

# First-order analysis of a three-lens afocal zoom system

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**Abstract.** A general analysis for the first-order design of a three-lens afocal zoom system with one lens fixed is presented. The reasonable solution areas in the focal length diagrams with positive or negative magnification are derived and shown graphically. The relation between the two separations of the three lenses in zooming is found to be a hyperbola. According to the different locations of hyperbola centers, four cases are analyzed. From the four hyperbolic graphs, we get five different types of zoom systems. For each zoom type, we find the maximum range of magnification and the position where the maximum or minimum system length occurs during zooming. The zoom loci for the first or second lens fixed are also discussed. © 1997 Society of Photo-Optical Instrumentation Engineers. [S0091-3286(97)02204-6]

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## 1 Introduction

A zoom system is generally considered to consist of three parts: the focusing, zooming and fixed parts. The focusing part is placed in front of the zooming part to adjust the object distance. The zooming part is literally used for zooming and the fixed rear part serves to control the focal length or magnification and reduce the aberrations of the whole system. Several of the published papers<sup>1</sup> concerning zoom have concentrated on the first-order zoom design. We also proposed a two-optical-component method for designing zoom system and a first-order analysis for the two-conjugate zoom system.<sup>2,3</sup>

An afocal zoom system is one in which the entrance and exit marginal rays are parallel to the optical axis. For a typical afocal zoom system, at least three lenses are needed with one lens fixed and the other lenses moving. The first lens is referred to as the focusing part and the others are the zooming part. Although different types of afocal zoom systems have been designed and widely used in many optical systems, such as telescopes, viewing finders, optical scanning systems, etc., few of the related publications<sup>4-10</sup> discuss their solution distribution. Chuang et al.<sup>11</sup> discussed the solution areas of a three-lens afocal zoom system according to the combinations of focal length values of the three lenses. They described the relation between magnification and either of the two separations of lenses in zooming.

In this paper, we use the graphoanalytical method<sup>12</sup> to solve the first-order layout of the three-lens afocal zoom system. The possible solution areas in the focal length diagram are shown graphically for positive and negative magnifications. We find the relation between the two separations of lenses in zooming, which can be described with a hyperbola. We obtain four hyperbolas corresponding to the different positions of hyperbola centers in the interlens separation coordinate system. From the four hyperbolic

graphs, we can get five types of zoom systems and find the maximum range of magnification for each zoom type. The zoom position where the system has the maximum or minimum length is described. We discuss the zoom loci with the first or central lens fixed.

## 2 Theory

### 2.1 Basic Formulas

The afocal zoom system, consisting of three lenses with one lens fixed and the others moving, has been analyzed with the two-optical-component method,<sup>2</sup> in which the first lens is considered as one component and lenses 2 and 3 are combined as the second component. For an infinite-conjugate system, as shown in Fig. 1, the second focal point of lens 1 coincides with the first focal point of the combined unit. The related equations are then given by

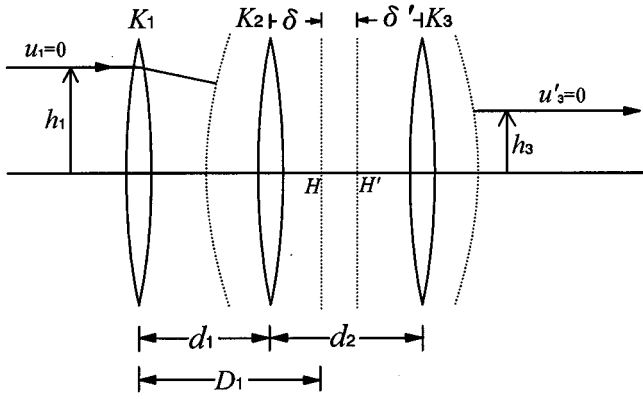
$$D_1 = F_1 + F_{23} = d_1 + \delta, \quad (1)$$

$$\delta = \frac{F_{23}}{F_3} d_2, \quad (2)$$

$$K_{23} = K_2 + K_3 - K_2 K_3 d_2, \quad (3)$$

$$M = -\frac{F_1}{F_{23}} = \frac{h_1}{h_3}, \quad (4)$$

where  $K$  and  $F$  are the equivalent power and focal length of a lens, respectively. The combined component has the focal length  $F_{23}$  and power  $K_{23}$ ;  $d_1$  and  $d_2$  are the separations between lenses 1 and 2 and between lenses 2 and 3, respectively;  $M$  is the magnification of system;  $\delta$  is the distance



**Fig. 1** Gaussian diagram of three-lens afocal zoom system:  $\delta(\delta')$  is the distance from the first (second) lens to the first (second) principal plane  $H(H')$  of the combined unit.

from the first lens to the first principal plane  $H$  of the combined unit of lenses 2 and 3; and  $h$  is the height of marginal ray at lens.

Solving the preceding equations, we have

$$d_1 = F_1 + F_2 + \frac{F_1 F_2}{F_3 M}, \tag{5}$$

$$d_2 = F_2 + F_3 + \frac{F_2 F_3 M}{F_1}. \tag{6}$$

In zooming, we change  $M$  and then obtain  $d_1$  and  $d_2$ . The results are suitable for the case in which any one of the three lenses is fixed during zooming. Because the afocal system with the front lens fixed is the reverse case with the rear lens fixed, the analyses for these two cases are the same. Thus we discuss only the system with the first or second lens fixed in zooming.

**2.2 Solution Areas in the Focal Length Diagram**

Rewrite Eqs. (5) and (6) as

$$d_1 = a_1 + \frac{b_1}{M}, \tag{7}$$

$$d_2 = a_2 + b_2 M, \tag{8}$$

where

$$a_1 = F_1 + F_2, \quad b_1 = \frac{F_1 F_2}{F_3}, \quad a_2 = F_2 + F_3,$$

and

$$b_2 = \frac{F_2 F_3}{F_1}.$$

The separations  $d_1$  and  $d_2$  must be positive in zooming. This provides some constraints on the solutions for  $a_1, a_2, b_1, b_2,$  and  $M$  in the focal length diagram. From Eq. (5), we have

$$F_1 + F_2 + \left(\frac{1}{F_3 M}\right) F_1 F_2 \geq 0. \tag{9}$$

In the  $F_1$  versus  $F_2$  coordinate graph, the curve  $F_1 + F_2 + F_1 F_2 / (F_3 M) = 0$  is a hyperbola with its center at  $(-F_3 M, -F_3 M)$ . The solution distribution in the graph is divided into several areas by the hyperbolic curves. Each solution area has different solution ranges for  $M$  and  $d_1$ .

