(z)$ , Eqs. (3-1) and (3-4) are substituted into Eq. (3-5) with ( $\phi = 0$ ) resulting in the system of coupled-wave equations with  $S_i(u) = \hat{S}_i(z)$ 

$$\frac{1}{\rho B^2} \frac{d^2 S_i(u)}{du^2} = \left[1 - \left(\frac{2i}{B}\right)\right] \times \frac{dS_i(u)}{du} - \rho_i(i - B)S_i(u) + S_{i+1}(u) + S_{i-1}(u) \tag{3-6}$$

where  $\rho = 2\lambda^2/(\Lambda^2\Delta\varepsilon) = 2\mu^2\varepsilon_2/\Delta\varepsilon$ ,  $B = 2\Lambda\varepsilon_2^{1/2}\cos{(\phi-\theta')/\lambda}$ , and  $u = j\pi\Delta\varepsilon z/(2\lambda\varepsilon_2^{1/2}\cos{(\phi-\theta')}) = j\gamma(z/d)$ . Here B is the Bragg condition parameter, which is unity for first Bragg incidence. The quantity  $\rho$  is the regime parameter, which is shown in this article to determine the boundary for the two-wave Bragg diffraction regime  $(\rho>10)$  for reflection gratings. Thus, this parameter is shown to have significance for reflection gratings similar to its significance in transmission gratings.

Equation (3-6) can be written in matrix "state equation" form as

$$\begin{bmatrix} S' \\ S'' \end{bmatrix} = [b_{rs}] \begin{bmatrix} S \\ S' \end{bmatrix} \tag{3-7}$$

where S, S', and S'' are the column vectors  $S_i(u)$ ,  $dS_i(u)/du$ , and  $d^2S_i(u)/du^2$ , respectively, and  $[b_{rs}]$  is the coefficient matrix determined from Eq. (3-6). The solution of Eq. (3-7) gives the field amplitude  $S_i(u)$  as

$$S_i(u) = \sum_m C_m \omega_{im} \exp(p_m u)$$
 (3-8)

where q are the eigenvalues of the matrix  $[\omega]$  composed by the eigenvectors of the coefficient matrix [b]. Note that  $\omega_{im}$  is the mth element of the row of the matrix  $[\omega]$  that corresponds to the ith wave (not the ith row). The coefficients  $C_m$  together with  $T_i$  and  $R_i$  are determined by matching the tangential electric and magnetic fields at the two boundaries (z=0 amd z=d). The

boundary conditions give

$$2\delta_{i0}\xi_{1i} = \sum_{m} C_{m}\omega_{im}(\xi_{2i} - q_{m}\kappa + \xi_{1i})$$
 (3-9)

$$0 = \sum_{m} C_m \omega_{im} (\xi_{2i} - q_m \kappa - \xi_{3i}) \exp(jq\kappa)$$
 (3-10)

where  $\delta_{i0}$  is the Kronecker delta function and

$$\xi_{li} = (2\rho\kappa/\mu^2)[(\epsilon_1/\epsilon_2) - (\sin\theta' - i\mu\cos\phi)^2]^{1/2} \qquad l = 1,3$$
 (3-11)

$$\xi_{2i} = (2\rho\kappa/\mu^2)(\cos\theta' - i\mu\sin\phi) \tag{3-12}$$

In regions 1 and 3,  $\xi_{li}$  is either positive real (propagating wave) or negative imaginary (evanescent wave). The system of linear equations given by Eqs. (3-9) and (3-10) can be solved for  $C_m$ , and then  $R_i$  and  $T_i$ .

when tangential electric field at z = 0:

$$R_i + \delta_{i0} = \sum_m C_m \omega_{im} \tag{3-13}$$

tangential magnetic field at z = 0:

$$\xi_{1i}(R_i - \delta_{i0}) = \sum_{m} C_m \omega_{im}(q_m \kappa - \xi_{2i})$$
 (3-14)

tangential electric field at z = d:

$$T_i = \sum_{m} C_m \omega_{im} \exp[j(q_m \kappa - \xi_{2i})d]$$
 (3-15)

tangential magnetic field at z = d:

$$-\xi_{3i}T_{i} = \sum_{m} C_{m}\omega_{im} (q_{m}\kappa - \xi_{2i}) \exp[j(q_{m}\kappa - \xi_{2i})d]$$
 (3-16)

Note that the number of equations available is exactly equal to the number of unknowns. For example, if n waves are retained in the analysis, then there will be n unknown values each of Ri and of  $T_i$  and 2n unknown values of  $C_m$ . This is because the coefficient matrix [b] in Eq. (3-7) is a  $2n \times 2n$  matrix and therefore has 2n eigenvalues, and thus there are 2n unknown values of  $C_m$ . Alternatively, this may be viewed as being due to the n coupled-wave equations,

each being a second-order differential equation, and thus there are 2n roots or eigenvalues and 2n unknown constants  $C_m$  to be determined from the boundary conditions. Therefore the total number of unknowns is 4n, and Eqs. (3-13)~(3-16) provide 4n linear equations in these unknowns.

To summarize, the algorithm used to solve this problem proceeds as follows: First the coefficient matrix [b] is constructed, and then eigenvalues and eigenvectors are calculated (typically by using a computer library program). The system of linear equations, Eqs. (9) and (10), is then constructed and solved for  $C_m$  (using a technique such as gauss elimination). Equations (13) and (14) are then used to calculate the diffracted amplitudes  $R_i$  and  $T_i$ . Power conservation requires that the sum of the efficiencies for all of the propagating waves be unity. That is,

$$\sum_{i} (DE_{1i} + DE_{3i}) = 1 \tag{3-17}$$

where  $DE_{1i}$  and  $DE_{3i}$  are the diffraction efficiencies in regions 1 and 3, respectively. These diffraction efficiencies are given by

$$DE_{1i} = Re(\xi_{1i}/\xi_{10})R_iR_i^*$$
(3-18)

and

$$DE_{3i} = Re(\xi_{3i}/\xi_{10})T_iT_i^*$$
(3-19)

The real part of the ratio of the propagation constants occurs when the time-average power-flow density is obtained by taking the real part of the complex Poynting vector. The quantity  $\text{Re}(\xi_{li}/\xi_{10})$  is just the usual ratio of the cosine of the diffraction angle for the *i*th wave to the cosine of the angle of the 0th-order wave for the *l*th medium. The results a function of the grating strength parameter  $\gamma$  (=  $\kappa$ d/cos  $\theta$ ') and as a function of  $d/\Lambda$  for a pure transmission grating ( $\phi = \pi/2$ ), for general slanted gratings ( $\phi = \pi/3$ ,  $\pi/6$ ), and for a pure reflection grating ( $\phi = 0$ ).

