

A CMOS 6-mW 10-bit 100-MS/s Two-Step ADC

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Abstract—A 10-bit 100-MS/s two-step ADC was fabricated using a 90 nm CMOS technology. To reduce power consumption, the ADC uses latch-type comparators for signal digitalization and an open-loop amplifier for residue amplification. The accuracy of the comparators is improved by offset calibration. The gain accuracy and the linearity of the residue amplifier are enhanced by digital background calibration. The ADC consumes 6 mW from a 1 V supply. Measured SNR and SFDR are 58.2 dB and 75 dB respectively. Measured ENOB is 9.34 bits. The FOM is 100 fJ · V per conversion-step.

Index Terms—Analog-digital conversion, calibration, comparators (circuits), subranging ADC, two-step ADC.

I. INTRODUCTION

A Nyquist-rate analog-to-digital converter (ADC) samples and digitizes an analog signal by using a combination of comparators, amplifiers, analog switches, and digital circuits. Many factors are considered in choosing an ADC architecture, including sampling rate, resolution, power consumption, input loading, chip area, and fabrication technology. In this paper, we examine the two-step ADC architecture and demonstrate its performance in the nanoscale CMOS technology.

The subranging conversion architecture has been used in high-speed ADCs [1]–[8]. Fig. 1 shows a conventional 10-bit subranging ADC. It contains a 5-bit coarse ADC (CADC) and a 6-bit fine ADC (FADC), both of which are flash ADCs comprising only comparators. A resistor string generates voltage references for both ADCs. The CADC compares the analog input V_1 with $31V_{RC}$ references to determine which subrange the V_1 is located in. A multiplexer (MUX) then selects 63 references within that subrange to serve as the V_{RF} references for the FADC. The 1-bit redundancy of the FADC provides the over-range protection [2], so that the accuracy requirement for the CADC can be relaxed. The FADC still needs 10-bit accuracy. The spatial averaging technique has been used to improve the accuracy of high-speed comparators [9], [10]. For this 10-bit subranging ADC, the major critical delay path is the MUX. It receives the digital output D_1 from the CADC, and

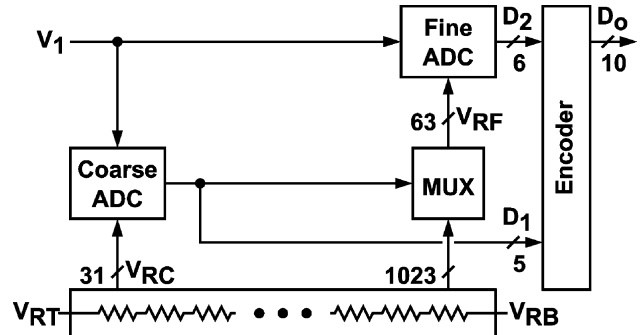


Fig. 1. A 10-bit subranging ADC architecture.

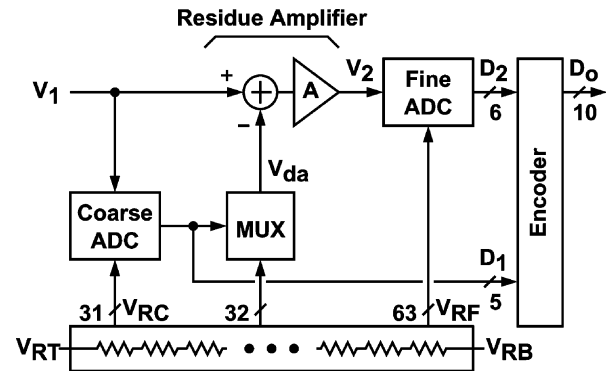


Fig. 2. A 10-bit two-step ADC architecture.

then employs analog switches to select 63 V_{RF} references out of 1023 dc voltages generated by the resistor string. The complexity of the MUX can be mitigated by using the interpolation technique [3]–[8]. Both the averaging and the interpolation techniques require amplifiers.

To mitigate the complexity of the MUX, two-step ADC architecture has been proposed [11]–[13]. Fig. 2 shows a 10-bit two-step ADC, which is a variation of the subranging architecture. Similar to the subranging ADC shown in Fig. 1, it contains a 5-bit CADC and a 6-bit FADC. However, the V_{RF} references for the FADC are fixed. The MUX only needs to select one voltage out of the 32 possible dc voltages from the resistor string. The MUX functions as a digital-to-analog converter (DAC), which is denoted as resistor-string DAC (RDAC). The RDAC output V_{da} represents an estimation of V_1 made by the CADC and the RDAC. In Fig. 2, the residue amplifier (RAMP) amplifies the difference $V_1 - V_{da}$. Its output V_2 is then digitized by the FADC. The RAMP is a linear amplifier. Its linearity must meet the resolution requirement of the FADC. However, its amplification gain also mitigates the accuracy requirement for the FADC. The principle of residue amplification is identical to pipelined ADCs [14].

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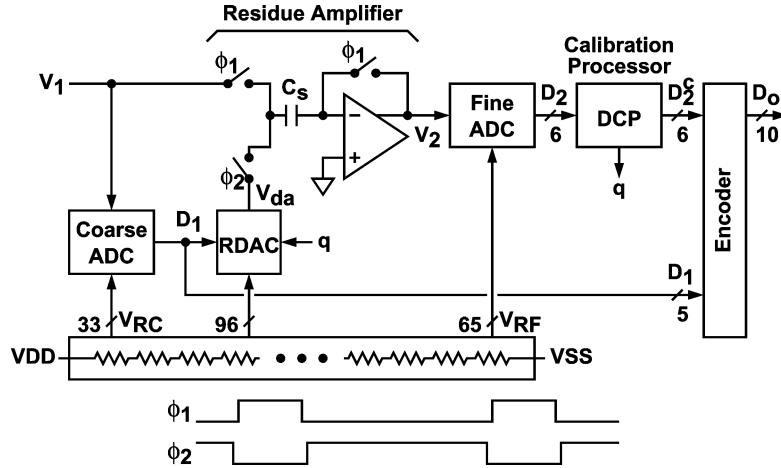


Fig. 3. Proposed two-step ADC architecture.