Similarly, we have the following inequality equation from Eq. (6).

$$F_2 + F_3 + \left(\frac{M}{F_1}\right) F_2 F_3 \geq 0. \tag{10}$$

In the  $F_2$  versus  $F_3$  coordinate graph, the curve  $F_2 + F_3 + (M/F_1) F_2 F_3 = 0$  is also a hyperbola with its center at  $(-F_1/M, -F_1/M)$ . The solution distribution in the graph is also divided into several areas by the hyperbolic curves. Each solution area has different solution ranges for  $M$  and  $d_2$ .

From the preceding analysis, we can illustrate the possible solution areas in the focal length diagrams according to the different combinations of  $F_1, F_2, F_3$  and the sign of  $M$ . Figs. 2 and 3 show the solution areas with positive  $M$  under the conditions of positive  $d_1$  and  $d_2$ , respectively. The signs of three lens powers in each area are shown in parentheses as  $(F_1, F_2, F_3)$ . The signs of  $a_1 (= F_1 + F_2)$  in Fig. 2 and  $a_2 (= F_2 + F_3)$  in Fig. 3 are positive in the upper-right section and negative in the lower-left section of coordinate graph. Similarly, Figs. 4 and 5 show the solution areas with negative  $M$  for positive  $d_1$  and  $d_2$ , respectively.

**2.3 Relation Between  $M$  and the Interlens Separation  $d_1$  or  $d_2$**

The relation between  $M$  and one of the two interlens separations can be drawn with Eq. (5) or Eq. (6). Chuang et al.<sup>11</sup> described the results in their paper. The relations are hyperbolic between  $M$  and  $d_1$  and linear between  $M$  and  $d_2$ .

**2.4 Relation Between the Two Interlens Separations  $d_1$  and  $d_2$**

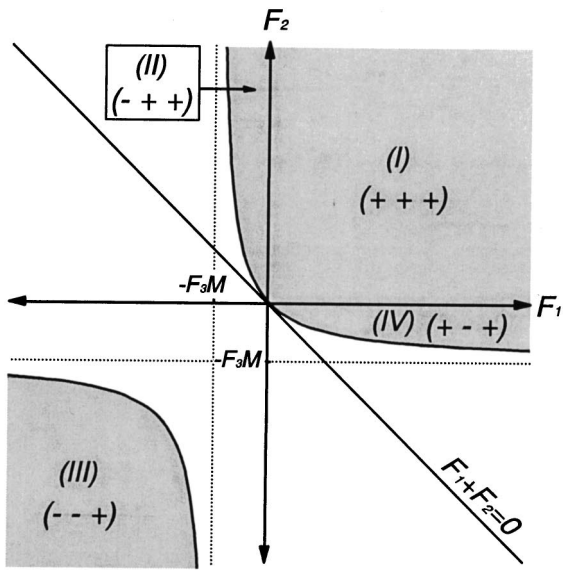
From Eqs. (5) and (6), we have

$$[d_1 - (F_1 + F_2)][d_2 - (F_2 + F_3)] = F_2^2, \tag{11}$$

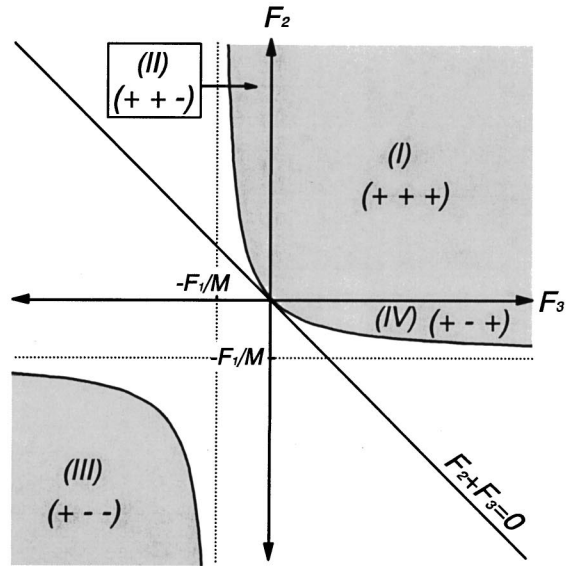
or

$$(d_1 - a_1)(d_2 - a_2) = F_2^2. \tag{12}$$

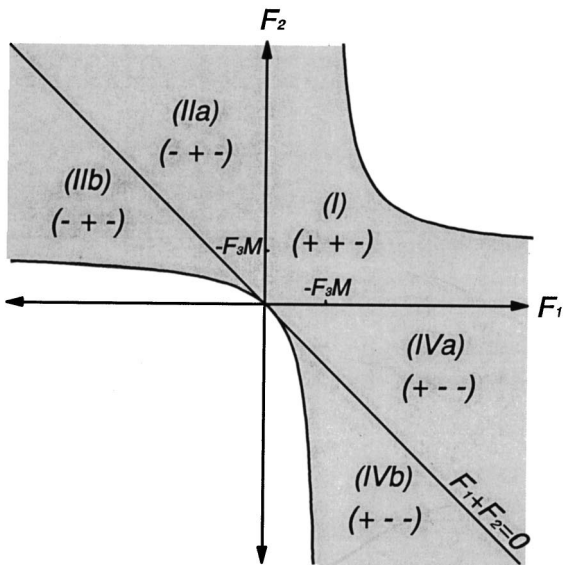
The preceding equation describes a hyperbola with its center at the coordinates  $(a_1, a_2)$  in the  $d_1$  to  $d_2$  coordinate graph. Because the center of hyperbola can be located in any quadrant, we obtain four cases of hyperbolas shown in



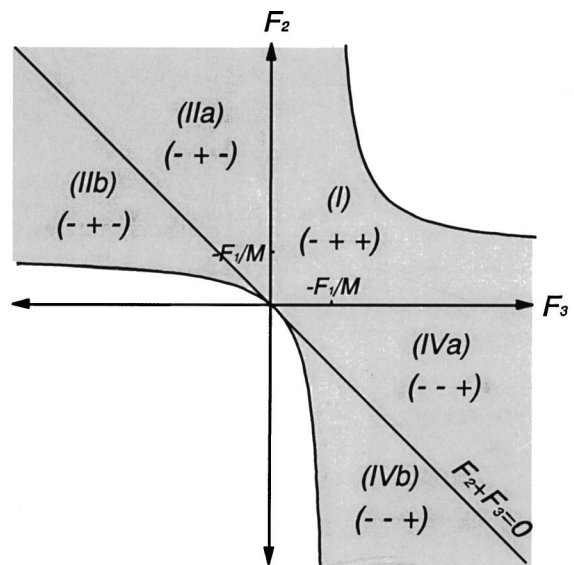
(a)  $F_3 > 0$



(a)  $F_1 > 0$



(b)  $F_3 < 0$



(b)  $F_1 < 0$

**Fig. 2** Solution areas (shaded) for different combinations of lens types with positive  $M$ , and (a)  $F_3 > 0$  and (b)  $F_3 < 0$  under the condition of positive  $d_1$ .

