# Investigation of Channel Backscattering Characteristics in Nanoscale Uniaxial-Strained PMOSFETs

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*Abstract***—This paper examines channel backscattering characteristics for nanoscale strained and unstrained p-channel MOSFETs (PMOSFETs) using the experimentally extracted backscattering coefficients by our modified self-consistent temperature-dependent extraction method. Through comparing the gate voltage and temperature dependence, we demonstrate that channel backscattering can be reduced by the uniaxial strain for PFETs. Besides, we show that the strain-reduced conductivity effective mass may raise the thermal velocity, mean-free path, and effective mobility. Contrary to previous studies, our results indicate that the ballistic efficiency can be enhanced for compressivestrained PFETs. In addition, the backscattering effect on the electrostatic potential is discussed.**

*Index Terms***—Ballistic transport, channel backscattering, CMOS, mobility, SiGe, strained silicon.**

## I. INTRODUCTION

CHANNEL strain engineering has been actively pursued to<br>
enable the mobility scaling of CMOS devices. Especially,<br>
for nanoscala MOSEETs that suffer from mobility degradation for nanoscale MOSFETs that suffer from mobility degradation due to halo implantation, strain technology is an important mobility booster. Several studies [1]–[3] have reported strain dependence of carrier mobility. However, as the gate length  $(L_q)$ scales into the nanoscale regime in which the carrier ballistic transport prevails [4]–[6], strain-induced enhancement becomes more complicated to predict [7], [8]. Characterizing nanoscalestrained MOSFETs from the perspective of channel backscattering becomes crucial to strain engineering [8]–[12].

Due to the uncertainty in the amount of the strain and the associated band structure modification [13], most studies regarding the impact of strain on backscattering characteristics relied on experiments [8]–[12]. Among the experimental methods [12], [14], [15], the temperature-dependent technique [14], [15] is suitable for providing guidelines in process monitoring [8]–[11]. For example, using the temperature-dependent method, Lin *et al.* [9], [10] found that uniaxially compressive strain increases the channel backscattering of p-channel

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MOFETs (PMOSFETs). Nevertheless, this method assumes that the low-field mobility  $(\mu_0)$  is phonon-limited and proportional to  $T^{-1.5}$ , which is questionable for state-of-the-art nanoscale PMOSFETs [13]. Moreover, the temperature dependence of the critical length l may change with device size [16] and has not been considered. In other words, the accuracy of the reported backscattering characteristics for strained PMOSFETs [9], [10] is under suspicion.

In this paper, we examine the impact of uniaxial strain on backscattering characteristics of nanoscale PMOSFETs using a modified temperature-dependent method that can selfconsistently determine the temperature sensitivity of  $\mu_0$  and l. Through comparing the extracted backscattering coefficients, we demonstrate that assuming constant temperature dependence of  $\mu_0$  and l in the extraction may result in unphysical gate bias and temperature dependence of backscattering characteristics. This paper is organized as follows. In Section II, we describe our devices with and without uniaxially compressive strainers. In Section III, we present the modified temperature-dependent method and the experimental extraction. Then, the impact of strain on the extracted backscattering coefficients and possible error sources are discussed in Section VI. Finally, the conclusion will be drawn in Section V.

## II. DEVICES

PMOSFETs with channel direction  $\langle 110 \rangle$  were manufactured based on state-of-the-art CMOS technology on 300-mm p-type (1 0 0) silicon substrate. Fig. 1 shows that processinduced uniaxial-strained silicon technologies featuring compressive SiGe source/drain and compressive contact etch stop layer (CESL) were employed in this study [2], [3], [17]. Coprocessed strained and unstrained PMOSFETs were implanted by the same pocket condition and showed similar draininduced barrier lowering (DIBL) characteristics. Devices with  $L_q = 50$  nm were characterized at  $T = 223, 298,$  and 373 K. As shown in Fig. 2, the saturated drain current  $(I_{d,\text{sat}})$  and the linear drain current  $(I_{d,\text{lin}})$  of the strained device are improved by about  $2.1 \times$  and  $2.9 \times$  as compared with its unstrained counterpart, respectively. The threshold voltage of  $I_{d,lin}$ ,  $V_{T,lin}$ , was determined by the maximum transconductance method. The threshold voltage of  $I_{d, \text{sat}}$ ,  $V_{T, \text{sat}}$ , was calculated from  $V_{T, \text{lin}}$ with DIBL consideration, i.e.,  $V_{T, \text{sat}} = V_{T, \text{lin}}$ -DIBL. DIBL was characterized from the subthreshold characteristics. In order to exclude the parasitic source/drain series resistance  $(R_{sd})$ effect, the constant-mobility method is adopted [18]. The extracted  $R_{sd}$  values are about 125 and 214  $\Omega \cdot \mu$ m for strained and

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Fig. 1. Schematic diagram of channel backscattering phenomena in a nanoscale device. The impact of compressive strain on backscattering coefficients is investigated.



