# 國立交通大學

電子工程學系 電子研究所碩士班

# 碩士論文

高介電係數金屬閘極平面式浮置閘極快閃記憶體特性

及其微縮模擬

Numerical Simulation of High-k/Metal Gate Floating Gate Flash Memory Characteristics and Device Scaling

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在本篇論文中,我們建立了一個模擬方法來模擬高介電係數金屬開極 平面式浮置開極快閃記憶體在寫入與消除的暫態行為,並使用 ISE TCAD 模擬軟體來模擬不同通道長度下操作時的電場分佈。我們模擬 有邊緣場效應與無邊緣場效應在通道長度為20奈米的平面式浮置開極 快閃記憶體的寫入與消除操作下的比較,從我們模擬的結果可以得到邊緣 場效應會造成我們在寫入與消除操作效率變差,因此在平面式浮置開極微 縮過程中,邊緣場效應扮演非常重要的角色。

為了在寫入與消除操作效率可以提高,我們必須改變其他高介電係數 材料當作阻擋層並模擬在不同阻擋層材料的寫入操作特性,我們也改變開 極材料來模擬不同閘極材料在消除操作下的特性,由我們的模擬結果可以 發現用氧化鑭(La2O3)當作阻擋層可以有效地提高寫入與消除操作下 的效率。

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# Numerical Simulation of High-k/Metal Gate Floating Gate Flash Memory Characteristics and Device Scaling

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Abstract

In this dissertation a simulation method to simulate the transient behavior of programming and erasing in high-k/metal gate planar floating gate flash memory is developed. We also simulate the electric field distribution under different channel length by ISE TCAD and compared the program/erase efficiency in channel length is 20 nm of planar floating gate flash memory with edge fringing field effect. From our simulation result, the program/erase efficiency will be degraded by edge fringing field effect. As a result, edge fringing field effect plays an important role in the scaling course of planar floating flash memory. To improve the program/erase efficiency, we have to simulate program characteristics and change other high-k materials as blocking layer. We also simulated erase characteristics under different gate material. From our simulation result, lanthanum oxide as blocking layer could effectively promote program/erase efficiency in planar FG.



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## Chapter 1 Introduction

Flash memory is the most widely used non-volatile information storage device today, floating gate NAND flash memory device is the most aggressively scaled electronic device. In this work, we will discuss possible problems arising from continued scaling of conventional FG structure and novel scaling issue.

Although floating gate NAND flash memory has scaled into 30nm node recently, further scaling to 20nm may require using planar FG. Conventional FG is essential to decrease the floating gate height in order to limit cell to cell interference. However, it can not be decreased when a large FG sidewall area is required for control gate coupling [1.1] [1.2]. Conventional floating gate flash memory scaling has been researched recently[1.3] [1.4], consisting of floating gate structure and different high-k blocking layer and gate materials, however there are few research in planar high-k metal/gate FG scaling issue [1.5] [1.6]. We will discuss program/erase efficiency due to edge fringing field effect [1.7] [1.8] when the planar FG scales into 20nm.

There are four chapters in this thesis. Chapter 1 is introduction and we will introduce the conventional FG scaling issue and the conventional FG will be replaced by planar FG. In Chapter 2, we will introduce the simulation method and result of conventional/planar floating gate flash memory in order to confirm our simulation method and discuss the oxide spacer induced edge fringing field effect in short channel planar FG device. For this reason, the program/erase efficiency will degrade due to edge fringing field effect. In Chapter 3, we will discuss program/erase characteristics for different high-k blocking layer and gate materials and combine ideal high-k blocking layer and gate materials in planar floating gate flash memory. Finally, summary and conclusion will be given in chapter 4.



# Chapter 2 Floating Gate Numerical Simulation and Edge effect

### **2.1 Introduction**

Floating gate flash memory with high-k blocking layer has recently received much attention for the application in the next-generation nonvolatile memories because their better program/erase efficiency. Most research involves conventional floating gate structure with high-k blocking layer materials, however our discussion is concerned with high-k/metal gate planar FG structure [1.5] and edge fringing field effect [2.1] in short channel high-k/metal gate planar FG device.

First, to develop our simulation method for high-k/metal gate planar floating gate flash memory, we have to verify between the experiment data and simulation is needed to confirm.

Second, based on the simulation method and flow we can simulate high-k/metal gate planar FG at different channel length. Furthermore, we will discuss the edge fringing field effect at different channel length and explain the result of program/erase efficiency.

