國立交通大學

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碩士論文

表面特性對表面穩定型鐵電性液晶影響之研究

The Effect of Surface Properties on Surface Stabilized Ferroelectric Liquid Crystals

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中華民國九十五年六月

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摘要

近年來液晶顯示器挾其輕薄之優勢已成功取代傳統桌上型映像管顯示器,並積極上 下延伸至各種尺寸之顯示器應用。然而在大尺寸應用上,動態表現因受限於扭轉型液晶 較慢的反應速度,而無法提升影像品質。因此具有快速應答速度的鐵電性液晶已然成為 下一波備受矚目的液晶材料。表面穩定型鐵電性液晶顯示器是目前廣為使用的技術,除 了反應時間快,視角範圍也較傳統液晶顯示器大,然而結構上不易排列及衍生出的缺陷 卻是目前亟欲解決的問題。

表面穩定的機制是在極狹小的液晶盒間隙中,利用表面的配向力將 SmC*液晶相的 螺旋結構破壞並固定液晶分子的方向,因此表面的特性對於鐵電性液晶的排列來說是非 常重要亦值得深究。本篇論文特別針對配向膜的正負極性以及和自發性極化的耦合作用 做探討,並依此建構出一可控制自發性極化方向之液晶盒結構。利用此種液晶盒結構, 可形成單一方向排列之層結構,並解決水平山形袖章結構之缺陷進而提升對比。除此之 外,本篇論文亦針對表面平坦度的改善及摩擦配向對鐵電性液晶排列之影響提出討論。

The Effect of Surface Properties on

Surface Stabilized Ferroelectric Liquid Crystals

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Abstract

Fast switching LCD technology is essential for achieving high dynamic image quality. For this reason, ferroelectric liquid crystal (FLC) which has fast response speed is highly concerned. Surface stabilized ferroelectric liquid crystal (SSFLC) is a widely used technique and promising solution for the next generation of LC displays, but the occurrence of alignment defects bring down the practicability.

In this thesis, the influences of surface properties such as sign of surface polarity, surface roughness and surface rubbing conditions on alignment in SSFLC device were studied. In addition, by utilization of sign of surface polarity, a uni-direction layer model was proposed to control the direction of spontaneous polarization. Based on this model, the hybrid cell structure succeeded in eliminating the horizontal chevron defect without application of electric field and higher contrast ratio, over 100, was also achieved.

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Chapter 1 Introduction and Background

1.1 Introduction

Liquid crystalline phase was first discovered by Dr. F. Reinizer [1] in 1888 and the well known term "liquid crystal" was introduced by Lehmann [2] in 1890. Today, liquid crystal displays (LCDs) have played an important role in flat panel display (FPD) industry and have already achieved a significant market impact. The products of LCD cover all sizes of the display devices and are seen everywhere. Small applications include portable devices, such as mobile phones, digital cameras, handheld games, PDAs and GPS. For large applications, LCD technology aggressively fits into all branches, such as laptop screens, monitors, TV and high-definition TV (HDTV). With the continually falling price of large screen, high resolution, high quality LCD TV, it is believed that the needs of LCD products will reach a new high.

1.2 Liquid Crystal Phases

Liquid crystal is a state of matter that is intermediate between the crystalline solid and the amorphous liquid. It may also be viewed as a liquid in which an ordered arrangement of molecules exists. Liquid crystals arise under certain conditions in organic substance having sharply anisotropic molecules, that is, highly elongated (rod-like) molecules or flat (disc-like) molecules. Thus, a direct consequence resulted from the ordering of anisotropic molecules is the anisotropy of mechanical, electric, magnetic and optical properties.

There are many types of liquid crystals, according to the structure of material. Even

though the shapes of molecules are complicated, often they are referred to as rod-like. Other shapes (discotic-like or sanidic-like) of molecules are not our interest in this thesis.

Nematic

The nematic liquid crystal phase is characterized by molecules that has no positional order but has tenancy to point toward a same direction (parallel to director, indicated by n). In nematic phase only the long axis of molecule is more or less oriented, therefore it is optically uniaxial. The measurement of the degree of order in nematic phase is given by the so-called order parameter

$$S = \left\langle \frac{3}{2} \cos^2 \omega - \frac{1}{2} \right\rangle \tag{1.1}$$

where ω is the deviation angle of each molecule from the director. If S=0, the substance is in isotropic phase (randomly ordered); S=1, the substance is in solid state (perfectly ordered). Typically, liquid crystal has 0.5<S<0.7.

Chiral Nematic

The chiral nematic (or cholesteric) liquid crystal phase is typically composed of nematic mesogenic molecules which contains a chiral center. Usually when we mix chiral dopants with achial nematic liquid crystal, a chiral nematic liquid crystal is formed. The chirality produces an intermolecuclar force that leads to a twist between each layer. As a result the director in N* phase not only oriented uniformly in individual layer but also rotates in space about a helical axis, which is perpendicular to the layer plane. An important characteristic of chiral nematic liquid crystal is the pitch. The pitch, p, is defined as the distance it takes for the director to rotate a full turn in the helix, and it strongly depends on the concentration of chiral dopants in the mixture. The pitch typically varies between a few hundred nanometers and many micrometers.

The helical structure of chiral nematic phase has the ability to selectively reflect light of wavelength equals to helical pitch in N* of the material. The pitch length also depends on temperature, for example: If we increase the temperature the pitch will be tighter and we will see bluish color (or shorter wavelength of light) be reflected; if we decrease the temperature the pitch will be loosened and yellowish color (or longer wavelength of light) will be reflected.

Smectic

Material in the smectic phase shows an additional degree of positional order not present in nematic phase, which means molecules are ordered in layers (smectic layers). Motion of molecules is restricted to within these layers, and adjacent layers are observed to flow past each other. In smectic A phase, the layer spacing approximately equals to the length of molecule. In smecic C phase, molecules are arranged in layers as in smectic A phase, but the directors are tilted in a preferred angle respect to the layer normal. This indicates that the molecule is confined to a conical surface of which layer normal is the axis, and the cone is the so-called smectic cone. Because the molecules tilt when material entering SmC phase from SmA phase, the layer spacing in SmC phase would be smaller than that in SmA phase. This situation is called layer shrinkage.



Fig.1.1. Molecular arrangements of liquid crystal phases.

Chiral smectic C

When chiral dopants are mixed with achiral molecules in smectic C phase, the Ferroelectric chiral smectric C phase (SmC*) is formed. When the molecules of mixture become chiral, successive smectic C layer shows a gradual change in the direction of tilt, so that the director precesses about the z-axis from layer to layer, as illustrated in Fig.1.2.



Fig.1.2. The helix in a layer structure of the SmC* liquid crystal.

In addition to bring about the helical structure, chirality would also induce a spontaneous polarization (Ps), shown by the red arrows in Fig.1.2. This polarization vector is perpendicular to the director and lying on the plane of layer. In bulk SmC* material, freely developing helical structure, ferroelectricity does not show up since the polarization of all directions will average to zero over one pitch, resulting in no macroscopic polarization in the system. Application of electric field, of which the direction is normal to helical axis, would accompanies the polarization vector toward the field direction and unwind the helix. Thus, the

Ps could be oriented in the direction of external field. Furthermore, Clark and Lagerwall [5] presented the so-called surface-stabilized ferroelectric liquid crystal (SS-FLC) which suppressed the helix by placing the SmC* material inside a very thin cell, and this insight opened a new area for display application.