Fig. 2. Measured drain–current versus gate voltage characteristics for 50-nm- $L_g$  PMOSFETs with and without uniaxially compressive strain at  $T = 233, 298, 373$  K for (a)  $|V_{ds}| = 1.3$  V and (b)  $|V_{ds}| = 0.05$  V.

unstrained devices, respectively. Based on the measured  $I_{d,\text{sat}}-T$  and  $V_{T,\text{sat}}-T$  characteristics, the following backscattering extraction can be carried out.

#### III. CHARACTERIZATIONS AND EXTRACTION

According to the channel backscattering theory [4], [5], the transistor ballistic current in saturation region can be expressed as  $I_{d,\text{sat}} = WC_{\text{ox}}(V_{gs}-V_{T,\text{sat}})\nu_{\text{inj}}$ , where  $C_{\text{ox}}(V_{gs}-V_{T,\text{sat}})$  is the inversion-layer charge density and  $\nu_{\text{inj}}$  is the average velocity of carriers at the beginning of the channel (Fig. 1) [19]–[21]. The maximum value of  $\nu_{\text{inj}}$  is approximately the equilibrium unidirectional thermal velocity  $\nu_{therm}$ . Backscattering from the channel determines how close to this upper limit the device operates. Therefore,  $v_{\text{ini}}$  can be related to the channel backscattering coefficient  $r_{\text{sat}}$  according to  $\nu_{\text{inj}} = \nu_{\text{therm}}(1 - r_{\text{sat}})/(1 + r_{\text{sat}})$ [19]–[21]. The  $r_{\text{sat}}$  depends on the mean-free path  $\lambda$  and the critical length l as  $r_{\text{sat}} = 1/(1 + \lambda/l)$  [19]. In other words, the ratio  $\lambda$ /l controls the channel backscattering of the transistor ballistic current.

Based on the temperature-dependent version of backscattering model [9], [14], [15],  $\lambda/l$  has been expressed as

$$
\frac{\lambda}{\ell}
$$

$$
=\frac{-2(1+(\beta_{\mu}-\beta_{l})-\gamma)}{\gamma-((\partial I_{d,\text{sat}}/I_{d,\text{sat}}\partial T)+\{\partial V_{T,\text{sat}}/(V_{gs}-V_{T,\text{sat}})\partial T\})T}-2
$$
\n(1)

where  $\beta_{\mu}$ ,  $\beta_{l}$ , and  $\gamma$  are defined as the temperature sensitivity of  $\mu_0$ , *l* and  $\nu_{\text{therm}}$ , respectively [19]:

$$
\mu_0 \propto T^{\beta_\mu} \tag{2a}
$$

$$
\ell \propto \left(\frac{k_B T}{q}\right)^{\beta_l} \tag{2b}
$$

$$
\nu_{\text{therm}} = \sqrt{\frac{2k_B T}{\pi m^*}} \left\{ \frac{\Im_{1/2}(\eta_F)}{\Im_0(\eta_F)} \right\} \propto T^{\gamma} \tag{2c}
$$

where  $\Im_n$  is the Fermi–Dirac integral of order n, and  $\eta_F =$  $(E_F - E_i)/k_B T$ . The second factor of (2c) describes the effect of degeneracy on  $\sqrt{2k_BT/\pi m^*}$  [19]. The variable  $\beta_\mu$  in (2a) represents the temperature dependence of carrier mobility. It has been shown that  $\beta_{\mu}$  may change from  $\beta_{\mu} = 1$  for Coulomb scattering to  $\beta_{\mu} = -1.7$  for phonon scattering [22], [23]. Since l is roughly the distance over which the channel potential drops by  $k_B T /q$  [19], [20], the  $\beta_l$  in (2b) is determined by the potential gradient within the  $k_BT$  layer. It has been calculated in [19] that  $\beta_l = 0.66$  and 0.75 for diffusive and ballistic transport, respectively. Besides,  $\beta_l$  was observed to be 0.7–1.24 for different  $L_q$  by Monte Carlo simulation [16]. From (2a) to (2c) and  $\lambda \propto 2k_BT\mu_0/q\nu_{\text{therm}}$  [19], the temperature dependence of  $\lambda/l$  can be derived as [24], [25]

$$
\frac{\lambda}{\ell} \propto \frac{2k_B T \mu_0}{q \nu_{\text{therm}}} \left(\frac{q}{k_B T}\right)^{\beta_l} \propto T^{1 + (\beta_\mu - \beta_l) - \gamma}.
$$
 (3)

