## Letters to the Editor

# Design of Sharp Cutoff Low-Pass Maximally Flat RC-Active Filters by Cascading Third-Order Blocks

#### **CHE-HO WEI**

Abstract—Pairs of coincident  $j\omega$ -axis zeros are added to the multiple real root maximally flat (MURROMAF) approximation function for designing sharp cutoff low-pass RC-active filters. Expressions for transfer function and cutoff slope are derived in terms of the order n, the multiplicity of the real pole  $\mu$ , the number of pairs of  $j\omega$ -axis zeros m and their locations. A design example is also given to illustrate the procedure for finding out the best transfer function.

#### Introduction

In the design of RC-active filters, it is often very important to minimize the number of operational amplifiers to reduce cost and power consumption. This can be done by taking advantage of the better attenuation performance provided by third-order blocks [1]-[4]. The all-pole multiple real root maximally flat (MURROMAF) approximation function introduced recently by Biey and Premoli [5], [6] can be used to realize low-pass RC-active filters with third-order blocks and, if necessary, second-order blocks. However, the cutoff slope of the all-pole MURROMAF approximation function is only slightly steeper than that of the classical Butterworth approximation function for the same number of complex pole pairs.

It is known that sharp cutoff low-pass filters can be obtained by introducing coincident  $j\omega$ -axis zeros in the approximation function [7]–[9]. In this letter, we introduce a method to generate the approximation function of maximally flat low-pass filters with multiple real poles and finite transmission zeros.

### MURROMAF Approximation with Finite Transmission Zeros

The normalized magnitude squared function of the all-pole MURROMAF approximation [5], [6] is expressed by

$$|M(j\omega)|^2 = \frac{1}{1 + P_{n+\mu}(\omega)} = \frac{1}{(1 + \alpha^2 \omega^2)^{\mu} \left[ \sum_{i=0}^{n} a_i (\alpha \omega)^{2i} \right]}$$
(1)

where n is the number of complex poles of the transfer function and is an even number, and  $\mu$  is the multiplicity of the real pole. The normalization factor  $\alpha$  must be chosen to satisfy the condition  $P_{n+\mu}(1)=1$ . The cutoff slope of  $|M(j\omega)|$  in the unit of dB/octave is given by

cutoff slope 
$$S_{n,\mu} = -\frac{3.01}{10} \frac{d[20 \log |M(j\omega)|]}{d[\log \omega]} \Big|_{\omega=1}$$
  
=  $6.02 \frac{P'_{n+\mu}(1)}{4}$ . (2)

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TABLE I
CUTOFF SLOPES OF BUTTERWORTH APPROXIMATION AND ALL-POLE
MURROMAF APPROXIMATION WITH  $\mu < n/2$  for n=2-16

	N	MURROMAF Appr	Butterworth Approximation		
n	μ	α	Cutoff Slope (dB/octave)	n	Cutoff Slope (dB/octave)
2	1	1.0000000	9.03	2 3	6.02 9.03
4	2	0.8009154	16.09	4 5	12.04 15.05
6	2	0.8332368 0.7326496	22.21 23.00	6 7	18.06 21.07
8	2 3	0.8555286 0.7637016	28.29 29.17	8	24.08
	4	0.6974542	29.85	9	27.09
	2	0.8719795	34.35	. 10	31.10
10	3	0.7874258	35.30		
	4 5	0.7248115	35.98 36.67	11	33.11
	2	0.8846950	40.40	12	36.12
	3	0.8062559	41.40		
12	4	0.7470469	42.22		
	5 6	0.6998954	42.91 43.48	13	39.13
-	2	0.8948606	46.44		
	3	0.8216304	47.48	14	42.14
14	4	0.7654373	48.36		
1	5	0.7201270	49.10		
	6	0.6824112	49.74 50.29	15	45.15
	2	0.9031994	52.48		
	3	0.8344613	53.56	16	48.16
ļ	4	0.7809850	54.48		
	5	0.7374062	55.26		
16	6	0.7008222	55.95	1,,	5, 17
1	7 8	0.6694524	56.56 57.19	17	51.17
L	, °	0.0421103	31.19		1

The cutoff slopes of the classical Butterworth approximation and the all-pole MURROMAF approximation with  $\mu \le n/2$  for n=2-16 are listed in Table I. It should be noticed that the number of complex poles in the classical Butterworth approximation of order n is equal to n if n is even and is equal to n-1 if n is odd.