1.3 Liquid Crystal Displays (LCDs) Technology

Nowadays, LCDs which is based on nematic liquid crystals are widely used, and the twisted nematic (TN) is the most popular LCD mode. TN displays provide a high contrast ratio, analog grey scale and low driving voltage. Especially, the TN-LCD combined with thin film transistors (TFTs) technology provides high resolution information content.

Typical display modes using nematic liquid crystals are as bellows. Super-twisted nematic (STN) modes give steep electro-optical response by increasing the twist angle of liquid crystal molecules from 90°, which is used in TN mode, to 240°, and enable achieving high multiplexing driving. The early problem of TN-LCD is its small viewing angle and now two solutions, in-plane switching (IPS) mode and multi-vertical alignment (MVA) mode, have been proved the enhancement of the viewing angle of TN-LCD. The IPS mode shows very wide viewing angles because the liquid crystal molecules rotate in-plane under the applied electric field. In MVA mode, its initial alignment is homeotropic. Since the liquid crystals are inclined in four directions by the application of electric field, the MVA mode could achieve wide viewing angles [3]. However, the slow response speed of nematic liquid crystal based displays is the bottleneck of performance for high-end products. Based on this thinking, ferroelectric liquid crystal displays (FLCDs) were considered as a promising solution because the response speed of FLCD is in sub-millisecond range and much faster than nematic based LCDs.

1.4 Ferroelectric Liquid Crystal Displays (FLCDs)

Ferroelectic liquid crystals consist of smectic A or smectic C phases, which are intrinsically chiral or have added chiral dopants. The chirality of the material makes the molecules to have a gradual change in the direction of tilt within successive smectic C layers. The LC molecules in the SmC* phase with a layer structure as shown in Fig.1.3. In addition to bring about the helical structure, the chirality also induces a spontaneous polarization (Ps) which is perpendicular to the director and lying on the plane of layer. The layer boundaries are parallel planes and the directors of the molecules in each layer are parallel. In bulk SmC* material, ferroelectricity does not show up since the polarization of all directions will average to zero over one pitch p, resulting in no macroscopic polarization in the system. In 1980, Clark and Lagerwall [5] presented a new idea of unwinding the helix by introducing FLC into two surfaces of which the gap was less than one helical pitch of the material. This configuration is the so-called surface-stabilized ferroelectric liquid crystals (SS-FLCs).



Fig.1.3. The helix in a layer structure of SmC* liquid crystal.



Fig.1.4. Operation principle of a SSFLC switching. The applied field switches the structure between two stable states, resulting in (a) a dark state and (b) a bright state.

In SSFLC device, the FLC was sandwiched between two closely spaced solid substrates (d << 1 pitch). In this geometry, the natural standing helical structure would be unwound by the interaction between FLC and the boundary, so that the original helical axis lies down along the boundary surface. The director is free to orient within the plane of the substrate. This produces two degenerated stable states of the directors, symmetrically positioned about the layer normal. These two stable states differ from an azimuthal angle of $2\theta_c$. θ_c is the so-called cone angle. When the director of one stable state was set to be parallel to the polarizer, a dark state would be seen under crossed polarizers as illustrated in Fig.1.4.(a). After an electric field is applied, the polarization Ps aligns itself with respect to the electric field E, and the director rotates over half of the cone to show a bright state Fig.1.4.(b). The

bistable switching could be achieved by the switching of Ps direction coupling with a bipolar electric field.

The SSFLCs have many technical advantages: the switching time is very short (in sub-millisecond range) due to the direct coupling between the Ps and an electric field. An analog gray scale is possible by utilizing a small Ps material. The in-plane like switching provides a naturally wide viewing angle. However, the main challenge of FLC devices is the alignment defect, such as zigzag defect and horizontal chevrons, which causes light leakage and degrades the contrast ratio.

1.5 Motivation and Objective

Since surface stabilization of ferroelectric liquid crystals requires a very thin LC layer aligned by upper and bottom surface, the alignment state was strongly affected by surface properties. Thus, in order to eliminate defects in SSFLC devices, a study of surface properties would be a key helping us to discover more information.

For that reason, the main objective of this thesis is to understand how the surface properties affect the alignment state, and propose a model based on these effects to control the layer direction. The proposed process has the benefit that no electric field is needed to align the smectic layer and spontaneous polarization. Besides, a discussion of the results will be given in this thesis.

1.6 Organization of this Thesis

The thesis will be presented as following: the chevron layer structure, related defects in SSFLC, and a preliminary study of methods to these defects are introduced in Chapter 2. Chapter 3 describes the measurement systems adopted in this experiment. The experimental processes, results, characteristics measurement and performance evaluation including response time, contrast ratio and viewing angle are in Chapter 4. The discussions of the results

are also brought up in the end of Chapter 4. Finally, the thesis concludes in Chapter 5 with a summary of the results and the future work for continued research on this subject.



Chapter 2 Overview of SSFLC Devices

2.1 Introduction

Since the discovery of SSFLC in 1980, many physicists and engineers have tried to understand its structure, and to make it into a practical product. It is known that the SSFLC has many technical merits: 1) SSFLC has a very short electro-optic response (in the order of sub-millisecond). 2) SSFLC shows good memory effect which means that the black or white state is sustained after the applied electric field is removed. 3) Because the mechanism of switching in SSFLC is similar to in-plane switching mode (IPS mode), it has wide viewing angle naturally. However, the alignment mechanism of SSFLC is very difficult and complicated. In this chapter, the chevron structure will be presented first, and then defects resulted from chevron structure and layer orientation will be introduced. So far, many groups have focused on these defects and proposed their solutions, which were classified and concluded in the following sections. In the end of this chapter, our process will be proposed and the concept will also be explained.

2.2 Molecular Orientation States in SSFLCs

2.2.1 Chevron Layer Structures

In smectic phase, layer structure strongly depends on the surface alignment as shown in Fig.2.2. In a homeotropic aligning cell, the smectic layer is formed parallel to the substrates and schlieren texture is observed by polarizing optical microscope. A tilted smectic layer is

formed in a planar homogeneous cell with antiparallel alignment [6]. In most SSFLCs, parallel alignment is adopted and a chevron shape layer structure is formed in this configuration, which was confirmed by Rieker *et al.* using X-ray measurements [7]. The origin of chevron layer formation is explained by discrepancy between the layer spacing of the SmC* phase and SmA phase. When the homogeneous aligning cell cooling down from SmA phase to SmC* phase, the molecules tend to tilt an angle more and more. The layer spacing in between the two substrates shrinks from d_A to d_C , meanwhile, the layer spacing fixed at the substrates is still d_A . Thus the layer was tilted from the top and the bottom substrates, and then chevron structure is formed. The relation between d_A and d_C is as below,

$$d_{C} = d_{A} \cos \delta_{C}$$

Where δ_c is the layer tilted angle in SmC* phase.



(a)



Fig.2.1. Smectic layer structures in various alignment layers. (a) Homeotropic;

(b) Tilted; (c) Chevron. [3]

There are two classification of molecular orientation in chevron structure. One is based on the relationship between the tilting direction of chevron structure and the surface pretilt. The C1 and C2 state are defined by this classification [8]. If the tilting direction of chevron is toward rubbing direction, we call it a C2 state. If the tilting direction of chevron is opposite to the rubbing direction, we call it a C1 state, as illustrated in Fig.2.2.



