# 國立交通大學 光電工程研究所 碩士論文

比較傳統與簡化的傳送器用來產生歸零-差分四 元相位移鍵/振幅移鍵 標籤信號的效能評估

Performance evaluation of an RZ-DQPSK/ASK label signal generated by a simple and conventional transmitter

研究生:蔡昇祐指導教授:陳智弘老師

中華民國九十五年七月

# 比較傳統與簡化的傳送器用來產生歸零-差分四 元相位移鍵/振幅移鍵 標籤信號的效能評估

## Performance evaluation of an RZ-DQPSK/ASK label signal generated by a simple and conventional transmitter

研究生	:蔡昇祐	Student : Shen-You Tsai
指導教授	:陳智弘 老師	Advisor : Assistant Prof. Jyehong Chen

國立交通大學 光電工程研究所 碩士論文

A Thesis Submitted to Institute of Electro-Optical Engineering College of Electrical Engineering and Computer Science National Chiao Tung University In Partial Fulfillment of the Requirements For the Degree of Master

In

Institute of Electro-Optical Engineering July 2006 Hsinchu, Taiwan, Republic of China

中華民國九十五年七月

#### 致謝

#### **ACKNOWLEDGEMENTS**

一轉眼兩年的碩士生涯終於要劃上句點了,很感謝在許多人的幫忙和協助才 能完成這篇碩士論文。

首先要感謝我的指導教授陳智弘老師帶領我進入光通訊這個博大森淵的領 域,使我對光通訊有了初步的了解,同時在他亦師亦友的教導下,也學習到很多 待人接物和求學應有的態度,讓我渡過愉快的兩年碩士生涯。另外特別要感謝彭 煒仁學長的指導才能使我完成這篇碩士論文,在他細心和不厭其煩的教導,以及 實驗期間他不斷容忍我所犯的過錯給我鼓勵,如果沒有彭煒仁學長的耐心指導相 信今天這篇論文無法順利誕生。還有魏嘉健學長無論是在學業及論文的研究上也 給了我許多的幫助,常常很多遇到的難題在請教他之後都能迎刃而解。

同時還有許許多多陪伴我一起渡過這難忘的兩年碩士生涯,像是之前畢業的 學長重佑、小強和小鐵跟著他們一起運動一起吃飯每天在實驗室都很愉快的渡 過,或著陪伴著我一起修課的同學小雨、鐘响和人豪能夠互相討論課業和一同實 驗,以及五樓的其他學長們都是陪伴我渡過短暫難忘的兩年碩士生涯,真的很感 謝大家。

最後要感謝我父親和母親從小栽培我支持我,讓我無後顧之憂的完成學業。 要感謝的人實在太多,總之,感謝大家。

i

# 比較傳統與簡化的傳送器用來產生歸零-差分四元相位移鍵/振幅移鍵

### 標籤信號的效能評估

學生:蔡昇祐 指導教授:陳智弘 老師

#### 國立交通大學光電工程研究所碩士班

#### 摘要

多重層次的調變如差分四元相位移鍵重新引起注意是因爲他可增進頻譜效率在光纖通訊系統上。

在這篇論文中我們提供一種簡單而且價格縮減的方式來產生歸零-差分四元 相位移鍵/振幅移鍵標籤信號,只需要兩個雙重驅動 Mach-Zehnder 調變器就可以 完成。首先我們將第一個雙重驅動 Mach-Zehnder 調變器偏壓位置在 Vπ/2 及驅動訊 號大小 Vπ 如此可用來取代兩個調變器所產生的歸零-差分四元相位移鍵,再來我 們使用混和器來混合正弦波與低速率的標籤信號再將混和後的訊號載入第二個 雙重驅動 Mach-Zehnder 調變器如此可用來取代波形切割器與振幅移鍵調變器。最 後本論文會比較傳統歸零-差分四元相位移鍵/振幅移鍵標籤信號傳送器及簡化歸 零-差分四元相位移鍵/振幅移鍵標籤信號傳送器的效能。

# Performance evaluation of an RZ-DQPSK/ASK label signal generated by a simple and conventional transmitters

Student : Shen-You Tsai

Advisor : Dr. Jyehong Chen

Institute of Electro-Optical Engineering National Chiao Tung University

#### Abstract

Multilevel modulation signals like differential quadrature phase-shift keying (DQPSK) have received renewed attention to improve the spectral efficiency of a lightwave communication system.

In this thesis, we provide a simple and cost effective method to generate DQPSK payload/ASK label signal which only needs two dual-drive Mach-Zehnder modulator (DD-MZM). First, we use bias position ( $bias=V\pi/2$ ) and drive signal of dual-drive Mach-Zehnder modulator to generated DQPSK signal which replaced two Mach-Zehnder modulator. Second, we also used mixer to mix the sinwave and the low bit rate label data into Mach-Zehnder modulator replace pulse carver and ASK modulator. Finally, the thesis evaluate performance of conventional DQPSK/ASK label transmitter and dual-drive DQPSK/ASK label signal transmitter.

#### **CONTENTS**

Acknowledgements	i
Chinese Abstract	. ii
English Abstract	iii
Contents	iv
List of Figures	vi
List of Tables	ix
CHAPTER 1 Introduction	
1.1 Motivation	1
1.2 Label swapping application for packet switch network	2
1.3 New scheme to generate DQPSK payload/ASK label signal	3
CHAPTER 2 DQPSK payload/ASK label signal	
2.1 Mach-Zehnder modulator	4
2.2 Differential quadrature phase shift keying (DQPSK)	5
2.2.1Convention optical DQPSK transmitter	7
2.2.2 Variety of dual-drive DQPSK signals	8
2.2.3 Two level drive DQPSK transmitter	.10
2.2.4 Transition of two level drive DQPSK	12
2.2.5 Eye spreading of two level drive DQPSK	.15
2.3 Pulse carver for RZ-DQPSK	.16
2.4 DQPSK demodulation	.18
2.5 Structure of DQPSK/ASK label	.20
2.5.1 Simple RZ-DQPSK/ASK Label transmitter	22
CHAPTER 3 Experiment setup and result (DQPSK)	
3.1 Dual-Drive DOPSK experiment setup	23

3.1 Dual-Drive DQPSK experiment setup	
3.2 Spectrum of DQPSK demodulation	

3.3 Sensitivity of Dual-drive DQPSK	26
3.3.1 DD NRZ DQPSK	26
3.3.2 DD 33%RZ DQPSK	27
3.3.3 DD 50%RZ DQPSK	29
3.3.4 DD 67%RZ DQPSK	30
3.3.5 Transmission penalty of dual-drive DQPSK	32
3.4 Timing misalignment of dual-drive DQPSK	34
3.5 Convention DQPSK experiment setup	38
3.6 Sensitivity of convention DQPSK	39
3.6.1 Convention NRZ DQPSK	39
3.6.2 Convention 33%RZ DQPSK	41
3.6.3 Convention 50%RZ DQPSK	42
3.6.4 Convention 67%RZ DQPSK	44
3.6.5 Transmission penalty of convention DQPSK	45
3.7 Timing misalignment of convention DQPSK	47
3.8 Result & discussion (RZ-DQPSK)	51
3.8.1 BER penalty	51
3.8.2 Timing misalignment tolerance	52
CHAPTER 4 Experiment setup and result (DQPSK payload/AS	SK
label)	
4.1 Dual-Drive DQPSK/ASK label measurement	54
4.2 Sensitivity of dual-drive DQPSK/ASK label	55
4.3 Convention DQPSK/ASK label experiment setup	57
4.4 Sensitivity of convention DQPSK/ASK label	58
4.5 Result & discussion (DQPSK payload/ASK label)	60

#### CHAPTER 5 Conclusions

#### LIST OF FIGURES

- Fig. 1.1. System architecture for DQPSK/ASK label signal
- Fig. 1.2. Structure of RZ-DQPSK/ASK label signal transmitter and router
- Fig. 2.1. The Mach-Zehnder modulator having two intensity trimmers
- Fig. 2.2. the DPSK transmitter and DPSK modulation
- Fig. 2.3. (a) QPSK modulation (b) in-phase stream and quadrature stream
- Fig. 2.4 The structure of an optical DQPSK system
- Fig. 2.5. Eye diagram of the drive signal and output intensity
- Fig. 2.6. Two-level drive signals used one dual drive Mach-Zehnder modulator
- Fig. 2.7. The symbol constellation of DQPSK signal
- Fig. 2.8. The symbol constellation with electric field locus of a dual-drive transmitter
- Fig. 2.9. The symbol position of Mach-Zehnder modulator transfer function
- Fig. 2.10. The five kind power fluctuates of symbol transition
- Fig. 2.11. Eye spreading of the two-level eye spreading
- Fig. 2.12. Three commonly used ways of pulse carving by applying MZM-based pulse carver
- Fig. 2.13. The optical intensity and phase waveforms (50% RZ, 33% RZ and 67% RZ)
- Fig. 2.14. Typical balanced DQPSK receiver
- Fig. 2.15. The constellation of zero and one determined method
- Fig. 2.16. system architecture for DQPSK/ASK label signal
- Fig. 2.17. The RZ-DQPSK/ASK label signal transmitter and router setup
- Fig. 2.18. The simple RZ-DQPSK/ASK label signal transmitter
- Fig. 3.1. Dual-Drive DQPSK signal experiment setup
- Fig. 3.2. (a) eye diagram of MZM electrical driver (b) eye diagram of NRZ-DQPSK signal
- Fig. 3.3. The spectrum of DQPSK signal demodulation

