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A Region-Based Segmentation Method of Color Images in Different Color Space

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在不同色彩空間座標以區域為基礎做彩色影像分割 A Region-Based Segmentation Method of Color Images in Different Color Space

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在不同色彩空間座標以區域為基礎做彩色影像分割

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摘要

本論文的內容將探討彩色影像分割的方法,基本的影像分割方法有四種: (一)統 計取閱值 (二)以邊緣為基礎 (三)以區域為基礎 (四)以物理性質為基礎,每個方法都 有其優缺點,而彩色影像的分割就是結合基本影像分割的理論再加上色彩的資訊,使得 可以從原來處理黑白影像進而到彩色影像。而色彩的資訊表示方式可以用許多不同的色 彩空間座標來表示,同樣的,每個色彩空間座標都有其優缺點,所以本文採用以區域為 基礎的分割法包含區域成長和區域合併,加上運用 3-D 聚集及鬆弛標記的方法來做彩色 影像分割,來減少只使用區域為基礎分割的缺點,並將其在不同的色彩空間座標實現, 找出最適合此提出的演算法的色彩空間,並加以比較。

此提出的演算法的優點為其考慮了影像上空間的資訊和其色彩的資訊並且減少了 雜訊對我們處理的影響,同時應用在適當的色彩空間下,可以提高色彩分割的準確率, 減少影像在實際生活中,陰影和光線的影響所造成分割的不準確,進而使之後影像的後 處理產生錯誤。

本文首先將介紹各種基本的影像分割的方法和所常用的色彩空間座標,然後介紹此 所提出的演算法所使用的理論,如:3-D 聚集、鬆弛、標記和本文所使用來判斷區域合 併的條件,並將此區域合併演算法的流程圖秀出。整個系統的流程圖包含區域成長和區 域合併都會列出使讀者了解。最後由實驗結果來驗證比較在何種色彩空間座標下,有最 好的分割效果,和最好的雜訊和關值的容忍度及處理速度和峰值的訊號雜訊比。

A Region-Based Segmentation Method of Color Images in Different Color Space

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ABSTRACT

A color image segmentation method is presented in this thesis. There are four basic methods of image segmentation: (1) histogram thresholding approaches; (2) edge based approaches; (3) region based approaches; (4) physical based approaches. Each method has its own advantages and disadvantages. The color image segmentation is combined with these basic image segmentation methods and color information in the image. So we can process color images. There are also many color space to represent color information. Similarly, each color space has its own virtues and drawbacks. We adopt region based approaches to segment color image in the thesis. We use 3-D clustering and relaxation labeling to do region growing to reduce the shortcomings of the region based approaches, and we realize this algorithm in the different color space to find the most suitable color space for this algorithm and we compare them.

The advantages of this proposed algorithm are color information and spatial relation in the image. We also reduce the noise effect. In the suitable color space, we can improve the accuracy of color image segmentation because we reduce the shadow and highlights effects which may happen in the real world image. So the error in the post image process will be decreased.

In the thesis, we will introduce these basic image segmentation approaches and several frequently used color space first. Then the methods in this proposed algorithm will be bring up such as 3-D clustering, relaxation, labeling and the flowchart of region merging process including the determination of the process doing or not. The whole system including region growing process and region merging process will be show in detail to tell the reader to know this proposed algorithm. Finally, the experiment results will test and verify this proposed algorithm and prove which color space has the better color image segmentation performance and suitable for this algorithm. The comparison between every color space will be told about. The tolerance of the noise and threshold, and peak signal and noise ratio will be shown to illustrate.

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Chapter 1. Introduction

People are interested in digital image processing methods that come from two important applications: one is to modify image information to let people understand clearly what it is, and the other is to let the image scene data be recognized by computer automatically. So the most significant work of image processing is to transform images including many signals and characters to the information which has its actuality meaning. Many research have been published [1] [2]. The image segmentation is to extract objects and components detail, and it is a very important image process technique in many image analyses and implications. In many applications, not all of signals are needed to deal with. The image segmentation is employed to extract meaningful data in image for effective processing image analysis. The principal works of image segmentation are extracting different composing objects or separating objects from the background by information in the image. It separates each object single-handed and analyzes effective information in order to get each character.

The traditional image segmentation techniques are used in monochrome image and gray level image. Its principle is based on two essential properties of gray level which are discontinuity and similarity. There are two basic methods, namely, the region-based method and edge-based method, among two essential properties of gray level. The region-based method is using gradient image to find the boundaries of the objects. These boundaries separate the image into several different regions. But this method is not good when the intensity is not continuous. The edge-based method set all pixels in the image with different regions. This method is sensitive to noise and intensity contrast.

It has long been recognized that human eye can discern thousands of color shades and intensities but only two-dozen shades of gray. It is quite often when the objects cannot be extracted using gray scale but can be extracted using color information. Compared to gray scale, color provides information in addition to intensity. Color is useful or even necessary for pattern recognition and computer vision. Also the acquisition and processing hardware for color images have become more available and accessible to deal with the computational complexity caused by the high dimensional color space. Hence, color image processing has become increasingly more practical.

In the thesis, the 3-D clustering and relaxation labeling method are employed to segment color images. The proposed method can avoid the seed points choosing for region growing and reducing noise influence, because the region is based on the similarity of color. This assumption often makes it difficult for any algorithms to separate the objects with highlights, shadows, shadings or texture which cause inhomogeneity of colors of the objects' surface. So we use several color space to overcome these disadvantages. Then the algorithm will have both advantages. And the final results will retain most important information of origin color image. The trivial color and region will be eliminated. It will be separated and recognized easily and will be transferred with low cost.

1.1 Background

In this section, we will introduce several basic theorems [3] for color image segmentation. For segmentation of color images, the techniques can roughly be categorized into the following four classes [4]: (1) histogram thresholding approaches; (2) edge based approaches; (3) region based approaches; and (4) physics based approaches. A segmentation method may be one of them or a combination of two or more of the mentioned classes.

(1) Histogram thresholding is one of the widely used techniques for monochrome image segmentation [5]. It assumes that images are composed of regions with different gray level ranges. The histogram of an image can be separated into a number of peaks, each corresponding to one region, and there exists a threshold value corresponding to valley between the two adjacent peaks. Gray level thresholding is the simplest segmentation process. Many objects or image regions are characterized by constant reflectivity or light absorption of their surface. A threshold can be determined to segment objects and background. Thresholding is computationally inexpensive and fast. It is the oldest segmentation method and is still widely used in simple applications. It can easily be implemented in real time. A complete segmentation of an image R is a finite set of regions $R_1, ..., R_s$,

$$R = \bigcup_{i=1}^{S} R_{i} \qquad R_{i} \bigcap R_{j} = \emptyset \qquad i \neq j$$
(1.1)

Complete segmentation can result from thresholding in simple scenes. Thresholding is the transformation of an input image f to an output segmented binary image g as follows:

$$g(i, j) = 1$$
 for $f(i, j) \ge T$

$$g(i, j) = 0$$
 for $f(i, j) < T$ (1.2)

where T is the threshold, g(i, j) = 1 for image elements of objects, and g(i, j) = 0 for image elements of the background. The basic thresholding algorithm is shown below:

Basic thresholding algorithm:

Search all the pixels f(i, j) of the image f. An image element g(i, j) of the segmented image is an object pixel if $f(i, j) \ge T$, and is a background pixel otherwise.

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If objects do not overlap each other, and if their gray-levels are clearly distinct from background gray levels, thresholding is a suitable segmentation method. There are many thresholding algorithms. How to choose a proper threshold is shown in detail in [6]. As for color images, the situation is different from monochrome image because of multi-features. Multiple histogram thresholding divided color space by thresholding each component histogram. Since the color information is represented by tristimulus R, G, and B color space or their linear or nonlinear transformations, representing the histogram of a color image in a 3-dimensional array and selecting threshold in this histogram is not a trivial job [7]. The advantage of a histogram thresholding technique is that it does not need a priori information of the image. One of the disadvantages of the histogram-based technique is it can't guarantee that regions are continuous if it does not consider the spatial details. This problem can be solved by a combination of a histogram-based method and other segmentation methods [8].

