

國立交通大學
光電工程研究所
碩士論文

Z-掃描量測氧化鋅薄膜之非線性光學效應

Z-scan Measurement of Optical nonlinearities of
ZnO thin film



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摘要

利用飛秒超短脈衝鈦藍寶石雷射從事變化強度 Z-掃描量測技術研究 Laser-MBE 磊晶成長之氧化鋅薄膜。在 742nm，其雙光子吸收係數 $b = 1905 \text{ cm/GW}$ ，三階非線性折射係數 $g = 2.2 \times 10^{-11} \text{ cm}^2/\text{W}$ 以及五階非線性折射係數 $s_r = 4.51 \times 10^{-20} \text{ cm}^3$ ，比理論預測大了許多。而由變化波長的掃描結果顯示出，非線性吸收及折射增強的原因是由於入射光的能量接近雙光子共振能帶。激子共振現象也會對非線性折射有很大的貢獻，這是由於自由激子受到強光的激發而產生自由載子影響了非線性效應，使得非線性效應大幅增強。

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Abstract

By utilizing femtosecond Kerr Mode-Locked Ti:Sapphire laser as Z-scan light source, we have studied intensity dependent nonlinear optical properties of ZnO thin film growth by Laser-MBE epitaxy. The two photon absorption coefficient $b = 1905$ cm/GW, nonlinear refractive index $g = 2.11 \times 10^{-11}$ cm²/W and fifth order nonlinear refractive index $s_5 = 4.51 \times 10^{-20}$ cm³ with 748nm-wavelength of incident laser. Compared with two-band model, g is 2000 times larger than theoretical value and this owes to near two-photon resonance. Results of b dependent with wavelength of incident light reveal this two-photon resonance behavior and results of s_5 dependent with wavelength of incident light show that free-carriers are induced by free-excitons under intense light sources pumping. The results of g dependent with wavelength of incident light also show two-photon resonance behavior and excitonic enhancement.

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Chapter 1 Introduction

The nonlinear optical properties of semiconductors, used for a variety of applications, are the first studied by Braunstein R et al. [1] and extensively investigated [2]. Some of the largest nonlinearities have ever been reported in semiconductors [3] and involve near-gap excitation. However, these resonant nonlinearities, by their nature, involve significant linear absorption, which is undesirable in many applications. The nonlinear optical behavior in the transparency region of solids due to the anharmonic response of bound valence electrons has been studied extensively in the past [4]. Nonlinear refraction associated with this process is known as the *bound-electronic Kerr effect*. It is described by a change of refractive index $n = n_2 I$, where I is the light irradiance (W/cm^2) and $n_2(\text{cm}^2/\text{W})$ is the optical Kerr coefficient of the solid. This type of nonlinearity results from virtual intermediate transitions [5] as opposed to real intermediate transitions that occur in resonant (electron-hole plasma) nonlinearities.

In the language of quantum mechanics, a virtual carrier lifetime can be defined from the uncertainty principle as $1/|\omega - \omega_g|$. Here, ω is the optical frequency, and $\omega_g = E_g/h$, where E_g is the band-gap energy of the solid, and h is Planck's constant. This equality means that in the transparency region where $\omega \ll \omega_g$, the response time is very fast ($\ll 10^{-14}$ s) and can be regarded as essentially instantaneous. This ultrafast response time has been exploited in applications such as soliton propagation in glass fibers [6] and in the generation of femtosecond pulses in solid-state lasers (Kerr lens mode locking) [7]. Another significant application is the development of ultrafast all-optical-switching (AOS) devices [8]. Although much progress has been made in this area, development of a practical switch has been hindered by the relatively small magnitude of bound-electronic nonlinearities.

1-1 Background

1-1-1 Ultrafast all-optical switching

One of the applications of ultrafast nonlinear refraction is in the role of all-optical switching. In order to obtain switching, the required nonlinear phase shift must be achieved before losses reduce the irradiance. For the transparent spectral region beneath the one-photon band gap in semiconductor and for the high irradiances required for ultrafast nonlinear refraction, the dominant loss mechanism is usually two-photon absorption. In order to obtain all-optical switching, the figure of merit (FOM) $|n_2|/k\mathbf{l}$ (where \mathbf{l} is the two-photon absorption coefficient) must exceed some critical value; this value depends on the device geometry but is always of the order of unity [9]. The two horizontal lines in Fig. 1-1 represent the minimum acceptable FOM for nonlinear directional couplers (NLDC) and Fabry-Perot (FP) interferometers. Although it demands a larger FOM, the NLDC scheme is the preferred practical geometry. From Fig. 1-1 we see that the FOM requirement is satisfied either just below the 2PA edge or very near resonance ($h\omega \sim E_g$). Since $n_2 \propto E_g^{-4}$, a low switching threshold at a given wavelength demands a material with the smallest possible band-gap energy. The theory then suggests that the ideal operating region is just below the band gap.

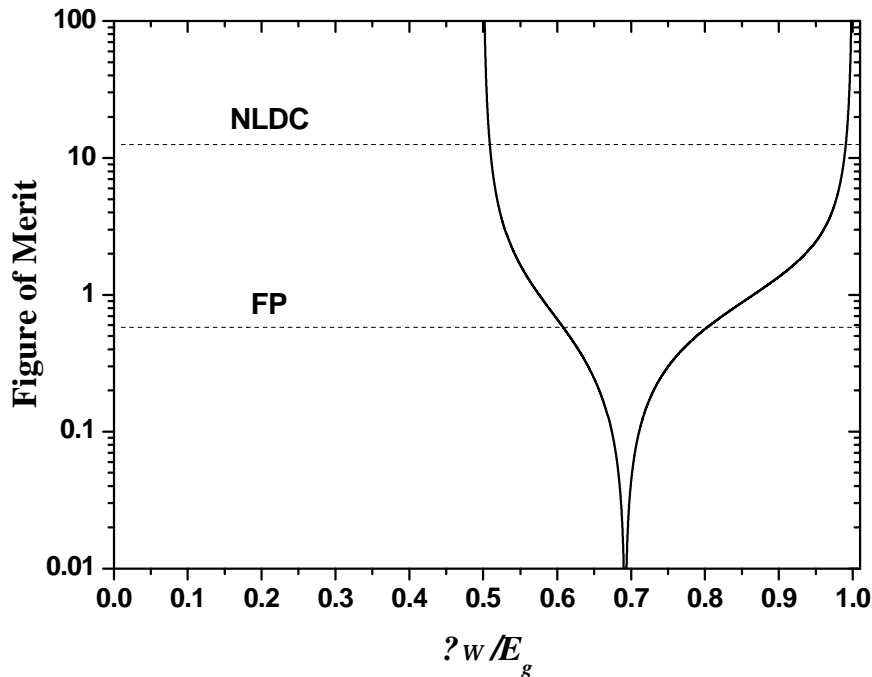


Fig. 1-1 Ratio of $|n_2|/k\mathbf{l}$ (switching parameter or figure of merit) as a function of $h\omega/E_g$. The solid line is predicted from the two-parabolic-band model. NLDC stands for nonlinear directional coupler, and FP stands for Fabry-Perot etlon.

1-1-2 Optical limiting

A passive optical limiting uses a material's nonlinear response to block the transmittance of high-irradiance light while allowing low-irradiance light to be transmitted (an operation similar to that of photochromic sunglasses). The primary application of optical limiting is to protect sensitive optical components from being damaged by the high-intensity input light. The ideal optical limiter has a high linear transmission for low inputs (e.g., energy), a variable limiting input energy, and a large dynamic range defined as the ratio of the linear transmittance to the minimum transmittance obtained for high input (prior to irreversible damage). Since a primary application of optical limiting is to protect sensors, and fluence (energy per unit area) almost always determines damage to detectors; this is the quantity of interest for the output of a limiter.

1-1-3 Effective $c^{(3)}$ by cascaded second-order effect

There has recently been a growing interest in a phenomenon known as cascading of second-order nonlinearities to obtain large, intensity-dependent phase distortions in optical beams. This phenomenon can be briefly described as follows: when a beam propagates in a medium with a $c^{(2)}$ nonlinearity out of phase matching, part of the generated second harmonic is converted back to the fundamental frequency, in phase quadrature with respect to the original wave. There is therefore a phase shift on the fundamental frequency beam proportional to its intensity, as in a medium with a $c^{(3)}$ nonlinearity (Kerr medium). Depending on the phase mismatch, the equivalent $c^{(3)}$ can be positive or negative, corresponding to a self-focusing or a self-defocusing medium. Although the effect has been known for a very long time [10], its potentiality of generating large third-order nonlinearities of controllable sign has been exploited just recently [11]. The applications of cascaded second-order nonlinearities have all-optical switching in waveguides [12], the generation of spatial solitons in quadratic media [13] and mode-locking of lasers [14].

1-2 Review of $c^{(3)}$ measurement

Several experimental techniques are available for measuring the bound-electronic nonlinear response of semiconductors, ie., β and n_2 . These measurements include: degenerate four-wave mixing (DFWM) and Z-scan [15] along with its derivatives [16][17].

1-2-1 Four-wave mixing

Four-wave mixing, where three beams are input to a material and a fourth wave (beam) is generated, can be used for determining the magnitude of material's nonlinear response and its response time. If the response is known to be third-order and ultrafast, can be determined along with some of its symmetry properties by varying the relative polarizations of the input beams (as well as by monitoring the polarization of the fourth wave). In addition, the frequencies of the input beams can be changed independently to determine the frequency dependence of the nonlinear response, but this can result in the need for a complex geometry to satisfy phase-matching requirements. Equal frequencies are often used, resulting in a much simpler geometry for phase matching, and this is referred to as *degenerate four-wave mixing* (DFWM). Fig. 1-2 shows one simple geometry for DFWM where two of the input beams (the forward and backward pumps) are oppositely directed. If these beams are nearly plane waves (i.e., well collimated), this geometry ensures phase matching for any third input beam (the signal). Introducing delay arms into each of the beams also allows the temporal dynamics of the nonlinearities to be measured for short optical input pulses. A particularly useful measurement is to monitor the energy of the fourth beam (so-called phase-conjugate beam) as a function of the time delay of the perpendicularly polarized backward pump (signal and forward pump have the same linear polarization) [18].

One of the difficulties in the interpretation of DFWM data for third-order nonlinearities is

that the signal is proportional to $|\mathbf{c}^{(3)}|^2 = |\text{Re}\{\mathbf{c}^{(3)}\}|^2 + |\text{Im}\{\mathbf{c}^{(3)}\}|^2$, and so 2PA and n_2 both contribute. Separating the effects is difficult without performing additional experiments. Higher-order nonlinearities also can contribute, making separation of absorptive and refractive effects difficult.

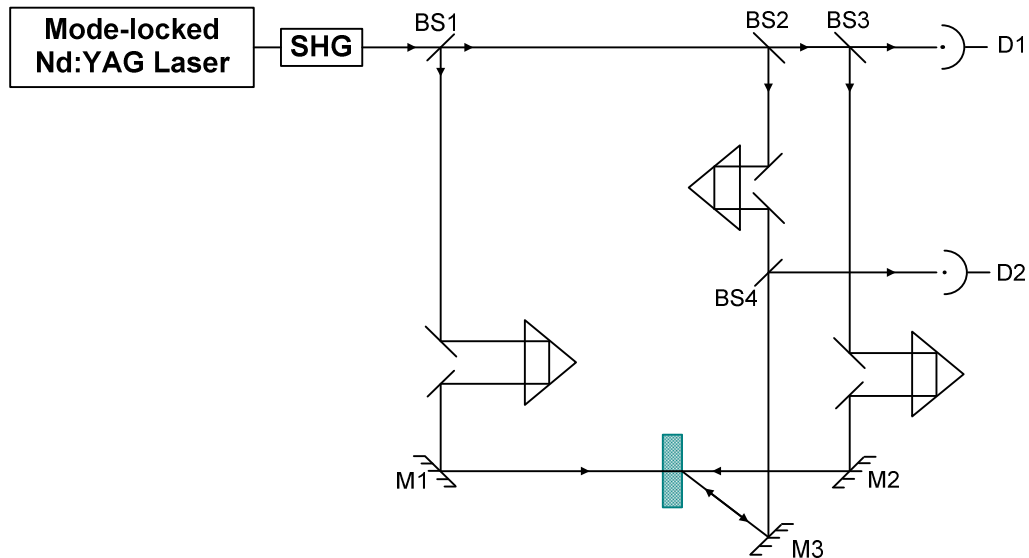


Fig. 1-2 DFWM geometry to allow temporal dynamics measurements. Detector D_2 monitors the conjugate beam energy.

