

PAPER

Analysis of an Adaptive P -Persistent MAC Scheme for WLAN Providing Delay Fairness*

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SUMMARY The paper proposes and analyzes an *adaptive p-persistent-based* (APP) medium access control (MAC) scheme for IEEE 802.11 WLAN. The APP MAC scheme intends to support delay fairness for every station in each access, denoting small delay variance. It differentiates permission probabilities of transmission for stations which are incurred with various packet delays. This permission probability is designed as a function of the numbers of retransmissions and re-backoffs so that stations with larger packet delay are endowed with higher permission probability. Also, the scheme is analyzed by a Markov-chain analysis, where the collision probability, the system throughput, and the average delay are successfully obtained. Numerical results show that the proposed APP MAC scheme can attain lower mean delay and higher mean throughput. In the mean time, simulation results are given to justify the validity of the analysis, and also show that the APP MAC scheme can achieve more delay fairness than conventional algorithms.

key words: backoff, MAC, WLAN, delay variance, Markov-chain

1. Introduction

Wireless local area networks (WLAN) have advantages, such as high transmission rate and low design complexity in medium access control (MAC) protocol. It is widely applied in hot spot cells and indoor environments for diverse applications. The MAC in IEEE 802.11 WLAN [1] is based on a carrier sense multiple access with collision avoidance (CSMA/CA) protocol, in which retransmissions of collided packets are managed by a *binary exponential backoff* (BEB) algorithm. This conventional MAC scheme, the CSMA/CA protocol with the BEB algorithm, is the most widely used scheme for data transmission because of its simplicity. However, a more collided station would have a smaller probability to access the medium. Thus a larger delay variance among stations would be incurred and this creates delay unfairness for each access of station. Therefore, an effective MAC protocol is necessary so as to reduce the delay variance of every station in each access, or say, support delay fairness in this paper.

Some algorithms to solve the fairness problem of MAC

in WLAN were proposed [2], [3]. A multiplicative increase linear decrease (MILD) scheme was proposed in MACAW protocol for WLAN [2]. In the MACAW protocol, the current contention window information was included in each transmitted packet, and also a *backoff interval copy mechanism* implemented in each station copied the contention windows of the overheard successful transmitters. With the copy mechanism, the fairness performance of the MILD scheme is improved, but it also incurs a new problem. Each packet including the backoff interval information increases the overhead and decreases the channel throughput. Yamada, Morikawa, and Aoyama proposed a decentralized delay fluctuation control (DDFC) MAC mechanism [3], where the contention window is changed according to the packet waiting time. The larger the packet waiting time is, the smaller the contention window will be. The DDFC in nature lessens variance of waiting time from enqueueing to successful transmission. Unfortunately, the channel utilization in DDFC is still low due to the small contention windows and high collision probabilities.

This paper proposes and analyzes an *adaptive p-persistent-based* (APP) MAC scheme for the IEEE 802.11 WLAN proposed in [4], [5]. The APP MAC scheme, installed in a station, dynamically adjusts the permission probability of transmission for the station itself, and sets the permission probability as a function of the numbers of retransmissions and re-backoffs. The station with longer packet delay, implying larger numbers of retransmissions and re-backoffs, is given higher permission probability. Therefore, the packet delay variance of station for each access can be decreased and the WLAN can provide good delay fairness for stations in each access. The Markov-chain model [6]–[9] is adopted to analyze the proposed APP MAC scheme. The performance measures such as collision probability, system throughput, and mean delay are successfully obtained. Numerical and simulation results show that the APP MAC scheme can effectively reduce the delay variance and thus achieve the delay fairness. The collision probability is decreased and the system throughput is enhanced, compared to conventional schemes. Moreover, discrepancy between numerical and simulation results is provided to corroborate the analyses. These results reveal that the analyses are quite accurate.

The rest of the paper is organized as follows. Section 2 describes the system model, and Sect. 3 introduces the APP MAC scheme. The mathematical analysis of the APP MAC

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scheme is given in Sect. 4. Section 5 illustrates the performance comparisons of the APP MAC scheme and other conventional methods, such as BEB MAC and DDFC MAC, by numerical and simulation results. Finally, concluding remarks are given in Sect. 6.