- Fig. 3.4. Eye diagram for DD NRZ-DQPSK demodulation
- Fig. 3.5. BER of DD NRZ-DQPSK
- Fig. 3.6. Eye diagram for DD 33% RZ-DQPSK demodulation
- Fig. 3.7. BER of DD 33% RZ-DQPSK
- Fig. 3.8. Eye diagram for DD 50% RZ-DQPSK demodulation
- Fig. 3.9. BER of DD 50% RZ-DQPSK
- Fig. 3.10. Eye diagram for DD 67% RZ-DQPSK demodulation
- Fig 3.11. BER of DD 67% RZ-DQPSK

Fig 3.12. Eye diagram of DD DQPSK after 80km transmission

- Fig. 3.13. BER in the back to back case and after transmission over 80km (DD DQPSK)
- Fig. 3.14. Power penalty for DD DQPSK signal measured as a function of timing delay
- Fig. 3.15. Time delay eye diagrams of DD 33% RZ-DQPSK signal
- Fig. 3.16. Time delay eye diagrams of DD 50% RZ-DQPSK signal
- Fig. 3.17. Time delay eye diagrams of DD 67% RZ-DQPSK signal
- Fig. 3.18.. Convention DQPSK signal experiment setup
- Fig. 3.19. Eye diagram for convention NRZ-DQPSK demodulation
- Fig. 3.20. BER of convention NRZ-DQPSK

Fig. 3.21. Eye diagram for convention 33% RZ-DQPSK demodulation

- Fig. 3.22. BER of convention 33%RZ-DQPSK
- Fig. 3.23. Eye diagram for convention 50% RZ-DQPSK demodulation
- Fig. 3.24. BER of convention 50%RZ-DQPSK
- Fig. 3.25. Eye diagram for convention 67% RZ-DQPSK demodulation
- Fig. 3.26. BER of convention 67% RZ-DQPSK
- Fig. 3.27. Eye diagram of convention DQPSK after 80km transmission

Fig. 3.28. BER in the back to back case and after transmission over 80km (convention DQPSK)

Fig. 3.29. Power penalty for convention DQPSK signal measured as a function of timing delay

Fig. 3.30. Time delay eye diagrams of convention 33% RZ-DQPSK signal

Fig. 3.31. Time delay eye diagrams of convention 50% RZ-DQPSK signal

Fig. 3.32. Time delay eye diagrams of convention 67% RZ-DQPSK signal

Fig. 3.33. The BER curve (dual-drive DQPSK versus convention DQPSK)

Fig. 3.34. Amplitude jiter influences the vectorial sketch map of demodulation

Fig. 3.35. Eye diagram of dual-drive NRZ-DQPSK and convention NRZ-DQPSK (emphasize amplitude)

Fig. 3.36. Transition period influences timing misalignment tolerance

Fig. 3.37. Eye diagram of dual-drive NRZ-DQPSK and convention NRZ-DQPSK (emphasize transition period)

Fig. 4.1 Dual-drive DQPSK/ASK label signal experiment setup

Fig. 4.2 Eye diagrams of dual-drive DQPSK for payload without and with label erasure

Fig. 4.3. BER of dual-drive DQPSK payload/ASK label and pure payload

Fig. 4.4. Convention DQPSK/ASK label signal experiment setup

Fig. 4.5. Eye diagrams of convention DQPSK for payload without and with label erasure

Fig. 4.6. BER of convention DQPSK payload/ASK label and pure payload

Fig. 4.7. EAM incomplete to dispel label

#### List of Tables.

Table 5-1. Performance evaluation of Dual-Drive DQPSK and Convention DQPSK transmitters

## Chapter 1 Introduction

#### **1-1 motivation**

Phase-shift keying (PSK) for fiber-optic data transmission first attracted significant attention around 1990. Most of these early experiments were focused on coherent optical communications, with the main emphasis being the receiver sensitivity. For practical applications, however, PSK requires precise alignment of the transmitter and demodulator center frequencies, which was difficult to achieve at the low data rates in the early1990s. The capacity of fiber-optic transmission, on the other hand, increased dramatically during the same time period. The introduction of wavelength-division multiplexing (WDM) for data transmission provided a new direction for increasing the system capacity, in addition to increasing the data rate per wavelength channel. For example, the channel data rate of commercially deployed systems has improved from 2.5 Gb/s in the mid-1990s to 40 Gb/s today, and the number of wavelength channels has reached, enabling a multiterabit system in a single fiber. As a result, the spectral efficiency (SE) of fiber-optic communications has improved significantly, from a very low SE of single channel transmission around 1990 to 0.4 bit/s/Hz for the current commercial system, and even higher in research experiments. Concurrent to the rapid expansion of fiber capacity, the unregenerated reach of fiber-optic transmission has also increased dramatically, mainly driven by the desire to achieve a transparent all-optical network and ultimately reduce the cost of data transmission.

Multilevel modulation signals like differential quadrature phase-shift keying (DQPSK) have received renewed attention to improve the spectral efficiency of a lightwave

communication system. Those demonstrations of DQPSK signals usually have a spectral efficiency more than 1 b/s/Hz. One of the recent demonstrations by achieves a distance of 6400 km for 64 channels of a 12.5-Gb/s DQPSK data stream. The spectral efficiency can be up to 2.5 b/s/Hz for system with polarization multiplexing.

#### **1-2** Label swapping application for packet switch network

Future Internet routers will need optical label switching to route and forward a massive number of packets per second independently of IP packet length and payload bit rate. Orthogonal amplitude shift keying /differential quadrature phase shift keying (IM/DQPSK) optical label has been proposed as a competing scheme to sub-carrier multiplexed optical label due to its compact spectrum, simple label swapping and remarkable scalability to high bit rates.

At the ingress edge router, the incoming IP packets are assigned an amplitude shift keying (ASK) label, orthogonally modulated to the RZ-DQPSK payload. The packet switched network architecture requires the optical label to be swapped during the routing process to establish an appropriate optical path through the transmission fiber network, as shown in the Fig. 1-1.



Fig 1-1 System architecture for DQPSK/ASK label signal

The high speed packet data is in DQPSK format, while the low speed label is written

with a low extinction ratio amplitude shift keying format. At network nodes, the label read by detecting and low pass filter some fraction of the signal. The label on the routed signal can then be erased and rewritten using an intensity modulator. At the packet destination, the data is read using a DQPSK receiver.



#### 1-3 New scheme to generate DQPSK payload/ASK label signal

Fig 1-2 Structure of RZ-DQPSK/ASK label signal transmitter and router

1896

The RZ-DQPSK/ASK label signal transmitter and label router setup is shown Fig 1-2 However, to implement such an ASK/RZ-DQPSK orthogonal modulation format, three cascaded optical modulators are required for phase encoding, pulse carving and label impressing, an arrangement that is extremely costly and difficult to manage due to the size and the electronic components required in each modulator. In addition, the heritage loss is usually so high that two EDFAs will be needed in the transmitting end. This thesis proposes a simple and elegant method to generate ASK/RZ-DQPSK signal which used the two dual-drive Mach-Zehnder modulator. The first Mach-Zehnder modulator generates NRZ-DQPSK. The second Mach-Zehnder modulator is used to impress the label data and perform pulse carving. First, the sin wave mix the low bit rate label data used mixer into Mach-Zehnder modulator before.

## Chapter 2 DQPSK payload/ASK label signal

#### 2-1 Mach-Zehnder modulator

At bit rates of 10 Gb/s or higher, the frequency chirp imposed by direct modulation becomes large enough that direct modulation of semiconductor lasers is rarely used. For such high-speed transmitters, the laser is biased at a constant current to provide the CW output, and an optical modulator placed next to the laser converts the CW light into a data-coded pulse train with the right modulation format. The most commonly used Mach-Zehnder external modulators are based on LiNbO3 (lithium niobate) technology, therefore this section will deal only with these types of devices.

The linear electro-optic effect that is used to produce the phase changes in the branches of the Mach-Zehnder modulator is known as the "Pockels-effect". For LiNbO3, the application of an electric field results in a change in the refractive index and therefore varies the phase of the propagating light. The strength of this electro-optic effect is dependent on the direction of the applied electric field and the orientation of the LiNbO3 crystal. Hence, the electrode placement and configuration is a critical design issue.



Fig 2-1 The Mach-Zehnder modulator having two intensity trimmers

Fig 2-1 shows a Mach-Zehnder modulator having two intensity trimmers in the arms. By using the trimmers, we can compensate the amplitude imbalance due to fabrication errors, where the intensity trimmers can be also constructed by MZ structures.