**Fig. 3** Solution areas for different combinations of lens types with positive  $M$ , and (a)  $F_1 > 0$  and (b)  $F_1 < 0$  under the condition of positive  $d_2$ .

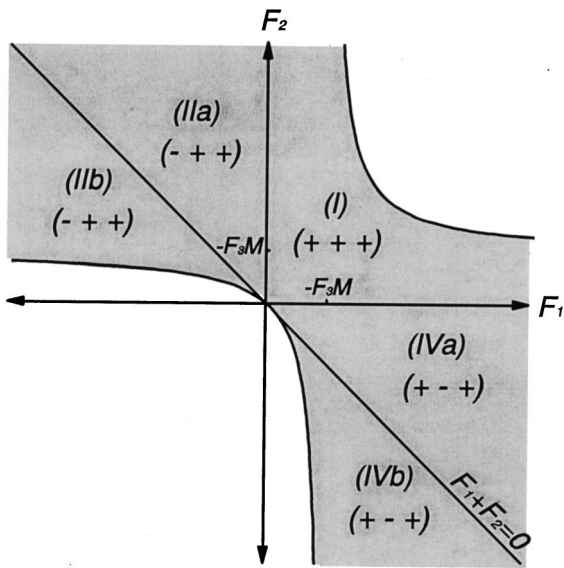
Figs. 6(a) to 6(d) depending on the signs of  $a_1$  and  $a_2$ . From Eqs. (7) and (8), we can solve the magnification for each point on the hyperbola in Fig. 6, given by

$$M = \frac{b_1}{d_1 - a_1}, \tag{13}$$

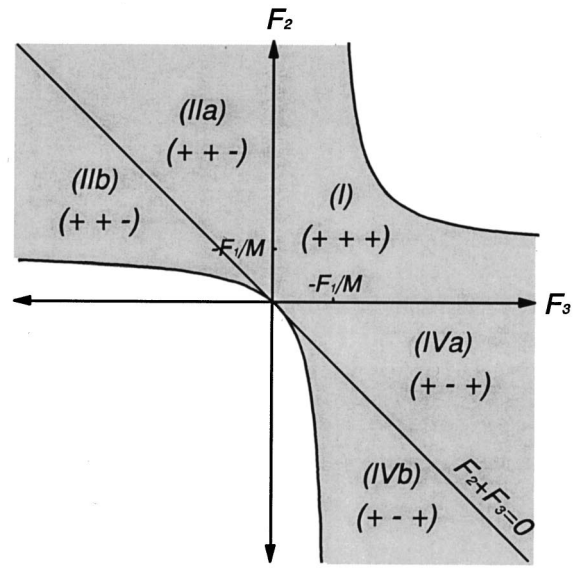
or

$$M = \frac{d_2 - a_2}{b_2}. \tag{14}$$

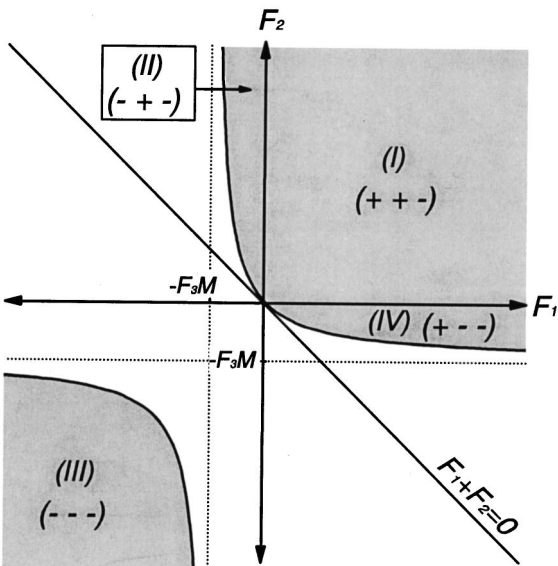
In Eq. (13), if  $d_1$  approaches the infinity, the magnification  $M$  approaches zero. If  $d_2$  in Eq. (14) approaches the infinity, the magnification  $M$  approaches the infinity and the sign of  $M$  is determined by the sign of  $d_2/b_2$ . If  $b_2 (= F_2 F_3 / F_1) > 0$ , the magnifications for points on the upper-right hyperbolic curve are positive and on the lower-left hyperbolic curve are negative. On the other hand, if  $b_2 < 0$ , the magnifications for points on the upper-right and lower-left hyperbolic curves are negative and positive, respectively.



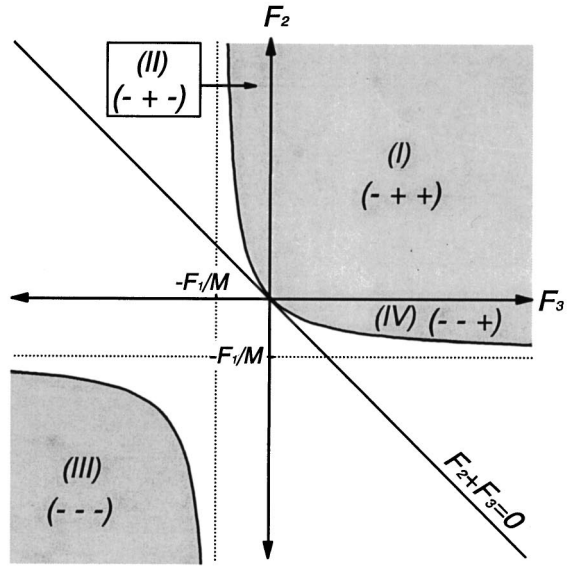
(a)  $F_3 > 0$



(a)  $F_1 > 0$



(b)  $F_3 < 0$



(b)  $F_1 < 0$

**Fig. 4** Solution areas for different combinations of lens types with negative  $M$ , and (a)  $F_3 > 0$  and (b)  $F_3 < 0$  under the condition of positive  $d_1$ .