1-2-2 Z-scan

Z-scan was developed for measuring nonlinear refraction (NLR) and determining its sign by Sheik-Bahae et al. [19]. It was soon realized that it also was useful for measuring nonlinear absorption (NLA) and separating the effects of NLR from NLA. A single beam Z scan setup is depicted in Fig. 1-3. The transmittance of a sample in the far field is measured through an aperture (Z-scan) or around an obscuration disk (EZ-scan). The transmittance is determined as a function of the sample position Z measured with respect to the focal plane. Using a Gaussian spatial profile beam simplifies the analysis. The following example qualitatively describes how such data (Z-scan or EZ-scan) are related to the NLR of the sample.

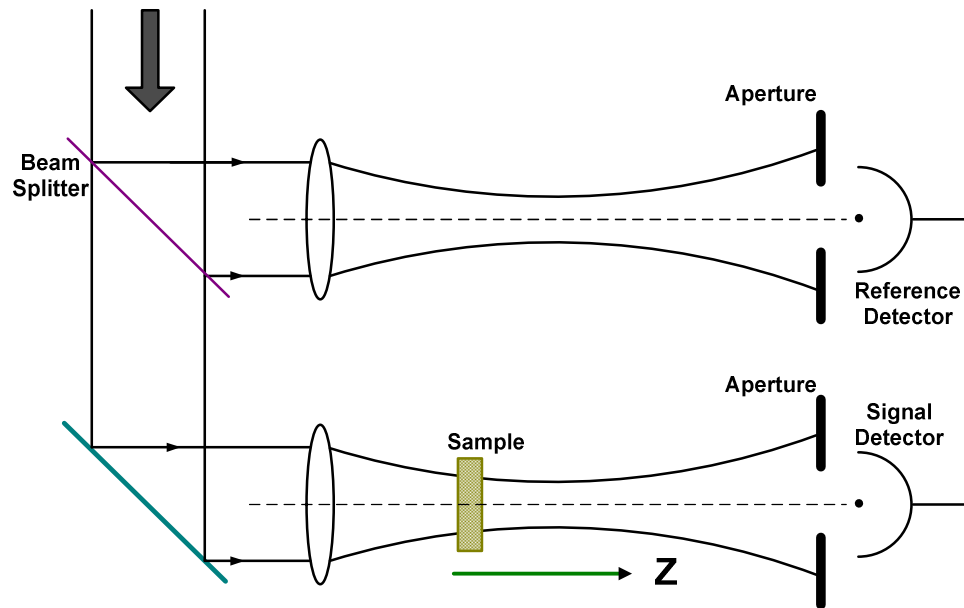


Fig. 1-3 Z-scan geometry with reference detector to minimize background and maximize the signal-to-noise ratio.

Assume, for example, a material with a positive nonlinear refractive index. Starting the Z-scan (i.e., aperture) from a distance far away from the focus (negative Z), the beam irradiance is low, and negligible NLR occurs; hence the transmittance remains relatively constant. The transmittance here is normalized to unity, as shown in Fig. 1-4. As the sample is brought closer to focus, the beam irradiance increases, leading to self-focusing in the sample. This positive NLR moves the focal point closer to the lens, leading to a larger divergence in the far field. Thus the aperture transmittance is reduced. Moving the sample to behind the focus, the self-focusing helps to collimate the beam, increasing the transmittance of the aperture. Scanning the sample further toward the detector returns the normalized transmittance to unity. Thus the valley followed by peak signal is indicative of positive NLR, whereas a peak followed by a valley shows self-defocusing. Figure 1-4 shows the expected result for both negative and positive self-lensing. The EZ-scan reverses the peak and valley because, in the far field, the largest fractional changes in irradiance occur in the wings of a Gaussian beam.

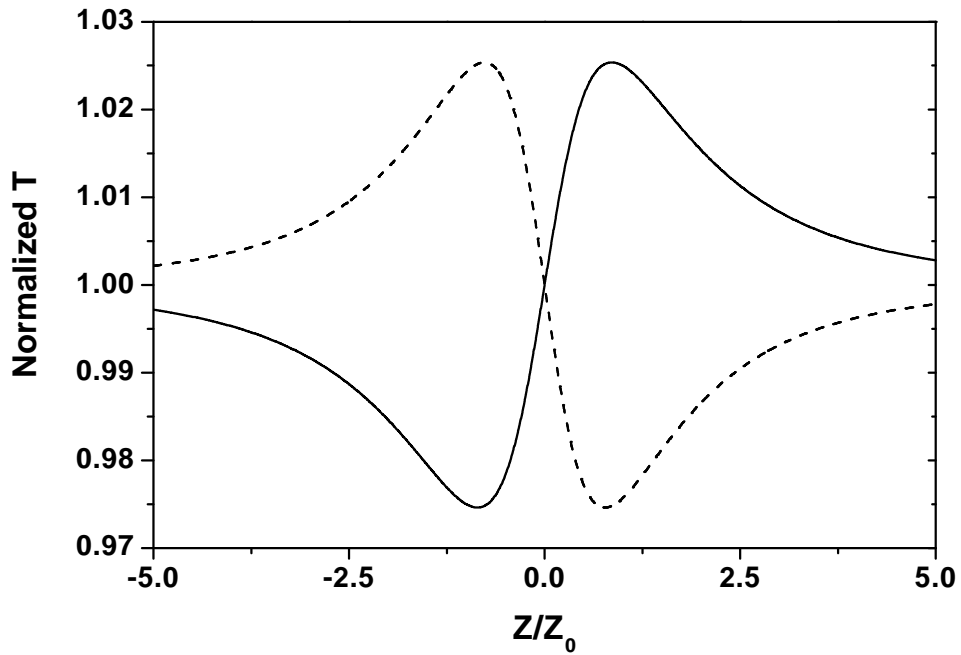


Fig. 1-4 Predicted Z-scan signal for positive (solid line) and negative (dashed line) nonlinear phase shifts.

In the preceding picture we assumed a purely refractive nonlinearity with no absorptive nonlinearities such as 2PA that will suppress the peak and enhance the valley. If NLA and NLR are present simultaneously, a numerical fit to the data can in principle extract both the nonlinear refractive and absorptive coefficients. However, a second Z-scan with the aperture removed and care taken to collect all the transmitted light can determine the NLA independently. For 2PA alone and a Gaussian input beam, the loss nearly follows the symmetric Lorentzian shape as a function of the sample position Z . The magnitude of the loss determines the NLA. This so-called open aperture Z-scan is only sensitive to NLA. A further division of the apertured Z-scan data (referred to as *closed-aperture Z-scan*) by the open-aperture Z-scan data gives a curve that for small nonlinearities is purely refractive in nature. In this way we have separate measurements of the absorptive and refractive nonlinearities without the need for computer fits of the Z-scans.

1-2-5 Excite-probe Z-scan

Excite-probe techniques in nonlinear optics have been employed to deduce information

that is not accessible with a single-beam geometry. By using two collinear beams in a Z-scan geometry, we can measure nondegenerate nonlinearities, we can temporally resolve these nonlinearities, and we can separate the absorptive and refractive contributions. There have been several investigations that have used Z-scan in an excite-probe scheme. Z-scan can be modified to give nondegenerate nonlinearities by focusing two collinear beams of different frequencies into the material and monitoring only one of the frequencies (different polarizations can be used for degenerate frequencies). The general geometry is shown in Fig. 1-5. After propagation through the sample, the probe beam is then separated and analyzed through the far-field aperture. Due to collinear propagation of the excitation and probe beams, we are able to separate them only if they differ in wavelength or polarization. The former scheme, known as a *two-color Z-scan*, has been used to measure the nondegenerate n_2 and β in semiconductors.

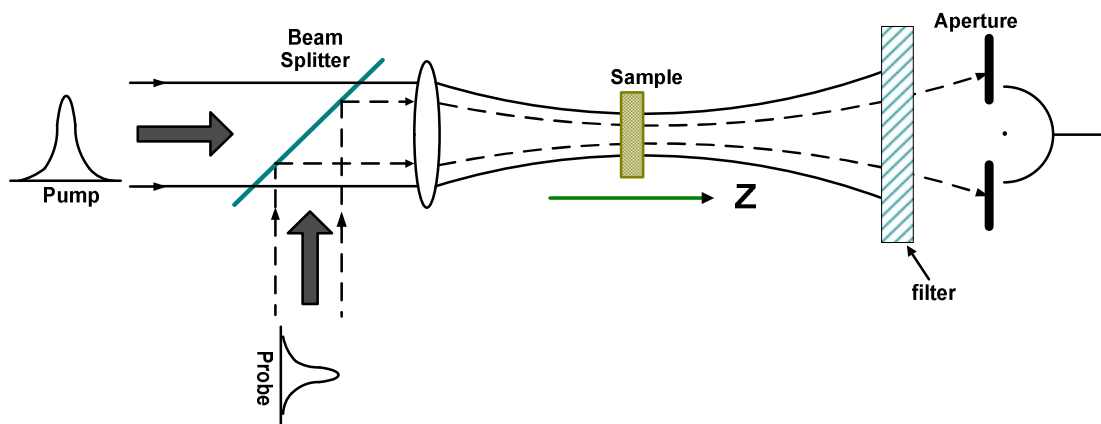


Fig. 1-5 Optical geometry for a two-color Z-scan.

The most significant application of excite-probe techniques in the past concerned the ultrafast dynamics of nonlinear optical phenomena. The two-color Z-scan can separately monitor the temporal dynamics of NLR and NLA by introducing a temporal delay in the path of one of the input beams. These time-resolved studies can be performed in two fashions. In one scheme, Z-scans are performed at various fixed delays between excitation and probe pulses. In the second scheme, the sample position is fixed (e.g., at the peak or the valley

position), while the transmittance of the probe is measured as the delay between the two pulses is varied. The analysis of two-color Z-scan is naturally more involved than that of a single-beam Z-scan. The measured signal, in addition to being dependent on the parameters discussed for the single-beam geometry, also will depend on parameters such as the excite-probe beam waist ratio, pulse-width ratio, and the possible focal separation due to chromatic aberration of the lens.

1-3 Merits of ZnO

ZnO is a kind of metal-oxide material with its melting point of around 2250 °K and self-activated crystal of hexagonal wurtzite structure with lattice constant of $a=0.3249$ nm and $c=0.5207$ nm in the space group C_{6v}^4 . The deposited thin film usually belongs to c-axis-oriented textures and was drawn much attentions because of its ultraviolet emission. The notable properties of ZnO are due to its wide band gap at room temperature and a high exciton binding energy (~60 meV) that is much higher than that of ZnSe and GaN. Furthermore, the high exciton binding energy permits excitonic recombination even at room temperature. Due to these properties, ZnO can be used as UV or blue emitting materials [20]. In addition to optical transparency throughout the visible region of the spectrum and the observed large piezo-optic and piezoelectric effects in films with c-axis oriented, trivalent cation-doped ZnO exhibits marked electrical conductivity. The combination of these characteristics makes ZnO a system of choice for thin film photo-electronic device applications [21]. As a candidate for ultraviolet optoelectronic device applications, the nonlinear properties of ZnO are attractive.

1-4 Aim of this research

In this thesis we concentrate on the nonlinear response in the transparency range of semiconductors, i.e., for photon energies far enough below the band-gap energy E_g that bound-electronic nonlinearities either dominate the nonlinear response or are responsible for initiating free-carrier nonlinearities (e.g., two-photon absorption-created carrier nonlinearities). The bound-electronic nonlinearities of two-photon absorption (2PA) and the optical Kerr effect are the primary nonlinearities of interest. In ZnO thin film, a systematic study of second-harmonic generation as a function of the film thickness was reported [22]. Surprisingly, for very thin films $\chi^{(2)}$ as large as 18 pm/V was obtained this was larger than the value 14 pm/V for bulk single-crystal ZnO. And in polycrystalline ZnO with c -axis orientation a larger 2PA coefficient \mathbf{b} (8.6 cm/GW) than that (4.2 cm/GW) of bulk single-crystal ZnO was measured with wavelength of incident laser pulse at 532 nm [23]. Besides, ZnO has large exciton binding energy and this electron-hole Coulomb interaction (effect of excitons) could lead to an enhancement of the 2PA coefficient near the two-photon resonance. Thus, a highly-qualified c -axis orientation ZnO thin film is interested to study its nonlinearities near two-photon resonance with enhancement of excitons. A large two-photon absorption coefficient and nonlinear refraction is observed near two-photon resonance in our highly-qualified c -axis orientation ZnO thin film. A detailed investigation of this ZnO thin film is worth us to study to put it in use for the field of nonlinear optical application.