The most general case is a dual-drive modulator with two possibly independent drive signals. Where the dual-drive modulator formula is:

$$E = E_0 \left\{ \frac{1}{2} e^{j\Delta\phi_L} + \frac{1}{2} e^{j\Delta\phi_2 L} \right\} = E_0 \cdot \cos\left(\frac{\Delta\phi_1 - \Delta\phi_2}{2} \cdot L\right) \cdot e^{j\frac{\Delta\phi_1 + \Delta\phi_2}{2} \cdot L}$$
(1)

$$power = \left|E_0\right|^2 \cos^2\left(\frac{\Delta\phi_1 - \Delta\phi_2}{2} \cdot L\right)$$
(2)

phase – modulation: 
$$e^{j\frac{\Delta\phi_1 + \Delta\phi_2}{2} \cdot L}$$
 (3)

amplitude – modulation: 
$$\cos\left(\frac{\Delta\phi_1 - \Delta\phi_2}{2} \cdot L\right)$$
 (4)

# 2-2 Differential quadrature phase shift keying (DQPSK)

In the case of PSK (phase shift keying) format, the optical bit stream by modulating the phase while the amplitude and the frequency of the optical carrier are kept constant. For binary PSK, the phase takes two values, commonly chosen to be 0 and  $\pi$ . The PSK formats carry the information in the optical phase itself. Due to the lack of an absolute phase reference in direct-detection receivers, the phase of the preceding bit is used as a relative phase reference for demodulation. This results in differential phase shift keying (DPSK) formats, which carry the information in optical phase *changes* between bits.

The commonly used DPSK transmitter setups are shown in Fig 2-2. The transmitters consist of a continuously oscillating laser followed by one external modulator, typically based on LiNbO3 technology. Phase modulation can either be performed by a Mach–Zehnder modulator.



Fig 2-2 the DPSK transmitter and DPSK modulation

There have been a number of applications that have been propose and demonstrated for PSK. One of these is to increase spectral efficiency through the use of multilevel signaling is DQPSK. The basic principle of optical DQPSK (differential quadrature phase shift keying) modulation is to represent each couple of two bits (so called "dibit") of the information sequence to be transmitted by optical phase differences between consecutive symbols taking values into  $\left\{-\frac{\pi}{2}, 0, \frac{\pi}{2}, \pi\right\}$ . Each transmitted symbol therefore corresponds to two bits of information, meaning that the symbol rate (in baud) is equal to half of the

bit rate (B, in bit/s).

The Fig 2-3 illustrates the partitioning of a typical pulse stream for DQPSK modulation. Fig 2-3(a) shows the original data stream  $d_k(t) = d_0, d_1, d_2, ...$  consisting of bipolar pulses; that is, the values of  $d_k(t)$  binary one and zero, respectively. The pulse stream is divided into an in-phase stream,  $d_1(t)$ , and a quadrature stream,  $d_0(t)$ , illustrated in Fig 2-3(b), as follows:

$$d_{I}(t) = d_{0}, d_{2}, d_{4}, \dots \quad (even \quad bit)$$
  
$$d_{Q}(t) = d_{1}, d_{3}, d_{5}, \dots \quad (odd \quad bit)$$

Note that  $d_{I}(t)$  and  $d_{Q}(t)$  each have half the bit rate of  $d_{k}(t)$ .



Fig 2-3 (a) QPSK modulation (b) in-phase stream and quadrature stream





Fig 2-4 The structure of an optical DQPSK system

The most widely used implementation of a DQPSK transmitter is shown in Fig 2-4.

The transmitter consists of two parallel DPSK modulators that are integrated together in order to achieve phase stability (a serial arrangement is also possible, and has been used in experimental demonstrations).

The electric field of DPSK signal at the modulator output is

$$e(t) = \frac{1}{2} \left\{ \exp\left[\frac{j\pi}{2}\phi(t)\right] - \exp\left[-\frac{j\pi}{2}\phi(t)\right] \right\}$$
(6)

Now consider, t he electric field of QPSK signal at the modulator output is

$$E(t) = e_{1}(t) + je_{2}(t)$$

$$= \frac{1}{2} \left\{ \exp\left[\frac{j\pi}{2}\phi_{1}(t)\right] - \exp\left[-\frac{j\pi}{2}\phi_{1}(t)\right] \right\}$$

$$+ j\frac{1}{2} \left\{ \exp\left[\frac{j\pi}{2}\phi_{2}(t)\right] - \exp\left[-\frac{j\pi}{2}\phi_{2}(t)\right] \right\}$$

$$= \sin\left[\frac{\pi}{2}\phi_{1}(t)\right] + \cos\left[\frac{\pi}{2}\phi_{2}(t)\right]$$

$$\phi(t) \text{ is the normalized binary drive signal}$$

$$\phi_{n}(t) = \sum_{k=-\infty}^{+\infty} b_{n(k)}p(t-kT)$$
(8)

Where  $b_{n(k)} = \pm 1$  is the transmitted random data stream, p(t) is the pulse shape of the drive signal, n=1 and 2, and T is the bit interval of the data. The receiver essentially consist of two DPSK receivers, although the phase difference in the arms of the delay interferometers is now set to  $+\pi/4$  and  $-\pi/4$ . Whose differential delay is equal to the bit period. The benefit of DQPSK is that, for the same data rate, the symbol rate is reduced by a factor of two.

#### **2-2.2** Variety of dual-drive DQPSK signals

A DQPSK signal can also be generated using a conventional dual-drive Mach-Zehnder modulator. The dual-drive Mach-Zehnder modulator consists of two phase modulators that can be operated independently. The Fig 2-5 shows the three kinds of method to generate DQPSK signals and the conventional method to generate DQPSK signals, which are the eye diagram of the drive signal and output intensity of the DPQSK transmitter. The fig2-5(a) is used with two two-level drive signals having a peak to peak drive voltage of  $2V_{\pi}$ . The fig 2-5 (b), (c) and (d) separately represent to drives the dual-drive Mach-Zehnder modulator with a four-level drive signal, two-level drive signal and three-level drive signal. The peak to peak drive voltage is reducing from  $1.5V_{\pi}$  for four-level signal to  $V_{\pi}$  for two- and three- level drive signals. The output intensity of conventional transmitter has optical intensity ripples between consecutive symbols. With two or three levels of drive signal, the output intensity of the dual-drive Mach-Zehnder modulator also has ripples between consecutive symbols.



Fig 2-5 Eye diagram of the drive signal and output intensity, where (a) the conventional transmitter and dual-drive transmitter (b) four-, (c) two-, and (d) three-level drive signals

#### **2-2.3** Two level drive DQPSK transmitter

We focused how to generate DQPSK signals which used two-level drive signals at this thesis. The Fig 2-6 shows the input data streams and bias point of the dual-drive Mach-Zehnder modulator to generate DQPSK signals. First, a continuous wave is externally modulated by a dual-drive Mach-Zehnder modulator. Biased at the quadrature, the two arms of the MZM are fed by two independent data streams, V<sub>1</sub> and V<sub>2</sub>, and each with peak to peak amplitude (V<sub>pp</sub>) equals to the switching voltage,  $V_{\pi}$ .



Fig 2-6 Two-level drive signals used one dual drive Mach-Zehnder modulator

The output electrical field can be written as:

$$E_{out} = E_{in} \cos\left(\frac{V_1 - V_2 + V_b}{2V_{\pi}}\pi\right) e^{j\left(\frac{V_1 + V_2 + V_b}{2V_{\pi}}\pi\right)}$$
(9)

Where  $V_b = V_{\pi}/2$  is the biased voltage.

Now we consider four cases ( the input data1 is zero and data2 is zero, the input data1 is zero and data2 is one, the input data1 is one and data2 is zero and the input data1 is one and data2 is one ) in two independent data streams.

The case 1 (0, 0)

$$E_{out} = E_{in} \cos\left(\frac{0 - 0 + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right) e^{j\left(\frac{0 + 0 + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right)} = E_{in} \cos\frac{\pi}{4} e^{j\frac{\pi}{4}}$$
(10)

The case 2 (0, 1)

$$E_{out} = E_{in} \cos\left(\frac{0 - V_{\pi} + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right) e^{j\left(\frac{0 + V_{\pi} + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right)} = E_{in} \cos\left(-\frac{\pi}{4}\right) e^{j\frac{3\pi}{4}}$$
(11)

The case 3 (1, 0)

$$E_{out} = E_{in} \cos\left(\frac{V_{\pi} - 0 + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right) e^{j\left(\frac{V_{\pi} + 0 + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right)} = E_{in} \cos\frac{3\pi}{4} e^{j\frac{3\pi}{4}}$$
(12)  
The case 4 (1, 1)  
$$E_{out} = E_{in} \cos\left(\frac{V_{\pi} - V_{\pi} + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right) e^{j\left(\frac{V_{\pi} + V_{\pi} + \frac{V_{\pi}}{2}}{2V_{\pi}}\pi\right)} = E_{in} \cos\frac{\pi}{4} e^{j\frac{5\pi}{4}}$$
(13)



Fig 2-7 the symbol constellation of DQPSK signal

According to those examples, the (0, 0) case is at the first coordinates, the (1, 0) case is at

the second coordinates, the (0, 1) case is at the third coordinates and the (1, 1) case is at the forth coordinates. The Fig 2-7 illustrates the symbol constellation of DQPSK signal, which has four phases depending on the input signal V<sub>1</sub> and V<sub>2</sub>.