(2) Edge based detection is another approach to image segmentation. It is extensively utilized for gray level image segmentation which is based on the detection of discontinuity in gray level trying to locate points with abrupt changes in gray level. Edge detection techniques are usually classified into two categories: sequential and parallel [2] [9]. A parallel edge detection technique means that the decision of whether or not a set of points are on an edge is not dependent on whether other sets of points lie on an edge or not. One technique is high emphasis spatial frequency filtering. Since high spatial frequencies are associated with sharp changes in intensity, one can enhance or extract edges by performing high pass filtering using the Fourier operator. The problem here is how to design a relevant filter. There are many types of parallel differential operators such as Roberts, Sobel, and Prewitt operators which are called the first-difference operators, and the Laplacian operator is called the second-difference operator. The main differences between these operators are the weights assigned to each element of the mask. These operators require that there is a distinct change in gray level between two adjacent points and only very abrupt edges between two regions could be detected. They cannot detect ill-defined edges that are formed by a gradual change in gray level across the edge. Since the computation is based on a small window, the result is quiet susceptible to noises. The noise may cause an edge presence in locations where there is no border and no edge presence where a real border exists. Sequential edge detection means that the result at a point is dependent on the result of the previously examined points. There are a number of sequential techniques utilizing heuristic search and dynamic programming. The performance of a sequential edge detection algorithm will depend on the choice of a good initial point and it is not easy to define a termination criterion.

In a monochrome image, edge is defined as a discontinuity in the gray level and can be

detected only when there is a difference of the brightness between two regions. However, in color images, the information about edge is richer than in monochrome case. For example, edges between two objects with the same brightness but different hue can be detected in color images [10]. So an edge should be defined by a discontinuity in a three-dimensional color space in a color image. There are three alternatives for the definition of a color edge [11]: (i) Define a metric distance in some color space and use discontinuities in the distance to determine edges. This makes color edge detection still be performed in 1-D space. Hence the result cannot be expected to be better than that achieved by edge detection in an equivalent monochrome image. (ii) Regard a color image as composed of three monochrome images formed by the three color components, respectively, and perform gray level edge detection on these three images separately. Then the edges detected in the three images might be merged by some specified procedures. This is still essentially a gray level edge detection technique and may be unsatisfactory in some cases, for example, when gradient edge detectors are employed, the three gradients for one pixel may have the same strength but in opposite directions [12] [13]. (iii) Impose some uniformity constraints on the edges in the three color components to utilize all of the three color components simultaneously, but allow the edges in the three color components to be largely independent. Actually, these constraints directly affect the computation of the three color components which makes definition (iii) essentially different from definition (ii).

However, edge based detection for image segmentation has difficulties because it does not work well with images in which the edges are ill-defined or where there are too many edges, and it is difficult to link edges into closed boundaries. It can only provide useful information about the region boundaries for the higher level systems. It is possible to obtain a good segmentation result with other approaches, such as region based segmentation combine with edge detection to complete the segmentation tasks.

(3) Region based approaches including region growing, region splitting, region merging

and their combination attempt to separate regions on the basis of a homogeneity predicate defined on groups of connected pixels [14]. The regions must satisfy the following conditions:

$$H(R_i) = TRUE \qquad i = 1, 2, ..., S$$
$$H(R_i | JR_i) = FALSE \quad i \neq j, \qquad R_i \text{ adjacent to } R_i \qquad (1.3)$$

where S is the total number of regions in an image and $H(R_i)$ is a homogeneity evaluation of the region R_i. Resulting regions of the segmented image must be homogeneous. Homogeneity is an important property of regions, and is used as the main segmentation criterion in region based approaches, whose basic idea is to divide an image into zones of maximum homogeneity. The criteria for homogeneity can be based on gray level, color, texture, and etc. In the region growing approach, a seed region is first selected then expanded to include all homogeneous neighbors and this process is repeated until all pixels in the image are classified. Region growing techniques are generally better in noise images where borders are extremely difficult to detect. A problem with region growing is its inherent dependence on the selection of the seed region and the order in which pixels and regions are examined. In the region splitting approach, the initial seed region is simply the whole image. If the seed region is not homogeneous, it is usually divided into four squared sub-regions which become new seed regions. This process is repeated until all sub-regions are homogeneous. The major disadvantage of region splitting is that the resulting image tends to mimic the data structure used to represent the image and comes out too square. The region merging approaches are often combined with region growing or region splitting to merge the similar regions for making a homogeneous region as large as possible. These techniques work best on images with an obvious homogeneity criterion and tend to be less sensitive to noise because homogeneity is typically determined statistically. They are better than thresholding by taking into account both feature space and the spatial relation between pixels simultaneously. However, all region based approaches are by nature sequential, and another problem with

these techniques is their inherent dependence on the selection of seed region and the order in which pixels and regions are examined. The other disadvantage is that they are more expensive in computing time. But the region based approach is widely used in color image segmentation because it considers the color information and spatial details at the same time. It is a quiet strong point.

(4) Physics based segmentation approaches aim at solving this problem by employing physical models to locate the objects' boundaries while eliminating the spurious edges of shadow or highlights in a color image. Two models, the "dichromatic reflection model" [15] and the "approximate color-reflectance model (ACRM)" [16] are the most common ones. Reflection is highly related to the nature of the materials. Reference [16] divided materials into different classes: optically homogeneous materials like metals, glass and crystals, and optically inhomogeneous materials such as plastics, paper, textiles and paints. Usually it is very helpful to identify or classify the material in the scene of an image before the algorithm is applied. For example, we should distinguish metals from dielectrics since they interact with lights in different ways and require different algorithms for image understanding. Reference [15] created a method to determine the amount of interface reflection and body reflection in a color image pixel by pixel and represented an algorithm for analyzing color values which is very useful in color image understanding based on "dichromatic reflection model." "ACRM" [16] demonstrated the independence of the spectral composition and geometrical scaling of the light reflected. This model is consistent with dichromatic reflection model when the materials are inhomogeneous dielectrics.

The existing physics based models are efficient only in image processing for the materials whose reflection properties are know and easy to model. There are too many rigid assumptions of these physics models regarding the material type, the light sources and illumination. These conditions may not be satisfied in the real world. Therefore, these models can be used only in a very limited scope of applications. The comparisons of these

segmentation techniques are tabled below [3]:

Segmentation	Method description	Advantages	Disadvantages		
technique					
Histogram thresholding	Requires that the histogram of an image has a number of peaks, each corresponds to a region and use its valley to segment the regions.	It does not need prior information of the image. For a wide class of images satisfying the requirement, this method works very well with low computation complexity it is the	 (1) Does not work well for an image without any obvious peaks or with broad and flat valleys. (2) Does not consider the spatial details 		
	ALL DE LE DE	fastest.	the spatial details, so cannot guarantee that the segmented regions are contiguous.		
Edge based approaches	Based on the detection of discontinuity, normally tries to locate points with more or less abrupt changes in gray	Edge detecting technique is the way in which human perceives objects and works well for images having good	(1) Does not work well with images in which the edges are ill-defined or		
	level. Usually classified into two categories: sequential and parallel.	contrast between regions.	 there are too many edges. (2) It is not a trivial job to produce a closed curve or 		
			boundary.(3) Less immune to noise than other techniques.		
Region based approaches	Group pixels into homogeneous regions. Including region growing, region splitting, region	Work best when the region homogeneity criterion is easy to define. They are also more noise immune	 (1) Are by nature sequential and quite expensive both in computation time 		
	merging or their	than edge detection	and memory.		

