

國立交通大學

物理研究所

碩士論文

The behavior of BEC in optical lattices  
near the zero-dispersion point



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# 玻色-愛因斯坦凝聚態於光晶格中 靠近零色散點的行為

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## 摘要



我們使用一個方法去探討玻色-愛因斯坦凝聚態在光晶格中靠近零色散點附近的行為。玻色-愛因斯坦凝聚態可由一維的 Gross-Pitaevski 方程式來描述其動力學行為，並且可以應用等效質量法將之以非線性薛丁格方程來描述。當考慮零色散點附近的行為時即第二階色散效應趨近於零，必須考慮第三階的色散效應。此時玻色-愛因斯坦凝聚態將由廣義的非線性薛丁格方程來描述，我們利用由逆散射法之非線性薛丁格方程的解在小振幅的限制下找出一個假設解解出廣義的非線性薛丁格方程(即考慮第三階色散效應)之進似解析解，我們得到一個可以存在大部份區域之暗孤子以及在一個特殊區域時暗孤子將轉成光孤粒子的解，即所謂的在背景上的孤立子。此外，我們利用直接的模擬數數值解來觀察其解析解的存在性。同時，在數值解我們可以觀察到當在較大的振幅時將產生一個輻射衰退的效應，此效應被認為是由於第三階色散的影響。

# The behavior of BEC in optical lattices near the zero-dispersion point

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## Abstract

We demonstrate a method to analytically study the effective-mass method of Bose-Einstein Condensates (BEC) in optical lattices near the zero dispersion (Z-D) point where the effect of the second-order dispersion is zero. We use one dimensional Gross-Pitaevskii (G-P) equation describes the dynamic behavior of BEC to the optical lattices. By using effective-mass theory to our system in the neighborhood of the Z-D point we need to consider the third-order dispersion term to our equation. That is, our system is described by the generalized NLS equation. We take the dark-soliton solution form of the NLS equation solved by inverse scattering method in the small amplitude limit as an assumed solution to substitute into our equation. We obtain dark solitons solution may exist near the Z-D point and we also show a region near the Z-D point where a special solitary wave form, the so-called soliton on the constant background, may be observed. We use directly numerical simulations of the full generalized NLS equation which includes the third-order dispersion term to observe that the existence of the new solitary wave form. Numerical computation also shows that a radiation emission exists near the Z-D point in the larger amplitude, which is regarded as the effect of three-order dispersion

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對於即將要畢業的我來說，有著許多的感觸。想當初兩年前剛入學時的懵懂無知到如今即將完成此本碩士論文的我，這兩年的碩士生涯著實地讓我有許多的成長。這一切都要感謝許多人，他們熱心的指導和討論無論是在課業上或是在生活上。首先我要感謝我的指導老師江進福教授，提供一個好的環境讓我們可以自由的發揮和研究，並且也提供許多的建議和幫助給我，當我遇到困難時。我同時也要感謝中國文化大學物理系的程思誠教授，他的許多建議和熱心的指導讓我常能順利地解決許多我苦思已久的問題，使我順利地完成研究。

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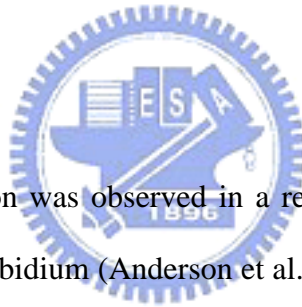
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# Chapter 1 Introduction

## 1-1 Preface

The basic idea of Bose-Einstein condensation (BEC) dates back to 1925 when A. Einstein devoted to the statistical description of the quanta of light and predicted the occurrence of a phase transition in a gas of non-interacting atoms on the basis of a paper by Indian physicist S.N. Bose (1924). This phase transition is associated with the condensation of atoms in the state of lowest energy and is the consequence of quantum statistical effects. For a long time this predictions had no practical confirmation until the experimental cooling technique had been advanced to the lower temperature [1].



Bose-Einstein condensation was observed in a remarkable series of experiments since 1995 on the vapors of rubidium (Anderson et al., 1995) and sodium (Davis et al., 1995) in which the atom were confined in magnetic traps and cooled down to the extremely low temperature, of the order of fractions of micro-kelvins. The first evidence for condensation emerged from time-of-flight measurement. The atoms were left to expand by switching off the confining trap and then imaged with optical methods [2]. Afterward, the successful experimental achievement to BEC had grown more fast as if a blast in the recent 10 years.

At low enough temperature the condensate evolution is described sufficiently well by the Gross-Pitaevskii (G-P) equation [2], which is originally three dimensional (3D) but in the case of a cigar-shaped trap potential it is reducible to a 1D nonlinear Schrödinger (NLS). Its validity is based on the condition that s-wave scattering length

be much smaller than the average distance between atoms and that number of atoms in the condensate be much larger than 1 . Under this condition , our system which is BEC in optical lattices can be simplified as the nonlinear Schrödinger equation with periodic potentials. As the numerous experiments of BEC had been observed , many physical properties of BEC might be predicted and investigated to understand the fabulous phenomenon of BEC advanced . For example : first experimentally loading BECs in optical lattices [3] , subsequently lattice effect has attracted considerable attention, Bloch oscillations [4], superfluid and dissipative dynamics[5] , dispersion[6] and Landau-Zener tunneling[7] and so on

BEC in optical lattices are affected by the structures of optical lattices. The BEC spectrum has an associated band structure .If the atomic density is high, BEC behaves nonlinearly. As the nonlinear term exactly compensates for dispersion term, solitons occur. The properties of the atoms are characterized by the depth and period of this optically induced potential. If the potentials happen to be deep enough, and only consider the first band, these self-localized states are known as discrete solitons simply because they can be described by the tight-binding approximation. As for the relatively shallow potentials, when the eigenvalue is located in the gap between two successive bands, these self-localized states are known as gap solitons[8] and are described by coupled mode approximation which we only consider two successive bands in the lowest two bands[9].

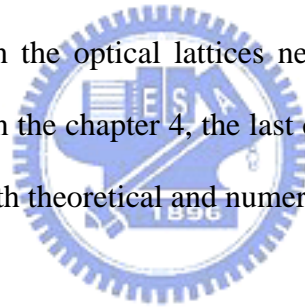
## 1-2 Motivation

The gap solitons of atoms has been observed experimentally in the BEC with the repulsive atom-atom interaction [10] to show that effective-mass analysis does allow us to describe the behavior of BEC. With the effective mass analysis of BEC in optical lattices one can obtain a 1D nonlinear Schrödinger (NLS) equation deduced from the G-P equation without the need for full-scale numerical calculations. In this thesis the periodic potentials ,which are optical lattices, are assumed to be relatively shallow potential which can be correctly described by the coupled mode theory. It's well known that the atoms confined to infinite periodic potential behave as if it possessing an effective mass which is substantially different from its true mass, and may even be taken a negative mass [11]. Our interest is near the regimes of the turning point of the band structure, which the so-called Zero dispersion point in the optical fiber, that the effective-mass approaches infinity and the coefficient of second-order dispersion term is almost zero. One can assume that one has to include the third-order dispersion to the equation which nonlinear Schrödinger equation becomes the generalized nonlinear Schrödinger equation. Nonlinear Schrödinger equation is studied thoroughly and exactly solved by the inverse scattering method, contrary to the exactly analytical solutions of the generalized nonlinear Schrödinger equation are not available, and the equation is non-integrable by inverse scattering method. In this thesis we mainly study the properties of this equation solved by an assumed solution based on the exact solution of nonlinear Schrödinger equation solved by inverse scattering method in the small amplitude limit to predict the possible behaviors of the atoms in the optical lattices. As for the numerical simulations, we deal with the full generalized nonlinear Schrödinger equation which includes the third-order dispersion term to give some confirmation about our analysis

due to our analytic solution which is an lowest-order approximated solution solve by perturbation method .

### **1-3 Organization of the Thesis**

This Thesis is organized as follows. Chapter 2 gives a brief review about the effective-mass analysis of BEC in optical lattices to derive the equation which we are interested from G-P equation. Then, we describe our method in detail to analytically solve the generalized nonlinear Schrödinger equation. In the chapter 3 we look for some numerical evidence to give our analysis some confirmation and observe some possible behaviors of BEC in the optical lattices near the zero-dispersion point by numerical simulation. Then, in the chapter 4, the last chapter, we briefly conclude our results that we obtain from both theoretical and numerical analysis.



## Chapter 2 Theory and methodology

G-P equation is the main theoretical tool for investigating non-uniform dilute Bose gases at low temperature that it can well approximately describe the dynamic behavior of BEC. With the effective-mass analysis of BEC in optical lattices and assuming the optical lattices are shallow periodic potential one can simplify the G-P equation to a much simply equation which is the nonlinear Schrödinger equation in one dimension . The use of this approach has been confirmed by the BEC experiment of gap soliton [10]. In this thesis we discuss the regimes near the zero-dispersion point where the nonlinear Schrödinger equation has to be included the third-order dispersion term which we usually ignore it. The generalized nonlinear Schrödinger equation has no exactly analytic solution at present. We demonstrate an method to approximately analyze the properties of the atoms near the zero-dispersion point that the method bases on an exactly analytic solution of NLS equation in a small amplitude limit by inverse scattering method as an assumed solution to substitute into the generalized non-linear Schrödinger equation.