#### 2-2.4 Transition of two level drive DQPSK

The two-level scheme has overshoot ripples doubling the output intensity. Those variations of electric field are equivalent to frequency chirp. The output intensity of two-level scheme has five kind of power fluctuates that show in Fig 2-5(c). This thesis provides two kind of methods to discuss how to happen overshoot ripples when symbol change to another symbol. First, the Fig 2-8 shows the symbol constellation with electric field locus of a dual-drive transmitter.



Fig 2-8 the symbol constellation with electric field locus of a dual-drive transmitter

When symbol (0, 0) change to symbol (1, 1), the electric field locus follow route 1 to arrive symbol (1, 1) simultaneously, vice versa. The output intensity of DQPSK signal is constant power at transition state. When the symbol (0, 0) change to (0, 1), the electric field locus follow route 2 to arrive symbol (0, 1) simultaneously, vice versa. When the symbol (1, 1) change to (0, 1), the electric field locus follow route 3 to arrive symbol (0, 1)simultaneously, vice versa. The output intensities of route 2 and route 3 will increase to maximum value at transition state. When the symbol (0, 0) change to (1, 0), the electric field locus follow route 4 to arrive symbol (1, 0) simultaneously, vice versa. When the symbol (1, 1) change to (1, 0), the electric field locus follow route 5 to arrive symbol (1, 0) simultaneously, vice versa. The output intensities of route 4 and route 5 will reduce to minimum value at transition state. When the symbol (0, 1) change to (1, 0), the electric field locus follow route 6 to arrive symbol (1, 0) simultaneously. The output intensities will reduce to minimum value then increase to maximum value at transition state. When the symbol (1, 0) change to (0, 1), the electric field locus follow route 6 to arrive symbol (0, 1) simultaneously. The output intensities will increase to maximum value then reduce to minimum value at transition state. When symbol does not change its state, the output intensity is constant power at transition state.

We also can use symbol position of Mach-Zehnder modulator transfer curve that shows in Fig 2-9. Since the symbol transition passes through the minimum and the maximum of the transfer curve, the power fluctuates around the symbol edge owing to the rise-fall time of the drive signal.



Fig 2-9 The symbol position of Mach-Zehnder modulator transfer function

The symbol (0, 0) and symbol (1, 1) position were at  $V_{\pi}/2$  of Mach-Zehnder modulator transfer curve, so that the output intensities are constant power at transition state of symbol (0, 0) to symbol (1, 1) or symbol (1, 1) to symbol (0, 0). The symbol (0, 1) position is at  $-V_{\pi}/2$  of Mach-Zehnder modulator transfer curve, so that the output intensities will increase to maximum value at transition state of symbol (0, 0), symbol (1, 1) to symbol (0, 1) or symbol (0, 1) to symbol (0, 0), symbol (1, 1). The symbol (1, 0) position is at  $3V_{\pi}/2$  of Mach-Zehnder modulator transfer curve, so that the output intensities will reduce to minimum value at transition state of symbol (0, 0), symbol (1, 1) to symbol (1, 0) or symbol (1, 0) to symbol (0, 0), symbol (1, 1). The symbol (0, 1) to symbol (1, 0) or symbol (1, 0) to symbol (0, 0), symbol (1, 1). The symbol (0, 1) to symbol (1, 0) or symbol (1, 0) to symbol (0, 0), symbol (1, 1). The symbol (0, 1) to symbol (1, 0) will follow transfer curve which increase to maximum value then reduce to minimum value at transition state. Adversely, the symbol (1, 0) to symbol (0, 1) also will follow transfer curve which reduce to minimum value then increase to maximum value at transition state.



Fig 2-10 the five kind power fluctuates of symbol transition

There are sixteen kind of symbol transition which have five kind of power fluctuates

that shows in Fig 2-10. The overshoot ripples between symbols will influence NRZ-DQPSK signal quality so that the dual-drive Mach-Zehnder modulator pulse carver will change NRZ-DQPSK to RZ-DQPSK signal.

#### 2-2.5 Eye spreading of two level drive DQPSK

The ripple of the drive signal transfer to the optical signal. With the conventional transmitter, no amplitude ripple of the drive signal transfers to the phase ripple. Even when the drive signal has a large ripple, the intensity ripple of the transmitted signal is compressed by the nonlinear transfer function of Mach-Zehnder modulator. For the NRZ-DQPSK signal, the ripples from the drive signal may be increase by the Mach-Zehnder modulator. This section will discuss and calculate optical eye spreading of NRZ-DQPS. The eye spreading is defined as  $\Delta_e = (\delta_1 + \delta_2)/d$ , where  $\delta_1$  and  $\delta_2$  are the spreading in the upper and lower level, and d is the high of the eye diagram, the Fig 2-11 shows eye spreading of the two-level eye spreading.



Fig 2-11 eye spreading of the two-level eye spreading

Assume 
$$\delta_1 = \delta_2 \rightarrow \delta = \Delta_e d/2$$
 (14)

Consider bias point is  $V_b = d/2$  into  $P = E_e^2 \cos^2(\frac{\delta + V_b}{2V_{\pi}}\pi)$  (15)

$$\rightarrow P = E_e^2 \cos^2\left(\frac{\Delta_e d/2 + d/2}{2d}\pi\right)$$
$$\rightarrow P = E_e^2 \cos^2\left(\frac{\Delta_e + 1}{4}\pi\right)$$

$$\rightarrow P = E_e^2 \left( \frac{1 + \cos(\frac{\Delta_e + 1}{2}\pi)}{2} \right) \rightarrow \cos(\frac{\Delta_e + 1}{2}\pi) = \cos(\frac{\Delta_e}{2}\pi)\cos(\frac{\pi}{2}) - \sin(\frac{\Delta_e}{2}\pi)\sin(\frac{\pi}{2})$$

$$\rightarrow \cos(\frac{\Delta_e + 1}{2}\pi) = -\sin(\frac{\Delta_e}{2}\pi)$$

$$(16)$$

Assume  $-\sin(\frac{\Delta_e}{2}\pi) = -\frac{\Delta_e}{2}\pi$ 

$$\to P = E_e^2 \left(\frac{1 - \frac{\Delta_e}{2}\pi}{2}\right) = E_e^2 \left(\frac{1}{2} - \frac{\Delta_e}{4}\pi\right)$$
(17)

Because 1/2 is influence of bias point, we don't consider this item.

$$\rightarrow P_{\delta} = -E_e^2 \frac{\Delta_e}{4} \pi$$

Now NRZ eye spreading is defined as:  

$$\Delta_{onrz} = \frac{2(-E_e^2 \frac{\Delta_e}{4} \pi)}{\frac{E_e^2}{2}} = -\Delta_e \pi$$
(18)

After calculating, the NRZ-DQPSK eye spreading  $\Delta_{nrz}$  will simplify to  $\Delta_{e}\pi$ 

#### **2-3** Pulse carver for RZ-DQPSK

Since DQPSK carries information in the phase of the optical signal, optical phase distortions (such as chirp) will have a severe impact on DQPSK receiver performance. At the transmitter, phase distortions may be caused by imperfect pulse carvers.

In order to operate chirp-free, a dual-drive MZM pulse carver has to have infinite DC extinction, and has to work in perfect push-pull operation, i.e., the sinusoidal drive amplitudes have to be of the same amplitude and of opposite phase. The Fig. 2-12 shows three commonly used ways of pulse carving by applying a sinusoidal drive signal to a



MZM-based pulse carver. The resulting RZ duty cycles are 33%, 50%, and 67%.

Fig 2-12 Three commonly used ways of pulse carving by applying MZM-based pulse carver

Three important facts are evident from the optical intensity and phase waveforms shown in Fig 2-13: First, when sinusoidally carving at the data rate (50% RZ), the residual optical phase variations are identical for each bit, while they are different for adjacent bits when carving at half the data rate (33% and 67% RZ). Since it is the difference between the optical phases of two adjacent bits that is used to decode DPSK signals at the receiver, higher degradations due to pulse carver chirp are found for 33% and 67% duty cycle RZ-DQPSK than for 50% RZ-DQPSK. Second, we see from the opposite phase curvatures (50% and 67%) or slope (33%) that chirp due to finite DC extinction ratios of the MZM can partially be compensated by imbalancing the drive amplitudes. Third, we notice that for 33% RZ a drive-signal amplitude imbalance leads to linear phase transitions (i.e., to optical frequency shifts) at pulse center, while a drive-signal phase error produces a phase offset at pulse center. Since pure bit-alternating frequency offsets do not disturb the phase difference between adjacent bits at pulse center (where the intensity is highest, and thus the contribution to the demodulated signal is largest), a higher tolerance is found for drive amplitude imbalance than for drive phase errors in the case of 33% RZ. For 67% RZ, the situation is opposite, and we find a higher tolerance to

drive phase errors than to drive amplitude imbalance.