**Fig. 5** Solution areas for different combinations of lens types with negative  $M$ , and (a)  $F_1 > 0$  and (b)  $F_1 < 0$  under the condition of positive  $d_2$ .

In Fig. 6, the intersections of hyperbola and the two axes are  $x$  and  $y$  corresponding to  $d_2 = 0$  and  $d_1 = 0$ , respectively. From Eq. (14) with  $d_2 = 0$ , the magnification at point  $x$  is

$$M_x = -\frac{a_2}{b_2}. \quad (15)$$

Substituting Eq. (15) into Eq. (13) at point  $x$ , we have

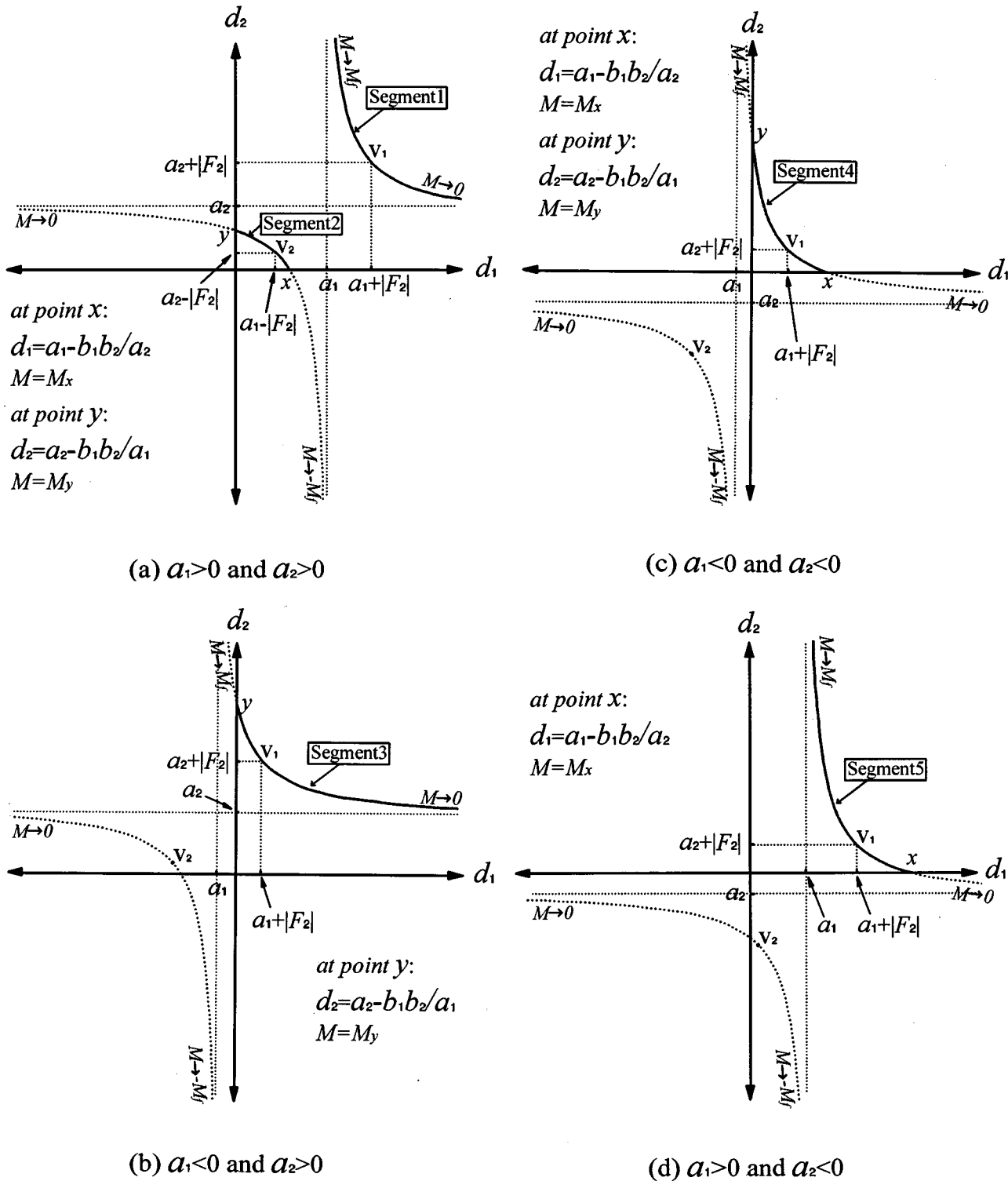
$$d_1 = a_1 - \frac{b_1 b_2}{a_2}. \quad (16)$$

Similarly, the magnification  $M_y$  and the value of  $d_2$  at point  $y$  are obtained with  $d_1 = 0$  in Eq. (13). We have

$$M_y = -\frac{b_1}{a_1}, \quad (17)$$

$$d_2 = a_2 - \frac{b_1 b_2}{a_1}. \quad (18)$$

In fact, the separations  $d_1$  and  $d_2$  must be positive in zooming simultaneously. Thus only the segments of hyper-



**Fig. 6** Diagrams  $d_1$  versus  $d_2$  for the centers of hyperbolas located in the four quadrants, respectively;  $V_1$  and  $V_2$  are the vertices of hyperbola. The magnification  $M_i$  is the plus infinity if  $(F_2 F_3 / F_1) > 0$  and the minus infinity if  $(F_2 F_3 / F_1) < 0$ . The intersection coordinates of hyperbola and two axes are  $x$  and  $y$ , respectively.

bola in the first quadrant of the  $d_1$  versus  $d_2$  coordinate graph are acceptable and are shown with solid lines in Fig. 6. Five possible segments are found and marked by “Segment” followed by a number. Each segment represents the

characteristics of a zoom system, including the constraints on  $a_1$  and  $a_2$  (i.e., on  $F_1, F_2, F_3$ ) and the solution ranges of  $d_1, d_2$ , and  $M$  in zooming. Therefore, we can have five types of zoom systems.

From the property of hyperbola, the value of  $d_1 + d_2$  has the minimum at the vertex  $V_1$  with  $d_1 = a_1 + |F_2|$  and  $d_2 = a_2 + |F_2|$  for segments 1, 3, 4, and 5 and has the maximum at the vertex  $V_2$  with  $d_1 = a_1 - |F_2|$  and  $d_2 = a_2 - |F_2|$  for segment 2. Thus the system length, which is the distance from lens 1 to lens 3, has an extreme value (maximum or minimum) at some position of zooming, i.e., not necessarily at the one end of zooming, if the vertex of hyperbolic curve falls in the first quadrant. In this case, the magnification  $M$  is calculated as follows.