### 2-1 Bose-Einstein condensates in optical lattices

The dynamics of a Bose-Einstein condensate in an optical lattice can be described by the Gross-Pitaevskii (GP) equation [2],

$$i\hbar \frac{\partial \Psi(\mathbf{r}, t)}{\partial t} = \left[ \frac{-\hbar^2}{2m} \nabla^2 + V(x) + U(\mathbf{r}) + g_a |\Psi|^2 \right] \Psi(\mathbf{r}, t) \quad (2.1)$$

where  $\hbar$  is Planck's constant,  $m$  is the mass of the atoms,  $g_{a_s} = 4\pi a_s \hbar^2 / m$  is the nonlinear coefficient, and  $a_s$  is the  $s$ -wave scattering length.  $V(x) = E_o \sin^2(\pi x / L)$  is a one-dimensional periodic potential produced by the interference of laser beams, where  $L$  is the lattice constant and  $E_o$  is the potential depth.  $U(\mathbf{r}) = \frac{1}{2} m \left[ \omega_x^2 x^2 + \omega_{\perp}^2 (y^2 + z^2) \right]$  is an optical trapping potential with frequencies  $\omega_x$  and  $\omega_{\perp}$ . The trap is elongated along the  $x$  direction because of the high confinement in the  $y$ - $z$  plane (i.e.  $\omega_x \ll \omega_{\perp}$ ). Therefore, we assume the wave function as  $\Psi(\mathbf{r}; t) = a(y, z) \psi(x, t)$ , where  $a(y, z)$  the two-dimensional harmonic oscillator problem,  $(-\hbar^2 / 2m) \nabla_{\perp}^2 a + (m\omega_{\perp}^2 / 2)(y^2 + z^2) a = \hbar\Omega_{\perp} a$ . By applying the transformation  $\psi \rightarrow \psi \exp(-i\Omega_{\perp} t)$  and integrating Eq.(2.1) with respect to  $y, z$ , then a one-dimensional G-P equation is derived as

$$i\hbar \frac{\partial \psi(x, t)}{\partial t} = \left[ \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + E_o \sin^2\left(\frac{\pi}{L} x\right) + \frac{g_{a_s}}{2} |\psi|^2 \right] \psi(x, t). \quad (2.2)$$

by rescaling  $T = t / T_o$ ,  $X = x / (L / 2)$ ,  $\psi = \varphi / L_1^{1/2}$ , and  $V_o = E_o / \varepsilon_0$ , and choosing  $T_o = mL^2 / 4\hbar$ ,  $L_1 = \omega_{\perp} |a_s| mL^2 / 2\hbar$ , and  $\varepsilon_0 = 4\hbar^2 / mL^2$ . Here, we had dropped the trap of  $z$ -axis. Then, an effective one-dimensional G-P equation with dimensionless is derived as

$$i \frac{\partial \varphi(X, T)}{\partial T} = \left[ \frac{-1}{2} \frac{\partial^2}{\partial X^2} + V_o \sin^2\left(\frac{\pi}{2} X\right) + \sigma |\varphi|^2 \right] \varphi(X, T), \quad (2.3)$$

where  $\sigma = \text{sgn}(a_s)$ , Eq.(2.3) is a time-dependent nonlinear Schrödinger equation with a periodic potential. If  $\sigma$  is positive (negative), atoms are in repulsive

(attractive) interaction.

$$N = \int_{-\infty}^{\infty} |\varphi|^2 dX, \quad (2.4)$$

which means the conservation of the number  $N$  of atoms in the condensate.

### 2-1-1 Effective-mass method in Bose-Einstein condensates

Effective mass method is a well-known in solid state physics for studying dynamics of an electron in semiconductor. The two systems between electrons in semiconductor and BEC in optical lattices are analogue that we can introduce the effective mass theory to study BEC in optical lattices [12]. Expanding the condensates wave function  $\varphi(X, T)$  on the complete set of Bloch function  $\phi_{n\kappa}(X)$ , which

$\varphi(X, T) = \sum_{n, \kappa} A_{n\kappa}(X, T) \phi_{n\kappa}(X) \exp(-iE_{n, \kappa} T)$ . It is motivated that the

Bloch functions can capture the rapid oscillation of the condensates wave function, then the slow essential motion of the condensate will be described by the slowly varying envelope function  $A_{n\kappa}$ . To construct the localized state we assume that the matter wave field is characterized by a central wave vector  $\kappa_0$  corresponding to the mean velocity of the condensate. We expand  $\varphi(X, T)$  as

$$\varphi(X, T) = \sum_n f_{n\kappa_0}(X, T) \phi_{n\kappa_0}(X) \exp(-iE_{n, \kappa_0} T) \quad (2.5)$$

We introduce the fourier expansion of the envelope function as

$$f_{n\kappa_0}(X, T) = \int A_{n\kappa}(T) e^{i(\kappa - \kappa_0)X} d\kappa$$

From eq.(2.5) , we have

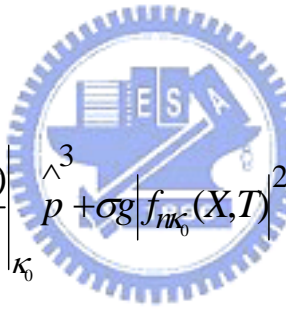
$$\varphi(X, T) = \sum_n \int A_{n\kappa}(T) \chi_{n\kappa_0}(X) \exp(-iE_{n,\kappa_0}T) d\kappa \quad (2.6)$$

Where  $\chi_{n\kappa}(X) = e^{i(\kappa - \kappa_0)X} \phi_{n\kappa}(X) = e^{i\kappa X} \phi_{n\kappa_0}(X)$

and  $\int |\phi_{n\kappa_0}(X)|^2 dX = 1$

Inserting eq.(2.6) into eq.(2.3) and applying effective-mass method , at last performing an inverse Fourier transform from  $A_{n\kappa}(T)$  back to  $f_{n\kappa_0}(X, T)$ .

Then, we obtain



$$\left[ \varepsilon_n(\kappa_0) + v_g \hat{p} + \frac{\hat{p}^2}{2m^*} + \frac{1}{6} \frac{\partial^3 \varepsilon_n(\kappa)}{\partial \kappa^3} \Big|_{\kappa_0} \right] f_{n\kappa_0}(X, T) = i \frac{\partial f_{n\kappa_0}(X, T)}{\partial T} + \sigma g |f_{n\kappa_0}(X, T)|^2 f_{n\kappa_0}(X, T) \quad (2.7)$$

where  $v_g = \frac{\partial \varepsilon_n(\kappa)}{\partial \kappa} \Big|_{\kappa_0}$ ,  $m^* = \frac{\partial^2 \varepsilon_n(\kappa)}{\partial \kappa^2} \Big|_{\kappa_0}$ , and  $g = \int |\phi_{n\kappa_0}(X)|^4 dX$

replacing  $\hat{p}$  by its configuration space representation  $-i \frac{\partial}{\partial X}$  to eq.(2.7)

$$i \frac{\partial f_{n\kappa_0}}{\partial T} + i v_g \frac{\partial f_{n\kappa_0}}{\partial X} = -\frac{1}{2m^*} \frac{\partial^2 f_{n\kappa_0}}{\partial X^2} + i \eta \frac{\partial^3 f_{n\kappa_0}}{\partial X^3} + \sigma g |f_{n\kappa_0}(X, T)|^2 f_{n\kappa_0} + \varepsilon_n(\kappa_0) f_{n\kappa_0} \quad (2.8)$$



where  $\eta = \frac{1}{6} \frac{\partial^3 \varepsilon_n(\kappa)}{\partial \kappa^3} \Big|_{\kappa_0}$ , and  $\sigma = \text{sgn}(a_s)$ . When  $\sigma = -1$ , the nonlinear

term is attractive interaction. On the contrary, as  $\sigma = 1$ , the nonlinear term is repulsive interaction.

## 2-1-2 Band Structure of BEC in optical lattices

To study BEC in optical lattices which is a series of periodic potentials we are to look for the past fundamental theory based on the solid state physics. With the help of the solid state physics we can describe the atomic matter waves in  $E-k$  band structure which is the similar manner that we study the properties of electron under linear Schrödinger equation. To find the band structure we assume that the linear part of Eq. (2.3) has stationary solutions of the form  $\varphi(X, T) = v(X) \exp(-iET)$ . we thus obtain the following eigen-value problem

$$E\Psi(X) = \left[ \frac{-1}{2} \frac{\partial^2}{\partial X^2} + V_o \sin^2 \left( \frac{\pi X}{2} \right) \right] \Psi(X) \quad (2.9)$$

Eq. (2.5) has periodic solutions which are known as Floquet-Bloch (FB) modes [9].

By using plane-wave methods, the periodic potential can be expanded as

$$V_o \sin^2(\pi X / 2) = \frac{V_o}{2} - \frac{V_o}{4} \left( e^{i\pi X} + e^{-i\pi X} \right) \quad (2.10)$$

And the Bloch functions can be expressed as  $u_{n,k}(X) = u_{n,k}(X + a) = \sum_m b_m e^{im\pi X}$

So we expand

$$\Psi(X, k) = \sum_m b_m e^{i(k+m\pi)X} \quad (2.11)$$

and satisfy orthonormality. Substituting Eq. (2.11) into Eq. (2.10) , we have

$$\left(2E - V_o - (k + m\pi)^2\right) b_m + \frac{V_o}{2} b_{m-1} + \frac{V_o}{2} b_{m+1} = 0 \quad (2.12)$$

This method can be accurate as long as we consider the number of plane wave expansion large enough. We assume the potential is relatively shallow, and the bands between the first and the second band can be accurately described by keeping only two terms of the expansion, i.e.  $m = 0, -1$ , which we assume the coupling of two band.

Eq. (2.11) is rewritten as

$$\phi(X, k) = b_o e^{ikX} + b_{-1} e^{ikX} e^{-i\pi X} \quad (2.13)$$

Then, we obtain the following coupled equations

$$\begin{cases} \left(E - \frac{V_o}{2}\right) b_o = \frac{k^2}{2} b_o - \frac{V_o}{4} b_{-1} \\ \left(E - \frac{V_o}{2}\right) b_{-1} = -\frac{V_o}{2} b_o + \frac{(k - \pi)^2}{2} b_{-1} \end{cases} \quad (2.14)$$

which is a system of linear equations,

$$\begin{bmatrix} E - \frac{V_o}{2} - \frac{k^2}{2} & \frac{V_o}{4} \\ \frac{V_o}{2} & E - \frac{V_o}{2} - \frac{(k-\pi)^2}{2} \end{bmatrix} \begin{pmatrix} b_0 \\ b_{-1} \end{pmatrix} = 0 \quad (2.15)$$

With the ortho-normal condition of the Bloch functions we obtain

$$\int_{-1}^1 \Psi(x)^2 dx = 2(b_0^2 + b_{-1}^2) = 1 \quad (2.16)$$

By solving the system of linear equations Eq.(2.15)  $E$ - $k$  band structure of one-dimensional BEC in optical lattices is then derived as

$$E = \frac{V_o}{2} + \frac{k^2 + (k-\pi)^2}{4} \pm \frac{\sqrt{(k^2 - (k-\pi)^2)^2 + V_o^2}}{4} \quad (2.17)$$

where the wave-vector  $k$  is  $-\pi/2 \leq k \leq \pi/2$  in the first Brillouin zone. Substituting the solution of the lowest band which that we choose the minus sign in Eq. (2.18) into the system of linear equations Eq.(2.15) , we obtain a set of nontrivial solution that it is essentially important in computing the coefficient  $g$  , but here instead of directly solving the system of linear equations we make use of the orthonormal condition to deduce the coefficient  $g$  . So we obtain

$$g = \int |\phi_{n\kappa_0}(X)|^4 dX = \frac{1}{2} - 2|b_0|^2 + 4|b_0|^4 \quad (2.18)$$

where  $b_0^2 = V_0^2 \left[ V_0^2 + \pi^2 (\pi - 2k)^2 - \pi (\pi - 2k) \sqrt{\pi^2 (\pi - 2k)^2 + V_0^2} \right]^{-1}$ , with the

determination of specific  $k$  and  $V_0$  we can obtain the coefficient of nonlinear interaction  $g$  from . As for the other coefficients, they also has been decided once we

determine the specific  $k$  and  $V_0$ , they are described as follows :  $v_g = \left. \frac{\partial \mathcal{E}(\kappa)}{\partial \kappa} \right|_{\kappa_0}$ ,

$$\frac{1}{m^*} = \left. \frac{\partial^2 \mathcal{E}_n(\kappa)}{\partial \kappa^2} \right|_{\kappa_0}, \quad \mathcal{E}_n(\kappa_0).$$



## 2-2 The method for solving generalized NLS equation

In the following, we will use a method for solving the generalized NLS equation in question which has been demonstrated by Kivshar in the optical fiber [12] , [13] that the equation of optical fiber has the analogue with the equation of BEC in question, and it had been investigated for several decades in the optical fiber. This method is based on the solution, of dark soliton case, of NLS equation solving by inverse scattering method in the small density regime that we assume a similar solution form to substitute it into the generalized NLS equation we are interesting. Then, by applying the technique of nonlinear analysis we can obtain a connection between the nonlinear Schrödinger and the Korteweg-de Vries (Kdv) equations. Since we can solve Kdv equation by directly integrating, we can obtain the solution of the NLS equation with the third-order dispersion term in the small amplitude regime.



