

# Computational Intelligent Brain Computer Interaction and Its Applications on Driving Cognition

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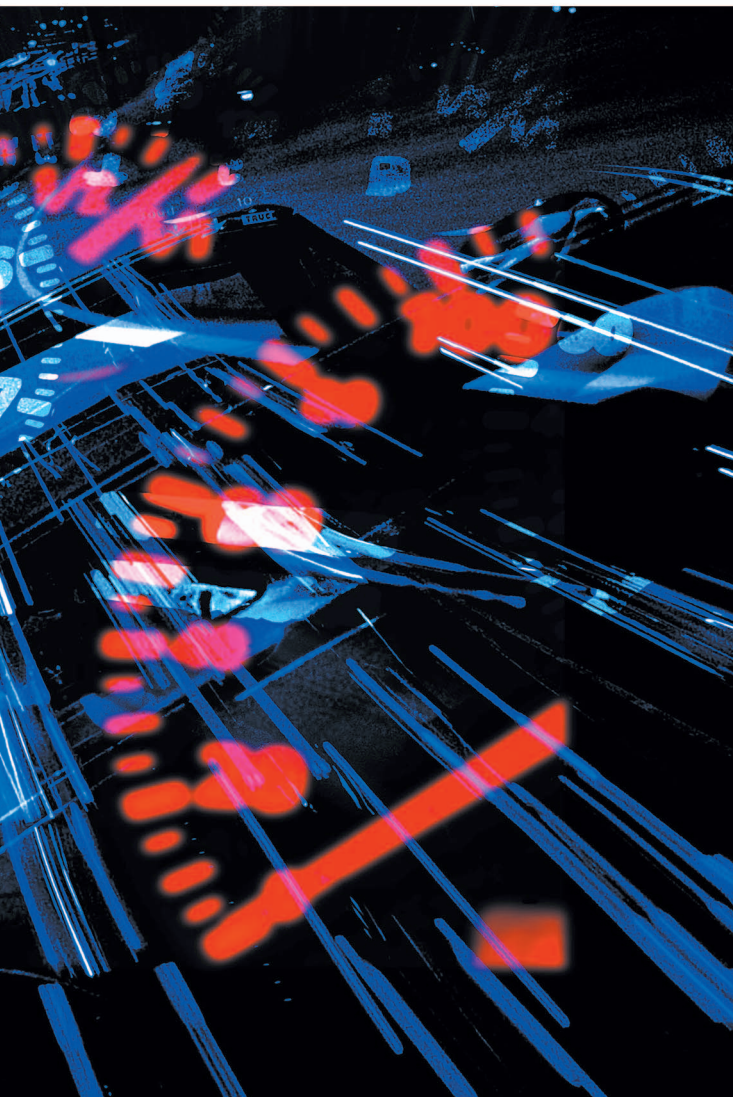


**Abstract:** Driving is one of the most common attention-demanding tasks in daily life. Driver's fatigue, drowsiness, inattention, and distraction are reported a major causal factor in many traffic accidents. Due to the drivers lost their attention, they had markedly reduced the perception, recognition and vehicle control abilities. In recent years, many computational intelligent technologies were developed for preventing traffic accidents caused by driver's inattention. Driver's drowsiness and distraction related studies had become a major interest research topic in automotive safety engineering. Many researches had investigated the driving cognition in cognitive neuro-engineering, but how to utilize the main findings of driving-related cognitive researches in traditional cognitive neuroscience and integrate with computational intelligence technologies for augmenting driving performance will become a big challenge in the interdisciplinary research area. For this reason, we attempt to integrate the driving cognition for real life application in this study. The implications of the driving cognition in cognitive neuroscience and computational intelligence for daily applications are also demonstrated through two common attention-related driving studies: (1) cognitive-state monitoring of the driver performing the realistic long-term driving tasks in a simulated realistic-driving environment; and (2) to extract the brain dynamic changes of driver's distraction effect during dual-task driving. Experimental results of these studies provide new insights into the understanding of complex brain functions of participants actively performing ordinary tasks in natural body positions and situations within real operational environments.

## 1. Introduction

Driving is one of the most common attention-demanding tasks in daily life. Driver's fatigue, drowsiness, inattention, and distraction are reported a major causal factor in many traffic accidents. Due to the drivers lost their attention, they had markedly reduced the perception, recognition and vehicle control abilities. In recent years, many computational intelligent technologies were developed for preventing traffic accidents caused by losing driver's attention. Driver's drowsiness and distraction related studies had become a major interest research topic in automotive safety engineering. Physiological changes such as eye activity measures, heart rate variability (HRV) and particularly electroencephalogram (EEG) activities are known to be associated with these cognitive changes. In the past, there are many driving-related studies which had investigated the driving performance in human factors or in cognitive neuroscience. Recently, some studies had attempted to apply computational intelligence to estimate driver's cognitive state, but there are still fewer studies to investigate driving cognition and its application at the same time. Consequently, how to utilize the main findings of driving-related cognitive researches in traditional cognitive neuroscience and integrate with computational intelligence technologies for augmenting driving performance will become a big challenge in the interdisciplinary research area between cognitive neuroscience and computational intelligence.

For this reason, we attempt to integrate the driving cognition for real life application in this study. In the beginning, we had constructed the simulated realistic-driving environment for the volunteer subjects performing driving-related cognitive experiments. We then measure driver's physiological signals in this environment and study their fundamental physiological changes in the performing driving tasks. The only possible physiological modality fulfilling



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Digital Object Identifier 10.1109/MCI.2009.934559

## ICA is used to separate mixed signals composed of several distinct sources (such as EEG) in which the signal components are maximally independent.

the measurement limitation in real-life application is electroencephalography (EEG). EEG is a powerful non-invasive tool widely used for both medical diagnosis and neurobiological research because it can provide high temporal resolution in milliseconds which directly reflects the dynamics of the generating cell assemblies. EEG is also the only brain imaging modality that can be performed without fixing the head/body. After understanding the brain dynamic changes on driving cognition, we then develop some computational intelligence technologies based on these physiological phenomena to investigate brain computer interaction.

For any application involving EEG one of the essential and important steps is to remove artifacts due factors such as eye-blinking, muscle noise, and heart signals. One of the commonly used techniques for cleaning of such noises is Independent Component analysis (ICA). However, neuroscientists usually select the useful independent components manually by looking at the scalp-plot. Consequently such approaches are not useful for real-time such as drowsiness detection in drivers, games based on brain computer interface (BCI). Hence, we propose a family of algorithms based on neural networks and support vector machines for automatic identification of useful independent components. The utility of the proposed approaches is demonstrated using 10-fold cross validation. We have also used fusion methods to improve the detection accuracy further. We have found that when we use a majority voting principle it is not necessarily true that higher vote corresponds to better decisions. This counterintuitive behavior may be because of the subjective variability in the EEG data. This study also suggests that it is possible to developing a “universal” machine for artifact removal in EEG. At last, the implications of the driving cognition in cognitive neuroscience and computational intelligence for daily applications are also demonstrated through two common attention-related driving studies: (1) cognitive-state monitoring of the driver performing the realistic long-term driving tasks in a simulated realistic-driving environment; and (2) to extract the brain dynamic changes of driver’s distraction effect during dual-task driving. The experimental results of these studies provide new insights into the understanding of complex brain functions of participants actively performing ordinary tasks in natural body positions and situations within real operational environments.

## 2. Materials and Methods

This study would investigate how to augment driving performance due to driver’s fatigue and attention have been implicated as the causal factors in many accidents. The development of

human cognitive state monitoring system for the drivers to prevent accidents behind the steering wheel has become a major focus in the field of safety driving. Hence, we in public call for volunteer subjects to perform the driving-related cognitive exper-

iments and simultaneously measure their physiological signals and mental information in the constructed simulated realistic-driving environment for the driving safety issue. In the following sections, we will briefly introduce the physiological signals recording equipment, signal preprocessing and the experimental environment.

### 2.1 Physiological Data Acquisition

In this study, all volunteer subjects performed the driving-related cognitive experiments until reaching the satisfactory performance after the placement of the EEG cap and electrodes. During the experiments, subjects were instructed to continuously perform the tasks as best as they could. No intervention was made when the subjects was occasionally fell asleep and stopped responding. After such non-responsive periods subjects resumed task performance without experimenter intervention. The onset of each deviation and the subject’s reaction time were recorded at the rate of 60 Hz via a synchronous pulse marker train that was recorded in parallel by the EEG acquisition system for the further off-line analysis. Each subject at least had to complete one 60-minute session in all driving-related cognitive experiments in this study.