Tabla 1-1	Comparisons	of somenting	techniques
	Comparisons	of segmenting	teeningues.

	combination.	approach. It considers	(2) Region growing
		both the color	has inherent
		information and spatial	dependence on the
		details at the same time.	selection of seed
			region and the
			order in which
			pixels and regions
			are examined.
			(3) The resulting
			segments by
			region splitting
			appear too square
			due to the
			splitting scheme.
Physics based	Employing physical	It is very helpful to	There are too many
1 119 510 5 00 500	models to locate the	identify and classify the	rigid assumptions of
approaches	objects' boundaries	material in the scene of	these physics models
	while eliminating the	an image before the	regarding the material
	spurious edges of	algorithm is applied. It	type, the light source
	shadow or highlights in	is efficient only in	and illumination.
	a color image.	image processing for	These conditions may
	"Dichromatic reflection	the materials whose	not satisfy in the real
	model" and	reflection properties are	world. It is very
	"approximate color	known and easy to	limited used.
	reflectance model" are	model.	
	the most common ones.		

As mentioned above, each technique has its own advantages and disadvantages for separating regions in color image. Some disadvantages of one technique can be overcome by the advantages of other techniques. So if we combine several techniques together properly, maybe we can get a better segmentation result. In this thesis, we combine several segmented method like clustering method for smoothing, which is pre-processing stage of a region based approach, relaxation labeling for region assignment of the pixels, and addition to proper color space to get the better results. In our case, the outcome of a relaxation step can be viewed as a relaxation of the constraint of the triangle inequality which is much different from classical probabilistic relaxation schemes. The computation complexity of the proposed algorithm is lower than those of other methods.

1.2 Organization of the thesis

The remainder of this thesis is organized as follows.

In Chapter 2, we will introduce all color space we use in the thesis in order to eliminate the disadvantages of region with highlights and shadows. Each color space will be described in detail including its advantages and disadvantages, and its transformation whether linear or nonlinear from the original color space RGB. All of the color space will be used in the proposed algorithm.

In Chapter 3, the proposed algorithm, region growing by relaxation labeling, will be shown. It is the core of the thesis. The procedures of the algorithm will be brought up sequentially and each method we used in the system will also be introduced in detail and systematically including cluster method, labeling, relaxation, region merging, and etc. The overall system flowchart will be shown in the last of the chapter.

In Chapter 4, the experiment results of the proposed algorithm will be discussed. We use public commonly used image to test this algorithm. And we will compare all of the color space we use in the algorithm to show its advantages and shortcomings.

Finally, in Chapter 5, the conclusions and the future work will be given.

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Chapter 2. Color Space

2.1 Overview

Image segmentation is the first step in image analysis and pattern recognition. It is a critical and essential component of image analysis and pattern recognition system, is one of the most difficult tasks in image processing, and determines the quality of the final result of analysis. In order to get better performance in color image segmentation, we use several color space to test which one can get better result and is more robust against noise and shadow in the same algorithm. There are many existing color space for arranging and describing color, such as RGB, YIQ, YUV, I, nRGB, HIS, CIE, Munsell, etc.[17][18]. Each pixel in an image is usually expressed by its R, G, and B values. However, the values of RGB are sometimes not suitable for color processing and we need to do some transformation to convert the RGB color space to some other suitable color space. In this chapter, we will review several color spaces which have been used in my research to see which one can provide better experiment results.

Color is perceived by humans as a combination of tristimuli red (R), green (G), and blue (B) which are usually called three primary colors. From R, G, B representation, we can derive all colors in the world. R, G, B components can be obtained through three separate filters based on the following equations:

$$R = \int_{I} E(I)S_{R}(I)dI$$
(2.1)

$$G = \prod_{I} E(I) S_G(I) dI$$
(2.2)

$$B = \int_{l} E(l) S_B(l) dl$$
(2.3)

where S_R , S_G , S_B are the color filters on the incoming light or radiance $E(\lambda)$, and λ is the wavelength. The RGB color space can be geometrically represented in a 3-dimensional cube shown in Fig.2.1 [19]. The coordinates of each point inside the cube represent the values of red, green, and blue components, respectively. The laws of colorimetry [20] are: (1) any color can

be created by these three colors and the combination of the three colors is unique; (2) if two colors are equivalent, they will be again equivalent after multiplying or dividing the three components by the same number; and (3) the luminance of a mixture of colors is equal to the sum of the luminance of each color.



Fig. 2.1 RGB color space represented in a 3-dimensional cube [19].

RGB is the most commonly used model for the television system and pictures acquired by digital cameras. It is suitable for color display, but not good for color scene segmentation and analysis because of the high correlation among the R, G, B components [5]. By high correlation, we mean that if the intensity changes, all the three components will change accordingly. Also, the measurement of a color in RGB space does not represent color differences in a uniform scale. Hence, it is impossible to evaluate the similarity of two colors from their distance in RGB space. So there are some linear transformations and nonlinear transformations to transfer the

RGB color space to other color spaces for improving the disadvantage of RGB color space.

2.2 Linear Transformation

The linear transformation is that the new color spaces are obtained by RGB color space with linear transformation. They both have linear relation and comply with linear algebra properties. The linear transformation needs less computation time than nonlinear ones, which makes the linear systems more preferable to nonlinear systems.

2.2.1 YIQ Color Space

YIQ is used to encode color information in TV signal for American system. It is obtained from the RGB model by a linear transformation:

$$\begin{pmatrix} Y \\ I \\ Q \end{pmatrix} = \begin{pmatrix} 0.299 & 0.587 & 0.114 \\ 0.596 & -0.274 & -0.322 \\ 0.211 & -0.253 & -0.312 \end{pmatrix} \begin{pmatrix} R \\ G \\ B \end{pmatrix}$$

Where $0 \le R \le 1$, $0 \le G \le 1$, $0 \le B \le 1$. (2.4)

The Y component, a measure of the luminance of the color, is a likely candidate for edge detection in a color image. The I and Q components jointly describe the hue and saturation of the image [21]. The YIQ space can partly get rid of the correlation of the red, green and blue components in an image.

2.2.2 I₁I₂I₃ Color Space

Karhunen-Loeve [22] transfer R, G and B to a effective color features as follows:

$$I_1 = (R + G + B)/3 \tag{2.5}$$

$$I_2 = (R - G)/2 \tag{2.6}$$

$$I_3 = (2G - R - B)/4 \tag{2.7}$$

In [22] claimed that $I_1I_2I_3$ was more effective in terms of the quality of segmentation and the computational complexity of the transformation. In order to reduce the complexity of $I_1I_2I_3$, we transfer this color space to I_2 ' and I_3 ' color spaces. We can just use I_1 Eq. (2.5) and I_2 ' Eq. (2.8) or I_1 and I_3 ' Eq (2.9) to segment color image. The transform equations are as follows:

$$I_2' = (R - B)$$
 (2.8)

$$I_{3}' = (2G - R - B)/2 \tag{2.9}$$

It also can get reduce correlation with other axis.

2.3 Nonlinear Transformation

In this section, we will introduce the new color spaces which are transferred by nonlinear

transformation.

2.3.1 nRGB (Normalized RGB) Color Space

The normalized color space is formulated as:

$$r = R / (R + G + B)$$
(2.10)

$$g = G / (R + G + B)$$
(2.11)

$$b = B / (R + G + B)$$
(2.12)

Since r + g + b = 1, when two components are given, the third component can be determined. We may use only two of three components. They can represent the real color information of an image and they are independent of the brightness of the image which is one of the advantages of the normalized RGB color system [23]. Because for color image segmentation, we need to make it is desired that colors be independent of the change in lighting intensities. Furthermore, it is more convenient to represent the color plane since the color values

are set to a narrow limitation.

An obvious shortcoming of normalized RGB is that the normalized RGB colors are very noisy if they are under low intensities, because they are transferred by nonlinear transformation from RGB color space. And normalization reduces the sensitivity of the distribution to the color variable. But it is relatively robust to the change of the illumination.

