### **Figure captions**

### Chapter 1

- Fig. 1-1. Bandgap diagram of III-V compound semiconductors.
- **Fig. 1-2.** Calculated breakdown voltage as a function of doping concentration and thickness of the drift region in GaN M-n<sup>-</sup>-n<sup>+</sup>.
- **Fig. 1-3.** Electron drift velocity at 300 K in GaN, SiC, Si and GaAs computed using the Monte Carlo technique.
- **Fig. 1-4.** Schematic plot of conduction band structure of a AlGaN/GaN heterostructure.

- Fig. 2-1. The calculated binary phase diagram of GaN.
- Fig. 2-2. Schematic diagram of the in-situ monitoring system.
- **Fig. 2-3.** (a) A diagram of thin-film interference with dielectric materials. Coherent light illuminates the wafer surface during the film deposition and the reflected light is sampled with a photodiode. (b) The reflectance spectrum for single layer epitaxy in constant growth rate case.
- **Fig. 2-4.** Thermal profile for the growth of GaN epitaxial layer. The ramping time  $t_1$  varied from 525°C to 1025°C after the grown LT-GaN buffer layer.
- **Fig. 2-5.** The FWHM of XRD for (0004) diffraction from the GaN epitaxial layer as a function of the temperature ramping rate between 525°C and 1025°C after grown LT-GaN buffer layer.
- **Fig. 2-6.** The Hall mobility and carrier concentration measured at 300K as a function of the temperature ramping rate between 525°C and 1025°C after grown LT-GaN buffer layer.
- Fig. 2-7. 10K photoluminescence linewidths (FWHM) for GaN epitaxial layer as a

- function of the temperature ramping rate between  $525^{\circ}$ C and  $1025^{\circ}$ C after grown LT-GaN buffer layer.
- **Fig. 2-8.** The thickness and surface morphology of AlGaN/GaN double layers were observed from the SEM photograph. The GaN (0004) and  $Al_xGa_{1-x}N$  (0004) peaks were showed in x-ray diffraction curve.
- **Fig. 2-9.** The AlGaN peak, GaN peak and yellow peak were observed from PL and CL Spectrums at RT.
- **Fig. 2-10.** The dependence of Al mole fraction on TMAl flow rate for  $A_x l Ga_{1-x} N$  epitaxial growth.
- **Fig. 2-11.** Sketch of the construction of the exciton states from the combination of conduction and valence band Bloch state for epitaxy on C-plane.
- Fig. 2-12. Schematic of PL measurement system.
- Fig. 2-13. Typical PL spectra near the band edge emissions at low temperatures.
- Fig. 2-14. PL spectra of the high quality UID GaN at different temperature.
- **Fig. 2-15.** Energy splitting at the top of the valence bands of GaN under the influence of crystal-field and spin-orbit coupling. (The figure is not drawn to scale.)
- Fig. 2-16. Typical reflection spectrum of U-GaN and AlGaN/GaN HEMT.
- **Fig. 2-17.** PL Spectra at 10 K for different structures of  $Al_{0.06}Ga_{0.94}N$  /  $Al_xGa_{1-x}N$  /  $\delta$  -doping / $Al_{0.15}G_{0.85}N$  / GaN HEMTs.
- **Fig. 2-18.** Temperature dependent PL spectra for the sample (c). Notice that the peak red-shift of the GaN D<sup>0</sup>X is larger than that in 2-DEG.
- **Fig. 2-19.** Temperature dependence of the energy separation ( $\Delta E$ ) of the 2DEG subbands PL peak form the GaN D<sup>0</sup>X emission where  $\Delta E_i = E_{D^0 X} E_i$  and so on.
- Fig. 2-20. The CV plots of HEMT for (a) sample-c and (b) sample-d.