# Chapter 4. Light Management

### 4.1 Light trapping

Light confinement increase the fraction of photons admitted to the cell, and concentration which increase the incident flux, light confinement techniques increase the path length of photons inside the cell. Increasing the path length increases the probability of photogeneration per incident photon, particularly when the absorption coefficient is small, increasing the absorbed fraction. Light trapping is normally considered in the regime of geometrical optics where structures are large compared to the coherence length of the light, and light rays with different history do not interfere. This is a good approximation in silicon where cells are hundreds of microns thick. In micron scale structures, light should be treated as coherent and interference becomes important. In such systems, classical ray tracing approaches are not valid, and the photogeneration rate must be found from the gradient of the Poynting vector.

The simplest light trapping scheme is to introduce an optical mirror at the rear surface of the cell, either by metallizing the rear cell surface or by growing the active layers on top of a Bragg grating structure. The mirror typically reflects over 95% of rays striking the rear surface. Reflective light subsequently reach the front, semiconductor-air, surface are likely to pass through since the reflectivity of that interface must be small for efficient light capture. So the rear mirror effectively doubles the path length of the light. For an ideal mirror (with R=1) and ideal front surface (with R=0) the path length is 2w.

The simplest is where one surface is tilted relative to the other. Consider a rear surface tilted at an angle  $\theta_{tilt}$  relative to the planar front surface, as in Figure 4.1. When  $\theta_{tilt} > \frac{1}{2}\theta_c$ , normally incident rays will be reflected from the rear surface at an angle greater than  $\theta_c$ , and be totally reflected at the front. If a ray strikes the same portion of the rear surface on the

second pass, it will be reflected at an even wider angle, and trapped again. For uniform cell width, both positive and negative tilt angles must be present, so that trapped rays will eventually be reflected at narrower angles and escape. If the positive and negative tilt angles are equal, then each ray makes a multiple of four passes across the cell.

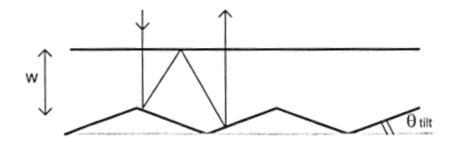


Figure 4.1 Light trapping structures with a tilted rear surface, illustrating a "double bounce" light path [60].

### 4.2 Reflection

The optical properties of a solid are described by the dielectric constant,  $\epsilon_s$ .  $\epsilon_s$  is a complex quality and obeys

$$\sqrt{\varepsilon_{\rm s}} = n_{\rm s} - i k_{\rm s} \tag{4-1}$$

where  $n_s$  in the refractive index of the material and the imaginary part  $k_s$  is related to the absorption coefficient of the material through

$$\alpha = \frac{4\pi k_s}{\lambda} \tag{4-2}$$

In general,  $\varepsilon_s$ , ns and  $k_s$  are wavelength dependent, and may be direction dependent.

Differences in refractive index determine the reflection and transmission of light at the interface between two materials. For thin films, light should be treated as coherent, and Maxwell's equations can be solved to find the relative amplitudes of transmitted and reflected waves. In the simplest case, that of a plane boundary between materials of refractive index no and ns, light striking the interface at normal incidence is reflected with probability

(4-3)

$$R = \left(\frac{n_0 - n_s}{n_0 + n_s}\right)^2$$

For a semiconductor, n<sub>s</sub> is typically 3~4 at visible wavelengths (Table 4.1), so that some 30~40% of light normally incident on the surface from free space will be reflected.

Table 4.1 Refractive indices of selected semiconductors and AR coat materials.

Material	Refractive index (at ca. 1.5 eV)
Si	3.44
Ge	4.00
GaAs	3.6
$Al_{0.8}Ga_{0.2}As$	3.2
AlAs	3.0
Tl <sub>2</sub> O <sub>5</sub>	2.1
ZnO	2.02
Si <sub>3</sub> N <sub>4</sub>	1.97
SiO <sub>2</sub>	1.46
	8 15 11

At oblique incidence the reflectivity is angle and polarization dependent. If the incident ray makes an angle  $\theta_0$  with the surface normal, and the transmitted ray an angle  $\theta_s$  with the surface normal inside the semiconductor then

$$R = \left(\frac{\eta_0 - \eta_s}{\eta_0 + \eta_s}\right)^2 \tag{4-4}$$

where

$$\eta_{s} = n_{s} \sec \theta_{s} \tag{4-5}$$

for p polarized light (with the electric field vector in the plane of incidence and

$$\eta_{\rm s} = n_{\rm s} {\rm cos} \theta_{\rm s} \tag{4-6}$$

and for s-polarized light (electric field vector normal to the plane of incidence).  $\theta_0$  and  $\theta_s$  are related through Snell's law

$$n_0 \sin \theta_0 = n_s \sin \theta_s \tag{4-7}$$

For unpolarized light, considered as an equal mix of s and p polarizations, the net reflectivity generally increases with angle (for the s polarized component it increase while for the p component it has a minimum), approaching one at large angles. The minimum reflectivity of a semiconductor surface to unpolarized light occurs at normal incidence and is given by Eq. (4-3). At 30~40%, this is unacceptably high for efficient photovoltaic energy conversion.

Snell's law also means that travelling within the semiconductor towards the surface at an angle greater than the critical angle,  $\theta_c$ , is internally reflected. For an air-semiconductor interface the critical angle is given by

$$\theta_{\rm c} = \sin^{-1}\left(\frac{n_0}{n_{\rm s}}\right) \tag{4-8}$$

For the air-silicon interface  $\theta_c = 16.9^{\circ}$ . In the presence of an optical coating  $n_0$  in Eq. (4-8) is replaced by the refractive index of the coating and  $\theta_c$  is increased.