2.3.2 HSI Color Space

The HSI (hue-saturation-intensity) system, which is more intuitive to human vision [3], is another commonly used color space in image processing. And it separates color information of an image from its intensity information. Color information is represented by hue and saturation values, while intensity, which describes the brightness of an image, is determined by the amount of the light. Hue represents basic colors. It is determined by the dominant wavelength in the spectral distribution of light wavelengths. So we can segment objects with different colors by hue only. Since hue is independent on intensity values, it is especially efficient when the images have non-uniform illumination such as shade. The saturation is a measure of the purity of the color. It signifies the amount of white light mixed with the hue. The formulas for RGB to HSI are:

$$H = \arctan(\frac{\sqrt{3(G-B)}}{(R-G) + (R-B)})$$
(2.13)

$$S = 1 - \frac{\min(R, G, B)}{I} \tag{2.14}$$

$$I = \frac{(R+G+B)}{3}$$
(2.15)

Where the hue is undefined if the saturation is zero, and the saturation is undefined when the intensity is zero. The HSI color space can be described geometrically as in Fig. 2.2. Hue is considered as an angle between a reference line and the color point in RGB space. The range of the hue values is from 0° to 360° and each degree represents its owned color. The saturation component represents the radial distance from the cylinder center. The nearer the points is to the center, the lighter is the color. Intensity is the height in the axis direction. The axis of the cylinder describes the gray levels. For instance, zero (minimum) intensity is black, and full (maximum) intensity is white. Each slice of the cylinder perpendicular to the intensity axis is a plane with the same intensity.



Fig. 2.2 HSI color space



The HSI color system has a good capability of representing the colors of human perception, because human vision system can distinguish different hues easily, whereas the perception of different intensity or saturation does not imply the recognition of different colors. And segmentation in the 1-D hue space is computationally less expensive than in the 3-D RGB space. One of the disadvantages of this color space is that hue has a non-removable singularity near the axis of the color cylinder, where a slight change of input R, G and B values can cause a large jump in the transformed values. The singularities may create discontinuities in the representation of colors [20]. Also, if the intensity of the color lies close to white or black, hue and saturation play little role in distinguishing colors. The relationship between RGB color space and HSI color space is shown in Fig. 2.3.

2.3.3 Munsell Color Space

Munsell color system is one of the earliest methods to specify colors. It was created in 1969 [24] and is the best in simulating the human color vision. The Munsell system describes all possible colors in terms of its three coordinates, Munsell Hue, Munsell Value, Munsell Chroma. Figure 2.4 describes the Munsell color space. It can be represented by a cylindrical coordinate system consisting of H, V and C similar to the HIS space.



Fig. 2.4 Minsell color space [3].

There are five colors regarded as the major hues, red (R), yellow (Y), green (G), blue (B) and purple (P). The combinations of colors: YR, GY, BG, PB, and RP are five half-way hues. The hue circle is divided equally into 100 parts with the five major hues and the five halfway hues located on the circle with equal spaces. All hue values are arranged on the hue circle. Munsell value (V) describes the lightness of a color. It defines the value of black as 0 and the value of white as 10. An equation describes the relationship between V and Luminance Y is

$$Y = 1.2219V - 0.23111V^{2} + 0.23951V^{3}$$

-0.021009V⁴ + 0.0008404V⁵ (2.16)

Chroma (C) is like the saturation component in the CIE representation. It describes the purity of a color. When C is equal to zero, it is an achromatic color. A color in the Munsell color system can be written as HV/C. For instance, 5R5/10 stands for that the Munsell Hue is 5R, the Munsell Value is 5, and the Munsell Chroma is 10.

Nevertheless, it is impossible to calculate color difference of two colors by such representation. We have to convert such representation into real numbers. So we use the hue, value, chroma space (HVC color space) instead of the Munsell color space. The HVC color space is a variant of the Munsell color space. It expresses colors in terms of tri-attributes of human color perception [25]. In essential, the HVC color space is the same as the Munsell color system, so the HVC color space also gives the best performance for experiments with variety of color spaces [26]. The following is the color transformation of RGB to HVC [27]:

$$\begin{bmatrix} x_c \\ y \\ z_c \end{bmatrix} = \begin{bmatrix} 0.620 & 0.178 & 0.204 \\ 0.299 & 0.587 & 0.114 \\ 0.000 & 0.056 & 0.942 \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$
(2.17)
$$M_1 = f(x_c) - f(y)$$
(2.18)

$$M_{2} = 0.4(f(z_{c}) - f(y))$$
(2.19)

$$H_3 = f(y) \tag{2.20}$$

Where
$$f(u) = \frac{18.51u}{u + 17.58\left(1 + \frac{5.146u}{u + 30.07}\right)}$$
 (2.21)

$$S_1 = (8.880 + 0.966 \cos q) M_1 \tag{2.22}$$

$$S_2 = (8.025 + 2.558 \cos q) M_2 \tag{2.23}$$

Where
$$q = \arctan \frac{M_2}{M_1}$$
 (2.24)

Finally, we have

$$H = \arctan\frac{S_2}{S_1} \tag{2.25}$$

$$V = H_3 \tag{2.26}$$

$$C = \sqrt{S_1^2 + S_2^2} \tag{2.27}$$

To calculate the color difference in the HVC color space, we make use of National Bureau of Standards (NBS) color distance [28] instead of the Euclidean distance measure. The NBS color distance is similar to Godlove's formula. For two colors (H_1, V_1, C_1) and (H_2, V_2, C_2) , the color distance is:

dist =
$$1.2\sqrt{(2C_1C_2)(1-\cos(2p\Delta H/100))+(\Delta C)^2+(4\Delta V)^2}$$
 (2.28)

where
$$\Delta H = |H_1 - H_2|$$
, $\Delta V = |V_1 - V_2|$, and $\Delta C = |C_1 - C_2|$

It was found that in the HVC color space, the human color perception has close relation to the NBS color distance. The relation of human color perception and NBS distance is shown in Table 2.1. We know that if the NBS color distance of two colors below 1.5, human will regard the colors almost the same from the table.

0~1.5 Almost the same

Slightly different

Very different

Different color

Remarkably different

1.5~3.0

3.0~6.0

6.0~12.0

12.0~

Table 2.1 The correspondence between the human color perception and the NBS distance.

Chapter 3. Proposed System Model for Color Segmentation

3.1 Overview

In this chapter, several color segmentation approaches will be reviewed. Then the proposed method for color image segmentation will be followed. The whole system will be discussed detailed from section 3.1 to 3.4. And the principles and theories which are used in my proposed system will also be discussed. The overall algorithm will be shown in detail in the last section of this chapter.

The color segmentation approaches are composed of monochrome segmentation approaches introduced in Chapter 1 and color spaces in Chapter 2. It can be shown in Fig.3.1. Most gray level image segmentation techniques can be extended to color images, such as histogram threshold, clustering, region growing, edge detection, fuzzy approaches and neural networks. Gray level segmentation methods can be combined with some other methods to obtain a final segmentation result [3]. However, one of the problems is how to employ the color information as a whole for each pixel. When the color is projected onto three components, the color information is so scattered that the color images becomes simply a multi-spectral image and the color information that humans can perceive is lost. Another problem is how to choose the color representation for segmentation. There are many color representation approaches and each one has its advantages and disadvantages. I will use all color spaces discussed in Chapter 2 to see which one has the better performance in my proposed algorithm, and which color space is suitable for region growing algorithm.



Fig. 3.1 Commonly used color image segmentation approaches [3].

3.2 System Model

The proposed color image segmentation algorithm is a region based approach. The advantage of region-based segmentation is that it considers both the color information and spatial details. The proposed algorithm is a series of region growing and merging process. It combines color information classification and region growing techniques [4]. Both techniques have their owned advantages and disadvantages for separating disjointed regions in color images. Some disadvantages of one technique can be overcome by the advantages of another to yield a much better segmentation results if we combine both techniques properly. The proposed algorithm starts with the region growing process, which groups pixels into homogeneous regions by combining the 3-D clustering and relaxation labeling techniques. And each resulting small region is then merged to its nearest region in terms of color similarity and spatial proximity. One problem with region growing is its inherent dependency on the selection of seed region, which can be avoided by using the relaxation labeling technique. It is an important part to solve how to choose appropriate seed points. After establishing the whole system, the different color space will be replaced by its origin RGB color space for clustering and region growing.