### 2-2-1 The solution of NLS equation in the small density regime

Since the nonlinear Schrödinger equation with the third-order dispersion is not non-integrable by inverse scattering method, but the nonlinear Schrödinger equation is. So, in retrospect, we make use of the result of nonlinear Schrödinger equation solving by inverse scattering method in the small density regime to generate an assumed solution .The nonlinear Schrödinger equation is

$$i \frac{\partial u}{\partial t} - \alpha \frac{\partial u}{\partial x^2} + 2|u^2|u = 0 \quad (2.19)$$

The exactly analytic solution of this equation has been solved by the inverse

scattering method [14]. In the case  $\alpha > 0$  (positive group velocity regime) it has stable soliton solutions in the form of localized dark pulses propagating on a modulated stable background  $|u| = u_0 = \text{constant}$ . The one-soliton dark pulse solved by inverse scattering method has the form

$$u(x, t) = u_0 \frac{(\lambda - i\nu)^2 + \exp(Z)}{1 + \exp(Z)} \exp(2iu_0^2 t) \quad (2.20)$$

where  $Z = 2\nu u_0^2 (x - x_0 - 2\lambda\sqrt{\alpha}u_0 t) / \alpha$ ,  $\lambda = 1 - \nu^2$

$\nu$  is the soliton parameter,  $0 < \nu^2 < 1$ , and  $x_0$  is an initial phase. At  $\lambda = 0$ , the solution, eq. (2.20), describes the so-called fundamental dark soliton

$$u(x, t) = u_0 \tanh \left[ u_0 (x - x_0) / \sqrt{\alpha} \right] \exp(2iu_0^2 t) \quad (2.21)$$

, and for  $\nu^2 \ll 1$  it corresponds to the so-called gray (small-amplitude) dark solitons

$$u(x, t) = \left[ u_0 - \frac{1}{2} u_0 \nu^2 \sec^2(Z/2) \right] \exp[2iu_0^2 t + i\phi(x, t)] \quad (2.22)$$

$$\phi(x, t) = -2\nu / (1 + \exp Z) \quad (2.23)$$

where  $Z = 2\nu u_0 \left[ x - x_0 \mp u_0 \sqrt{\alpha} (2 - \nu^2) t \right] / \sqrt{\alpha}$ , (2.24)

We can find out in Eq 2.24 possessing two signs of which propagate in opposite directions.

## 2-2-2 The solution of generalized NLS equation in the small amplitude regime

Now we return to our interesting system of BEC in the optical lattices near the zero dispersion point which is described by the generalized NLS equation eq.(0.1).

$$i\frac{\partial f_{n\kappa_0}}{\partial T} + iv_g \frac{\partial f_{n\kappa_0}}{\partial X} = -\frac{1}{2m^*} \frac{\partial^2 f_{n\kappa_0}}{\partial X^2} + i\eta \frac{\partial^3 f_{n\kappa_0}}{\partial X^3} + \sigma g |f_{n\kappa_0}(X,T)|^2 + \varepsilon_n(\kappa_0) f_{n\kappa_0}$$

For simplicity to analyze the result, we use the transform  $x = (X - v_g T), t = -T$

and rescale  $\sqrt{\frac{g}{2}} f_{n\kappa_0} = F$  to simplified again the equation to a dimensionless

generalized NLS equation . as a result, we obtain

$$i\frac{\partial F}{\partial t} - \alpha \frac{\partial^2 F}{\partial x^2} + 2|F|^2 F = i\zeta \frac{\partial^3 F}{\partial x^3} - e_{k_0} F \quad (2.25)$$

where  $\alpha = \frac{1}{2} \beta = \frac{1}{2m^*}$  ,  $e_{k_0} = \varepsilon_n(\kappa_0)$  , and  $\zeta = -\eta$  . Here, we only discuss

the case ,  $\sigma$  is positive.

In order to obtain the solution in the neighborhood of the Z-D point for the normal-dispersion regime,  $\alpha > 0$  in Eq. (2.25), we look for a solution in the form of small-density excitations of the stable background  $\partial^3$  which is similarly assumed as eq. (2.22):

$$F(x,t) = [u_0 + a(x,t)] \exp[2iu_0^2 t + i\phi(x,t)] \quad (2.26)$$

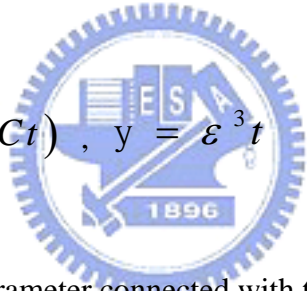
[cf. Eq. (2.22)] . Substituting Eq. (2.26) into Eq. (2.25) , we may obtain for the

small-amplitude case where  $u_0$  is the amplitude of the stable background far from the dark soliton amplitude  $a \ll u_0$ , two equations :

$$\left\{ \begin{array}{l} (a_t - \alpha u_0 \phi_{xx}) - \alpha (2a_x \phi_x + a \phi_{xx}) = \zeta (a_{xxx} - 3u_0 \phi_x \phi_{xx}) \\ u_0 (\phi_x - 4u_0 a) + a \phi_x + \alpha a_{tt} - \alpha u_0 (\phi_t)^2 - 6u_0 a^2 \\ \quad \quad \quad = \zeta (3\alpha_{tt} \phi_t + 3\alpha_t \phi_{tt} + u_0 \phi_{ttt}) - \varepsilon_{k0} a \end{array} \right.$$

where the above two equations are Eq.(2.27a) and Eq.(2.27b).

The main approach of this method is to use the new (“slowly”) variables:

$$\tau = \varepsilon (x - Ct) , \quad y = \varepsilon^3 t \quad (2.28a,b)$$


$\varepsilon$  being an arbitrary small parameter connected with the soliton amplitude  $v$ , and by substituting Eqs.(2.28a,b) into Eq.(2.27a) and Eq.(2.27b) we obtain

$$\left\{ \begin{array}{l} \left( -C\varepsilon \frac{\partial a}{\partial \tau} + \varepsilon^3 \frac{\partial a}{\partial y} - \alpha u_0 \varepsilon^2 \frac{\partial^2 \phi}{\partial \tau^2} \right) - \varepsilon^2 \left( 2\alpha \frac{\partial a}{\partial \tau} \frac{\partial \phi}{\partial \tau} + \alpha a \frac{\partial^2 a}{\partial \tau^2} \right) = \zeta \varepsilon^3 \left( \frac{\partial^3 a}{\partial \tau^3} - 3u_0 \frac{\partial \phi}{\partial \tau} \frac{\partial^2 \phi}{\partial \tau^2} \right) \\ u_0 \left( -C\varepsilon \frac{\partial \phi}{\partial \tau} + \varepsilon^3 \frac{\partial \phi}{\partial y} - 4u_0 a \right) + \varepsilon^2 \alpha \frac{\partial^2 a}{\partial \tau^2} + \left( -\varepsilon C a \frac{\partial \phi}{\partial \tau} + \varepsilon^3 a \frac{\partial \phi}{\partial y} \right) - \alpha u_0 \varepsilon^2 \left( \frac{\partial \phi}{\partial \tau} \right)^2 - 6u_0 a^2 \\ \quad \quad \quad = \zeta \varepsilon^3 \left( 3 \frac{\partial^2 a}{\partial \tau^2} \frac{\partial \phi}{\partial \tau} - 3 \frac{\partial a}{\partial \tau} \frac{\partial^2 \phi}{\partial \tau^2} + u_0 \frac{\partial^2 \phi}{\partial \tau^2} \right) - \varepsilon_{k0} a \end{array} \right.$$

where the above two equations are Eq.(2.29a,b) .



Then, we present the wave amplitude  $a(\tau, z)$  and the phase  $\phi(\tau, z)$  in the form of the asymptotic series in the same small parameter  $\varepsilon$  :

$$a = \varepsilon^2 a_0 + \varepsilon^4 a_1 + \dots, \quad \phi = \varepsilon \phi_0 + \varepsilon^3 \phi_1 + \dots \quad (2.30a,b)$$

substituting Eqs. (2.30a,b) into Eq.(2.29a,b) we obtain four equations by only considering the first two terms of asymptotic series :

$$\left\{ \begin{array}{l} \varepsilon^3 : C \frac{\partial a_0}{\partial \tau} + \alpha u_0 \frac{\partial^2 \phi_0}{\partial \tau^2} = 0. \dots \dots \dots (2.31a) \end{array} \right.$$

$$\left\{ \begin{array}{l} \varepsilon^5 : \left( -C \frac{\partial a_1}{\partial \tau} - \alpha u_0 \frac{\partial^2 \phi_1}{\partial \tau^2} \right) + \frac{\partial a_0}{\partial y} - 2\alpha \frac{\partial a_0}{\partial \tau} \frac{\partial \phi_0}{\partial \tau} - \alpha a_0 \frac{\partial^2 \phi_0}{\partial \tau^2} \\ \qquad \qquad \qquad = \zeta \left( \frac{\partial^3 a_0}{\partial \tau^3} - 3u_0 \frac{\partial \phi_0}{\partial \tau} \frac{\partial^2 \phi_0}{\partial \tau^2} \right). \dots \dots \dots (2.31b) \end{array} \right.$$

$$\left\{ \begin{array}{l} \varepsilon^2 : C u_0 \frac{\partial \phi_0}{\partial \tau} + (4u_0^2 - e_{k0}) a_0 = 0. \dots \dots \dots (2.31c) \end{array} \right.$$

$$\left\{ \begin{array}{l} \varepsilon^4 : \left( -C u_0 \frac{\partial a_1}{\partial \tau} - (4u_0^2 - e_{k0}) a_1 \right) + u_0 \frac{\partial \phi_0}{\partial y} - C a_0 \frac{\partial \phi_0}{\partial \tau} + \alpha \frac{\partial^2 a_0}{\partial \tau^2} - \alpha u_0 \left( \frac{\partial \phi_0}{\partial \tau} \right)^2 \\ \qquad \qquad \qquad - 6u_0 a_0^2 = \zeta u_0 \frac{\partial^3 \phi_0}{\partial \tau^3} \dots \dots \dots (2.31d) \end{array} \right.$$

(2.31a) and (2.31c) lead to a relation that  $\frac{\partial}{\partial \tau} (2.31c) = C u_0 \frac{\partial^2 \phi_0}{\partial \tau^2} + (4u_0^2 - e_{k0}) \frac{\partial a_0}{\partial \tau} = 0$  .