## 4.3 Photonic crystal (PhC) structure

In this research, we design a photonic crystal structure. Due to the periodic change in refractive index with period ranging over lengths close to optical wavelength, the incident light propagating inside the cell is reflected by the PhC structure in various directions and is trapped inside the active layer. In figure 4.2 [ref apl]. The two materials A and B with different refractive index  $n_A$  and  $n_B$ , and the active layer,  $n_{active}$ , satisfy the condition  $n_{active} \sim n_A \gg n_B$ , the interface between A and B serves as a texture optical interface, while the active layer can be grow on the morphologically flat surface. For electrical transport, at least one of A or B must be conductive. If only A or B is conductive, the series resistance of the cell is affected by the size of the conductive dots. In figure 4.3, the materials A and B comprise a periodic grating structure with a period, an ITO ratio, and a thickness; however, this concept is also applicable to randomized structures. In this work, we developed a photonic crystal light-scattering substrate with a high reflective index contrast and obtained improved light absorption without deterioration of the  $V_{OC}$  and the fill factor, FF.

# Chapter 5. Simulation

In typical thin film cells, the thickness of the absorbing layer is governed by a tradeoff: the absorber must be optically thick to absorb a significat fraction of the incident photons, at the same time the material has to be good enough to enable minority carrier collection lengths larger than the material thickness. Therfore, we use PhC structure to increase the optical path length in a-Si thin film solar cell. As previously described, we choice two different material with different refractive index, one is near active material that a-Si we choice, another is less than those that Indium Tin Oxide (ITO, n=2.01) we chosen. Arranged this two materials in hexagonal composed to achieve the PhC structure. In this research, and set the ITO PhC layer in total thickness 250nm, that ours fabrication limit. We use a three-dimensional rigorous coupled-wave analysis (RCWA) method to simulate the effect of PhC structure in a-Si thin film solar cells, and try to find the optimum structure in our design.

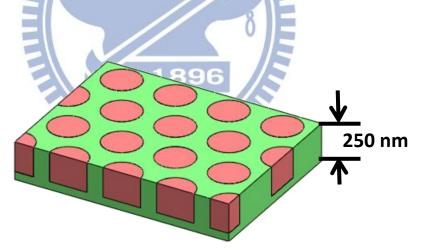


Figure 5.1 The photonic crystal (PhC) structure in our design.

We built our design with three parameters, thickness, period, and ITO ratio. Show in figure 5.2.

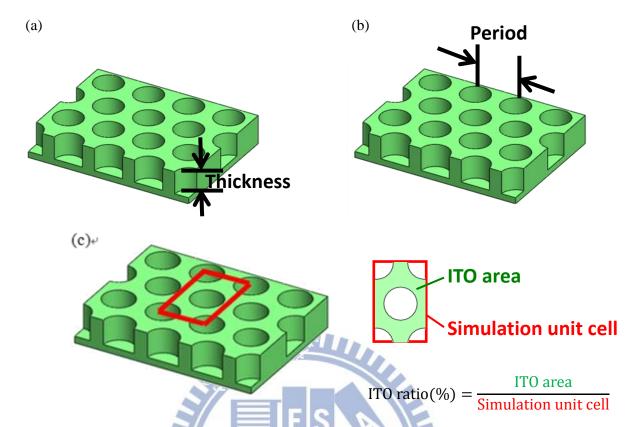


Figure 5.2 The parameters of (a) thickness, (b) period, and (c) ITO ratio.

ITO ratio determines filling factor and hole/rod diameters. Let us easy to find the optimum parameters.

## 5.1 Structure in rear side

First of our study, we design an ITO PhC structure in rear side of cell (figure 5.3). We choose to use a-Si (n=4.05) as the material filling the PhC structure in between and assume no absorption is allowed in a-Si to clarify its reflection characteristics. The a-Si thin film solar cells ( $n_{active}$ =4.05) model is simulated under AM1.5G spectrum (0.1W/cm<sup>2</sup>). Using equation (5-1), the short circuit current density is calculated by the absorption of a-Si layer and the internal quantum efficiency (IQE) of material.

$$J_{sc} = \frac{e}{hc} \int_{300}^{800} \lambda \times IQE(\lambda) \times A_{sim}(\lambda) \times I_{AM1.5G}(\lambda) d\lambda$$
 (5-1)

Then, the effects brought by different geometric parameters in of the two structures, holes and

rods, are investigated. In optimization, we compare the results of structures with different thickness, period, and ITO ratio, to find the highest current density. We accordingly varied these three different parameters one at a time to calculate the absorption of active layer.

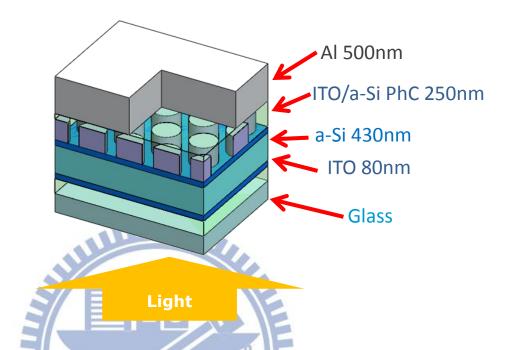


Figure 5.3 The simulation model with ITO/a-Si PhC structure in rear side.

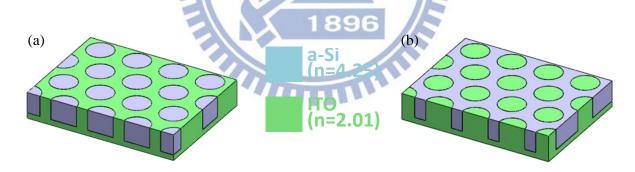


Figure 5.4 (a) Hole structure. (b) Rod structure

#### **5.1.1 Optimized Structure**

First step, we change the thickness of ITO PhC structure, because we design the PhC structure to enhance optical path length by light trapping in change the direction of reflection light. We think thickness is the key factor in reflection, so we fix the period in 600nm, ITO ratio in 50%, and observe the different integrated current density with thickness change.

Result as show in figure 5.5.

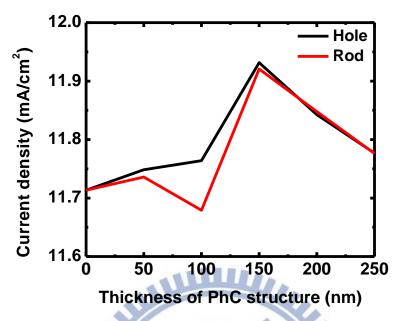


Figure 5.5 Integrated current density with different thickness of ITO PhC structure with a-Si filling in between PhC.