Equation (1) reveals an opportunity to experimentally extract  $\lambda/l$  as well as  $r_{\text{sat}}$  from the measured  $I_{d,\text{sat}}-T$  and  $V_{T,\text{sat}}-T$ characteristics. However, the accuracy of the extracted  $\lambda/l$  relies on the values of  $(\beta_{\mu} - \beta_{l})$  and  $\gamma$ . From (2c), we know that  $\gamma$ ranges from 0.5 (nondegenerate limit) to 0 (degenerate limit). To a first approximation,  $\gamma = 0.5$  is assumed as in [9], [14], and [15]. (The error due to the  $\gamma$  assumption will be discussed in the next section.) For the value of  $(\beta_\mu - \beta_l)$ , the previous method [9], [14], [15] assumes constant  $\beta_{\mu}$  and  $\beta_{l}$  (e.g.,  $\beta_{\mu} = -1.5$  and  $\beta_l = 1$ ) in (1) to determine  $\lambda / l$  and  $r_{\text{sat}}$ .

Fig. 3 shows the extracted  $\lambda/l$  of the unstrained PFET using constant  $(\beta_{\mu} - \beta_{l})(\triangle)$ . It can be seen that when using constant  $\beta_{\mu}$  and  $\beta_{l}$ , the constraint on the temperature dependence of  $\lambda/l$  in (3) cannot be satisfied. Therefore, we propose to use (1)



Fig. 3. Extracted  $\lambda/l$  versus T characteristics showing the need of selfconsistent ( $\beta_{\mu} - \beta_{l}$ ). Note that values of  $I_{d, \text{sat}}$  and  $V_{T, \text{sat}}$  were considered at the corresponding T .



Fig. 4. (a) Extracted  $(\beta_\mu - \beta_l)$  and (b)  $r_{\text{sat}}$  versus  $\left|V_{gs} - V_{T,\text{sat}}\right|$  characteristics for 50-nm- $L_a$  PMOSFETs with and without uniaxially compressive strain.  $V_{T,\text{sat}}$  is determined by maximum transconductance method with DIBL considered. The  $R_{sd}$  effect has been corrected. ( $R_{sd} \sim 125 \Omega \cdot \mu \text{m}$  for the strained device and 214  $\Omega \cdot \mu$ m for the unstrained device).

and (3) to determine  $(\beta_{\mu} - \beta_{l})$  and  $\lambda / l$  self-consistently. As shown in Fig. 3, notable discrepancy in the extracted  $(\beta_{\mu} - \beta_{l})$ and  $\lambda/l$  between the self-consistent  $(\beta_{\mu} - \beta_l)$  and the constant  $(\beta_{\mu} - \beta_{l})$  can be found. Besides, the decreasing  $\lambda / l$  (i.e., the increasing  $r_{\text{sat}}$ ) with increasing T can be explained by reduced  $\lambda$  and increased l as T increases. Most importantly, using the self-consistent ( $\beta_{\mu} - \beta_{l}$ ), the temperature dependence of  $\lambda / l$ can satisfy (3).

#### IV. RESULTS AND DISCUSSION

Fig. 4 shows the extracted  $(\beta_{\mu} - \beta_{l})$  and  $r_{\text{sat}}$  versus  $|V_{qs} - V_{T, sat}|$  characteristics for the unstrained and strained PMOSFETs with  $L<sub>g</sub> = 50$  nm, respectively. It can be seen that the self-consistently determined ( $\beta_{\mu} - \beta_{l}$ ) is far from -2.5 and shows significant  $V_{qs}$  dependence for both devices. The increased  $(\beta_{\mu} - \beta_{l})$  with decreasing  $V_{qs}$  manifests the importance of Coulomb scattering in the weak inversion region [2]. We have also noted that the  $r_{\text{sat}}$  value extracted from the self-consistent ( $\beta_{\mu} - \beta_{l}$ ) increases with decreasing  $V_{gs}$ . Besides the Coulomb scattering effect [2], such  $V_{gs}$  dependence of  $r_{\text{sat}}$  can be explained by the decreased potential gradient of the source–channel junction barrier (i.e., increased l) with decreasing  $V_{gs}$  [15]. It is worth noting that the assumption of  $(\beta_{\mu} - \beta_{l}) = -2.5$  [9], [14], [15] results in insensitive  $r_{\text{sat}} - V_{gs}$  dependence.