Substituting it into (2.31a)  $\Rightarrow C^2 \frac{\partial a_0}{\partial \tau} - \alpha (4u_0^2 - e_{k0}) \frac{\partial a_0}{\partial \tau} = 0$  . The relation is

$$C^2 = \alpha (4u_0^2 - e_{k0}) \quad (2.32)$$

Here we rewrite the form of Eq.(2.32) as

$$C^2 = 4u_0^2\alpha\gamma \quad (2.33)$$

where  $\gamma = \left(1 - \frac{e_{k0}}{4u_0^2}\right)$  and  $C = \pm 2u_0\sqrt{\alpha\gamma}$ . The parameter C is the limit velocity (in the x space) of linear waves propagating on the background and the sign of velocity C means the wave propagating in the background has the opposite direction. We replace Eq(2.33) back to (2.31c), obtaining

$$\frac{\partial\phi_0}{\partial\tau} = -\frac{C}{\alpha u_0} a_0 \quad (2.34)$$

and substitute it into Eq.(23.1b) that we can rewrite (23.1b) as following

$$\left(C \frac{\partial a_1}{\partial\tau} + \alpha u_0 \frac{\partial^2 \phi_1}{\partial\tau^2}\right) = \frac{\partial a_0}{\partial y} + \frac{3C}{u_0} a_0 \frac{\partial a_0}{\partial\tau} - \zeta \frac{\partial^3 a_0}{\partial\tau^3} + \frac{3\zeta C^2}{\alpha^2 u_0} a_0 \frac{\partial a_0}{\partial\tau} \quad (2.35)$$

then,  $\frac{\partial}{\partial\tau}$ (2.31d)

$$\begin{aligned} \left(\frac{C^2}{\alpha} \frac{\partial a_1}{\partial\tau} + C u_0 \frac{\partial^2 \phi_1}{\partial\tau^2}\right) - u_0 \frac{\partial^2 \phi_0}{\partial y \partial\tau} - \alpha \frac{\partial^3 a_0}{\partial\tau^3} + C \frac{\partial a_0}{\partial\tau} \frac{\partial \phi_0}{\partial\tau} + C a_0 \frac{\partial^2 \phi_0}{\partial\tau^2} + \\ 2\alpha u_0 \frac{\partial \phi_0}{\partial\tau} \frac{\partial^2 \phi_0}{\partial\tau^2} + 12u_0 a_0 \frac{\partial a_0}{\partial\tau} + \zeta u_0 \frac{\partial^4 \phi_0}{\partial\tau^4} = 0 \end{aligned} \quad (2.36)$$

where  $C \frac{\partial a_0}{\partial\tau} \frac{\partial \phi_0}{\partial\tau} + C a_0 \frac{\partial^2 \phi_0}{\partial\tau^2} + 2\alpha u_0 \frac{\partial \phi_0}{\partial\tau} \frac{\partial^2 \phi_0}{\partial\tau^2} = 0$  by replacing the Eq. (2.34), and by

rearranging Eq(2.36) it become as

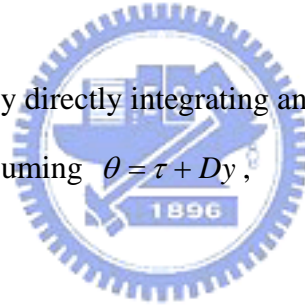
$$\frac{C}{\alpha} \left( C \frac{\partial a_1}{\partial \tau} + \alpha u_0 \frac{\partial^2 \phi_1}{\partial \tau^2} \right) - u_0 \frac{\partial}{\partial y} \left( \frac{-C}{\alpha u_0} a_0 \right) - \alpha \frac{\partial^3 a_0}{\partial \tau^3} + 12 u_0 a_0 \frac{\partial a_0}{\partial \tau} + \zeta u_0 \left( \frac{-C}{\alpha u_0} \frac{\partial^3 a_0}{\partial \tau^3} \right) = 0$$

At last, we substitute Eq. (2.35) into above equation. Then, we obtain the famous equation, the Korteweg-de Vries (Kdv) equation.

$$2C \frac{\partial a_0}{\partial y} + 12 \alpha u_0 \left( 1 + \gamma + \frac{\zeta C}{\alpha^2} \right) a_0 \frac{\partial a_0}{\partial \tau} - (\alpha^2 + 2\zeta C) \frac{\partial^3 a_0}{\partial \tau^3} = 0 \quad (2.37)$$

Eq. (2.37) at  $e_{k_0} = 0$  that the equation coincides with the Ref.[12] after redefining each parameter of Eq. (2.37). The sign of the velocity C depends on the propagation direction so we have two different equations (for  $\text{sgn } C = \pm 1$  ).

Here we solve Kdv equation by directly integrating and rewrite it as dimension-less form, again for simplicity. Assuming  $\theta = \tau + Dy$ ,



$$2S_1 \frac{\partial a_0}{\partial \theta} + 12S_2 a_0 \frac{\partial a_0}{\partial \theta} - S_3 \frac{\partial^3 a_0}{\partial \theta^3} = 0 \quad (2.38)$$

$$\text{where } \begin{cases} S_1 = CD \\ S_2 = \alpha u_0 \left( 1 + \gamma + \frac{\zeta C}{\alpha^2} \right) \\ S_3 = (\alpha^2 + 2\zeta C) \end{cases}$$

Eq. (2.38) integrate with respect to  $\theta$ , and we have  $2S_1 a_0 + 6S_2 a_0^2 - S_3 \frac{\partial^2 a_0}{\partial \theta^2} = A_1$

where  $A_1$  is a constant, but we ask as  $\theta \rightarrow \pm\infty$ ,  $a_0, \frac{\partial a_0}{\partial \theta}, \frac{\partial^2 a_0}{\partial \theta^2}, \dots = 0$ .  $\therefore A_1$  is

both zero. then, multiplying  $\frac{\partial a_0}{\partial \theta}$  and integrating it again the Kdv equation become

$S_1 a_0^2 + 2S_2 a_0^3 - \frac{1}{2} S_3 \left( \frac{\partial a_0}{\partial \theta} \right)^2 = A_2$  where  $A_2$  is constant and also zero . We rearrange it

as  $\frac{\partial a_0}{\partial \theta} = \sqrt{\frac{1}{S_3} (4S_2 a_0^3 + 2S_1 a_0^2)}$  , so we can obtain

$$\theta = \int \frac{\sqrt{S_3} da_0}{a_0 \sqrt{(4S_2 a_0 + 2S_1)}} \quad (2.39)$$

Here , we use  $\int \frac{dx}{x\sqrt{ax+b}} = \frac{2}{\sqrt{-b}} \tan^{-1} \left( \sqrt{\frac{ax+b}{-b}} \right)$  , then

$$\theta = 2 \sqrt{\frac{S_3}{-2S_1}} \tan^{-1} \left( \sqrt{\frac{4S_2 a_0 + 2S_1}{-2S_1}} \right) \quad (2.40)$$

by inverting Eq. (2.40)

$$a_0 = -\frac{S_1}{2S_2} \left[ \tan^2 \left( i \frac{1}{2} \sqrt{\frac{2S_1}{S_3}} \theta \right) + 1 \right] = \frac{S_1}{2S_2} \left[ \tanh^2 \left( \frac{1}{2} \sqrt{\frac{2S_1}{S_3}} \theta \right) - 1 \right]$$

Expanding hyperbolic tangent function by exponential form, we obtain

$$a_0 = \frac{S_1}{2S_2} \frac{-4}{\left( e^{\frac{\sqrt{2S_1}\theta}{\sqrt{S_3}}} + e^{-\frac{\sqrt{2S_1}\theta}{\sqrt{S_3}}} \right)^2} = \frac{-S_1}{2S_2} \operatorname{sech}^2 \left( \sqrt{\frac{2S_1}{S_3}} \theta \right) \quad (2.41)$$

$$\therefore a_0 = \frac{-CD\alpha}{2u_0 [\alpha^2 (1+\gamma) + \zeta C]} \operatorname{sech}^2 \left[ \frac{1}{2} \sqrt{\frac{2CD}{\alpha^2 + 2\zeta C}} (\tau + Dy) \right] \quad (2.42)$$

$$\text{where } \begin{cases} C^2 = 4u_0^2 \alpha \gamma \\ \gamma = \left(1 - \frac{e_{k0}}{4u_0^2}\right) \\ \tau = \varepsilon(x - Ct), y = \varepsilon^3 t \end{cases}$$

and

$$\phi_0 = -\frac{C}{\alpha u_0} \int a_0 d\tau \quad (2.43)$$

So

$$F(x, t) = \left[ u_0 + \varepsilon^2 a_0 \right] \exp \left[ 2iu_0^2 t + i\varepsilon \phi_0 \right] \quad (2.44)$$

Comparison of Eq.(2.44) at  $\zeta = 0$ , and  $e_{k0} = 0$  with Eq.(2.22) and (2.23) leads to a relation between the perturbation scale  $\varepsilon$  and the soliton parameter  $\nu$ ,  $\chi\varepsilon = \nu u_0$

where  $\chi$  is an amplitude parameter of the Kdv equation, and  $D = \frac{\alpha^2 + 2\zeta C}{\alpha^{\frac{3}{2}} \gamma^{\frac{1}{2}} u_0} \chi^2$

$$a_0 = \frac{-(\alpha^2 + 2\zeta C) \chi^2}{u_0 [\alpha^2 (1 + \gamma) + \zeta C]} \operatorname{sech}^2 \left\{ \frac{\chi}{\sqrt{\alpha}} \left[ \tau + \frac{2\chi^2}{\alpha C} (\alpha^2 + 2\zeta C) y \right] \right\} \quad (2.45)$$

We define  $\delta = \frac{\alpha^2 (1 + \gamma)}{\zeta C}$  and substituting  $C = 2\rho u_0 \sqrt{\alpha \gamma}$  where  $\rho = \operatorname{sgn}(C)$  into