We observe the result in figure 5.5 and found the thickness in 150nm with the highest absorption to transform current density. We consider the best light scattering effect in thickness is 150nm. From figure 5.6, the absorption of a-Si layer in different thickness of rod structure show that the peaks appear in vary wavelength with different thickness. When we change the thickness of a-Si in ITO layer, absorption curves have same numbers of peaks but in different thickness. Just only the absorption in thickness 150nm has the highest enhancement than reference curve. And then, the result of integrated current density of thickness 100nm lower the reference is the curve move toward to short wavelength region and the enhancement is less than reference curve. In other hand, hole structure has same tendency with rod result.

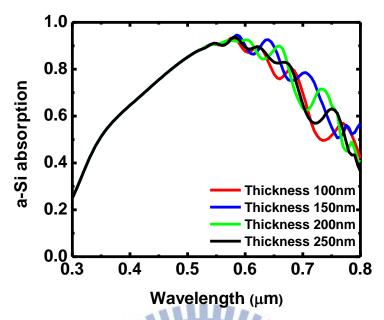
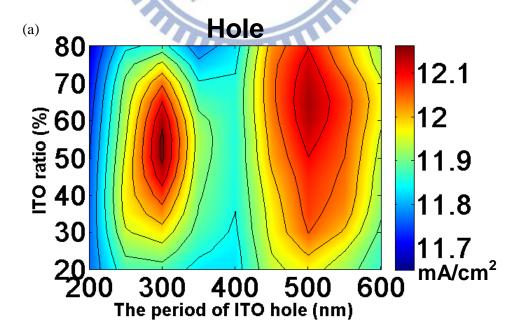


Figure 5.6 The absorption of a-Si layer in different thickness of rod structure. The peak of red curve move to short wavelength region and the peak of green curve move to long wavelength region. Only blue curve in thickness 150nm has the best enhancement.

By this result, we fix the thickness of ITO hole and rod in 150nm, than compare the effect from other parameters, period and ITO ratio. The result showed in figure 5.7.



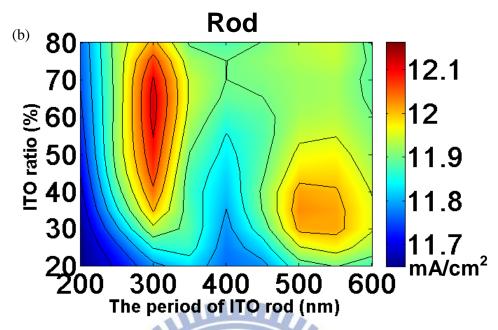


Figure 5.7 Integrated current density in different period and ITO ratio of (a) hole PhC structure and (b) rod PhC structure in rear side. The thickness of PhC structures are 150nm both.

After we simulated the result and compare in integrated current density, we found the parameters with highest enhancement, show in table 5.1.

Table 5.1 The optimum result of ITO/a-Si PhC structure in rear side.

	Period (nm)	Thickness (nm)	ITO ratio (%)	Current density (mA/cm <sup>2</sup> )	Enhancement (%)
Hole	300	150	55	12.161	3.26
Rod	300	150	65	12.117	2.89

Although we find the highest enhancement in a-Si thin film solar cell by our PhC design, but the enhancement just only 3%, that not achieve ours except. Thus, we show the absorption spectrum in the highest enhancement cases and reference case.

#### 5.1.2 Spectrum analysis

In figure 5.8, we show the absorption spectrum in active layer of a-Si solar cell with different

PhC structures on their rear sides, which are optimum hole and rod structures. We compare the results to a reference cell without PhC structures. We can observe the enhancement region only in long wavelength region that over 550nm. The reason is when the PhC structure in rear side, incident light that be scattered only the long wavelength, high transmittance part. A solution to the poor enhancement in wavelengths shorter than 550 nm is needed.

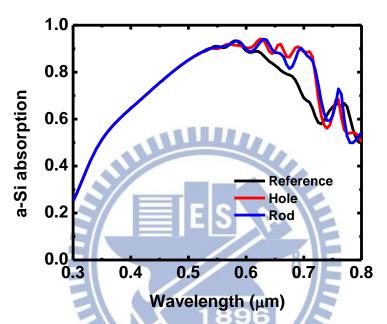


Figure 5.8 The absorption spectrum in different cases. Hole: period 300nm, thickness 150nm, ITO ratio 55%. Rod: period 300nm, thickness 150nm, ITO ratio 65%.

From figure 5.8, the ITO/a-Si PhC structures in rear side can enhance absorption in long wavelength region by increase optical path length. Because that incident light with long wavelengths can transmit through the active layer easily and our designs are able to reflect back and trap the transmitted light in active layer. In other hand, the transmitted energy can be trapped by PhC structures, so we can reduce the thickness of active layer in the optimum hole/rod designs; try to control the fabrication cost by save the semiconductor material. In figure 5.9, we find when hole structure in the cell, can reduce the active layer to about 270nm, and rod one can reduce to about 290nm. It approximately reduces 35% of material but still achieves the same device performance of the reference cell (a J<sub>sc</sub> of 11.78 mA/cm<sup>2</sup>).

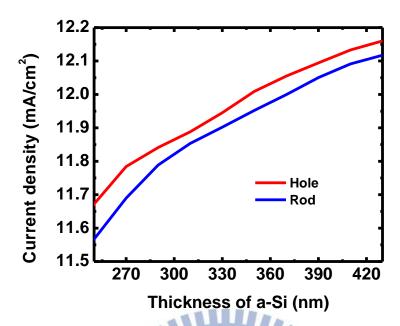


Figure 5.9 Current density of optimum hole (period 300nm, thickness 150nm, ITO ratio 55%) and rod (period 300nm, thickness 150nm, ITO ratio 65%) PhC structures with different thickness of a-Si active layer. The current density of reference cell with 430nm active layer is 11.78mA/cm<sup>2</sup>.

1896

### 5.2 Structure in front side

Because the few enhancement of absorption in a-Si thin film solar cell with PhC structures in rear side. We try to another way to enhance the efficiency of a-Si thin film solar cell by PhC structures. Because of the light scattering only in long wavelength region by structure in rear side, thus we change the position of our structures to front side, just like figure 5.10. We predict the absorption can be enhanced not only in IR region but also in short wavelength region by this change. Next part we observe the effect of ITO PhC structure in front side, and solve its problem, finally optimize the structure to enhance a-Si thin film solar cell.