Subjects were with a movement-proof electrode cap with 32 sintered Ag/AgCl electrodes for measuring the electrical activates of the brain and that is the electroencephalogram (EEG). Electrodes were placed according to the standard international 10–20 system. Active sites were referenced to linked left and right mastoids. EEG signals were recorded and amplified by the Scan NuAmps Express system (Compumedics Ltd., VIC, Australia) with a sampling rate at 250 Hz and 16-bit precision. All channels were referenced to the right mastoid with input impedance lower than 5 k $\Omega$ . At the end of each completely session, the location of the electrodes were digitized with the 3D digitizer (Polhemus 3 space easttrak). The recorded EEG data were preprocessed using a simple low-pass filter and a high-pass filter with cut-off frequency above 50 Hz and below 0.5 Hz, respectively, to remove 60 Hz line noise, high-frequency artifacts and electro-galvanic signals before further analysis. Then these preprocessed EEG signals were fed to independent component analysis (ICA) to decompose EEG signals into various temporally statistical independent activations.

### 2.2 Independent Component Analysis

Independent component analysis (ICA) [1–3] is a signal processing technique and uses a measure of statistical independence to separate mixed signals that are generated by distinct sources but are recorded as a linearly mixed signal. ICA finds the signal components that are maximally independent.

Hence, it can be used to denoise EEG signal when it is mixed with different artifacts such as blinking of eyes. Let  $X(t) = (x_1(t), x_2(t), \dots, x_n(t))^T$  be an  $n$ -dimensional data vector observed at time  $t$  and  $S(t) = (s_1(t), s_2(t), \dots, s_n(t))^T$  be the vector of independent source signals. The ICA assumes a data model  $X(t) = AS(t)$ , where  $A$  is an  $n \times n$  mixing matrix. The ICA finds the  $A$  and hence when  $A$  has full rank then using  $A^{-1}$  we can find the unmixed (or independent) source signals. The ICA algorithm makes an assumption the aggregation of signals from different EEG sources is linear and instantaneous (no time delay). In general, for given data set  $X$ , an ICA algorithm produces both  $A$  and  $S$ . The EEGLAB [4] provides a tool for the visualization of a column of  $A^{-1}$ , which is known as the component scalp map. Therefore, the independent components are generated using the EEGLAB developed in MATLAB (The Math Works, R2007a).

The ICA methods were extensively applied to blind source separation problem since 1990s [5–8]. Subsequent technical reports [9–12] demonstrated that ICA was a suitable solution to the problem of EEG source segregation, identification, and localization. In this study, we used an extended version of informax algorithm of Bell and Sejnowski [2] that can separate sources with either super- or sub-Gaussian distributions, to decompose distinct brain activities. It has also been used in our previous studies [19–21].

### 2.3 Experimental and Simulated Realistic-Driving Environment

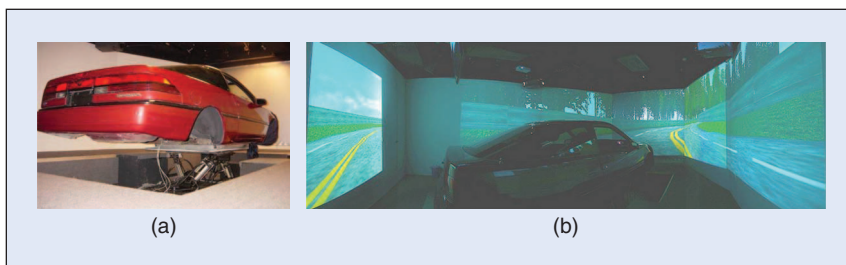
Most driving-related cognitive experiments were adopted to measure the physiological or psychophysical responses while participants performed a computer-simulated driving task in a well-controlled laboratory in which driving controls were a joystick or a steering wheel in front of a computer screen. In such studies, behavioral data such as reaction time to perform sudden braking or turning maneuvers are often measured. However, participants are never provided with kinesthetic input or sensation during these experiments. The lack of multi-sensory (visual, auditory, kinesthetic and tactile) information presented to participants limits the assessment and interpretation of the complicated relationships between such information and the motor actions of the participants. The ideal experimental condition would measure physiological signals in an actual automobile on the road. However, investigating driving perception in an actual driving environment would be subject to several limitations. First, ethical concerns would prohibit exposing subjects to physical danger of attention lapse while driving an automobile. Second, appropriate data acquisition and monitoring devices are needed to study the

**To overcome such limitation of investigating kinesthetic perceptions during driving, a simulated realistic driving environment is the best alternative for driving cognition research.**

rapid physiological responses of kinesthetic stimuli. Simulators provide simple and repeatable stimulation to control the parameters of the experiment. Third, objective evaluation of driving performance and putative level of alertness may be difficult to assess on the road.

To overcome such limitation of investigating kinesthetic perceptions during driving, a realistic simulator is the best alternative for driving-related research [13–16]. Hence, we had established an experimental and simulated realistic-driving environment as a test platform for studying the EEG dynamics [16–23] associated with cognitive-state changes during driving in this study. Our past study [22] also demonstrated the difference of brain dynamics with and without kinesthetic stimuli and sensation in the simulated realistic-driving environment. The environment can provide visual, auditory and, most importantly, kinesthetic and tactile stimulation either separately or jointly to volunteer subjects, which are not available in conventional EEG laboratories. This dynamic driving simulator enables systematic testing of the limitations of normal human performance in sustained-attention tasks in a safe yet realistic environment.

This environment is consisted of an actual automobile mounted on a 6-degree-of-freedom (DOF) Stewart platform (Figure 1(a)) and 360° surrounded virtual-reality (VR) based driving scenes projected by seven projectors (Figure 1(b)). The 6-DOF motion platform is controlled by six hydraulic linear actuators to generate translational and rotational movement as well as vibratory feedback to simulate actual driving conditions. The 360° projection of driving scenery is updated synchronously with deviations caused by wheel/paddle movement by the subjects or by road conditions such that subjects feel the car moving as if they are driving in real-world conditions. The motion platform also provides physiological and behavioral response recordings to not only to evaluate driving performance and behavior but also to examine the brain dynamics in response to the kinesthetic stimulation generated by the motion platform. Therefore, this test environment provides an



**FIGURE 1** (a) The driving cabin simulator mounted on a 6-DOF dynamic Stewart motion platform. (b) The simulated realistic-driving environment.

## ICA are widely used to remove the artifact EEG signals such as eye-blinking, muscle noise, and heart signals in many biomedical researches.

interactive, safe and realistic environment at very low cost, and the outcomes of this study should be highly applicable to real-life driving safety research.

### 3. An Automatic Classification System for Useful Brain Sources Selection

For any application involving EEG signals, one of the essential and important steps is to remove artifacts such as eye-blinking, muscle noise, and heart signals. One of the commonly used techniques for cleaning of such noises is ICA which is briefly described in the above section. However, some neuroscientists and psychologists usually select the useful independent components, called useful brain sources, manually by looking at the scalp-plot. Consequently such approaches are not useful for real-time applications such as drowsiness detection in drivers, games based on brain computer interface (BCI). Here in this section, we propose a family of algorithms based on neural networks and support vector machines for automatic identification of useful independent components. The utility of the proposed approaches is demonstrated using 10-fold cross validation. We have also used fusion methods to improve the detection accuracy further. Based on the experimental results, we found that when we use a majority voting principle, it was not necessarily true that higher vote corresponded to better decisions. This counterintuitive behavior might be because of the subjective variability in the EEG data. This study also suggests that it is possible to develop a “universal” machine for artifact removal in EEG.

Among the different methods of artifacts removal, ICA, [24] is a frequently used method. ICA methods generally assume that the signals recorded on the scalp are mixtures of time courses of temporally independent cerebral and non-cerebral sources. The potentials generated by different parts of the brain, scalp, and body are linearly summed at the recording electrodes. ICA also assumes that the propagation delays are not significant. Many investigations have demonstrated that ICA could separate the artifacts and raw EEG signals [24–31]. Jung et al. in [31] proposed a method for isolating and removing different types of EEG artifacts by linear decomposition using an extension of the Bell & Sejnowski’s information-maximization ICA algorithm [2–3]. The extended algorithm [3] can separate sources with either super-Gaussian or sub-Gaussian amplitude distributions. This enables one to remove line noises efficiently. The algorithm does not require reference channels for the artifact sources. The method first finds the independent time courses of different cerebral and artifact sources and then the cleaned EEG signals are obtained by eliminating the contributions of the artifact sources.

