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Computers & Operations Research 36 (2009) 1158 – 1175

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# A two-machine flowshop problem with processing time-dependent buffer constraints—An application in multimedia presentations $\vec{x}$

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Available online 21 January 2008

## **Abstract**

To have a quality multimedia presentation through networks, its presentation lag needs to be controlled. One way to reduce the lag is to prefetch the media objects before their due dates. This paper explores techniques for optimizing the object sequence in a prefetch-enabled TV-like presentation. An optimal solution is the one with which the presentation lag is minimized. We formulate the problem into a two-machine flowshop scheduling problem with a single chain precedence constraint and a player-side buffer constraint. The player-side buffer is "processing time-dependent" and distinguished from the conventional item-based intermediate buffer constraints discussed in previous flowshop studies. We prove the problem to be strongly NP-hard. A branch and bound algorithm equipped with four lower bounds and an NEH-based upper bound is developed. The simulation results show that the average gaps between the overall lower bounds and the NEH-based upper bound are less than 3% for problems with a large buffer size, and less than 13% for problems with a small buffer size and high density of precedence constraints. For applications where the media objects are delivered through extremely busy servers with which only very restricted CPU resources can be allocated for computation, the CDS-based algorithm provides better sequences than the NEH-based algorithm. 2008 Elsevier Ltd. All rights reserved.

*Keywords:* Multimedia presentation; Object sequence optimization; Buffer constraint; Two-machine flowshop

# **1. Introduction**

Rapid advances in technologies for media capture, storage and transmission have contributed to an exponential growth of multimedia objects on the Internet. A common way to present the archived media objects in online multimedia communication applications is by a TV-like presentation which continuously plays the media objects in sequence. Applications that prefer a TV-like presentation can originate from any systems that need to combine multiple separate media objects into a continuous presentation. Examples include assembling a TV-like documentary based on queries to multimedia databases, continuously showing the media items in an online multimedia album, presenting a personalized multimedia daily news delivery service, or presenting a multimedia message (MMS) in a mobile phone, etc. A TV-like presentation consists of a set of multimedia objects ordered sequentially. In these dynamically generated presentations, the orders of the objects are dynamically assigned at runtime.

<sup>-</sup> This research was partially supported by the National Science Council of Taiwan, under grant NSC 96-2221-E-260-020-MY3. B.M.T. Lin was also partially supported by the National Science Council of Taiwan under grant NSC-95-2416-H-009-033. \* Corresponding author. Tel.: +886 49 2915225; fax: +886 49 2915226.

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Fig. 1. Graphical illustration of the notations.





To have a quality TV-like presentation through the Internet, the presentation lag, which is defined as the difference between the instant a media object really begins and the instant it was previously scheduled to start, needs to be kept controlled. A commonly used strategy to possibly reduce the total presentation lag of a presentation is to prefetch the objects before their due times. Each prefetched media object occupies the player-side buffer until it finishes the playback (here we assume that the media player provides the first-in, first-out buffer control service). The prefetched objects are stored in the buffer for promptly responding to a user request. Such a prefetch mechanism usually can reduce presentation lags by acquiring the future objects during the current object's view time. When a media object is being played, there could be un-occupied buffer space available for further downloading other subsequent media objects into the buffer.

In typical multimedia presentations, the modalities of the media objects could be text, images (e.g., JPG, GIF), audio (e.g., MP3, MIDI), video (e.g., MPEG, AVI), or vector graphics (e.g., SVG, SWF). Each media type may have a unique data compression ratio. In addition, the objects could be distributed across multiple servers with varied end-to-end bandwidths to the media player. Thus, for the same amount of data transmitted, the anticipated presentation time differs drastically for different media types. If the media objects are ordered appropriately in the server, the total presentation lag perceived by users for a prefetch-enabled TV-like presentation could be significantly reduced.

Since each media object has a download time  $(a_i)$  and playback time  $(b_i)$  (refer to Fig. 1), so as to reduce the total presentation lag, the order of these media objects should be properly arranged. Our work explores the optimization techniques for sequencing a set of media objects in a delay prone environment such that the presentation lag is minimized. Based on the corresponding elements list in Table 1,we find that the object ordering problem actually can be formulated as a two-machine flowshop problem  $F2 \| C_{\text{max}} [1]$ , in which  $F2$  means the machine environment and  $C_{\text{max}}$  represents the objective. However, the player-side buffer constraint, as described below, appears to be a new type of constraint which has never been explored in the existing two-machine flowshop problems.

In general, two types of constraints are commonly encountered in online multimedia applications, namely, the inter-object precedence constraint, and the player-side buffer constraint. A precedence constraint (here we adopt the terminology used in conventional scheduling research as described in Brucker [\[1\]\)](#page-16-0) requires one media object to precede the second object without precluding other objects from coming between them. For example, in an educational lecture video of a math course, a video object illustrating the *proof* of a *theorem* must be preceded (but not necessarily immediately) by the video defining the theorem itself. In such a case, other objects (such as example applications or the historical remarks of the theorem) are allowed to be presented between the two objects. Beyond the precedence constraints, for online multimedia applications with which certain portable devices such as PDA are used for the playback, the maximum buffer size allowed for keeping the prefetched objects needs to be considered in the schedule optimization process.

If two jobs (i.e., media objects),  $J_i$  and  $J_j$  are temporally related by a precedence constraint (denoted by  $J_i \to J_j$ ),  $J_i$  must precede  $J_j$  but not necessarily next to  $J_j$ . Note that there are two different interpretations of the precedence constraint addressed in the flowshop problems (refer to [2,3]). Assuming that job  $J_i$  precedes job  $J_i$ , in the first interpretation,  $J_j$  cannot be started on the first machine until  $J_i$  is completed on the second machine (all machines). The two-machine flowshop scheduling problem with the first type of precedence constraint is NP-hard even if the given graph contains only one arc [4,5].