$\delta$  we have

$$\delta = \frac{\alpha^{\frac{3}{2}}}{2\zeta u_0} \left( \gamma^{-\frac{1}{2}} + \gamma^{\frac{1}{2}} \right) \quad (2.46)$$

$$a_0 = \frac{-\chi^2 [\delta + 2\rho(1 + \gamma)]}{u_0 (1 + \gamma) (\delta + \rho)} \operatorname{sech}^2(Z) \quad (2.47)$$

$$\text{where } Z = \frac{\chi}{\sqrt{\alpha}} \left\{ \tau + \frac{2\zeta\chi^2}{\alpha\rho(1+\gamma)} [\delta + 2\rho(1+\gamma)] y \right\}$$

and

$$\phi_0 = -2\rho\alpha^{\frac{1}{2}}\gamma^{\frac{1}{2}} \int a_0 d\tau \quad (2.48)$$

$$\therefore \phi_0 = \frac{2\rho\alpha\gamma^{\frac{1}{2}}\chi[\delta + 2\rho(1+\gamma)]}{u_0(1+\gamma)(\delta + \rho)} \tanh(Z) \quad (2.49)$$

Then, we obtain the lowest order solution of Eq. (2.25) .

$$F(x, t) = \left[ u_0 - \frac{\varepsilon^2 \chi^2 [\delta + 2\rho(1+\gamma)]}{u_0(1+\gamma)(\delta + \rho)} \operatorname{sech}^2(Z) \right] \exp[2iu_0^2 t + i\varepsilon\phi_0] \quad (2.50)$$

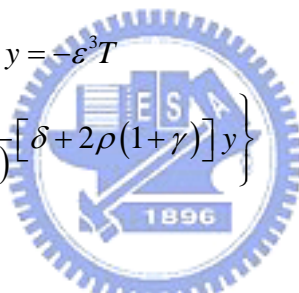
$$\text{where } \begin{cases} \gamma = \left( 1 - \frac{e_{k0}}{4u_0^2} \right) \\ C = 2\rho u_0 \sqrt{\alpha\gamma}, \text{ where } \rho = \operatorname{sgn}(C) \\ \delta = \frac{\alpha^{\frac{3}{2}}}{2\zeta u_0} \left( \gamma^{\frac{1}{2}} + \gamma^{\frac{1}{2}} \right) \\ \tau = \varepsilon(X - Ct), y = \varepsilon^3 t \\ z = \frac{\chi}{\sqrt{\alpha}} \left\{ \tau + \frac{2\zeta\chi^2}{\alpha\rho(1+\gamma)} [\delta + 2\rho(1+\gamma)] y \right\} \end{cases}$$

Now we substitute the transformation  $x = (X - v_g T)$  ,  $t = -T$  and the rescaling

$$\sqrt{\frac{g}{2}} f_{n\kappa_0} = F \quad , \quad \zeta = -\eta \quad \text{back to Eq(2.49) to obtain the solution of BEC in the}$$

optical lattice near the zero point dispersion .

$$\begin{aligned}
 f_{n\kappa_0}(X, T) &= \sqrt{\frac{2}{g}} F(X - v_g T, -T) \\
 &= \left[ u_0 - \frac{\varepsilon^2 \chi^2 [\delta + 2\rho(1 + \gamma)]}{u_0(1 + \gamma)(\delta + \rho)} \operatorname{sech}^2(Z) \right] \exp[-2iu_0^2 T + i\varepsilon\phi_0]
 \end{aligned}
 \tag{2.51}$$

$$\text{where } \begin{cases} \gamma = \left(1 - \frac{e_{k_0}}{4u_0^2}\right) \\ C = 2\rho u_0 \sqrt{\alpha\gamma}, \text{ where } \rho = \operatorname{sgn}(C) \\ \delta = \frac{\alpha^{\frac{3}{2}}}{-2\eta u_0} \left(\gamma^{\frac{1}{2}} + \gamma^{\frac{1}{2}}\right) \\ \tau = \varepsilon(X - v_g T + CT), y = -\varepsilon^3 T \\ z = \frac{\chi}{\sqrt{\alpha}} \left\{ \tau + \frac{2\zeta\chi^2}{\alpha\rho(1 + \gamma)} [\delta + 2\rho(1 + \gamma)] y \right\} \end{cases}$$


By simple analysis observation we obtain the result that the nonlinear Schrödinger equation with the third-order dispersion ,which describe the behaviors of BEC in the optical fiber near the zero dispersion point , have the dark soliton solution in the small amplitude limit in the most regions , but in a special region of

$$1 < |\delta| < 4 - \frac{e_{k_0}}{2u_0^2} \quad \text{where} \quad \delta = \frac{\alpha^{\frac{3}{2}}}{2\zeta u_0} \left[ \left(1 - \frac{e_{k_0}}{4u_0^2}\right)^{-\frac{1}{2}} + \left(1 - \frac{e_{k_0}}{4u_0^2}\right)^{\frac{1}{2}} \right] \quad \text{here we assume}$$

$\rho = -1$  and  $\zeta = -\eta > 0$  ,we also ask that  $\frac{e_{k_0}}{2u_0^2} < 1$ . We can obtain a type of bright soliton solution which the bright soliton propagate in the modulated background of BEC density, the so-called anti-dark soliton , because the soliton changes the sign of its density . The existence of  $e_{k_0}$  makes the region of anti-dark soliton smaller and slows down the velocity of soliton propagating on the modulated background. So far

we have demonstrated that BEC in the optical lattices near the zero-dispersion point in the small density regime possesses the soliton solution in the small amplitude limit. However , since the generalized NLS equation is non-integrable by inverse scattering method , so we can not make sure that as time evolution of BEC, the dynamic of BEC , whether the soliton solution actually exists . Therefore, in order to confirm the soliton solution actually exists we have to numerically simulate the equation directly, and it was presented in the following chapter .





## Chapter 3 Numerical simulation

In the second chapter we use a small-density approximation to solve the generalized NLS equation obtaining the soliton solution. Since this approximation is based on the solution of NLS equation solving by inverse scattering method and the perturbation method , so we have to directly solve it by numerical simulation to confirm our result actually exist the stable soliton solution. Moreover, we use the numerical simulation to discuss the behaviors of BEC in the optical lattice near the zero dispersion point.

### 3-1 Numerical method



Our numerical method is the most common and direct method , finite difference method, in the numerical analysis that we represent the derivatives by their finite difference approximations [15] .Here we use the forward-difference approximation with respect to time. At first we expand a function by Tylor's series in powers of k with respect to t:

$$f(t+k) = f(t) + hf'(t) + \frac{h^2 f''(t)}{2!} + \frac{h^3 f^{(3)}(t)}{3!} + \frac{h^4 f^{(4)}(t)}{4!} + \dots \quad (3.1)$$

Thus we obtain

$$f'(x) = \frac{f(x+k) - f(x)}{h} + O(k) \quad (3.2)$$

where  $h$  is assumed small enough, but finite, for the approximation of accuracy and  $O(k)$  indicate that the error in this approximation to the first derivatives is of order  $k$ .

As for the space, we use the central-difference approximation to the first derivative with respect to space  $x$ . From Taylor's expansion we have

$$f(x+h) = f(x) + hf'(x) + \frac{h^2 f''(x)}{2!} + \frac{h^3 f^{(3)}(x)}{3!} + \frac{h^4 f^{(4)}(x)}{4!} + \dots \quad (3.3)$$

and

$$f(x-h) = f(x) - hf'(x) + \frac{h^2 f''(x)}{2!} - \frac{h^3 f^{(3)}(x)}{3!} + \frac{h^4 f^{(4)}(x)}{4!} + \dots \quad (3.4)$$

By subtracting the second expansion from the first and keeping only the lowest-order term we obtain

$$f'(x) = \frac{f(x+h) - f(x-h)}{2h} + O(h^2) \quad (3.5)$$

Where the error of Eq. (3.5) is of order  $h^2$ .

For the second derivative  $f''(x)$  we add both Eq. (3.3) and Eq.(3.4) producing the finite difference formula

$$f''(x) = \frac{f(x+h) - 2f(x) + f(x-h)}{h^2} + O(h^4) \quad (3.6)$$

The last derivative we need is the third derivative  $f'''(x)$  where we expand the

functions  $f(x+2h)$  and  $f(x-2h)$  in Taylor's series by adding both expansion with the help of the expansion of  $f(x+h)$  and  $f(x-2h)$ . We obtain

$$f'''(x) = \frac{f(x+2h) - 2f(x+h) + 2f(x-h) - f(x-2h)}{2h^3} + O(h^4) \quad (3.7)$$

For the simplicity we rewrite the above approximation of derivative in a more simplified form by a notation which is fairly standard in the numerical literature and now consider the function  $\varphi$  as the partial derivative with respect to space  $x$  and time  $t$ , that is,  $\varphi = \varphi(x, t)$ .

$$\frac{\partial \varphi}{\partial t} \approx \frac{f_{i,j+1} - f_{i,j}}{2h} \quad (3.8)$$

$$\frac{\partial \varphi}{\partial x} \approx \frac{f_{i+1,j} - f_{i-1,j}}{2h} \quad (3.9)$$

$$\frac{\partial^2 \varphi}{\partial x^2} \approx \frac{f_{i+1,j} - 2f_{i,j} + f_{i-1,j}}{h^2} \quad (3.10)$$

$$\frac{\partial^3 \varphi}{\partial x^3} \approx \frac{f_{i+2,j} - 2f_{i+1,j} + 2f_{i-1,j} - f_{i-2,j}}{2h^3} \quad (3.11)$$

Where  $x = ih$  and  $t = ik$  that the  $x-t$  plane has been subdivided into rectangular grid with each rectangle having interval length of grid  $h$  and  $k$ , where  $h$  and  $k$  will be taken to be small, that is,  $\varphi = \varphi(x = ih, t = jk) \equiv \varphi_{i,j}$  where  $i, j = 0, 1, 2, \dots$ . The

above differences of derivative are all we need in numerical simulation.