Authors in [27–30] have used ICA to remove the effect of eye-blinking. Electrooculography (EOG) signals can be used to record the artifacts caused by eye-blinking in EEG signal. Then

a linear combination of EOG signal recorded at different sampling time can be subtracted to find the artifact free EEG. Also, the EOG signal can be compared with the independent components to identify independent components relating to EOG related artifacts [30].

Researchers have investigated the problem of removing the effect of eye-blinking removal for a long time. Among various approaches such as Average Artifact Subtraction (AAS) [32] and Principle Component Analysis (PCA) [31], ICA is found to do a better job of removing artifacts. The AAS method finds similar artifact peaks and averages these artifacts to generate a subtraction model. This is then subtracted from the noisy EEG to get the clean EEG signals [33–34]. But this method does not rule out the possibility of including some useful actual EEG signal in the subtraction model, and hence it may induce distortions on scalp maps. The PCA method translates the original data into some important components, which could preserve most variability of the original signal in a lower dimension. PCA based methods result in components where a single component may contain too much information (signal). The artifact components extracted from ICA are generally found to exhibit stronger correlation with the actual artifacts than that by the PCA artifact components [31]. Furthermore, because PCA usually mixes the EEG and artifacts, if a component is removed, the cleaned EEG signal may contain less EEG signal for the analysis. Moreover, McKeown et al. [35] made an interesting comparison between PCA and ICA which had shown that ICA performed better. All these have motivated us to consider ICA based artifact removal from EEG signals. For these reasons, we chose the ICA method to remove the artifacts.

This study attempts to demonstrate that it is feasible to develop such a “universal” machine. Here, we use four machine learning tools, the usual multilayer perceptron (MLP) architecture with hyperbolic tangent signal function (MLPTAN) and MLP architecture with radial basis function signal function (MLPRBF), the radial basis function neural network (RBFNN), and the support vector machine with radial basis kernel function (SVMRBF). Some experimental results have been reported in references [36–37]. To demonstrate the performance of proposed automatic component selection system, we use a 10-fold cross validation protocol to check the consistency of training data which are collected by 25 volunteer subjects, and apply to the testing data from other 10 volunteer subjects to evaluate the classification accuracy.

#### 3.1 Experimental Data Collection and Feature Extraction

For this study, we have generated the EEG data using a simulated realistic-driving environment [16–23] established in the Brain Research Center of the National Chiao Tung University. The EEG data are collected when each subject performs an hour long-term driving task, which is subjected to the experimental driving-related cognitive tasks. Each subject is instructed to respond to the experimental tasks and maintain a good driving performance on the road. As shown in this study, these

driving-related cognitive tasks are like drowsiness, distraction and motion sickness driving. In this study, although we used 32-ch international 10–20 system for EEG signal recording, we will get 28 channels EEG signals except four channels such as the reference, ground, *FP1* and *FP2* channels. The electrode locations of *FP1* and *FP2* are closed to eye movement which will easily affect clean EEG signals. Hence, we ignore the recording signals from these areas. In the experiments, we have used 35 volunteer subjects. Of these 35, data on 25 subjects were collected earlier and develop a global automatic scheme for useful independent components selection from these 25 subjects, called training data. For the evaluation performance of this automatic scheme, we used other EEG datasets acquired from another 10 subjects as the testing data.

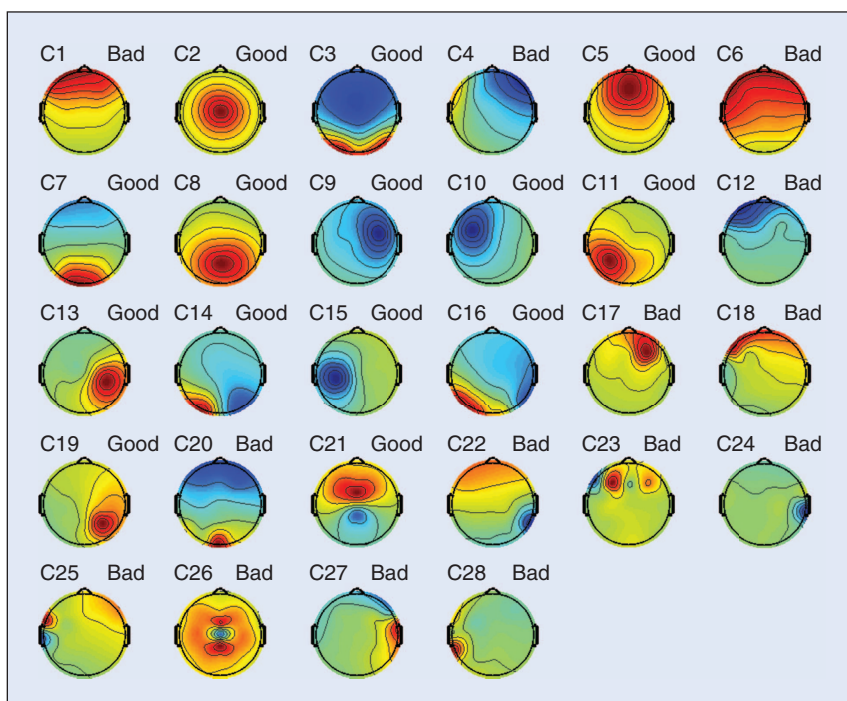
For each subject, we have 28 independent components extracted by 28 EEG channels and hence 28 scale-maps ( $SM$ ),  $SM_1, SM_2 \dots$  and  $SM_{28}$ . We use some well experienced neuroscientists who can interpret/analyze EEG to label each scalp map as signal (useful component with label 1) or as artifact (not useful with label 0). Figure 2 displays the 28 scalp maps extracted by a single subject. In Fig. 2, we have labeled the useful components as good and the remaining ones as bad. For example, the 1st, 6th and 18th components are labeled as bad as these possibly correspond to eye blinking. We emphasize that there may be some scalp-maps on which the well experienced neuroscientists may not agree about classifying the maps as artifacts or not. In this study, we use totally 980 weighting vectors from different 35 subjects including training and testing data, each vector representing a corresponding independent component.

### 3.2 The Automatic Classification System

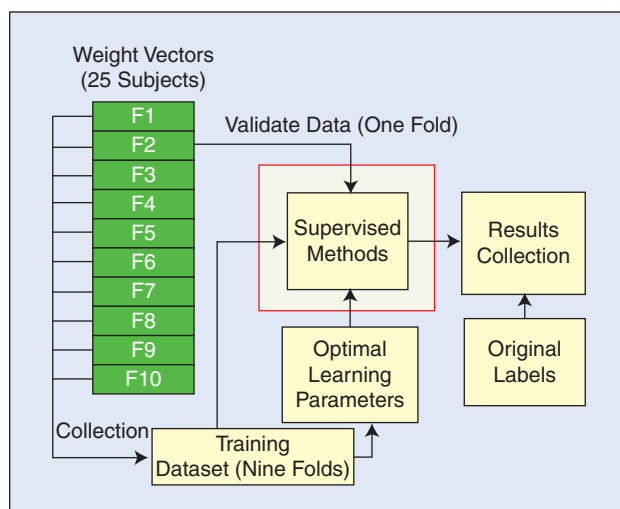
As mentioned in above, we developed an automatic component classification system in this study. To demonstrate the performance of the proposed system, we use a 10-fold cross validation protocol to check the consistency of training data and apply to the testing data to evaluate the classification accuracy. Figure 3 is showing the 10-fold cross-validation of training algorithm structure. In this study, the training data collected from 25 subjects which can totally generate 700 training components. These ICA components are partitioned randomly into 10 folds with equal size. We leave one of the 10 folds out for validation performance and the remaining 9 folds are used to

**It is feasible to apply computational intelligence to develop a “universal” machine for artifact removal in EEG signals.**