In the second interpretation,  $J_j$  cannot start on the first machine unless  $J_i$  is completed on the same machine, that is,  $J_j$  can start on the first machine while  $J_i$  is being processed on machine two. The precedence constraint considered in the multimedia presentation applications refers to the second interpretation. In general, by a series of concatenation on the constrained objects, the overall precedence constraint for a multimedia presentation might be presented by a general graph. Two-machine flowshop scheduling of makespan minimization in applications with arbitrary precedence constraints (i.e., the  $F2|prec|C_{\text{max}}$  problem) has been proved to be NP-hard [\[6\].](#page-16-0) To efficiently compute a near-optimal solution using numerical approaches, Hariri and Potts [\[7\]](#page-16-0) and McMahon and Lim [\[8\]](#page-16-0) developed a variety of branch and bound algorithms where various lower bounds, upper bounds, and dominance rules were proposed to speed up the enumerative procedure. The two-machine flowshop problem with a number of simplified versions of the precedence constraint, including chain, tree, sp-graph, can be solved in polynomial time. Kurisu [\[9\]](#page-16-0) gave an algorithm for the case of parallel-chain precedence constraints. A tree-based precedence problem *F*2|*tree*|*C*max is actually a special case of the series-parallel precedence problem *(F*2|*sp-graph*|*C*max*)* which was solved by polynomial algorithms in Monma and Sidney [\[10\],](#page-16-0) Sidney [\[11\]](#page-16-0) and Gordon and Shafransky [\[12\].](#page-17-0) Valdes et al. [\[13\]](#page-17-0) presented a linear-time algorithm to recognize series-parallel digraphs. In this paper, we consider only the multimedia presentations where the overall precedence constraint is in the form of a single chain. Problems with more complicated forms of precedence constraint is currently under investigation.

With respect to the player-side buffer constraint, we did not find any existing flowshop scheduling studies that have addressed the problem. In the literature, there are a few studies investigating flowshop problems with limitation of "number of jobs" that can be allocated in the intermediate storage buffer before flowing to the next machine. For the case without any buffer limitation (denoted as the problem ∞-*buffer* problem in [\[14\]\)](#page-17-0), an optimal solution can be obtained by Johnson's rule [\[23\].](#page-17-0) For the case in which no any job is allowed to be kept in the intermediate storage buffer (denoted as the *zero-buffer* or *no-wait* problem in [\[14\]\)](#page-17-0), the polynomial algorithm developed by Gilmore and Gomory [\[15\]](#page-17-0) for a specific TSP can be used to produce optimal solutions. Other than the above two cases, the bufferconstrained machine flowshop scheduling problem has been shown to be strongly NP-hard by Papadimitriou and Kanellakis [\[14\].](#page-17-0) To obtain the exact solution of this NP-hard scheduling problem with a finite intermediate buffer, a number of strategies have been proposed; for example, Leisten [\[16\],](#page-17-0) Smutnicki [\[17\],](#page-17-0) Dutta and Cunningham [\[18\],](#page-17-0) Brucker et al. [\[19\],](#page-17-0) Tang and Xuan [\[20\],](#page-17-0) Wang et al. [\[21\],](#page-17-0) etc. In these flowshop studies, the number of jobs allowed to keep in the buffer is fixed throughout the process. In the online multimedia applications addressed in this paper, we fix the "buffer size" instead of number of objects allowed in the player-side buffer. For a given buffer size, the number of objects allowed in the buffer actually depends on the file sizes of the current playing objects and other unscheduled objects. Since, under an environment with a constant transmission bit rate, the download time of an object (i.e., the processing time on  $M_1$ ) is proportional to its file size, the number of objects allowed in the buffer is actually "processing time-dependent". Hence, the media scheduling problem we want to solve is more complicated than the above-mentioned buffer-constrained flowshop scheduling problems. Another unique feature our buffer model exhibits is that the buffer resides on machine  $M_2$  instead of in an intermediate storage area between two machines. Therefore, a job currently being processed on machine *M*<sup>2</sup> still occupies the buffer until its completion. In conventional

scheduling problems with buffers, a job released from the buffer for processing immediately frees the space it has acquired.

In summary, two constraints are taken into account in the two-machine flowshop problem to be explored in this paper, including a single chain precedence constraint of a subset of jobs, and a processing time-dependent buffer constraint. We denote the problem addressed in this paper by  $F2|a_i$ -*buffer*, *chain*| $C_{\text{max}}$ . The jobs that are not constrained by the precedence chain are mutually independent and can be inserted in any place of the chain. Furthermore, since most web servers do not support resumable download with which the transmission of a media object can be temporarily halt and resume later, the jobs are assumed to be *non-preemptive*. For a problem with a buffer capacity larger than the sum of all objects, in the case without a precedence constraint, the optimal sequence can be obtained using Johnson's rule (refer to [\[22\]\)](#page-17-0). In Johnson's rule, job  $J_i$  precedes job  $J_j$  if  $\min\{a_i, b_j\} \leqslant \min\{b_i, a_j\}$ . In the case with a single chain precedence constraint, the optimal sequence can be obtained using the algorithm developed in Kurisu [\[9\].](#page-16-0) Note that previous works by Allahverdi and Al-Anzi [\[24\],](#page-17-0) Batra and Eleftheriadis [\[25\]](#page-17-0) and Blazewicz et al. [\[26\]](#page-17-0) also addressed the scheduling of objects in multimedia applications. However, the applications and optimization criteria addressed in these studies are different from this paper.

In the following, we introduce the notation which will be referred throughout this paper. *Notation*:



## **2. Strong NP-hardness of the** *F***2|***ai-buffer***|***C***max problem**

In this section, we show that problem  $F2|a_i$ -buffer $|C_{\text{max}}|$  is strongly NP-hard by a reduction from the 3-Partition problem, which is known to be NP-hard in the strong sense (see [\[31\]\)](#page-17-0).