Bookshelf



Fig.2.2. Bookshelf and two chevron structure: C1 state and C2 state.

The other one is based on the optical viewing properties in SSFLC measured by polarizing optical microscope (POM). There are two different states in this classification; one is the uniform state (U), and the other is the twisted state (T) [9][10]. The uniform state shows extinction positions, but the twisted state shows only positions of colouration without any extinction.

To sum up, there are four states, C1U (C1-uniform), C1T (C1-twisted), C2U (C2-uniform), and C2T (C2-twisted), in the SSFLC cells by observing many samples aligned by various alignment films involving parallel rubbing [11]. The four states are in Fig.2.3.





(b)



and C2T. [11]

In addition, there are essential criteria of the substructure to fulfill the C1, C2 states, which were addressed by the Canon group [8] as Fig.2.4. illustrates. From Fig.2.4. we can find that at lower pretilt angle both C1 and C2 states can exist. But for higher pretilt angle, only C1 state could survive because the director cannot lie on the switching cone in C2 state. Based on this criteria, if at high temperature just below the transition temperature between SmA and SmC*, C1 state appears only because the cone angle and layer tilt angle are still very small. Upon cooling down to lower temperature, $\theta_c - \delta$ increases gradually and the transition from C1 to C2 state starts. Finally, C1 state and C2 state coexists in the cell and form the zigzag defect.



Fig.2.4. Schematic illustration of the geometrical conditions for C1, C2 states. α , θc , and δ are pretilt angle, cone angle, and layer tilt angle. [8]

2.2.2 Zigzag Defect

The resulting chevron structure founded by Rieker *et al.* [7] in 1987 with X-ray scattering method is one of the major obstacles for the application of SSFLC. The most severe problem resulting from chevron structure is the zigzag defect.

Zigzag defects formed when domains with chevrons pointing in opposite directions interspersed as shown in Fig.2.5.(a). Both the top and bottom substrates are treated identically and the rubbing directions of the two alignment films are parallel. C1 is the structure of which the chevron kink is opposite to the rubbing direction; C2 is the structure of which the chevron kink is parallel to the rubbing direction. Zigzag usually shows up with *hairpin defect* and *lightning defect* as illustated in the bottom of Fig.2.5.(a). A thick wall *hairpin defect* is generated in the location where the apexes of C1 and C2 meet. Thin lines of lightning defect are produced where the apex of C1 is far away from the apex of C2. The hairpin defect usually grows along the smectic layer; however the lightning lines are running parallel to the rubbing direction. Zigzag defect severely degrades display device because light leakage occurs at the edge of the zigzags which results in low contrast.



Fig.2.5. (a) The upper figure shows the chevron structure of C1 and C2 states, and the lower one shows the shape of zigzag. (b) Picture of zigzag defect under POM.

2.2.2 Horizontal Chevron Defect

In common LCD production, we usually adopt the rubbing process as the alignment method, which could fix the LC molecule at the surface of alignment layer and force the bulk LC to orient toward rubbing direction. In SSFLC, usually the smectic layer normal k in SmA phase is parallel to the rubbing direction, and after cooling down to SmC* phase the molecule tilt away from the rubbing direction within the fixed layers. But for a material without the SmA phase, the formation and tilting of smectic layer during transition from N* phase to SmC* phase occur irregularly. The smectic layers tilt an angle, which is the tilt angle θ of the molecules, respect to the rubbing direction clockwise or counter-clockwise. This degeneracy of the two layer inclination occurs because the two states have the same lowest energy. In this case, an overall structure shows two spontaneous domains (*multi-domain*) in which the average polarization Ps points into opposite direction, in or out of surface, as Fig.2.6. illustrates.



Fig.2.6. Phase transition and the resulting inclination of smectic layers in the material without SmA phase. (a) A multi-domain structure is formed spontaneously during cooling process. (b) A monodomain structure is obtained by applying a DC field during the phase transistion.

In the multi-domain structure, with application of an electric field, the adjacent domains switch in different ways. As seen in Fig.2.7., the type A domain which only responses to a positive field turns to the bright state when a positive voltage is applied across the cell. On the other hand, when a negative voltage is applied, only the type B domain switches to bright. This multi-domain structure could also be observed in E-O properties, as Fig.2.8. shows. If a bipolar electric signal is sent to the multi-domain cell, both of type A and type B domain would switch on simultaneously.



Fig.2.7. (a) Microscopic pictures of multi-domain cell and its response to electric field. (b) Schematic illustration of two layer structures and corresponding directors.

In Fig.2.8., it shows no bistability, but indicates the existence of multi-domains. Two domains of black and grey were observed under polarizing optical microscope (POM) without any field. Fig.2.7.(b) illustrates the layer directions of the two domains, and n_1 , n_2 indicate the molecule orientation which is slightly different from the rubbing direction [12]. The analyzer is set parallel to the director n_2 , thus domain B appears in black and domain A shows in grey color. The reason why two kinds of directors were generated is explained as follows. When the cell entered the SmC* phase, two directions of smectic layers were formed and tilted respect to the rubbing direction. Upon further cooling to lower temperature, the molecular tilting angle θ tent to increase gradually, however the layers were already fixed at lower temperature. Therefore, two directors n_1 , n_2 were generated, which slightly slipped away from the rubbing direction.



Fig.2.8. The electro-optic response of a multi-domain cell. The applied waveform is a bipolar square wave with $V = \pm 6V$ and f=160 Hz.

In conventional FLC cell, a DC voltage is applied to the liquid crystal only near the transition temperature from N* to SmC* phase to form one type of domain with the Ps in either upward or downward direction. However, by applying the DC voltage for more than several minutes, residual charge generates [41] in the cell, which may reduce the contrast of LCD. Applying the AC field is another way to eliminate the horizontal defect, a triangular wave [13], square wave [12][14], or asymmetric rectangular waveform [15] was used. With the help of electric field, the molecules tend to orient at the same side of the switching cone, therefore there exist only one layer normal direction in the cell. Nevertheless, even if the layers had changed to the same direction, a stripe shape texture was also observed in the cell. These stripes, running almost parallel to the rubbing direction, seemed to bring about some microdomain structure, not a monodomain yet. Although these microdomain were so small that a continuous gray scale switching is possible, there existed light leakage near the domain wall, which reduced the contrast ratio significantly.

2.3 Preliminary Study of Monodomain FLCD

2.3.1 Zigzag Free C1 Structure

As mentioned in section 2.2.1, the C1 state favors relatively high surface pretilt thus it is possible to achieve a zigzag free C1 structure with high pretilt alignment. There are several groups attempted to achieve zigzag free C1 structure, such as Kanbe *et al.* [8][16] from Canon, and Bos *et al.* [17] from Tektronix.