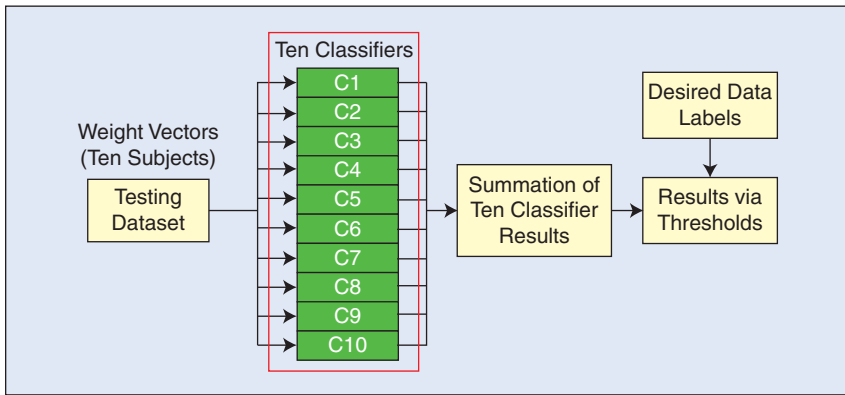
train an automatic scheme for useful component selection. In the kernel of supervised training method, we use the support vector machine with RBF kernel (SVMRBF) and three types of neural network (MLPTAN, MLPRBF and RBFNN) to develop the automatic component selection. The RBF and MLP networks are the two most widely used neural networks for



**FIGURE 2** A set of illustrative ICA scalp component map labeled by well experienced neuroscientists.



**FIGURE 3** Ten-fold cross-validation of training algorithm structure.



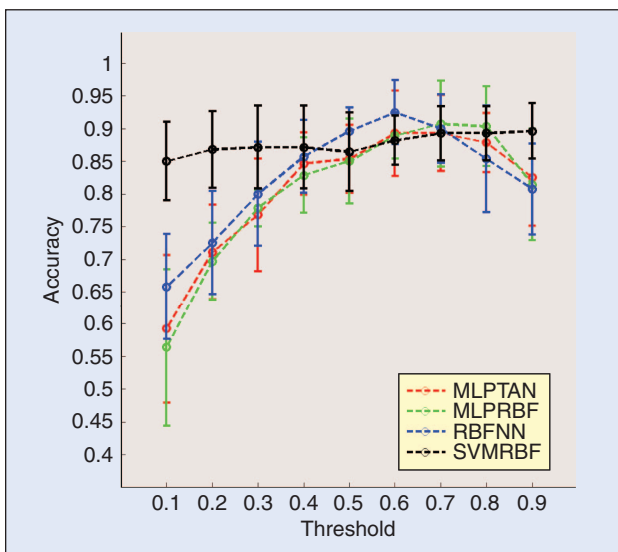
**FIGURE 4** Testing algorithm through ten-fold cross validation training classifiers.

**TABLE 1** Ten-fold cross validation accuracy of training datasets.

SUPERVISED METHODS	ACCURACY RATE $\pm$ STANDARD DEVIATION
MLPTAN	83.5% $\pm$ 0.0082
MLPRBF	83.8% $\pm$ 0.0133
RBFNN	90.6% $\pm$ 0.0085
SVMRBF	92.6% $\pm$ 0.0037

pattern recognition application [38]. We have used the back-propagation algorithm for training MLP networks with single hidden layer. The MLPTAN and MLPRBF both are multilayer perceptron networks. Both of them use the log-sigmoid transfer function at the output layer. The only difference between the two networks is in the signal function of the hidden layer. The MLPTAN uses hyperbolic tangent signal function while the MLPRBF uses the radial basis function.

Figure 4 shows the testing algorithm through ten-fold cross validation training classifiers. To evaluate the training algorithm performance, another 10 subjects EEG datasets were applied ICA to extract the testing components. Each subject



**FIGURE 5** Average accuracy of testing datasets from 10 subjects via different threshold score.

also has 28 components in each session. Then we fill the 28 components from each subject to the 10 classifiers which is constructed by 10-fold cross validation of the training algorithm. In this way, we get 10 different classifiers  $C_k, k = 1, \dots, 10$ . Each of these classifiers is designed based on the training data from the 25 subjects. Now each of these 10 classifiers is tested on the testing data obtained from the 10 new subjects. Each classifier generates a value 0 or 1. However, we use the majority vote from the results of these ten classifiers to make the decision but it may be not necessari-

ly correct. It might be better to use a threshold to help making the decision. A decision with a higher threshold usually will indicate more confidence on the decision.

### 3.3 Experimental Results and Discussion

In cross validation results of training data, Table 1 reports the average accuracy and standard deviation through four different machine learning models. We can clearly find that the SVMRBF performs the best accuracy about 92.6%. The second better performing model is RBFNN whose accuracy can reach about 90.6%. The other two neural networks, MLPTAN and MLPRBF perform similar accuracy. In addition, we can see that the standard deviations of these models are very low and consistent (not reach 1%). It means that the training models had been trained as a good structure with the optimal parameters. Therefore, the training experimental results are shown that it might be possible to have automatic systems for useful independent component selection. It is a strong indicator of the fact that with adequate training data it may be possible to design a “universal machine” for selection of good/useful independent components. Such systems would be extremely useful for real-time applications.

As for real-life applications, we individually test the accuracy performance of each subject. Figure 5 plots the average accuracy of testing datasets from 10 subjects via different threshold score. Different color dotted lines mean the average accuracy of testing datasets using different training structures with thresholds from 0.1 to 0.9. Short vertical lines on each threshold point mean the standard deviation of average accuracy from 10 subjects. According to the Figure 5 results, we have two major findings. One is that the classification accuracy of four different models are increasing while the threshold is also increasing from 0.1 to 0.6 and then decreasing. Consequently, RBFNN model performs the best testing accuracy (92.5%) under threshold 0.6 and the local optimum threshold values 0.6 and 0.7 will lead to the better classification performance. Moreover, we collect the classification accuracy of each subject under threshold 0.6 in Table 2. The numerator value in brackets means the number of correct classified components and the denominator value means the totally 28 extracted components. The other finding is that SVMRBF

(the black line) performs the more stable classification performance. All classification accuracies of 10 different thresholds are over 85%. Therefore, if we can not find the global optimum value of the threshold, we can use SVMRBF to be a general model of useful components selection. It can guarantee the classification performance is 85% at least. In other words, 85% accuracy means that there are four misclassified components in 28 components.

In summary of training and testing results, we suggest that RBFNN model will be the better model for the automatic scheme of useful component selection. It can also perform the better predictive performance for real-life application. Regarding the optimal threshold of RBFNN model, we suggest setting value at 0.6 is enough to have better performance. Since the number of good components is much smaller than the bad components, the training process may give more importance to the noise components. We plan to explore the utility of data replication as well as generation of additional data through rotation or negation in the future investigation. In addition, we had applied the automatic classification system to the below case studies of driving cognition to automatically select the useful brain sources/independent components.

#### 4. Case Studies: Driver's Drowsiness Estimation in Long-term Driving

It has been widely accepted that the variation of human alertness, revealed on the changes of behavioral performance, was involved in alterations of the oscillatory brain activities in some specific brain regions (e.g. central, parietal and occipital areas etc.) and such fatigue related changes on brain rhythms can be assessed in terms of the electroencephalogram with Fourier methods and time-frequency analysis. Though abundant results in the literatures have shown that EEG traces could reflect changes of the alertness, EEG correlates of fluctuations in alertness from different experiments are still quite diverse. Specifically, the intensity of the alpha [39–40] or theta [41–43] band power was found respectively increased with the degradation of behavioral performance in different experiments. However, some studies reported that both theta and alpha band power were increased with the deleterious of the subjects' performance [44–46]. Our previous studies also observed that the EEG frequency components performing the highest correlations with the long-term driving per-

**RBFNN performs the best accuracy for the automatic scheme of useful component selection. SVM with RBF kernel can be as a general model due to its stable accuracy.**

formance were subject-dependent [19–21]. These discrepancies may due to the observed subjects' drowsiness levels were not identical. For example, in a series of study investigating the correlations of human performance and alertness, subjects with increased theta activities performed longer response time [42–43] than the periods with augmented alpha activities [39]. Therefore, the aim of this study was to continuously assess the changes of the EEG rhythms from alertness to drowsiness for elucidating the exactly nature of the fatigue related EEG dynamics.

#### 4.1 Subjects Performing in the Lane Keeping Driving Task

Ten right-handed healthy (nine males, 18–28 years old) volunteers with normal or corrected to normal vision were paid to participate the lane keeping experiment. All subjects were free from neurological or psychological diseases and without drug or alcohol abuse. No subjects reported with sleep deprivation at one day before the experiment. Subjects were required to have lunch at one hour before the experiment since it has been know that the drowsiness easily occurred during early morning, mid-afternoon, late nights and especially after meal times [47]. Furthermore, it is also reported that the alertness is easily diminished within one-hour monotonous working during the above periods [48–49]. An informed consent was obtained from every subject before the experiment and the experiment protocol was approved by the Institutional Review Broad of Taipei Veterans General Hospital.