Fig. 2. Gantt chart of the optimal schedule with  $C_{\text{max}} = Y$  in Theorem 1.

3-*Partition*: Given an integer *B* and a set of *A* of 3*t* positive integers  $\{x_1, x_2, \ldots, x_{3t}\}, B/4 < x_i < B/2, 1 \le i \le 3t$ , such that  $\sum_{i=1}^{3t} x_i = tB$ , does there exist a partition  $A_1, A_2, \ldots, A_t$  of the set *A* such that  $\sum_{x_i \in A_l} x_i = B$ ,  $1 \le l \le t$ ?

**Theorem 1.** *The F*2|*ai-buffer*|*C*max *problem is strongly* NP*-hard*.

**Proof.** It is not hard to see that the decision version of  $F2|a_i-buffer|C_{\text{max}}$  is in the NP class. We next perform a polynomial-time reduction from 3-Partition. Given an instance of 3-Partition, we construct a job set  $N = \{1, 2, ..., 4t+1\}$ as follows:

*Ordinary jobs*:

 $a_i = x_i$ ,  $b_i = Bx_i$ ,  $1 \le i \le 3t$ ,

*Enforcer jobs*:

$$
a_{3t+i} = B^2
$$
,  $b_{3t+i} = B$ ,  $1 \le i \le t + 1$ ,  
\n $Y = (t+1)(B^2 + B)$ ,

Buffer capacity  $= B^2 + B$ .

The network bit rate, *BR* is assumed to be one unit, i.e.,  $s_i = a_i$  for all jobs.

With the above instance, we claim that there is a partition for the set *A* as specified in 3-Partition if and only if there exists an optimal schedule of  $F2|a_i$ -buffer  $C_{\text{max}}$  whose makespan is no greater than *Y*. Before proceeding to the proof, we assume  $t < x_i$  for all elements of A. If it is not the case, we can scale the numerical values in polynomial time to meet the inequality.

If part: Let subsets  $A_1, A_2, \ldots, A_t$  be a partition as specified for the set *A* in 3-Partition. We schedule job  $J_{3t+1}$  first, followed by the jobs corresponding to the elements of  $A_1$ . Next, we schedule job  $J_{3t+2}$  and then the jobs corresponding to the elements of *A*2. Continuing the arrangement, we have a schedule as shown in Fig. 2. Inspecting the Gantt chart, we can easily see that the constructed schedule has a makespan *Y*.

Only if part: Suppose that there is an optimal schedule of  $F2|a_i$ -buffer $|C_{\text{max}}|$  with a makespan no greater than  $Y = (t + 1)(B^2 + B)$ . We first note that, without loss of generality, we can assume the enforcer jobs are scheduled in increasing order of their indices. Due to the buffer constraint, no two enforcer jobs are allowed to stay in the buffer at the same time. This implies that for any two enforcer jobs, say for example  $J_{3t+1}$  and  $J_{3t+2}$ , the machinetwo operation of  $J_{3t+1}$  and the machine-one operation of  $J_{3t+2}$  cannot overlap. This can be illustrated by the Gantt chart given in Fig. 2. With this property and the fact that  $\sum_{i=3t+1}^{4t} a_i + \sum_{i=3t+1}^{4t} b_i = (t+1)(B^2 + B) = Y$ , no ordinary job can be scheduled before enforcer job  $J_{3t+1}$  for otherwise the makespan will be greater than *Y*. That is, the ordinary jobs need to be allocated to fully fill up the intervals in between any two consecutive enforcer jobs. Moreover, no idle time on machine one is allowed and no idle time between any two machine-two operations is allowed.

Let  $N_1$  be the set of ordinary jobs scheduled between jobs  $J_{3t+1}$  and  $J_{3t+2}$ . We analyze two disjoint cases.

*Case* 1:  $\sum_{J_i \in N_1} a_i < B$ . The completion time of  $J_{3t+2}$  on machine  $M_1$  is  $B^2 + \sum_{J_i \in N_1} a_i + B^2$ . On the other hand, on machine  $M_2$ , the completion time of the last job in  $N_1$  is  $B^2 + B + \sum_{J_i} a_i B$ . Consider the following chain of derivations

$$
\left(B^2 + \sum_{J_i} a_i + B^2\right) - \left(B^2 + B + \sum_{J_i} a_i B\right)
$$
  
=  $B^2 + \sum_{J_i} a_i - B - \sum_{J_i} a_i B$   
=  $B(B - 1) - \sum_{J_i} a_i (B - 1)$   
=  $\left(B - \sum_{J_i} a_i\right) (B - 1)$   
> 0.

The strict inequality indicates that on machine  $M_2$  a non-zero idle time exists between the last job of  $N_1$  and  $j$ ob  $J_{3t+2}$ .

*Case* 2:  $\sum_{J_i \in N_1} a_i > B$ . Let  $J_{i_1}, J_{i_2}, \ldots, J_{i_k}$  be the ordinary jobs of  $N_1$  as arranged in the schedule. Let  $J_{i_j}, 1 \leq j \leq k$ , be the first job such that  $a_{i_1} + a_{i_2} + \cdots + a_{i_{j-1}} \le B$  and  $a_{i_1} + a_{i_2} + \cdots + a_{i_j} > B$ .

*Case* 2.1:  $a_{i_1} + a_{i_2} + \cdots + a_{i_{j-1}} < B$ . When job  $J_{i_j}$  is considered for processing on machine one, the buffer contains enforcer job  $J_{3t+1}$  and ordinary jobs  $J_{i_1}, J_{i_2}, \ldots, J_{i_{j-1}}$ . The residual space in the buffer is less than  $a_{i_j}$  because  $a_{i_1} + a_{i_2} + \cdots + a_{i_j} > B$ . Therefore, job  $J_{i_j}$  needs to wait for  $B - (a_{i_1} + a_{i_2} + \cdots + a_{i_{j-1}})$  time units for the completion of the playback of job  $J_{3t+1}$ . As a sequel, non-zero idle time occurs, a contradiction.