Substituting  $d_1 = a_1 + |F_2|$  or  $d_2 = a_2 + |F_2|$  into Eq. (13) or (14) for segments 1, 3, 4, and 5, we have

$$M = \frac{F_1}{F_3} \quad \text{if } F_2 > 0, \quad (19)$$

$$M = -\frac{F_1}{F_3} \quad \text{if } F_2 < 0. \quad (20)$$

Similarly, substituting  $d_1 = a_1 - |F_2|$  or  $d_2 = a_2 - |F_2|$  into Eq. (13) or (14) for segment 2, we have

$$M = -\frac{F_1}{F_3} \quad \text{if } F_2 > 0, \quad (21)$$

$$M = \frac{F_1}{F_3} \quad \text{if } F_2 < 0. \quad (22)$$

On the other hand, if the vertex of hyperbolic curve is outside the first quadrant, the maximum and minimum system lengths occur at the two ends of zooming.

For the lower-left hyperbolic curve in Fig. 6(a) or the upper-right hyperbolic curve in Fig. 6(c), the vertex can be located in any quadrant. If the vertex falls in the third quadrant, the whole hyperbolic curve is outside the first quadrant. Hence segment 2 or segment 4 disappears and no solution exists. If the vertex falls in the second or fourth quadrant, then the solution range of zooming is determined by the part that is in the first quadrant. So it is possible that no solution exists if the hyperbolic curve is outside the first quadrant.

## 2.5 Common Solution Areas for Positive $d_1$ and $d_2$

From Sec. 2.2 and Sec. 2.4, we find that the solution ranges of  $M$  and  $d_1$  (or  $d_2$ ) for each solution area in Figs. 2 and 4 (or Figs. 3 and 5) are always a part of hyperbola in Fig. 6, where  $d_1$  (or  $d_2$ ) is positive. So we can combine the solution areas in Figs. 2 and 3 to obtain 12 common solution areas with positive magnification  $M$ . Each of them represents an overlapped solution area where  $d_1$  and  $d_2$  are both positive. Similarly, we can obtain 11 common solution areas with negative magnification  $M$  from Figs. 4 and 5. The results are shown in Tables 1 and 2.

Tables 1 and 2 also show the constraints on the signs of  $a_1$  and  $a_2$ , the used segment of hyperbola in the  $d_1$  versus  $d_2$  coordinate graph, and the possible vertex locations of related hyperbolic curve in the four quadrants for each common solution area. The various quadrants are denoted

by I, II, III, and IV, respectively. The maximum ranges of  $M$  and the related ranges of  $d_1$  and  $d_2$  are also described.

For the third and sixth combinations in Table 1, segment 2 in Fig. 6(a) is used and the vertex of related hyperbolic curve is located in the fourth and second quadrants, respectively. In those two cases, we have  $b_2 < 0$  (or  $F_2 F_3 / F_1 < 0$ ), so the magnifications of points on the lower-left hyperbolic curve in Fig. 6(a) are positive. The solution exists only if segment 2 exists; in this case,  $M_y \leq M_x$  is a necessary condition. Similar cases occur in the second, fifth, tenth and twelfth combinations in Table 1. For the tenth and eleventh combinations in Table 2, no solution exists because the vertex of related hyperbolic curve always falls in the third quadrant.

## 2.6 Five Types of Zoom Systems

As has been mentioned, each of the five segments in Fig. 6 represents the characteristics of a zoom system. Five different types of zoom systems are thus discussed as follows.

### 2.6.1 Type I

For segment 1 in Fig. 6(a), the range of system magnification can be from plus or minus infinity to zero depending on the sign of  $b_2$ . Because the vertex of related hyperbolic curve is located in the first quadrant, the system length always passes through a minimum value during zooming. In this case, we choose the second common solution area in Table 2 as an example. According to the constraints on  $F_1$ ,  $F_2$ , and  $F_3$  in the solution areas marked with (IVa) in Fig. 4(a) and (IVa) in Fig. 5(a), we give  $F_1 = 1$ ,  $F_2 = -0.5$ , and  $F_3 = 1.1$ . The maximum range of magnification can be from minus infinity to zero. Here we choose the range of  $M$  from  $-10$  to  $-0.1$  with a zoom ratio of 100:1. When the system length has the minimum value, we have  $d_1 = 1.000$ ,  $d_2 = 1.100$ , and  $M = -0.909$ . The lens loci in zooming with the first lens fixed are shown in Fig. 7, with the natural logarithm of the magnification as ordinate. If the system with the second lens fixed is used, the zoom loci are as shown in Fig. 8.

### 2.6.2 Type II

For segment 2 in Fig. 6(a), the range of system magnification is from  $M_x$  to  $M_y$ . Here we choose the second common solution area in Table 1 as an example. Under the constraints on  $F_1$ ,  $F_2$ , and  $F_3$  in the solution areas marked with (IV) in Fig. 2(a) and (IV) in Fig. 3(a), we give  $F_1 = 1$ ,  $F_2 = -0.3$ , and  $F_3 = 1.2$ . So we have  $M_x = 2.500$  at  $d_1 = 0.600$  and  $d_2 = 0$ , and  $M_y = 0.357$  at  $d_1 = 0$  and  $d_2 = 0.771$ . The system has the maximum length with  $d_1 = 0.400$ ,  $d_2 = 0.600$ , and  $M = 0.833$ . The zoom loci with the first lens fixed are shown in Fig. 9. If the system with the central lens fixed is used, the lens loci in zooming are as shown in Fig. 10.