Each subject performed the experiment in the simulated realistic-driving environment. The four-lanes road of the VR scene were separated by a median strip and the distance between the left and right sides of the road was equally divided into 250 points (digitized into values 0–250), where the width of each lane and the car was 60 and 32 units, respectively. The refresh rate of highway scene was set at 60 Hz which can properly emulate a car driving at a fixed speed of 100 km/hr on the highway. All scenes were updated according to the displacement of the car and the subject's wheel handling. The car was randomly drifted away from the center of the cruising lane,

**TABLE 2** Testing classification accuracy of each subject under threshold 0.6.

SUBJECTS	S1	S2	S3	S4	S5	S6	S7	S8	S9	S10	AVERAGE
<b>MLPTAN</b>	85.7% (24/28)	89.3% (25/28)	85.7% (24/28)	96.4% (27/28)	78.6% (22/28)	100% (28/28)	89.3% (25/28)	92.9% (26/28)	92.9% (26/28)	82.1% (23/28)	<b>89.3%</b> (250/280)
<b>MLPRBF</b>	82.1% (23/28)	89.3% (25/28)	85.7% (24/28)	92.9% (26/28)	85.7% (24/28)	89.3% (25/28)	89.3% (25/28)	89.3% (25/28)	92.9% (26/28)	92.9% (25/28)	<b>88.9%</b> (249/280)
<b>RBFNN</b>	92.9% (26/28)	89.3% (25/28)	89.3% (25/28)	96.4% (27/28)	82.1% (23/28)	96.4% (27/28)	92.9% (26/28)	100% (28/28)	92.9% (26/28)	92.9% (26/28)	<b>92.5%</b> (259/280)
<b>SVMRBF</b>	82.1% (23/28)	92.9% (26/28)	89.3% (25/28)	89.3% (25/28)	85.7% (24/28)	92.9% (26/28)	82.1% (23/28)	89.3% (25/28)	89.3% (25/28)	89.3% (25/28)	<b>88.2%</b> (247/280)



## Adaptive feature selection mechanism (AFSM) can automatically select effective EEG features and ICAFNN can accurately estimate driver's individual alertness level.

which was controlled and triggered from the WTK program, to mimic the consequences of a non-ideal road surface. The inter-deviation intervals were varied from 5 to 10 sec and the car was deviated to either left or right side with the equal chance. This task required subjects to compensate the drifting by manipulating the steering to keep the car on the center of third cruising lane (counted from left to right).

### 4.2 Brain Dynamics Changes from Alertness to Drowsiness

All subjects showed several periods of the fluctuated driving performances from small to large local driving errors (LDE), sometime even abandoning control of the steering during the 100-min driving task. Figure 6(A) showed that several fluctuations occurred in the typical LDE trajectory during the single session of subject 10. The sorted LDE curve of the single subject (Fig. 6(B)) showed that LDE values were distributed from

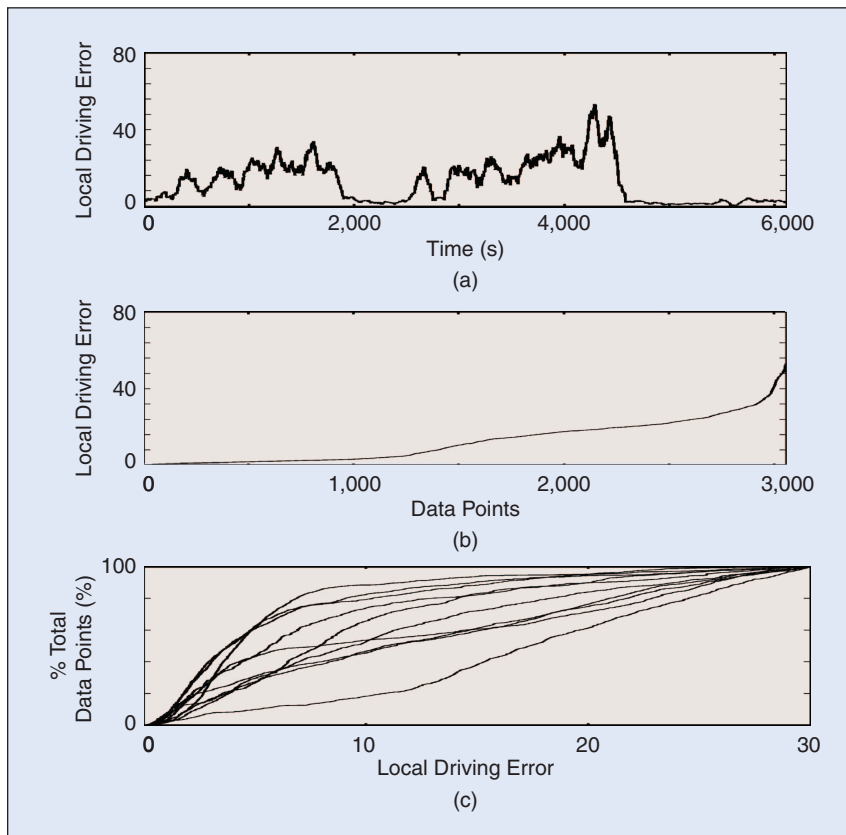
0 to 70 and the majority of the LDE values were ranged between 0 and 30. Since only limited trials were with LDE values over 30 across 10 subjects, therefore, only trials with LDE values below 30 were selected for further analyzing. The responses to the drifting event were ranged from 500 to 6000 ms, which corresponded to the values of the

LDE between 0 and 30. Thus, the LDE values lower than 3 indirectly indicated the subject was alert.

Ten subject's cumulative percentage plots of the LDE with values from 0 to 30 showed in Fig. 6(C). Though the percentage of the different drowsiness levels were varied across subjects, all subjects exhibited cognitive status from alertness to drowsiness. Figure 7 shows the grand means of the LDE sorted power spectra and the detail changes at the alpha- and theta-band spectra at the occipital (Fig. 7(A)), parietal (Fig. 7(B)) and frontal (Fig. 7(C)) IC clusters. In the occipital IC cluster, the dominant frequency band is shifted from alpha to theta band along with increases of the LDE indexes. For characterizing the temporal changes of the LDE-related power spectra at the alpha- and theta-band in details, the temporal profile of the power changes at alpha- and theta-band are plotted against the LDE indexes shown at Fig. 7(D), 7(G). The changes of the alpha-band power show a non-monotonic profile along

with the decreases of the alertness. Specifically, the alpha-band power is linearly increased for the LDE index lower than 20 and then the power is slightly decreased for the LDE indexes between 20 and 30. The theta-band power shows a linearly increased from low LDEs to high LDEs. Similar changes on the LDE-sorted spectra are also observed at the parietal IC cluster but the variations of the EEG activities is weaker than that observed at the occipital IC cluster (as shown in Fig. 7(B)).

The peak intensities of the alpha- and theta-band power were significantly ( $P < 0.05$ ) lower than those observed at the occipital IC cluster (alpha: 1.2 vs 2.1; theta: 0.8 vs 1.5). Additionally, the rate of the spectral power increases at the alpha- and theta- band power are lower than those at the occipital cluster (alpha: 8 vs 5; theta: 13 vs 10). As for the frontal cluster, a significant power increase is showed around the 5 Hz at higher LDE values in the LDE-related spectra. Similar to the occipital and parietal clusters, the theta-band power is linearly increased with the increases of the LDEs. The alpha-band power is only increasing slightly along with the decreases of alertness at the frontal cluster. According to these results,