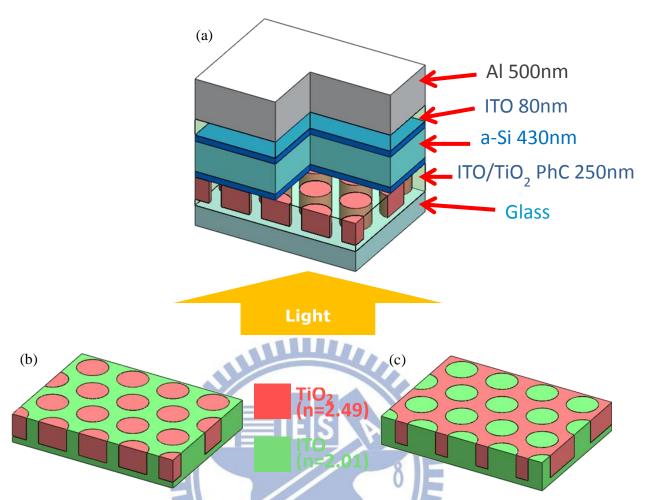


Figure 5.10 (a) The simulation model with  $ITO/TiO_2$  PhC structure in front side. (b) Hole structure. (c) Rod structure.

#### 5.2.1 Spectrum analysis

In this section, we change the location of ITO/a-Si PhC structure to front of active layer. Before incident light enter the active layer, PhC structure can provide the light scattering effect to enhance optical path length. The result is shown in figure 5.11.

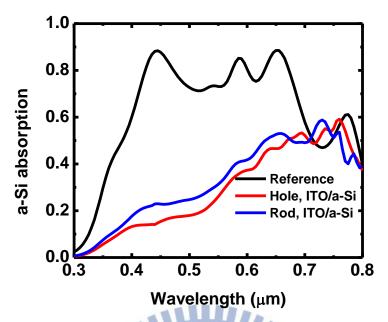


Figure 5.11 The absorption of ITO/a-Si PhC structure in front side of a-Si thin film solar cell, parameters: period 600nm, thickness 250nm, ITO ratio 50%.

From figure 5.11, we can know when ITO/a-Si PhC structure in front side and the absorption by a-Si couldn't be ignored. Therefore, chosen a-Si between ITO PhC structure in front side is not good. Thus, we find TiO<sub>2</sub> (n=2.49) replace a-Si to design ITO/TiO<sub>2</sub> PhC structure in front side (shown in figure 5.9). The effect is shown in figure 5.12.

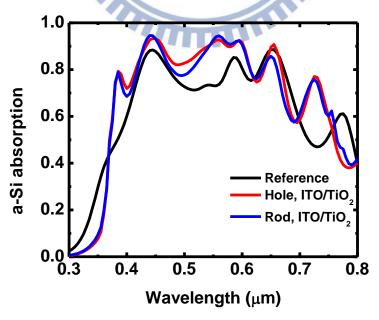


Figure 5.12 The absorption of  $ITO/TiO_2$  PhC structure in front side of a-Si thin film solar cell, parameters: period 600nm, thickness 250nm, ITO ratio 50%.

From figure 5.12, we can confirm the effect by ITO/TiO<sub>2</sub> PhC structure in a-Si thin film solar cells. Both holes and rods structure show significant improvement comparing to reference structure. For incident light with wavelength less than 600 nm, ITO/TiO<sub>2</sub> PhC structure plays the role of anti-reflective layer, increasing incident light that goes into active layer. Incident light of wavelength shorter than 350nm is unavoidably absorbed by TiO<sub>2</sub>, yet the anti-reflective effect increases more photon flux into a-Si active layer. In the long wavelength region where wavelength longer than 600 nm, light scattering by the PhC structures increase the optical path length of incident light, resulting in improvement of absorption.

### **5.2.2 Optimized Structure**

After we confirm the absorption enhancement from ITO/TiO<sub>2</sub> PhC structures, then we want to know the best parameters, period, thickness, ITO ratio, in hole/rod structures. In optimization, we observe the results in different thickness. In this way, we design the structure in front side, we consider not only light scattering effect, but also compare anti-reflective effect. Thus we calculated the integrated current density by equation (5-1) and average reflection by the following equation (5-2), and compared the results to find the best thickness of our structures at front.

$$\langle R \rangle = \frac{\int I_{AM1.5G}(\lambda) \times R_{sim}(\lambda) d\lambda}{\int I_{AM1.5G}(\lambda) d\lambda}$$
 (5-2)

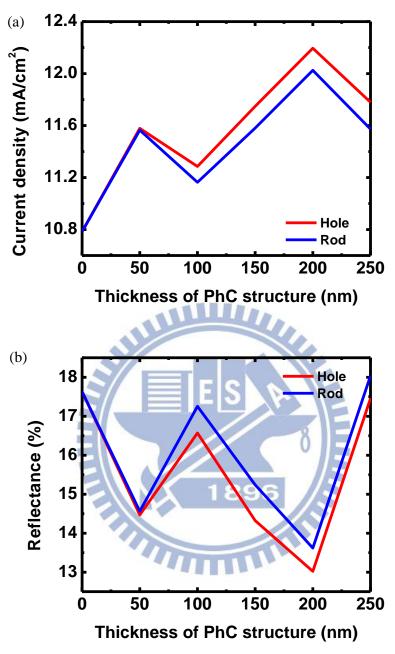


Figure 5.13 (a) The integrated current density and (b) average reflection in different thickness of PhC structure with period 600nm, ITO ratio 50%.

Result in figure 5.13, we find that both of the highest current densities and the lowest average reflections located at a thickness of PhC structure of 200nm. It can be explained by effective medium theory. The two different materials with two different refractive indices in periodic array which are close to optical wavelength provide an equivalent refractive index in PhC structure layer. Not only the light scattering effect is achieved, but also an anti-reflective

layer is obtained. Figure 5.13(b) show that thickness in 200nm is optimum value of ITO/TiO<sub>2</sub> PhC structures for anti-reflective layer. After we found that the thickness in 200nm is the optimum value, the integrated current density is analyzed with different period and ITO ratio of PhC structures in front side.