In this paper, we describe a 10-bit 100-MS/s two-step ADC. The ADC was fabricated using a 90 nm CMOS technology [15]. To take advantage of the nanoscale CMOS transistors, we minimize the use of amplifiers, and employ latches and digital circuits to compensate the analog functions provided by the amplifiers. We use latch-type comparators to construct the CADC and the FADC. The offsets of the comparators are reduced by a mixed-signal offset calibration scheme. We use a simple single-stage amplifier to realize the RAMP. The gain error and nonlinearity of the RAMP are corrected by digital calibration, which operates continuously in the background.

The rest of this paper is organized as follows. Section II shows the architecture of the two-step ADC. Circuit designs of key functional blocks are discussed in Section III. Section IV describes the digital calibration scheme. Section V shows the experimental results. Section VI draws conclusions. In addition, Appendix A details the theory and derivation of the digital calibration described in Section IV.

II. ADC ARCHITECTURE

The proposed 10-bit two-step ADC architecture is shown in Fig. 3. The ADC operates with two non-overlapping clocks, ϕ_1 and ϕ_2 . The duty cycles for ϕ_1 and ϕ_2 are 25% and 75% respectively. During $\phi_1 = 1$, the coarse ADC (CADC) compares the analog input V_1 with 33 coarse references V_{RC} to estimate the magnitude of V_1 , yielding the 5-bit digital output D_1 . The V_{RC} references are generated from a resistor string. The D_1 code drives an analog multiplexer (MUX) to select a voltage from the resistor string. The MUX is called resistor-string digital-to-analog converter (RDAC). Its output, V_{da} , is an estimation of V_1 .

During $\phi_1 = 1$, the analog input V_1 is also sampled onto the sampling capacitor C_s . During $\phi_2 = 1$, the residue amplifier (RAMP) amplifies the difference between V_1 and V_{da} , yielding the residue signal V_2 . The RAMP is an open-loop amplifier with a nominal voltage gain of 8. The fine ADC (FADC) then compares the residue V_2 with 65 fine references V_{RF} to estimate the magnitude of V_2 , yielding the 6-bit digital output D_2 . The FADC has an input range of 64 steps. In an ideal two-step ADC,

the FADC needs only an input range of 32 steps. The 1-bit redundancy is added to tolerate the gain error and offset of the RAMP, the comparator offset and metastability of the CADC, and the offset and nonlinearity of the RDAC. It is also used to accommodate the extra signal range required by the RAMP digital calibration. The RAMP voltage gain mitigates the FADC resolution requirement. The analog signal path of the ADC is fully differential. The ADC differential input range is 2 V. One LSB is 1.95 mV. The FADC has a differential input range of 1 V and a step size of 8 LSB. The CADC output D_1 is an integer between -16 and $+15$, and the FADC output D_2 is an integer between -32 and $+31$.

To reduce power consumption, the RAMP is a simple open-loop amplifier. Its gain error and nonlinearity are corrected by the digital calibration processor (DCP) shown in Fig. 3. The DCP receives the D_2 code from the FADC and generates a corrected D_2^c code. An encoder then combines D_1 and D_2^c to produce the final ADC digital output D_o . The DCP also generates a digital random sequence $q \in \{-1, 0, +1\}$. The q sequence also drives the RDAC so that a random signal is injected into the RAMP. The DCP uses this random signal to calibrate the RAMP in the background.

III. CIRCUIT DESIGN

A. Comparator

The CADC is a 5-bit flash ADC consisting of 33 comparators, a thermometer-code decoder, and a dynamic encoding ROM. Fig. 4 shows the architecture of the comparator in the CADC. Its function is comparing the input V_1 with a reference $V_{RC}[n]$, where n is an integer between 1 and 33 for indexing one of the V_{RC} coarse references. The comparator includes a regenerative latch with an offset calibration control loop. To reduce power consumption, there is no conventional preamplifier. The V_{OS} in front of the latch represents the input-referred offset of the latch due to device mismatches. The V_{cm} represents the input common-mode voltage. The latch is triggered by the clock ϕ_c . Comparisons are made near the beginnings of both ϕ_1 and ϕ_2 periods. The comparison determines the polarity of the differential voltage at the input port V_a , but with an equivalent input

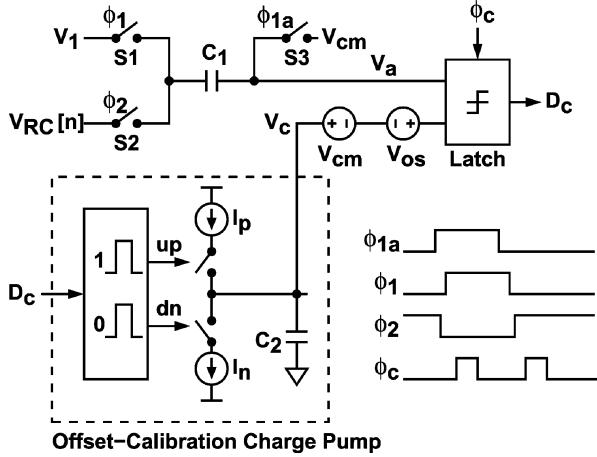


Fig. 4. CADC comparator architecture.

offset of $V_{OS} + V_c - V_{cm}$. In Fig. 4, the switch S3 is controlled by the clock ϕ_{1a} , which is an advanced version of the clock ϕ_1 . The switch S3 is opened before the switch S1 so that the bottom-plate sampling operation is enabled. The C_1 sampling capacitor is a 25 fF metal-oxide-metal capacitor. The ac coupling of the C_1 input network causes 10% signal loss.

In Fig. 4, the effect of V_{OS} is removed by the offset-calibration charge pump (OCCP), similar to a prior design [16]. During $\phi_1 = 1$, the ADC analog input V_1 is sampled onto the capacitor C_1 and the latch input V_a is connected to the common-mode voltage V_{cm} . The latch then makes a calibration comparison. If the comparison result D_c is 1, an up pulse is generated in the OCCP, and V_c is increased by charging the capacitor C_2 . If D_c is 0, a down pulse is generated in the OCCP, and V_c is decreased by discharging the capacitor C_2 . Voltage V_c eventually converges to $V_{cm} - V_{OS}$. The effect of V_{OS} is then cancelled. During $\phi_2 = 1$, the capacitor C_1 is connected to $V_{RC}[n]$. The latch then makes a conversion comparison, and the output D_c represents the polarity of $V_1 - V_{RC}[n]$.