Fig 2-13 the optical intensity and phase waveforms (50% RZ, 33% RZ and 67% RZ)

ALLINA.

#### **2-4** DQPSK demodulation

A typical balanced DQPSK receiver is shown in Fig. 2-14. The receiver essentially consist of two DPSK receivers, although the phase difference in the arms of the Mach-Zehnder delay-interferometer (DI) is now set to  $+\pi/4$  and  $-\pi/4$ . The optical signal is first passed through a Mach-Zehnder delay-interferometer (DI), whose differential delay is equal to the bit period. This optical preprocessing is necessary in direct-detection receivers to accomplish demodulation, since photodetection is inherently insensitive to the optical phase; a detector only converts the optical signal power into an electrical signal. In a direct-detection DQPSK receiver, the DI lets two adjacent bits interfere with each other its output ports. This interference leads to the presence (absence) of power at a DI output port if two adjacent bits interfere constructively (destructively) with each other.



Fig 2-14 typical balanced DQPSK receiver

Thus, the preceding bit in a DQPSK-encoded bit stream acts as the phase reference for demodulating the current bit. (Note that in the case of coherent detection, this phase reference can be provided by a local laser within the receiver, which beats with the received signal to produce constructive and destructive interference.) Ideally, one of the DI output ports is adjusted for *destructive* interference in the absence of phase modulation ("destructive port"), while the other output port then automatically exhibits constructive interference due to energy conservation ("constructive port"). For the same reason, the two DI output ports will carry identical, but logically inverted data streams under DQPSK modulation.

Now we consider DI how to determine 0 and 1, that the RZ-DQPSK signal through Mach-Zehnder delay-interferometer. The optical signal is first passed through a Mach-Zehnder delay-interferometer whose differential delay is equal to the bit period and does not delay bit will rotate  $+\pi/4$  and  $-\pi/4$ . The intensity of two bit through Mach-Zehnder delay-interferometer compose vector will decide the zero and one The Fig 2-15 shows the constellation of zero and one determined method. Assume into Mach-Zehnder delay-interferometer signal sequence is the symbol (0, 1) and the symbol

(0, 0). That rotated  $+\pi/4$  and  $-\pi/4$  represents the transmitter input two kind of data, data1 and data2.



The Fig 2-15 the constellation of zero and one determined method (a) rotated  $-\pi/4$ , the composed vector is zero, (b) rotated  $+\pi/4$ , the composed vector is one

Every symbol change to another symbol has sixteen case of symbol changing totally. The constellation do not consider phase, the large compose vectors represent one and the large compose vectors represent zero.

#### 2-5 Structure of DQPSK/ASK Label and

Future Internet routers will need optical label switching to route and forward a massive number of packets per second independently of IP packet length and payload bit rate. Orthogonal amplitude shift keying /differential quadrature phase shift keying (ASK/DQPSK) optical label has been proposed as a competing scheme to sub-carrier multiplexed optical label due to its compact spectrum, simple label swapping and remarkable scalability to high bit rates.

At the ingress edge router, the incoming IP packets are assigned an amplitude shift keying (ASK) label, orthogonally modulated to the RZ-DQPSK payload. The packet switched network architecture requires the optical label to be swapped during the routing process to establish an appropriate optical path through the transmission fiber network, as shown in the Fig. 2-16.



Fig 2-16 system architecture for DQPSK/ASK label signal

The high speed packet data is in DQPSK format, while the low speed label is written with a low extinction ratio amplitude shift keying format. At network nodes, the label read by detecting and low pass filter some fraction of the signal. The label on the routed signal can then be erased and rewritten using an intensity modulator. At the packet destination, the data is read using a DQPSK receiver. The RZ-DQPSK/ASK label signal transmitter and label router setup is shown Fig 2-17.



Fig 2.17 The RZ-DQPSK/ASK label signal transmitter and router setup

#### 2-5.1 Simple RZ-DQPSK/ASK Label transmitter

However, to implement such an ASK/RZ-DQPSK orthogonal modulation format, three cascaded optical modulators are required for phase encoding, pulse carving and label impressing, an arrangement that is extremely costly and difficult to manage due to the size and the electronic components required in each modulator. In addition, the heritage loss is usually so high that two EDFAs will be needed in the transmitting end. This thesis proposes a simple and elegant method to generate ASK/RZ-DQPSK signal which used the two dual-drive Mach-Zehnder modulator. The first Mach-Zehnder modulator generates NRZ-DQPSK. The second Mach-Zehnder modulator is used to impress the label data and perform pulse carving. First, the sin wave mix the low bit rate label data used mixer into Mach-Zehnder modulator before. Thus the pulse will include ASK label signal is shown the Fig 2-18.

Transmitter



Fig 2-18 The simple RZ-DQPSK/ASK label signal transmitter

### **Chapter 3**

### **Experiment setup and result (DQPSK)**

#### **3-1 Dual-Drive DQPSK experiment setup**



The Fig 3-1 Dual-Drive DQPSK signal experiment setup

The experimental setup is shown in the Fig 3-1, which mainly contains three part: payload transmitter, transmission fiber, and payload receiver. The RZ-DQPSK transmitter consists of continuously oscillating laser at 1546.96nm, two external dual-drive Mach-Zehnder modulators. The first modulator generates a 20Gbit/s NRZ-DQPSK signal. Biased at  $V_{\pi}/2$ , two independent electrical data streams, which is driven by 10Gbit/s (PRBS 2<sup>15</sup>-1) NRZ data stream individually. The second modulator generates a 10GHz RZ pulse train with 33% (The modulator is biased at the peak of its transmission curve and differentially driven at twice the switching voltage with an AC-coupled half-bitrate 5GHz sine wave), 50% (The modulator is biased at the voltage with an AC-coupled 10GHz sine wave) and 67% (The modulator is biased at the null point of its transmission curve and differentially driven at twice the switching voltage with an AC-coupled half-bitrate 5GHz sine wave)duty cycle. A tunable optical delay line is inserted in between the two modulators to synchronize the pulse train and the 20Gbit/s data. There is place boot amplifier before the transmission fiber and control appropriate power.

The transmission span consists of 82km standard singlemode fiber with matching length of 14.564km dispersion compensating fiber (DCF) in a post compensation scheme. The fiber loss of the singlemode fiber and the dispersion compensating fiber is 16.54dB and 6.18dB, respectively.

The RZ-DQPSK receiver consists of pre-amplifier, the optical band pass filter, two integrated Mach-Zehnder delay interferometer (the phase difference in the arms of the Mach-Zehnder delay-interferometer (DI) is now set to  $+\pi/4$  and  $-\pi/4$ ), the photo detector, and the BER tester. The bandwidth of optical band pass filter is 40GHz. The payload is then input to an integrated Mach-Zehnder delay interferometer to demodulate the RZ-DQPSK signal. The length difference between the two arms of the MZDI is corresponding to 100ps delay. The signal at the output of MZDI is detected by photo detector and input to a 10Gbit/s BER test.



The Fig 3-2 (a) eye diagram of MZM electrical driver (b) eye diagram of NRZ-DQPSK signal

The Fig 3-2(b) shows the eye diagram of NRZ-DQPSK signal. We measure optical eye spreading to compare with the eye spreading using electrical eye spreading calculated value.

Using

 $\Delta_e = (\delta_1 + \delta_2) / d = (0.4 + 0.4) / 5 = 16\%$ 

 $\Delta_{onrz} = -\Delta_e \pi = 0.16*3.14 = 50.02\%$ 

The measure value is corroding to calculated value.

 $\Delta_{\it onrz} = 0.789 \, / \, 1.575 = 0.5 = 50\%$ 

#### **3-2 Spectrum of DQPSK demodulation**



Fig 3-3 the spectrum of DQPSK signal demodulation

The Fig 3-3 shows the spectrum of DQPSK signal after Mach-Zehnder
delay-interferometer demodulation. The phase is set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose constructive port is 45° and destructive port is -135°. Thus the phase is set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose constructive port is 45° and destructive port is 135°. The two ports (constructive and destructive) of Mach-Zehnder delay-interferometer are differing 180°, which like the Fig 2-14 shown.

#### **3-3 Sensitivity of Dual-drive DQPSK**

#### **3-3.1 DD NRZ DQPSK**

The Fig 3-4 shows eye diagram for NRZ-DQPSK demodulation. The Fig 3-4(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is135°. The Fig3-4(b) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135°.

The Fig 3-5 shows the BER curves for NRZ-DQPSK in the back to back case. The sensitivity of 135° case is -24dBm, and the sensitivity of -135° case is -24.8dBm. There are differing about 0.8dB penalty. After averaging, the sensitivity of NRZ-DQPSK in the back to back case is -24.3dBm.



The Fig 3-4 Eye diagram for DD NRZ-DQPSK demodulation



The Fig 3-6 shows eye diagram for 33% RZ-DQPSK demodulation. The Fig 3-6(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is 135° and the Fig 3-6(b) constructive port is -45°. The Fig 3-6(c) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135° and the Fig 3-6(d) constructive port is 45°.