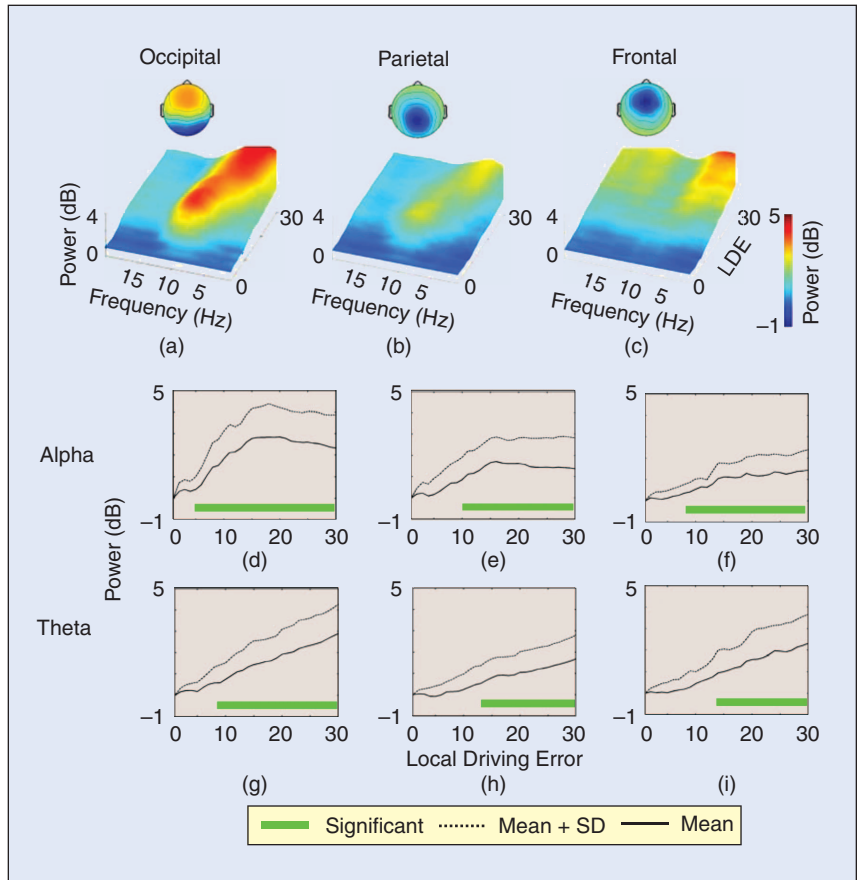
**FIGURE 6** (a) The time course of the local driving error during a sample one-hour session (S10). (b) Sorted local driving error values. (c) The cumulative percentage plots of LDE from 10 subjects showing all subjects exhibited different performance periods from alertness to drowsiness. Each color trace represented the cumulative percentage plot from a single subject.

we used the alpha- and theta-band power as the EEG features from the occipital and parietal components and then applied to an adaptive feature selection and ICA-Mixture-Model-based Fuzzy Neural Networks (ICAFNN) [50] to estimate the driver's drowsiness level.

### 4.3 EEG-Based ICAFNN for Driver's Drowsiness Estimation

In order to automatically select the drowsiness related features, an adaptive feature selection mechanism based on the correlation coefficients between log bandpower of the drowsiness related components and subject's alertness level index (*SALI*) was proposed in this study. We use the correlation spectra to illustrate the proposed adaptive feature selection mechanism (AFSM) [21]. After feature selection process, ICAFNN which was performed as the alertness level estimator in the study is a novel fuzzy neural network (FNN) and it can construct itself with an economic network size, and the learning speed as well as the modeling ability [50].

Experiment results shown in Table 3 are the selected features from 5 different subjects. The features selected by the method in [19] are also included in Table 3 for comparison. As can be seen, two methods might select different components. In general, the drowsiness-related regions are mainly in the parietal and occipital lobes. Optimal frequency bands were selected according to the



**FIGURE 7** The grand mean of the local driving error sorted EEG spectra of the occipital IC (a), (d), and (g); parietal IC (b), (e), and (h); and frontal IC (c), (f), and (i). Upper: the grand mean of the error sorted power spectra normalized by subtracting the mean spectrum of the alert zero-error performance in each subject. Middle: The grand of the error sorted alpha-band power spectra of the three ICs across 10 subjects. The top insets showed the average scalp maps of the three ICs. Lower: The grand of the error sorted alpha-band and theta-band power spectra. Solid lines: The grand mean of the sorted alpha and theta-band power spectra. Dash lines: The grand mean plus the standard error of the sorted alpha and theta-band power spectra. Black horizontal thick line segments: alpha- and theta bands exhibited significant ( $p < 0.01$ ) drowsy spectral power increases. Numbers indicated the corresponded local driving error values, which were the onsets of the drowsy spectral power significantly increased at the alpha and theta bands for the three ICs.

**TABLE 3** Compared features with the method in [19] and the AFSM corresponding to different subjects.

	SUBJECT1	SUBJECT2	SUBJECT3	SUBJECT4	SUBJECT5
SCALP PLOT OF ICA COM. BY REF [19]					
FREQ. BANDS	5 ~ 9 HZ	8 ~ 12 HZ	10 ~ 14 HZ	4 ~ 8 HZ	8 ~ 12 HZ
SCALP PLOT OF ICA COM. BY AFSM					
FREQ. BANDS	4 ~ 8 HZ	5 ~ 9 HZ	9 ~ 13 HZ	7 ~ 11 HZ	10 ~ 14 HZ

## Reasons of driver's distraction during driving were quite widespread, including eating, drinking, talking with passengers, using cell phones, reading, feeling fatigue, problem-solving, and using in-car equipment.

correlation coefficients between ICA power spectra and drowsiness index and iteratively testing by the linear regression model (LRM) [19].

In order to compare the performance of these two feature selection methods, the features are used as inputs of the linear regression models for driver's alertness level estimation, as shown in Table 4. The mean correlation between actual alertness level time series and within-session estimation by using the features selected by AFSM is 90%, whereas the mean correlation coefficient between actual alertness level and cross-session estimation is 86.6%. The average performance of the AFSM is closed to the performance using the optimal features. It can also be found that some testing results are better than the performance of the training sessions due to the repeatedly testing procedure. In addition, we fed the features selected by AFSM into the ICAFNN for subject's alertness level estimation. The ICA weight matrices obtained from the training sessions were used to spatially filter the features in the testing sessions so that training/testing data were processed in the same way before feeding to the estimation models for the same subject. Table 4 (bottom row) summarizes the performance of alertness level estimation obtained by the ICAFNN model across ten sessions of five subjects. The mean correlation between actual and estimated alertness level time series is  $98.2 \pm 1.0\%$ , whereas the mean correlation coefficient in cross-session testing is  $91.3 \pm 2.7\%$ .

### 5. Case Studies: Driver's Distraction Effect Extraction in Dual-task Driving

Distraction and inattention of drivers have been identified as the main leading causes of car accidents and often affects driver's attention ability. The U.S. National Highway Traffic Safety Administration has identified driver distraction as a high priority area about 20–30% [51]. Distraction during driving by whatever cause was a significant contributor to road traffic accidents [52–53]. Driving is a complex task in which several skills and abilities are involved simultaneously.

Reasons of distractions found during driving were quite widespread, including eating, drinking, talking with passengers, using cell phones, reading, feeling fatigue, problem-solving, and using in-car equipment. Recently, commercial vehicle operators with complex in-car technologies are also

at increased risk since drivers may become increasingly distracted in the years to come [54]. Some literatures studied the behavioral effect of driver's distraction in car. It was shown by [55] based on measurement of the static completion time of an in-vehicle task. Similarly, the distraction effects caused by cellular phones during driving have been a focal point of recent in-car applications [56–57]. Experimental studies have been conducted to assess the impact of specific types of driver distraction on driving performance. Though these studies generally reported significant driving impairment [58], but simulator studies cannot provide information about the impact of these decrements of crashes resulting in hospital attendance of drivers. Therefore, in order to provide information before the occurrence of crashes, the drivers' physiological responses were investigated. But to monitor drivers' attention-related brain resources is still a challenge for researchers and practitioners in the field of cognitive brain research and human-machine interaction.

The main goal of this section was to investigate the brain dynamics related to distraction by using EEG and VR-based realistic driving environment. Unlike the previous studies, the designed experiment has three main characteristics. First, the SOA experimental design with the different appearance time of dual tasks (mathematical questions and unexpected car deviation) has the benefits to investigate the driver's behavioral and physiological response under multiple conditions and multiple distracted levels. Second, the ICA-based advanced analysis methods were used to extract the artifact-free brain responses and related cortical location related to the single/dual task. Third, compared with single task, the interaction and effects of dual-task-related brain activities were also investigated.

#### 5.1 Subjects Performing in the Dual-Task Driving Task

Fifteen healthy participants (15 males), between 20 and 28 years of age, were recruited from the university population. They had normal or corrected-to-normal vision, were right

**TABLE 4** Comparisons of different alertness level estimation approaches including linear regression models (LRM) using the features selected by the method in [19], by AFSM, and the ICAFNN model using the features selected by AFSM for five different subjects.