2. System Model

The IEEE 802.11 distributed coordination function (DCF) adopts the CSMA/CA protocol to support asynchronous data transfer. The station can start to transmit only if the medium is sensed idle for a time interval equal to DCF interframe space (DIFS). Otherwise, the transmission is deferred and the BEB algorithm is invoked. In the BEB algorithm, the station chooses a backoff counter from contention window (W), before transmitting. At the first transmission attempt, W is set to the initial contention window, W_0 ; otherwise, W depends on the number of transmissions failed for the packet. The backoff counter is decremented by one at the end of each slot time, σ , as long as the medium is sensed idle, and suspended otherwise. It will be reactivated when the medium is again sensed idle for a period longer than DIFS. When the backoff counter reaches to zero, the station transmits immediately. A collision will occur when two or more stations transmit simultaneously. This kind of scheme is called *1-persistent*.

An acknowledgement packet sending from the destination station is used to response to its origination station to denote that the transmitted packet has been successfully received. If the acknowledge packet is not received, it assumes that the transmission has been corrupted. For an unsuccessful transmission, W is doubled until it reaches to the maximum value of the contention window, W_{\max} . For a successful transmission, if the station still has packets queued for transmission, it enters a new backoff procedure.

In the APP MAC scheme, it's backoff procedure is similar to that of the traditional CSMA/CA MAC scheme with BEB backoff algorithm, except when the backoff counter of a station in a backoff stage decreases to zero. At this instant, the station with the APP MAC scheme may transmit packet with a permission probability P or enter into a re-backoff procedure with a probability $(1-P)$. Here, the re-backoff procedure is defined as the process of that the station will remain at the same backoff stage with the same contention window. Noticeably, if P is equal to one, the APP MAC scheme turns to the CSMA/CA MAC scheme with BEB algorithm.

3. The Adaptive P-Persistent Mac Scheme

The adaptive p-persistent (APP) MAC scheme [4],[5] is based on the CSMA/CA protocol with a novel APP transmission algorithm. In which, the value of the permission probability P is adaptively adjusted, according to the state of its packet transmission, which is a function of the number of retransmissions (backoff stages), denoted by RT , and the number of re-backoffs, denoted by RB . It is because RT

and RB can be regarded as measures of delay time of packet transmission. If a station enters into the re-backoff procedure one time, the value of RB will be added one until up to RB_{\max} , where RB_{\max} is the maximum number of re-backoff times. When the value of RB is equal to RB_{\max} and the station enters into the re-backoff procedure again, the value of RB will not be increased anymore. If a station suffers a collision, the value of RT will be added one until up to BS_{\max} and the value of RB will be set to zero, where BS_{\max} is the maximum number of backoff stage. When the value of RT is equal to BS_{\max} and the station collides again, the station will remain with the value of RT equal to BS_{\max} . If a station achieves a successful transmission, values of both RT and RB will be set to zero. Consequently, the APP MAC scheme can make a station obtain a higher permission probability P at the same backoff stage if the station has a larger RB ; it will make a station obtain a lower permission probability P if the station is in the state with a smaller RT .

More in details, for a station with the APP algorithm, RT and RB are initially zero, and P is assigned to be P_0 which is the initial permission probability chosen for the first transmission of a ready packet. Afterwards, P will be adaptively adjusted according to the function designed by

$$P = P_0 + \frac{1-P_0}{BS_{\max}} * \left[RT + \frac{RB}{1+RB_{\max}} \right],$$

$$0 \leq RT \leq BS_{\max}, 0 \leq RB \leq RB_{\max}. \quad (1)$$

The philosophy behind Eq. (1) is that a station having larger RT and RB should be promoted to have a larger permission probability P . Also, it is expected that the average waiting time spent at any RB for a given RT would be less than that spent at $(RT+1)$ and $RB = 0$. Therefore, it is reasonable that P is increased by $(1-P_0)/BS_{\max}$ if one more retransmission and $(1-P_0)/[BS_{\max}*(1+RB_{\max})]$ if one more re-backoff procedure.