The Fig 3-7 shows the BER curves for 33% RZ-DQPSK in the back to back case. The sensitivity of 135° case is -29.1dBm, the sensitivity of -45° case is -29.2dBm, the sensitivity of -135° case is -28.9dBm, and the sensitivity of 45° case is -29.6dBm. The worst case (-135) and the best case (45) are differing maximum value which plenty is

about 0.9dB. After averaging, the sensitivity of 33% RZ-DQPSK in the back to back case is -29.11dBm.



Fig 3-7 BER of DD 33% RZ-DQPSK

#### 3-3.3 DD 50%RZ DQPSK

The Fig 3-8 shows eye diagram for 50% RZ-DQPSK demodulation. The Fig 3-8(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is 135° and the Fig 3-8(b) constructive port is -45°. The Fig 3-8(c) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135° and the Fig 3-8(d) constructive port is 45°.

The Fig 3-9 shows the BER curves for 50% RZ-DQPSK in the back to back case. The sensitivity of 135° case is -28.1dBm, the sensitivity of -45° case is -28.1dBm, the sensitivity of -135° case is -28.2dBm, and the sensitivity of 45° case is -28dBm. The worst case (-135) and the best case (45) are differing maximum value which plenty is about 0.2dB. After averaging, the sensitivity of 50% RZ-DQPSK in the back to back case is -28.1dBm.



The Fig 3-8 Eye diagram for DD 50% RZ-DQPSK demodulation



The Fig 3-10 shows eye diagram for 67% RZ-DQPSK demodulation. The Fig 3-10(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is 135° and the Fig 3-10(b) constructive port is -45°. The Fig 3-10(c) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135° and the Fig 3-10(d) constructive port is 45°.

The Fig 3-11 shows the BER curves for 67% RZ-DQPSK in the back to back case. The sensitivity of 135° case is -27.3dBm, the sensitivity of -45° case is -27.1dBm, the sensitivity of -135° case is -26.7dBm, and the sensitivity of 45° case is -27.3dBm. The worst case (-135) and the best case (45and 135) are differing maximum value which

plenty is about 0.6dB. After averaging, the sensitivity of 67% RZ-DQPSK in the back to back case is -27dBm.



Fig 3-11 BER of DD 67% RZ-DQPSK

#### **3-3.5** Transmission penalty of dual-drive DQPSK

The eye diagrams through 80km transmission are shows the Fig3-12. The Fig 3-13 shows the BER curves in the back to back case and after transmission over 80km. For a NRZ-DQPSK signal, the back-to-back sensitivity is -25.5dBm and the transmission sensitivity is -25.5dBm. For a 33%RZ-DQPSK signal, the back-to-back sensitivity is -29.3dBm and the transmission sensitivity is -28.6dBm. The penalty is 0.6dB. For a 50%RZ-DQPSK signal, the back-to-back sensitivity is -28.8dBm and he transmission sensitivity is -28.6dBm. The penalty is 0.2dB. For a 67%RZ-DQPSK signal, the back-to-back sensitivity is -28.6dBm. The penalty is -28.6dBm. The penalty of NRZ and 67% cases are 0dB.



The Fig 3-12 Eye diagram of DD DQPSK after 80km transmission



#### **3-4 Timing misalignment of dual-drive DQPSK**

The timing misalignment causes phase discontinuity at the pulse shift and make the eye diagram of the received signal closed. The Fig 3-14 shows power penalty for 33%, 50% and 67% RZ-DQPSK signal measured as a function of timing delay form the optimal condition that offers continuous- phase modulation. For 33%RZ-DQPSK case, the margin to keep the penalty less than 3dB (at BER =10<sup>-9</sup>) was more than 37ps. For 50%RZ-DQPSK case, the margin to keep the penalty less than 3dB (at BER =10<sup>-9</sup>) was more than 29ps. For 67%RZ-DQPSK case, the margin to keep the penalty less than 3dB (at BER =10<sup>-9</sup>) was more than 22ps. We can find the 33% case that has the highest tolerant to the timing misalignment; it is not required to strictly design the length and stabilities of feeder lines for the clock and data signal.



Fig 3-14 power penalty for DD DQPSK signal measured as a function of timing delay

The Fig 3-15 shows the eye diagrams of 33% RZ-DQPSK signal which measures as a function of timing delay ( from left to right ).



Fig 3-15 Time delay eye diagrams of DD 33% RZ-DQPSK signal

The Fig 3-16 shows the eye diagrams of 50% RZ-DQPSK signal which measures as a function of timing delay ( from left to right ).



Fig 3-16 Time delay eye diagrams of DD 50% RZ-DQPSK signal

The Fig 3-17 shows the eye diagrams of 67% RZ-DQPSK signal which measures as a function of timing delay ( from left to right ).



Fig 3-17 Time delay eye diagrams of DD 67% RZ-DQPSK signal





DQPSK Receiver

The Fig 3-18 convention DQPSK signal experiment setup

The experimental setup is shown in the Fig 3-18, which mainly contains three part: payload transmitter, transmission fiber, and payload receiver. The RZ-DQPSK transmitter consists of continuously oscillating laser at 1546.96nm, three external dual-drive Mach-Zehnder modulators. The first and second modulator generates a 10Gbit/s NRZ-DPSK signal  $\{0, \pi\}$  which is driven by 10Gbit/s (PRBS 2<sup>15</sup> – 1) NRZ

data stream individually and phase of the second modulator rotate  $\pi/2\left\{\frac{\pi}{2},-\frac{\pi}{2}\right\}$ . Two

DPSK signal combine to become DQPSK signal. The third modulator generates a 10GHz RZ pulse train with 33% (The modulator is biased at the peak of its transmission curve and differentially driven at twice the switching voltage with an AC-coupled half-bitrate 5GHz sine wave), 50% (The modulator is biased at the linear range of its transmission curve and differentially driven at twice the switching voltage

with an AC-coupled 10GHz sine wave) and 67% (The modulator is biased at the null point of its transmission curve and differentially driven at twice the switching voltage with an AC-coupled half-bitrate 5GHz sine wave)duty cycle. A tunable optical delay line is inserted in between the two modulators to synchronize the pulse train and the 20Gbit/s data. There is place boot amplifier before the transmission fiber and control appropriate power.

The transmission span consists of 82km standard singlemode fiber with matching length of 14.564km dispersion compensating fiber (DCF) in a post compensation scheme. The fiber loss of the singlemode fiber and the dispersion compensating fiber is 16.54dB and 6.18dB, respectively.

The RZ-DQPSK receiver consists of pre-amplifier, the optical band pass filter, two integrated Mach-Zehnder delay interferometer (the phase difference in the arms of the Mach-Zehnder delay-interferometer (DI) is now set to  $+\pi/4$  and  $-\pi/4$ ), the photo detector, and the BER tester. The bandwidth of optical band pass filter is 40GHz. The payload is then input to an integrated Mach-Zehnder delay interferometer to demodulate the RZ-DQPSK signal. The length difference between the two arms of the MZDI is corresponding to 100ps delay. The signal at the output of MZDI is detected by photo detector and input to a 10Gbit/s BER test.

#### **3-6 Sensitivity of convention DQPSK**

#### **3-6.1 Convention NRZ DQPSK**

The Fig 3-19 shows eye diagram for NRZ-DQPSK demodulation. The Fig 3-19(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is135°. The Fig 3-19(b) is the phase set to  $+\pi/4$  of Mach-Zehnder

delay-interferometer whose destructive port is -135°.

The Fig 3-20 shows the BER curves for NRZ-DQPSK in the back to back case. The sensitivity of 135° case is -27.3dBm, and the sensitivity of -135° case is -27.25dBm. There are differing about 0.05dB penalty. After averaging, the sensitivity of NRZ-DQPSK in the back to back case is -27.15dBm.



(a) Eye diagram (135°) for NRZ-DPQSK demodulation

(b) Eye diagram (-135°) for NRZ-DPQSK demodulation



The Fig 3-19 Eye diagram for convention NRZ-DQPSK demodulation

Fig 3-20 BER of convention NRZ-DQPSK

#### **3-6.2 Convention 33%RZ DQPSK**

The Fig 3-21 shows eye diagram for 33% RZ-DQPSK demodulation. The Fig 3-21(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is 135° and the Fig 3-21(b) constructive port is -45°. The Fig 3-21(c) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135° and the Fig 3-21 (d) constructive port is 45°.

The Fig 3-22 shows the BER curves for 33% RZ-DQPSK in the back to back case. The sensitivity of 135° case is -30.7dBm, the sensitivity of -45° case is -30.75dBm, the sensitivity of -135° case is -30.75dBm, and the sensitivity of 45° case is -31.1dBm. The worst case (135) and the best case (45) are differing maximum value which plenty is about 0.4dB. After averaging, the sensitivity of 33% RZ-DQPSK in the back to back case is -30.8dBm.



