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## Fabrication and X-ray absorption spectroscopy in layered cobaltate Na<sub>x</sub>CoO<sub>2</sub> thin films

W.J. Chang<sup>a,\*</sup>, J.-Y. Lin<sup>b</sup>, T.Y. Chung<sup>a</sup>, J.M. Chen<sup>c</sup>, C.-H. Hsu<sup>a</sup>, S.Y. Hsu<sup>c</sup>, T.M. Uen<sup>a</sup>, K.H. Wu<sup>a</sup>, Y.S. Gou<sup>a,d</sup>, J.Y. Juang<sup>a,d</sup>

<sup>a</sup>Department of Electrophysics, National Chiao Tung University, Hsinchu 30010, Taiwan
<sup>b</sup>Institute of Physics, National Chiao Tung University, Hsinchu 30010, Taiwan
<sup>c</sup>National Synchrotron Radiation Research Center (NSRRC), Hsinchu 30076, Taiwan
<sup>d</sup>Department of Physics, National Taiwan Normal University, Taipei 11677, Taiwan

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## Abstract

 $Na_xCoO_2$  ( $x\sim0.68$ ) thin films were fabricated on sapphire (0 0 0 1) substrates via the lateral diffusion of sodium into  $Co_3O_4$  (1 1 1) films, which were grown by pulsed-laser deposition. From the results of X-ray diffraction and in-plane resistivity  $\rho_{ab}$ , the single phase and the metallic behaviors of these  $Na_xCoO_2$  films can be identified. For the same sodium content x,  $\rho_{ab}$  is consistent with that of single crystals. In addition, the O 1s X-ray absorption near edge spectra of thin films are measured and compared with those of single crystals. © 2006 Elsevier B.V. All rights reserved.

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Recently, the layered cobaltate  $Na_xCoO_2$  has generated much interest of researchers due to its superconductivity in hydrated compounds at x = 0.35 [1], a large thermoelectric power [2], a charge ordered insulator at x = 0.5, and the antiferromagnetic metal at x = 0.75 [3]. Many theoretical and experimental works are focused on the fantastic ground states of  $Na_xCoO_2$  due to its two-dimensional triangular lattice. Because of the high vapor pressure of  $Na_xCoO_2$  thin films by pulsed-laser deposition (PLD). For this reason, reactive solid-phase growth via lateral diffusion of sodium was applied on the growth of the epitaxial  $Na_xCoO_2$  thin films [4].

First,  $Co_3O_4$  (111) thin films were grown on  $Al_2O_3$  (0001) substrates by PLD, KrF excimer laser (248 nm), with  $T_{\rm substrate} = 680\,^{\circ}\text{C}$ ,  $P_{\rm oxygen} = 0.2\,\text{Torr}$ , and  $d = 125\,\text{nm}$ . To keep the surface clean in the process of thermal diffusion,  $Co_3O_4(111)$  thin films were capped by  $Al_2O_3$  substrates when placing specimens in a ceramic boat with  $Na_2CO_3$ 

powder. They were then put on the ceramic boat into the quartz tube oven for thermal diffusion. The diffusion process was operated at 700 °C for 10 h in air with the heating (and cooling) rate < 10 °C/h. After the lateral diffusion of sodium,  $Co_3O_4(1\ 1\ 1)$  thin films became  $Na_xCoO_2\ (0\ 0\ 1)$  epitaxial thin films with the thickness  $\sim 250\ nm$ .

To check the crystal structure of  $\text{Co}_3\text{O}_4$  and  $\text{Na}_x\text{CoO}_2$  thin films, we have performed the X-ray diffraction (XRD) measurements, as shown in Fig. 1. From the results of XRD,  $\text{Co}_3\text{O}_4$  (111) are epitaxial growth on sapphire (0001) substrates, which are similar to the results of Ohta *et al.* [4] and Venimadhav *et al.* [5]. The lateral-diffused  $\text{Na}_x\text{CoO}_2$  thin films also show single phase and are epitaxial to the substrates with (001) orientation. Because of the affiliated mutuality between the crystal structures and Na content of  $\text{Na}_x\text{CoO}_2$  materials, we judge the Na content by comparing the XRD results with the structure phase diagram [6]. From the *c*-axis lattice constant of  $\text{Na}_x\text{CoO}_2$  thin films, 10.9328(8) Å, we infer  $x{\sim}0.68$  in our specimens.