The whole system flow chart is shown in Fig. 3.2.



Fig. 3.2 Flowchart of the proposed algorithm.

3.3 Smooth and Cluster

In this section, the smooth function shown in Fig. 3.2 will be addressed. The smooth function smooth a color image by 3-D clustering. The 3-D color clustering is to remove small objects or noise of a given input color image. The color clustering technique works as a pre-processing stage of a region-based approach. Similar to Mirmehdi and Petrou's method [8], the proposed method uses a color clustering method for smoothing and relaxation labeling for region assignment of the pixels. In the proposed smooth function, K-means clustering method is used for smoothing color image. The K-means algorithm is similar to Forgy's algorithm [29]. Besides the data, input to the algorithm consists of k, the number of clusters to be constructed. The K-means algorithm differs from Forgy's algorithm in that the centroids of the clusters are recomputed as soon as a sample joins a cluster. The K-means algorithm are given below:

K-means Algorithm:



Step 2. Go through the data a second time. For each sample, find the centroid that nearest to it. Put the sample in the cluster identified with this nearest centroid. (During this step, do not recompute any centroid.)

The smooth function algorithm is shown below:

SMOOTH (I,P,N) Algorithm:

Step 1. Take the N x N neighborhood mask M of pixel P in a given input image I, where P is the center of mask M.

Step 2. Using K-means clustering method to classify the pixels in M into two classes, Class 0

 (C_0) and Class 1 (C_1) .

- Step 3. Computes the means C_0 and C_1 for Class 0 and Class 1 respectively. And the pixel P is clustering belong to which cluster.
- Step 4. Let C_p' be the new label color of P which is the means of the cluster that the pixel P belongs to. It replaces the origin color of pixel P.

For example, if N=3, it is a 3 x 3 mask. The P is the target pixel in the mask center. It has nine pixels including P and each one has its own color as shown in Fig. 3.3 (a). Then it is going through the K-means algorithm to remove noise and to classify into two classes. After classifying, the target pixel P is clustering in one of two classes and the color of P pixel will be replaced by the mean color of cluster which the P pixel belongs to. The new color of P pixel is produced, and the new color is labeled. The labeled color is the color of pixel P after smoothing and it has its own number. Each number also means a region. The situation after smooth function processing is displayed in Fig 3.3 (b).



Fig. 3.3 Example for smooth function.

Every pixel in the color image will process this smooth function to remove noise and

find label color information and seed points for region growing. This is an important pre-processing for region growing. The label color and the origin color may be the same or different. There are many ways for color clustering such as fuzzy c-means, principal component analysis [30], and etc.

3.4 Labeling

In order to identify which pixels belong to each specific region, we use labeling. By labeling, we can measure various properties for each individual region such as its size, shape, location, or color for image understanding. Before introducing labeling, the neighborhood which is adjacent to the pixel is defined. There are two ways to determine adjacent or not. One is 4-adjacent if they share a side, and the other is 8-adjacent if they share either a side or a corner, as shown in Fig. 3.4.

The pixel denoted by 0 is 4-connected to its 4-neighbors marked as 4 and 8-connected to all of its 8-neighbors marked as 4 and 8.

8	4	8
4	0	4
8	4	8

Fig. 3.4 4-adjacent and 8-adjacent.

In this research, we use 8-adjacent way for labeling. Now we describe a labeling algorithm that replaces each pixel of image by a negative number representing the region to which the pixel belongs are not labeling yet. The algorithm uses a list to keep track of pixels that are unlabeled yet. This list also called a queue which has two operations: insert (m, n) which inserts the pixel (m, n) at the end of the list, and (m, n) **B** remove() which removes the pixel from the front of the list and saves it for further use as the pixel (m, n).

The algorithm begins by scanning the image from left to right, top to bottom. When an unlabeled pixel (x, y) is found, the algorithm will label all the pixels in the 8-connected region to which (x, y) belongs before it labels any pixels from other regions. We first obtain a new label L then label (x, y) as L and add (x, y) to an initially empty list of pixels whose neighbors are to be checked later. Next we remove the pixel (m, n) least recently placed in the list. We next label with L each unlabeled 4-neighbor of (s, t) that has the same character as (m, n) and insert each such 8-neighbor in the list such 8-neighbors belong to the same region as (m, n). We then repeat this process. If the list is not empty, we remove from the list the pixel (m, n) least recently placed in the list. We next label with L each unlabeled 8-neighbor of (m, n) that has the same character as (m, n) and insert each 8-neighbor in the list. Whenever the list is empty, one entire region has been labeled, at which point this process is terminated and the process is repeated by scanning the image from left to right, top to bottom, looking for another unlabeled pixel. If such a pixel is found, we obtain a new label and restart the labeling process. The labeling algorithm can be expressed as follows:

Region-Labeling Algorithm:

Step 1. L **B** -1 (Initialize label)

Step 2. Scan the image from left to right and top to bottom for all (x, y).

If the pixel (x, y) conforms the requirement, then insert (x, y). While list is not empty do

(m, n) **B** remove() For each 8-neighbor (u, v) of (m, n) do If (u, v) is unlabeled and has same character with (x, y) Insert (u, v) end If end For end While L **B** L-1 (Get new label) End scan

3.4.1 Relaxation

Relaxation is an iterative process. For the specific problem of image thresholding, the thresholds for any given iteration are computed as a function of those in the same neighborhood at the previous iteration. The original scheme that will be followed is shown below [31]:

Original Relaxation Method:

- Step 1. Create an initial guess at segmentation for the image. Provide an estimate of the confidence in that guess at each pixel.
- Step 2. For each pixel, modify the segmentation and the confidence estimate based on the pixels in the local region; the surrounding eight pixels will do.
- Step 3. Repeat step 2 until the segmentation is complete. This might be when no further changes are seen in successive steps.

The confidence estimates have the appearance of probabilities, although they may not be accurate in that regard. All that matters is that they are fairly accurate with respect to the other pixels, especially those in the local neighborhood. By applying an iterative scheme the local consistencies adjust to global consistencies in the whole image.

There are many types of relaxation, some of them being used in statistical physics, while others are used in image understanding. To provide a better understanding of the idea, the discrete relaxation approach and probabilistic relaxation approach will be introduced.

3.4.1.1 Discrete Relaxation

Discrete relaxation assigns all existing labels to each object and iteratively removes all the labels which may not be assigned to an object violating the constraints. For example, consider the scene shown in Fig. 3.5 down below. Six objects are present in the image, including the background. Let the labels be background (B), window (W), table (T), drawer (D), and phone (P).



Fig. 3.5 Scene labeling example.

And the unary properties of object interpretations are:

- A window is rectangular.
- A table is rectangular.
- A drawer is rectangular.

The binary constraints are:

- A window is located above a table.
- A phone is above a table.
- A drawer is inside a table.
- Background is adjacent to the image border.

Given these constraints, the labeling in Fig 3.6 is inconsistent.



Fig. 3.6 Inconsistent labeling.

The possible relaxation sequence is shown below. At the beginning, all labels are assigned to each objects, and for each object all its labels are tested for consistency (Fig. 3.7(a)). Therefore, the label B can immediately be removed as inconsistent with objects 2, 3, 4, 5, and 6. Similarly, object 3 is not rectangular; therefore it violates the unary relation that must hold for T, W, D, etc. The final consistent labeling is given in figure 3.7(c).



(a) Where B (background), D (drawer), P (phone), T (table), and W (window)



Fig 3.7 Discrete relaxation: (a) all labels assigned to each object; (b) locally inconsistent labels are removed; (c) final consistent labeling.

The discrete relaxation algorithm can be given below.

Discrete Relaxation Algorithm [6]:

- Step 1. Assign all possible labels to each object, considering the unary constraints.
- Step 2. Repeat steps 3~5 until global consistency is achieved or is found to be impossible.
- Step 3. Choose one object to update its labels.
- Step 4. Modify labels of the chosen object by considering relations with other interacting objects.
- Step 5.If any object has no label, stop **à** a consistent labeling was not found.