Fig. 5 shows the latch schematic. There are two input ports. One port receives the differential input V_a . The other port receives the difference between V_c and V_{cm} , where V_{cm} is a common-mode reference and V_c adjusts the offset of the latch. Transistors M3 and M7 are added to reduce the conducting currents when the latch is turned on. Kickback noises at the inputs of the latch are also reduced. The sizes of these transistors are minimized without considering the matching requirements. From Monte Carlo simulation results, the offset standard deviation $\sigma(V_{OS})$ is about 50 mV.

The C_2 capacitor in the OCCP is realized using a nMOS transistor. Its capacitance is 1 pF. The output currents of the charge-pump current sources, I_p and I_n , are 1 μ A. The width of the up and down pulses is 1 ns. Thus, in each calibration step, the V_c is changed by 1 mV, which is about 1/2 LSB. After the offset calibration settles, V_c may vary in the same direction for at most two consecutive calibration steps, yielding a worst-case fluctuation of ± 1 mV. In other words, the comparator offset is reduced to less than 1 mV by the OCCP. The V_c fluctuation can be affected by I_p , I_n , C_2 , and the width of the up and down

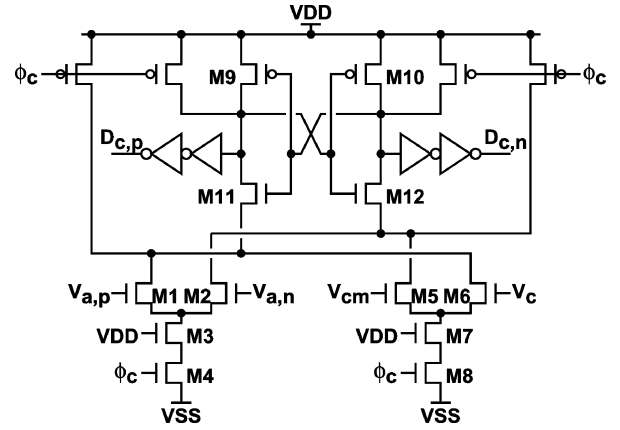


Fig. 5. Schematic of the latch in CADC comparator.

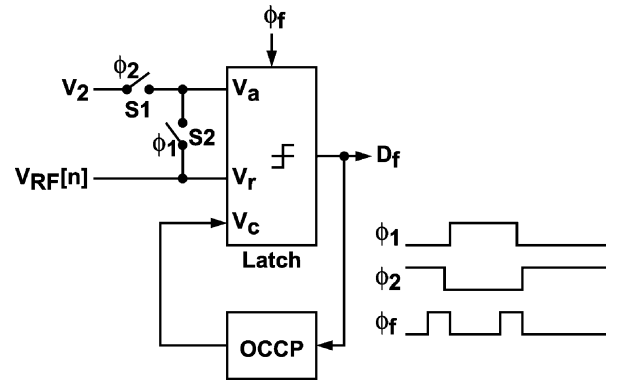


Fig. 6. FADC comparator architecture.

pulses. Their variations are tolerated due to the FADC 1-bit redundancy. The matching between I_p and I_n is not crucial. It affects only the ratio of the up and down pulses.

Operating at 100 MHz clock rate, each CADC comparator consumes 18 μ W. The entire CADC, including comparators, decoder, ROM, and clock buffers, consumes 0.8 mW. The total input capacitance of the CADC is 0.8 pF.

The FADC is a 6-bit flash ADC. It includes 65 comparators. Fig. 6 shows the architecture of the FADC comparator. Its function is comparing the output from the RAMP V_2 with a reference $V_{RF}[n]$, where n is an integer between 1 and 65 for indexing one of the V_{RF} fine references. Similar to the CADC comparator, it includes a regenerative latch and a offset-calibration charge pump (OCCP). The latch is triggered by the clock ϕ_f . Comparisons are made near the ends of both ϕ_1 and ϕ_2 periods. During $\phi_1 = 1$, both input ports V_a and V_r are connected to the $V_{RF}[n]$ reference. The latch makes a calibration comparison, the OCCP then adjusts V_c to minimize the input offset. The V_c fluctuation for the FADC comparator should be less than ± 8 mV, i.e., $\pm 1/2$ of the FADC input step size. Near the end of $\phi_2 = 1$, the latch makes a conversion comparison, the resulting D_f represents the polarity of $V_2 - V_{RF}[n]$. Unlike the CADC comparator, the FADC comparator does not employ the switched-capacitor network to perform the $V_2 - V_{RF}[n]$ subtraction. The reason is to avoid extra capacitive loading for the RAMP.

Fig. 7 shows the schematic of the latch in the FADC comparator. It has three input source-coupled pairs. The M1-M2 pair

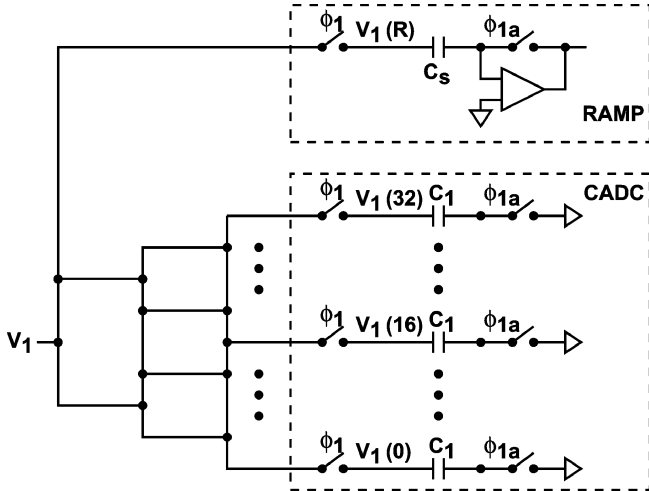


Fig. 9. Distributed input track-and-hold.

inputs D_1 and q to drive the analog switches in the MUX. The MUX selects one voltage out of the 96 references generated by the resistor string. The RDAC output V_{da} can be expressed as

$$V_{da} = (32 \cdot D_1 - 8 \cdot q) \times \text{LSB}. \quad (1)$$

A q random signal with a magnitude of 8 LSB is injected into the analog signal path to enable the digital background calibration described in Section IV.