In Chapter 2 we briefly describe the simple two-parabolic-band second-order perturbation theory which is used to predict the dispersion of bound-electronic nonlinearity and the two-photon absorption coefficient. In the later of Chapter 2, we describe the determination of two-photon absorption coefficient and bound-electronic nonlinearity from open-aperture Z-scan and closed-aperture one respectively. Experiments used to measure this ZnO thin film are given in Chapter 3. In Chapter 4 our measured values of this ZnO thin film are compared with various theoretical models. We also compare our measured β and n_2 values with theoretical values. At last conclusions and perspective are both given in Chapter 5.

Chapter 2 Theoretical background

The processes of *nonlinear absorption* (NLA) and *nonlinear refraction* (NLR) in materials, in the most general case, can be considered as the interaction of two light beams having distinct frequencies (ω_a and ω_b) in a nonlinear medium. In such an interaction, the two beams can alter each other's phase (NLR) or amplitude (NLA), the latter process requiring certain energy resonances. Note that in this type of wave mixing no new frequencies are generated. The preceding condition, known as the *nondegenerate interaction*, is the general case of the simple degenerate situation where the two beams have the same frequency ($\omega_a = \omega_b$). Most convenient experimental arrangements, however, involve an even simpler degenerate geometry where both beams have the same vector as well as frequency. In this case, which is also known as *nonlinear self-action*, a single beam alters its own phase and/or amplitude through propagation in a nonlinear medium.

In the characterization of a nonlinear material, one determines the nonlinear change of refractive index (Δn) and change of absorption coefficient (Δa) of a material. Relations for these changes are

$$\Delta n(\mathbf{w}_a) = n_2(\mathbf{w}_a; \mathbf{w}_a)I_a + 2n_2(\mathbf{w}_a; \mathbf{w}_b)I_b \quad (1)$$

and

$$\Delta a(\mathbf{w}_a) = a_2(\mathbf{w}_a; \mathbf{w}_a)I_a + 2a_2(\mathbf{w}_a; \mathbf{w}_b)I_b \quad (2)$$

where I_b and I_a are the irradiances of the two beams. Here, n_2 and a_2 refer to the nonlinear refractive index and nonlinear absorption coefficients, respectively. For photon energies $\hbar\omega$ satisfying $E_g/2 < \hbar(\mathbf{w}_a + \mathbf{w}_b) < E_g$, a_2 accounts for 2PA and is often denoted by β . Note that without loss of generality, we assume that the measurement is performed on beam1, while beam 2 acts as an excitation source only. The first terms on the right-hand side of the preceding equations correspond to self-action (i.e., single-beam experiments). The second

terms correspond to the case of an excite-probe experiment provided that the two beams are distinguishable either by frequency and/or wavelength. The factor of 2 in front of the second term is a consequence of this distinguishability, since a higher degree of permutation is allowed in the nonlinear interaction process [5].

2-1 Analysis of Z-scan method

2-1-1 Bound-electronic and free-carrier nonlinearity

A pair of simple equations can be used to describe the nonlinear refraction and absorption when the incident irradiance propagates within nonlinear media.

$$\begin{cases} \frac{d\Delta f}{dz'} = \frac{2p}{I} \Delta n(I) \\ \frac{dI}{dz'} = -\mathbf{a}(I)I \end{cases} \quad (3)$$

where z' is the propagation depth in the sample and $\alpha(I)$, in general, includes linear and nonlinear absorption terms. In the case of a cubic nonlinearity and negligible nonlinear absorption, (3) are solved to give the phase shift $\Delta\phi$ at the exit surface of the sample which simply follows the radial variation the incident irradiance at a given position of the sample z .

Thus,

$$\Delta f(z, r, t) = \Delta f_0(z, t) \exp\left(-\frac{2r^2}{w^2(z)}\right) \quad (4a)$$

with

$$\Delta f(z, t) = \frac{\Delta\Phi_0(t)}{1 + z^2/z_0^2} \quad (5b)$$

$\Delta\Phi_0(t)$, the on-axis phase shift at the focus, is defined as

$$\Delta\Phi_0(t) = k\Delta n_0(t)L_{eff} \quad (6)$$

whose $L_{eff} = (1 - e^{-\mathbf{a}L})/\mathbf{a}$, with L the sample length and \mathbf{a} the linear absorption coefficient.

Here, $\Delta n_0 = \mathbf{g}I_0(t)$ with $I_0(t)$ being the on-axis irradiance at focus (i.e., $z=0$).

By applying ‘‘Gaussian Decomposition’’ method, the normalized Z-scan transmittance $T(z)$ can be calculated. The measurable quantity ΔT_{p-v} as the difference between the normalized peak and valley transmittance: $T_p - T_v$. Based on a numerical fitting, the following relation ship can be used to obtain the phase distortion on-axis.

$$\Delta T_{p-v} \approx 0.406(1-S)^{0.268} |\Delta\Phi_0| \quad \text{for } |\Delta\Phi_0| \leq \mathbf{p} \quad (7)$$

where $S = 1 - \exp(-2r_a^2/w_a^2)$ is the aperture linear transmittance, with w_a denoting the beam radius at the aperture in the linear regime and r_a is the aperture radius.

By including nonlinear absorption, the absorption term in Eq (3) becomes $\mathbf{a}(I) = \mathbf{a} + \mathbf{b}I$.

With the same analysis, the transmitted power $P(z,t)$ as follows:

$$P(z,t) = P_i(t) e^{-\mathbf{a}L} \frac{\ln[1+q_0(z,t)]}{q_0(z,t)} \quad (8)$$

where $q_0(z,t) = \mathbf{b}I_0 L_{\text{eff}} / (1+z^2/z_0^2)$ and $P_i(t) = \mathbf{p}w_0^2 I_0(t) / 2$. For a temporally Gaussian pulse, (8) can be time integrated to give the normalized energy transmittance

$$T(z, S=1) = \frac{1}{\sqrt{\mathbf{p}q_0(z,0)}} \cdot \int_{-\infty}^{\infty} \ln[1+q_0(z,0)e^{-t^2}] dt \quad (9)$$

For $|q_0| < 1$, this transmittance can be expressed in terms of the peak irradiance in a summation form more suitable for numerical evaluation:

$$T(z, S=1) = \sum_{m=0}^{\infty} \frac{[-q_0(z,0)]^m}{(m+1)^{3/2}} \quad (10)$$

At higher irradiance level the nonlinear refraction caused by 2PA generated charge carriers, an effective fifth-order nonlinearity, becomes important. Thus the change of refractive index will become:

$$(\Delta n) \approx \mathbf{g}I_0 + C\mathbf{s}_r I_0^2 \quad (11)$$

where $C = 0.23(\mathbf{b}t_0 / \hbar\omega)$ for low linear absorption ($\mathbf{a}_0 L < 0.2$), t_0 is the pulse width.

2-2 Coefficients of nonlinear absorption and refraction

The on-axis ($r=0$) Z-scan transmittance for a cubic nonlinearity and a small phase change ($|\Delta\Phi| \ll 1$) can be derived. The far-field condition $d \gg z_0$ can be used to further simplify the equation to give a geometry-independent normalized transmittance as

$$T(z, \Delta\Phi) \approx 1 + \frac{4x}{(x_2 + 9)(x_2 + 1)} \Delta\Phi_0 \quad (12)$$

where $x=z/z_0$.

The normalized transmittance of closed-aperture Z-scan is expressed as [29]

$$T(z) = 1 + T_{\Delta\Phi}(z) + T_{\Delta\Psi}(z) \quad (13)$$

By consider the on-axis Z-scan, and Gaussian beam in the low-irradiance limit, the two nonlinear terms can be expressed as,

$$T_{\Delta\Phi} = \frac{4x}{(x_2 + 9)(x_2 + 1)} \Delta\Phi_0 \quad (14)$$

$$T_{\Delta\Psi} = -\frac{2(x^2 + 3)}{(x_2 + 9)(x_2 + 1)} \Delta\Psi_0 \quad (15)$$

where $x=z/z_0$.

By fitting closed-aperture Z-scan trace with Eq. (13), it is unambiguous to determine β and ? with

$$\Delta\Psi_0 = \mathbf{b} I_0 L_{eff} / 2 \quad (16)$$

and

$$\Delta\Phi_0 = k \mathbf{g} I_0 L_{eff} \quad (17)$$

where I_0 is the on-axis irradiance of the laser beam at focus, k is the wavevector and $L_{eff}=(1-e^{-aL})/a$, a is the linear absorption coefficient and L is the thickness of sample.

2-3 Dispersion of bound-electronic nonlinearities: Two-Band

Model

By using a two-parabolic-band (TPB) model with $\mathbf{A} \cdot \mathbf{p}$ perturbation to calculate the bound-electronic nonlinear susceptibilities [25][26] including 2-photon absorption (2PA), the AC Stark effect, and Raman contributions. It shows a strong systematic dispersion in the bound-electronic nonlinearity (electronic Kerr effect n_2) near the two-photon-absorption edge. With the two-photon-absorption spectrum predicted by TPB, we can predict the observed universal dispersion, scaling and values of n_2 .

2-3-1 Nonlinear absorption

The resulting representation of a_2 for 2PA has the simple form

$$\mathbf{a}_2(\mathbf{w}_1, \mathbf{w}_2) = K \frac{\sqrt{E_p}}{n_{01}n_{02}E_g^3} F_2(x_1, x_2) \quad (18)$$

where K is a material-independent constant:

$$K = \frac{2^9 \mathbf{p}}{5} \frac{1}{(4\mathbf{p}\mathbf{e}_0)^2} \frac{e^4}{\sqrt{m_0 c^2}} \quad (19)$$

The function F_2 involves only the parameters $x_1 = h\mathbf{w}_1/E_g$ and $x_2 = h\mathbf{w}_2/E_g$ and reflects the band structure and intermediate states. In TPB model, F_2 contains contributions from 2PA, Raman, and optical Stark effects. These different components are listed in Table I [27].

Contribution	$F_2(x_1, x_2)$
2-photon absorption ($x_1 + x_2 > 1$)	$\frac{(x_1 + x_2 - 1)^{3/2}}{2^7 x_1 x_2^2} \left(\frac{1}{x_1} + \frac{1}{x_2} \right)^2$
Raman ($x_1 - x_2 > 1$)	$\frac{(x_1 - x_2 - 1)^{3/2}}{2^7 x_1 x_2^2} \left(\frac{1}{x_1} - \frac{1}{x_2} \right)^2$
AC Stark ($x_1 > 1$)	$-\frac{1}{2^9 x_1 x_2^2 (x_1 - 1)^{1/2}} \left[\frac{x_1}{x_1^2 - x_2^2} - \frac{2(x_1 - 1)(x_1^2 + x_2^2)}{(x_1^2 - x_2^2)^2} + \frac{8(x_1 - 1)^2}{x_2^2} \right]$

Table I Contributions to the nonlinear absorption spectral function $F_2(x_1, x_2)$

From Table I the 2PA contribution to the nonlinear absorption for $x_1 = x_2 = x$ is

$$F_2 = \frac{(2x-1)^{3/2}}{2^5 x^5} \quad (20)$$

Now experimental data β (β^e) for can be plotted by calculating the experimental value of the function F_2 , F_2^e , as given below. The absolute magnitude for this function is then a fitting parameter K:

$$F_2^e(\hbar\omega / E_g) = \frac{1}{K\sqrt{E_p}} n_0^2 E_g^3 \mathbf{b}^e \quad (21)$$

Measurements of degenerate of on a number of II-VI and III-V semiconductors fit this model using $K = 3100 \text{ cm GW}^{-1} \text{ eV}^{5/2}$ in units where E_p and E_g are in electronvolts ($3.2 \times 10^{-55} \text{ mks}$). This compares favorably with the theoretical value of $K = 1940 \text{ cm GW}^{-1} \text{ eV}^{5/2}$ ($1.99 \times 10^{-55} \text{ mks}$) give by Eq. (15). This is because the theory underestimates the empirical value because only one valence band (ligh-hole) has been considered, and the contribution of the heavy-hole band has been ignored.