### 2.2 Simulation Method

Fig. 2.1 illustrates the simulation method and flow chart of conventional FG. The conventional FG structure is immensely complex. For simplification, we have to simplify the structure. We can ignore top tunneling current in conventional FG due to relatively gate coupling ratio (GCR<sub>1</sub>) [2.2]. Due to these key points, we proposed a simulation method to simulate conventional FG as showed in Fig. 2.2. In our method,

the planar FG is equivalent to conventional FG because they have the same initial gate coupling ratio. Therefore, we try to get the initial gate coupling ratio ( $GCR_1=GCR_2$ ) by changing blocking layer thickness, which method could promote our simulation.

First, to get bottom electric field from ISE TCAD, we must give critical parameters and initial condition in ISE TCAD. The tunneling current is selected by the bottom oxide electric field due to ignore the top tunneling current. The tunneling current mechanism is FN tunneling. The tunneling barrier determined by the conduction band offset between silicon substrate and bottom oxide, the current is from FN tunneling.



 $\Delta t$  is time of initial condition.

Finally, the simulation process will stop at our goal time.

To confirm the simulation method established above, we choice an ideal material as blocking layer in order to ignore top FN tunneling current. The planar FG with stack is  $SiO_2/Poly-Si/Blocking$  Layer is 7nm/120nm/7.5nm and gate coupling ratio = 0.45 as show in Fig. 2.2. The simulation planar FG structure and the reference of conventional FG structure with the same initial gate coupling ratio.

As a result of same GCR, the simulation planar FG is equivalent to the reference conventional FG. In Fig. 2.3, the data of the reference [2.3] is quoted and our simulations fit both on its program and erase behaviors well.

#### **2.3 Program Characteristics due to Edge Effect**

Fig. 2.4 illustrates the electric field distribution in planar FG with/without oxide spacer and the stack is SiO<sub>2</sub>/Poly-Si/HfO<sub>2</sub> is 7nm/100nm/13nm, channel length is 20nm. From Fig. 2.4 (a), the top blocking layer and bottom oxide electric field are uniform distribution in planar FG without oxide spacer. However, from Fig. 2.4 (b), the top blocking layer and bottom oxide electric field are non-uniform distribution due to the top and bottom edge fringing field effect. Therefore, the planar FG with oxide spacer must be taken account of edge fringing field effect [2.1] in short channel planar FG device.

According to the simulation result illustrated in Fig. 2.5 (a) and (b), the bottom potential drop ratio is decreasing in short channel planar FG device due to edge effect in short channel planar FG device is more serious than long channel planar FG device. Therefore, we can predict the program/erase efficiency will degrade in short channel planar FG device due to the bottom electric field becomes weaker than long channel planar FG. Fig. 2.6 illustrates the correlation between top potential drop ratio and channel length. From Fig. 2.6 (a), the top potential drop ratio increases with channel length due to edge effect in short channel planar FG device is also more serious than long channel planar FG device. Therefore, we can also predict the program/erase efficiency will degrade in short channel planar FG device due to the top FN tunneling current will tunnel out easily from control gate.

Based on the above discussion, we can make our conclusion that program/erase efficiency will be degraded by edge fringing field effect in short channel planar FG device.

Due to edge fringing field effect, both top and bottom electric field are non-uniform distribution. As a result, we have to calculate effective electric field in program/erase operation. The method of calculate effective electric field as show in Fig.2.7. Fig 2.7 (a) illustrates the conduction energy band diagram at program operation, and we focus on bottom silicon dioxide and top hafnium oxide. The conduction energy band diagram of bottom silicon dioxide as show in Fig. 2.7 (b). The FN tunneling current will tunnel from substrate to polysilicon at programming, therefore, the FN tunneling current has to tunnel through a barrier. The barrier is not the whole of the area of tunneling but the top small area of tunneling. We can receive the tunneling distance  $\Delta Y_1$  by ISE TCAD, and the FN tunneling current will not pass through the large electric field region. The large electric field region is located within the interface of SiO<sub>2</sub>/poly-Si. Therefore we have to calculate the effective electric field from following Eq. (2.2)

Effective electric field =  $V_A - V_B / \Delta Y = \Delta V / \Delta Y$  Eq. (2.2)

Fig. 2.7 (c). is the conduction energy band diagram of top hafnium oxide. The FN tunneling current will tunnel to top hafnium oxide from polysilicon and tunnel through a barrier. The barrier is also not the whole of the area of tunneling but the top small area of tunneling. The  $\Delta Y_2$  is tunneling distances, we can also calculate the effective electric field in top hafnium oxide for the same way.