3.4.1.2 Probabilistic Relaxation

Another kind of relaxation method is probabilistic relaxation. In discrete relaxation, it results in an unambiguous labeling in a majority of real situations. However it represents an oversimplified approach to image data understanding. It can not cope with incomplete or imprecise segmentation. Using semantics and knowledge, image understanding is supposed to solve segmentation problems which cannot be solved by bottom-up interpretation approaches. Probabilistic relaxation may overcome the segmentation problems of missing objects or extra regions in the scene, but it results in an ambiguous image interpretation which is often inconsistent. The probabilistic relaxation is similar to discrete relaxation. The object labeling depends on the object properties and on a measurement of compatibility of the potential object labels with the labeling directly interacting objects. All the image objects may be considered directly interacting and only adjacent objects are usually considered to interact directly.

These two relaxation approaches differs in interpretation robustness. Discrete relaxation allows only one label to be assigned to each object in the final labeling. Effort is directed to achieving a consistent labeling all over the image. It always finds either a consistent labeling or detects the impossibility of assigning consistent labels to the image. But if the image is in imperfect segmentation, discrete relaxation fails to find a consistent interpretation even only a small number of local inconsistencies are detected.

Probabilistic relaxation allows multiple labels to co-exist in objects. Labels are probabilistically weighted with a label confidence being assigned to each object label. It always gives an interpretation result together with a measure of confidence in the interpretation. Even if the result may be locally inconsistent, it often gives a better image interpretation than a consistent and possibly very unlikely interpretation resulting from a discrete labeling. Note that discrete relaxation may be considered a special case of probabilistic labeling with one label probability always being 1 and all the others being 0 for each object.

3.4.2 Relaxation Labeling

As mentioned before, each pixel of the image will pass through the smooth function to smooth the noise and find the particular seed points. If a pixel P is going to input to smooth function like figure 3.2, we first record its original color as vector \vec{C}_p^{org} . It means the pixel P's color information, for example, R^{org}_{p} , G^{org}_{p} , and B^{org}_{p} in RGB color space. In each color space, it records corresponded color information. After it passing through the smooth function, the pixel P will has its new color. It is expressed with vector \vec{C}_p^{lab} . Just like the meaning of \vec{C}_p^{org} , it records its R, G and B color information in RGB color space. Then we define an attribute d[] as the Euclidean distance which is the difference between the original color information and the label color information of the pixel. So d[p] means the difference between origin color and label color after smooth function of pixel P. It can be computed by:

$$d[p] = D(\vec{C}_{p}^{org}, \vec{C}_{p}^{lab})$$

= $\sqrt{(R_{p}^{org} - R_{p}^{lab})^{2} + (G_{p}^{org} - G_{p}^{lab})^{2} + (B_{p}^{org} - B_{p}^{lab})^{2}}$ (3.1)

Where the last equation is perform in original color space RGB. We also call it a label-error estimate.

To employ the pixel grouping process for region growing by relaxation labeling technique, we first initialize parameters as shown in Fig. 3.2. After initializing the system, the process of relaxation labeling is carried out for each pixel. We define the relaxation labeling operator over each pixel as:

$$\begin{bmatrix} \vec{C}_{q}^{\ lab} \\ rn[q] \\ d[q] \end{bmatrix} = \begin{bmatrix} \vec{C}_{p}^{\ lab} \\ rn[p] \\ D(\vec{C}_{p}^{\ lab}, \vec{C}_{q}^{\ org}) \end{bmatrix}$$
(3.2)

if
$$d[q] > d[p] + w_{p,q}$$
 (3.3)

Where p is the main pixel in the center of the mask and q is a neighborhood pixel of pixel p. And rn[] is the region number of the pixel, for instance, rn[p] is the region number of pixel p and so on. D(a, b) is the Euclidean distance between two color vector a and b. The weight w_{p,q} means the constraint to avoid merging the pixels p and q. It also indicates if the pixel q and p belong to the same region. Here, w_{p,q} are set to be $D(\vec{C}_p^{org}, \vec{C}_q^{org})$. If the condition is satisfied, the information associated to q is changed. The label color of pixel q is been update to label color of pixel p, and the region number of q (rn[q]) is changed to the same as the region number of p (rn[p]). The label-error estimate of pixel q (d[q]) is replaced by $D(\vec{C}_p^{lab}, \vec{C}_q^{org})$ which is the new label-error estimate of pixel q. The process of relaxation labeling a neighboring pair pixel (p, q) consists of testing whether we can improve the label-error of q by going through the relaxation labeling operator of p and, if it conforms the condition, updating the data as shown in equation. As shown in Fig. 3.8, a relaxation step may group the pixels p and q together and decrease the value of the label-error estimate d[q].



Fig 3.8 A relaxation labeling step.

If $d[q] > d[p] + w_{p,q}$, not only the value of d[q] is decreased by replacing the label color of q with that of p but also set the pixel q with the same region label number as pixel p.

Pixel p is so called the seed point, and this action is called relaxation labeling. After relaxation labeling operator, the region number and the label color of pixel q is as same as pixel p. Every pixel in the image will do the relaxation labeling operator if the relaxation pixel is determined as a seed point after passing through the smooth function. Note that the value of label-error d[] may achieve its lower bound after a few iterations.

3.5 Region Merging

When the image has already been segmented into regions, the regions must satisfy the following conditions :

 $H(R_i) = TRUE$ i=1, 2, ..., S

$$H(R_i \cup R_j) = FALSE$$
 $i \neq j$, R_i adjacent to R_j (3.4)

where S is the total number of regions in an image and $H(R_i)$ is a homogeneity evaluation of the region R_i . Resulting regions of the segmented image must be both homogeneous and maximal. Where 'maximal' means that the homogeneity would not be same after region merging with any adjacent region.

The general region merging algorithm is given below:

Region Merging Algorithm

- Step 1. Define some starting method like relaxation labeling to segment the image into many small regions satisfying condition ex: Eq. 3.3.
- Step 2. Define a criterion such as Eq. 3.5 for merging two adjacent regions.
- Step 3. Merge all adjacent regions satisfying the merging criterion. If no more regions can be merged while maintaining condition Eq. 3.5 is satisfied, then stop. Otherwise go to Step 2.

Among the regions obtained in the previous section, only parts of them are really characteristic, so the other regions need to be merged into them. Here we use specific method differs from the general region merging algorithm in the criterion for merging. The image has already been segmented to several small regions from relaxation labeling shown in last section. We consider that the regions which have smaller values of the spatial color diversity rates. Not only consider the color between the regions but also the space position of each region to determine whether it merges or not. We define the spatial color diversity rate of a region R as:

$$color_diversity(R) = \frac{\sum_{p \in R} \sum_{q \in neighbors(p)} D(\vec{C}_p, \vec{C}_q)}{\sum_{p \in R} I}$$
(3.5)

Where neighbors(p) means the set of pixels which are spatially adjoining p and \vec{C}_p , \vec{C}_q are the color vectors of pixels p and q. The denominator of color_diversity rate is the number of pixels which are in the same region R. The numerator of color_diversity rate represents that every pixel which is neighboring upon the pixels in the same region R to calculate each value of color difference defined in Eq. 3.1 and then sum them total. The definition of Eq. 3.5 has considered both color information and spatial information for region merging. It is specifically and predominantly than other region merging algorithms.

3.6 The Proposed Algorithm

The proposed algorithm will be shown in detail including region growing by relaxation labeling and region merging in sequential below.

Proposed Algorithm:

Step 1. Input a color image and initialize all the parameters like rn[], weighting, N_D , and etc.

Then the region growing process is beginning.

Step 2. If it is the first time of the process, for each pixel p in the image, the SMOOTH function will be executed to compute label color and d[p]. Else go to Step 4.