D. Distributed Input Track-and-Hold

The ADC does not have a single dedicated input sampler. As shown in Fig. 9, the analog input V_1 is sampled by the passive samplers in the RAMP and in the CADC comparators. The clocks ϕ_1 and ϕ_{1a} control the samplers. The timing skews of the clocks are minimized by careful matching the delay of the clock buffers.

The matching of the V_1 signal paths is also critical. Due to the resistivity of metal wires and analog switches, the transfer function from the V_1 input to each sampling capacitor in the sampling mode is a low-pass filter. The transfer functions should be identical. As shown in Fig. 9, a tree-like routing scheme is used to connect the V_1 input to the CADC comparators. In addition, the transfer function from V_1 to the RAMP input, $V_1(R)$, is made to match the transfer function from V_1 to the middle of CADC, $V_1(16)$. The V_1 signal paths are routed using the top two metal layers shorted as a single wire.

IV. DIGITAL CALIBRATION

The RAMP described in Section III-B amplifies the residue $V_1 - V_{da}$. It also exhibits gain error and nonlinearity. Several digital calibration schemes have been proposed to correct both gain error and nonlinearity of a residue amplifier [18]–[21]. Both [19] and [20] are foreground calibration schemes. To enable background calibration, [19] requires a sample-and-hold of different sampling rate, and [20] requires an interpolation filter which limits the bandwidth of the ADC input. Both [18] and [21] are correlation-based background calibration schemes. The [18] scheme requires a busy input to be effective. When applying to

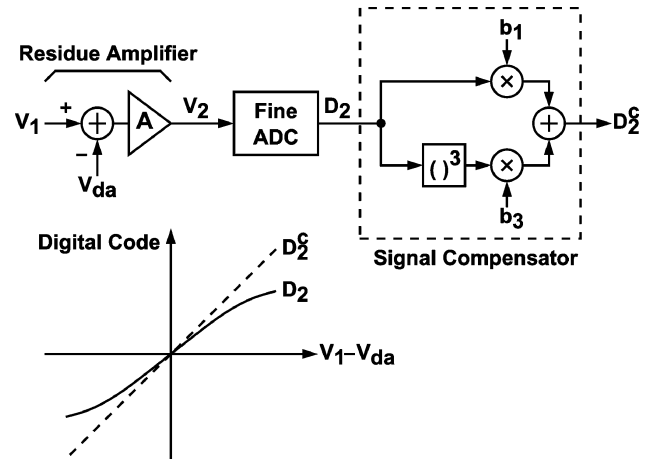


Fig. 10. Digital correction of gain error and nonlinearity.

a two-step ADC, all the above schemes require substantial modification to the analog signal path. In this paper, we proposed a new digital background calibration scheme to correct both gain error and nonlinearity of the RAMP. It requires only a minor modification to the RDAC. It does not depend on the statistics of the ADC input. The calibration process can be simplified to reduce power dissipation without losing its effectiveness.

As illustrated in Fig. 10, the RAMP output V_2 is digitized by the FADC, yielding the digital code D_2 . The conversion function from the residue to the D_2 is not linear and its slope is not exact as designed. We use a digital calibration processor (DCP) to correct the non-ideal behavior. The signal compensator shown in Fig. 10 is part of the DCP. It corrects both the gain error and the 3rd-order nonlinearity in D_2 , so that the conversion function from the residue to the corrected D_2^c is linear and has a slope of correct value.

The digital correction shown in Fig. 10 requires a coefficient for gain correction, b_1 , and a coefficient for 3rd-order correction, b_3 . The DCP is designed to automatically generate these two coefficients in the background. Fig. 11 shows the principle of gain error detection and nonlinearity detection. Assume the analog input V_1 is fixed and the FADC does not introduce quantization errors. As shown in (1), the RDAC output V_{da} is embedded with a random signal of $q \times 8$ LSB, where $q \in \{-1, 0, +1\}$. Ideally, the differences of the three corresponding D_2^c codes are 8. We define the actual differences of the corresponding D_2^c codes as H_1 and H_2 . Gain error is detected if $H_1 + H_2 \neq 16$. Nonlinearity is detected if $H_1 \neq H_2$.

Fig. 12 shows the generator for both b_1 and b_3 coefficients. Under normal ADC operation with varying analog input V_1 , averaging is used to extract information from the D_2^c codes. Each D_2^c code corresponds to a residue $V_1 - V_{da}$, where V_{da} includes a random number q as shown in (1). The ADC input V_1 is assumed to be uncorrelated with q . The tri-level random signal q is constructed by combining two uncorrelated binary pseudo-random sequences. Each binary random sequence has length of 2^{14} and equal numbers of zeros and ones. The generator first receives the D_2^c codes from the compensator, and sorts the data according to the associated q . The generator then averages the sorted data, and applies subtraction to acquire H_1 and H_2 . The generator

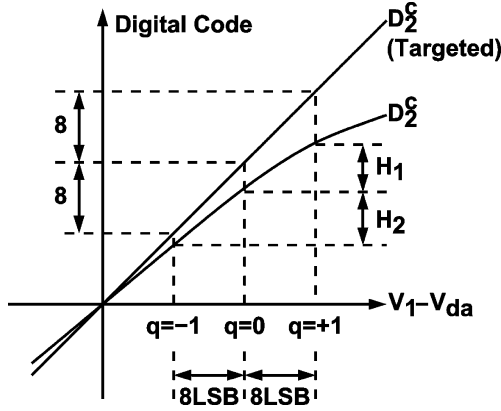
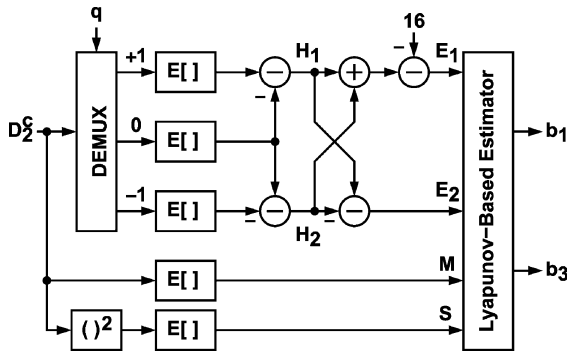


Fig. 11. Gain error and nonlinearity detection.