As shown in the inset (a) of Fig. 2, the roughness of layered cobaltate thin films was measured by the atomic force microscopy (AFM) after thermal-diffusion process.

<sup>\*</sup>Corresponding author. Tel.: +886 3 5731653; fax: +886 3 5720728. *E-mail address:* waithen.ep86@nctu.edu.tw (W.J. Chang).

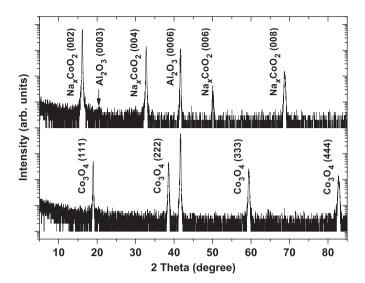


Fig. 1. X-ray diffraction of  $Na_xCoO_2$  (001) after sodium lateral diffusion (upper panel) and  $Co_3O_4$  (111) thin films on sapphire (0001) substrates (lower panel). The results indicate that the both of thin films are in single phase.

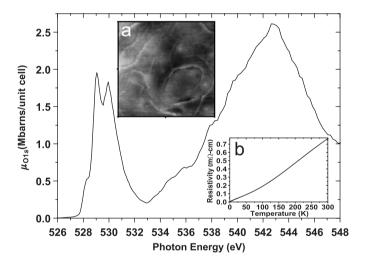


Fig. 2. Main panel: Isotropic O K-edge X-ray absorption spectrum,  $(I_{E//c} + I_{E\perp c})/2$ , of the Na<sub>x</sub>CoO<sub>2</sub> thin film at room temperature. Inset (a): AFM image  $(5\,\mu\text{m} \times 5\,\mu\text{m})$  of the Na<sub>x</sub>CoO<sub>2</sub> thin film shows the layered structure of this cobaltate. Inset (b): The  $\rho_{ab}-T$  curve illustrates the high quality of this thin film.

The RMS roughness is about 1.67 nm. The  $\rho_{ab}-T$  measurements have been done by PPMS of Quantum Design<sup>®</sup>. In Fig. 2 (b), the  $\rho_{ab}-T$  curve of thin films is identical to that of single crystals [3] around x=0.68, and with a much smaller residual resistivity,  $\rho(300\,\mathrm{K})/\rho(0.4\,\mathrm{K})=145$ , indicating that there are very few impurities or defects in the thin films.

Beside the electric transport measurements, X-ray absorption near edge spectroscopy (XANES) has been a powerful tool to investigate the electronic state of these compounds, as in the case of perovskite manganites [7]. The main panel of Fig. 2 illustrates the O K-edge fluorescent spectrum, which characterize the hybridization of Co 3d and O 2p of the Na<sub>x</sub>CoO<sub>2</sub> (001) thin film at room temperature. At the pre-edge, the O K-edge XANES shows three absorption peaks of Co<sup>3+</sup> and Co<sup>4+</sup> 3d bands, in which the  $t_{2g}$  orbitals split into  $a_{1g}$  and  $e_{g}$  orbitals under trigonal crystal field [8,9]. All the three pre-edge peaks resembles those of the x = 0.67 single crystal with respect to the peak energy and the relative intensity [8]. These results suggest that the Na<sub>x</sub>CoO<sub>2</sub> (001) thin film has an electronic structure similar to that of the single crystal with the same Na content. Currently, the anisotropy of  $Na_xCoO_2$  from the orbital symmetry of  $a_{1g}$  and  $e_g'$  orbitals remains controversial. Further investigation of polarizeddependant XANES is certainly desirable.

In summary, we have successfully fabricated  $Na_xCoO_2$  thin films with x = 0.68 via the lateral diffusion of sodium. Judged from the results of XRD and  $\rho_{ab}$ –T curve, the thin films quality is as decent as that of single crystals. XANES of  $Na_xCoO_2$  thin film shows an electronic structure similar to that of the single crystal, too.

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