*Case* 2.2:  $a_{i_1} + a_{i_2} + \cdots + a_{i_{j-1}} = B$ . The completion time of the first ordinary job  $J_{i_1}$  on machine  $M_2$  is  $B^2 + B + a_{i_1}B$ . On machine  $M_1$ , the completion time of the last job  $J_{i_k}$  of  $N_1$  is at most  $B^2 + tB$ , which is smaller than  $B^2 + B + a_{i_1}B$ as we have assumed  $tB < x_i B$ . When job  $J_{3t+1}$  is to be dispatched to machine  $M_1$ , all jobs of  $N_1$  still occupy the buffer with a total size greater than *B*. Subject to the capacity constraint, we cannot dispatch job  $J_{3t+1}$  onto machine  $M_1$ , implying non-zero idle time before  $J_{3t+1}$ .

From the analysis of Cases 2.1 and 2.2, we known that  $\sum_{J_i \in N_1} a_i > B$  cannot hold.

The above analysis has shown that  $\sum_{J_i \in N_1} a_i = B$  must hold. We let the elements that define the jobs of  $N_1$  constitute subset  $A_1$ . Continuing the above line of analysis, we can iteratively come up with  $A_2$ ,  $A_3$  and  $A_t$  as required by the 3-Partition problem. The proof is complete.  $\Box$ 

The instance constructed in the proof of  $F2|a_i$ -buffer  $|C_{\text{max}}|$  is a degenerate case of  $F2|a_i$ -buffer, *chain* $|C_{\text{max}}|$  without any precedence constraint. The studied problem  $F2|a_i$ -buffer, chain $|C_{\text{max}}|$ , with precedence constraints incorporated, is more complicated and thus also computationally intractable. An extreme case of  $F2|a_i$ -buffer, *chain*| $C_{\text{max}}$  has a chain consisting of all jobs. In this case, the sequence defined by the chain is the solution.

### **3. Branch and bound algorithm**

As the media object scheduling problem is strongly NP-hard, it is unlikely to develop polynomial time algorithms for producing optimal schedules. In this section, we shall develop a branch and bound algorithm for the *F*2|*ai-buffer, chain*|*C*max problem. To support the design of an efficient branch and bound algorithm, we develop several lower bounds to curtail unnecessary branching for reducing the computing efforts. An NEH-based heuristic algorithm will also be proposed to produce approximate solutions that will be used as the initial incumbent value of the exact method.

## *3.1. Lower bounds based on the precedence constraint*

In a node in the branch and bound tree, there should be a set of scheduled jobs (i.e.,  $N_{SD}$ ) and other unscheduled jobs (i.e.,  $N\setminus N_{SD}$ ). The scheduled jobs include jobs finished on  $M_2$ , the job currently under processing on  $M_2$  (been



Fig. 3. Lower bound *LB*1.



Fig. 4. Lower bound  $LB_2$ .

previously downloaded to the buffer), and buffered jobs waiting for playback on *M*2. The following two lower bounds,  $LB<sub>1</sub>$  and  $LB<sub>2</sub>$ , are based on certain characteristics of the precedence constraint.

## *3.1.1. LB*<sup>1</sup>

Referring to Fig. 3, a lower bound at a node must be larger than the total  $a_i$  for all  $J_i$  (i.e.,  $\sum_{J_i \in N_{SD}} a_i + \sum_{J_i \in \{N \setminus N_{SD}\}} a_i$ in Fig. 3) plus the processing time for the final job on  $M_2$ . Given  $N_S$  as the set of scheduled jobs, the last job in a sequence should be either  $J_{Pk}$  (i.e., the last one to be played in  $J_P$ ) or the job with the smallest  $b_i$  in  $N_{NSDP}$  $(N_{NSDP} = (N\backslash N_{SD}) \cap (N\backslash N_P)$ , i.e., the unscheduled jobs that are not subject to any precedence constraint), whichever has a smaller playback time *bi*. Based on the above consideration, a lower bound *LB*<sup>1</sup> can be given as

$$
LB_1 = \sum_{J_i \in N} a_i + \min \left\{ \min_{J_i \in N_{NSDP}} \{b_i\}, b_{\text{prec-last}} \right\}.
$$
 (1)

*3.1.2. LB*<sup>2</sup>

At a node in the search tree, a lower bound must be larger than the total processing time for all unscheduled jobs on  $M_2$  (i.e.,  $\sum_{J_i \in \{N \setminus N_{SD}\}} b_i$  in Fig. 4), plus the time elapsed before these jobs starting on  $M_2$ . The earliest job to be scheduled at this stage should be the one with the highest priority in  $(N\setminus N_{SD})$ , or the one with the smallest  $a_i$  in *N<sub>NSDP</sub>*, whichever has a smaller  $a_i$ . Therefore, the time elapsed can be given by  $\min(\min J_i \in N_{NSDP}(a_i), a_{prec-first})$ . Given  $C_{SD}$  as the flowtime of sequence  $S_{NSD}$  of job set  $N_{SD}$ , a lower bound can be calculated as

$$
LB_2 = \max\left(C_{SD}, \sum_{J_i \in N_{SD}} a_i + \min\left(\min_{J_i \in N_{NSDP}} (a_i), a_{\text{prec-first}}\right)\right) + \sum_{J_i \in N \setminus N_{SD}} b_i.
$$
 (2)

#### *3.2. Lower bounds based on the buffer constraint*

We further propose two more sophisticated lower bounds that exploit certain general characteristics of a bufferconstrained sequence. At any instant during the presentation, if there is certain vacancy in the buffer, more unscheduled

<span id="page-7-0"></span>

Fig. 5. Buffer status at the four playback steps.

job(s) can be possibly downloaded into the buffer (that is, *M*<sup>1</sup> can process further unscheduled jobs). Each time a job finishes on *M*2, the newly released buffer space can then be used to download more unscheduled job(s). Note that based on the requirements of real world multimedia applications, we assume the download process needs to be suspended unless the currently available free space in the buffer is sufficient for keeping an incoming media object, that is, the download process is not resumable.