Fig. 12. Coefficient generator for b_1 and b_3 .

also acquires the average of $D_2^c - q \times 8$ and $(D_2^c - q \times 8)^2$, denoted as M and S respectively. The acquired data H_1 , H_2 , M and S are updated once every 2^{14} samples. Two error terms E_1 and E_2 are defined as

$$E_1 = H_1 + H_2 - 16 \quad E_2 = H_1 - H_2. \quad (2)$$

Thus, E_1 reveals the gain error, and E_2 reveals the nonlinearity. Combining both error terms, a single error function L is defined as

$$L = \frac{1}{2}E_1^2 + \frac{1}{2}E_2^2. \quad (3)$$

Employing the Lyapunov second theorem on stability [22] to ensure that L will approach to zero asymptotically, we can find the following equations to estimate b_1 and b_3 .

$$b_1[k+1] = b_1[k] - \mu_1 \times \text{sgn}(Z_1) \quad (4)$$

$$b_3[k+1] = b_3[k] - \mu_3 \times \text{sgn}(Z_3) \quad (5)$$

where

$$Z_1 = E_1 (b_3^3[k] - (3S + 64)b_3[k]) - E_2(24Mb_3[k]) \quad (6)$$

$$Z_3 = E_1(3S + 64) + E_2(24M). \quad (7)$$

The value of $\text{sgn}(x)$ is +1 if $x > 0$, 0 if $x = 0$, and -1 if $x < 0$. Employing the sgn function simplifies the DCP hardware and

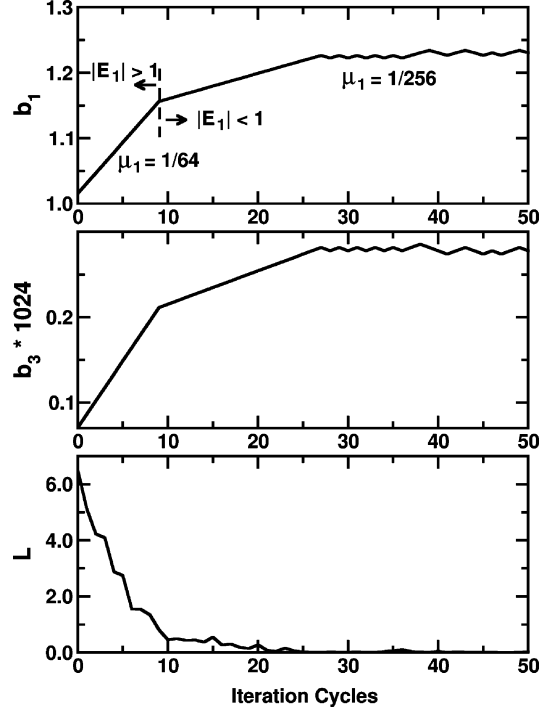


Fig. 13. Transient behavior of the digital calibration.

reduces its power consumption. Derivation of the above equations is included in Appendix A. The S variable in (6) and (7) can be further simplified by replacing it with a constant $256/3$, which is $E[(D_2^c)^2 - q \times 8]$ when $D_2^c - q \times 8$ is uniformly distributed between -16 and $+16$. Simulations show that using a constant S do not affect the calibration process.

The updating factors μ_1 and μ_3 are two positive constants. Smaller μ_1 and μ_3 result in less fluctuations in b_1 and b_3 , but also slower settling time. We want faster settling when errors are large and less fluctuation when errors are small. Thus, the DCP sets $\mu_1 = 1/64$ when $|E_1| \geq 1$ and $\mu_1 = 1/256$ when $|E_1| < 1$. The DCP also choose $\mu_3 = \mu_1/1024$.

Fig. 13 shows the transient behavior of the calibration. In the simulation, the RAMP is followed by an ideal 6-bit FADC. The RAMP transfer function is obtained from SPICE simulation, and is modeled as

$$y_d = a_1 \cdot y + a_3 \cdot y^3 + a_5 \cdot y^5 + a_7 \cdot y^7 \quad (8)$$

where y is the FADC output of an ideal RAMP, and y_d is that of a real RAMP. The values of the coefficients are $a_1 = 0.8$, $a_3 = -5.5 \times 10^{-5}$, $a_5 = -1 \times 10^{-7}$ and $a_7 = 3 \times 10^{-10}$. The initial values for b_1 and b_3 are set as 1.0 and 5.5×10^{-5} respectively. The ADC input is a full-scaled sine wave. The coefficients b_1 and b_3 are settled to 1.225 and 2.75×10^{-4} respectively. The error function L approaches zero asymptotically. The overall convergent time is about 30 iteration cycles. Each iteration cycle is 2^{14} sampling periods. At 100 MS/s sampling rate, the calibration convergent time is about 5 msec.

The decoder shown in Fig. 3 combines the 5-bit D_1 from the CADC and the 6-bit D_2^c from the DCP to produce the final ADC

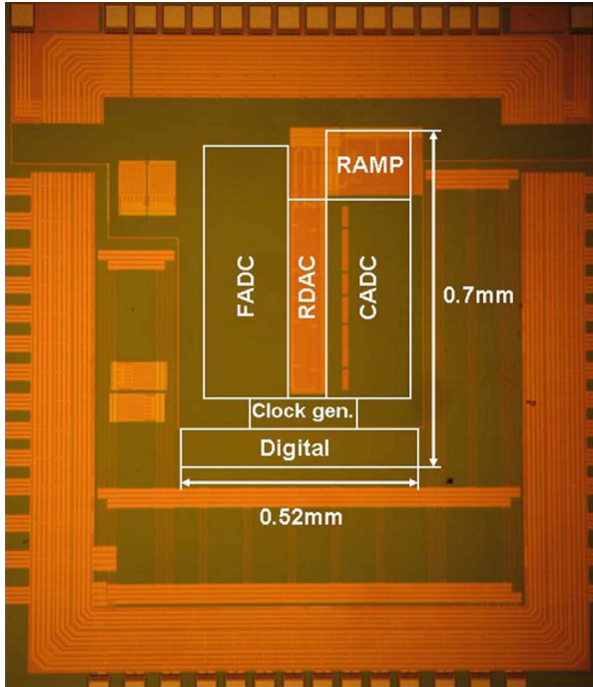


Fig. 14. ADC chip micrograph.

digital output D_o . The q signal injected from the RDAC must be removed from D_o . Thus, D_o is calculated as

$$D_o = D_1 \times 2^5 + D_2^c - q \times 8. \quad (9)$$

The full range of the 10-bit output code D_o is from -512 to $+511$.