### 3-1-1 Numerical simulation of the generalized NLS method

In order to confirm the soliton solution we obtained before we now begin by

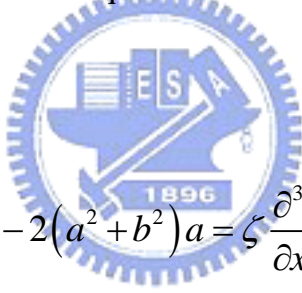
Eq.(2.25) ,  $i \frac{\partial F}{\partial t} - \alpha \frac{\partial^2 F}{\partial x^2} + 2|F|^2 F = i\zeta \frac{\partial^3 F}{\partial x^3} - e_{k0} F$  , to directly simulate

the full generalized NLS equation using the finite difference method . We first assume

the complex wave function  $F(x,t)$  can be separated  $F(x,t) = a(x,t) + ib(x,t)$  . so

Eq. (2.25) can be reformed as two equations which is real and imaginary parts of

Eq(2.25).



$$\begin{cases} \frac{\partial b}{\partial t} + \alpha \frac{\partial^2 a}{\partial x^2} - 2(a^2 + b^2)a = \zeta \frac{\partial^3 b}{\partial x^3} + e_{k0}a \\ \frac{\partial a}{\partial t} - \alpha \frac{\partial^2 b}{\partial x^2} + 2(a^2 + b^2)b = \zeta \frac{\partial^3 a}{\partial x^3} - e_{k0}b \end{cases}$$

Where the above both equations are Eq. (3.12) and Eq. (3.13) .

With the help of finite difference method we obtain the discrete equations of Eq.(3.12) and Eq.(3.13) . The most direct idea for obtaining the confirmation of our result is to substitute our solution back to the original dominated equation, that is , Eq.

(2.25) . Though we back to Eq.(2.50) which is the solution of Eq. (2.25). By reducing

it in a more simplified form that we set  $\mu = \frac{\epsilon\chi}{\alpha u_0}$  where  $\mu$  could be regarded as a

ratio of height about the amplitude of soliton. So we obtain the initial condition, at time  $t = 0$ , for numerical simulation.

$$F(x, 0) = u_0 \left[ 1 - \frac{\alpha^2 \mu^2 \left[ \delta + \rho \left( 4 - \frac{e_{k_0}}{2u_0^2} \right) \right]}{\left( 2 - \frac{e_{k_0}}{4u_0^2} \right) (\delta + \rho)} \operatorname{sech}^2(Z) \right] \exp[i\phi_0(Z)] \quad (3.14)$$

$$\phi_0(Z) = \frac{2\rho\alpha^{\frac{3}{2}}\mu \left[ \delta + \rho \left( 4 - \frac{e_{k_0}}{2u_0^2} \right) \right]}{\varepsilon \left[ \left( 1 - \frac{e_{k_0}}{4u_0^2} \right)^{\frac{1}{2}} + \left( 1 - \frac{e_{k_0}}{4u_0^2} \right)^{-\frac{1}{2}} \right] (\delta + \rho)} \tanh(Z) \quad (3.15)$$

where

$$\begin{cases} \mu = \frac{\varepsilon\chi}{\alpha u_0} \\ Z = u_0 \mu x \\ \delta = \frac{\alpha^{\frac{3}{2}}}{2\zeta u_0} \left[ \left( 1 - \frac{e_{k_0}}{4u_0^2} \right)^{\frac{1}{2}} + \left( 1 - \frac{e_{k_0}}{4u_0^2} \right)^{-\frac{1}{2}} \right] \\ C = 2\rho u_0 \sqrt{\alpha\gamma}, \text{ where } \rho = \operatorname{sgn}(C) \end{cases}$$

Now we use Eq.(3.14) and Eq. (3.15) for numerical simulation of the generalized NLS equation at  $\alpha = 1$ ,  $u_0 = 1$ , and  $e_{k_0} = 1$  as initial condition. According to the analytic predictions, the anti-dark soliton may exist in the region of  $1 < |\delta| < 4 - \frac{e_{k_0}}{2u_0^2}$ , and the essential condition  $\rho = \operatorname{sgn}(C) = -1$ , so that we put

$\zeta = 0.5$  (i.e.,  $\delta = \left( 1 - \frac{e_{k_0}}{4u_0^2} \right)^{\frac{1}{2}} + \left( 1 - \frac{e_{k_0}}{4u_0^2} \right)^{-\frac{1}{2}}$ ). The sign of C presents the velocity of

linear wave propagating on the modulated background wave. Here we have to take a finite-extent Gaussian-like wave in the form of initial condition as a background wave in order to satisfy the essential condition of dark soliton, which the dark soliton propagates on a modulated stable background wave.

$$F_0(x, 0) = F(x, 0) \exp\left[-\frac{x^8}{T^*}\right] \quad (3.16)$$

where  $T^*$  is sufficiently large.

For comparison we also present the case of  $\zeta = 0$  where the third-order dispersion term is absent, and in this case the initial anti-dark soliton decays very fast as a dispersive wave packet. The both case are presented in the following figures.

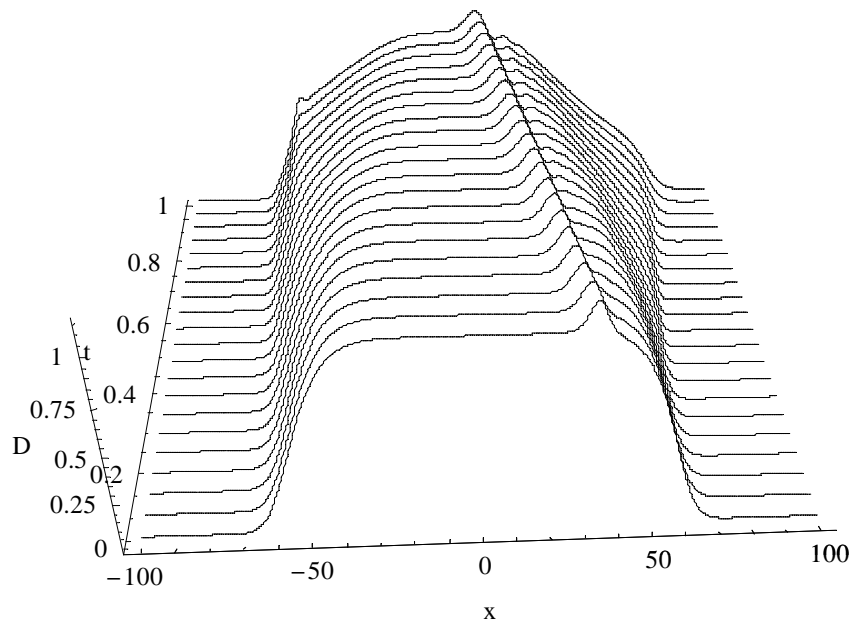


Fig. 1. The time evolution with the arbitrary coefficients where  $\mu = 0.3$

In the above figure we have the propagation of the anti-dark soliton at  $\mu = 0.3$  . The anti-dark soliton stably propagates on the background wave and this figure has confirmed the existence of our result that the generalized nonlinear Schrödinger equation possesses the soliton solution in the small amplitude regime which is perfectly consistent with our theoretical analysis. It's perfect to fit with our theoretical analysis.

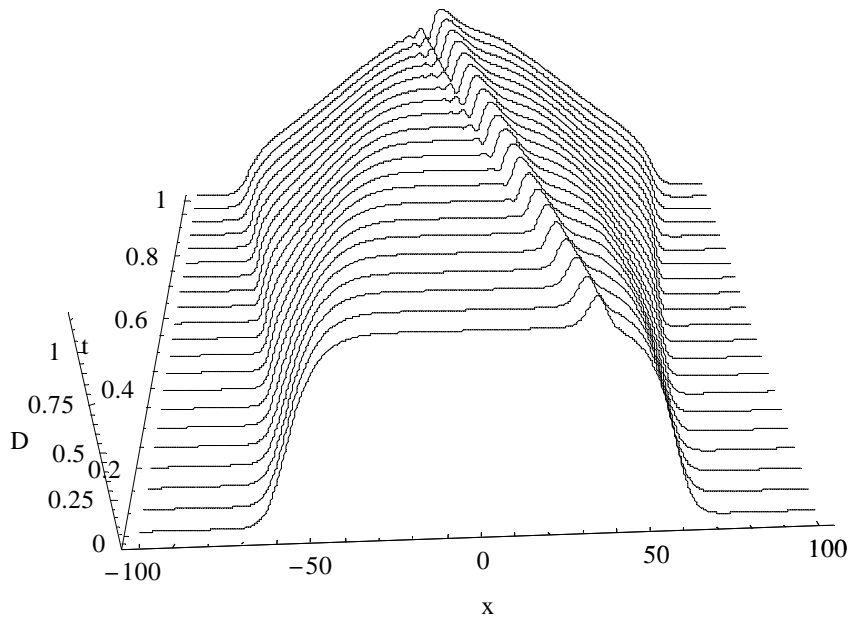


Fig. 2. The time evolution with the arbitrary coefficients where  $\mu = 0.3$ , but the third-order dispersion term is absent.

In the Fig. 2 the third-order dispersion is absent that this figure is used to compare with Fig 1. It's obviously that the solution of soliton we obtain only exists when we take into account the third order-dispersion term. Otherwise, the initial soliton wave packet will be dispersive rapidly as if a dispersive wave-packet. Therefore , we have confirmed our result by directly numerical simulation .

In this case with the same conditions as we choose the value of  $\mu$  enough small , one can see the propagation of the anti-dark soliton steady , but in the larger  $\mu$  we will see an emission of radiation that is regarded as the effect of the third-order dispersion and had been studied in the optical fiber[16]. Now we discuss an instructive analysis to help us clearly understand the affection of the third-order dispersion term, even though it may don't really exist any physical meaning. By erasing the background of the anti-dark soliton we just had discussed that we will take the form of bright soliton as an initial condition to substitute into our numerical simulation. The result is obviously to understand the affection of the third-order dispersion term in the following figure. In the figure 3 we clearly see the initial soliton form reshapes itself with an emission of continue radiation in a side. As for the figure 4 the wave is rapidly dispersive.