4. Analysis

For any station with the APP MAC scheme, define $s(m)$, $r(m)$, and $b(m)$ to be random processes of the backoff stage, the number of re-backoff, and the value of backoff counter, at time m , respectively, where $0 \leq s(m) \leq BS_{\max}$, $0 \leq r(m) \leq RB_{\max}$, and $0 \leq b(m) \leq W_i - 1$, $W_i = 2^i W_0$, W_i is the contention window W of the i th backoff stage. Also, define $(s(m), r(m), b(m))$ as the state of system. Assume that there are n contending stations in the system, and each station is operated in a saturation condition, denoting it always has a ready packet to transmit. The discrete-time observation points are embedded at the end of each slot time, which follows the medium if sensed idle longer than DIFS interval. The three-dimensional random process $\{(s(m), r(m), b(m))\}$ is a discrete-time Markov chain under the assumptions that both the collision probability and the packet transmission probability of a station are indifferent to its backoff procedure [6]. The collision probability of a station, denoted by p_c , is the probability of that a station transmits and at least

$$\begin{cases}
b_{0,0,k} = \frac{1-p_c}{W_0} \sum_{i=0}^{BS_{\max}} \sum_{j=0}^{RB_{\max}} P_{i,j} b_{i,j,0} + b_{0,0,k+1}, \\
0 \leq k \leq W_0 - 2, \\
b_{0,0,W_0-1} = \frac{1-p_c}{W_0} \sum_{i=0}^{BS_{\max}} \sum_{j=0}^{RB_{\max}} P_{i,j} b_{i,j,0}, \\
b_{i,j,k} = b_{i,j,k+1} + \frac{1-P_{i,j-1}}{W_i} b_{i,j-1,0}, \\
0 \leq i \leq BS_{\max} - 1, 1 \leq j \leq RB_{\max}, 0 \leq k \leq W_i - 2, \\
b_{i,j,W_i-1} = \frac{1-P_{i,j-1}}{W_i} b_{i,j-1,0}, \\
0 \leq i \leq BS_{\max} - 1, 1 \leq j \leq RB_{\max} - 1, \\
b_{i,RB_{\max},k} = \frac{1}{W_i} [(1-P_{i,RB_{\max}}) b_{i,RB_{\max},0} \\
+ (1-P_{i,RB_{\max}-1}) b_{i,RB_{\max}-1,0}] + b_{i,RB_{\max},k+1}, \\
0 \leq i \leq BS_{\max} - 1, 0 \leq k \leq W_{BS_{\max}} - 2, \\
b_{i,RB_{\max},W_i-1} = \frac{1}{W_i} [(1-P_{i,RB_{\max}}) b_{i,RB_{\max},0} \\
+ (1-P_{i,RB_{\max}-1}) b_{i,RB_{\max}-1,0}], \quad 0 \leq i \leq BS_{\max} - 1, \\
b_{i,0,k} = \frac{p_c}{W_i} \sum_{j=0}^{RB_{\max}} P_{i-1,j} b_{i-1,j,0} + b_{i,0,k+1}, \\
1 \leq i \leq BS_{\max} - 1, 0 \leq k \leq W_i - 2, \\
b_{i,0,W_i-1} = \frac{p_c}{W_i} \sum_{j=0}^{RB_{\max}} P_{i-1,j} b_{i-1,j,0}, \\
1 \leq i \leq BS_{\max} - 1, \\
b_{BS_{\max},0,k} = b_{BS_{\max},0,k+1} \\
+ \frac{p_c}{W_{BS_{\max}}} \left[\sum_{j=0}^{RB_{\max}} P_{BS_{\max}-1,j} b_{BS_{\max}-1,j,0} + P_{BS_{\max},0} b_{BS_{\max},0,0} \right], \\
0 \leq k \leq W_{BS_{\max}} - 2, \\
b_{BS_{\max},0,W_{BS_{\max}}-1} \\
= \frac{p_c}{W_{BS_{\max}}} \left[\sum_{j=0}^{RB_{\max}} P_{BS_{\max}-1,j} b_{BS_{\max}-1,j,0} + P_{BS_{\max},0} b_{BS_{\max},0,0} \right].
\end{cases} \quad (7)$$

Via algebraic manipulation of Eq. (7), we can obtain

$$\begin{cases}
b_{i,j,k} = \frac{W_i - k}{W_i} b_{i,j,0}, \\
0 \leq i \leq BS_{\max} - 1, 0 \leq j \leq RB_{\max}, 0 \leq k \leq W_i - 1, \\
b_{i,j,0} = \prod_{r=0}^{j-1} (1 - P_{i,r}) b_{i,0,0}, \\
0 \leq i \leq BS_{\max} - 1, 1 \leq j \leq RB_{\max}, \\
b_{i,0,0} = \prod_{m=0}^{i-1} \left(p_c \sum_{r=0}^{RB_{\max}} P_{m,r} \prod_{s=-1}^{r-1} (1 - P_{m,s}) \right) b_{0,0,0}, \\
1 \leq i \leq BS_{\max},
\end{cases} \quad (8)$$