Fig. 4(a) also shows that the value of  $(\beta_{\mu} - \beta_{l})$  for the strained PFET is smaller than that of the unstrained one. This result is consistent with the measured  $I_{d, \text{sat}}-V_{gs}$  [Fig. 2(a)], in which the  $I_{d,\text{sat}}$  of the strained device shows more phonon-limited behavior (i.e.,  $I_{d, \text{sat}}$  decreases as temperature increases), and thus,  $(\beta_{\mu} - \beta_{l})$  decreases. Moreover, as shown in Fig. 4(b),  $r_{\text{sat}}$ is actually reduced in the compressive-strained PFET, which is contrary to previous studies [9], [10] using  $(\beta_{\mu} - \beta_{\ell}) = -2.5$ .

To further consider the impact of the  $\gamma = 0.5$  assumption on the extracted  $r_{\text{sat}}$  behavior in Fig. 4(b),  $dr_{\text{sat}}/d\gamma$  can be expressed [from (1)]

$$
\frac{\partial r_{\text{sat}}}{\partial \gamma} = \frac{r_{\text{sat}}(1 - r_{\text{sat}})}{\gamma - ((\partial I_{d,\text{sat}}/I_{d,\text{sat}}\partial T) + \{\partial V_{T,\text{sat}}/(V_{gs} - V_{T,\text{sat}})\partial T\}) T}.
$$
\n(4)

Note that the right-hand side of (4) is positive, so an overestimated  $\gamma(d\gamma)$  results in an overrated  $r_{\text{sat}}$ . Since the degenerate effect increases with  $V_{gs}$  and is enhanced by strain effects,  $\gamma$  decreases faster for the strained device than that for the unstrained one. In other words,  $\gamma$  as well as  $r_{\text{sat}}$  is more overestimated in Fig. 4(b) for the strained device. Note that the extracted  $r_{\text{sat}}$ [with self-consistent  $(\beta_{\mu} - \beta_{l})$  in Fig. 4(b)] for the strained device is already smaller than that of the unstrained one. Therefore, the  $\gamma = 0.5$  assumption will result in underestimation of the impact of compressive strain on the reduction of  $r_{\text{sat}}$ .

To further understand the strain effect, we have investigated  $\nu_{\text{therm}}$ ,  $\lambda$ , and effective mobility  $\mu$  for both strained and unstrained PFETs. Based on the self-consistent extracted  $r_{\text{sat}}$ [Fig. 4(b)] and the measured  $I_{d, \text{sat}}$  [Fig. 2(a)],  $\nu_{\text{therm}}$  was calculated from  $I_{d, \text{sat}} = WC_{\text{ox}}(V_{gs}-V_{T, \text{sat}})\nu_{\text{therm}}(1-r_{\text{sat}})$ /  $(1 + r_{\text{sat}})$ . In addition,  $\lambda$  can be extracted [from (6)]

$$
\frac{I_{d,\text{lin}}}{V_{ds}I_{d,\text{sat}}} = \frac{(V_{gs} - V_{T,\text{lin}})(q/2k_BT)(\lambda/(\lambda + L_g))}{(V_{gs} - V_{T,\text{sat}})((1 - r_{\text{sat}})/(1 + r_{\text{sat}}))}
$$
(5)

where the ratio of  $I_{d,\text{lin}}/V_{ds}$  is determined from the slope of  $I_{d,lin}$ - $V_{ds}$  characteristics at  $V_{ds} = 0$  V. The effective mobility  $\mu$  was measured using the split C–V method with  $R_{sd}$  correction, as presented in our previous study [2]. Fig. 5 shows the extracted  $\nu_{\text{therm}}$ ,  $\lambda$ , and  $\mu$  versus  $V_{qs}$  characteristics, respectively. It can be seen that the strain-reduced conductivity effective mass  $m^*$  leads to an increase of  $\nu_{\text{therm}}$ ,  $\lambda$ , and  $\mu$ . Although the effective mobility  $\mu$  extracted from the split



Fig. 5. Extracted (a) thermal velocity  $\nu_{\text{therm}}$ , (b) mean-free path  $\lambda$ , and (c) effective mobility  $\mu$  versus  $|V_{gs} - V_T|$  characteristics for 50-nm- $L_g$  PMOSFETs with and without uniaxially compressive strain at  $T = 298$  K.  $\lambda$  is extracted from the slope of  $I_{d,lin} - V_{ds}$  characteristics at  $V_{ds} = -20$  to 20 mV. Effective mobility is extracted from the split  $C-V$  method at  $V_{ds} = 50$  mV with  $R_{sd}$ correction [2].