SUBJECT		1	2	3	4	5	AVERAGE %
MANUAL + LRM IN [2–20]	TRAINING	77%	91%	93%	80%	90%	86.2 ± 7.2
	TESTING	91%	89%	92%	89%	80%	88.2 ± 4.8
AFSM + LRM	TRAINING	92%	91%	93%	84%	90%	90.0 ± 3.6
	TESTING	91%	88%	92%	82%	80%	86.6 ± 5.4
AFSM + ICAFNN	TRAINING	97%	98.9%	97%	99%	99%	98.2 ± 1.0
	TESTING	93%	94%	91%	92%	87%	91.3 ± 2.7

handed, with driver's license, and reported being free of psychiatric or neurological disorders. Written informed consent was obtained prior to the study. Each subject participated in four simulated work sessions. In each session, the subject sat in front of the monitor with their hands on the steering wheel to control the car to stay in the center of the third lane (from the most left lane).

This section will investigate the distraction effects in dual-task conditions, two tasks including the unexpected car deviations and the mathematical questions were designed. In the driving task (car deviations), the car was constantly and randomly drifted from the center of the third lane. In this condition, the subjects needed to turn the steering wheel to let the car go back to the third lane. It was for the sake of mimicking the consequences of driving on a non-ideal road surface. In the math task (mathematical questions), two-digit addition equations were presented in front of the subjects. The answers of the equations were designed to be either right or wrong. The subjects were asked to press the right or left button on the steering wheel when the equation was correct or wrong respectively. Moreover, the allotment ratios of correct or wrong equations were both 50% and 50%. Especially, to investigate the effect of SOA between single- and dual- task conditions, the combinations of these two tasks were designed to provide different distracting conditions to the subjects as shown in Fig. 8. Five cases were developed to study the interaction of the two tasks. The bottom insets showed the onset sequences of two tasks.

### 5.2 Independent Component Clustering

EEG epochs were extracted from the recorded EEG signals. Then, the ICA was utilized to decompose the independent brain sources from EEG signals. Based on distraction effects, plenty of brain sources were involved in this experiment. For example, the motor component would be active when the subjects were trying to control the car with the steering wheel. In the meanwhile, activations in the frontal component would appear and be related to attention. Therefore, ICA components including frontal and motor were selected for IC clustering based on their EEG characteristics.

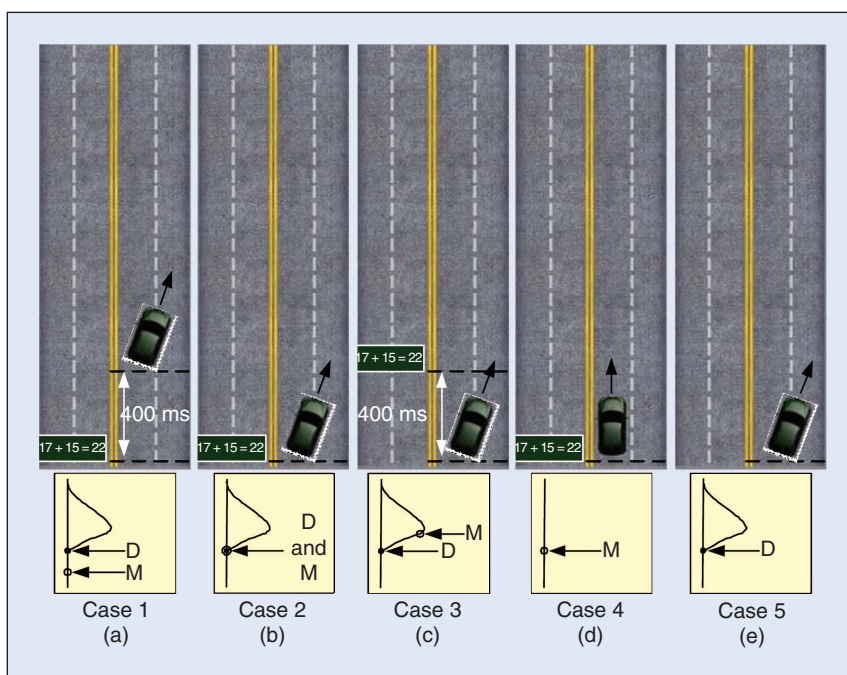
At the first, IC clustering grouped massive components from multiple sessions and subjects into several significant clusters. The cluster analysis,

**For cross-subjects analysis, ICA component clustering will be used to find the statistical and consistent phenomena based on EEG characteristics.**

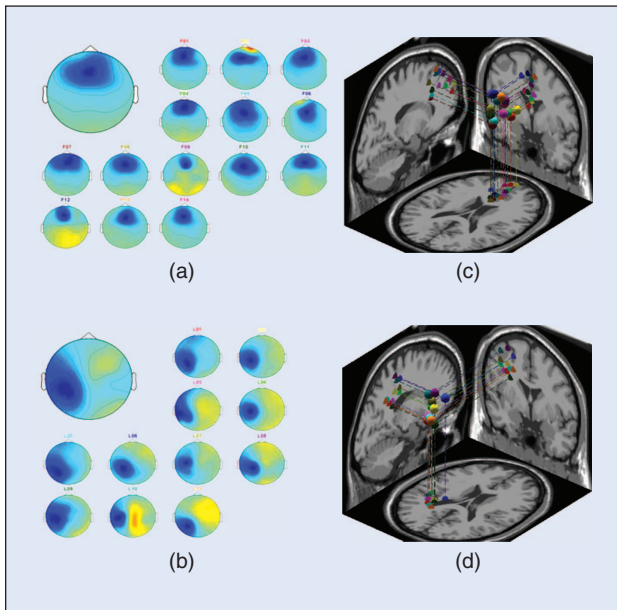
k-means, was applied to the normalized scalp topographies and power spectrum of all 450 (30 channels  $\times$  15 subjects) components from the 15 subjects. Then, it identified at least 7 clusters of components having similar power spectrum and scalp projections. These 7 distinct component clusters accounted for frontal, central midline, parietal, left/right motor and left/right occipital, respectively. In this paper, frontal and left motor components were applied to analyze the distraction effects. Figure 9 showed the scalp maps and equivalent dipole source locations for two IC clusters, frontal and left motor. Based on this figures, the EEG sources of different subjects in the same cluster were from the same physiological component.

### 5.3 Brain Dynamics Changes in Distraction Driving

The cross-subject averaged ERSP in the frontal cluster corresponding to the five cases were shown in Fig. 10(a). Significant ( $p < 0.01$ ) power increases related to the math-task were observed in this figure. It demonstrated that the power increases in the frontal cluster were related to math task. The theta power increases in the three dual cases including case 1–3 were slightly different to each other. Comparing to single



**FIGURE 8** The illustration showed the relationship between the deviation onset and math occurred. D: deviation onset. M: math question onset. (a) Case 1: math was presented at 400 ms before the deviation onset. (b) Case 2: math and deviation occurred at the same time. (c) Case 3: math presented at 400 ms after the deviation onset. (d) Case 4: only math presented. (e) Case 5: only deviation occurred. The bottom insets showed the onset sequences of two tasks.



**FIGURE 9** The scalp maps and equivalent dipole source locations after IC clustering for (a) the frontal components, (b) the left motor components, across 15 subjects. There were 14 subjects in the frontal cluster and 11 subjects in the left motor cluster. The bigger scalp map was the mean of the total component maps in the corresponding component cluster. The smaller maps were the individual scalp maps in the corresponding component cluster. The right panels (c) and (d) showed the 3-D dipole source locations (colored spheres) and their projections onto average brain images. The colored source locations were corresponding to its scalp map.

math task (case 4), the power in dual-task cases were stronger. Especially, the power increase in case1 was the strongest. In addition, the beta power increases which appeared only in the math-task and time-locked to mathematics onsets were induced by mathematical equations. The comparisons of the latency and total power in the four cases in Fig. 10(b) were given in Fig. 10(c). It demonstrated that the latency of power increases in both frequency bands were different with different time of SOA. The shortest latencies of power increases in both bands occurred in case 1. The longest latency of power increase in theta band occurred in case 4. It also demonstrated that the amount of power increases in theta band were different with different time of SOA. The most significant power increase occurred in case 1.