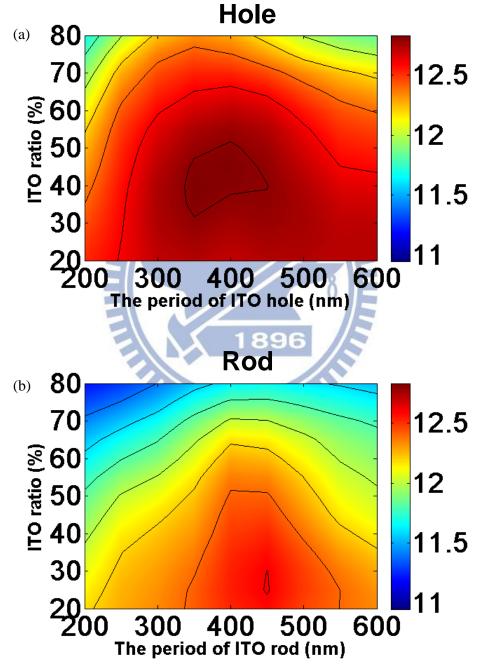


Figure 5.14 Integrated current density in different period and ITO ratio of (a) hole PhC structure and (b) rod PhC structure in front side. The thickness of PhC structures are 200nm both.

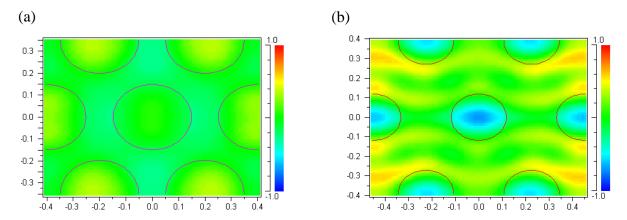


Figure 5.15 The electric field by finite-difference time-domain (FDTD) method in  $ITO/TiO_2$  PhC structures (a) hole, (b) rod.

When we find the results in different period and ITO ratio are shown in figure 5.14, hole's result has higher integrated current densities than rod's result generally. In figure 5.15, we can explain why of this trend. In simulation result by finite-difference time-domain (FDTD) method in two different PhC structures, the ITO hole structure can trap the energy in higher refractive index material, TiO<sub>2</sub>. But in the ITO rod structure, TiO<sub>2</sub> is spread in PhC layer that not easy to concentrate the energy to transfer to active layer. That the reason we can assertion hole structure is better than rod structure in our design for a-Si thin film solar cells.

To find the result from figure 5.14, the optimum parameters of ITO/TiO<sub>2</sub> PhC structures is shown in table 5.2.

Table 5.2 The optimum result of ITO/TiO<sub>2</sub> PhC structure in front side.

	Period (nm)	Thickness (nm)	ITO ratio (%)	Current density (mA/cm <sup>2</sup> )	Enhancement (%)
Hole	400	200	45	12.821	18.93
Rod	450	200	25	12.606	16.94

On the other hand, we simulate the electric field result in a-Si back ground with optimum ITO/TiO<sub>2</sub> structures we found as substrates in figure 5.16. Resonance in a-Si active layer by

material has higher refractive index, TiO<sub>2</sub>, can be observed. In hole structure, we can see more strong electric field enhanced by TiO<sub>2</sub> than rod structure. The reason can be explained in figure 5.15, Hole structure concentrate the energy in ITO hole that filled with TiO<sub>2</sub>. So that the optimum parameters of ITO ratio is ITO higher than TiO<sub>2</sub> in hole structure, but the ratio is ITO less than TiO<sub>2</sub> in rod structure. Not only in figure 5.15, has figure 5.16 also showed me the enhancement is hole structure is better than rod structure.

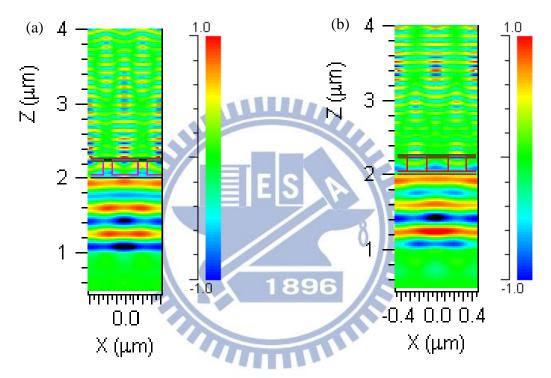


Figure 5.16 The electric field by finite-difference time-domain (FDTD) method in a-Si back ground with optimum ITO/TiO<sub>2</sub> PhC structures (a) hole, (b) rod.

Finally, we found the parameters of ITO/TiO<sub>2</sub> PhC structures in front side with the highest absorption enhancement about 19% and 17%. In other hand, we simulate the effect of optimum structures in other optical properties in figure 5.14.

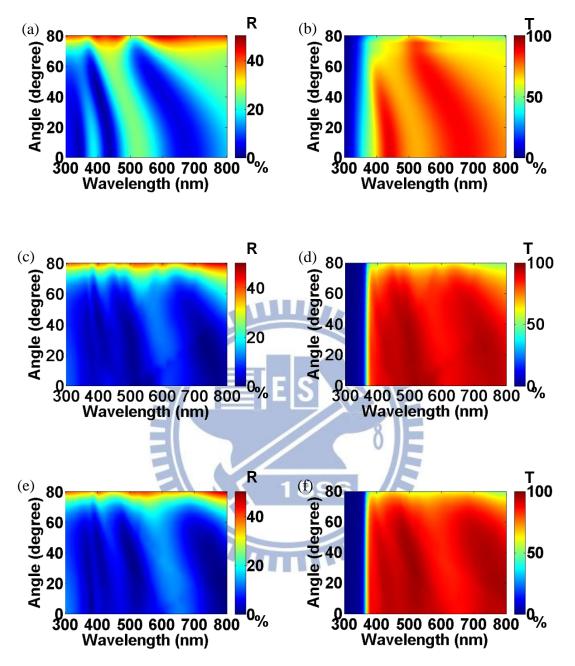


Figure 5.16 The optical properties versus different incident angle of light, the reflection of (a) reference, (c) hole structure, (e) rod structure, and the transmission of (b) reference, (d) hole structure, (f) rod structure.

The simulated reflection and transmission result are shown in figure 5.16. ITO/TiO<sub>2</sub> PhC structure provide higher and wider anti-reflective and light scattering effect than reference in large incident angle. They exhibited characteristic of omnidirectional absorption.