V. EXPERIMENTAL RESULTS

The ADC was fabricated using a 90 nm digital CMOS technology with one layer of polysilicon and six layers of metal. The ADC chip micrograph is shown in Fig. 14. It occupies an active area of 0.36 mm^2 . Operating at 100 MHz sampling frequency, the ADC core consumes a total power of 6 mW from a 1 V supply. The single-ended input swing range can be as high as 1 V, equal to the supply voltage VDD. The input capacitance of this ADC is about 1.2 pF, which includes the input capacitances of RAMP and CADC, and other parasitic capacitors. All digital circuits including calibration processor, clock generator and clock buffers, dissipate 1.4 mW at 100 MS/s sampling rate.

The differential nonlinearity (DNL) and integral nonlinearity (INL) are measured by using a code-density testing setup with a 1 MHz sine wave input. Fig. 15 shows the measured DNL of the CADC before and after comparator offset calibration. The DNL is $-1/+1$ LSB before calibration, and becomes $-0.25/+0.25$ LSB after calibration. The offset calibration effectively reduces the offsets of the latch comparators.

Figs. 16 and 17 show the measured DNL and INL of the ADC before and after the RAMP digital calibration. The DNL is improved from $-1/+4$ LSB to $-0.5/+0.6$ LSB by the calibration. The INL is improved from $-17/+18$ LSB to $-0.9/+0.9$ LSB by the calibration.

Fig. 18 shows the ADC output spectrums before and after the RAMP digital calibration at 100 MS/s sampling rate. The input

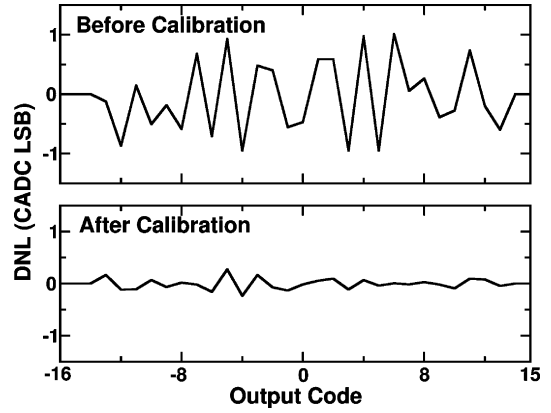


Fig. 15. Measured CADC differential nonlinearity (DNL).

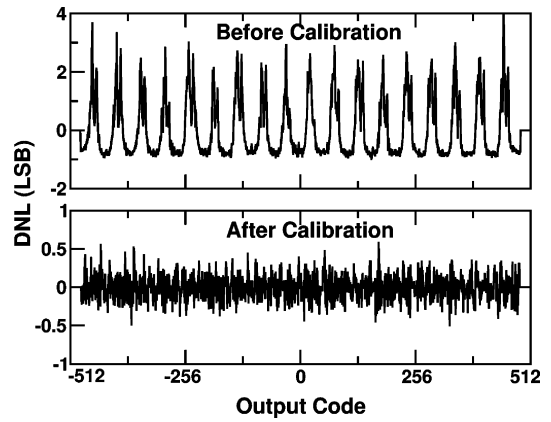


Fig. 16. Measured ADC differential nonlinearity (DNL).

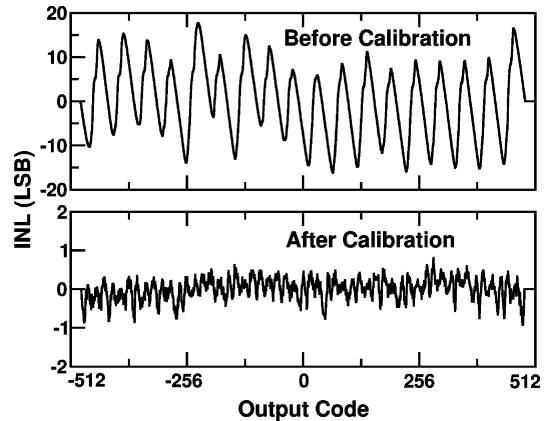


Fig. 17. Measured ADC integral nonlinearity (INL).

is a 1 MHz sine wave. The measured SNDR is improved from 35 dB to 58 dB by the calibration. The measured SFDR is improved from 43 dB to 75 dB by the calibration.

Fig. 19 shows the ADC dynamic performance versus input frequencies at 100 MHz sampling rate. The measured SFDR degrades gradually towards higher input frequencies. It is caused by the mismatch between the CADC distributed input track-and-holds and the RAMP track-and-hold. The SNDR degradation at higher input frequencies is due to the sampling clock jitter. The effective resolution bandwidth (ERBW) is about 46 MHz. Fig. 20 shows the ADC dynamic performance versus sampling

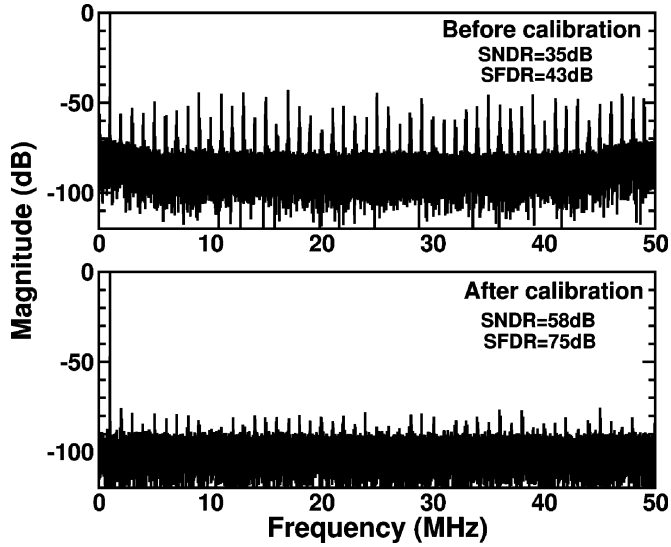


Fig. 18. Measured output spectrum at 100 MS/s before and after RAMP's calibration.