- Step 3. For each pixel p, replace original color vector with label color vector if they are different. If the percentage of modified pixels is small, the pixels with the same region number will be grouped together to form initial regions as the preliminary for region merging. Then the region growing process is finished and region merging process is begun. Go to Step 10. Else when the percentage of modified pixels is not small, go to Step 2.
- Step 4. For each pixel p, the SMOOTH function will be executed to compute label color and add the pixel p to a pixel set Q if d[p] is decreased. If the pixel set Q is empty. Go to Step 3.
- Step 5. From the pixel set Q, extract a pixel p which has the minimum value d[]. If the pixel p has already assigned a region, it will go on the next step. Else, go to Step 8.
- Step 6. Find the pixel q which is the nearest neighbor of the pixel p and conform the condition d[p]>d[q]+w_{p,q}. If we do find the pixel q, the next step will be started. Else, go to Step 9.
- Step 7. If the nearest neighbor pixel q has already been assigned a region. Relax the pair (q,p) by relaxation labeling by Eq.(3.2) and Eq. (3.3) then go to Step 9. Else, go to Step 8.
- Step 8. Assign pixel p a new region number from the number of RN and increase RN on one then go to the next step.
- Step 9. For each neighbor pixel q of p, relax pair (p, q) according to equation and add q to the pixel set Q if q is not in Q and d[q] is decreased, and also remove p from Q. Then go to Step 5.
- Step 10. The region merging process is started. For each region segmented by relaxation labeling R_i , i=1, ..., N_R , Eq.(3.5) will be used to compute its owned spatial color diversity rate.
- Step 11. Construct a region queue RQ consisting of all regions in the image by sorting regions according to size of regions. Set threshold t to be an average value of spatial color

diversity rate of all regions in the RQ.

- Step 12. If the region number from region growing N_R is equal to the desired region number N_D , the region merging process is finished. The final result will be output. Else go to the next step.
- Step 13. Extract a region R_i with the minimum size from RQ. If the color diversity of region R_i is greater than the threshold t, the region which is less representative spatially will be merged into the region which is nearest to it in colorimetrical. Else, each pixel of the region will be merged into the region which is the nearest to it in colorimetrical and surrounds it spatially.

Step 14. A region was been merged so we minus the N_R on one. Then go to Step 12.

The proposed algorithm is combined with the region growing process and region merging process shown in Fig.3.9 and Fig 3.10. After region growing by relaxation labeling process, the image will be segmented to many small regions. But most of them are not important or with less information. In order to eliminate these tiny regions, we are going to do region merging to reduce these regions and preserve the regions which are important and have most information of the image. The whole procedure will be completed when the region merging process is accomplished. The image after processing the algorithm will maintain the most color information of the color of the image. So we can use less character to implement the image, it can let the image easy to express and easy to recognize as image understanding. It also can save the transmission cost. For example, if we set the desired region number as 32, than the final image just has 32 colors to implement the image no matter how many color represent the image from the beginning. Basic on this algorithm, the color image with different color spaces will input to the algorithm seeing which color space has the best performance in this algorithm.



Fig 3.9 Flowchart of proposed region growing by relaxation labeling algorithm.



Fig. 3.10 Flowchart of region merging algorithm [3].

Chapter 4. Experimental Results 4.1 Experiment Results

The experimental results of the proposed algorithm with different color space will be shown in this section. It will illustrate the effectiveness of the proposed segmentation algorithm and color space in color images. The experimental results presented are obtained by using an Intel Pentium 4 2.4 GHz PC, and the input images size are 512×512 BMP form files. All the input images were digitized to 24 bits per pixel and the final desired regions are set to be 64. The proposed algorithm has been coding in Visual C++ Studio.Net.









Fig 4.1 Origin input color image (a) fruit (b) plane (c) pool (d) baboon.

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(a)



(b)



(c)



(d)





(f)

(d) (e) (f) Fig 4.2 Output resulting fruit image. (a) RGB (b) YIQ (c) $I_1I_2I_3$ (d) nRGB (normalized RGB) (e) HSI (f) HVC



(a)



(b)



(c)



Fig 4.3 Output resulting plane image. (a) RGB $\,$ (b) YIQ $\,$ (c) $I_{1}I_{2}I_{3}\,$ (d) nRGB (normalized

RGB) (e) HSI (f) HVC

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(b)



(d)





(a)



(b)



(f)

(c)

(c)



Fig 4.5 Output resulting baboon image. (a) RGB (b) YIQ (c) $I_1I_2I_3$ (d) nRGB (normalized RGB) (e) HSI (f) HVC

Four different kinds input images are shown in Fig. 4.1. We can roughly categorize into the following four cases: Case 1, Fig. 4.1 (a), which has several proper size regions without obvious background and they are clear and, do not have noise inside the region, seems separable. The colors between the objects are similar; Case 2, Fig. 4.1 (b), which the object regions are small and has complexity background with noise, and similar color to the objects; Case 3, Fig. 4.1 (c), has huge and simple color background. The object regions are small but clear. The color between the objects and the background are much different; Case 4, Fig. 4.1 (d), has many tiny regions inside and seems the noise. It can not clearly define the objects and background. The color information in this image is perplexing. The results are shown in Fig. 4.2, ~ Fig. 4.5, respectively. The difference between input images in Fig. 4.1 (a) and (b), Case 1 and Case 2, are that (b) has many tiny and trivial pixels and the objects are small. There are some small adjacent regions inside the huge background region. It is non-continuous and is hard to region growing and segmentation than (a), where (a) has more big regions and seems easier to segment than (b). The color in (b) is simpler than that in (a). The difference between Fig. 4.1 (b) and Fig. 4.1(c), Case 2 and Case 3, are that the background complexity and the similar color to the object although they both have small object regions inside the background. The small regions in Fig. 4.1 (c) seem more separable than that in Fig. 4.1 (b). In Case 3, that image has large simple background and small regions ahead the background and these regions are apart from the others; it is different from Case 1. The Fig. 4.1 (d), Case 4, is a baboon's face image and it has many tiny characteristic inside like its beard and hair. These characteristic may be treated as noise. These are the main difference between four cases of input images.

We choose these different property images to test and verify the proposed algorithm. In Fig. 4.2 \sim Fig. 4.5, all of the result images are segmented into 64 regions, which means that we only use 64 major color and spatial information from the original image to represent it. After this processing, we can transmit these data with low cost hardware and transmission

time. It will also be segmented easily by computer and the other image post process. In Fig. 4.2, we can easily determine that Fig. 4.2 (f) is the clearest images than others in the case 1 image. It is the most similar to the original image that has less erosion at the edge. There is obviously erosion at the region edge in Fig. 4.2 (a), (b), and (c). The RGB color space is the worst. Although it is not a problem in color segmentation, it just depends on the region merging condition. We can solve this problem in region merging process. There for, we can see that the HSI and Munsell color space have less effect in it. Fig. 4.2 (e) also shows a good result in HSI color space. We can observe that HSI and Munsell are suitable for this proposed algorithm in segmenting images which do not have tiny adjacent regions. The comparisons of different color space of Fig. 4.2 are shown in Table 4.1. In Fig. 4.3, we observe that for case 2, there is serious erosion in the image as shown in (a), (c), and (d). So the RGB, I, and nRGB are not suitable. The result in nRGB color space is the worst. But it has good performance in Fig 4.3 (b), (e), and (f). So this kind of images has good results in YIQ, HSI, and HVC color space. The comparisons of different color space of Fig. 4.3 are shown in Table 4.2. In Fig. 4.4, we can observe that (b) and (f) have better results in this kind of input images (case 3) which have simple background and the objects' color are clear. The Fig. 4.4 (a) is the worst one and the (f) is the best one. In Fig. 4.5 (case 4), we can see that the beard and hair have been smooth just like the noise effect. So the results are blurred no matter in which color space. It represents that the SMOOTH function is useful to remove the noise effect in each color space. We can see that Fig 4.5 (b), (e) and (f) have good images and (d) is the worst one. In Table 4.3, the best and the worst color space of the overall performance in these four cases input image will be shown.