# Chapter 6. Conclusion

In summary, we design photonic crystal (PhC) structures in a-Si thin film solar cells to increase optical path length and improve the absorption of transmitted light. In this study, we design PhC structures for a-Si thin film solar cells. Due to the periodic change in refractive index with period ranging dimension close to optical wavelength, light scattering can be achieved. Then we use the three-dimensional Rigorous Coupled-Wave Analysis (RCWA) method to simulate the effect brought by PhC structures in a-Si thin film solar cells.

At first, we design ITO/a-Si PhC structures and achieve optimization. The results for hole structure are 300nm in period, 150nm in thickness, 55% in ITO ratio, and that for rod structure are 300nm in period, 150nm in thickness, 65% in ITO ratio. We found ITO/a-Si PhC structure can change the absorption in long wavelength and enhance efficiency in different parameters. Although the enhancements are only 3.26% and 2.89% comparing to the reference, yet we discover that we can reduce the thickness of active layer yet still achieve the same device performance of the reference cell to achieve material saving about 35%.

Next, we change the position of PhC structure from rear side of the cells to front side and overcome the problem that enhancement only occurs in long wavelength. But when we use ITO/a-Si PhC design in front side, we couldn't ignore the absorption by a-Si in PhC structure, thus we use another material, TiO<sub>2</sub>, to replace a-Si then complete our design and optimize.

As a result, we found the optimum parameters of ITO/TiO<sub>2</sub> PhC structures for hole are 400nm in period, 200nm in thickness, 45% in ITO ratio, and that for rod are 450nm in period, 200nm in thickness, 25% in ITO ratio. When we change the thickness of TiO<sub>2</sub> in PhC structure, because of ITO/TiO<sub>2</sub> structure with an equivalent refractive index, and 50nm ITO and 200nm equivalent refractive index layer has the best anti-reflective effect in our design. On the other hand, light scattering effect enhanced the absorption by change the direction of incident light, and the optimum structure prove more smooth transmittance curve in long

wavelength region. So that, front structures not only provide light scattering effect, but also play a role of anti-reflective layer. It increases the optical path length and traps incident light in active layer, reduce energy loss by omnidirectional anti-reflective effect and by high transmittance of PhC structure. We believe that when apply the ITO PhC structure in an actual a-Si thin film solar cell, the efficiency of it can be enhanced.



## Reference

- [1] http://www.world-nuclear.org/info/inf12.html
- [2] "World energy outlook 2009", International Energy Agency, 2009.
- [3] http://encyclobeamia.solarbotics.net/articles/solar\_cell.html
- [4] Martin A. Green, Keith Emery, Yoshihiro Hishikawa and Wilhelm Warta, "solar cell efficiency tables v.35", Prog. Photovolt: Res. Appl. 18,144–150 (2010).
- [5] Marti A. Green, Araujo G. L. "Limiting efficiencies for photovoltaic energy conversion in multigap systems" Solar Energ. Mater. Solar Cells, 43, 203-222 (1996).
- [6] Vaňěcek M Poruba A, Reměs Z, Beck N, Nesl´adek M, J. Non-Cryst. Solids 227 230, 967 (1998).
- [7] The figure was calculated based on the hemispherical irradiance (37° south facing) American Society for Testing and Materials (ASTM) Table G159-98 Standard Tables for References Solar Spectral Irradiance at Air Mass 1.5: Direct Normal and Hemispherical for a 37° Tilted Surface.
- [8] Singh. R, "Why silicon is and will remain the dominant photovoltaic material" Journal Of Nanophotonics,3, (2009)
- [9] Jenny Nelson, "The physics of solar cells," (2003)
- [10] NASA Solar System Exploration Sun: Facts & Figures retrieved 27 April 2011
  "Effective Temperature ... 5777 K"
- [11] Antonio Luque and Steven Hegedus, "Handbook of Photovoltaic Science and Engineering," John Wiley & Sons, Chichester, pp. 505 565, (2003).
- [12] Near room temperature, a-Si:H has a "quantum efficiency" of essentially 1.00 for generating photocarriers when a photon is absorbed. Carasco F, Spear W, Philos. Mag. B 47, 495 (1983).
- [13] Schiff E, "Diffusion-controlled bimolecular recombination of electrons and holes in

- a-Si:H," J. Non-Cryst. Solids 190, 1, pp. 1-8, (1995).
- [14] Williams E, The Physics and Technology of Xerographic Processes, Wiley, New York, NY (1984).
- [15] Mort J, The Anatomy of Xerography: Its Invention and Evolution, McFarland, Jefferson, NC (1989).
- [16] Chittick R, Sterling H, in Adler D, Fritzsche H, Eds, Tetrahedrally Bonded Amorphous Semiconductors, pp. 1 11, Plenum Press, New York, NY (1985).
- [17] Carlson D, Wronski C, "Amorphous silicon solar cell," Appl. Phys. Lett. 28, pp. 671-673, (1976).
- [18] Perlin J, Space to Earth: The Story of Solar Electricity, aatec Publications, Ann Arbor (1999).
- [19] Wronski C, Carlson D, in Archer M, Hill R, Eds, Clean Electricity from Photovoltaics, World Scientific, Singapore (2001).
- [20] Yang J, Banerjee A, Guha S, "Triple-junction amorphous silicon alloy solar cell with 14.6% initial and 13.0% stable conversion efficiencies," Appl. Phys. Lett. 70, pp. 2975-2977, (1997).
- [21] Fritzsche H, Mater. Res. Soc. Symp. Proc. 609, A17.1.1 12 (2001).
- [22] Phillips J, "Topology of covalent non-crystalline solids I: Short-range order in chalcogenide alloys," J. Non-Cryst. Solids, vol. 34, 2, pp. 153-181 (1979).
- [23] Boolchand P, Thorpe M, "Glass-forming tendency, percolation of rigidity, and onefold-coordinated atoms in covalent networks," Phys. Rev. B 50, pp. 10366-10368 (1994).
- [24] Reimer J, Petrich M, in Fritzsche H, Ed, Amorphous Silicon and Related Materials, Vol. A, 3 27, World Scientific, Singapore (1989).
- [25] Street R, Hydrogenated Amorphous Silicon, Cambridge University Press, Cambridge

(1991).