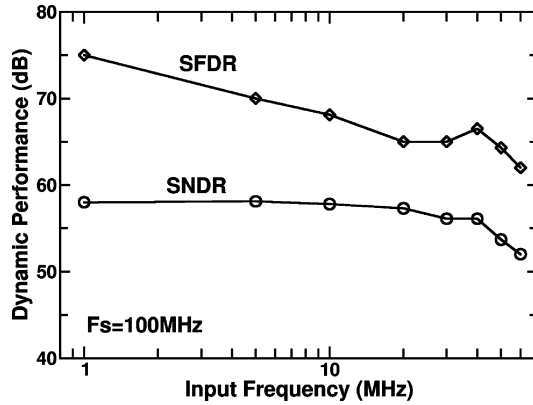


Fig. 19. Dynamic performance versus input frequency.

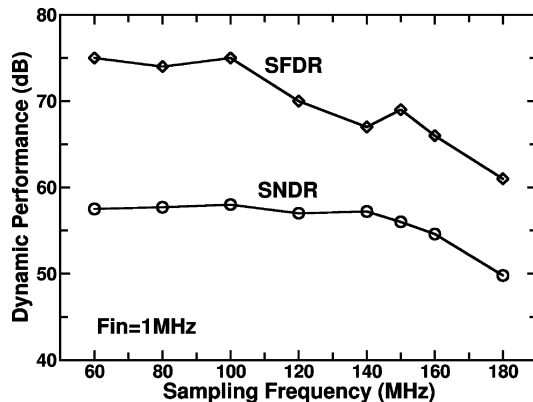


Fig. 20. Dynamic performance versus sampling frequency.

rates. The input is a 1 MHz sine wave. The SFDR is higher than 66 dB up to 160 MS/s sampling rate, and the SNDR can maintain 56 dB up to 150 MS/s sampling rate. The SFDR begin to degrade for sampling rates higher than 100 MS/s. This is mainly due to the incomplete settling of the RAMP.

TABLE I
PERFORMANCE SUMMARY

Technology	90nm CMOS
Supply Voltage (V)	1.0
Resolution (bit)	10
Sampling Rate (MHz)	100
Input Range (V_{pp} differential)	2.0
Input Loading (pF)	1.2
DNL (LSB)	+0.6/-0.5
INL (LSB)	+0.9/-0.9
SNDR (dB) ($F_{in}=1$ MHz)	58
SNDR (dB) ($F_{in}=50$ MHz)	53.7
SFDR (dB) ($F_{in}=1$ MHz)	75
SFDR (dB) ($F_{in}=50$ MHz)	64
THD (dB) ($F_{in}=1$ MHz)	-70
THD (dB) ($F_{in}=50$ MHz)	-60
Power Consumption (mW)	6
FOM1 (fJ/conv.-step)	92
FOM2 (fJ·V/conv.-step)	100
Active Area (mm^2)	0.36

TABLE II
10-BIT ADCs COMPARISON

Design	[24]	[25]	[26]	[27]	[28]	This work
Technology (nm)	90	90	130	65	90	90
Supply (V)	0.8	1.0	1.2	1.2	1.2	1.0
Power (mW)	6.5	33	19.2	1.78	1.44	6
F_S (MHz)	80	100	60	26	50	100
SNDR (dB)	55	55.3	56	54.3	49.4	58
FOM1(fJ/conv.-step)	176	694	621	162	119	92
FOM2(fJ·V/conv.-step)	162	694	497	195	144	100

Table I summarizes the measured specifications of this ADC chip. Two types of the figure-of-merit (FOM) for the ADC are defined as

$$\text{FOM1} = \frac{\text{Power}}{2^{\text{ENOB}} \times F_S} \quad (10)$$

$$\text{FOM2} = \frac{\text{Power}}{2^{\text{ENOB}} \times \min(2 \cdot \text{ERBW}, F_S)} \times \text{VDD} \quad (11)$$

where ENOB is the effective number of bits at low input frequency. The FOM1 is a general figure-of-merit definition for most ADCs. The FOM2 considers the importance of the input frequency towards the Nyquist frequency and the design contribution due to low supply voltage (VDD) in nanoscale CMOS technologies [23]. Table II compares this work with other 10-bit ADCs published in recent years.

VI. CONCLUSIONS

A 10-bit 100-MS/s two-step ADC fabricated in a 90 nm CMOS technology is presented. It effectively takes the advantage of the nanoscale technology to achieve low-power dissipation. Its internal coarse ADC and fine ADC are realized with the latch-type comparators whose accuracy are enhanced by offset calibration. The gain error and nonlinearity of the open-loop residue amplifier are corrected in the digital domain

with a calibration processor. The ADC consumes only 6 mW from a single 1 V supply.