Canon achieved a zigzag free C1 device by applying a high pretilt polyimide alignment film (pretilt angle ~18° [18]). But due to the polar interaction between Ps and alignment layer, which leaded to the Ps pointing into the FLC or into alignment layer, a twisted C1 state appeared in the parallel aligned cell and showed no extinction position [16]. In order to avoid this twisted state, Canon used a cross-rubbing alignment ($\pm 10^\circ$ respect to the rubbing direction) to obtain a high contrast bistable C1 SSFLC device. On the other hand, Bos *et al.* [17] used a high pretilt SiOx alignment (the pretilt is close to the chevron angle in the SmC* phase) to achieve a zigzag free C1 SSFLC that also has a good bistability, and a large memory angle that results in high brightness. However, even though zigzag defects were eliminated, other more subtle defects remained, and the conditions for achieving a defect-free device were not optimized.

2.3.2 Zigzag Free C2 Structure

Several groups (Sharp, JOERS/Alvey, and Thorn/CRL) had been working on low pretilt alignment ($\alpha \leq \theta c$ - δ) in order to achieve zigzag free C2 [19]-[24]. Kobayashi *et al.* [25] also achieved zigzag free C2U by using a specific and very smooth polyimide RN-1199 (from Nissan Chemical), of which the pretilt angle is about 1°. In C2 configuration, it has lower splay-twist elastic deformation energy over C1, which increases with increasing tilt angle θ . Because the director in C2 configuration lies at top/bottom of the switching cone and is nearly parallel to the rubbing direction, it needs a strong anchoring energy to be bound to the surface.

C2 configuration has a number of advantages. First, the surface alignment is relatively simple, for the molecules near the surfaces do not switch but also work with the chevron structure. It is also much simpler to avoid twist state for C2 over C1 [8]. Furthermore, the zigzags induced by mechanical force, which causes a local formation of C1, easily recover by themselves in the C2 configuration [8].

There are some disadvantages in C2 structure though. So far, the low optical contrast in the memory state and the high voltage applied to electronic addressing of the cell (so-called τ V-minimum addressing mode) were known.

2.3.3 Bookshelf Structure

The bookshelf layer structure is the ideal configuration in SSFLC, and the greatest merit of bookshelf structure is its wide memory angle, which is suitable for display applications requiring high contrast and high level of brightness. Although the bookshelf structure has strong merits for display application, it is not easy to obtain the bookshelf layer because of the intrinsic property of the temperature dependence of the tilt angle of FLC materials. So far, there are several approaches to achieve bookshelf layer.

The first one is the AC-field treatment. In this approach, a strong and low frequency AC field is needed to turn the chevron layer structure into a bookshelf structure. In addition, the FLC material requires a strong Ps because layer reconstruction needs sufficient torque, which is the interaction between the electric field and the Ps. Rieger *et al.* [26] presented a 1.9 inch passive matrix FLC panel by using this method. Kitamura *et al.* [27] and Hartmann [28] also developed passive matrix FLCDs using this method.

The second approach is the utilization of a specific material that its tilt angle weakly depends on temperature. It is because the layer shrinkage usually happens to the materials with INAC (Isotropic—Nematic—Smectic A—Smectic C) phase sequence and the tilt angles of such materials usually strongly depend on temperature. Mochizuki *et al.* [29] from Fujitsu Lab synthesized a series of mixtures using naphthalene based FLCs. They reported that an FLC mixture with 40wt% of the naphthalene compound showed a uniform orientation without zigzag defects, but this mixture has a relatively high viscosity. There is another class of FLC material having an INC phase sequence, which is called CDR (Continuous Director Rotation) mode [30] or Half-V shaped FLC [12]. The tilt angles of this CDR type material are weakly dependent on temperature [30], and it is known that a quasi-bookshelf structure is obtained by applying a DC/AC field when the materials cooling down from nematic to SmC* phase.

On the other hand, the 3M group developed a new series of FLC materials, which were partially fluorinated [31]. Those fluorinated materials suppressed the nematic phase and

strongly enhanced the smectic phase. It has relatively low viscosity and no layer shrinkage in SmC* phase, but the birefringence is relatively small which enlarges the optimal cell gap to $\sim 2\mu m$. Canon developed a FLCD prototype based on 3M's FLC materials in 1999 [31].

2.4 Proposed Process with Hybrid Cell

2.4.1 Uni-direction Layer Model

According to the report by Dijon *et al.*, [35] the sign of the polarity on the alignment layer can be determined by using the dissymmetric cell with two different alignment layers on the two substrates. Based on this method, it was found that the surface of ITO and the surfaces treated by HMDS, MAP, PA6, and PVA show positive polarity (S>0). Also, the surfaces of polyimide, SiO2, and the surface treated by cyclohexyldimethylchlorosilane show negative polarity (S<0). The positive sign of surface polarity indicates that, for a positive Ps FLC material, the Ps points toward the FLC, as in Fig.2.9.(a). And a negative sign of surface polarity indicates that the Ps points into the alignment layer, as in Fig.2.9.(b).



Fig.2.9. Illustration of signs of surface polarity. (a) S > 0. (b) S < 0. The FLC material has positive Ps.

Since horizontal chevron with two different layers usually appears in a symmetric cell structure in which same alignment layer is used on both substrates, an asymmetric cell using different alignment layers with opposite sign of surface polarity probably could solve this problem. The basic cell configuration illustrates as in Fig.2.10. The PVA is from Sigma-Aldrich, and polyimide PIA-X201-G01 is from Chisso. We call this configuration as a uni-direction layer model. Because if the direction of Ps is fixed by this model and the FLC director is along the rubbing direction, due to the nature of switching, as shown in Fig.2.11., only one smectic layer should be determined, as in Fig.2.12.



Fig.2.10. The uni-direction layer model consisting of one alignment layer with positive polarity (PVA) and the other one with negative polarity (PI).



Fig.2.11. The switching nature of the FLC used (R3206, from AZ Electronic Materials).



Fig.2.12. A uni-direction layer is formed since the Ps and director are fixed. (a) When the Ps is aligned into the page, a left-leaning layer is obtained. (b) When the Ps is aligned out the





2.5 Summary

We have introduced and discussed the chevron structure related to the SSFLC device. Then, C1, C2 structures were reviewed and the reason for zigzag defect formation and the causes of horizontal chevron defect were also described. After that, different approaches to achieving defect free SSFLC were also reviewed and discussed. Finally, we proposed a novel hybrid cell structure to align the smectic layer without application of electric field.

Chapter 3 Measurement Systems

3.1 Introduction

In this chapter, the measurement setups used in the experiments were described in the following sections. The surface morphology was inspected by AFM. After making an empty cell, the cell gap was measured by interferometric method, which was described in detail in section 3.2.2. We assembled the laser optics system to measure the electro-optical response of the filled SSFLC cell, and the ConoScope was used to evaluate the optical performance, such as contrast ratio and viewing angle.

3.2 Atomic Force Microscope (AFM)

AFM consists of a scanning sharp tip at the end of a flexible cantilever across a sample surface while maintaining a small, constant force. The tips typically have an end radius of 2 nm to 20 nm, depending on tip type. The scanning motion is conducted by a piezoelectric tube scanner which scans the tip in a raster pattern with respect to the sample (or scans to the sample with respect to the tip). The tip-sample interaction is monitored by reflecting a laser off the back of the cantilever into a split photodiode detector. By detecting the difference in the photodetector output voltages, changes in the cantilever deflection or oscillation amplitude are determined. A schematic diagram of this mechanism is depicted in Fig. 3.1.



Fig. 3.1. Concept of AFM and the optical lever.