 $C-V$  method may not be exactly equivalent to the low-field mobility  $\mu_0$  as the definition of  $\lambda$  [4]–[6], it is worth noting that the enhancement of backscattering coefficients follows the relation of  $\lambda \propto (2k_BT\mu_0/q\nu_{\text{therm}})$ , i.e.,  $1.9\times$  ( $\lambda$  enhancement)  $\sim$ 3.3×( $\mu$  enhancement)/1.5× ( $\nu$ <sub>therm</sub> enhancement). The strain effect on the enhancement of  $1/m^*$  and the relaxation time  $\tau$  can also be obtained ∼2.3× from ( $\nu$ <sub>therm</sub> enhancement)<sup>2</sup> and ∼1.3× from ( $\lambda$  enhancement)/( $\nu_{\text{therm}}$  enhancement), respectively. Besides, the  $\lambda$  enhancement is the main reason for the reduction of  $r_{\text{sat}}$  and pushes the transport of carriers closer to the ballistic regime. Contrary to previous reports [9], [10], our study indicates that the ballistic efficiency can be enhanced by compressive strain for nanoscale PFETs.

From the extracted  $r_{\text{sat}}$  and  $\lambda$ , the critical length l can be calculated through  $r_{\text{sat}} = 1/(1 + \lambda/l)$  [6]. Since  $r_{\text{sat}}$  and  $\lambda$  are strongly dependent on  $V_{gs}$ , l is extracted under the same gate overdrive for strained and unstrained PFET at different temperatures. Fig. 6(a) shows the potential  $-k_BT/q$  versus ( $l_T - l_{233K}$ ) characteristics, which can be viewed as the potential gradient of the source–channel junction barrier (Fig. 1). It can be seen that the potential gradient is smaller for the unstrained device. Similar variation in electrostatic potential has been simulated by Svizhenko *et al.* [26] for different scattering conditions. It can be understood that more backscattering events for the unstrained device with smaller  $\lambda$  raise the electrostatic potential to higher energy to maintain the same carrier density. Our experimentally observed backscattering effect on the electrostatic potential supports the prediction in [26]. It is, to the best of our knowledge, the first experimental demonstration. In addition, the  $V_{gs}$  dependence of the potential gradient is shown in Fig. 6(b). It is clear that the potential gradient decreases with decreasing  $V_{qs}$ . The decreased potential gradient of the source–channel junction barrier (i.e., increased l) can explain the  $V_{gs}$  dependence of  $r_{\text{sat}}$  for the self-consistent  $(\beta_{\mu} - \beta_{l})$  in Fig. 4(b).



Fig. 6. Extracted potential  $-k_B T / q$  versus ( $l_T - l_{233K}$ ) characteristics for (a) strained and unstrained PMOSFETs with  $L_g = 50$  nm at  $\left| V_{gs} - V_{T, \text{sat}} \right| = 0.8$  M and  $| V_{gs} |$  = 50 nm at PMOSFET with  $L_g = 50$  nm at 0.8 V and  $|V_{ds}| = 1.3$  V, and (b) the strained PMOSFET with  $L_g = 50$  nm at  $|V_{gs} - V_{T, \text{sat}}| = 0.4{\text{-}}0.8 \text{ V} \text{ and } |V_{ds}| = 1.3 \text{ V}.$ 

#### V. CONCLUSION

We have examined characteristics for strained and unstrained PMOSFETs using the experimentally extracted  $r_{\text{sat}}$ ,  $\lambda$ ,  $\mu$ , and  $\nu_{therm}$  by our modified temperature-dependent extraction method with self-determined ( $\beta_{\mu} - \beta_{l}$ ). Through comparing the extracted backscattering coefficients, we demonstrate that assuming constant temperature dependence of  $\mu_0$  and l in the extraction may result in unphysical  $V_{gs}$  and temperature dependence of backscattering characteristics. Contrary to previous studies, our results indicate that the ballistic efficiency can be enhanced for compressive-strained PFETs because  $\lambda$  is enhanced. Besides, the  $\gamma = 0.5$  assumption will result in underestimation of the impact of compressive strain on the reduction of  $r_{\text{sat}}$ . In addition, the backscattering effect on the electrostatic potential has been discussed.

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