The cutoff slope of the all-pole MURROMAF approximation is only slightly larger than that of the corresponding Butterworth approximation (with the same number of complex poles) as shown in Table I. It can be improved by adding pairs of  $j\omega$ -axis zeros in the transfer function. If we add m pairs of coincident zeros at  $\pm j\omega_0$  to the MURROMAF approximation function, then the normalized magnitude squared function can be written as [7]–[9]

$$|T_a(j\omega)|^2 = \frac{(\omega^2 - \omega_0^2)^{2m}}{(\omega^2 - \omega_0^2)^{2m} + (\omega_0^2 - 1)^{2m} P_{n+\mu}^{(\omega)}}$$
(3)

where  $\omega_0 > 1$ ,  $2m < n + \mu$ , and  $n/2 \ge \mu \ge 2$ . The network transfer function  $T_a(s)$  can be found from (3) by the usual procedure of

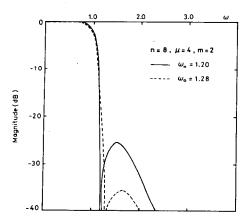


Fig. 1. Transmission characteristics of the modified MURROMAF approximation with n=8,  $\mu=4$ , m=2, and  $\omega_0=1.20$ , 1.28.

analytic continuation. The transfer function will be of the form

$$T_a(s) = \frac{\left(s^2 + \omega_0^2\right)^m}{\left(\omega_0^2 - 1\right)^m D_a(s)}$$

where  $D_a(s)$  is the product of the left-half s-plane factors of the polynomial

$$D_a(s)D_a(-s) = P_{n+\mu}\left(\frac{s}{j}\right) + \left(\frac{s^2 + \omega_0^2}{\omega_0^2 - 1}\right) 2m. \tag{4}$$

Unfortunately, the polynomial in (4) does not ensure the existence of multiple real pole in  $T_a(s)$ . However, if we rewrite (4) as

$$D_{a}(s)D_{a}(-s) = 1 + P_{n+\mu}\left(\frac{s}{j}\right) + \left[\left(\frac{s^{2} + \omega_{0}^{2}}{\omega_{0}^{2} - 1}\right)^{2m} - 1\right]$$

$$= (1 - \alpha^{2}s^{2})^{\mu}\left\{\sum_{i=0}^{n} a_{i}(-\alpha^{2}s^{2})^{i} + \frac{1}{(1 - \alpha^{2}s^{2})^{\mu}}\left[\left(\frac{s^{2} + \omega_{0}^{2}}{\omega_{0}^{2} - 1}\right)^{2m} - 1\right]\right\}$$
(5)

and substitute  $(1 + \alpha^2 s^2)^{\mu}$  for  $1/(1 - \alpha^2 s^2)^{\mu}$  in the bracket  $\{\ \}$ , then we obtain the new expression

$$D(s)D(-s) = (1 - \alpha^2 s^2)^{\mu} \left\{ \sum_{i=0}^{n} a_i (-\alpha^2 s^2)^i + (1 + \alpha^2 s^2)^{\mu} \left[ \left( \frac{s^2 + \omega_0^2}{\omega_0^2 - 1} \right)^{2m} - 1 \right] \right\}.$$
 (6)

If the number of complex roots of (6) is equal to 2n, it requires that

$$m \leqslant \frac{n-\mu}{2} \,. \tag{7}$$

The required transfer function will be of the form

$$T(s) = \frac{\left(s^2 + \omega_0^2\right)^m}{\left(\omega_0^2 - 1\right)^m D(s)}.$$
 (8)