The two most commonly used modes of operation are contact mode AFM and TappingModeTM AFM, which are conducted in air or liquid environments. Contact mode AFM consists of scanning the probe across a sample surface while monitoring the change in cantilever deflection with the split photodiode detector. A feedback loop maintains a constant cantilever deflection by vertically moving the scanner to maintain a constant photodetector difference signal. The distance the scanner moves vertically at each x, y data point is stored by the computer to form the topographic image of the sample surface. This feedback loop maintains a constant force during imaging, which typically ranges between 0.1 to 100 nN.

TappingMode AFM consists of oscillating the cantilever at its resonance frequency (typically $\sim 300 \ kHz$) and lightly "tapping" on the surface during scanning. The laser deflection method is used to detect the root-mean-square (RMS) amplitude of cantilever oscillation. A feedback loop maintains a constant oscillation amplitude by moving the scanner vertically at every x, y data point. Recording this movement forms the topographical image. The advantage of TappingMode over contact mode is that it eliminates the lateral, shear forces present in contact mode, enabling TappingMode to image soft, fragile, and adhesive surfaces without damaging them, which can be a drawback of contact mode AFM.

3.3 Cell Gap Measurement System

For liquid crystal display, the thickness of cell gap usually affects the optical performance. Especially for SSFLC, the suppressing of helical structure needs a very small cell gap and, in addition, the gap between the two alignment layers would also influence the orientation of FLC. Thus, every time before the infection of FLC we need to measure the empty cell gap, and interferometric method [32] is what we use. The measurement instrument used is UV/Vis spectrometer LAMBDA 650 from Perkin Elmer, and the principle of this method is introduced as below.

The basic concept of the measurement method is based on the interference of light reflected by the two reflecting surfaces. The illustration is as Fig.3.2. R1, a coefficient of reflection, is defined as ratio of the light reflected by surface 1 to the incident light. R2 is the reflection coefficient of surface 2.



Fig.3.2. Two reflecting surfaces separated by a layer causing a light interference. The dotted line indicates the first internal reflection [32].

If the total incident light is $I = \cos \omega t$ and we assume there is no any absorption of light in surface 1 and 2, then we can write the total reflected light R as

$$R = R_1 \cos \omega t + \sum_{k=1}^{\infty} R_1^{k-1} R_2^k (1 - R_1)^{1+k} \cos \omega (t - kt_o)$$
(3-1)

Where $\omega = 2\pi c n_{gap}^{\frac{1}{2}} / \lambda$ and $t_0 = 2d_{gap} n_{gap}^{\frac{1}{2}} / c$, c is the speed of light in the vacuum, λ is

the wavelength, d_{gap} is the thickness of the layer, n_{gap} is the refractive index of the layer. The cosine factor in Eq. (3-1) for k>1 are caused by internal reflections. Since R1<1 and R2<1, the magnitude of the cosine factors for k>1 is much smaller than for k=1. Therefore the internal reflection is chosen to be neglected, so

$$R = R_1 \cos \omega t + (1 - R_1)^2 R_2 \cos \left(\omega t - \frac{4\pi n_{gap} d_{gap}}{\lambda} \right)$$
(3-2)

Thus the reflected spectrum is

$$\left|R(\lambda)\right|^{2} = R_{1}^{2} + \left[\left(1 - R_{1}\right)^{2} R_{2}\right]^{2} + 2R_{1}\left(1 - R_{1}\right)^{2} R_{2} \times \cos\left(4\pi n_{gap} d_{gap} / \lambda\right)$$
(3-3)

The periodic term in Eq.(3-3) causes an interference pattern. The periodicity of the reflected interference spectrum determined the optical thickness of the cell gap, $n_{gap}d_{gap}$.

If
$$\lambda_1$$
 and λ_2 are the two wavelengths showing extrema in Eq.(3-3), then
 $\cos(4\pi n_{gap}d_{gap}/\lambda) = \pm 1$ for $\lambda = \lambda_1$ and $\lambda = \lambda_2$. Therefore
 $2n_{gap}d_{gap} = k_1\lambda_1/2$ (3-4)
 $2n_{gap}d_{gap} = k_2\lambda_2/2$ (3-5)

Where k_1 and k_2 are natural numbers. Suppose $\lambda_1 > \lambda_2$, then

$$k_2 = k_1 + x \tag{3-6}$$

Where x is a natural number.

Based on Eqs.(3-4), (3-5), and (3-6), we can write

$$n_{gap}d_{gap} = \frac{x\lambda_1\lambda_2}{4(\lambda_1 - \lambda_2)}$$
(3-7)

The value of x-1 indicates the number of extrema in $|R(\lambda)|^2$ between the wavelengths λ_1 and λ_2 . It is better to choose the distance x between the two extrema as large as possible for improving the accuracy of the calculation of $n_{gap}d_{gap}$. The sample data was shown in Fig.3.3 for a 5.0 µm cell.



Fig.3.3. The reflection as a function of wavelength using a air gap of $5.0\mu m$.

3.4 Laser Optics Systems

Our laser optics system is as in Fig.3.4. This optical system is responsible for the measurement of electro-optical properties, such as V-T characteristics and response time. First of all, we have to reduce the intensity of laser source within the acceptable range of the photo detector by using a 10% ND filter. Next, the moderate unpolarized light becomes a polarized light after passing through the polarizer and then enters the LC cell. The LC cell, acted as a phase modulator, changes the phase of the incident polarized light by retardation $\Delta n \cdot d$ (Δn is the birefringence of LC, and d is the thickness of LC). Then the modulated light passes through the analyzer and the light output is received by the photo detector. The driving waveform of FLC is written by ourselves and sent by a waveform generator WFG500 (from FLC Electronics AB). The optical output received by the photo detector can be observed with the oscilloscope (from Tektronix) and quantified data can be read by a multimeter (from Keithley).

We use a bipolar square wave with various frequencies, applying on the cell, to measure the response time of the test cell. The V-T curve is measured by using a 0.1 Hz bipolar triangular wave.



Fig.3.4. A schematic diagram of the laser optics system.



Fig.3.5. The schematic diagram of ConoScope.

The ConoScope (autronic-MELCHERS GmbH) can be used for visual performance evaluation, such as luminance, contrast ratio, color shift, gray scale and many characteristics.

The basic operating principle of the ConoScope is described as follows. A typical scanning device (so-called "gonioscopic system") would scan the half cone above the display to detect the variations of luminance and color for each specific direction. Plotting each azimuthal angle on a circle with the radius from the center additionally indicates the polar angle results in the so-called polar coordinate system. Using such a polar plot to mark for each direction luminance and /or color will result in (in the case of color) a colored figure.

An arrangement of several lenses (here represented by single lens) modifies the light propagation directions in a way, that all beams emerging from the sample in the same direction will meet in one spot in the focal plane (or within the "conoscopic figure") corresponds to one specific direction of the viewing cone. The conoscopic figure directly shows color and luminance as they would have been plotted in a polar coordinate system as described under Fig.3.6. In our measurement, we used the collimated illumination as the backlight for the transmissive mode to analyze the luminance, contrast ratio, and light distribution of the FLCD.



Fig.3.6. The function of the ConoScopic lens system.

