

附表（一）共同基金投資風格的分類定義及抽樣樣本

代碼	定義
AG(積極成長型)	<p>積極成長型基金需符合下列條件之一：</p> <ol style="list-style-type: none"> <li>1. 投資組合週轉率每年達 100% 以上是被允許的。</li> <li>2. 基金可融資金額為投資組合價值的 10% 以上。</li> <li>3. 此類基金允許放空操作。</li> <li>4. 此類基金可以購買選擇權。</li> <li>5. 此類基金可以投資未上市(櫃)股票。</li> <li>6. 此類基金的投資標的主要為新的、投機型或尚未產生收益公司的股票。</li> </ol>
BL(平衡型)	<p>平衡型基金投資組合中包括固定收益債券及權益型證券，在市場狀況不斷變化的情況下，試圖提供固定的淨資產價值。</p>
GE(全球型)	<p>全球型基金的投資組合中，至少有 25% 投資於美國境外市場。</p>
GI(成長收益型)	<p>成長收益型基金，以資本成長所帶來的收益或是固定成長的收益所得做為主要的投資標的，如：股利。</p>
IE(國際型)	<p>國際型基金需 100% 投資於美國境外市場。</p>
IN(收益型)	<p>收益型基金透過投資股票、債券、特別股和可轉換公司債所得到的收益（如：股利、利息）為主。</p>
LG(長期成長型)	<p>長期成長型基金以投資長期成長的股本為主；收益為輔。</p>

註：資料來源為 CRSP Mutual Fund 共同基金資料庫的說明文件。

## (1) 積極成長型 (Aggressive growth, 代號 AG)

ICDI_NO	Name
00055	Bear Stearns Small Cap Value Portfolio/C
00059	US Global Accolade Fds:Bonnell Growth
00109	Principal MidCap/B
00148	Scudder Dreman Small Cap Value Fund/A
00153	BlackRock Small Cap Value Equity/Inv B
00168	BlackRock Small Cap Value Equity/Inv A
00176	Putnam Voyager Fd/B
00193	One Group Small Cap Value/A
00195	One Group Small Cap Value/B
00204	Advisors Inner Circle ICM Small Company Port
00218	First Investors Series Special Situations/A
00257	Heritage Series Trust Small Cap Stock Fund/C
00258	Franklin Small Mid Cap Growth Fund/A
00301	Advisors Inner Circle RHJ Micro Cap Port
00345	JPMorgan Small Cap Equity Fund/B
00446	Frontier Funds:Equity Fund Portfolio
00464	Liberty Small Company Index Fund/Z
00480	American Century Giftrust/Inv
00563	Royce Fund Total Return
00645	Smith Barney Aggressive Growth Fund/L
00650	Mosaic Equity Trust Mid-Cap Fund
00666	Consulting Grp Cap Mrkts:Small Cap Growth
00668	Consulting Grp Cap Mrkts:Small Cap Value Eqty
00675	Riggs:Small Company Stock Fund/R
00678	Quant Small Cap Fund/Ordinary
00709	RS Investment Trust:Partners Fund/A
00741	Nicholas-Applegate Growth Discovery/I
00754	Liberty Small Company Equity Fund/T
00834	Seligman Frontier Fund/A
00899	Waddell & Reed Advisors New Concepts Fund/Y

## (2) 平衡型 (Balanced, 代號 BL)

ICDI_NO	Name
00060	Federated Stock and Bond Fund/A
00080	Eaton Vance Balanced/A
00099	Principal Balanced/B
00155	BlackRock Balanced Fund/Inv B
00158	First American Balanced/A
00294	George Putnam Fund of Boston/B
00540	AXP Invest Series:Mutual Fund/A
00548	Provident Invmnt Counsel Balanced Fund/A
00554	Scudder Flag Value Builder/A
00580	George Putnam Fund of Boston/A
00660	American Balanced Fund/A
00776	Alger Fund:Balanced Portfolio/B
00820	CGM Mutual Fund
00826	Phoenix-Oakhurst:Income & Growth Fund/B
00960	Evergreen Balanced/B
01060	Fidelity Balanced
01195	Fidelity Advisor Balanced/Instl
01210	Vanguard Wellington/Inv
01230	WM Equity Income/A
01340	Alliance Balanced Shares/A
01396	Janus Balanced Fund
01433	Monetta Trust:Balanced Fund
01458	Vanguard Balanced Index/Inv
01529	STI Classic Fds Balanced Fund/Flex
01612	J Hancock Balanced Fund/B
01614	J Hancock Balanced Fund/A
01674	Dreyfus Balanced Fund
01730	Dodge & Cox Balanced Fund
01739	Schwab MarketTrack Balanced Portfolio
01741	Schwab MarketTrack Conservative Portfolio