The integer m chosen from (7) always satisfies the condition  $m \le (n+\mu)/2$  for the function T(s) to be low pass. From (6) and (8), it is clear that the transfer function T(s) always has a multiple real pole at  $s = -1/\alpha$ . The new magnitude squared function is then given by

TABLE II

SOME POSSIBLE FILTERS FOR OBTAINING A CUTOFF SLOPE STEEPER
THAN 60 dB/OCTAVE BY MODIFIED MURROMAF
APPROXIMATION WITH FINITE TRANSMISSION ZEROS

	THE TRANSMISSION ELECT						
n	μ	m	ω0	Minimum Attenuation in Stopband			
				φ	A <sub>p</sub> (dB)		
	2	1	i.157	1.302	21.28		
8		2	1.295	1.690	33.81		
		3	1.419	2.264	38.97		
	3	1	1.155	1.295	21.83		
		2	1.291	1.656	35.23		
	4	1	1.153	1.288	22,20		
	4	2	1.289	1.634	36.45		
	2	1	1.194	1.314	30.24		
		2	1.362	1.682	49.85		
		3	1.510	2.155	61.35		
		4	1.646	2.886	65.95		
	3	. 1	1.196	1.311	31.37		
10		2	1.364	1.664	52.02		
		3	1.513	2.103	64.92		
		1	1.196	1.306	32.50		
	4	2	1.365	1.645	54.50		
		3	1.515	2.050	69.02		
	_	1	1.198	. 1.308	32.95		
	5	2	1.368	1.643	55.42		

It is easy to show that

$$|T(j\omega)|_{\omega=0}^2 = 1, \quad |T(j\tilde{\omega})|_{\omega=1}^2 = \frac{1}{2}$$

and

$$|T(j\omega)|^2 > \frac{1}{1 + \left(\frac{\omega_0^2 - 1}{\omega_0^2 - \omega^2}\right)^{2m} P_{n+\mu}(\omega)} > \frac{1}{1 + P_{n+\mu}(\omega)},$$

for  $0 < \omega < 1$ .

Thus the attenuation in the passband of the modified MURROMAF approximation with finite transmission zeros is always less than that of the all-pole MURROMAF approximation.

The cutoff slope of  $|T(j\omega)|$  can be obtained from (9) and is given by

$$S_{n,\mu,m} = 6.02 \left\{ \frac{P'_{n+\mu}(1)}{4} + \frac{m[2 - (1 - \alpha^4)^{\mu}]}{\omega_0^2 - 1} \right\}. (10)$$

The increase in the cutoff slope due to the added finite transmission zeros is given in the second term of (10). The closer  $\omega_0$  is to 1, the steeper is the cutoff slope.

The transmission characteristics of the filter under consideration will be of the form shown in Fig. 1. In the stopband,  $\omega > 1$ , there will be a transmission peak at some frequency  $\omega_p > \omega_0$ ,

$$|T(j\omega)|^{2} = \frac{1}{1 + \left(\frac{\omega_{0}^{2} - 1}{\omega_{0}^{2} - \omega^{2}}\right)^{2m} \left\{P_{n+\mu}(\omega) - \left[1 - (1 - \alpha^{4}\omega^{4})^{\mu}\right] \left[\left(\frac{\omega_{0}^{2} - \omega^{2}}{\omega_{0}^{2} - 1}\right)^{2m} - 1\right]\right\}}.$$
(9)