Chapter 4 Experiments and Results

4.1 Introduction

For the fast sub-millisecond switching FLCD, the major problem degrading the performance is the alignment defects that cause light leakage in the dark state and result in low contrast. In this thesis, three alignment issues that directly relate to the orientation of SSFLC were discussed. One of the issues is the sign of the surface polarity which could probably results in Ps attraction [33] or repulsion; another one is surface roughness which affects the effective surface pretilt [25][34]; the other one is the surface rubbing conditions, that is, rubbing direction and rubbing strength.

As mentioned in the section 2.2.3, the formation of horizontal chevron defect results from the random orientation of Ps which means that layer structures in opposite directions show up randomly. In the following sections, a new hybrid cell structure was applied to effectively orient the Ps and to obtain a uniform uni-direction layer. On the other hand, to achieve a zigzag free orientation the C2 uniform structure is preferred because the alignment is relatively simple and it is much easier to avoid twist state in C2 than in C1 [8]. In C2 state small surface roughness is an essential criteria, thus two methods were tried to improve the surface roughness.

4.1.1 Cell Fabrication Process

The flow chart shown as below is the fabrication process of our liquid crystal cell:



- A. Each piece of glass is rinsed with detergent and rubbed by hands carefully. Then, wash the glass with DI water until the water flowing along the surface smoothly. After that, put the glass loaded with a holder into hot DI water and shake it with ultra sonic for 30 minutes.
- B. Blow the surface of glass with nitrogen gas to rip off the water, and then bake for 1 hour at 110°C. Next, use UV-ozone to treat surface for 10 minutes (that is for better adhesion of alignment layer).
- C. Put the clean ITO glass onto the spin coater. Drop the solution of alignment material (make sure the solution to cover the whole glass), then spin. The procedure of spin-coating is as follows:

	2.5wt%PVA	A	Polyimide		
	Speed	Time		Speed	Time
1st spin	500rpm	10s	1st spin	500rpm	10s
2nd spin	1500rpm	20s	2nd spin	5000rpm	20s

After spin-coating, we need to bake the samples for full adhesion for a short time, which is 30 minutes at 110° C for PVA and 30 minutes at 200° C for polyimide. PVA (Mw 13,000-23,000, 98% hydroldyzed) is from Sigma-Aldrich, and polyimide (PIA-X201-G01) is from Chisso. The film thickness, which is measured by AFM (from Digital Instruments), of 2.5wt% PVA is 1000Å, and the thickness of 50wt% polyimide is about 350Å.

D. Rub the samples with rubbing machine. The rubbing strength is different depending on the LC material, alignment film, and pretilt angle. The rubbing condition used is as below:

PVA		Polyimide		
Pile impression	0.23mm	Pile impression	0.2mm	
Rotation speed	300rpm	Rotation speed	300rpm	
Advancing speed	7.3mm/s	Advancing speed	7.3mm/s	

- E. Drop a small amount of UV glue (NOA-65, from Norland) mixing with 1.6um spacer at the four corner of the bottom plate. Cover the top plate and press. Next, place the cell under a UV lamp for 5 minutes to fully cure the glue, and then an empty cell is done.
- F. Measure the cell gap of an empty cell by spectrometer UV-Vis 650 (from Perkin Elmer) using interferometric method. The details will be explained in section 3.2.4.
- G. Heat up the cell and LC material to the temperature above the clearing point. Inject the LC material from the edge of the cell until the LC is full of the gap.
- H. Anneal the cell carefully with programmable hot plate from high temperature to room temperature. The annealing process depends on the phase sequence of the LC material.
- I. Solder the wire at the ITO contact, and then the test cell is available for a mount of electro-optical measurements.

4.1.2 Measurement of Sign of Surface Polarity

Since we proposed the hybrid cell structure to align Ps in section 2.4, the sign of surface polarity played an important role. In order to check the sign of the surface polarity of

alignment layer, two crossed-rubbing cells were made. One of the cells has PVA coating on both substrates, as in Fig.4.1 (a), and the other one has PI coating on both substrates, as in Fig.4.1 (b). After filling the FLC material with positive Ps into the cross-rubbing cell, a twisted structure was formed. According to the sign of surface polarity, the Ps of molecules at the top and bottom surface directed inward or outward the cell. In addition, based on the switching nature of FLC, a specific layer direction should be observed in the cross-rubbing cell and layer normal of FLC in PVA cell would be perpendicular to one in PI cell. The FLC material used is R3206, with Ps~18 nC/cm². After injecting the FLC and cooling down the cells to room temperature, we found that the layer was formed and the layer normal direction of PVA cell was perpendicular to PI cell, as shown in Fig.4.2. The results matched the assumption in Fig.4.1. According to the results, sign of the surface polarity was confirmed.



Fig.4.1. The illustration of twisted structure in crossed-rubbing (a) PVA cell and (b) PI cell.

R,S represent rubbing direction and sign of surface polarity.



(a)



Fig.4.2. Pictures of the cross-rubbing cells taken by POM. Pictures at the right are the magnified layer structures. (a) Layer structure in PVA cell. (b)Layer structure in PI cell.

4.1.3 Observation of CDR Type FLC Cells

Since the relationship between Ps and the sign of the surface polarity was revealed, the uni-direction layer model could be applied first on the test cell with CDR type FLC. Two FLC materials would be used—R2301 (from Clariant) and R3206 (from AZ Electronic Materials). R2301 has phase sequence, *I* 86.8-84.8 *N** 64.7 *SmC** and R3206 has phase sequence, I 107-105.4 N* 78.4 SmC* -12(-6) Cr. The filling process was as below: First, the FLC material was injected to the hybrid cell by capillary force in isotropic state. Then the test cell was annealed in N* phase for 5 minutes and annealed in SmC* phase for 30 minutes. Finally, the test cell was cooled down to the room temperature.



OFF STATE



ON STATE



After the filling of the two FLC materials, the test cells were observed under polarizing optical microscope (POM) and it was found that a uni-direction layer structure was formed both with R2301 and R3206, as shown in Fig. 4.3. Fig. 4.3 (a)(b) showed the OFF states between cross-polarizer and Fig. 4.3 (c)(d) showed the ON states with application of 100 Hz square wave. As compared with Fig.4.3 (a), the microdomain structure in Fig.4.3 (b) was more obvious, although uni-direction layer was obtained in both cells.

Contrast and viewing angle

To see how much the contrast can be raised by the hybrid cell structure, we have measured the symmetrical cell 71 with R3206, hybrid cell 97 with R3206, symmetrical cell 59 with R2301 and hybrid cell 98 with R2301, as shown in Fig.4.4-Fig.4.7. The symmetrical cell 59 and 71 with horizontal chevron defect showed poor contrast (CR~29 for cell59;CR~18 for cell71). On the other hand, an improved contrast (CR~86) was shown in cell 97 with R3206, and the highest contrast (CR~144) was performed in cell 98 with R2301. As for viewing angle, because of the quasi in-plane switching and small surface pretilt the viewing angle could reach over $\pm 70^{\circ}$.

Besides, the switching mechanism could be observed in conoscopic pictures. In Fig.4.5 and Fig.4.7, we saw the asymmetric light distribution of the ON state which was resulted from a tilted uni-layer structure, as shown in Fig.2.12 (a)(b), leading to an asymmetric switching cone. On the contrary, as shown in Fig.4.4 and Fig.4.6, the light distribution of the ON state showed symmetry which was considered as the averaged switching of two different layers in horizontal chevron. Thus, according to the conoscopic pictures, the uni-layer structure was also confirmed.