## *3.2.1. LB*<sup>3</sup>

In a node of the search tree, given the current makespan of the sequence  $S_{N_{SD}}$  as  $C_{SD}$ , a reasonable estimate on the minimum remaining processing time is the total processing time  $(a<sub>i</sub>)$  required for all unscheduled jobs minus the total allowable processing time on  $M_1$  before all the buffered jobs finish their playbacks. Fig. 5 illustrates the general idea of *LB*3. The calculation is based on the following three values: (1) duration required to fully fill up an empty buffer (denoted by  $T_{\text{buffer}}$ ), (2) duration allowed for further download after  $J_p$  starts its playback (denoted by  $T_{\text{fill}}$ ) and (3) duration allowed for further download during the processing of  $J_p$  (denoted by  $T_{\text{during}}$ ).

Refer to Fig. 5 and consider an instant when a job  $J_p$  is under processing on  $M_2$ , with a number of other jobs waiting in the buffer (e.g.,  $J_q$  and  $J_r$  in Fig. 5). Assuming the data transmission bit rate on  $M_1$  (denoted by *BR* defined above) to be constant, the time required to completely fill up the empty buffer is calculated as

$$
T_{\text{buffer}} = \frac{BUF}{BR}.\tag{3}
$$

At a node, the current free buffer space (denoted by *BUF*free*)* is the total buffer size minus the size of the job being processed on  $M_2$  and the standby job(s) in the buffer. Hence, the duration allowed for further transmission after  $J_p$ 



Fig. 6. Calculation of *TR*.

starts its playback is given as

$$
T_{\text{fill}} = \frac{BUF_{\text{free}}}{BR}.\tag{4}
$$

There are two different scenarios for calculating the duration allowed for further download during the processing of a job  $J_i$  on  $M_2$  (denoted by  $T_{\text{during }i}$ ). In the first case (step 1, [Fig. 5\(](#page-7-0)a)),  $b_p$  is larger than  $T_{\text{fill}}$ . So, during the processing of  $J_p$  on  $M_2$ , the download process on  $M_1$  will be suspended once the buffer is full. The maximum allowable download time is equal to  $T_{\text{fill}}$ . Hence,  $T_{\text{during}}$   $p = T_{\text{fill}}$ .

In the second case (step 2, [Fig. 5\(](#page-7-0)b)), the buffer will not be fully occupied before  $J_q$  completes on  $M_2$ . The buffer has a free space for downloading the next job, say  $J_r$ . In such a case,  $T_{\text{during }q} = b_q$ .

By combining the above two scenarios, we compute the allowable download time  $T_{\text{during}}$  *i* during the playback of a buffered job *Ji* as

$$
T_{\text{during}\_i} = \min(T_{\text{fill}}, b_i). \tag{5}
$$

The total allowable download time for all buffered jobs at that node can then be given as

$$
T_{\text{during\_buffer}} = \sum_{J_i \in N_{BUF}} T_{\text{during}\_i}.
$$
\n(6)

We now propose a lower bound based on  $T_{\text{during buffer}}$ . Given  $C_{SD}$  as the current makespan of the scheduled jobs, the makespan of the complete sequence  $N_{SD}$  should be larger than a presumed ideal sequence with which all the unscheduled jobs can be processed on  $M_1$  during the playback of all the buffer jobs on  $M_2$  (i.e.,  $\sum_{J_i \in N \setminus N_{SD}} a_i < T_{\text{during_buffer}}$ ). In such a case, a lower bound can be given by summing  $C_{SD}$  and the total processing time of the unscheduled jobs on *M*<sub>2</sub>. That is, a lower bound is given as  $C_{SD} + \sum_{J_i \in N \setminus N_{SD}} b_i$ .

In the case where the total processing time on  $M_1$  of the unscheduled jobs is larger than  $T_{\text{during\_buffer}}$  (i.e.,  $\sum_{J_i \in N \setminus N_{SD}}$  $a_i \ge T_{\text{during buffer}}$ , the makespan should then be the sum of the following values: (1) the current makespan  $C_{SD}$ , (2) the remaining time required to process all the unscheduled jobs on  $M_1$  after the playback of current buffered jobs and (3) the total download idle time (the time that cannot be allocated for downloading) when an unscheduled job is under playback on *M*2. In the following, we elaborate the general idea of this lower bound.

During the playback of the currently buffered jobs on  $M_2$  (i.e., within  $C_{SD}$ ), there will be a time slot of  $T_{\text{during buffer}}$  for possible download of the unscheduled jobs on *M*1. The minimum remaining time required to download the unscheduled jobs after the completion time of current buffered jobs is given as  $T_R = \sum_{J_i \in N \setminus N_{SD}} a_i - T_{\text{during\_buffer}}$ .

Referring to Fig. 6(b), due to the buffer constraint, the time span for downloading all the unscheduled jobs could be larger than  $T_R$ . When a job  $J_i$  is processed on  $M_2$ , the buffer is at least occupied by  $J_i$  itself. Hence, the maximum



Fig. 7. Calculation of the minimum downloading idle time  $T_{di\_i}$  for  $J_i$ .

allowable download time on  $M_1$  during its playback is  $T_{\text{buffer}} - a_i$ . When  $T_{\text{during }i} < b_i$  (Fig. 7(a)), the minimum download idle time  $T_{di\_i} = b_i - (T_{buffer} - a_i)$ . Otherwise,  $T_{di\_i} = 0$  (Figs. 7(b) and (c)).