## (3) 全球型 (Global Equity, 代號 GE)

ICDI_NO	Name
00292	Putnam Global Equity Fd/B
00629	Templeton Growth Fund/C
00720	J Hancock Global Fund/B
00763	ING Worldwide Growth Fund/B
00992	UBS Global Allocation Fund/Y
00999	ING Worldwide Growth Fund/Q
01171	Vanguard Global Equity Fund
01182	J Hancock Global Fund/A
01209	Templeton World Fund/C
01211	Templeton Global Smaller Companies/C
01217	Templeton Global Opportunities Trust/C
01634	Morgan Stanley Instl:Global Value Equity/A
01771	Oppenheimer Global/C
01796	IDEX Janus Global Portfolio/A
01863	Dreyfus Premier Worldwide Growth Fund/C
01918	Phoenix-Oakhurst:Managed Assets Fund/A
01922	Phoenix-Oakhurst:Managed Assets Fund/C
01924	USAA Invest Tr:World Growth Fund
01955	Oppenheimer Global Growth & Income/B
01989	Eaton Vance Information Age/B
01991	Eaton Vance Information Age/A
01993	Montgomery Global Focus Fund/R
02284	GE Funds:Global Equity Fund/A
02329	Seligman Global Growth Fund/D
02382	Evergreen Global Opportunities/B
02384	Evergreen Global Opportunities/C
02625	Evergreen Global Leaders/I
02716	Seligman Global Smaller Companies Fund/A
02722	Capital World Growth and Income Fund/A
03059	Van Kampen Global Equity Allocation/B

## (4) 成長收益型 (Growth and Income, 代號 GI)

ICDI_NO	Name
00050	Lord Abbett Affiliated Fund/A
00076	Putnam Fund for Growth and Income/B
00101	Principal Blue Chip/B
00162	BlackRock Index Equity Fund/Inv A
00298	Institutional Investors Capital Appreciation
00320	Fundamental Investors Fund/A
00430	Scudder Growth & Income Fund/S
00462	Liberty Large Company Index Fund/Z
00510	Selected American Shares
00528	Preferred Large Cap Value Fund
00562	Forum Fds:Payson Value Fund
00658	Consulting Grp Cap Mrkts:Large Cap Value Eqty
00673	Evergreen Value/C
00784	Vanguard Total Stock Market Index/Inv
00821	STI Classic Fds Growth & Income Fund/Flex
00864	Managers Funds US Stock Market Plus
00910	ING Corporate Leaders Trust Fund/A
00980	Evergreen Blue Chip/B
01001	IPS Millennium Fund
01150	J Hancock Sovereign Investors Fund/A
01160	Investment Company of America Fund/A
01260	Alliance Growth & Income/A
01301	Franklin Managed Tr:Rising Dividends Fund/C
01407	J Hancock Instl Srs:Independ Dvsfd Core Eq II
01443	Putnam Fund for Growth and Income/M
01456	STI Classic Fds Growth & Income Fund/Tr
01469	Lake Forest Core Equity Fund
01507	Advisors Inner Circle FMC Select
01603	UBS PACE Fds:Large Co Value Equity/P
01610	Eaton Vance Large Cap Value/A