As the result of Eq. (2.2), the bottom and top effective electric field show in Fig.2.8, and a shorter channel length denoted the edge fringing field effect is larger. From Fig. 2.8 (a), we compared the bottom effective electric field with different channel length at gate voltage is 15V, and a dimensional dependence of edge fringing field effect is investigated, which a smaller device demonstrated a larger edge effect. The effective electric field of bottom oxide is decreasing in short channel planar FG, the program/erase efficiency will be degraded by this phenomenon. Fig. 2.8 (b) illustrates the correlation between top effective electric field and channel length, the edge fringing field effect is severe in short channel planar FG device. The effective electric field of top hafnium oxide increases with channel length, which induced the FN tunneling current will easily tunnel out from control gate. Therefore, program/erase efficiency will also be degraded by edge fringing field effect in short channel planar FG device.

Based on the above discussion, both top and bottom electric field are non-uniform distribution due to edge fringing field effect. This phenomenon is severe in short channel device, therefore, we have to integrate total FN tunneling current. The integration of total FN tunneling current as show in Fig. 2.9.

Fig. 2.10 illustrates the simulation flow chart of planar FG. The simulation flow chart difference between conventional is that we have to calculate effective electric field and integrate total FN tunneling current in planar FG simulation flow. Based on the simulation flow chart, we can simulate the program transient for planar FG with SiO<sub>2</sub>/Poly-si/HfO<sub>2</sub> stack is 7nm/10nm/12nm, channel length is 20nm. The simulation result as show in Fig 2.11. From Fig 2.11, the program window and program speed are degraded by edge fringing field effect.

#### **2.4 Erase Characteristics due to Edge Effect**

In previous section, we discussed the correlation between effective electric field with different channel length in programming. In this section, we dicuss the correlation between different channel length and effective electric field in erasing.

Similarly, we have to calculate effective electric field in erase operation. The method of calculate effective electric field as show in Fig.2.12. Fig 2.12 (a) illustrates the conduction energy band diagram at erase operation, and we focus on bottom silicon dioxide and top hafnium oxide. From Fig. 2.12 (b), the conduction energy band diagram shows in bottom silicon dioxide. The FN tunneling current will tunnel to substrate from polysilicon at erasing, therefore, the FN tunneling current has to tunnel through a barrier. The barrier is also not the whole of the are of tunneling but the top small area of tunneling. We can receive the tunneling distance  $\Delta Y_1$  by ISE TCAD, and the FN tunneling current will pass through the large electric field region. The large electric field region is located within the interface of SiO<sub>2</sub>/poly-Si. Therefore we also have to calculate the effective electric field from Eq. (2.2).

Fig. 2.12 (c) is the conduction energy band diagram of top hafnium oxide. The FN tunneling current will tunnel to polysilicon from top hafnium oxide and tunnel through a barrier. The barrier is also the whole of the area of tunneling but the top small area of tunneling. The  $\Delta Y_2$  is tunneling distances, we can also calculate the effective electric field in top hafnium oxide for the same way.

As the result of the above discussion, the bottom and top effective electric field show in Fig.2.13, a shorter channel length denoted the edge fringing field effect is larger. From Fig. 2.13 (a), we compared the bottom effective electric field with different channel length at gate voltage is -15V, a dimensional dependence of edge fringing field effect is also investigated, which a smaller device demonstrated a larger edge effect. The bottom effective electric field decreases with channel length, the erase efficiency will be degraded by this phenomenon due to the FN tunneling current easily tunnel out from floating gate to substrate. Fig. 2.13 (b) illustrates the correlation between top effective electric field and channel length, and the edge fringing field effect is also severe in short channel planar FG device. The top effective electric field increases with channel length, which induced the gate injection current will easily tunnel in from gate. Therefore, erase efficiency will also be degraded by edge fringing field effect in short channel planar FG device.

Fig. 2.14 illustrates the simulation result of erase transient behavior for planar FG with SiO<sub>2</sub>/Poly-si/HfO<sub>2</sub> stack is 7nm/10nm/12nm, channel length is 20nm. The erase window and speed are also degraded by edge fringing field effect.







Fig. 2.1 Simulation Method and flow chart of conventional FG flash memory.



Fig. 2.2 Simulation planar FG structure with stack  $SiO_2/Poly-Si/Blocking$  Layer is 7nm/120nm/7.5nm and channel length = 20nm, GCR=0.45.



Fig. 2.3 (a) Program and (b) erase characteristics for simulation results of conventional FG cell. Symbols are reported by [2.3] and lines are our simulation results.