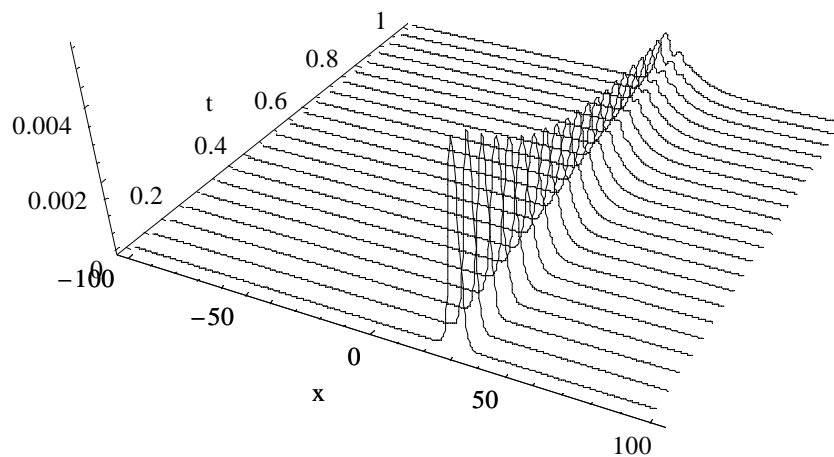


Fig. 3. The time evolution of a special initial profile which subtracts the background from the initial profile of fig. 1 with the same conditions



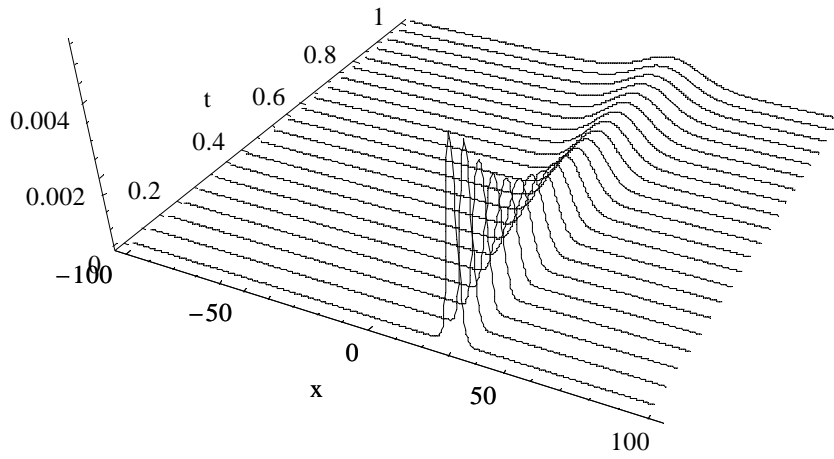


Fig. 4. The time evolution of a special initial profile which subtracts the background from the initial profile of fig. 2 with the same conditions.

As we change the ratio of  $\mu$  , the radiation will be more rapid and clearly observed.

See the figure 5 where  $\mu = 0.5$  .

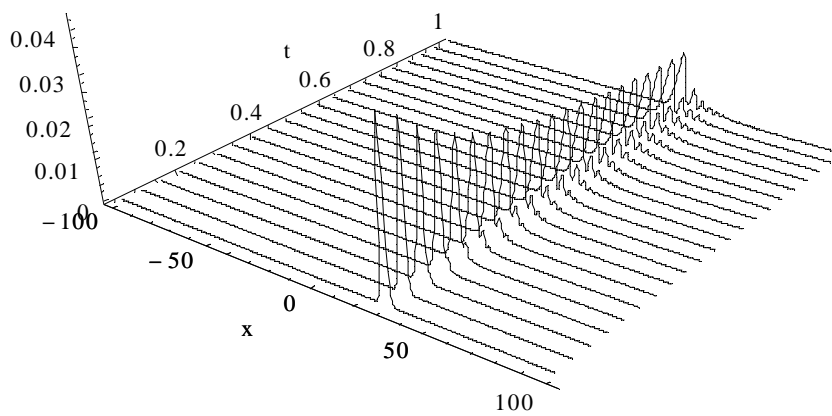


Fig. 5. The initial conditions is same with fig. 1 where  $\mu = 0.5$

### 3-2 Numerical simulation of BEC in optical lattice near the zero dispersion point

Now we bring the actual coefficients of BEC in the optical lattice near the Z-D point into our numerical simulation to find out the behaviors of BEC near the Z-D point, but here we don't bring the transformation back due to we just discuss the qualitative behaviors only, and the inverse time only changes the direction of propagation. First, we go back to the equation of band structure of the lowest first two band Eq.(2.17) which is obtained by two band approximation. Here, we only discuss the equation of the lowest band to determine the coefficients we need for numerical simulation. In following figures we draw out the band structure of each coefficient with respect to  $k$  where  $V_o = 1.9$  and show all diagrams in a figure for simplified clarification and comparison.

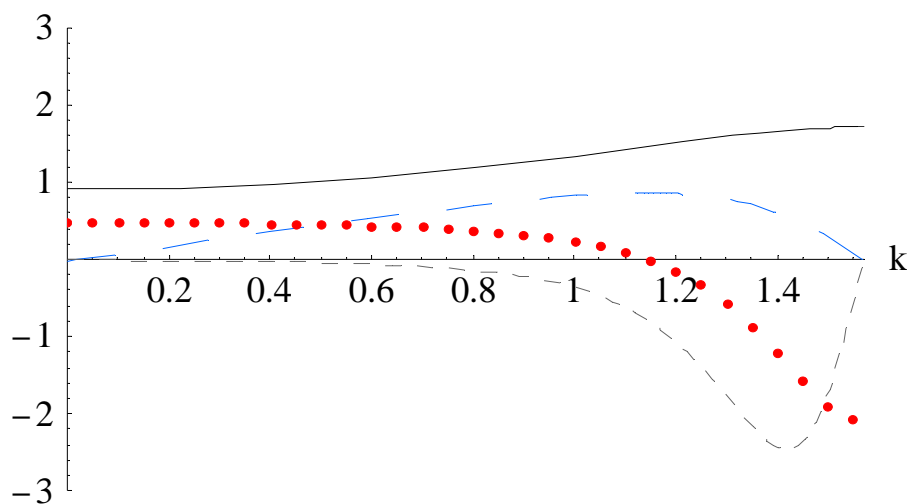


Fig. 6. The derivatives of band structure with respect to  $k$

In the figure 6 the solid line,  $\mathcal{E}(\kappa_0)$  , represents the band structure of the lowest first band , E-k diagram , the long dash line describes the group velocity of BEC in the

optical lattice ,  $v_g = \left. \frac{\partial \mathcal{E}(\kappa)}{\partial \kappa} \right|_{\kappa_0}$  , and the dot line is the coefficients of the

second-order dispersion term ,  $\alpha = \left. \frac{1}{2} \frac{\partial^2 \mathcal{E}(\kappa)}{\partial \kappa^2} \right|_{\kappa_0}$  . At last the short dash line is the

coefficients of the third-order dispersion term,  $\zeta = \left. \frac{1}{6} \frac{\partial^3 \mathcal{E}(\kappa)}{\partial \kappa^3} \right|_{\kappa_0}$  .(see section 2-1-2).

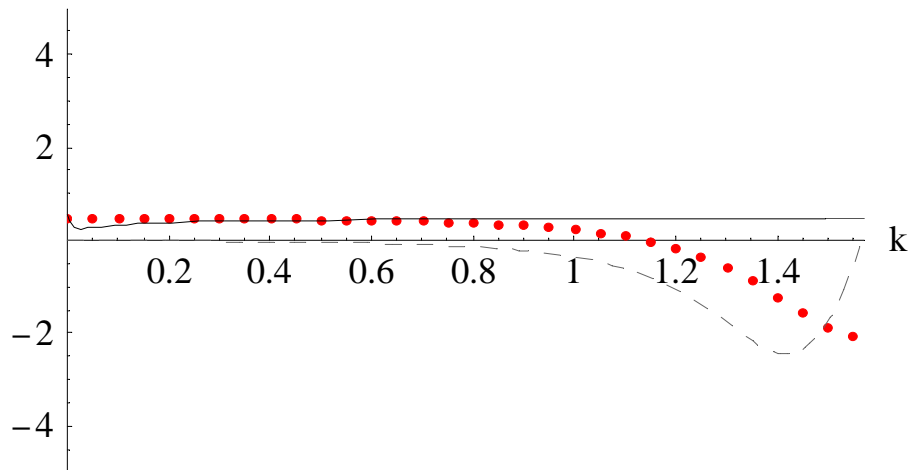


Fig. 7. Second and the third derivatives of band structure with respect to k in comparison with nonlinear coefficient

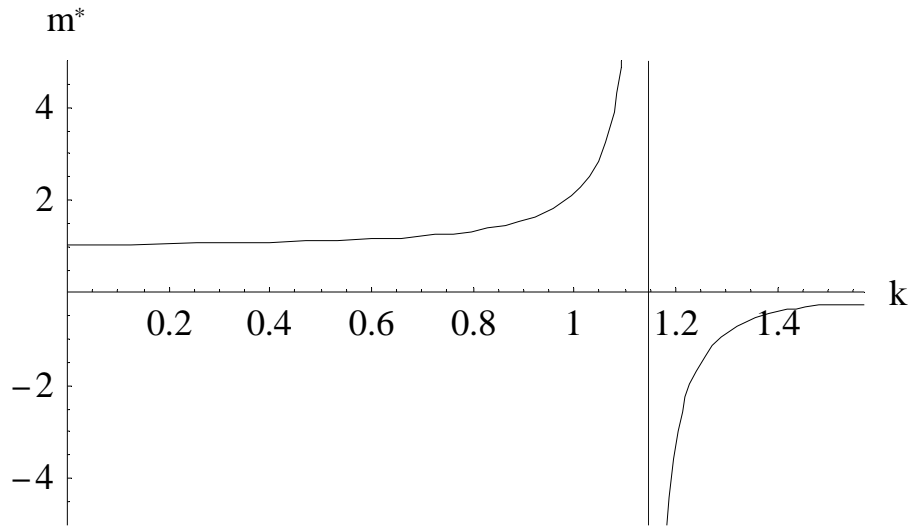


Fig. 8. The plot of effective mass with respect to k

We also compare the second-order and third-order dispersion terms with the non-linear coefficient in the figure 7 and describe the second-order dispersion term in term of the effective mass with respect to k in the figure 8.

It is obviously to find out in the figure 6 that the third-order dispersion term are zero in the both sides where one is band edge , and the other is the point where k is zero. Therefore we actually have to take into account to keep the term of third-order dispersion term , which is described by the generalized NLS equation, when the value k of BEC in the optical lattice approaches the zero dispersion point where this point represents the second-order dispersion are zero. The sign of third-order dispersion is always negative coefficient so that the rescaling we had made before,  $\zeta = -\eta$  , will not change the formation of soliton we predict in the chapter 2 with the variance of propagation direction of soliton. The second-order dispersion is separated as two parts which are negative and positive regions where one is the so-called normal dispersion,

and the other is the so-called anomalous dispersion in the optical fiber. Now we choose the same initial conditions, but here we use the coefficients of BEC in the optical lattices where the height of potential is 1.9 near the zero dispersion point to simulate the behaviors of BEC.