Therefore,  $T_{di}$  *i* can then be given as

$$
T_{di\_i} = \max\{b_i - (T_{buffer} - a_i), 0\}.
$$
\n
$$
(7)
$$

The minimum download idle time  $T_{DI}$  for all the unscheduled jobs is the sum of  $T_{di\_i}$  of all unscheduled jobs

$$
T_{DI} = \sum_{J_i \in N \setminus N_{SD}} T_{di\_i} = \sum_{J_i \in N \setminus N_{SD}} \max\{b_i - (T_{\text{buffer}} - a_i), 0\}.
$$
 (8)

Thus, a lower bound can be given by  $C_{SD} + T_R + T_{DI}$ . In summary, we have the following lower bound:

$$
LB_3^=\begin{cases}C_{SD}+\sum_{J_i\in N\setminus N_{SD}}b_i & \text{if }\sum_{J_i\in N\setminus N_{SD}}a_i
$$

*3.2.2. LB*<sup>4</sup>

With further elaboration, we can improve the tightness of the previous lower bound LB<sub>3</sub> by calculating the best possible job combination so as to optimize the utilization of the residual buffer space in any instance.

Given a partial schedule with  $J_p$  starting its playback, there are two cases to consider for calculating  $T_{\text{during}}$ <sub>*p*</sub> (duration allowed for further download during the processing of  $J_p$ ). In the first case [\(Fig. 8\(](#page-10-0)a)),  $b_q$  is smaller than *T*<sub>fill</sub>. The buffer will not be fully occupied before the completion of  $J_q$ . In such a case,  $T_{\text{during\_}q} = b_q$ . In the second case [\(Fig. 8\(](#page-10-0)b)),  $b_p$  is larger than the  $T_{\text{fill}}$ . The maximum allowable download time  $T_{\text{during}}$  *p* will depend on the possible combinations of the unscheduled jobs (e.g., in [Fig. 8\(](#page-10-0)b),  $\{a_i, a_k\}$  occupies more buffer space than  $a_i$ ). The best allocation can be calculated using techniques of the 0-1 Knapsack problem [\[27\].](#page-17-0) In the studied case, we can compute  $T_{\text{during }i}$  using algorithms of the 0-1 Knapsack problem by mapping  $a_i$  to the item values and  $T_{\text{fill}}$  to the knapsack capacity. The objective is then to determine an optimal combination of *ai*'s among the unscheduled jobs with which *T*<sub>during</sub> *i* is maximized. The 0-1 Knapsack problem can be solved by dynamic programming algorithms (denoted by DP) in  $O(nT_{\text{fill}})$  time, where *n* is the number of jobs. We denote the solution obtained from the Knapsack problem by *T*during\_knapsack.

<span id="page-10-0"></span>

Fig. 8. Maximum allowable download time.



Fig. 9. Calculation of  $T_{\text{during}\_\text{i}}$  by dynamic programming.

By combining the above two cases, we can compute the allowable download time during the playback of a buffered job  $J_i$  by

If 
$$
T_{\text{fill}} > b_i
$$
 (Fig. 9(a)), then  $T_{\text{during}\_\_i} = b_i$ ,  
Else  $T_{\text{during}\_\_i} = T_{\text{during}\_\_k}$  mapsack<sub>-i</sub> (Fig. 9(b)). (9)

The total allowable download time for all buffered jobs in the partial schedule is given as

$$
T_{\text{during\_buffer}} = \sum_{J_i \in N_{BUF}} T_{\text{during\_}i} \,. \tag{10}
$$

The minimum remaining time required to download the unscheduled jobs after the playback of the currently buffered jobs is

$$
T_R = \max \left\{ \sum_{J_i \in N \setminus N_{SD}} a_i - T_{\text{during\_buffer}}, 0 \right\}.
$$
 (11)

Under the buffer constraint, for any sequence, the time span for downloading all unscheduled jobs should be no less than  $T_R$  due to the possible idle time in the download process. When  $J_i$  is being played, the buffer is at least occupied by *Ji*. Hence, the maximum of allowable download time during its processing is *T*buffer −*ai*. When *T*fill *> bi* (Fig. 9(a)),  $T_{di\_i} = 0$ . When  $T_{fill} \le b_i$  (Fig. 9(b)),  $T_{during\_i}$  can be computed by using the dynamic programming algorithms for solving the Knapsack problem. The download idle time for  $J_i$  can then be given as

$$
T_{di\_i} = b_i - T_{\text{during\_Knapsack}\_i} \tag{12}
$$

By combining the above two cases,  $T_{di}\_i$  can be given as

If 
$$
T_{\text{fill}} > b_i
$$
, then  $T_{di\_i} = 0$ , else  $T_{di\_i} = b_i - T_{\text{during\_Knapsack\_i}}$ . (13)

The minimum download idle time for all the unscheduled jobs is the sum of the download idle times of all the unscheduled jobs, given as

$$
T_{DI} = \sum_{J_i \in N \setminus N_{SD}} T_{di\_i} = \sum_{J_i \in N \setminus N_{SD}} \max\{b_i - T_{\text{during\_Knapsack}\_i}, 0\}.
$$
 (14)

Summarizing the above discussions, we have

$$
LB_4 = C_{SD} + T_R + T_{DI}
$$
  
=  $C_{SD} + \max \left\{ \sum_{J_i \in N \setminus N_{SD}} a_i - \sum_{J_i \in N_{BUF}} T_{\text{during\_}i}, 0 \right\} + T_{DI}.$  (15)

## *3.3. Upper bounds*

We apply the NEH algorithm proposed by Nawaz et al. [\[28\]](#page-17-0) to obtain an upper bound. The method has been widely applied in various flowshop scheduling problems with pretty good performances [29,30].