The Fig 3-21 Eye diagram for convention 33% RZ-DQPSK demodulation



The Fig 3-23 shows eye diagram for 50% RZ-DQPSK demodulation. The Fig 3-23(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is 135° and the Fig 3-23(b) constructive port is -45°. The Fig 3-23(c) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135° and the Fig 3-23(d) constructive port is 45°.

The Fig 3-24 shows the BER curves for 50% RZ-DQPSK in the back to back case. The sensitivity of 135° case is -30.2dBm, the sensitivity of -45° case is -29.8dBm, the sensitivity of -135° case is -30.2dBm, and the sensitivity of 45° case is -30dBm. The worst case (-45) and the best case (135 and -135) are differing maximum value which plenty is about 0.4dB. After averaging, the sensitivity of 50% RZ-DQPSK in the back to back case is -30 dBm.



Fig 3-24 BER of convention 50%RZ-DQPSK

#### **3-6.4 Convention 67%RZ DQPSK**

The Fig 3-25 shows eye diagram for 67% RZ-DQPSK demodulation. The Fig 3-25(a) is the phase set to  $-\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is 135° and the Fig 3-25(b) constructive port is -45°. The Fig 3-25(c) is the phase set to  $+\pi/4$  of Mach-Zehnder delay-interferometer whose destructive port is -135° and the Fig 3-25(d) constructive port is 45°.

The Fig 3-26 shows the BER curves for 67% RZ-DQPSK in the back to back case. The sensitivity of 135° case is -28.8dBm, the sensitivity of -45° case is -29.5dBm, the sensitivity of -135° case is -28.8dBm, and the sensitivity of 45° case is -29.1dBm. The worst case (-135 and 135) and the best case (-45) are differing maximum value which plenty is about 0.6dB. After averaging, the sensitivity of 67% RZ-DQPSK in the back to back case is -29dBm.



The Fig 3-25 Eye diagram for convention 67% RZ-DQPSK demodulation



The eye diagrams through 80km transmission are shows the Fig3-27. The Fig 3-28 shows the BER curves in the back to back case and after transmission over 80km. For a NRZ-DQPSK signal, the back-to-back sensitivity is -27dBm and the transmission sensitivity is -27dBm. For a 33%RZ-DQPSK signal, the back-to-back sensitivity is -31dBm and the transmission sensitivity is -30.4dBm. The penalty is 0.6dB. For a 50%RZ-DQPSK signal, the back-to-back sensitivity is -30.2dBm and he transmission sensitivity is -29.9dBm. The penalty is 0.3dB. For a 67%RZ-DQPSK signal, the back-to-back sensitivity is -29.3dBm and he transmission sensitivity is -29.3dBm. The penalty of NRZ and 67% cases are 0dB.



after 80km transmission

The Fig 3-27 Eye diagram of convention DQPSK after 80km transmission



Fig 3-28 BER in the back to back case and after transmission over 80km (convention DQPSK)

#### **3-7 Timing misalignment of convention DQPSK**

The timing misalignment causes phase discontinuity at the pulse shift and make the eye diagram of the received signal closed. The Fig 3-29 shows power penalty for 33%, 50% and 67% RZ-DQPSK signal measured as a function of timing delay form the optimal condition that offers continuous- phase modulation. For 33%RZ-DQPSK case, the margin to keep the penalty less than 3dB (at BER =10<sup>-9</sup>) was more than 44ps. For 50%RZ-DQPSK case, the margin to keep the penalty less than 3dB (at BER =10<sup>-9</sup>) was more than 37ps. For 67%RZ-DQPSK case, the margin to keep the penalty less than 3dB (at BER =10<sup>-9</sup>) was more than 31ps. We can find the 33% case that has the highest tolerant to the timing misalignment; it is not required to strictly design the length and stabilities of feeder lines for the clock and data signal.



Fig 3-29 Power penalty for convention DQPSK signal measured as a function of timing delay

The Fig 3-30 shows the eye diagrams of 33% RZ-DQPSK signal which measures as a function of timing delay ( from left to right ).



Fig 3-30 Time delay eye diagrams of convention33% RZ-DQPSK signal

The Fig 3-31 shows the eye diagrams of 50% RZ-DQPSK signal which measures as a function of timing delay ( from left to right ).



Fig 3-31 Time delay eye diagrams of convention 50% RZ-DQPSK signal

The Fig 3-32 shows the eye diagrams of 67% RZ-DQPSK signal which measures as a function of timing delay ( from left to right ).



Fig 3-32 Time delay eye diagrams of convention 67% RZ-DQPSK signal

#### **3-8 Result & discussion (RZ-DQPSK)**

#### **3-8.1 BER penalty**

The Fig 3-33 shows the BER curve (dual-drive DQPSK versus convention DQPSK) the penalty is 2.9dB for NRZ case and 2dB for 33%, 50%, and 67% case.



Fig 3-33 the BER curve (dual-drive DQPSK versus convention DQPSK)

We can know to Fig3-34 that will cause vectorial synthetic vectorial size two to differ, such a result is diminishing too in eye spreading coming out in DI demodulation. It is the larger in amplitude jiter, eye spreading will this one that will represent DI demodulation to come out small. So we can know that amplitude jiter influence the difference between DQPSK signal efficiency produced of two kinds of methods. The Fig 3-33 shows eye diagram of dual-drive NRZ-DQPSK and convention NRZ-DQPSK.



The Fig 3-34 Amplitude jiter influences the vectorial sketch map of demodulation



#### **3-8.2** Timing misalignment tolerance

At section 3-4 and, 3-7 the timing misalignment tolerance for 33% case is 44ps (convention DQPSK) and 37ps (dual-drive DQPSK), representatively. Why tolerate degree the two differently? We can know to Fig 3-36 as our pulse should cut away the transition period of signal. When transition periods of signal are larger which timing misalignment tolerances are smaller. The Fig 3-37 shows eye diagram of dual-drive NRZ-DQPSK and convention NRZ-DQPSK. We can find the transition period of convention NRZ-DQPSK bigger than dual-drive NRZ-DQPSK so its timing misalignment tolerances better than dual-drive NRZ-DQPSK



The Fig 3-36 transition period influences timing misalignment tolerance





 (a) convention NRZ-DPQSK
(b) dual-drive NRZ-DPQSK
The Fig 3-37 eye diagram of dual-drive NRZ-DQPSK and convention NRZ-DQPSK (emphasize transition period)

### **Chapter 4**

# Experiment setup and result (DQPSK payload/ASK label)

#### 4-1.1 Dual-Drive DQPSK/ASK label experiment setup



The Fig 4-1 dual-drive DQPSK/ASK label signal experiment setup

The experimental setup is shown in the Fig 4-1, which mainly contains three part: payload transmitter, transmission fiber, and payload receiver. The RZ-DQPSK payload/ASK label transmitter consists of continuously oscillating laser at 1546.96nm, two external dual-drive Mach-Zehnder modulators. The first modulator generates a 20Gbit/s NRZ-DQPSK signal. Biased at  $V_{\pi}/2$ , two independent electrical data streams, which is driven by 10Gbit/s (PRBS 2<sup>7</sup> –1) NRZ data stream individually. The second Mach-Zehnder modulator is used to impress the label data and perform pulse carving. First, the sin waves mix the low bit rate label data (the label information at 622Mbit/s and PRBS  $2^7 - 1$ ) used mixer into Mach-Zehnder modulator before. A tunable optical delay line is inserted in between the two modulators to synchronize the pulse train and the 20Gbit/s data. There is place boot amplifier before the transmission fiber and control appropriate power.

The RZ-DQPSK payload/ASK label consists of pre-amplifier, the optical band pass filter, the electroabsorption modulator (EAM), two integrated Mach-Zehnder delay interferometer phase difference in the of the Mach-Zehnder (the arms delay-interferometer (DI) is now set to  $+\pi/4$  and  $-\pi/4$ ), the photo detector, and the BER tester. The labeled signal is split using a 3dB optical coupler. The output of one arm is directly detected by photodiode and thus the optical label is converted into the electrical domain. Form the second output of the coupler the label signal is input to another EAM driven by the inverted label data with suitable delay and amplitude for label erasure. The payload is then input to as integrated Mach-Zehnder delay interferometer to demodulate the RZ-DQPSK signal. The advantage of using the EAM for label erasure is its negligible frequency chirp. The length difference between the two arms of the MZDI is corresponding to 100ps delay. The signal at the output of MZDI is detected by photo detector and input to a 10Gbit/s BER test.

#### 4-2 Sensitivity of dual-drive DQPSK/ASK label

The detected RZ-DQPSK eye diagrams without and with label erasure are shown in Fig 4-2(a) and (B), respectively. It should be noted that the receiver sensitivity of the ASK label improves as the ASK extinction is increased, while the sensitivity of the RZ-DQPSK payload deteriorates owing to the reduced signal power when an ASK '0' is transmitted. Therefore, in our experiment a compromise value of 4 dB is selected for the

extinction ratio of the ASK label. The Fig 3-26 shows the BER of dual-drive DQPSK payload/ASK label and pure payload. For DQPSK payload that sensitivity is -21.4dBm signal. The ASK label signal that sensitivity is -21dBm. The pure payload (not add label signal) that sensitivity is -26.7dBm.