2-3-2 Nonlinear refraction

With the nondegenerate nonlinear absorption coefficient $a_2(\mathbf{w}_1; \mathbf{w}_2)$ determined, the next step is to perform the KK transformation to obtain the n_2 coefficient. The result of this calculation is

$$n_2(\mathbf{w}_1, \mathbf{w}_2) = K' \frac{\sqrt{E_p}}{n_{01}n_{02}E_g^4} G_2(x_1, x_2) \quad (22)$$

where the dispersion function G_2 is given by

$$G_2(x_1; x_2) = \frac{2}{\mathbf{p}} \int_0^\infty \frac{F_2(x'; x_2)}{x'^2 - x_1^2} dx' \quad (23)$$

and

$$K' = \frac{\hbar c K}{2} = \frac{2^4}{5\mathbf{p}} \frac{\hbar e^4}{\mathbf{e}_0^2 \sqrt{m_0 c}} \quad (24)$$

Using the function F_2 given in Table I, the integration in Eq. (23) can be performed analytically. After the long-wavelength divergent terms for each contribution are removed separately, the final results are set out in Table II.

Contribution	$G_2(x_1, x_2)$
2PA	$H(x_1, x_2) + H(-x_1, x_2)$
Raman ($x_1 - x_2 > 1$)	$H(x_1, -x_2) + H(-x_1, -x_2)$
AC Stark ($x_1 > 1$)	
$x_1 \neq x_2$	$\frac{1}{2^9 x_1^2 x_2^2} \left[\begin{aligned} & -\frac{1}{2} - \frac{4}{x_1^2} + \frac{4}{x_2^2} - \frac{x_2^2}{x_1} \frac{[(1-x_2)^{-1/2} - (1+x_1)^{-1/2}]}{x_1^2 - x_2^2} \\ & + \frac{2x_1^2(3x_2^2 - x_1^2)}{x_2^2(x_1^2 - x_2^2)^2} [(1-x_2)^{-1/2} - (1+x_2)^{-1/2}] \\ & - \frac{2x_2^2(3x_1^2 - x_2^2)}{x_1^2(x_1^2 - x_2^2)^2} [(1-x_1)^{-1/2} - (1+x_1)^{-1/2}] \end{aligned} \right]$
$x_1 = x_2$	$\frac{1}{2^9 x_1^4} \left[\frac{3(1-x_1)^{-1/2} - (1+x_1)^{-1/2}}{4} - \frac{(1-x_1)^{-3/2} + (1+x_1)^{-3/2}}{8} - \frac{1}{2} \right]$

where

$$H(x_1, x_2) = \frac{1}{2^6 x_1^4 x_2^4} \left[\begin{aligned} & \frac{5}{16} x_2^3 x_1^2 + \frac{9}{8} x_2^2 x_1^2 - \frac{9}{4} x_2 x_1^2 - \frac{3}{4} x_2^3 - \frac{1}{32} x_2^3 x_1^2 (1-x_1)^{-3/2} \\ & + \frac{1}{2} (x_2 + x_1)^2 [(1-x_2 - x_1)^{3/2} - (1-x_1)^{3/2}] \\ & \times \left[-\frac{3}{16} x_2^2 x_1^2 [(1-x_1)^{-1/2} - (1-x_2)^{-1/2}] + \frac{3}{2} x_2 x_1^2 (1-x_2)^{1/2} \right. \\ & \left. + \frac{3}{2} x_2^2 x_1 (1-x_1)^{1/2} + \frac{3}{4} x_2 (x_2^2 + x_1^2) (1-x_1)^{1/2} \right. \\ & \left. - \frac{3}{8} x_2^3 x_1 (1-x_1)^{-1/2} + \frac{1}{2} (x_2^2 + x_1^2) [(1 - (1-x_2)^{3/2})] \right] \end{aligned} \right]$$

Table II The nondegenerate dispersion function for the electronic Kerr component of n_2 , calculated by a KK

transformation of F_2 (Table 1)

The dispersion (G_2) of n_2 as predicted by Eq. (23) along with data (n_2^e) from several experiments scaled with

$$G_2^e(x) = \frac{n_0^2 E_g^4}{K' \sqrt{E_p}} n_2^e \quad (25)$$

The prefactor K' , as given by Eq. (25) is 3.2×10^{-81} (mks units). If we use the K as determined by a best fit to the 2PA experiment data, we find $K' \sim 5 \times 10^{-81}$ (mks units). This compares well with $K' \sim 9 \times 10^{-81}$ (mks units) determined by an overall best fit to the experimental values of n_2 in semiconductors [26]. As in the 2PA case, it is more convenient to define the K' factor in mixed units such that n_2 is given by square centimeters per watt and E_p and E_g are given in electronvolts. In this $K' \sim 6 \times 10^{-11} (\text{cm}^2/\text{W})(\text{eV})^{7/2}$ corresponds to the overall best fit to semiconductor n_2 data. As pointed out or the 2PA case, the factor of 2 to 3 discrepancy between theory and experiments can be attributed in par to using a single valence band (light hole) and ignoring the transition originating from the heavy-hole valence band.

Moreover, as described by Sheik-Bahae et al. [28], inclusion of electron-hole Coulomb interaction by multiplying the F_2 function by a generalized exciton enhancement factor will further improve the agreement between theory and experiment.

Chapter 3 Experiments

3-1 XRD analysis of ZnO thin film growth by Laser MBE

The ZnO thin film was grown on fused silica by Laser MBE deposition with 2hr sputtering at 600 °C and in-situ annealed for 1hr at 700 °C.

The crystal structures of the ZnO thin films were inspected by a JPN MAC Science MXP18 X-ray diffractometer offered by NTHU using a Cu K α X-ray as exciting source ($\lambda=1.5405 \text{ \AA}$). The operating voltage and current of the system is 20kV and 5mA, respectively and the scanning step and rate is 0.02° and 4 degree/min.

3-2 Photoluminescence and transmission spectra

A UV He-Cd laser (Kimmon IK5552R-F) operating at the 325 nm is utilized as pumping source for Photoluminescence (PL). Fig. 3-1 shows the block diagram of PL detection system, it includes the reflective mirror, focusing and collecting lenses, and the single-grating monochromators (TRIAX 320) with a photo-multiplier tube (PMT-HVPS) which is equipped with a photon counter for detection. The TRIAX 320 monochromator has three selective gratings among 600, 1200 and 1800 grooves/mm. The normal applied voltage of PMT is 800 KV. We used standard fluorescent lamps to calibrate our spectral response of spectrometer and detector. The signals of PL spectra are exposed about 0.1 sec at each step of 0.1 nm. The 0.1nm-resolution can be resolved with this monochromator while the entrance and exit slits are both opened about 50 μm .

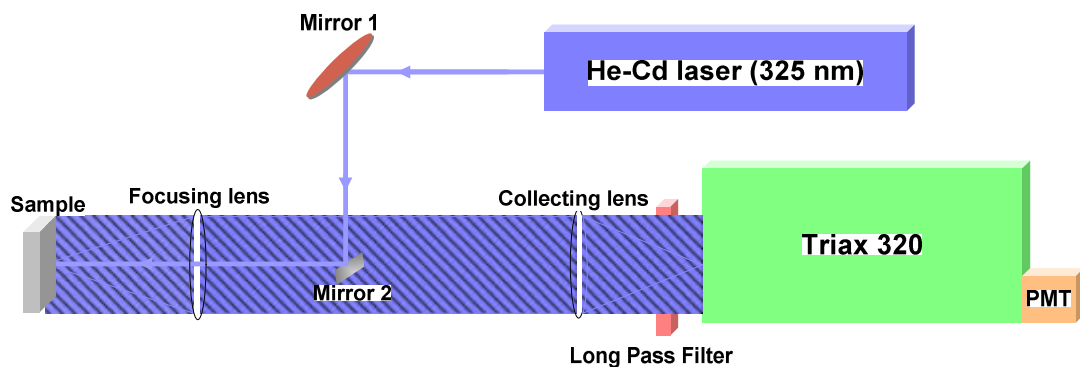


Fig. 3-1 Sketch diagram of photoluminescence spectra

We use the HP-8453 UV-VIS spectrometer for the transmission spectra measurement. The scan range of the wavelength is from 200 to 900 nm and increment is 1nm. The incident light was perpendicular to the sample and the transmitted light was detected by this transmission spectra.

3-3 Single beam Z-scan apparatus

The apparatus of single beam Z-scan is shown in Fig. 3-2. A tunable Kerr-lens mode-locked Ti:sapphire laser between 740 and 850 nm wavelength with a repetition rate of 93.3 MHz is used as the exciting source. The spatial transverse mode distribution of the laser is nearly Gaussian and the pulse width at the half maximum is around 100-fs. The incident light is chopped by a chopper with 1.33 KHz frequency and divided by a beam splitter into two beams. The reflected beam was detected by the photodiode as the reference light. The transmitted beam, as the exciting light, was focused by a 50 mm focal-length lens and the beam radius ω_0 of focused is around 17-18 μm at the focus point. Our sample was mounted on a step motor and moved along the z direction with 1.25 μm movement per step. A finite aperture was placed in the far field to control the transmittance of the light in the z-scan measurement. The signal are simultaneously detected by two photodiodes and sent to a lock-in amplifier (Model: SR830) to increase the signal-to noise ratio. After the open aperture ($S=1$) was scanned, an aperture was placed in front of the signal detector to trace the close aperture ($S=0.4$) scan. To purely obtain the nonlinear refraction, a simple division of the closed aperture scan by open-aperture scan will be performed. The divided Z-scan curves exhibit the expected features, namely, a valley-peak ($v-p$) for the positive nonlinearity and a peak-valley ($p-v$) for the negative one. An irradiance-dependent Z-scan study will be performed to investigate the nonlinear refraction caused by two photon absorption (2PA) generated charge carriers, an effective fifth-order nonlinearity.

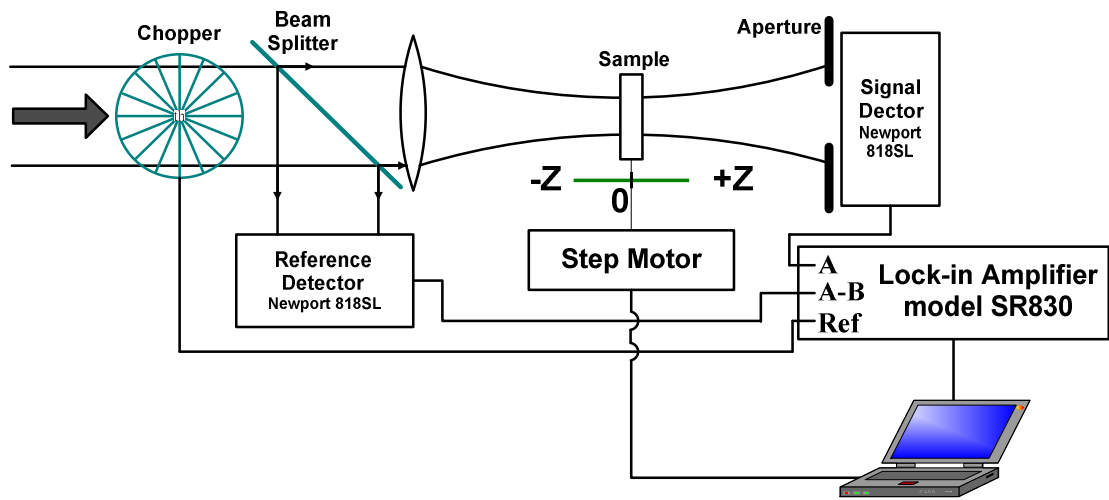


Fig. 3-2 The Z-scan apparatus with reference detector and lock-in amplifier to minimize background and maximize the signal-to-noise ratio respectively.

Chapter 4 Results and Discussion

4-1 XRD

The thickness of ZnO film was measured about 1 μm by surface profile offered by Nano Facility Center (NFC) at NCTU. Result of X-ray diffraction measurement is shown in Fig. 4-1. By comparing with JCPDS#36-145 and our diffraction pattern in Fig. 4-1, strong diffraction lines were observed only from the (002) and (004) planes. This result indicates that the ZnO sample growth is highly-quality single crystal thin film with the crystallographic c -axis being parallel to the growth direction (perpendicular the surface of this sample).