- [26] The assignment of the D-center observed in electron paramagnetic resonance measurements with a dangling bond has been challenged in favor of "floating bonds" (Stathis J, Pantelides S, Phys. Rev. B 37, pp. 6579 6582 (1988)).
- [27] Jackson W, Tsai C, Thompson R, "Diffusion of paramagnetic defects in amorphous silicon," Phys. Rev. Lett. 64, pp. 56-59 (1990).
- [28] Zafar S, Schiff E, "Hydrogen and defects in amorphous silicon," Phys. Rev. Lett. 66, pp. 1493-1496 (1991).
- [29] Park H, Liu J, Wagner S, "Saturation of the light-induced defect density in hydrogenated amorphous silicon," Appl. Phys. Lett. 55, pp. 2658-2660 (1989).
- [30] Branz H, "Hydrogen collision model: Quantitative description of metastability in amorphous silicon," Phys. Rev. B 59, pp. 5498-5512 (1999).
- [31] Measurements furnished through the courtesy of N. Cereghetti, Laboratory of Energy, Ecology and Economy (LEEE), Scuola Universitaria Professionale della Svizzera Italiana. These data apply to the 0.5 kW array, and are described in more detail by Cereghetti N, Chianese D, Rezzonico S, Travaglini G, Proceedings of the 16th European Photovoltaic Solar Energy Conference, James & James, London (2001).
- [32] Ley L, "Electronic structure of a-Si:H and its interfaces as determined by photoelectron spectroscopy," J. Non-Cryst. Solids 114, pp. 238-243 (1989).
- [33] Jackson W, Kelso S, Tsai C, Allen J, Oh S, "Energy dependence of the optical matrix element in hydrogenated amorphous and crystalline silicon," Phys. Rev. B 31, pp. 5187-5189 (1985).
- [34] Cody G, Tiedje T, Abeles B, Brooks B, Goldstein Y, "Disorder and the Optical-Absorption Edge of Hydrogenated Amorphous Silicon," Phys. Rev. Lett. 47, pp. 1480-1483 (1981).

- [35] Tiedje T, in Joannopoulos J, Lucovsky G, Eds, Hydrogenated Amorphous Silicon II, 261 300, Springer-Verlag, New York (1984).
- [36] Gu Q, Wang Q, Schiff E, Li Y, Malone C, "Hole drift mobility measurements in amorphous silicon-carbon alloys," J. Appl. Phys. 76, pp. 2310-2315 (1994).
- [37] Wang Q, Antoniadis H, Schiff E, Guha S, "Electron-drift-mobility measurements and exponential conduction-band tails in hydrogenated amorphous silicon-germanium alloys," Phys. Rev. B 47, pp. 9435-9448 (1993).
- [38] Tauc J, in Abeles F, Ed, Optical Properties of Solids, 277 313, North Holland, Amsterdam (1972).
- [39] Chen I, Wronski C, "Internal photoemission on a-Si:H Schottky barrier structures revisited," J. Non-Cryst. Solids 190, pp. 58-66 (1995).
- [40] Jackson W, Amer N, "Direct measurement of gap-state absorption in hydrogenated amorphous silicon by photothermal deflection spectroscopy," Phys. Rev. B 25, pp. 5559-5562 (1982).
- [41] Antoniadis H, Schiff E, "Transient photocharge measurements and electron emission from deep levels in undoped a-Si:H," Phys. Rev. B 46, pp. 9482-9492 (1992).
- [42] Lee J, Schiff E, "Modulated electron-spin-resonance measurements and defect correlation energies in amorphous silicon," Phys. Rev. Lett. 68, pp. 2972-2975 (1992).
- [43] Han D, Melcher D, Schiff E, Silver M, "Optical-bias effects in electron-drift measurements and defect relaxation in a-Si:H," Phys. Rev. B 48, pp. 8658-8666 (1993).
- [44] Santos P, Johnson N, Street R, "Light-enhanced hydrogen motion in a-Si:H," Phys. Rev. Lett. 67, pp. 2686-2689 (1991).
- [45] Hama S, Okamoto H, Hamakawa Y, Matsubara T, J. Non-Cryst. Solids 59 60, 333 (1983).
- [46] Guha S, Payson J, Agarwal S, Ovshinsky S, J. Non-Cryst. Solids 97 98, 1455 (1987).

- [47] Middya A, Ray S, Jones S, Williamson D, "Improvement of microstructure of amorphous silicon–germanium alloys by hydrogen dilution," J. Appl. Phys. 78, pp. 4966-4974 (1995).
- [48] Stutzmann M, Street R, Tsai C, Boyce J, Ready S, "Structural, optical, and spin properties of hydrogenated amorphous silicon-germanium alloys," J. Appl. Phys. 66, pp. 569-590 (1989).
- [49] Li Y, Proc. Materials Research Society Symp., 297, 803 814 (1994).
- [50] Arya R, Catalano A, Oswald R, "Amorphous silicon p-i-n solar cells with graded interface," Appl. Phys. Lett. 49, pp. 1089-1091 (1986).
- [51] Tsukada T, in Street R, Ed, Technology and Applications of Amorphous Silicon, 7 93, Springer, Berlin, Germany (2000).
- [52] R. Petit, Electromagnetic Theory of Grating, Springer-Verlag, Belin, 1980.
- [53] M. G. Moharam, T. K. Gaylord, "Rigorous coupled-wave analysis of metallic surface-relief grattings," J. Opt Soc. Am. A 3, pp. 1780-1787 (1986).
- [54] Lifeng Li, "New formulation of the Fourier modal method for crossed surface-relief gratings," J. Opt. Soc. Am. A 14, pp. 2758-2767 (1997).
- [55] M. G. Moharam, T. K. Gaylord, "Rigorous coupled-wave analysis of planar-grating diffraction," J. Opt Soc. Am. 7, pp. 811-818 (1981).
- [56] M. G. Moharam and T. K. Gaylord, "Coupled-wave analysis of reflection gratings," Appl. Opt., 20, pp. 240-244 (1981).
- [57] T. Tamir, H. C. Wang, and A. A. Oliner, "Wave propagation in sinusoidally stratified dielectric media," IEEE Trans. Microwave Theory Tech. MTT-12, pp. 323-335 (1964).
- [58] T. Tamir and H. C. Wang, "Scattering of electromagnetic waves by a sinusoidally stratified half-space: I. Formal solution and analysis approximations," Can. J. Phys. 44, pp. 2073-2094 (1966).

- [59] T. Tamir, "Scattering of electromagnetic waves by a sinusoidally stratified half-space: II.

  Diffraction aspects of the Rayleigh and Bragg wavelengths," Can. J. Phys. 44,
  2461-2494 (1966).
- [60] Jenny Nelson, "The physics of solar cells," (2003).