where $P_{i,-1}$ is set to be zero. Also from Eq. (8), $b_{i,j,k}$ can be obtained in terms of $b_{0,0,0}$, permission probability $P_{i,j}$, and collision probability p_c , by

$$b_{i,j,k} = \frac{W_0 2^i - k}{W_0 2^i} \prod_{h=-1}^{j-1} (1 - P_{i,h})$$

$$\prod_{m=-1}^{i-1} \left[p_c \sum_{r=0}^{RB_{\max}} P_{m,r} \prod_{s=-1}^{r-1} (1 - P_{m,s}) \right] b_{0,0,0}, \quad (9)$$

where $P_{-1,j}$ is defined to be 1. By using the normalization condition for stationary state probabilities, the $b_{0,0,0}$ can be yielded as

$$b_{0,0,0} = \frac{1}{\sum_{i=0}^{BS_{\max}} \sum_{j=0}^{RB_{\max}} \sum_{k=0}^{W_i-1} \frac{W_0 2^i - k}{W_0 2^i} \prod_{h=-1}^{j-1} (1 - P_{i,h}) \prod_{m=-1}^{i-1} \left[p_c \sum_{r=0}^{RB_{\max}} P_{m,r} \prod_{s=-1}^{r-1} (1 - P_{m,s}) \right]}. \quad (10)$$

Afterwards, the transmission probability of a station, p_τ , can be derived as

$$\begin{aligned}
p_\tau &= \sum_{i=0}^{BS_{\max}} \sum_{j=0}^{RB_{\max}} P_{i,j} b_{i,j,0} \\
&= \sum_{i=0}^{BS_{\max}} \sum_{j=0}^{RB_{\max}} \left\{ P_{i,j} \prod_{n=-1}^{j-1} (1 - P_{i,n}) \prod_{m=-1}^{i-1} \right. \\
&\quad \left. \left[p_c \sum_{r=0}^{RB_{\max}} P_{m,r} \prod_{s=-1}^{r-1} (1 - P_{m,s}) \right] \right\} b_{0,0,0}, \quad (11)
\end{aligned}$$

and the collision probability of station, p_c , is given by

$$p_c = 1 - (1 - p_\tau)^{n-1}. \quad (12)$$

• System Throughput

For the derivation of system throughput, we consider that the time span is partitioned into three categories: the idle slot time, denoted by T_σ , the successful transmission time, denoted by T_s , and the collision time, denoted by T_c . Proportionally, the idle slot time would be with a portion of $(1 - P_{tr})$, the successful transmission time would be with a portion $P_{tr} P_s$, and the collision time would be with a portion of $P_{tr} (1 - P_s)$. The P_{tr} is the probability of that at least one transmission occurs in a slot time, and it is given by

$$P_{tr} = 1 - (1 - p_\tau)^n. \quad (13)$$

The P_s is the probability of that a successful transmission occurs, conditioned on the fact that at least one station transmits, and accordingly,

$$P_s = \frac{n p_\tau (1 - p_\tau)^{n-1}}{P_{tr}}. \quad (14)$$

Therefore, for a successful transmission of a packet in time T_s , the system throughput, denoted by S , can be obtained by

$$S = \frac{P_{tr} P_s B}{(1 - P_{tr}) T_\sigma + P_{tr} P_s T_s + P_{tr} (1 - P_s) T_c}, \quad (15)$$

where the denominator denotes the average time interval taken for this successful transmission, and B is the average payload size of a packet.

Values of T_s and T_c are given by, if the basic access

mechanism is adopted,

$$\begin{cases} T_s = H + B_t + SIFS + \delta + ACK + DIFS + \delta, \\ T_c = H + B_t + \delta + DIFS, \end{cases} \quad (16)$$

where H is the time required to transmit PHY and MAC frame headers; B_t is the average time that a payload is transmitted; SIFS is the duration of SIFS; δ is the propagation delay; ACK is the time required to transmit the acknowledgement packet; and DIFS is the duration of DIFS. They are given by, if the RTS/CTS access mechanism is used,

$$\begin{cases} T_s = RTS + SIFS + \delta + CTS + SIFS + \delta \\ \quad + H + B_t + SIFS + \delta + ACK + DIFS + \delta, \\ T_c = RTS + DIFS + \delta. \end{cases} \quad (17)$$

Note that collision is assumed to be occurred at RTS frame transmitted.