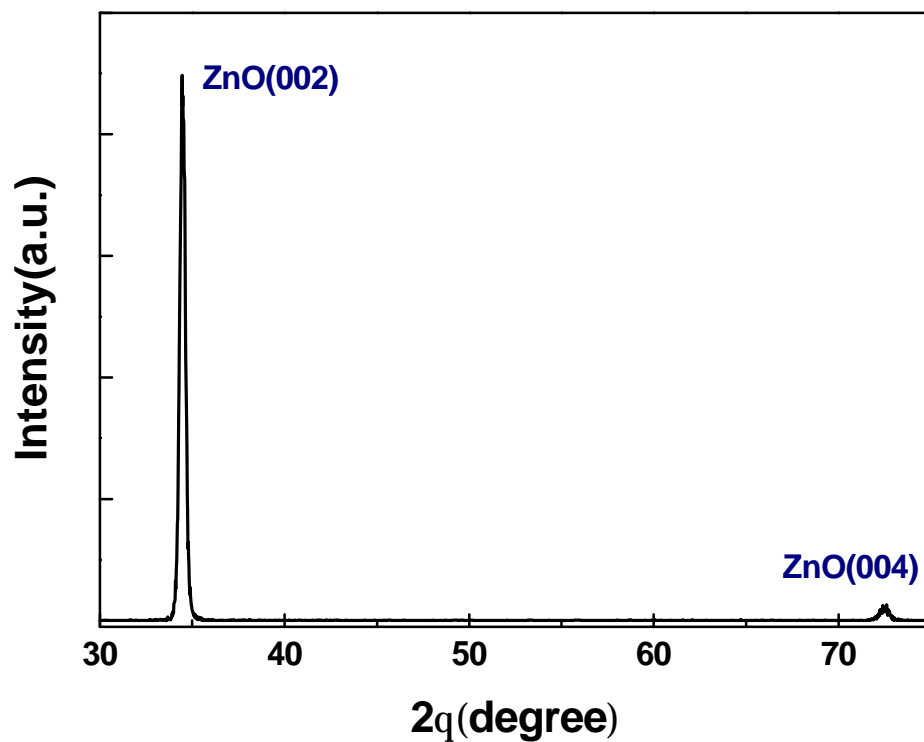


Fig. 4-1 Result of X-ray diffraction measurement

4-2 Photoluminescence Spectra

With using the PL spectra shows in 3-2, the near-band edge emission and band-gap of this ZnO thin film can be investigated. As Fig. 4-2 shows, the near band edge emission of this ZnO film is around 381 nm. This emission is the near-band edge emission of ZnO in most epitaxial method. The broad band emission of 450-550 nm is contributed from the substrate of fused silica and deep level of ZnO. This is due to lattice mismatch of fused silica and ZnO. This can be solved to replace the substrate of fused silica by double-side polished sapphire. But this kind of sapphire substrate can contribute the nonlinear absorption and refraction when the wavelength of incident pulse is near two-photon resonance of ZnO thin film. It will lead some errors in calculation of nonlinear absorption and refraction of ZnO thin film. Moreover, this contribution of deep level of ZnO is tenfold smaller than the near-band edge emission; this will not induce a large amount of nonlinearities in Z-scan measurements and misunderstanding of this ZnO thin film. The sapphire, hence, may not the proper candidate of substrate for the ZnO thin film in our Z-scan measurement.

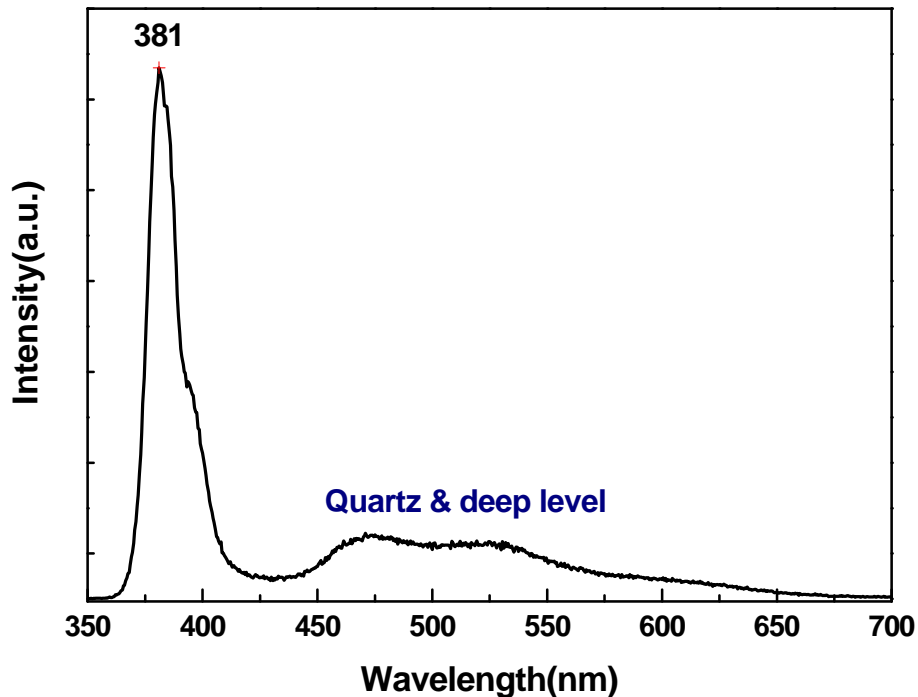


Fig. 4-2 Result of Photoluminescence spectra measurement

4-3 Transmission Spectra

A simple formula 4-1(Ref R. Swanepoel .pdf) can be used for the calculation of sample thickness via transmission spectra for our measurement.

$$d = \frac{I_1 I_2}{2(n_2 I_1 - n_1 I_2)} \quad (26)$$

where n_1 and n_2 are the refractive indices at two adjacent maxima (or minima) at I_1 and I_2 . By applying $I_1=642$ nm, $I_2=759$ nm and $n_1 =1.98$, $n_2=1.96$, we can evaluate sample thickness d is about 1023 nm which is close to the result of surface profile measurement.

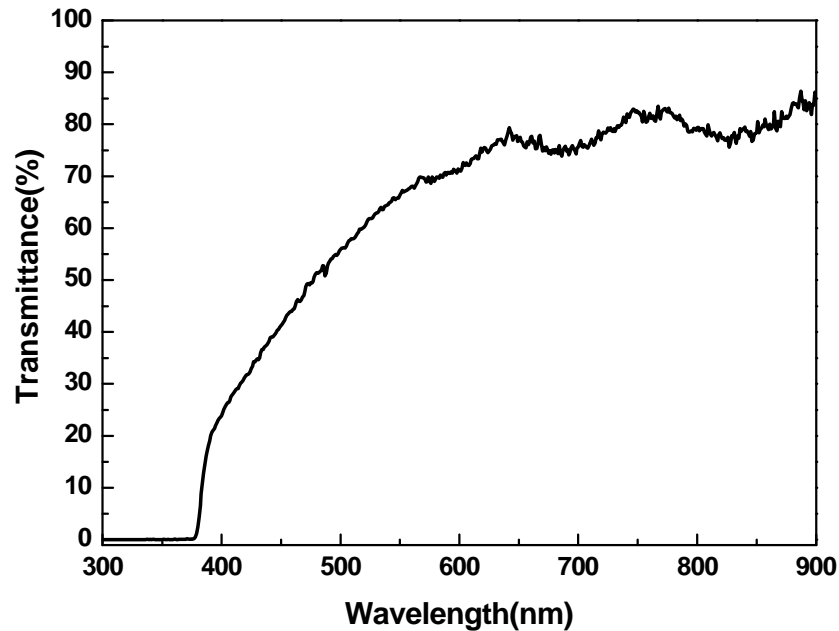


Fig. 4-3 Result of transmission spectra measurement

4-4 Measurement of Z-scan

A Z-scan with a fully open aperture ($S=1$) is sensitive to nonlinear refraction (thin sample approximation). Such Z-scan traces with no aperture are expected to be symmetric with respect to the focus ($z=0$) where has a minimum transmittance (e.g., multiphoton absorption) or maximum transmittance (e.g., saturation of absorption). Thus, the coefficients of nonlinear absorption can be easily calculated from such transmittance curves.

The low-irradiance ($I_0=0.54\text{GW}/\text{cm}^2$) open aperture and closed aperture Z-scan traces of single crystal ZnO thin film with c-axis orientation thin film at 748 nm-wavelength are shown in Fig. 4-4. (a) and (b) respectively. Figure 4-4(a) shows normalized open-aperture Z-scan transmittance of ZnO (filled squares) and the best fitting curve (solid line). The significant decrease in the normalized transmittance was observed as the sample approached to the focus ($Z=0$). Figure 4-4(b) shows normalized closed-aperture ($S=0.4$) Z-scan transmittance (filled squares) and the best fitting curve (solid line). As seen in Fig. 4-4(a), the change in transmittance for the measurement without an aperture is symmetric with respect to the focus. The observations imply that two-photon absorption can be occurred at 748nm-wavelength of input laser beam. As seen in Fig. 4-4(b), the asymmetric curve is observed and it implies a nonlinear refractive phenomenon takes place. A prefocal transmittance minimum (valley) followed by a postfocal transmittance maximum (peak) is the Z-scan signature of a positive refractive nonlinearity. Therefore, it reveals this ZnO thin film is a positive nonlinear refractive medium when the wavelength of input laser beam is around 748 nm. To remove nonlinear absorption from closed-aperture data and obtain the purely nonlinear refraction, the closed-aperture measurement is divided by the open-aperture one at the same irradiance. The division of the intensity-dependent Z-scans results (Fig. 4-4(b)/(a)) is shown in Fig. 4-5(a) where the filled squares are the experimental result and the solid lines are the theoretical fitting result. The valley-peak configuration is clearer than Fig. 4-4(b) showed symmetric result. By fitting the division data, the phase distortion can be obtained. And the nonlinear index can be deduced from this phase distortion at this irradiance. The theoretical fit is obtained by setting $\beta= 1440 \text{ cm}/\text{GW}$ in fig. 4-4(a) and $\gamma = 2.8 \times 10^{-11} \text{ cm}^2/\text{W}$ (1.323×10^{-11} esu) in fig. 4-4(b) with the peak irradiance $0.538 \text{ GW}/\text{cm}^2$ at the focus within sample.