Fig. 2.4 Electric field distribution in planar FG cell (a) without oxide spacer (b) with oxide spacer



Fig. 2.5 Bottom potential drop ratio in Vg=15V verse (a) channel direction (b) channel length at x=0.



Fig. 2.6 Top potential drop ratio in Vg=15V verse (a) channel direction (b) channel length at x=0.





Fig. 2.7 Conduction energy band diagram at Vg=15V program operation, the Y-axis is

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from silicon substrate to gate.



Fig. 2.8 Conduction energy band diagram and electric field diagram in (a) bottom  $SiO_2$  (b) top  $HfO_2$ .



Fig. 2.9 Correlation between effective electric field and channel length (a) bottom effective electric field (b) top effective electric field.



**Fig 2.10** 







Fig. 2.12 Simulation result of program transient for planar FG with stack SiO<sub>2</sub>/Poly-Si/HfO<sub>2</sub> is 7nm/100nm/13nm. Solid line with edge effect and dash line are without edge effect.



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Fig. 2.14 Conduction energy band diagram and TCAD electric field plot in (a) bottom  $SiO_2$  (b) top HfO<sub>2</sub>.

Fig 2.15 (a)



Fig. 2.15 Correlation between effective electric field and channel length (a) bottom oxide effective electric field (b) top hafnium effective electric field.





 $SiO_2/Poly-Si/HfO_2$  is 7nm/100nm/13nm. Solid line with edge effect and dash line are without edge effect.

## **Chapter 3**

# Program/Erase Transient Behaviors for High-k/Metal Gate Floating Gate Flash Memory

### **3.1 Introduction**

In planar FG with conventional ONO stack as blocking layer, the program/erase efficiency is poor as show in [2.1]. As a result, the conventional ONO stack will be replaced by high-k material.

By incorporating our previous studies, performances including program and erase can now be reviewed for a planar FG structure. It will be promoted by other high-k material as blocking layer [3.1]. In this chapter, the performance for the planar FG structure also can be analyzed by our simulation. Based on our simulation method used in chapter 2, the optimum high-k material as blocking layer and gate material with fixed thickness is achieved with better performances in programming/erasing.

### 3.2 Program Characteristics for Different High-k Materials

### as Blocking Layer

Fig. 3.1 displayed the program efficiency of planar FG with different blocking materials at time is 10µsec. The ISPP (Incremental Step Pulse Programming) slope is the ability of programming efficiency, ISPP slope is universal for program charge trapping in NAND flash memory [3.2] [3.3]. In Fig. 3.1 (a), the ISPP slope of hafnium oxide and lanthanum oxide are close to 1 and the ISPP slope of aluminum oxide is close to 0.85. Therefore, the program efficiency of hafnium oxide and lanthanum oxide are better than aluminum oxide due to higher dielectric

constants. From Fig. 3.1(b), the high-k material as blocking layer has lower top electric field than smaller dielectric constant material. As a result of the above discussion, the FN tunneling current will not tunnel out easily from control gate due to the lower top electric field. However, the FN tunneling will tunnel out easily from control gate due to the higher top electric field. Therefore, the hafnium and lanthanum oxide has better program efficiency than aluminum oxide.

Fig. 3.2 illustrates the correlation between program saturation window and different high-k material as blocking layer. The program saturation window of lanthanum oxide is larger than aluminum oxide due to the higher dielectric constant has lower top electric field. As a result, the FN tunneling current will not tunnel out easily from control gate.

# 3.2 Erase Characteristics for Different High-k Materials as Blocking Layer and Gate Materials

In this section, we will discuss the erase characteristics planar FG with different high-k materials as blocking layer and gate material. From Fig. 3.3 (a), The ISPE (Incremental Step Pulse Erasing) slope is the ability of erasing efficiency, and ISPE slope is universal for program charge detrapping in NAND flash memory [3.4]. In Fig. 3.3 (a), the ISPE slope of lanthanum oxide are close to 1 and the ISPE slope of hafnium oxide is close to 0.9. Therefore, the erase efficiency of lanthanum oxide as blocking layer is better than hafnium oxide due to the dielectric constant. From Fig. 3.3(b), the higher dielectric constant as blocking layer has lower top electric field than lower dielectric constant material. As a result, the gate injection tunneling current will not tunnel in easily from gate due to the lower top electric field. However, the gate injection current will tunnel in easily from gate due to the higher top electric field.

Therefore, the lanthanum oxide has better erase efficiency than hafnium oxide.