TABLE III

Some Possible Filters for Obtaining a Cutoff Slope Steeper
Than 60 dB/Octave by Modified Butterworth
Approximation with Finite Transmission Zeros

n	m	ω <sub>0</sub>	Minimum Att	m Attenuation	
			<sup>ω</sup> bp	A (dB)	
	1	1.106	1.223	17.45	
	2	1.203	1.508	28.56	
11	3	1.292	1.916	33.57	
	4	1.376	2.635	32.38	
	5	1.455	4.825	22.59	
	1	1.118	1.225	21.14	
	2	1.226	1.501	35.39	
12	3	1.325	1.874	43.85	
	4	1.417	2.454	45.55	
	5	1.503	3.682	, 40.56	
	1	1.135	1.234	25.53	
	2	1.255	1.508	42.96	
	3	1.365	1.860	54.14	
13	4	1.467	2.365	59.10	
	5	1.562	3.252	58.05	
	6	1.652	5.956	48.25	
	1	1.156	1.249	30.58	
	2	1.293	1.530	51.78	
	3	1.418	1.876	66.07	
14	4	1.532	2.340	74.09	
	5	1.638	3.064	76.13	
	6	1.738	4.598	71.18	

satisfying the condition  $|T(j\omega)|'_{\omega=\omega_p}=0$ . The minimum attenuation in the stopband is given by  $A_p=-20\log|T(j\omega_p)|$ .

The cases  $\mu=0$  and  $\mu=1$  in (3) coincide with the modified Butterworth approximation with m pairs of  $j\omega$ -axis zeros [7], [8]. The cutoff slope is given by

$$S_{n,m}$$
 = 6.02  $\left[ \frac{2n}{4} + \frac{m}{\omega_0^2 - 1} \right]$  (11)

where n is the order of the Butterworth approximation and is an integer. The minimum stopband attenuation is expressed by

 $A_{bp} = 1 + \left(\frac{\omega_0^2 - 1}{\omega_{bn}^2 - \omega_0^2}\right)^{2m} \omega_{bp}^{2n}$  (12)

where

$$\omega_{bp}^2 = \left(\frac{n}{n-2m}\right)\omega_0^2.$$

#### An Example of Design

Suppose it is required to design a sharp cutoff maximally flat RC-active filter with a cutoff slope steeper than 60 dB/octave. The required minimum order of the classical Butterworth approximation function is 20. If the MURROMAF approximation is used, the required n estimated is 18. With the modified MURROMAF approximation with finite transmission zeros, several solutions are possible by solving the inequality

$$6.02\left\{\frac{P'_{n+\mu}(1)}{4} + \frac{m\left[2 - (1 - \alpha^4)^{\mu}\right]}{\omega_0^2 - 1}\right\} > 60.$$

Some of the solutions are given in Table II. The values of n,  $\mu$ ,

 $\omega_0$ , and m to be selected depend on the minimum stopband-attenuation required. For a given set of  $(n, \mu, m, \omega_0)$ , the frequency  $\omega_p$  and  $A_p$  are also given in Table II. If the minimum stopband-attenuation required is 60 dB, then n=10,  $\mu=2$ , m=3, and  $\omega_0=1.510$  are a good choice. Finally, the transfer function T(s) can be obtained from (6) and (8).

By the same procedure, if the modified Butterworth approximation is used, some of the possible solutions for cutoff slope steeper than 60 dB/octave are given in Table III. If the minimum stopband attenuation required is also 60 dB, then the optimum choice is n=14, m=3, and  $\omega_0=1.875$ . Thus if we realize the required filter with the modified MURROMAF approximation with finite  $j\omega$ -axis zeros, two operational amplifiers can be saved.

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# Padé-Type Order Reduction of Two-Dimensional Systems

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Abstract—The problem of reducing the order of the transfer function of a given two-dimensional system to a lower order model using Padé-type approximation is considered. Two computational algorithms are proposed. The first is a generalization of the known one-dimensional Padé-type approximation algorithm. The second is a computationally improved version of the first since it involves the parameters of the transfer function of the given system directly, thus circumventing the task of determining the power series expansion coefficients of the given transfer function required in the first algorithm.

### I. Introduction

It is usually desirable (for realization, control, computation and other purposes) to be able to represent "adequately" a high-order system by a lower order model. To this end, several

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