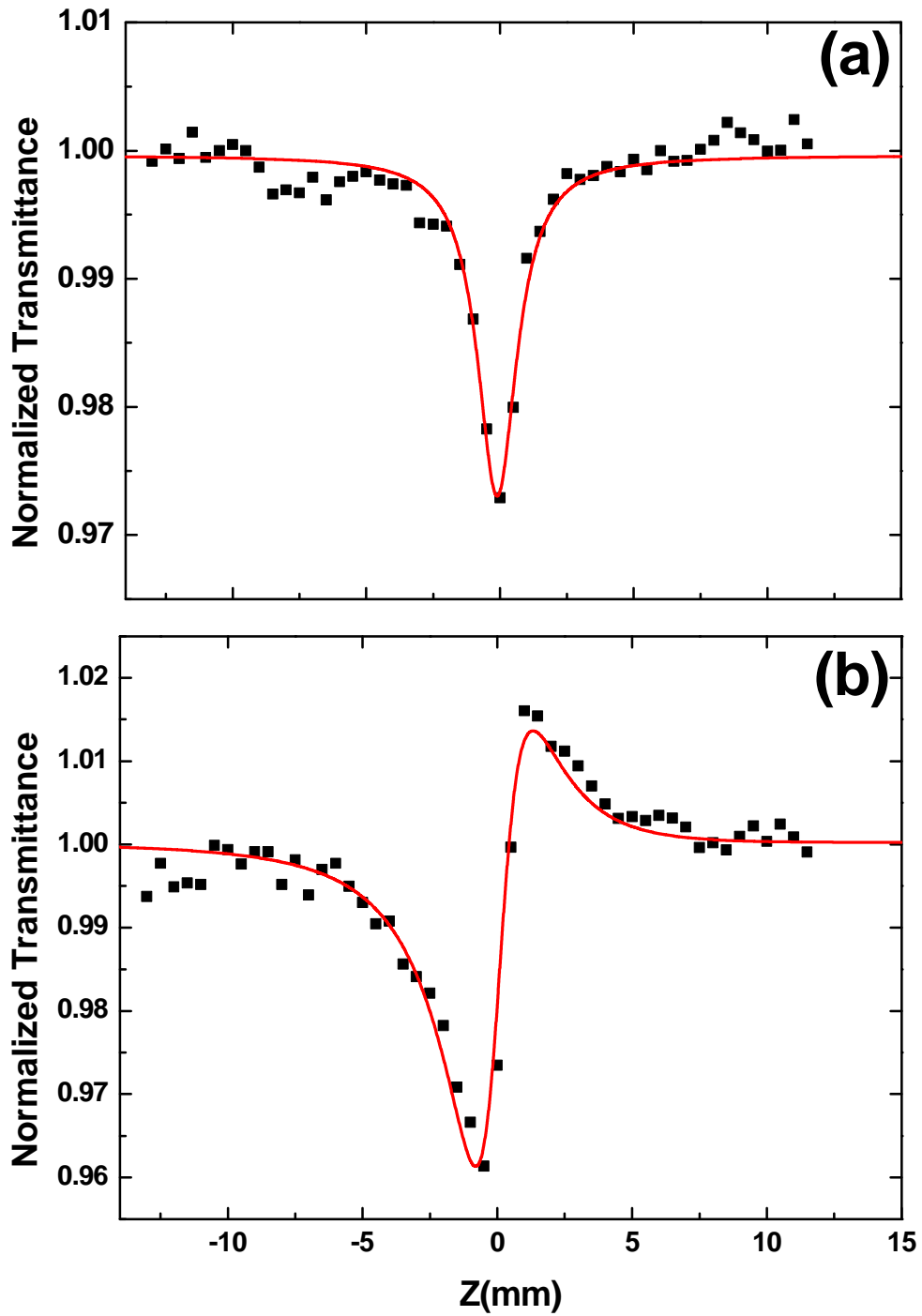


Fig. 4-4 (a) Open-aperture Z-scan trace (filled squares) of ZnO measured with a pulse intensity of 0.54 GW/cm^2 at 748 nm. The solid line is a fitting curve. (b) Closed aperture ($S=0.4$) Z-scan trace (filled squares) and fitting curve (solid line). The data in (a) and (b) were fitted with $\beta=1440 \text{ cm/GW}$ and $\gamma=2.8 \times 10^{-11} \text{ cm}^2/\text{W}$.

A series of divided Z-scan results versus peak laser irradiance as measured on the same ZnO thin film is shown in Fig. 4-5 (The filled squares are experimental data and solid curve

are theoretical fitting curve). The ΔT_{p-v} (difference between the normalized peak and valley transmittance: $T_p - T_v$) can be derived directly from the divided results and the phase distortion with various laser irradiances can be obtained easily from these fitting curves. The change of refractive index can be obtained from these phase distortion with $\Delta\Phi = \frac{2\pi}{\lambda} \Delta n \frac{1 - \exp(-aL)}{a}$, where λ is the wavelength of incident laser, a is the absorption coefficient and L is the thickness of sample. A plot of β and Δn versus peak laser irradiance as measured from various Z-scan on the same ZnO thin film is shown in Fig. 4-6 and 4-7, respectively. The average TPA coefficient, b , is obtained from Fig. 4-6 as 1905 cm/GW with an uncertainty of 15% arising predominantly from the irradiance calibration. This irradiance-dependent Z-scan study of the ZnO thin film indicates that for irradiance $I_0 < 0.76$ GW/cm², the nonlinear refraction is dominated by a third-order effect. This is depicted in Fig. 4-7 where the measured nonlinear index change Δn varies linearly with the irradiance. The adjusting γ is obtained from Fig. 4-7 as 2.63×10^{-11} cm²/W ($n_2 = 1.245 \times 10^{-11}$ esu). Theoretically, the nonlinear refraction at low irradiance levels is dominated by a third-order effect and this nonlinearity was attributed to n_2 , the nonlinear refraction caused by bound electrons (electronic Kerr effect). At higher irradiance levels, however, the nonlinear refraction caused by the two photon absorption generated free charge carriers, an effective fifth-order nonlinearity, becomes significant. This is indicated in Fig. 4-7 by the deviation of above $I_0 = 0.76$ GW/cm² from the line representing the cubic nonlinearity.

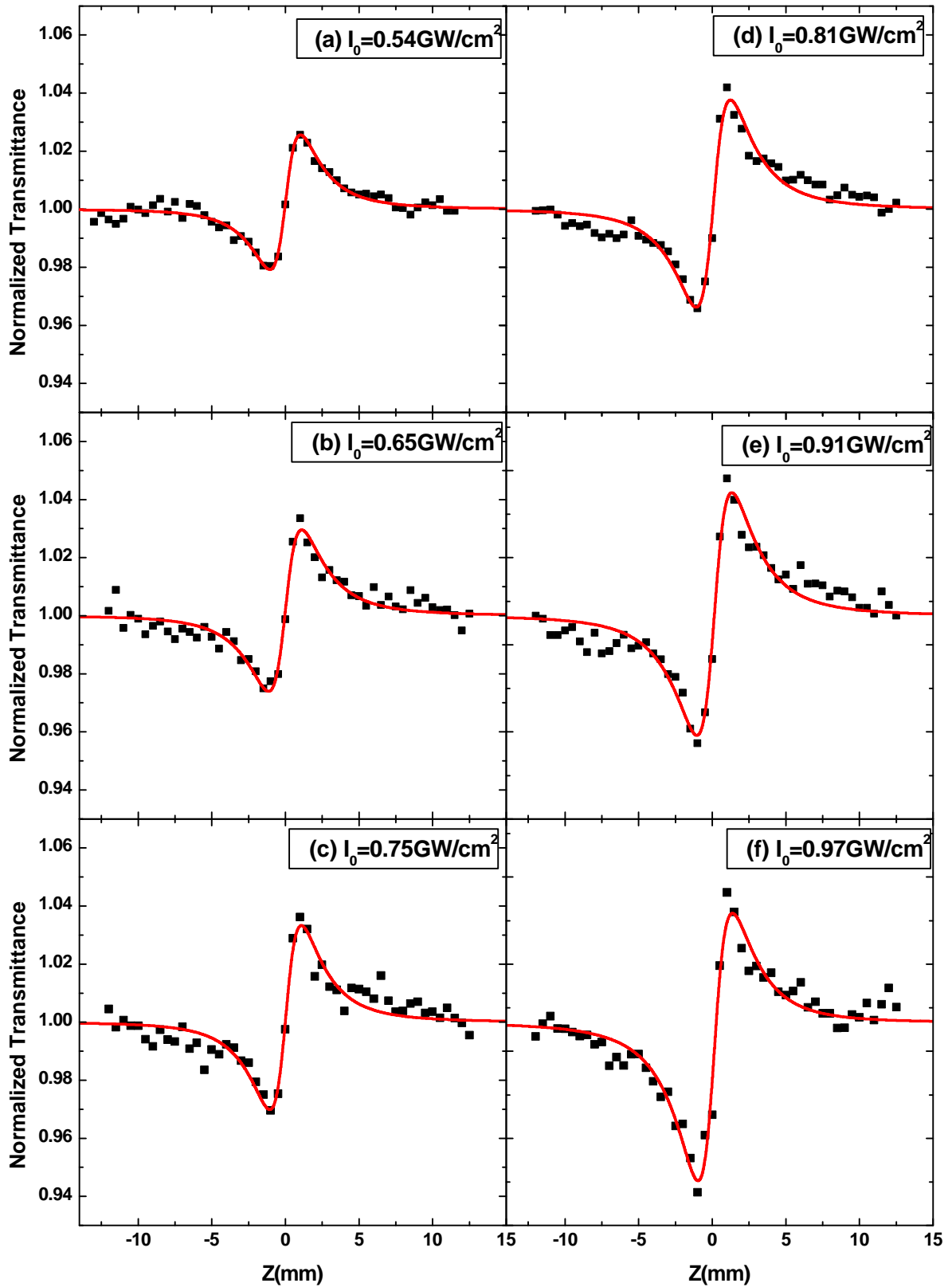


Fig. 4-5 The closed aperture trace divided by the open aperture trace with different pulse intensity, the filled squares are experimental data and the solid lines are fitting curves. (a) 0.54 GW/cm^2 , (b) 0.65 GW/cm^2 , (c) 0.75 GW/cm^2 , (d) 0.81 GW/cm^2 , (e) 0.91 GW/cm^2 and (f) 0.97 GW/cm^2 .

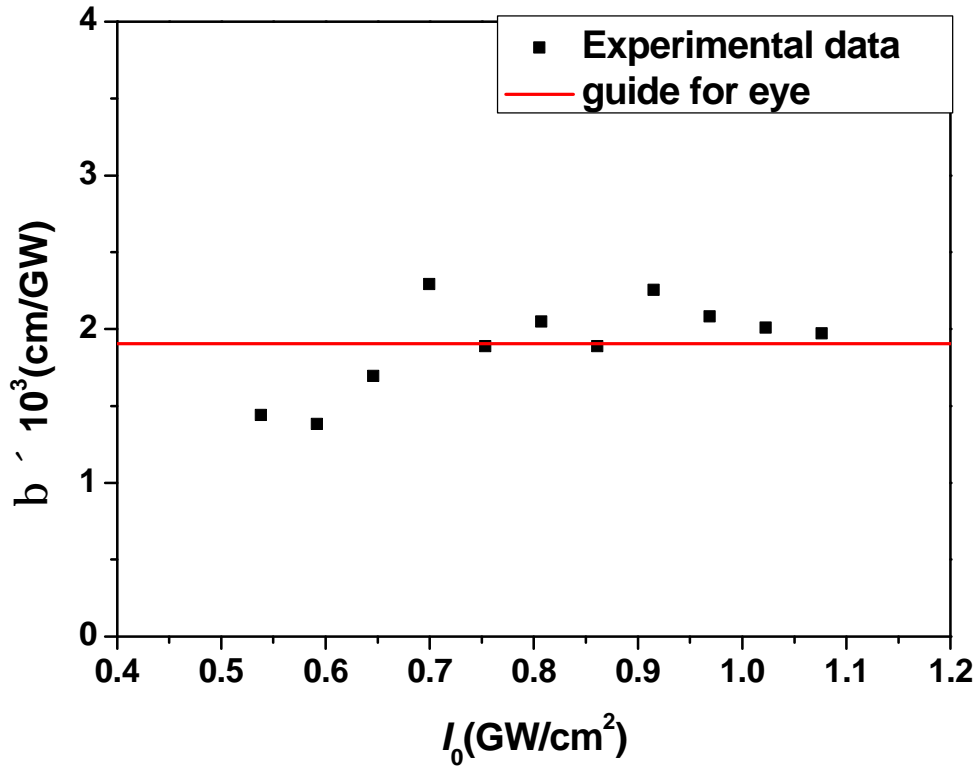


Fig. 4-6 Measured TPA coefficients (filled blocks) plotted as a function of I_0 . The horizontal solid line is a guide for the eye and yields $\beta = 1905$ cm/GW.

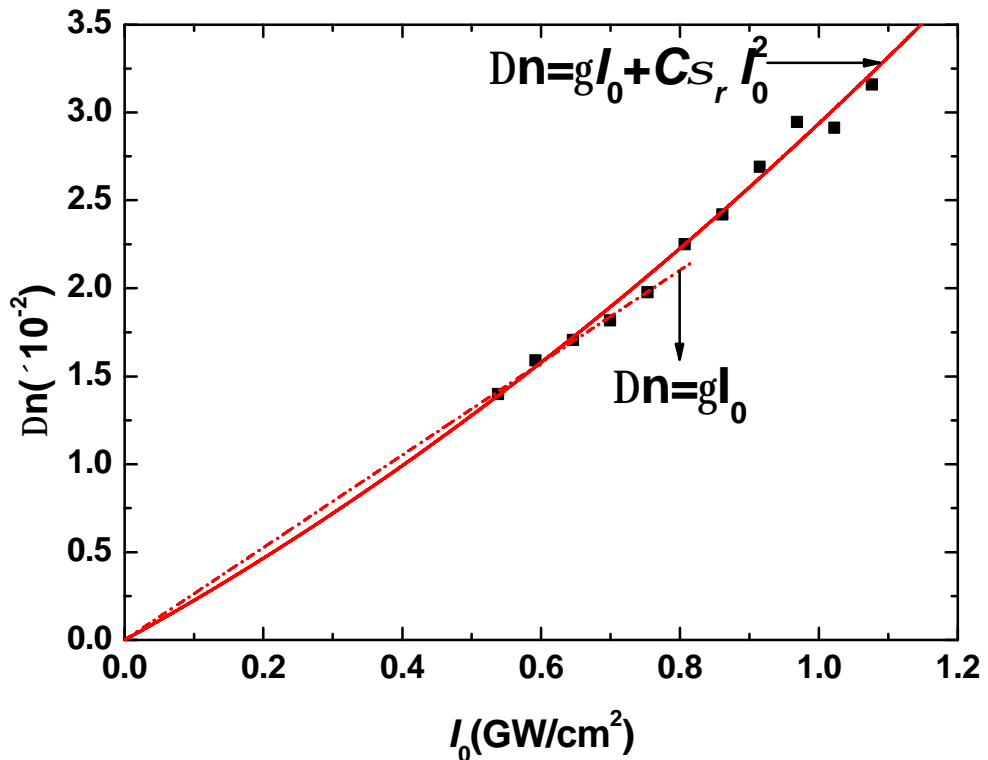


Fig. 4-7 Δn directly derived from ΔT_{p-v} plotted as a function of I_0 for ZnO. The slope of the straight-line best fit to the data yields $\gamma = 2.63 \times 10^{-11}$ cm²/W. The line represents a cubic (n_2 type) nonlinearity. The deviation from the line is indicative of higher order refractive effects arising from two-photon generated charge carriers.