• Delay

As those described for Eq. (15), the average time interval taken for a successful transmission of a packet is $(1 - P_{tr})T_\sigma + P_{tr}P_sT_s + P_{tr}(1 - P_s)T_c$, and its probability is $P_{tr}P_s$. If the n contending stations are identical, the average delay of a station, denoted by T_D , can be obtained by

$$T_D = \frac{n \times [(1 - P_{tr})T_\sigma + P_{tr}P_sT_s + P_{tr}(1 - P_s)T_c]}{P_{tr}P_s}. \quad (18)$$

• The Optimal Value of P_0

In WLAN, the number of stations n is not a directly controllable variable. The way to achieve optimal performance is to employ adaptive techniques to tune the value of W_0 based on an estimated value of n [6]. Bianchi stated in [6] that the maximum system throughput can be achieved if the optimal initial contention window in BEB, denoted by W_{opt} , is given by

$$W_{opt} \approx n \sqrt{2T_c/\sigma}. \quad (19)$$

In contrast, the initial contention window of the APP MAC scheme since is equivalent to W_0/P_0 , the optimal value of P_0 , denoted by P_0^* , can be obtained by

$$P_0^* = W_0/W_{opt} \approx W_0/n \sqrt{2T_c/\sigma}. \quad (20)$$

5. Numerical and Simulation Results

Table 1 lists system parameters of a considered WLAN environment and values of PHY-related parameters, which are referred to specifications of IEEE 802.11 [1]. In the simulations, we compare the APP scheme with the BEB and the DDFC [3] schemes. In the BEB scheme, two initial contention windows, $W_0=16$ and $W_0=32$, are assumed. In the DDFC scheme, the setting parameters are $t_0=100$ ms, $t_s=10$ ms and $W_0=16$. Since stations are operated in a saturation condition and the queuing time is not considered in the simulation, the packet waiting time by the DDFC scheme is accounted from the beginning of packet contention, not as the primary usage defined in [3]. In the

Table 1 Parameter settings for a WLAN environment.

Slot Time, σ	20 μ s
DIFS	60 μ s
SIFS	10 μ s
Propagation Delay	1 μ s
Bit Rate	11 Mbps
PHY Overhead	192 μ s
MAC Header	28 byte
ACK Length	14 byte
Data Packet Payload, B	1028 byte
Max Backoff Stage, BS_{max}	4
Initial Contention Window, W_0	16
Transmission Retry Limit	∞

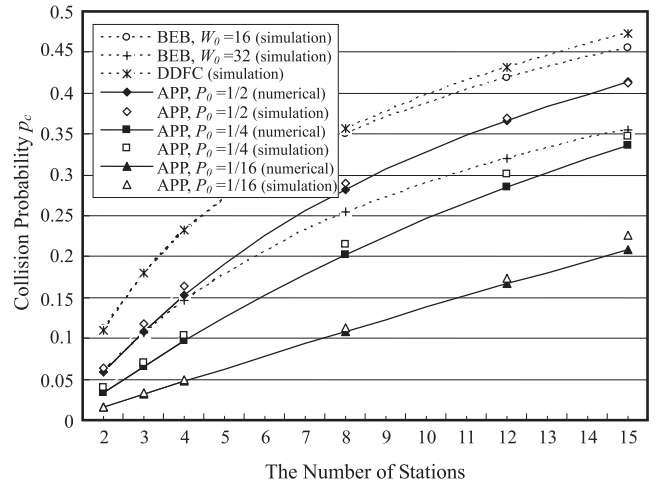


Fig. 2 Collision probabilities of APP, BEB, and DDFC.

following figures, results of APP are shown by numerical and/or simulation, while results of BEB and DDFC are given by simulation.

Figure 2 illustrates the collision probability p_c of the APP, BEB, and DDFC MAC schemes. It reveals that APP with $P_0=1/4$ achieves an improvement of collision probability by 40% (38.8%) over DDFC (BEB with $W_0=16$), when the number of stations is 8. The reason is that the proposed APP MAC scheme assigns every packet a permission probability P . When two stations count to zero simultaneously, the collision probability of APP is equal to P^2 . Thus, APP has smallest collision probability; and the smaller the P_0 is, the lower the collision probability would be. This phenomenon is equivalent to making the initial contention window larger. The figure also exhibits that the discrepancy between numerical and simulation results is less than 3.5%, thus this corroborates the collision probability analysis.