APPENDIX LYAPUNOV-BASED CALIBRATION

Considering the signal path in Fig. 10, the residue $V_1 - V_{da}$ is amplified by the RAMP, and then digitalized by the FADC. As shown in (1), the RDAC output V_{da} is embedded with a random sequence $q \times 8$ LSB, where $q \in \{-1, 0, +1\}$. Assume both RAMP and FADC are ideal and the quantization errors of the FADC are neglected. The outputs of the FADC are denoted as y if $q = 0$, $y + 8$ if $q = +1$, and $y - 8$ if $q = -1$. If the RAMP exhibits gain error and nonlinearity, the outputs of the FADC become $y_{d,0}$, $y_{d,+1}$, and $y_{d,-1}$ for $q = 0, +1$, and -1 respectively. They can be expressed as

$$\begin{aligned} y_{d,0} &= a_1 \cdot y + a_3 \cdot y^3 \\ y_{d,+1} &= a_1 \cdot (y + 8) + a_3 \cdot (y + 8)^3 \\ y_{d,-1} &= a_1 \cdot (y - 8) + a_3 \cdot (y - 8)^3 \end{aligned} \quad (12)$$

where a_1 and a_3 are coefficients for gain error and nonlinearity. As shown in Fig. 10, the y_d data are corrected by the signal compensator using the b_1 and b_3 coefficients. The corrected data can be expressed as

$$\begin{aligned} y_{c,0} &= b_1 \cdot y_{d,0} + b_3 \cdot y_{d,0}^3 \\ y_{c,+1} &= b_1 \cdot y_{d,+1} + b_3 \cdot y_{d,+1}^3 \\ y_{c,-1} &= b_1 \cdot y_{d,-1} + b_3 \cdot y_{d,-1}^3. \end{aligned} \quad (13)$$

The correction makes $y_{c,0} = y$. Thus, we have

$$b_1 = \frac{1}{a_1} \quad \text{and} \quad b_3 = -\frac{a_3}{a_1^4}. \quad (14)$$

We neglect other high-order terms, which are treated as disturbances in the estimation process.

In Fig. 12, the coefficient generator collects the y_c data to extract information. The acquired variables are

$$M = E[y_c - q \times 8] \approx E[y] \quad (15)$$

$$S = E[(y_c - q \times 8)^2] \approx E[y^2] \quad (16)$$

$$\begin{aligned} E_1 &= H_1 + H_2 - 16 \\ &= E[y_{c,+1}] - E[y_{c,-1}] - 16 \\ &\approx 16(b_1 a_1 - 1) + 16(b_1 a_3 + b_3 a_1^3)(3S + 64) \end{aligned} \quad (17)$$

$$\begin{aligned} E_2 &= H_1 - H_2 \\ &= (E[y_{c,+1}] - E[y_{c,0}]) - (E[y_{c,0}] - E[y_{c,-1}]) \\ &\approx 384M(b_1 a_3 + b_3 a_1^3). \end{aligned} \quad (18)$$

A positive semi-definite function L is defined as

$$L = \frac{1}{2}E_1^2 + \frac{1}{2}E_2^2. \quad (19)$$

By the Lyapunov second theorem on stability, if L satisfies

$$L \geq 0 \quad \text{and} \quad \frac{dL}{dt} < 0. \quad (20)$$

L is called a Lyapunov function candidate and the system is asymptotically stable. From (19), the condition $L \geq 0$ is always true. Since E_1 and E_2 are functions of b_1 and b_3 , which are varying with time, the condition $dL/dt < 0$ can be rewritten as

$$\frac{dL}{dt} = \frac{\partial L}{\partial b_1} \cdot \frac{db_1}{dt} + \frac{\partial L}{\partial b_3} \cdot \frac{db_3}{dt} < 0. \quad (21)$$

One sufficient condition to satisfy the above inequality is

$$\frac{\partial L}{\partial b_1} \cdot \frac{db_1}{dt} < 0 \quad \text{and} \quad \frac{\partial L}{\partial b_3} \cdot \frac{db_3}{dt} < 0. \quad (22)$$

For a discrete-time system with slow varying b_1 and b_3 , the above equations become

$$\frac{\partial L}{\partial b_1} \cdot (b_1[k+1] - b_1[k]) = -\alpha_1 < 0 \quad (23)$$

$$\frac{\partial L}{\partial b_3} \cdot (b_3[k+1] - b_3[k]) = -\alpha_3 < 0 \quad (24)$$

where α_1 and α_3 are two positive variables. Thus, the difference equation for b_1 estimation can be expressed as

$$\begin{aligned} b_1[k+1] &= b_1[k] - \alpha_1 \left(\frac{\partial L}{\partial b_1} \right)^{-1} \\ &= b_1[k] - \left[\alpha_1 \left(\frac{\partial L}{\partial b_1} \right)^{-2} \right] \times \frac{\partial L}{\partial b_1}. \end{aligned} \quad (25)$$

Since $\alpha_1(\partial L/\partial b_1)^{-2}$ is always positive, the above equation can be represented by

$$b_1[k+1] = b_1[k] - \mu_1 \times \frac{\partial L}{\partial b_1} \quad (26)$$

where μ_1 is a positive constant, denoted as the updating factor for b_1 . With similar procedure, the estimation equation for b_3 can also be obtained as

$$b_3[k+1] = b_3[k] - \mu_3 \times \frac{\partial L}{\partial b_3} \quad (27)$$

where μ_3 is a positive constant, denoted as the updating factor for b_3 . From (19), $\partial L/\partial b_1$ and $\partial L/\partial b_3$ are

$$\frac{\partial L}{\partial b_1} = E_1 \cdot \frac{\partial E_1}{\partial b_1} + E_2 \cdot \frac{\partial E_2}{\partial b_1} \quad (28)$$

$$\frac{\partial L}{\partial b_3} = E_1 \cdot \frac{\partial E_1}{\partial b_3} + E_2 \cdot \frac{\partial E_2}{\partial b_3}. \quad (29)$$

Applying (14), (17) and (18), the above equations can be rewritten as

$$\frac{\partial L}{\partial b_1} = \frac{16}{b_1^4} \times [E_1(b_1^3 - (3S + 64)b_3) - E_2(24Mb_3)] \quad (30)$$

$$\frac{\partial L}{\partial b_3} = \frac{16}{b_1^3} \times [E_1(3S + 64) + E_2(24M)]. \quad (31)$$

Equations (4) and (5) shown in Section IV are the simplified implementations of (26) and (27) respectively. The Z_1 and Z_3 variables defined in (6) and (7) are the simplified $\partial L/\partial b_1$ and $\partial L/\partial b_3$ shown in (30) and (31). The $16/b_1^4$ term in (30) is neglected in calculating Z_1 since the term is always positive and thus does not affect the final value of $\text{sgn}(Z_1)$. The $16/b_1^3$ term in (31) is also neglected in calculating Z_3 .

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