To clearly estimate the free carrier nonlinearity, a simple procedure [30] is present. This procedure is performed at various irradiances, and the results of these fitted Δn are divided by I_0 . If there were no higher-order nonlinearities, this procedure would give a horizontal line with vertical intercept γ in the plot of $\Delta n/I_0$ versus I_0 . Thus, with free-carrier refraction, the curve of a plot of $\Delta n/I_0$ versus I_0 is a straight line with an intercept γ of and a slope of $C\sigma_r$.

where C is given by $C \approx 0.23(\mathbf{b}t_0/\hbar\omega)$ for low linear absorption ($\alpha_0L < 0.2$). t_0 is the pulse width of incident laser. A relation is given below

$$\Delta n \approx \mathbf{g}I_0 + C\sigma_r I_0^2 \quad (27)$$

By applying this method to this ZnO thin film, a plot of Δn versus I_0 is shown in Fig. 4-7. Fig. 4-7 shows the low-irradiance results ($I_0 < 0.76 \text{ GW/cm}^2$), the third-order nonlinear refraction is attributed to bound-electronic effects and $\gamma = 2.63 \times 10^{-11} \text{ cm}^2/\text{W}$ is obtained from the vertical intercept of this horizontal line. The higher-irradiance results for $I_0 > 0.76 \text{ GW/cm}^2$ is shown in Fig. 4-7. The bound-electronic nonlinearity $\gamma = 2.21 \times 10^{-11} \text{ cm}^2/\text{W}$ ($n_2 = 1.155 \times 10^{-8} \text{ esu}$) is obtained from the nonzero-intercept of the fitted parabolic line and the refractive-index change per carrier-pair density $\sigma_r = 4.51 \times 10^{-20} \text{ cm}^3$ is obtained from this parabolic line.

This relation also can be derived as:

$$\Delta n/I_0 \approx \mathbf{g} + C\sigma_r I_0 \quad (28)$$

A plot of $\Delta n/I_0$ versus I_0 is shown in Fig. 4-8. The bound-electronic nonlinearity $\gamma = 2.21 \times 10^{-11} \text{ cm}^2/\text{W}$ ($n_2 = 1.155 \times 10^{-8} \text{ esu}$) is obtained from the nonzero-intercept of the fitted straight line and the refractive-index change per carrier-pair density $\sigma_r = 4.51 \times 10^{-20} \text{ cm}^3$ is obtained from the slope of this straight line. The bound-electronic nonlinearity derived from straight and parabolic line is close, this deviation is mainly from the inaccuracy of experiment. The data (open squares) in Fig. 4-8 is the TPA coefficients versus incident irradiance and the dashed line is a guide for the eye.

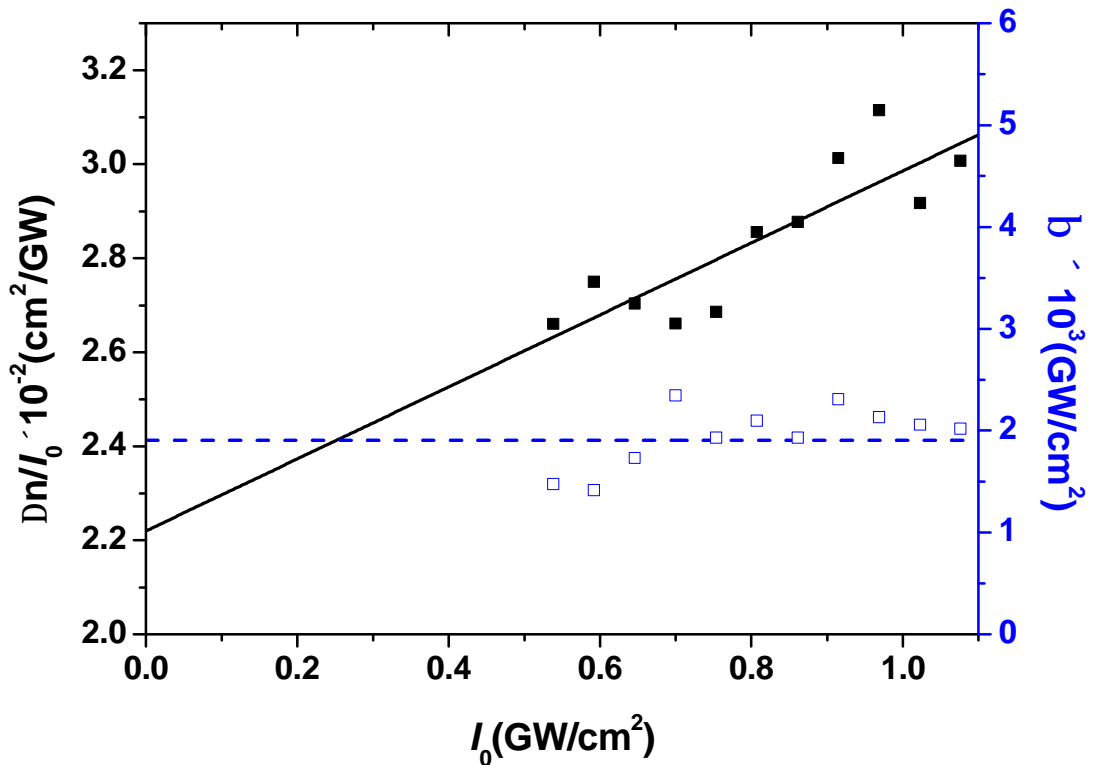


Fig. 4-8 Measured TPA coefficients (open squares) versus irradiance and $\Delta n/I_0$ (filled squares) plotted as a function of I_0 for the ZnO thin film. The horizontal dashed line is a guide for eye with vertical intercept $\beta = 1905$ cm/GW. The intercept of linear best fit to the data gives $\gamma = 2.21 \times 10^{-11}$ cm²/W, and the slope yields $\sigma_r = 4.51 \times 10^{-20}$ cm³.

The measured TPA coefficient, bound-electronic and free-carrier nonlinearity are larger than those with 25-ps laser pulse, frequency-doubled, mode-locked, Q-switched Nd-YAG laser [31]. Excitonic enhanced in optical nonlinearity is dominated when the incident laser light is near two-photon resonance. Thus, a wavelength-dependent Z-scan is performed to investigate this excitonic enhancement of ZnO near two-photon resonance. The measured TPA coefficient versus wavelength of incident laser is shown in Fig 4-9. The dashed line is half of exciton bind energy subtract from half of energy of band-gap of ZnO. The position of arrow is two-photon resonance energy. When the wavelength of incident laser light is far away two-photon resonance, the measured TPA coefficient is smaller. As wavelength of incident laser light is below this dashed line, the measured TPA coefficient is drastically

decreased. It likes a resonance behavior at this dashed line. This is clearly due to excitonic enhancement near two-photon resonance. This behavior could also be observed in ZnTe [32]. In GaN thin film, TPA coefficient is 18 times larger than theoretical value is observed [33] which is mainly from the excitonic enhancement. There are 12 meV of exciton binding energy in ZnTe and 27 meV one in GaN and 60meV in ZnO. The thermal energy is about 26 meV. At room temperature, the exciton is still exist in ZnO. Due to this large exciton binding energy 60 meV in ZnO, the TPA is drastically enhanced near two-photon resonance. Compared with 25-ps measured TPA coefficient of ZnO single crystal [31], it is about 400 times enhancement when the wavelength of incident laser light is 748 nm.

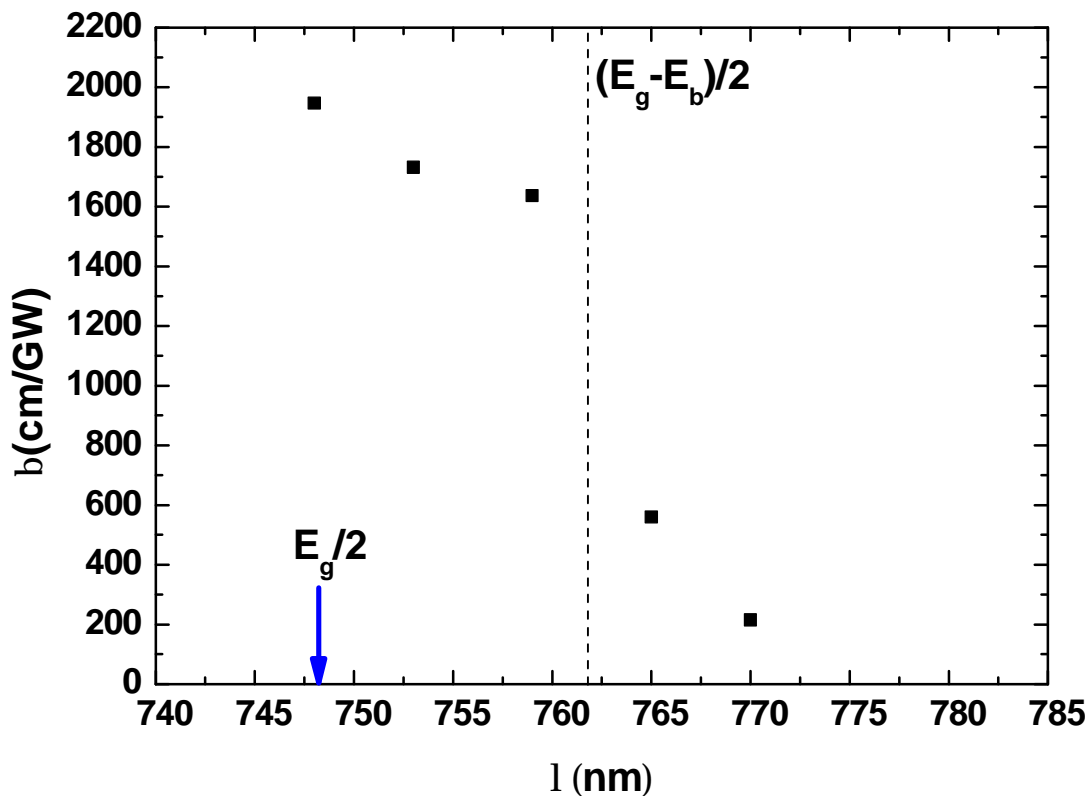


Fig. 4-9 Measured TPA coefficients (filled squares) versus wavelength λ (nm) for the ZnO thin film. When the wavelength of incident laser is larger than the half energy of exciton-to-valance band, the TPA coefficients is smaller. It also reveals an excitonic enhancement near two-photon resonance.

The measured bound-electronic nonlinearity at two-photon resonance is also larger than theoretical value [26]. The measured nonlinear refractive index versus wavelength is shown in Fig. 4-10. When the wavelength of incident laser light is far from two-photon resonance, the nonlinear refractive index is smaller. It reveals a two-photon resonance behavior in this ZnO thin film. This fitted K' in Fig. 4-11 is 2000 times larger than theoretical value. This behavior could also be obtained in GaN thin film [34]. Because of a smaller exciton binding energy 27 meV than one of ZnO, this enhancement is not remarkable in GaN thin film. By DFWM, a very large third-order optical nonlinearity in ZnO microcrystalline thin film is observed [35]. It is due to absorption strength for excitation near the exciton and the band-to-band transitions. From this, the excitonic enhancement is dominated near two-photon resonance in ZnO thin film.