### 2.6.3 Type III

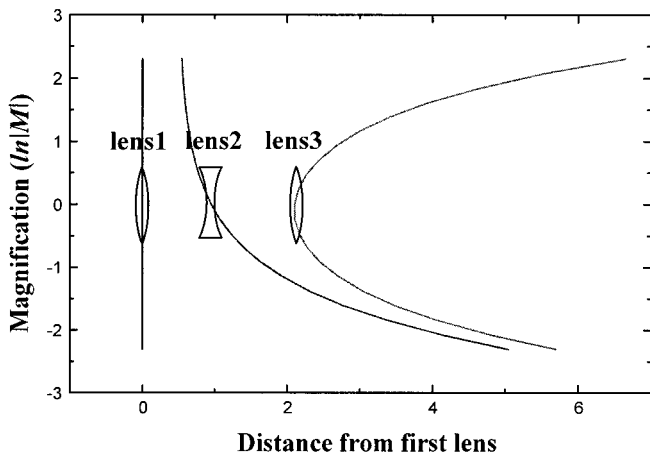
For segment 3 in Fig. 6(b), the range of system magnification is from  $M_y$  to 0. In this type, the vertex of hyperbolic curve can be located in the first or second quadrant. Here we use the ninth common solution area in Table 1 as an example. Referring to the solution areas marked with (IIb)

**Table 1** Solutions for three-lens afocal zoom system with positive magnification.

Types of Lenses	Common Solution Areas for Positive $d_1$ and $d_2$		Used Segment and Possible Location of Vertex of Related Hyperbolic Curve		Solution Ranges of $M$ , $d_1$ , and $d_2$			
$F_1 > 0, F_2 > 0, F_3 > 0$ (+++)	1	(I), Fig. 2(a)	(I), Fig. 3(a)	Segment 1 ( $a_1 > 0, a_2 > 0$ )	Quad. I	$0 < M < \infty$	$a_1 < d_1 < \infty$	$a_2 < d_2 < \infty$
$F_1 > 0, F_2 < 0, F_3 > 0$ (+-+)	2	(IV), Fig. 2(a)	(IV), Fig. 3(a)	Segment 2 ( $a_1 > 0, a_2 > 0$ )	Quad. I-IV	If $M_y \leq M_x$ , then $M_y \leq M \leq M_x$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 > 0, F_2 > 0, F_3 < 0$ (++-)	3	(I), Fig. 2(b)	(II), Fig. 3(a)	Segment 2 ( $a_1 > 0, a_2 > 0$ )	Quad. IV	If $M_y \leq M_x$ , then $M_y \leq M \leq M_x$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 > 0, F_2 < 0, F_3 < 0$ (+--)	4	(IVa), Fig. 2(b)	(III), Fig. 3(a)	Segment 5 ( $a_1 > 0, a_2 < 0$ )	Quad. IV	$M_x \leq M < \infty$	$a_1 < d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 < \infty$
	5	(IVb), Fig. 2(b)	(III), Fig. 3(a)	Segment 4 ( $a_1 < 0, a_2 < 0$ )	Quad. IV	If $M_x \leq M_y$ , then $M_x \leq M \leq M_y$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 < 0, F_2 > 0, F_3 > 0$ (-++)	6	(II), Fig. 2(a)	(I), Fig. 3(b)	Segment 2 ( $a_1 > 0, a_2 > 0$ )	Quad. II	If $M_y \leq M_x$ , then $M_y \leq M \leq M_x$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 < 0, F_2 > 0, F_3 < 0$ (-+-)	7	(IIa), Fig. 2(b)	(IIa), Fig. 3(b)	Segment 1 ( $a_1 > 0, a_2 > 0$ )	Quad. I	$0 < M < \infty$	$a_1 < d_1 < \infty$	$a_2 < d_2 < \infty$
	8	(IIa), Fig. 2(b)	(IIb), Fig. 3(b)	Segment 5 ( $a_1 > 0, a_2 < 0$ )	Quad. I, IV	$M_x \leq M < \infty$	$a_1 < d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 < \infty$
	9	(IIb), Fig. 2(b)	(IIa), Fig. 3(b)	Segment 3 ( $a_1 < 0, a_2 > 0$ )	Quad. I, II	$0 < M \leq M_y$	$0 \leq d_1 < \infty$	$a_2 < d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
	10	(IIb), Fig. 2(b)	(IIb), Fig. 3(b)	Segment 4 ( $a_1 < 0, a_2 < 0$ )	Quad. I-IV	If $M_x \leq M_y$ , then $M_x \leq M \leq M_y$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 < 0, F_2 < 0, F_3 > 0$ (--+)	11	(III), Fig. 2(a)	(IVa), Fig. 3(b)	Segment 3 ( $a_1 < 0, a_2 > 0$ )	Quad. II	$0 < M \leq M_y$	$0 \leq d_1 < \infty$	$a_2 < d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
	12	(III), Fig. 2(a)	(IVb), Fig. 3(b)	Segment 4 ( $a_1 < 0, a_2 < 0$ )	Quad. II	If $M_x \leq M_y$ , then $M_x \leq M \leq M_y$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$

**Table 2** Solutions for three-lens afocal zoom system with negative magnification.

Types of Lenses	Common Solution Areas for Positive $d_1$ and $d_2$		Used Segment and Possible Location of Vertex of Related Hyperbolic Curve		Solution Ranges of $M$ , $d_1$ , and $d_2$			
$F_1 > 0, F_2 > 0, F_3 > 0$ (+++)	1	(I), Fig. 4(a)	(I), Fig. 5(a)	Segment 2 ( $a_1 > 0, a_2 > 0$ )	Quad. I	$M_x \leq M \leq M_y$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 > 0, F_2 < 0, F_3 > 0$ (+-+)	2	(IVa), Fig. 4(a)	(IVa), Fig. 5(a)	Segment 1 ( $a_1 > 0, a_2 > 0$ )	Quad. I	$-\infty < M < 0$	$a_1 < d_1 < \infty$	$a_2 < d_2 < \infty$
	3	(IVa), Fig. 4(a)	(IVb), Fig. 5(a)	Segment 5 ( $a_1 > 0, a_2 < 0$ )	Quad. I	$-\infty < M \leq M_x$	$a_1 < d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 < \infty$
	4	(IVb), Fig. 4(a)	(IVa), Fig. 5(a)	Segment 3 ( $a_1 < 0, a_2 > 0$ )	Quad. I	$M_y \leq M < 0$	$0 \leq d_1 < \infty$	$a_2 < d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
	5	(IVb), Fig. 4(a)	(IVb), Fig. 5(a)	Segment 4 ( $a_1 < 0, a_2 < 0$ )	Quad. I	$M_y \leq M \leq M_x$	$0 \leq d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 > 0, F_2 > 0, F_3 < 0$ (++-)	6	(I), Fig. 4(b)	(IIa), Fig. 5(a)	Segment 1 ( $a_1 > 0, a_2 > 0$ )	Quad. I	$-\infty < M < 0$	$a_1 < d_1 < \infty$	$a_2 < d_2 < \infty$
	7	(I), Fig. 4(b)	(IIb), Fig. 5(a)	Segment 5 ( $a_1 > 0, a_2 < 0$ )	Quad. I, IV	$-\infty < M \leq M_x$	$a_1 < d_1 \leq a_1 - \frac{b_1 b_2}{a_2}$	$0 \leq d_2 < \infty$
$F_1 < 0, F_2 > 0, F_3 > 0$ (-++)	8	(IIa), Fig. 4(a)	(I), Fig. 5(b)	Segment 1 ( $a_1 > 0, a_2 > 0$ )	Quad. I	$-\infty < M < 0$	$a_1 < d_1 < \infty$	$a_2 < d_2 < \infty$
	9	(IIb), Fig. 4(a)	(I), Fig. 5(b)	Segment 3 ( $a_1 < 0, a_2 > 0$ )	Quad. I, II	$M_y \leq M < 0$	$0 \leq d_1 < \infty$	$a_2 < d_2 \leq a_2 - \frac{b_1 b_2}{a_1}$
$F_1 < 0, F_2 > 0, F_3 < 0$ (-+-)	10	(II), Fig. 4(b)	(II), Fig. 5(b)	Segment 2 ( $a_1 > 0, a_2 > 0$ )	Quad. III	No solution	No solution	No solution
$F_1 < 0, F_2 < 0, F_3 < 0$ (---)	11	(III), Fig. 4(b)	(III), Fig. 5(b)	Segment 4 ( $a_1 < 0, a_2 < 0$ )	Quad. III	No solution	No solution	No solution