Fig.4.4. CR v.s. viewing direction of symmetrical cell 71 with R3206.



Fig.4.5. CR v.s. viewing direction of hybrid cell 97 with R3206.



Fig.4.6. CR v.s. viewing direction of symmetrical cell 59 with R2301.



Fig.4.7. CR v.s. viewing direction of hybrid cell 98 with R2301.

4.1.4 Observation of FGLC Series Cells

The self-made FGLC mixtures [37] show wide smectic C temperature range and good alignment ability. The 2%FGLC-1 mixture shows V-shaped switching and has SmA phase in the phase sequence. We filled 2%FGLC-1 mixture into hybrid (PVA/PI) cell, and the orientation of uni-direction layer was observed under POM as shown in Fig.4.8. Picture at the right is the ON state which is driven by a 100Hz 17V square wave, and the picture at the left is the OFF state under crossed polarizer. Although hybrid cell structure seemed successful on 2%FGLC-1 mixture, we found that after driving for a long time some stripe defect appeared, as shown in Fig.4.9, and the higher the driving voltage was applied the faster the stripe defect showed up. The stripe defect usually grows from the boundary between the two different domains, but sometimes grows from the center of the well-aligned domain. The growing direction of defects is parallel to the rubbing direction. The reason of defect formation will be discussed in section 4.4.



Fig.4.8. The alignment of 2%FGLC-1 in 1.78um hybrid cell. (a) Dark state. (b) Bright state.



Fig.4.9. (a) Before driving. (b) After driving, the stripe defect grows along the rubbing direction during driving.

4.1.5 EO Properties of FGLC Series Cells

To see if the hybrid cell structure affect the electro-optical properties of SSFLC or not, the response time of 2%FGLC-1 in 2um hybrid cell has been measured using various frequencies, as shown in Fig.4.11. Also the response time of 2%FGLC-1 in symmetric cell was listed in Table.4.2. During the measurement of response time, two conditions, as shown in Fig.4.10, were tried: 1) The positive field direction of applied square wave was "parallel" to the Ps direction. 2) The positive field direction of applied square wave was "opposite" to the Ps direction.



Fig.4.10. Response time measurement conditions: (a) The positive field direction of applied square wave is parallel to Ps (b) The positive field direction of applied square wave is

opposite to Ps

The response time of 2%FGLC-1 was found faster in hybrid cell than in symmetric premade cell. And it was found that when the positive field of applied square wave is opposite to the direction of Ps, the fall time would be faster. We supposed that after removing the voltage the director returned to the initial state more quickly because the asymmetric polarity in hybrid cell provided a restoring force. In addition, if the positive field of applied square wave is opposite to the direction of Ps, the rise time would be slower, comparing to cell with positive field of applied square wave parallel to the direction of Ps. It was inferred that because of the asymmetric polarity of the cell, a dragging force slowed down the switching when field opposite to the direction of Ps was applied.

Table.4.1. Response time of 2%FGLC-1 in 2μm premade cell driven by 1kHz 30V square wave. Both of the two alignment films in premade cell are the same.

Material	Response time	
	Rise time(τ_{10-90})	Fall time(\u03c6 ₉₀₋₁₀)
2%FGLC-1	680us	1.1ms



Fig.4.11. Response times for the (a) rising process and the (b) falling process of 2%FGLC-1 in 2µm hybrid cell driven by a 30V bipolar square wave with various frequency. Blue dot is the data measured when the direction of positive electric field is opposite to the direction of Ps. Pink square is the data measured when the direction of Ps.

4.2 Improvement of Surface Roughness

To fulfill the criterion of C2 uniform state, it is known that the pretilt angle of the alignment film must be small [38]. However, even if the pretilt angle of alignment film is small, a uniform C2 structure would still not be obtained with a rough surface because the

local surface variation changes the surface pretilt [25][34]. H. Furue *et al.* obtained a zigzag free C2 structure by using a very smooth alignment film [25]. Based on this concept, we wondered to achieve a smooth and uniform alignment surface by coating an additional layer which could flatten the surface and has alignment ability.

4.2.1 High T_g LC Coating

The first material we used was oligoflourene [39], F(Pr)5F(MB)2, which has a high glass transition temperature, T_g , at 149 °C and a nematic-to-isotropic transition temperature, T_c , at 366 °C. The coating of high T_g LC had two purposes: 1) since oligoflourene could achieve monodomain [39], the well aligned surface would probably provide a smooth surface. 2) Liquid crystal with high T_g could preserve its alignment at temperature higher than the nematic-to-isotropic temperature of the FLC materials. Thus, the alignment of oligoflourene would maintain during FLC filling process.

Thin film of oligofluorene was prepared by spincoating from 0.25 wt% solution in chloroform at a spin rate of 1500 rpm. The oligofluurene film coated on rubbed polyimide had thickness about 20nm to 30nm. Afterward, annealing at a temperature slightly above T_g was performed to well align the molecules. In Fig.4.12 the surface roughness was reduced due to additional layer coating. The UV-ozone treatment is needed to rip off the residual organics on the surface and to enhance the adhesion of alignment layer when coating. After coating PI, the surface mean roughness was reduced from 5.917nm to 0.692nm. Moreover, the coating of oligoflourene reduced the mean roughness to 0.345nm. The surface morphology was measured by Atomic Force Microscope (AFM).



Fig.4.12. The surface morphology of layer (a) with no treatment, (b) treated by UV-ozone, (c) coating with polyimide after UV-ozone treatment, and (d) coating with oligoflourene on rubbed polyimide.

We used the oligoflourene-coated substrate to replace one side or both sides of the cell and filled the FLC materials. The cell was observed by POM and it seemed the alignment was improved somewhere, as shown in Fig.4.13 (a). However, it was found that a part of the FLC materials would dissolve oligoflourene and mixed together, which destroyed the alignment as shown in Fig.4.13 (b). The reason of the mixing may be the π - π interaction between benzene rings of the FLC material and oligoflourene.





(b)

Fig.4.13. Pictures of alignment of FLC in oligoflourene coated cell. (a) The area which had better alignment. (b) Mixing of oligoflourene and FLC.

4.2.2 Photo-reactive LC coating

To avoid the dissolution between FLC material and the additional layer, we chose a photo-reactive LC 90519 (from ITRI, Taiwan) as the additional coating layer. The photo-reactive LC 90519 is sensitive at 365nm and dissolves in toluene. After coating 90519 on rubbed PI, the substrate was annealed at 90°C for 1 minute for drying. Then the substrate was exposed under UV irradiation with intensity of 8mW/cm^2 for 1 minute under N₂ atmosphere. The 90519 was first aligned by rubbed PI and cross-linked by unpolarized UV exposure. The bonding fixed the molecules not to dissolve in the FLC materials. After the preparation of substrates, the surface mean roughness of 90519 of different concentration was measured by AFM, as shown in Fig.4.14, and the thickness was also measured, as shown in

Fig.4.15. The surface of 2wt% 90519 was smoother than one of 3wt% and its surface roughness reached the lowest 0.699 nm. As for 1wt% 90519 coating, the insufficient concentration resulted in a broken surface which produced a higher roughness. After making a FLC cell, no mixing happened in the cell. However, alignment was not improved because the surface was not smooth enough.