According to Table 4.1 and Table 4.2, the HVC computational time is the least one and it is robust against noise, shadow, highlight, and threshold tolerance is more robust. It is the suitable color space for the proposed algorithm. The definition of Peak-Signal-Noise-Ratio (PSNR) is shown in Eq. (4.1).

$$PSNR(db) = 10\log_{10}\frac{x_{peak}^2}{S_d^2}$$
(4.1)

where x_{peak} is the size of the error relative to the peak value of the signal. And σ^2 is the average of the squared error measure called MSE (Mean-Square-Error) defined as:

$$MSE = \mathbf{s}^{2} = \frac{1}{N} \sum_{n=1}^{N} (x_{n} - y_{n})^{2}$$
(4.2)

where N is the whole pixels in the color image, x_n is the color information of each pixel in the origin color image, y_n is the color information of each pixel in the final result color image.

We use PSNR to determine the error between origin color image and the resulting color image. If the resulting color image is very close to the origin color image, the value of PSNR is larger. The image loses less information, and it can represent the original color image more correctly.

From these experimental results, we can know that regardless of image input, the HVC color space has the best performance in processing the image and it is well in computation time and tolerance as shown in Table 4.1 and Table 4.2. From Fig. 4.2 ~ Fig. 4.5, we can also observe that the HVC color space has the best performance and the HSI color space is the second. The HVC color space overcomes the shortcomings of the RGB color space obviously, which is the worst one in computation time, threshold tolerance, and the image result performance.

						The
		Performance	Tolerance			overall
Transformation	Color space	of the	of the	Computation	PSNR,	arrange
Transformation	Color space	proposed	proposed	time, s	db	of the
		algorithm	algorithm			proposed
						algorithm
Origin	RGB	worst	worst	8.43	13.349	worst
Linear	YIQ	2	4	8.49	17.319	3
transformation	$I_1I_2I_3$	3	2	3.51	15.776	3
Nonlinear	nRGB					
transformation	(normalized	4	3	6.99	16.409	4
	RGB)					
	HSI	2	best	3.41	17.771	2
	HVC	best	best	3.68	17.939	best

Table 4.1 Comparisons of different color space of Fig. 4.2.

Table 4.2 Comparisons of different color space of Fig. 4.3.

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						The
		Performance	Tolerance			overall
Transformation	Color space	of the	of the	Computation	PSNR,	arrange
Transformation	Color space	proposed	proposed	time, s	db	of the
		algorithm	algorithm			proposed
						algorithm
Origin	RGB	worst	worst	7.51	15.71	worst
Linear	YIQ	2	4	5.79	17.556	3
transformation	$I_1I_2I_3$	3	2	3.72	16.275	3
Nonlinear	nRGB					
transformation	(normalized	4	3	4.89	15.401	4
	RGB)					

HSI	2	best	2.92	17.01
HVC	best	best	3.11	17.377

Table 4.3 Color space conclusions of these four cases input image.

Overall Performance	Case 1	Case 2	Case 3	Case 4
Best	HVC	HVC	HVC	HVC
Worst	RGB	RGB	RGB	nRGB

4.2 Comparison with Different Color Space

After showing all the experimental results, in this section, we will provide the comparison conclusion of the different color space and the inference of this proposed algorithm in each color space from the experiment results. Details are shown in Table 4.4.

Transformation	Color space	Merits	Shortcoming	
Origin	RGB	Convenient for display. It is	Not good for color	
C		original representation in	image processing and	
		color images.	segmentation due to the	
			high correlation.	
Linear	YIO	Partly gets rid of the	Correlation still exists	
		correlation of RGB involving	due to the linear	
transformation		less computation time. Y is	transformation, but not	
		good for edge detection. Can	as high as RGB.	
		be used to efficiently encode		
		color information in the TV		
		signal of European system.		
	$I_1I_2I_3$	Partly gets rid of the	The same as YIQ.	
		correlation of RGB. Can be		
		useful for image processing		

Table 4.4 (a) Comparisons of merits and shortcoming for different color spaces [3].

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		involving less computation		
		time.		
Nonlinear	NRGB	The individual color	Very noisy at low	
i (olimitetti		components are independent	intensities due to	
transformation	(normalized	of the brightness of the	nonlinear	
	RGB)	image, so it is robust to the	transformation.	
		change of the illumination.		
	HSI	It is based on human color	Nonremovable	
		perception. Hue can be	singularity and	
		useful for separating objects	numerically unstable at	
		with different colors. It is	low saturation due to	
		efficient when the images	nonlinear	
		have non-uniform	transformation.	
		illumination such as shade,		
		shadow and highlight		
		because hue is independent		
		of intensity values.		
	HVC	It is the best in simulating the	The same as HSI.	
		human color vision. It has		
		the advantages as HSI and		
		has its own formula for		
	рт.	different colors distance.		

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Table 4.4 (b) Comparisons of various performances for different color spaces.

Transformation	Color space	Performance of the proposed algorithm	Speed of the proposed algorithm	Tolerance of the proposed algorithm	The overall sort of the proposed algorithm
Origin	RGB	worst	worst	worst	worst
Linear	YIQ	2	4	4	3
transformation	$I_1I_2I_3$	3	2	2	3
Nonlinear	NRGB				
transformation	(normalized	4	3	3	4

RGB)				
HSI	2	best	best	2
HVC	best	2	best	best

There are two critical issues for color image segmentation: (1) what segmentation method should be utilized; and (2) what color space should be utilized. From this table, we will know the properties and the experiment results of each color space. The color spaces with linear transformation involve less computation time in color space transforming and partly get rid of the correlation of RGB. But the correlation of R, G, and B components still exists, though not as high as RGB color space. The color spaces with nonlinear transformation are independent of intensity and color information (Hue). We can segment color image correctly because the illumination level changes does not varies the hue. So we can segment the objects without the effects such as highlights, shading, and shadows. It is robust to the change of the illumination. We also can separate the color easily because the hue only contains the color information. But the common disadvantage of the nonlinear transformation color spaces is the non-removable singularity from the transformation equations and may be unstable in some situation.

From the tables and results, we notice that HVC color space is the most suitable for the proposed algorithm. It has the best results in performance and tolerance. But its computation time is not the least. The HSI color space is the second one to choose. It has the fastest computation time and has the best tolerance as HVC. But its performance is an inferior to HVC. And the YIQ and I₁I₂I₃ color spaces are the third. The RGB color space is the worst in every comparison. Roughly speaking, these color spaces which use nonlinear transformation will provide better performance, tolerance and color clustering in region growing than that use linear transformations because the linear transformation have correlation in intensity and R, G, and B component.

Chapter 5. Conclusion and Future Work 5.1 Conclusion

The color image segmentation is crucial in color image analysis. In the thesis, we have proposed an algorithm of color image segmentation which consists of a series of region growing and region merging, and finding the proper and suitable color space for this algorithm from the experiments. The algorithm includes smoothing function, 3-D clustering, and relaxation labeling for growing homogeneous regions, and color diversity for region merging to merge trivial small region with other regions that are important and have lots of information in the image. The smoothing function plays an important role in the algorithm. It works well to remove the noise and result in a good region growing and segmentation. Other kinds of smoothing function can be designed to remove noises and result in good regions segmentation in color image. The clustering method in smoothing function can smooth the noise and provide a proper seed point for growing region. The relaxation labeling for region growing process will see which pixels are the neighbor pixel of the seed point, assign and record the region number to the region of the image. We will at the start know how many regions in the image and its color. The color diversity in the region merging process will consider which region will be merged in color and spatial. The main advantages of the proposed algorithm are that it considers both color similarity and spatial proximity. In addition, selecting proper color space for this algorithm may improve color image segmentation performance, reduce its computation time, and provide better tolerance against threshold and parameters variations. And the illumination problems like shadow, highlights, and etc will be overcome. After image passing through the system, the segmented image has few colors and regions but they have the most color information are enough to represent origin color image.

5.2 Future Work

Although the proposed algorithm has better performance in segmenting color images than other algorithms and require least computation time, but it is still not fast enough. In the future, we will try to find a way to reduce its computation time. Real time image processing is always required in many applications. We hope that in the near future, we will be able to develop a real time image processing algorithm that can perform color image segmentation, and region growing in real time.



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