We outline the major steps in the NEH method as follows:

NEH-based Algorithm—*UB*:

*Step* 1: For each  $J_i$ , compute  $c_i = a_i + b_i$ . Sort the jobs by non-increasing  $c_i$ .

*Step* 2: Take the first two jobs in the list of sorted jobs in Step 1*,* and order them (without violating the precedence constraint) so as to minimize the partial makespan.

*Step* 3: For *k* = 3 to *n* do. Insert the *k*th job into the partial schedule. There are *k* possible positions for this insertion. Select the position which minimizes the partial makespan while compliant to the precedence constraint.

*Step* 4: Output the final sequence from step 3.

Note that for applications where the multimedia servers typically handle a huge number of requests simultaneously, the CPU resource that can be allocated for each request to calculate the heuristic sequence is extremely limited. Therefore, the computation time required by the proposed NEH-based heuristic solutions needs to be addressed. In such a case, a faster algorithm giving a potentially worse schedule than NEH-based solutions might be able to give a smaller value of the summation of the computation time and the scheduled makespan. Therefore, for application with busy servers, we also apply a much more efficient algorithm—the CDS algorithm, which was proposed by Campbell et al. [\[32\]](#page-17-0) in 1970. The CDS algorithm is a generalization of Johnson's algorithm and has been frequently used for obtaining approximate solutions to *m*-machine flowshop scheduling problems without precedence constraints.

# **4. Computational results**

This section presents the computational experiments designed to evaluate the effectiveness and efficiency of the proposed lower bounds and upper bound for producing an optimal media sequence. For each job set, processing times *ai* and *bi* were randomly generated from the uniform interval [1, 100]. The transmission bite rate was set to be 160 KB/s (i.e.,  $BR = 160$  K). The simulation codes were written in  $C++$  language, and the experiments were performed on IBM ×Series ×206 m computers running Microsoft Window Server 2003. All numerical values of time reported are in CPU-seconds(s). We conducted a series of computational experiments with different problem sizes (small and big size). The instance generation procedure yields test instances encompassing a wide variety of real life scenarios of online multimedia applications. In each media set, we randomly assigned certain precedence constraints among a portion (0%, 20% and 40%) of the objects. For each experiment, 50 different media sets were used to investigate the proposed algorithms. Two buffer size constraints, 16,000 KB and 30,720 KB were given for the experiments.

Tables 2–4 list the results for problems with a relatively large buffer size, 30,720 KB. [Table 2](#page-12-0) shows that, without any precedence constraints, in more than 26 experiments out of the 50 experiments conducted, the overall lower bound

<span id="page-12-0"></span>

Table 2<br>Buffer = 30, 720 KB Buffer = 30*,* 720 KB

Precedence constraint =  $40\%$ . Precedence constraint  $= 40\%$ .

Table 5 The deviation between *UB* and *LB*

Precedence Gap Avg./Max.	$0\%$			20%			40%			
	$UB$ vs. $LB$		$UB = LB$	$UB$ vs. $LB$		$UB = LB$	$UB$ vs. $LB$		$UB = LB$	
	Avg.	Max.	No.	Avg.	Max.	No.	Avg.	Max.	No.	
20	0.652	3.097	19	0.744	3.607	17	2.418	9.626	6	
50	0.163	1.030	21	0.407	2.735	18	1.125	4.483	8	
100	0.092	0.915	21	0.258	1.478	15	0.728	2.824	3	
200	0.058	0.682	19	0.135	1.112	9	0.557	2.166		
300	0.043	0.370	21	0.109	0.697		0.519	1.892	0	
500	0.031	0.270	9	0.064	0.341		0.332	1.246		

Buffer = 30*,* 720 KB.

equals to the NEH-based upper bound  $(LB = UB)$  at the root node, i.e., optimal solutions were found. For all the experiments, the average error ratios between the optimal solutions (*Opt*) and *UB* or *LB* are less than 1.45%. For problems with more than 14 jobs, the branch and bound algorithm is not very computationally efficient and there were instances for which the branch and bound algorithm cannot find optimal solutions within 10 min. Tables 3 and 4 show that as the density of the precedence constraints increases, the number of instances for which  $LB = UB$  decreases as compared to the cases without precedence constraints. On the other hand, the average error ratios between the optimal solutions (*Opt*) and *UB* or *LB* increase accordingly. These results indicate that the proposed lower bounds are more effective in problems with sparse precedence constraints among the jobs. Further, in cases where the buffer size is relatively large, the total number of nodes traversed before the optimal solutions can be obtained is slightly reduced if *LB*<sup>4</sup> (with the dynamic programming algorithm) is applied. Therefore, we conclude that *LB*<sup>4</sup> only slightly outperforms  $LB<sub>3</sub>$  for problems with a large buffer size.

As the problem size grows, as listed in Table 5, *UB* has an average gap to *LB* less than 2.418%. This result indicates that *UB* can be used as a pretty good approximate solution in real time multimedia applications.

For problems with a small buffer, Tables 6–8 show that the possibility for  $LB = UB$  at the root node is minimal. Generally speaking, the gaps between *LB* and *UB* are less than 13% on average. As the number of jobs increases, the average CPU time increases significantly. As the percentages of the precedence constraints increase, the average CPU time decreases. For the problems with 40% precedence constraints, the branch and bound algorithm can handle up to 14 jobs. Furthermore, the total number of nodes traversed for a problem is reduced by about 50% if *LB*<sup>4</sup> is applied. However, the computation time is roughly doubled in each case. As the problem size increases, [Table 9](#page-15-0) shows that the average gap between *UB* and *LB* could get to 7.919% for problems without precedence constraints. This gap seems to decrease as the problem size increases. But the gap increases slightly as the density of precedence constraints increases. Still, this result indicates that the *UB* can be used as a rather good approximation solution in real time multimedia applications.