Figure 3 depicts the system throughputs of the APP, BEB, and DDFC MAC schemes. It can be seen that the throughput increases first and then decreases. It is because increasing the number of stations not only raises the chan-

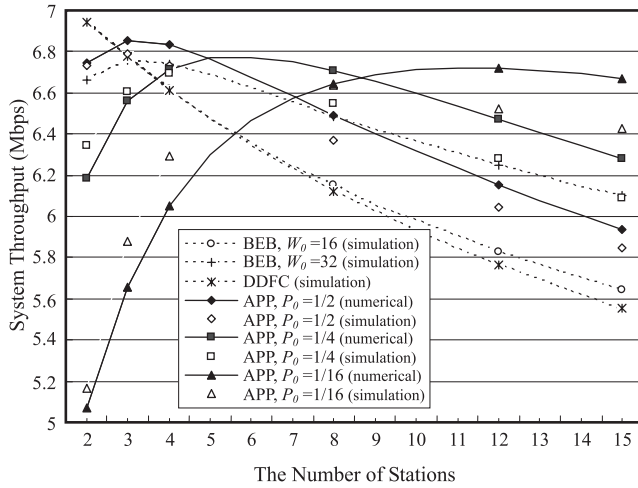


Fig. 3 System throughputs of APP, BEB, and DDFC.

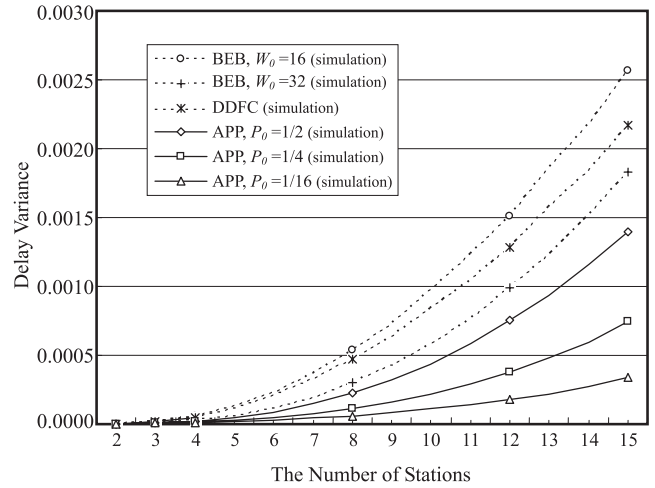


Fig. 5 Delay variances of APP, BEB, and DDFC.

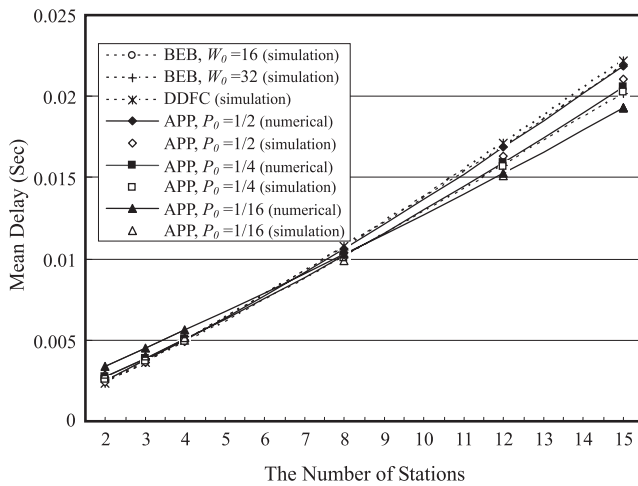


Fig. 4 Mean delays of APP, BEB, and DDFC.

nel utilization but also enlarges the packet collision probability as shown in Fig. 2, so the throughput increases first and it decreases due to high collision probability. Also, APP with $P_0=1/4$ achieves an improvement of throughput by 7% (6.5%) over DDFC (BEB with $W_0=16$) when the number of stations is 8. The reason is that APP can reduce the collision probability and increase the transmission efficiency consequently. It can also be found that the smaller P_0 will cause a lower system throughput when fewer stations are in the system. It is because the smaller P_0 is equal to making a larger initial contention window. This will increase the channel idle time and decrease the channel utilization. Noticeably, the difference between numerical and simulation results is also less than 3.5%, this justifying the validity of the throughput analysis.