Fig.4.14. The surface roughness of various percentages of 90519.



Fig.4.15. Trend of thickness regarding to different concentration. The red curve is 2nd order polynomial fitting curve.

4.3 Influence of Surface Rubbing Conditions

4.3.1 Rubbing Direction

Generally speaking, regarding to the alignment by rubbing method, most people adopt parallel rubbing, that is, same rubbing directions for both substrates, as shown in Fig.. Since the chevron structure was introduced in parallel rubbing cell, we wondered that if the rubbing direction was opposite, as shown in Fig.4.16, would a uniform layer structure be formed or not. It was found that antiparallel rubbing hardly obtained a well aligned domain but produced lots of stripe defects over the whole cell, as shown in Fig.4.17.



Fig.4.16. Alignment directions: (a) parallel rubbing (b) antiparallel rubbing



Fig.4.17. Alignment of antiparallel rubbing cell with 2%FGLC-1.

4.3.2 Rubbing Strength

Rubbing is the alignment method adopted in this research, which is generally carried out by moving the substrate with a constant velocity under a rotating roller covered with a velvet cloth. The rubbing force are varied with the rubbing process parameters such as the roller diameter and the rotation speed, the substrate advancing speed, number of rubbings, and the pile impression (the depth of the rubbing cloth pressed down by roller). The rubbing strength (RS) defined by Seo *et al.* [38] is as following

$$RS = NM \left(2\pi rn / V - 1 \right)$$

Where N is the number of rubbings (N=1 in our experiments), M is the depth of the deformed fibers of the cloth (mm), n is the rotation speed of the roller ($300/60 \text{ s}^{-1}$), V is the advancing speed of the substrate (7.3 mm/s), and r is the radius of the roller (22.5 mm). The RS is given in mm unit.

In order to find the best alignment condition, we changed several depths of pile impression for PVA and PI surface. Because the alignment defect appearing in nematic phase would transmit to the alignment in SmC* phase, a monodomain is required in nematic phase. In Fig.4.18, three cells with different rubbing strength showed their alignment during cooling process. The upper pictures were the alignment in N* phase, and pictures at the bottom were the alignment in SmC* phase. Within this experiment, it was found that the pile impression was very critical and the best pile impression was 0.20mm for PI and 0.23mm for PVA. A little change would lead to poor alignment, as shown in Fig.4.18. The tolerance of the pile impression might less than 0.02mm.



Fig.4.18. The alignment of 2%FGLC-1 in 1.6µm PVA cell due to different depth of pile impression. (a)0.19mm; (b)0.23mm; (c)0.25mm.

4.4 Discussions

The hybrid cell succeeded in achieving uni-direction layer structure with R2301 and R3206. However, the hybrid cell failed with 2%FGLC-1 mixture due to the stripe defect. The appearance of stripes indicated that the layer orientation may be unstable. The reason for the formation of the stripes was inferred as the following: the smectic layer in V shaped switching generally is perpendicular to the rubbing direction (see Fig.4.19 (a)), not tilted as shown in the uni-direction model in Fig.4.19 (c), but the smectic layer was forced to tilt due to the asymmetry of surface polarity. It was referred that the inconsistency of layer structure leads to the stripe defects after switching. The CDR type FLC, such as R2301 and R3206, was stable after driving because of the consistency between its layer structure, as shown in Fig.4.19 (b) and the layer structure in our proposed model.



To eliminate the horizontal chevron defect, application of electric field, either DC or AC, was commonly used during the phase transition temperature. However the residual charge problem and the difficulty to apply the electric field in the mass manufacturing process make this method impractical. On the contrary, no residual charge happened in our proposed model because no external field was applied. Simple process which only needs spin coating is also more convenient.

The hybrid cell was proved successful on the layer control with CDR type FLC cells. However, there were still some bright dots in both cells and microdomain structure in the cell with R3206, as shown in Fig.4.3 (b). To reveal this phenomenon we heated up the cell to isotropic state and observed the cell using POM during the cooling process. After temperature cycling test as shown in Fig.4.20, it was found that when the cell entering the chiral smectic C phase, the microdomain showed up and became more clearly with the lowering temperature. The cause of this microdomain structure was still unknown and should be studied in future works.



Fig.4.20. Hybrid cell with R3206 during temperature cycling test. (a) at 80°C in nematic phase. (b) at 70°C just entering the SmC* phase . (c) at 50°C . (d) at 30°C .

4.5 Summary

A well controlled layer structure can be successfully achieved in the proposed hybrid cell with CDR type FLC, and the highest contrast was almost ten times of the symmetric cell. In addition, FLC material in hybrid cell also performed shorter response time than in symmetric cell, and an even faster response speed was achieved when the positive field of applied square wave was parallel to the direction of Ps. However, the V mode 2%FGLC-1 mixture showed strip defects in hybrid cell due to layer inconsistency.

To improve the surface roughness, we tried the high $T_g LC$ coating first, and the surface

roughness was reduced successfully. However, the mixing of FLC and high T_g LC destroyed the alignment. Afterward, we chose a photo-reactive LC, which would crosslink itself after UV irradiation, to fix the molecules not to dissolve in FLC materials. But the surface of the photo-reactive LC was not flat enough and even rougher than the original PI surface.

In the case of rubbing condition, parallel rubbing is of the choice since antiparallel rubbing performed poor alignment. As for rubbing strength, the LC alignment is sensitive to the pile impression in very thin cell, thus the rubbing strength should be carefully controlled. Within this experiment, it was found that the best pile impression was 0.20mm for PI and 0.23mm for PVA.



Chapter 5 Conclusions

5.1 Summary

The research of this thesis aimed on the control of the layer defects in SSFLC device. We focused on the causes of defects and tried to find approaches for these problems. We proposed a uni-direction layer model to eliminate the horizontal chevron defect in SSFLC cells, and it was successful in half-V shaped FLC materials R2301 and R3206. For R2301, the contrast ratio was improved from 29 to 144. As for R3206, the contrast ratio was improved from 18 to 86. In order to achieve a C2 uniform state, coating oligoflourene or photo-reactive LC on rubbed PI were tried to reduce the surface roughness. The thin film of oligoflourene reduced the surface roughness, but however the mixing of FLC and high T_g LC destroyed the alignment. Afterward, the photo-reactive LC, which would crosslink itself after UV irradiation, fixed the molecules not to dissolve in FLC materials, but the surface of the photo-reactive LC was not flat enough to achieve C2 uniform structure. As for rubbing condition, an anti-parallel rubbing produced a worse alignment than parallel alignment, and the rubbing strength should be carefully controlled in order to obtain a well aligned domain.

5.2 Future Works

Although the hybrid cell structure successfully aligned the Ps, the mechanism of the interaction between the FLC monolayer near surface and the alignment layer with different sign of surface polarity is still not clear. How the surface attracts or repulses the Ps is needed

to be deeply studied. Thus, the magnitude of surface polarity and surface free energy of alignment films need to be measured and calculated. A comparison of FLC materials having large Ps and small Ps in the hybrid cell structure could also be made to further understand how Ps affects the alignment and EO response. As for surface roughness improvement, we need to look for other materials which possess smooth surface and do not dissolve in FLC materials. Therefore, photo-reactive LC is still considered as a candidate as long as the crosslinked surface is smooth enough.



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