For applications where the multimedia servers typically handle a huge number of simultaneous requests, we have also conducted experiments to compare the performances of the NEH-based algorithm with the CDS-based algorithm. [Table 10](#page-15-0) lists the computation results of the NEH-based algorithm and the CDS-based algorithm for problems without any precedence constraint. Two different settings of the CPU resources were used in the experiments. The first case simulates a rather busy server with which only 1/100,000 of the CPU time can be allocated to the scheduling task. The second case simulates an extremely busy server with which only 1/1,000,000 of the CPU time can be allocated. The results clearly indicate that for the second case (applications with extremely busy servers), the CDS-based algorithm provides better solutions than the NEH-based algorithm.

## **5. System implementation**

In current web environments, there are a number of technologies available for providing prefetchable multimedia presentations that integrate different modalities of media objects. The most popular ones include SMIL, Flash,



Table 6<br>Buffer = 16, 000 KB Buffer = 16*,* 000 KB

Precedence constraint =  $40\%$ . Precedence constraint  $= 40\%$ .

<span id="page-15-0"></span>Table 9 Deviation between *UB* and *LB*

Precedence	$0\%$			20%			40%				
Gap Avg./Max.	$UB$ vs. $LB$		$UB = LB$	$UB$ vs. $LB$		$UB = LB$	$UB$ vs. $LB$		$UB = LB$		
	Avg.	Max.	No.	Avg.	Max.	No.	Avg.	Max.	No.		
20	7.919	14.143	$\overline{0}$	9.847	17.582	$\Omega$	12.773	21.930	$\overline{0}$		
50	6.191	12.985	$\theta$	7.957	13.739	$\Omega$	10.594	16.068	$\overline{0}$		
100	4.412	6.653	$\overline{0}$	6.173	8.409	$\Omega$	9.342	12.675	$\theta$		
200	3.632	6.052	$\Omega$	5.364	7.760	$\Omega$	8.731	11.246	$\theta$		
300	3.300	4.821	$\overline{0}$	4.944	6.905	$\Omega$	8.028	9.459	$\theta$		
500	2.811	4.349	$\overline{0}$	4.249	5.584	$\theta$	7.433	8.728	$\overline{0}$		

Buffer = 16*,* 000 KB.

Table 10 Comparison of the NEH-based and the CDS-based heuristic solutions

N	Case 1: 1/100,000 CPU time allocated							Case $2: 1/1.000.000$ CPU time allocated						
	16,000 KB (s)	NEH(UB)	<b>CDS</b>	30,720 KB (s)	NEH(UB)	<b>CDS</b>	16,000KB (s)	<b>NEH</b>	<b>CDS</b>	30.720KB (s)	NEH(UB)	<b>CDS</b>		
10	CPU time	35.40	0.85	CPU_time	27.90	0.60	CPU time	304.00		7.80 CPU time	365.70	11.80		
	Makespan	732.18	841.66	Makespan	546.16	592.62	Makespan	732.18	841.66	Makespan	546.16	592.62		
	Total time	767.58	842.51	Total time	574.06	593.22	Total time	1036.18	849.46	Total time	911.86	604.42		
20	CPU time	76.50	1.90	CPU time	63.80	1.90	CPU time	732.30		20.30 CPU time	591.00	20.10		
	Makespan	1460.72	1757.10	Makespan	1069.36	1252.24	Makespan	1460.72	1757.10	Makespan	1069.36	1252.24		
	Total time	1537.22	1759.00	Total time	1133.16	1254.14	Total time	2193.02	1777.40	Total time	1660.36	1272.34		
50	CPU time	346.28	6.90	CPU_time	293.50	7.20	CPU_time	3399.00	72.00	CPU_time	2806.00	71.00		
	Makespan	3592.92	4550.98	Makespan	2628.66	3290.94	Makespan	3592.92	4550.98	Makespan	2628.66	3290.94		
	Total time	3939.20	4557.88	Total time	2922.16	3298.14	Total time	6991.92	4622.98	Total time	5434.66	3361.94		

Quicktime, Real Player, etc. With these players and a standard web server, a prefetch-enabled TV-like presentation services can be realized using script languages or APIs supported by the players. [Fig. 10](#page-16-0) shows a snapshot of a prototype Flash-based implementation incorporating the object ordering algorithms developed in this paper.

# **6. Conclusion**

In this paper, we mapped the media object scheduling problem aiming to minimize the presentation span to a conventional two-machine flowshop scheduling problem. Two constraints are considered in this paper, including a single chain precedence constraint and a player-side buffer constraint. In particular, the characteristics of the playerside buffer constraints have never been explored in previous flowshop scheduling problems. The player-side buffer constraint is termed as processing time-dependent buffer so as to distinguish it from the conventional item-based intermediate buffer in flowshop scheduling problems. We have proved that the studied problem is strongly NP-hard in Section 2.

Based on the characteristics associated with the precedence and the buffer constraints, we have proposed four lower bounds and an NEH-based upper bound for a branch and bound solution method. From the simulation experiments, the average gap between the overall lower bound and the NEH-based upper bound is less than 13% for problems with small buffer sizes and high density of precedence constraints, and 3% for problems with large buffer sizes. The performance of the proposed NEH-based heuristic solutions should be satisfactory for typical real time online multimedia applications. For applications where the media objects are delivered through extremely busy servers with

<span id="page-16-0"></span>

Fig. 10. Snapshot of a prototype prefetch-enabled media player that incorporates the scheduling algorithms discussed in this paper.

which only very restricted CPU resources can be allocated for computation, the CDS-based algorithm provides better sequences than the NEH-based algorithm.

# **Acknowledgments**

The authors are grateful to the anonymous referees for their constructive comments that have improved the presentation of this paper.

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