## (5) 國際型 (International Equities, 代號 IE)

ICDI_NO	Name
00151	BlackRock International Equity Fund/Inv B
00166	BlackRock International Equity Fund/Inv A
00192	State Street Research:International Equity/S
00269	Quant Emerging Markets Fund/Instl
00271	Quant Emerging Markets Fund/Ordinary
00314	Delaware Group:Intl Value Equity Fund/A
00325	STI Classic Fds Intl Equity Index Fund/Inv
00367	HSBC Investor International Equity Fund/Y
00422	AIM International Growth Fund/A
00423	Credit Suisse Emerging Markets/Cmn
00425	Credit Suisse Emerging Markets/Adv
00524	Preferred International Value Fund
00542	Strong International Stock Fund
00575	Liberty Newport Tiger Fund/A
00588	Merrill Lynch Dragon Fund/D
00592	Merrill Lynch Dragon Fund/B
00627	Templeton Foreign Fund/C
00644	Bernstein Fund:Tax Managed Intl Value Port
00646	American AAdvantage Fds:Intl Equity/Instl
00652	Consulting Grp Cap Mrkts:Intl Equity
00653	Evergreen Emerging Markets Growth/A
00655	Evergreen Emerging Markets Growth/B
00657	Evergreen Emerging Markets Growth/C
00659	Evergreen Emerging Markets Growth/I
00690	Merrill Lynch EuroFund/B
00743	T Rowe Price Emerging Markets Stock Fund
00753	ING Emerging Countries Fund/B
00761	ING International Small Cap Growth Fund/B
00811	SEI Intl Tr Emerging Markets Equity
00818	Fidelity Diversified International

## (6) 收益型 (Income, 代號 IN)

ICDI_NO	Name
06368	Aquinas Value Fund
00208	HighMark Funds Large Cap Value/Fid
00895	Waddell & Reed Advisors Core Investment Fd/Y
06072	SAFECO Dividend Income Fund/Advisor A
01411	J Hancock Instl Srs:Dividend Performers
01555	STI Classic Fds Value Income Stock Fund/Flex
01816	Cutler Trust:Core Fund
02082	Waddell & Reed Advisors Core Investment Fd/A
02185	Oppenheimer Capital Income/C
02208	STI Classic Fds Value Income Stock Fund/Tr
02647	Delaware Group:Decatur Equity Income Fund/C
02763	Franklin Inv Sec:Equity Income Fund/C
02997	Harris Insight Fds Equity Income Fund/Instl
03228	BNY Hamilton Fds:Equity Income/Inv
03308	Federated Equity Income Fund/C
03363	HighMark Funds Large Cap Value/Ret A
04038	STI Classic Fds Value Income Stock Fund/Inv
04130	T Rowe Price Equity Income Fund
04415	Wells Fargo Funds:Equity Income Fund/A
04417	Wells Fargo Funds:Equity Income Fund/B
04489	Harris Insight Fds Equity Income Fund/N
04549	Pioneer Equity Income Fund/C
04785	Prudential Value Fund/Z
04786	Putnam Equity Income Fd/B
04880	USAA Mutual Fund:Income Stock Fund
05804	Oppenheimer Capital Income/B
05849	Fenimore Equity Income Fund
05923	1st Source Monogram Income Equity Fund
05970	Old Dominion Investors Trust
06071	SAFECO Dividend Income Fund/Advisor B

## (7) 長期成長型 (Long-term growth, 代號 LG)

ICDI_NO	Name
00051	Bear Stearns Intrinsic Value Fund/C
00053	Bear Stearns S&P STARS Portfolio/C
00064	Hilliard-Lyons Growth Fund/A
00113	Principal Growth/B
00128	PIMCO Funds:CCM Mid Cap/Admin
00253	Heritage Capital Appreciation Trust/C
00275	Quant Mid Cap Fund/Instl
00277	Quant Mid Cap Fund/Ordinary
00279	Legg Mason Focus Trust
00289	Advisors Inner Circle Chicago Asset Mgmt Val
00343	Citizens Funds:Core Growth/Retail
00454	Yacktman Fund
00474	Van Kampen Pace/B
00522	Preferred Large Cap Growth Fund
00527	Wilmington Large Cap Core/Instl
00550	Provident Invmnt Counsel Growth Fund/I
00571	PBHG Large Cap Growth Fund
00583	Davis New York Venture/B
00585	MFS Union Standard Equity Fund/I
00594	Evergreen Capital Growth/A
00626	Liberty Select Value Fund/B
00656	Consulting Grp Cap Mrkts:Large Cap Growth
00679	Buffalo Large Cap Fund
00686	STI Classic Fds Capital Appreciation/Tr
00691	Munder Funds Multi Season Growth/K
00692	STI Classic Fds Capital Appreciation/Inv
00703	AIM Weingarten Fund/B
00733	FMI Winslow Growth Fund
00772	C/Growth Stock Fund
00838	Jensen Portfolio