## 6. Discussions

Noise removal in EEG signal is an essential and important step in any application of EEG. If independent component analysis is used for this purpose, then selection of useful independent components is the most crucial step. Typically this selection is done by human experts who decide looking at the scalp maps. This manual intervention not only makes it dependent on the availability and subjectivity of experts, but also it becomes a stumbling block in BCI and in any other real-time applications involving EEG. In this investigation, we have demonstrated that machine learning approaches can solve this problem to a great extent. In particular, we

have used four machine learning models to construct the automatic scheme for useful components selection. Although all of them are quite effective, RBFNN model will be the better model for the automatic scheme of useful component selection. It can also perform the better predictive performance for real-life application. Our investigation also indicates that the performance of a trained system on different subjects could be different, but this effect is not very severe and can be eliminated using fusion. Normally in a fusion scheme, decisions are made based on majority voting. In our investigation, using a simple threshold score function we have found that higher vote does not necessarily mean better performance of a classifier system. Our investigation indicates that it is possible to design a “universal system” for such job, given adequate training data. So far, there is no precise standard method to “automatically” select/reject the useful/artifact components and neuroscientists and psychologists always manually remove the noise components. When they are using EEGLAB open source toolbox, they are often confusing how to select the useful components and manually repeat the selection many times. Besides, EEGLAB has been “downloaded” at least 48,000 times and “applied” for many EEG-related studies. Therefore, such automatic selection system will be very useful for neuroscientists and psychologists to do the scientific research related to ICA application.

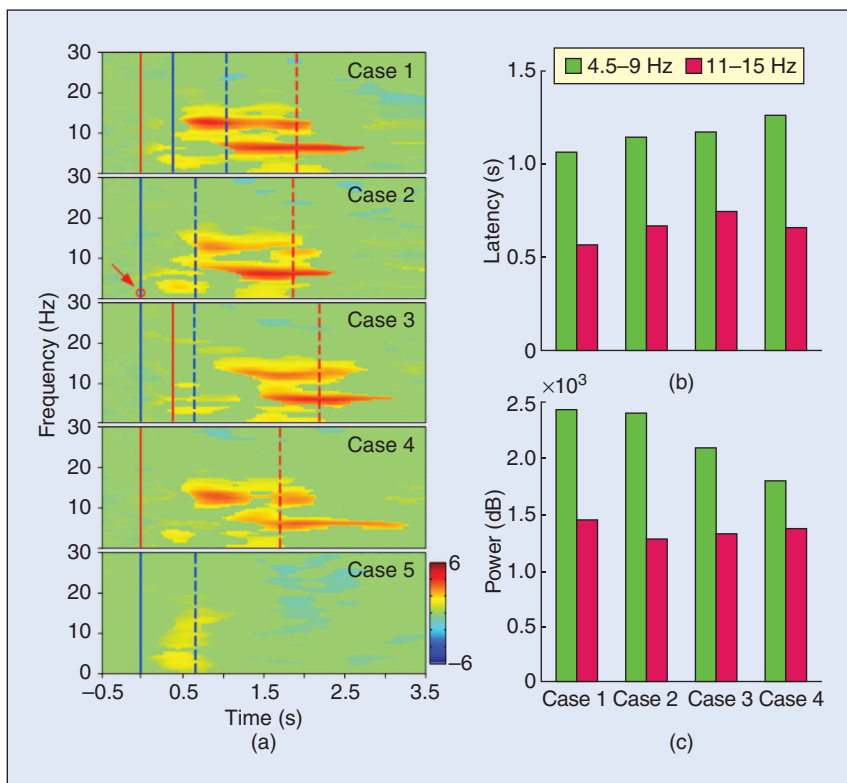
With the automatic brain sources classification system, we then investigated the neuro-physiological correlates of cognitive state changes using EEG and their relation to declining of performance during lane keeping driving task. In Fig. 7, the EEG  $\alpha$  and  $\theta$  rhythm during periods of high error were significant stronger than during periods of low error. In detailed, the onset of the significant alpha-band power increases at three clusters was a little earlier than the theta-band power. These results would be suggested that previous controversial results may be partially caused by the different drowsiness levels between volunteers. Applying an adaptive EEG-based drowsiness estimation technology that combines the ICA, power spectrum analysis, adaptive feature selection mechanism (AFSM) and ICAFNN is also proposed to continuously, indirectly estimate/predict fluctuations in human alertness level indexed by alertness level measurement, expressed as deviation between the center of the vehicle and the center of the cruising lane in a virtual-reality based driving environment. The AFSM can automatically select effective features based on the correlation analysis between the power spectra of drowsiness related components and the driving errors. The proposed ICAFNN can accurately estimate driver’s individual alertness level using ten sub-band power spectra of two ICA components selected by AFSM. The computational methods developed in this study can lead to on-line monitoring of human operators’ cognitive state in attention-critical settings.

Besides, we also investigated the effects of driver’s distraction in which different levels depends on the SOA experimental

design. Cross-subject analysis was applied to prove that the appeared features were not restricted to specific subject or experiment. First, two main cortical areas including frontal area (which involved processing of mental tasks), somatosensory area (mu suppression phenomenon) were discussed. These cortical areas which had the difference between single- and dual-task cases would be selected to infer the relationship to the distraction effects. Then, the brain dynamic with behavior performance would be discussed to compare different distraction levels. The experimental results showed that behavioral and physiological (EEG) responses under multiple cases and multiple distracted levels. The theta power increases in frontal area were higher in dual tasks than in single task. The phasic changes around the theta band for the case, which the math presented at 400 ms before the deviation onset, showed the strongest increase among all dual-task cases. This was because there was a first processing task in brain and subjects needed more brain source to manage the second task presented after the first task at 400 ms. Also, the latencies of the theta power increases were shifted along with the onset of math presented. The latency for the case, which the math presented at 400 ms before deviation, appeared was the shortest. In the beta band, the power increases were induced by the onsets of the math. In conclusion, the results also suggested that the power increases of the frequency band, 4~7.8 Hz, in frontal area could be used as the index for early detecting driver's distraction in the real driving.

### 7. Conclusion

This study had demonstrated that it is possible to developing a “universal” machine for artifact removal in EEG signals. Thus we applied such computational intelligence technology to investigate the brain computer interaction on driving cognition. Its implications for daily applications are also demonstrated through two common attention-related driving studies: (1) cognitive-state monitoring of the driver performing the realistic long-term driving tasks; and (2) to extract the brain dynamic changes of driver's distraction effect during dual-task driving. The experimental results showed above of these studies will provide new insights into the understanding of complex brain functions of participants actively performing ordinary tasks in real-life applications, especially on driving cognition. They may



**FIGURE 10** (a) The ERSAP images of frontal cluster for five cases. The right column showed the onset sequences of two tasks. Color bars showed the magnitude of ERSAPs. Red solid lines showed the onset of math. Red dashed lines showed the mean of response time to math. Blue solid lines showed the onset of deviation. Blue dashed lines showed the mean of response time to deviation. The red circle pointed by red arrow in case 2 means that the red solid line and blue solid line were on the same position. Latencies were calculated from cross-subject averaged ERSAP images of the frontal component in (b). The opened bars represented the latencies which were counted from the math onset to the first time of power increase in theta (4.5~9 Hz) band. The gray bars represented these latencies in beta (11~15 Hz) band. The comparison of total power in cross-subject (14 subjects) averaged ERSAP images in clustered frontal cluster between cases was shown in (c). The amount of total power was calculated by adding all the power increases in the same period and frequency band. The opened bars represented the total power in the theta band. The gray bars represented the total power in the beta band.

also be applied in future studies to elucidate the limitations of normal human performance in repetitive task environments and may inspire more detailed study of changes in cognitive dynamics in brain-damaged, diseased or genetically abnormal individuals. Furthermore, the applications on driving cognition would have many potential diverse research fields in the operational environment such as computational intelligence, cognitive neuroscience, human factors and brain computer interfaces.

### Acknowledgment

The authors would like to greatly thank our international collaborators, Doctors T. P. Jung, J. R. Duann, and R. S. Hunag in University of California, San Diego, for their suggestions and consultation, and also thank S. A. Chen, T. Y. Huang, J. L. Jeng, H. S. Huang, and T. T. Chiu for their developing and operating the experiments. This work was in part supported by the Aiming for the Top University Plan of National Chiao Tung University, the Ministry of Education,

Taiwan, under Contract 98W962 and in part supported by the National Science Council, Taiwan, under Contracts NSC 97-2627-E-009-001.

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