

# 耦合型異質接面光子晶體波導分光 器設計與特性

研究生：陳鴻祺

指導教授：李柏聰 教授

國立交通大學光電工程研究所碩士班



摘要

在本篇論文當中，我們希望可以設計出一個較短且具有高傳輸光子晶體分光器，因此首先我們利用二維平面波展開法計算出光子晶體波導的能帶圖；這個有效的計算方法可以幫助我們做出最佳的光子晶體波導設計，並且把設計應用在製程中。接著我們利用耦合理論計算出我們設計結構所需要的耦合長度，希望可以在最短的長度而得到最大的傳輸效率，而耦合型異質接面光子晶體波導分光器可以幫助我們達到這個需求，能在較短的耦合長度得到較大的傳輸效率。最後我們也利用有限時域差分法模擬出光波在光子晶體中傳輸的情形，我們利用這個方法可以找到在耦合異質接面光子晶體波導中最大傳輸效率所對應的波長。

在論文的最後，我們針對所做出的”單一缺陷光子晶體波導”進行量測，量測的結果與模擬有部分的相似，這是我們初步所量測到的成果。

# Design and characteristic of coupling-type hetero-structure photonic crystal waveguide power splitter

Student : Hong-Chi Chen

Advisor : Prof. Po-Tsung Lee

Department of Photonics & Institute of Electro-Optical Engineering



In this thesis, the goal is to design a short length and high power transmission photonic crystal power splitter. At first, the simulation is performed by using two-dimensional plane-wave-expansion (PWE) method to calculate the band diagram and the defect mode. According to the simulations, we can find the optimized structure, and the fabrication of the structure is introduced. Then, the coupling mechanism is used to calculate the coupling length. And the coupling-type hetero-structure photonic crystal waveguide power splitter is good choice to get high power transmission and short coupling length. Finally, we use finite-difference time-domain (FDTD) method to simulate the wave propagation in the photonic crystal waveguide power splitter. Hence the power transmission versus wavelength is obtained, and we can find the maximum power transmission and the corresponding wavelength in this structure.

We measured the single-line defect photonic crystal waveguide with  $r/a$  ratio 0.3. Initial measurement results are obtained, and we can find some agreement between the measured data and the simulation result.

# Acknowledgements

首先，我必須非常誠摯的感謝養育我並在我求學期間一路支持我的家人。可以讓我這段路程中無憂無慮的求學。碩士班的這兩年，說真的其實時間真的不長，但卻讓我改變許多。從以往高中大學制式話的學習轉變成為實際的操作，很多的問題往往都需要自己去解決，因此一個團體的合作更顯得重要，一個好的同學更是不可多得，以下我要感謝這段時間當中幫助我完成這個論文的朋友們。

一開始當然要感謝我的指導教授李柏聰老師，感謝她諄諄教誨以及指導，讓我在光子晶體這個領域方面有更多的知識更多的了解，此外也協助我處理一些量測上的困難。另外還有盧贊文學長常常提供我量測的一些想法，也非常的感謝。此外魏士強學長也常在日常生活上面關心我們常常幫我們買早點，讓我覺得非常的感動，接下來就是實驗室朝夕相處的每一位朋友，范峻豪、蘇國輝，張資岳以及和我屬於波導組的好友陳書志還有其他的學弟，有了你們才能讓我在這無聊的新竹還能過的下去，也增添了許多色彩，大家一起出遊，一起烤肉玩水，這些回憶我都會永遠記起來。在這邊感謝你們兩年來的教導以及陪伴，豐富了我交大的碩士班生活。

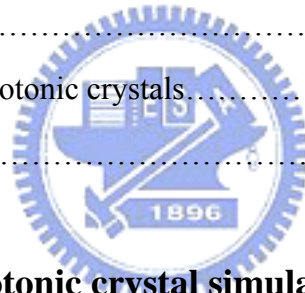
2006/8/9 于新竹 國立交通大學 八舍 119 室

# Content

Abstract (in Chinese).....	ii
Abstract (in English).....	iii
Acknowledgements.....	iv
Content.....	v
List of Tables.....	
List of Figures.....	

## **Chapter 1. Introduction.....1.**

1-1. Background.....	1.
1-2. Motivation.....	4.
1-3. Applications of Photonic crystals.....	5.
1-4. Thesis over view.....	8.



## **Chapter 2. Theory of photonic crystal simulation and coupling mechanism.....9.**

2-1. Plane wave expansion.....	9.
2-2. Finite-difference time-domain method (FDTD).....	12.
2-2.1 Maxwell's equation and Yee's cell.....	13.
2-2.2 One-dimensional simulation with FDTD method.....	15.
2-2.3 Two dimensional formulation.....	18.
2-3. Coupling mechanism.....	21.