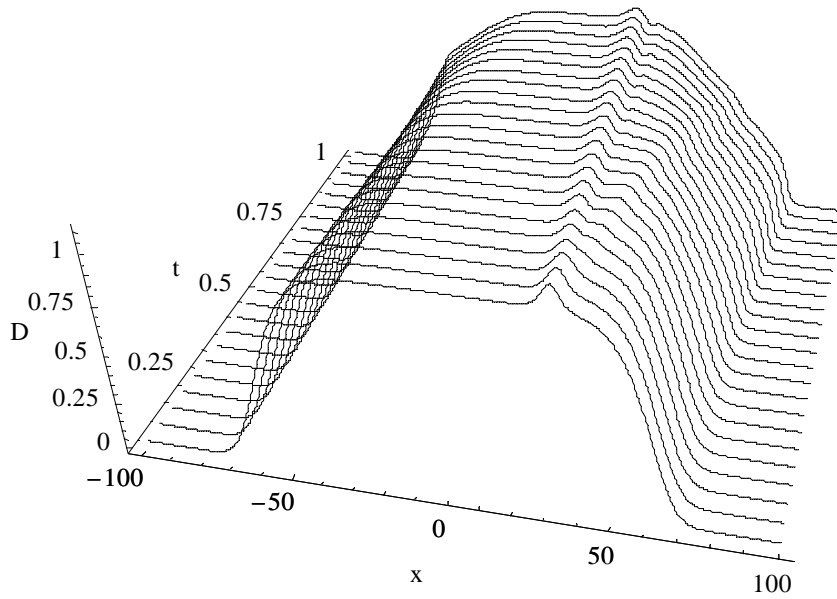


Fig. 9. The time evolution of anti-dark soliton where  $k=0.8$  and  $\mu = 0.3$

In figure 9 here the value of  $k$  is 0.8 and  $\delta \approx 1.6$  that we obtain an anti-dark soliton propagating in the small amplitude regime where  $\mu = 0.3$ . As we change the ratio of  $\mu$ , again we may obviously observe the confirmation of our result. We also plot the figure 10 with the same value of  $k$ , but a larger  $\mu$  where  $\mu = 0.5$  that the propagation of soliton accompanies an emission of continue radiation.

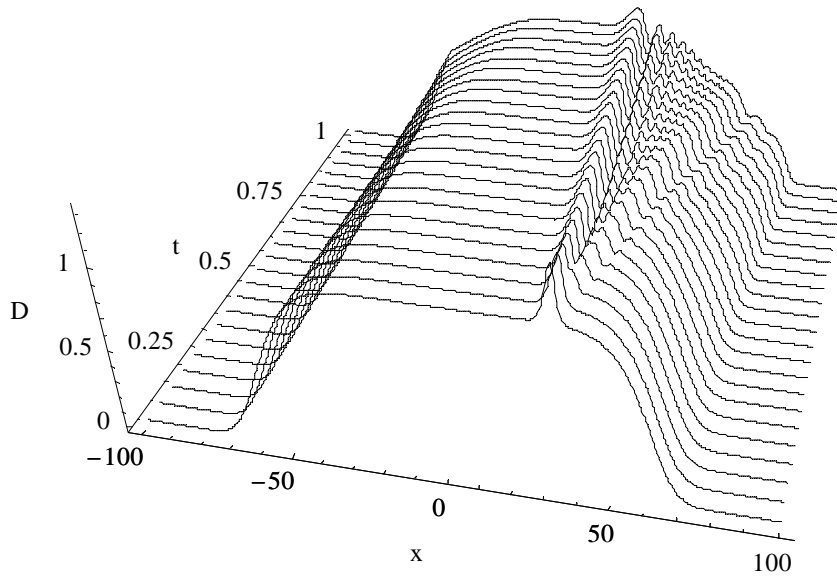


Fig. 10. The time evolution of anti-dark soliton where  $k = 0.8$  and  $\mu = 0.5$ .

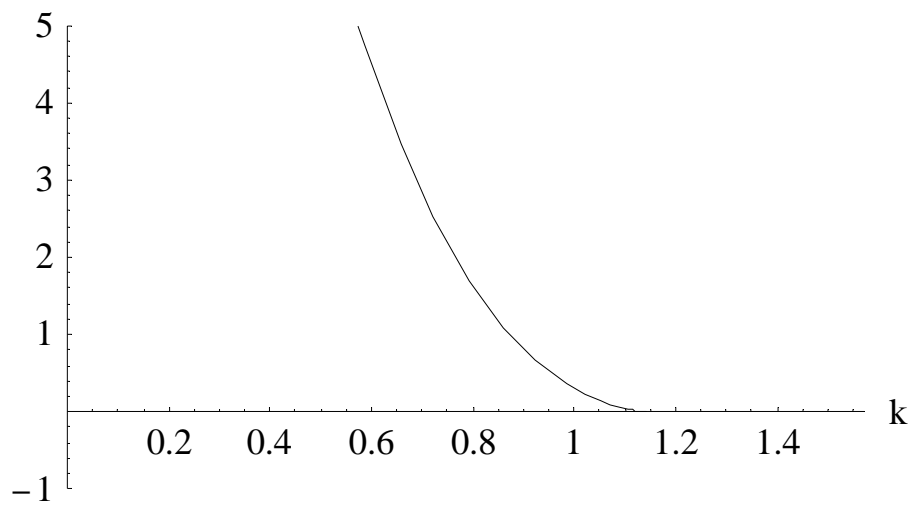


Fig. 11. The plot of  $\delta$  with respect to  $k$

In the figure 11 we plot  $\delta = \frac{\alpha^{\frac{3}{2}}}{-2\eta u_0} \left( \gamma^{-\frac{1}{2}} + \gamma^{\frac{1}{2}} \right)$  with respect to  $k$  that we predict the existence of anti-dark soliton in the regions of  $1 < |\delta| < 4 - \frac{e_{k0}}{2u_0^2}$  and the sign of  $C$  is negative,  $\rho = -1$ .

Again we use the instructive analysis that we erase the background in the examples of figure 9 and 10 to directly understand the result we observe. The condition of the following two figures is as same as the figure 9 and 10, respectively. By the help of this direct observation we see the existence of anti-dark soliton of BEC in the optical lattice near the zero dispersion point in the small amplitude limit and an emission of radiation.

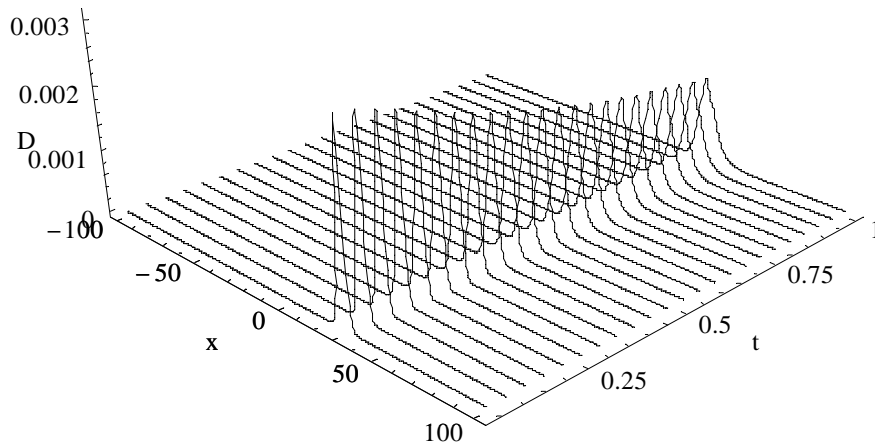


Fig. 12. The time evolution of a special initial profile which subtracts the background from the initial profile of fig. 6 with the same condition.

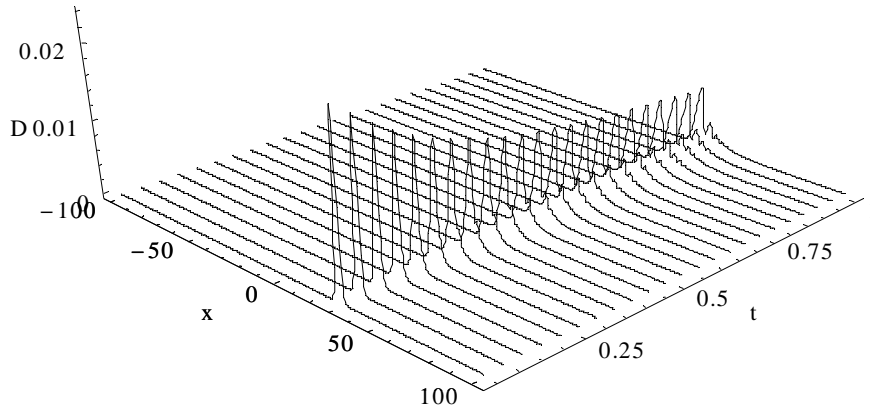


Fig. 13. The time evolution of a special initial profile which subtracts the background from the initial profile of fig. 7 with the same condition.

Now we use  $\exp(-0.1x^2)$  as the initial condition to observe the effect of radiation in the anomalous dispersion region where we choose  $k = 1.2$ .

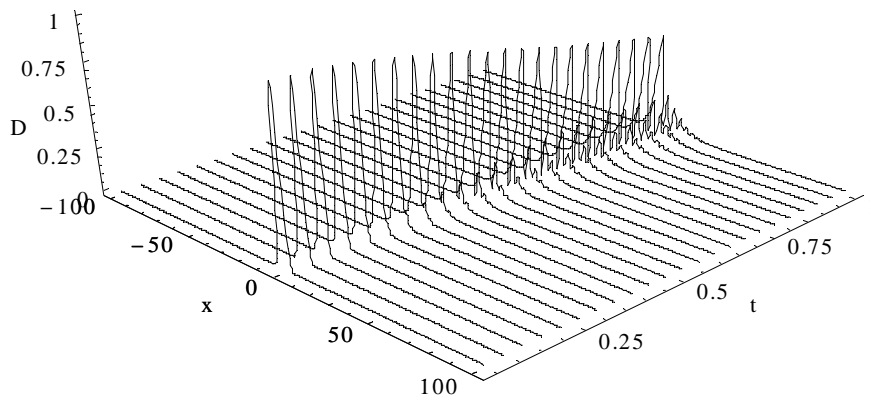


Fig. 14. Choosing a Gaussian initial profile to plot the time evolution of BEC in the anomalous regime.



The result is same as before that the effect of third-order dispersion will cause a emission of radiation, and it is asymmetrically emit because it only results in a side. As for which side will result an emission of radiation that it is determined by the sign of the third-order dispersion term.



## Chapter 4 Conclusion and perspective

### 4-1 Conclusion

In this thesis, we apply the effective mass theory to study the dynamics of Bose-Einstein Condensates in the optical lattice near the zero dispersion point with the repulsive interaction that we demonstrate a dark soliton solution exists in the small density limit by the perturbation method which is an approximately analytic solution . We also find out a special type of bright soliton where the bright soliton propagate on a background existing in the left region of zero-dispersion point where the sign of the second-order dispersion is positive with the repulsive interaction in the small amplitude limit. With the help of directly numerical simulation the analytic result was confirmed reasonably. Meanwhile, we observe an emission of the radiation in the larger density that we assume the emission of radiation is resulted from the effect of the third-order dispersion coefficient by the direct observation of numerical simulation. In the future work we can consider to analyze the instability of the soliton solution and to have a discussion about the emission of radiation by theoretical analysis in this description of BEC. We also will work on the quantitative calculation to understand the behaviors of BEC more clearly.

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