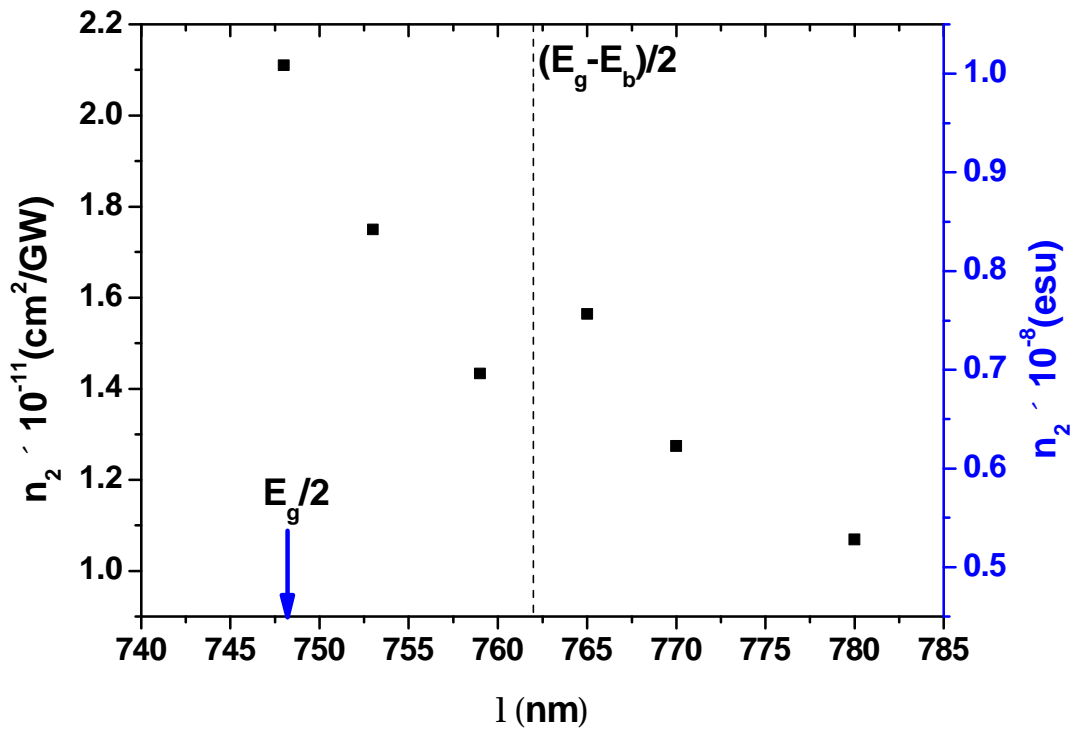


Fig. 4-12 Measured nonlinear refractive index (filled squares) versus wavelength λ (nm) for the ZnO thin film. When the wavelength of incident laser is larger than the half energy of exciton-to-valance band, nonlinear refractive index is smaller. It reveals an excitonic enhancement near two-photon resonance.

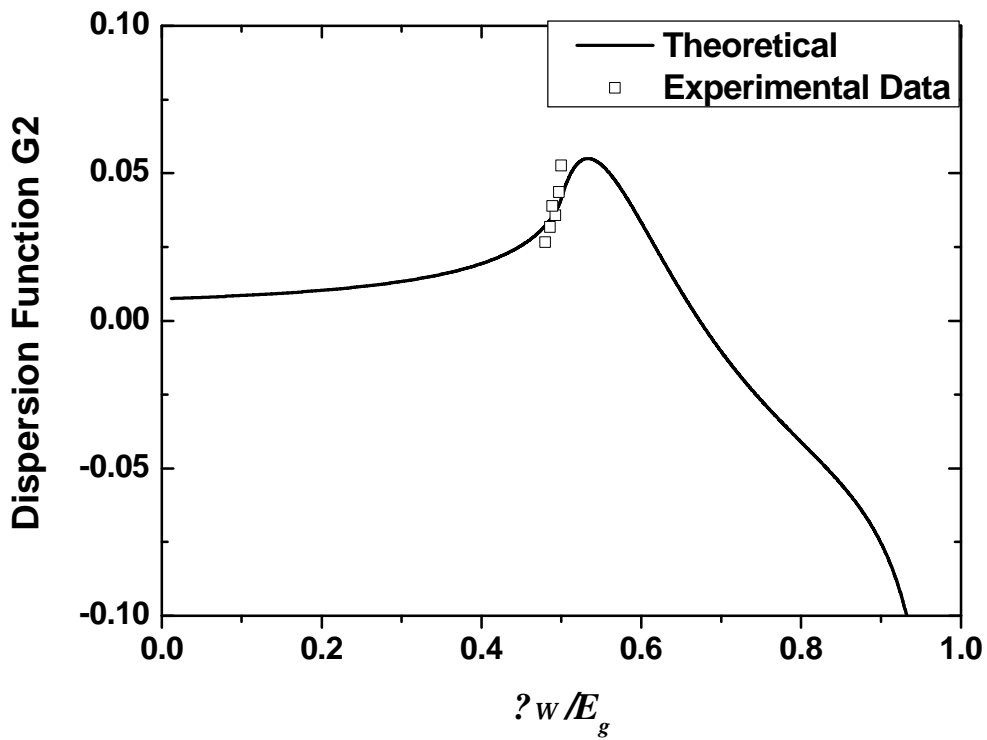


Fig. 4-11 Measured nonlinear refractive index (open squares) versus $h\nu/E_g$ for the ZnO thin film. The solid line is the theoretical value with K' is 2000 times larger than theoretical value.

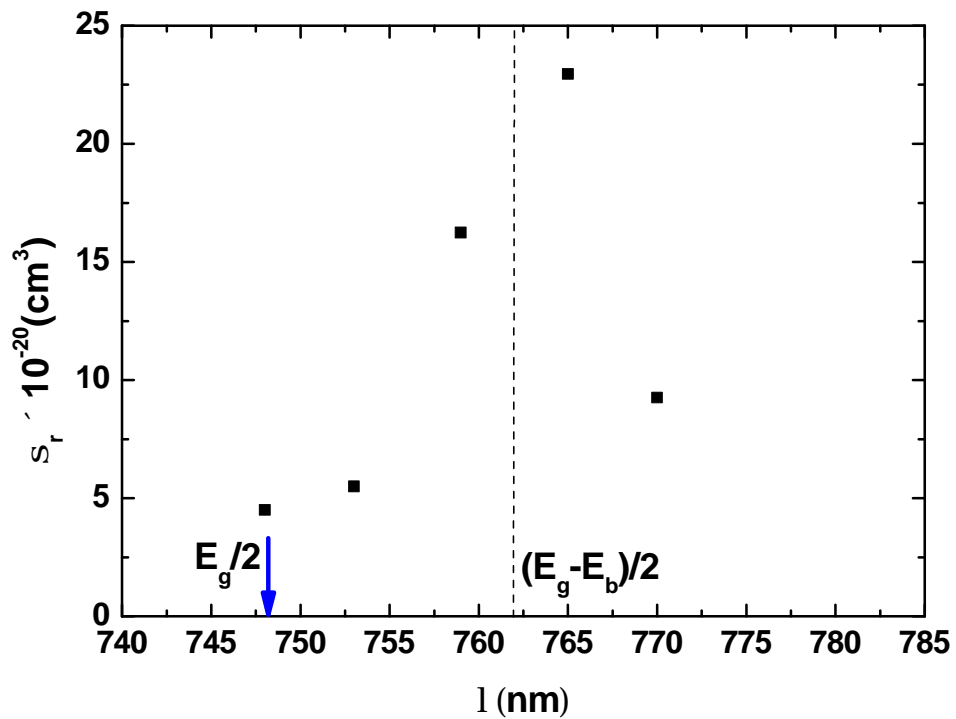


Fig. 4-12 Measured free-carrier nonlinearity (filled squares) versus wavelength λ (nm) for the ZnO thin film. When the wavelength of incident laser is near the half energy of exciton-to-valance band, the free-carrier nonlinearity is larger. It reveals an excitonic enhancement.

The free-carrier nonlinearity versus wavelength of incident laser light is shown in Fig. 4-12. When the wavelength of incident laser light is near half energy of exciton-to-valance band, the free-carrier nonlinearity is larger. This is also an excitonic enhance behavior.

	$I_0(\text{GW}/\text{cm}^2)$	ΔF	$Z_0(\text{mm})$	$\Delta n/I_0$
1	0.54	0.114	1.205	0.0260
2	0.59	0.129	1.570	0.0269
3	0.64	0.137	1.311	0.0264
4	0.70	0.142	1.14	0.0260
5	0.75	0.156	1.250	0.0262
6	0.80	0.176	1.356	0.0279
7	0.86	0.189	1.330	0.0281
8	0.91	0.206	1.386	0.0294
9	0.97	0.226	1.353	0.0304
10	1.02	0.223	1.397	0.0285
11	1.07	0.24	1.430	0.0293
Average			1.334	

Table III The fitting results of division of Z-scan.

Chapter 5 Conclusions and perspectives

5-1 Conclusions

Optical nonlinearities (including two-photon absorption coefficient, bound-electronic and free-carrier nonlinearities) of ZnO thin film growth by Laser-MBE is first observation near two-photon resonance. This ZnO thin film is inspected by XRD which indicated this film is a highly *c*-axis orientation quality and the result of PL reveals a strong free-exciton luminescence at room temperature. The measured result of visible light's range of transmission spectrum reveals it has a high transparency of visible light's range. Because of this high *c*-axis orientation quality, the biggest two-photon absorption coefficient that we measured at 748nm-wavelength of incident laser light is ≈ 1905 cm/GW. This strong two-photon absorption is about 400 times larger than that of ZnO bulk measured at 532 nm with 25-ps pulse-width. The two-photon absorption coefficient is disappeared above 780nm-wavelength of incident laser light source. Moreover, there is a drastic downgrade near 762nm which is equal the half of band-edge energy plus exciton bind energy. It is a resonance behavior of excitonic enhancement near two-photon resonance. The measured biggest bound-electronic nonlinearity of ZnO thin film that we measured is at 748nm-wavelength of incident laser light. It's about 2000 times larger than theoretical value. From the wavelength-dependent Z-scan, the energy of incident laser light is smaller than half band-gap energy, the measured bound-electronic nonlinearity become smaller. The biggest bound-electronic nonlinearity of ZnO thin film ranging from 748-780 nm that we measured is about 2000 times larger than theoretical value. Compared with the results of DFWM of ZnO microcrystalline thin film, that measured third-order optical nonlinearity is about 100 times larger than us. This discrepancy of result of DFWM and Z-scan is due to the absorption strength of near excitonic resonance, band-to-band transition and different

wavelength of incident laser light source. Thus 2000 times larger than theoretical value of bound-electronic nonlinearity is due to two-photon resonance with excitonic enhancement. The free-carrier nonlinearity of ZnO thin film at 748 nm is about 40 times larger than that of ZnO bulk measured at 532 nm with 25-ps pulse-width. Its two-photon absorption strength near two-photon resonance. The wavelength-dependent Z-scan reveals the resonance behavior near $(E_g - E_b)/2$.

5-2 Perspectives

Free-excitons dominate near band-edge emission at room temperature and bound-excitons will dominate at low temperature. It's a very important issue to understand the nonlinearities of bound-excitonic enhancements at low temperature. A temperature-dependent Z-scan is needed to improve. At higher temperature, the free-exciton binding energy will be covered by thermal energy. At this point, the nonlinearities of ZnO thin film will decay if those are dominated by free-excitons. This temperature-dependent Z-scan will provide additional evidence that excitons enhanced and dominated optical nonlinearities near two-photon resonance at low temperature and room temperature. Besides, a tunable light source with more wide range is needed to measure these nonlinearities of ZnO thin film without two-photon resonance. A second-harmonic-generation of Ti:Sapphire laser is also needed to study these nonlinearities of ZnO thin film with near excitonic resonance and compare with the results of DWFEM of a 55- μm thickness ZnO microcrystalline thin film. Theoretically, dispersion of bound-electronic nonlinearity of ZnO thin fill will be observed near excitonic resonance. In other words, the bound-electronic nonlinearity of ZnO thin film with UV light pumping will be negative. Moreover, the influence of thickness to third-order optical nonlinearity are the same with second-order one. Furthermore, the effect of Fabry-Perot interferometer is significant with a normal incident to surface of sample. To find out the carrier dephasing time of ZnO by time-resolved Z-scan is essentially needed. With

time-resolved two-color Z-scan, nondegenerate optical nonlinearities will be study. These techniques will facilitate us to comprehensive study the origins of optical nonlinearities of ZnO thin film which is a highly *c*-axis orientation quality.

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