Fig. 3.4 illustrates the correlation between erase window and  $\Phi_B$  at different gate voltage, and the time is 1ms.  $\Phi_B$  is the band offset between lanthanum oxide and n<sup>+</sup> poly gate. The higher band offset has larger erase window at time is 1ms due to the high band offset can efficiently suppress the gate injection current. The correlation between top/bottom FN tunneling current and erasing time at gate voltage is -19V as show in Fig. 3.5, From Fig. 3.5 (a), erase saturation has been happened at time is 1ms due to the top and bottom FN tunneling are equal at time is 1ms with n<sup>+</sup> poly gate material. However, in Fig. 3.5 (b), the erase saturation has not happened yet at time is 1ms due to the top and bottom FN tunneling current are not equal at time is 1ms with TaN metal gate. As a result of above discussion, the band offset within 1.4~2.3 eV is erase saturation region at gate voltage is -19V, time is 1ms. However, the band offset above 2.3eV has not happened erase saturation.

Fig. 3.6 illustrates the correlation between erase saturation window and different gate voltage in lanthanum oxide as blocking layer and different gate material. The energy band diagram between lanthanum oxide and gate material at negative gate voltage applied as show in Fig. 3.6. From Fig. 3.6, higher barrier height has to tunnel through larger triangle barrier. As a result, the gate injection tunneling current will not easily tunnel in floating gate from gate. Based on the above discussion, the simulation result as show in Fig. 3.7. TaN metal gate has larger erase saturation window due to the barrier height are higher than  $n^+$  poly gate and Al metal gate.

Fig. 3.9 shows the simulation result of program transient behaviors in planar FG with stack SiO<sub>2</sub>/Poly-Si/La<sub>2</sub>O<sub>3</sub> is 7nm/100nm/13nm at various postive gate voltage. The planar FG structure of our simulation as show in Fig. 3.8. Lanthanum oxide as blocking layer could promote program efficiency in planar FG structure due to the dielectric constant so high that the top FN tunneling can not easily tunnel out from floating gate. As a result of Fig.3.9, the program efficiency in planar FG with edge effect can be improved by ideal high-k material as blocking layer. In addition to programming, the simulation result of erase transient behaviors as show in Fig. 3.10. Erase efficiency in planar FG can also be improved by the same way.





Fig. 3.1 (a) Compare ISPP slope under different high-k material as blocking layer at time is 10µs (b) compare top oxide FN tunneling current under different high-k material as blocking layer.



Fig. 3.2 Compare the program capability under various gate voltage bias and highmaterial as blocking layer.



Fig. 3.3 (a) Compare ISPE slope under different high-k material as blocking layer at time is 1ms (b) compare top oxide FN tunneling current under different high-k material as blocking layer.



Fig 3.5 (a) T=1ms



Fig. 3.5 The correlation between top/bottom FN tunneling current and erasing time at erase voltage = -19V for (a) n<sup>+</sup>-poly gate (b) TaN metal gate.



Fig. 3.6 The energy band diagram between lanthanum oxide and gate material at erase gate voltage bias applied under different gate material.



Fig 3.7

Fig. 3.7 Compare the erase capability under various gate bias and  $\Phi_B$ .  $\Phi_B$  is the band offset between gate material and blocking layer.



**Fig 3.8** 

Fig. 3.8 The planar FG structure of our simulation.



Fig. 3.9 Program characteristics for planar FG cell with  $SiO_2/Poly-Si/La_2O_3$  stack is 7nm/100nm/13nm and n<sup>+</sup>-poly gate.

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Fig. 3.10 Erase characteristics for planar FG cell with  $SiO_2/Poly-Si/La_2O_3$  stack is 7nm/100nm/13nm and n<sup>+</sup>-poly gate.

## Chapter 4 Conclusion

Simulation of planar floating gate flash memory is characterized by this work. We developed the simulation method for transient behaviors in programming and erasing and compared the edge fringing field effect under different channel length. Consequently, the poor program/erase efficiency of short channel planar floating gate device which is compared. As the result, the edge effect had to be considered in short channel planar floating gate device. In other words, based on our simulation result, we must be taken account of edge fringing field effect in scaling course of planar floating gate flash memory.

According to the edge fringing field effect and our simulation result, an ideal high-k material as blocking layer has to be developed. We compared the program/erase efficiency under different high-k material as blocking layer and gate material. Due to the simulation we obtained the program/erase efficiency from other high-k material, moreover, the ideal high-k material can be obtained. According to our simulation result, lanthanum oxide as blocking layer could promote program/erase efficiency in planar FG.

100

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# Numerical Simulation of High-k/Metal Gate Floating Gate Flash Memory Characteristics and Device Scaling