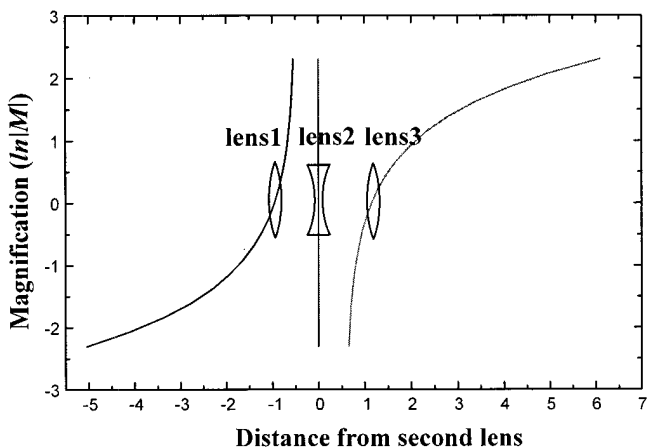


**Fig. 7** Loci of three-lens afocal zoom system with  $F_1=1$ ,  $F_2=-0.5$ ,  $F_3=1.1$ , and zoom ratio=100. This system has  $d_1=1.000$ ,  $d_2=1.100$ , and  $M=-0.909$  at the position where the system length is minimum.

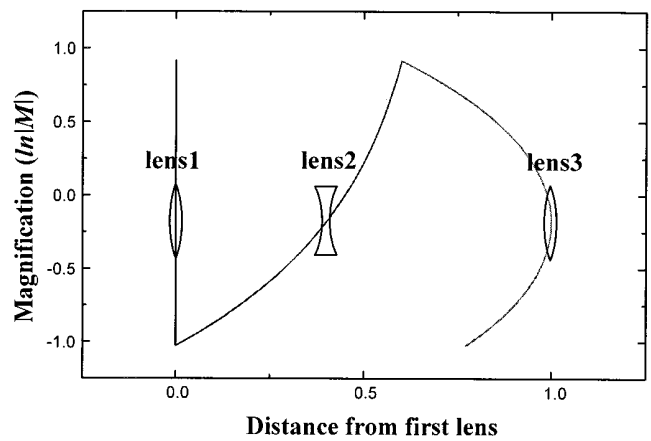
in Fig. 2(b) and (IIa) in Fig. 3(b), we give  $F_1=-1$ ,  $F_2=0.79$ , and  $F_3=-0.75$ . We then have  $M_y=5.016$  at  $d_1=0$  and  $d_2=3.012$ . In example, we choose  $M$  from 5.016 to 0.200. The system has the minimum length with  $d_1=0.580$ ,  $d_2=0.830$ , and  $M=1.333$ . The zoom loci with the first lens fixed are shown in Fig. 11.

**2.6.4 Type IV**

For segment 4 in Fig. 6(c), the range of system magnification is from  $M_y$  to  $M_x$ . Here we use the tenth common solution area in Table 1 as an example. According to the solution areas marked with (IIb) in Fig. 2(b) and (IIb) in Fig. 3(b), we give  $F_1=-1$ ,  $F_2=0.75$ , and  $F_3=-1$ . So we have  $M_x=0.333$  at  $d_1=2.000$  and  $d_2=0$ , and  $M_y=3.000$  at  $d_1=0$  and  $d_2=2.000$ . The system has the minimum length with  $d_1=0.500$ ,  $d_2=0.500$ , and  $M=1.0$ . The zoom loci with the first lens fixed are shown in Fig. 12.



**Fig. 8** Loci of three-lens afocal zoom system with the second lens fixed. The system parameters are the same as in Fig. 7.



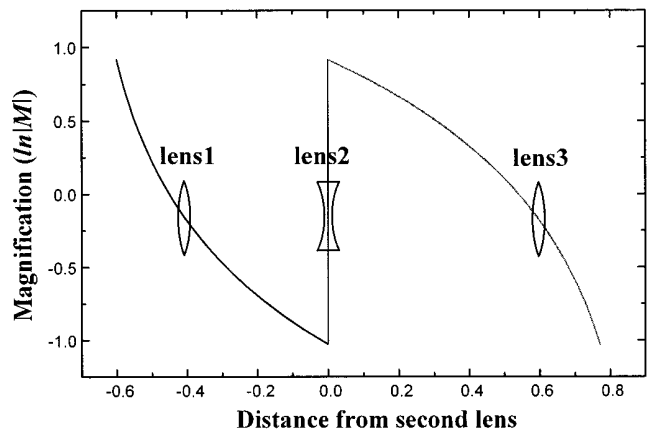
**Fig. 9** Loci of three-lens afocal zoom system with  $F_1=1$ ,  $F_2=-0.3$ ,  $F_3=1.2$ , and zoom ratio=7. This system has  $d_1=0.400$ ,  $d_2=0.600$ , and  $M=0.833$  at the position where the system length is maximum.