- Fig. 3-1. Schematic of ICP Etcher.
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- **Fig. 3-3.** Etch rate as a function of ICP power for InGaN, GaN and AlGaN. The controlled conditions are 10/10 sccm of Cl<sub>2</sub>/N<sub>2</sub>, 600W of RF power, 2 mtorr for 300 s.
- **Fig. 3-4.** Surface roughness as a function of ICP power for  $In_{0.37}Ga_{0.63}N$ , n-GaN and  $Al_{0.35}Ga_{0.65}N$ . The controlled conditions are 10/10 sccm of  $Cl_2/N_2$ , 600W of RF power, 2 mtorr for 300 s.
- **Fig. 3-5.** Etch rate as a function of  $KOH_{(aq)}$  concentration under 100 mW/cm<sup>2</sup> of UV exposure.
- **Fig. 3-6.** Surface roughness as a function of  $KOH_{(aq)}$  concentration under 100 mW/cm<sup>2</sup> of UV exposure.
- Fig. 3-7. The *I-V* curves of Schottky diodes after hybrid etch for GaN.
- **Fig. 3-8.** The *I-V* curves of Schottky diodes after hybrid etch for AlGaN.
- **Fig. 3-9.** Barrier heights ( $\Phi_b$ ) and ideality factors (n) of Schottky diodes after hybrid etch for n-GaN.
- **Fig. 3-10.** Barrier heights ( $\Phi_b$ ) and ideality factors (n) of Schottky diodes after hybrid etch for Al<sub>0.15</sub>Ga<sub>0.85</sub>N.
- **Fig. 3-11.** Breakdown voltages ( $V_B$ ) after hybrid etch of Schottky diodes for n-GaN. All samples were etched by ICP with the flow rate of  $\text{Cl}_2/\text{N}_2 = 10/10$  sccm, ICP/RF powers of 600/100 W, pressure 100 mtorr for 60 s followed by PEC etch by 0.04 M KOH<sub>(aq)</sub> under 100 mW/cm<sup>2</sup> of UV exposure.
- Fig. 3-12. Breakdown voltages  $(V_B)$  after hybrid etch of Schottky diodes for  $Al_{0.15}Ga_{0.85}N$ . All samples were etched by ICP with the flow rate of

- $Cl_2/N_2$ =10/10 sccm, ICP/RF powers of 600/100 W, pressure 100 mtorr for 60 s followed by PEC etch by 0.04 M KOH<sub>(aq)</sub> under 100 mW/cm<sup>2</sup> of UV exposure.
- **Fig. 3-13.** Surface morphologies of n-GaN. (a) as-grown, (b) ICP etch only, (c) ICP etch followed by 30 min of PEC etch, and (d) ICP etch followed by 60 min of PEC etch.
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- **Fig. 3-16.** SIMS analysis of Cl atoms in GaN after hybrid etch. The penetration depth of Cl atoms were estimated about 1500 Å.
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- Fig. 3-18. ESCA spectra of Cl atoms in n-GaN after hybrid etch.

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- Fig. 4-1. (a) Structure of metal/n-GaN contact, (b) Structure of metal/n-AlGaN contact and (c) Structure of metal/n-GaN contact.
- **Fig. 4-2.** (a) CTLM pad structure and correction factor; (b) The plotting of total resistance against gap distance.
- **Fig. 4-3.** The I-V curves measured for Ti/Al/Ni/Au contact on n-GaN annealed at different conditions (Diode gap 10 μm).
- **Fig. 4-4.** Total resistance ( $R_T$ ) v.s. gap spacing at different annealing temperatures for Ti/Al/Ni/Au contact on n-GaN.
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- Fig. 4-6. The I-V curves measured for Ti/Al/Ni/Au contact on n-AlGaN annealed at different conditions (Diode gap  $10~\mu m$ ).
- **Fig. 4-7.** Total resistance *v.s.* gap spacing at different annealing temperatures for Ti/Al/Ni/Au contact on n-AlGaN.
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- **Fig. 4-11.** Specific contact resistivity as a function of annealing time for Ti/Al/Pt/Au contact on n-AlGaN.
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- Fig. 4-18. The XRD spectra of  $WN_x/n$ -GaN contacts at different annealing temperatures.
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- Fig. 4-21. Surface morphology analysis by AFM for (a) As-deposited WN<sub>x</sub> film. (b)

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- Fig. 4-23. SIMS depth profiles of the WN<sub>x</sub>/n-GaN contacts after thermal treatments (a)As-deposited; (b)After  $650^{\circ}$ C annealing; (c) After  $850^{\circ}$ C annealing.

- **Fig. 5-1.** The leakage currents in (a) Iso-key and (b) between the adjacent devices at room temperature.
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- **Fig. 5-4.** The I-V curve before Schottky contact of n-GaN capped Al<sub>0.1</sub>Ga<sub>0.9</sub>N/u-GaN HEMT for (a) un-recessed and (b) recessed at gate region.
- **Fig. 5-5.** Process flow and SEM picture of TiW<sub>n</sub> T-gate.
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- Fig. 5-8. The DC characteristics of a  $WN_x$  gate AlGaN/GaN HEMT measured at room temperature.
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- $\label{eq:Fig. 5-11.} \textbf{The transconductances of the WN}_x \ \text{T-gate AlGaN/GaN HEMT measured at} \\$  different temperatures.
- **Fig. 5-12.** The leakage currents across the gate and drain for different metal gates at different operation temperatures.
- **Fig. 5-13.** The WNx T-gate AlGaN/GaN HEMTs measured (a) with light exposure, and (b) without light exposure.