Figure 4 shows the mean delays of the APP, BEB, and DDFC MAC schemes. It indicates that the APP with $P_0=1/4$ achieves an improvement of mean delay by 6.6% (6.1%) over DDFC (BEB with $W_0=16$), when the number of stations is 8. It is because the APP enhances the channel uti-

lization. It can also be found that the smaller P_0 has a larger delay time when there are fewer stations in the system but a smaller delay time when there are more stations in the system. Also, the difference between numerical and simulation results is less than 3.23%, and this substantiates the delay analysis.

Figure 5 shows delay variances of the APP, BEB, and DDFC MAC schemes versus the number of stations by simulations. It can be found that the APP MAC scheme possesses the lowest delay variation, while the BEB MAC scheme (BEB with $W_0=16$) the highest. For example, the APP with $P_0=1/4$ achieves improvement of delay variation over DDFC (BEB with $W_0=16$) by 76.4% (79.4%), at the number of stations is 8. Also, the smaller the P_0 is, the more the improvement of delay variation would be. The reason is the proposed APP scheme adaptively determines the permission probability of transmission according to a function of the number of retransmission (RT) and the number of re-backoff (RB). The APP scheme lets the ready packet with the longest delay time transmit first and delays the new packet, this makes the delay time of packet be close to the mean value.

Besides, making P_0 smaller is equivalent to making the W_0 larger, thus lower collision probability. However, the large W_0 in the BEB cannot greatly decrease delay variance and it would cause the system performance degrade (see BEB with $W_0=32$ in Figs. 2–4). It is because the APP scheme is not actually increase the size of W_0 , but provides another dimension (permission probability P) to avoid collision and makes the transmission efficiency, thus the APP scheme has the smallest mean delay and highest system throughput.

Figure 6 shows the system throughput and delay variance of APP with optimal P_0^* and BEB with W_{opt} given in [6] by simulations, where the BEB operates with W_{opt} to obtain the maximum system throughput and the APP uses the optimal P_0 with fixed W_0 . It can be found that APP with optimal P_0^* loses the system throughput by 1.3% but

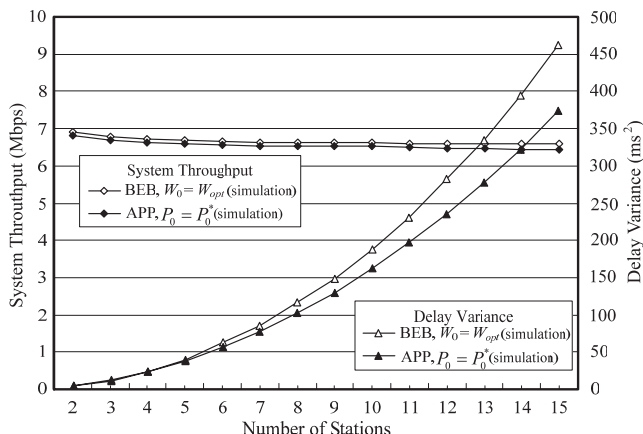


Fig. 6 Performance of APP with optimal P_0^* and BEB with W_{opt} .

gains an improvement of delay variation by 15%, compared to BEB with W_{opt} . This shows that the APP MAC scheme can achieve maximum system throughput and support good delay fairness.

6. Concluding Remarks

This paper proposed and analyzed an adaptive p-persistent (APP) MAC scheme for IEEE 802.11 WLAN to achieve fairness in the sense of low delay variance. The APP MAC scheme resolves the fairness problem at each access of stations by adaptively determining the permission probability of station according to the state of packet transmission of the station. It differentiates the permission probabilities of stations with various waiting delay, and assigns a higher priority (probability) to stations with larger packet delay. The paper analyzes the APP MAC scheme by Markov-chain model and successfully obtains the collision probability, the system throughput, and the mean delay. Results show that the discrepancy between the numerical results and the simulation results is very small, and the analyses are quite correct. Besides, the APP MAC scheme can effectively reduce the delay variance and enhance the system throughput.

The initial permission probability P_0 is an important design parameter in the APP MAC scheme. It can be determined by considering the system design objective which is to reduce the delay variance or enhance the system throughput. Besides, the initial permission probability P_0 can be adaptively determined according to the system load. For example, P_0 could be set to be 1/16 (1/2) when the system is in heavy (light) load.

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