**2.6.5 Type V**

For segment 5 in Fig. 6(d), the range of system magnification is from plus or minus infinity to  $M_x$  depending on the sign of  $b_2$ . In this type, the vertex of hyperbolic curve can be located in the first or fourth quadrant. We choose the third common solution area in Table 2 as an example. Referring to the solution areas marked with (IVa) in Fig. 4(a) and (IVb) in Fig. 5(a), we give  $F_1=1$ ,  $F_2=-0.66$ , and  $F_3=0.52$ . We then have  $M_x=-0.408$  at  $d_1=3.451$  and  $d_2=0$ . In example, we choose the range of  $M$  from  $-10.200$  to  $-0.408$  with a zoom ratio of 25:1. When the system has the minimum length during zooming, we get  $d_1=1.000$ ,  $d_2=0.520$ , and  $M=-1.923$ . The zoom loci with the first lens fixed are shown in Fig. 13.

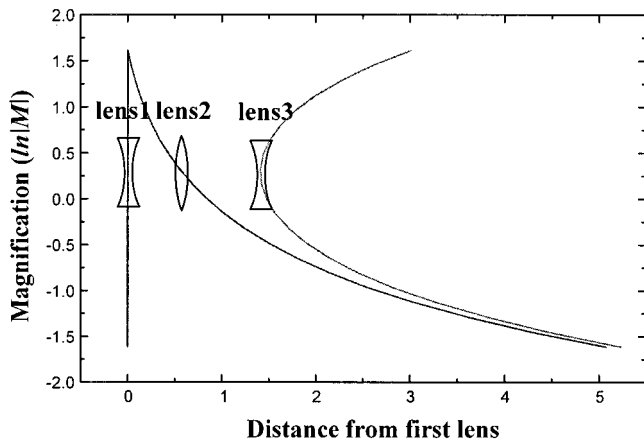
**3 Discussion**

In this analysis, the graphoanalytical method<sup>12</sup> was used because of its convenience to show the connection between the solution space and the variable space used by the lens designer. For designing a zoom system, the size of system and the slope of lens loci are taken into account. In types I



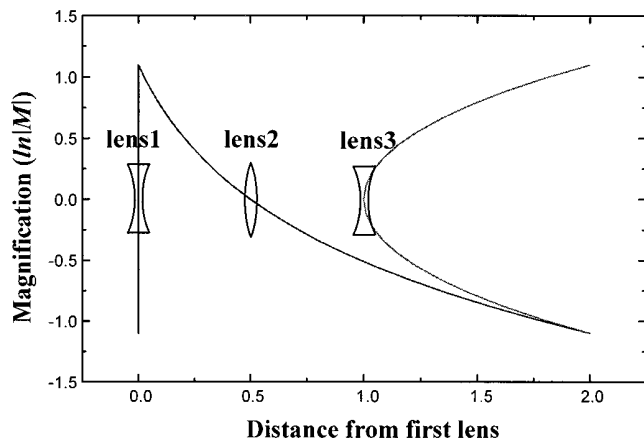
**Fig. 10** Loci of three-lens afocal zoom system with the second lens fixed. The system parameters are the same as in Fig. 9.



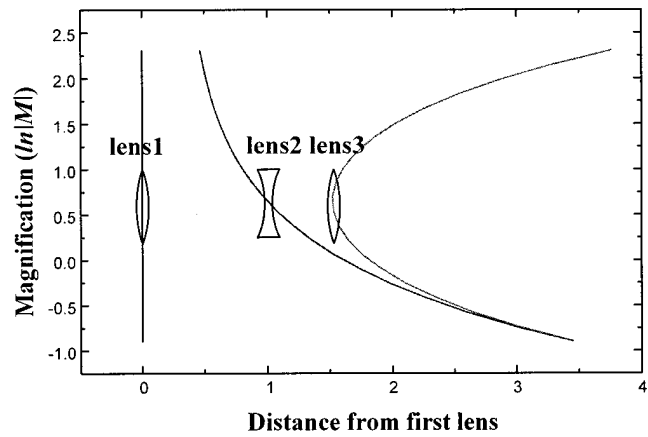


**Fig. 11** Loci of three-lens afocal zoom system with  $F_1=-1$ ,  $F_2=0.79$ ,  $F_3=-0.75$ , and zoom ratio=25. This system has  $d_1=0.580$ ,  $d_2=0.830$ , and  $M=1.333$  at the position where the system length is minimum.

and II, two different results for the zoom loci with the first or second lens fixed are shown. Comparing Fig. 7 with Fig. 8 or comparing Fig. 9 with Fig. 10, we find that the system with the first lens fixed is more compact than that with the second lens fixed. This result is also true for types III to V since the relation between the two interlens separations is similar to that in type I. From the five types of systems, we find that only type II has the result that the maximum system length occurs inside the process of zooming. In some examples, we have the interlens separation equal to zero at one end of zooming. Usually, it is not useful to work in the neighborhood of the end in practical design. Note that we use the natural logarithm of the magnification as ordinate in Figs. 7 to 13. If the second lens is fixed during zooming, the third lens moves linearly according to Eq. (6). In this paper, we have not discussed the special condition in which  $a_1=0$  ( $F_1+F_2=0$ ) or  $a_2=0$  ( $F_2+F_3=0$ ) or both. The solution is easily obtained by the same way as described in



**Fig. 12** Loci of three-lens afocal zoom system with  $F_1=-1$ ,  $F_2=0.75$ ,  $F_3=-1$ , and zoom ratio=9. This system has  $d_1=0.500$ ,  $d_2=0.500$ , and  $M=1.0$  at the position where the system length is minimum.



**Fig. 13** Loci of three-lens afocal zoom system with  $F_1=1$ ,  $F_2=-0.66$ ,  $F_3=0.52$ , and zoom ratio=25. This system has  $d_1=1.000$ ,  $d_2=0.520$ , and  $M=-1.923$  at the position where the system length is minimum.

Sec. 2. In this case, the center of hyperbola in Eq. (12) is located on the axis in the  $d_1$  versus  $d_2$  coordinate graph.

#### 4 Conclusion

As we know, a proper first-order layout will often give a satisfactory lens design. For the first-order design of three-lens afocal zoom system, we have analyzed the possible solutions areas in the focal length diagram, the relation between  $M$ ,  $d_1$ , and  $d_2$ , and the properties of lens loci during zooming. The common solution areas for positive  $d_1$  and  $d_2$  and their solution ranges of system parameters with positive or negative magnification have been presented. The analysis of five system types, corresponding to five segments in the  $d_1$  versus  $d_2$  coordinate graph, is helpful for designers to select the positive and negative types of three lenses, preview the shape of lens loci, and determine the ranges of  $M$ ,  $d_1$ , and  $d_2$ .

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