(a) Eye diagrams for payload without label erasure



The Fig 4-2 Eye diagrams of dual-drive DQPSK for payload without and with label erasure



The Fig 4-3 BER of dual-drive DQPSK payload/ASK label and pure payload

#### 4-3 Convention DQPSK/ASK label experiment setup



DQPSK Payload/ ASK Label Receiver

The Fig 4-4 convention DQPSK/ASK label signal experiment setup

The experimental setup is shown in the Fig 4-4, which mainly contains three part: payload transmitter, transmission fiber, and payload receiver. The RZ-DQPSK payload/ASK label transmitter consists of continuously oscillating laser at 1546.96nm, two external dual-drive Mach-Zehnder modulators. The first and second modulator generates a 10Gbit/s NRZ-DPSK signal  $\{0,\pi\}$  which is driven by 10Gbit/s (PRBS 2<sup>7</sup>-1) NRZ data stream individually and phase of the second modulator rotate  $\pi/2 \left\{\frac{\pi}{2}, -\frac{\pi}{2}\right\}$ . Two DPSK signal combine to become DQPSK signal. The second Mach-Zehnder modulator is used to impress the label data and perform pulse carving. First, the sin waves mix the low bit rate label data (the label information at 622Mbit/s and PRBS 2<sup>7</sup>-1) used mixer into Mach-Zehnder modulator before. A tunable optical delay line is inserted in between the two modulators to synchronize the pulse train and the 20Gbit/s data. There is place boot amplifier before the transmission fiber and control appropriate power.

The RZ-DQPSK payload/ASK label consists of pre-amplifier, the optical band pass filter, the electroabsorption modulator (EAM), two integrated Mach-Zehnder delay interferometer (the phase difference in the arms of the Mach-Zehnder delay-interferometer (DI) is now set to  $+\pi/4$  and  $-\pi/4$ ), the photo detector, and the BER tester. The labeled signal is split using a 3dB optical coupler. The output of one arm is directly detected by photodiode and thus the optical label is converted into the electrical domain. Form the second output of the coupler the label signal is input to another EAM driven by the inverted label data with suitable delay and amplitude for label erasure. The payload is then input to as integrated Mach-Zehnder delay interferometer to demodulate the RZ-DQPSK signal. The advantage of using the EAM for label erasure is its negligible frequency chirp. The length difference between the two arms of the MZDI is corresponding to 100ps delay. The signal at the output of MZDI is detected by photo detector and input to a 10Gbit/s BER test.

#### 4-4 Sensitivity of convention DQPSK/ASK label

The detected RZ-DQPSK eye diagrams without and with label erasure are shown in Fig 4-5(a) and (B), respectively. It should be noted that the receiver sensitivity of the ASK label improves as the ASK extinction is increased, while the sensitivity of the RZ-DQPSK payload deteriorates owing to the reduced signal power when an ASK '0' is transmitted. Therefore, in our experiment a compromise value of 4 dB is selected for the extinction ratio of the ASK label. The Fig 4-6 shows the BER of dual-drive DQPSK payload/ASK label and pure payload. For DQPSK payload that sensitivity is -26.1dBm

signal. The ASK label signal that sensitivity is -25.5dBm. The pure payload (not add label signal) that sensitivity is -30.3dBm.





(a) Eye diagrams for payload without label erasure

(b) Eye diagrams for payload with label erasure

The Fig 4-5 Eye diagrams of convention DQPSK for payload without and with label erasure



The Fig 4-6 BER of convention DQPSK payload/ASK label and pure payload

#### 4-5 Result & discussion (DQPSK payload/ASK label)

Using two kinds of method (dual-drive DQPSK and convention DQPSK) to generated DQPSK payload and ASK label which the performance differ too much (dual-drive DQPSK payload -21.4 dBm label -21dBm and convention DQPSK payload -26.1 dBm label -25.5dBm), but the second modulator (pulse carver and ASK label modulator) that were the same way to generated RZ pulse and ASK label. When amplitude jiter of NRZ-DQPSK is larger, which amplitude jiter influence more serious to add label after the second modulator. Therefore, we used label eraser to erase label that can not .complete clearly. The section 3-8.1 has discussed amplitude jiter influence to generated DQPSK payload and ASK label which the performance differ too much. The fig 4-7 shows EAM can not erase label complete clearly.



The Fig 4-7 EAM incomplete to dispel label

## Chapter 5 Conclusion

IN this thesis, we provide a simple and cost effective method to generate DQPSK payload/ASK label signal which only needs two dual-drive Mach-Zehnder modulator (DD-MZM). First, we use bias position (bias= $V\pi/2$ ) and drive signal of dual-drive Mach-Zehnder modulator to generated DQPSK signal which replaced two Mach-Zehnder modulator. Second, we also used mixer to mix the sinwave and the low bit rate label data into Mach-Zehnder modulator replace pulse carver and ASK modulator.

This thesis also tries to contract performances with two kinds of method (dual-drive DQPSK and convention DQPSK) shown the Table5-1. The performance of dual-drive DQPSK signal will be improved when MZM drive are promoted in the future.

	DD DQPSK (without label)	DD DQPSK (with label)	Conventional DQPSK (without label)	Conventional DQPSK (with label)
Number of MZM	1	2	2	3
Sensitivity (NRZ-DQPSK)	-24.2 dBm		-27.1 dBm	
Sensitivity (33%RZ-DQPSK)	-29.1 dBm		-30.9 dBm	
Timing misalignment (33% RZ-DQPSK)	37 ps		44 ps	
Sensitivity (payload)	-26.7dBm	-21.4 dBm	-30.3 dBm	-26.1dBm
Sensitivity (label)		-21dBm		-25.5dBm

Table 5-1 Performance evaluation of Dual-Drive DQPSK and Convention DQPSK transmitters
## References

[1]. D. J. Blumenthal, A. Carena, L. Rau, V. Curri, and S. Humpries, "All-optical label swapping networks and technologies," J. Lightwave Technol., vol. 18, pp. 2058-2075, Dec. 2000.

[2]. C. Wree, N. Hecker-Denschlag, E. Gottwald, P. Krummrich, J. Leibrich, E-D. Schmidt and B. L. W. Rosenkranz, "High spectral efficiency 1.6-b/s/Hz transmission (8x40Gb/s with a 25-GHz grid) over 200-km SSMF using RZ-DQPSK and polarization multiplexing", **IEEE Photon. Technol. Lett.**, vol. 15, no. 9, pp. 1303-1305, Sep 2003

[3]. P. Cho, Y. Achiam, G. Levy-Yurista, M. Margalit, Y. Gross and J. B. Khurgin, "Investigation of SOA nonlinearities on the amplification of DWDM channels with spectral efficiency up to 2.5 b/s/Hz", IEEE Photon. Technol. Lett., vol. 16, no. 3, pp918-920, Mar. 2004

[4]. K. P. Ho and Han-Wei Cuei, "Generation of arbitrary quadrature signals using one dual-drive modulator", **J. Lightwave Technol.**, vol. 23, no. 2, pp. 764-770, Feb 2005.

[5]. N. Chi, C. Mikkelsen, L. Xu, J. Zhang, P. V. Holm-Nielsen, H. Ou, J. Seoane, C. Peucheret, and P. Jeppesen, "Transmission and label encoding/erasure of orthogonally labeled signal using 40Gb/s RZ-DPSK payload and 2.5Gb/s IM label," **Electron. Lett.**, vol. 93, no. 18, pp. 1335-1337, Sep 2003.

[6]. Wei-Ren Peng, Yu-Chang Lu, Jye-Hong Chen, Sien Chi, "Encoding ASK Labeled CSRZ-DPSK Payload by Using Only One Dual-Drive Mach-Zehnder Modulator with Enhanced Label Performance", **IEEE Photon. Technol. Lett.**, vol. 17, no. 10 pp.

## 2227-2229, Oct 2005

[7]. N. Chi, J. Zhang, P. V. Holm-Nielsen, C. Peucheret, and P. Jeppesen, "Transmission and transparent wavelength conversion of an optically labeled signal using ASK/DPSK orthogonal modulation," **IEEE Photon. Technol. Lett.**, vol. 15, no. 5, pp. 760-762, May 2003

[8]. A. H. Gnauck, P.J. Winzer, "Optical Phass-Shift-Keyed transmission", J. Lightwave Technol., vol. 23, no. 1, pp. 115-130, Jan 2005.

[9]. Chris Xu, Xiang Liu, Xing Wei, "Differentail Phase-Shift Keying for high spectral efficiency optical transmission", J of Selected Topics in Quantum Electronics, vol. 10, no. 2, pp. 281-293, Mar/Apr 2004.

[10]. Takahide Sakamoto, Tetsuya Kawanishi, Tetsuya Miyazaki, Masayuki Izutsu,
"10-Gb/s external modulation in optical CPFSK format", IEEE Photon. Technol. Lett,
vol. 18, no. 8, pp. 968-970, Apr 2006.