## **Chapter 3. Simulation results.....25.**

3-1. Single line-defect PC waveguide.....	25.
-------------------------------------------	-----

3-2. Coupling-type PC power splitter.....	29.
3-2.1. Introduction.....	29.
3-2.2. Structure design and simulation.....	29.
<b>Chapter 4. Fabrication and measurement of PC waveguides.....</b>	<b>38.</b>
4-1. Fabrication process.....	38.
4-2. Measurement of the photonic crystal waveguide.....	42.
<b>Chapter 5. Conclusion.....</b>	<b>48.</b>
<b>References.....</b>	<b>49.</b>



## List of Table

Table 2-2.1	The all values of electromagnetic ave.....	13.
-------------	--------------------------------------------	-----



## List of Figures

Fig. 1-1 An example of different dimensions photonic crystal; the different colors represent the different dielectric constants.....	1
Fig. 1-2 One-dimensional photonic crystal and $a$ is the lattice constant.....	2
Fig. 1-3 An example of two dimensional photonic crystal. This is a square lattice of dielectric columns with radius $r$ and lattice constant $a$ . This material is homogenous in the $z$ direction and periodic in the $x$ and $y$ direction.....	2
Fig. 1-4 The TE wave is the H field parallel to the $y$ -axis; The TM wave is the E field parallel to the $y$ -axis.....	5
Fig. 1-5 The band diagrams of the two structures (a) the square lattice and (b) the triangular lattice. The insets are unit cell and first brillouin zone. The square lattice structure has rod materials in the air background; the triangular lattice structure has air holes in the materials background.....	6
Fig. 1-6 One missing-hole line defects of the hexagonal air holes (a) Schematic of samples, (b) reciprocal-space representation, (c) SEM of fabricated PC slabs.....	6
Fig. 1-7 SEM graphs of (a) a conventional ridge waveguide with $3\ \mu\text{m}$ , (b) a W1 waveguide and (c) a Y-junction. The graphs in the middle and right are input power is $0.05\text{mW}$ and $0.5\text{mW}$ , and $\lambda$ is $1267\ \text{nm}$ of the $\text{TE}_{00}$ wave.....	7
Fig. 1-8 2D-PC slab directional coupler combined with bent waveguides. (a) Optical microscope image and (b) SEM picture. (c) The cleaved facet of the waveguide...	7
Fig. 2-1.1 (a) The real space of the square lattice. (b) The first brillouin zone of the square lattice.....	10
Fig. 2-1.2 (a) The real space of the triangular lattice. (b) The first Brillouin zone of the triangular lattice.....	10
Fig. 2-2.1 The relation between the E and H field in time.....	12
Fig. 2-2.2 The divide in space.....	14
Fig. 2-2.3 Interleaving of the E and H fields for the two-dimensional TE formulation.....	19
Fig. 2-3.1 The coupling mechanism between two parallel waveguides. When light is injected at $z_1$ the field is almost in the waveguide 1. At $z_2$ the light is equally	

divided in two waveguides, and at $z_3$ the field is almost transferred from waveguide 1( $w_1$ ) to waveguide 2( $w_2$ ).....	21
Fig. 2.3-2 The $E_y$ field in a planar PC waveguide with two channels calculated by “FullWave” software.....	22
Fig. 3-1.1 The single-line defect photonic crystal waveguide with $r/a$ ratio is 0.3. Here, the white is the dielectric material ( $n = 2.8$ ), and the red is the air ( $n = 1$ ). (a) Square lattice with dielectric materials. (b) Square lattice with air holes. (c) Triangular lattice with dielectric materials. (d) Triangular lattice with air holes.....	26
Fig 3-1.2 The band diagram graphs of the different width structure. (a) The normal structure. (b) The width is increased 75nm (c) The width is increased 150 nm....	26
Fig. 3-1.3 The power transmission of the waveguides with different width.....	27
Fig. 3-1.4 The schemes of the different locations of the power meters. (a) The power meter in the device. (b) The power meter in the free space. The red and white colors represent the air and dielectric region.....	27
Fig. 3-1.5 Power transmission (with resolution is 3 nm) of different length of the PC waveguide ( $r/a = 0.3$ ) with the power meter located in the air except the blue line is set in the PC waveguide to show the characteristic of this device.....	28
Fig. 3-2.1: (a) Basic coupling-type photonic crystal power splitter with uniform $r/a$ ratio 0.3. (b) Its band diagram. The green, blue, and red lines label the 0 <sup>th</sup> , 1 <sup>st</sup> , and 2 <sup>nd</sup> defect modes. The olive solid line indicates the normalized frequency 0.2728. (c) Power flow plot when $\lambda=1.55\mu\text{m}$ .....	30
Fig. 3-2.2: Mode profiles of $H_y$ in a unit cell for (a) 0 <sup>th</sup> , (b) 1 <sup>st</sup> , and (c) 2 <sup>nd</sup> modes for the structure shown in Fig. 1 (a) with $r = 0.3a$ . The amplitude scale is on the left side; the red circles represent air holes.....	31
Fig. 3.2-3: (a) Basic coupling-type photonic crystal power splitter with uniform $r/a$ ratio 0.33. (b) Its band diagram. The green, blue, and red lines label the 0 <sup>th</sup> , 1 <sup>st</sup> , and 2 <sup>nd</sup> defect modes. The olive solid line indicates the normalized frequency 0.2728. (c) Power flow plot when $\lambda=1.55\mu\text{m}$ .....	33



Fig. 3-2.4: Coupling-type power splitter with hetero-structure photonic crystals.....	33
Fig. 3-2.5 (a) Power transmission versus normalized frequency for coupling-type power splitter with hetero-structure photonic crystals. (b) Power flow plot when $\lambda=1.55\mu\text{m}$ .....	35
Fig. 3-3.5 (a) The wave propagation with $\lambda = 1574 \text{ nm}$ ( $n = 1$ ) (b) The power is equal in all channel with $\lambda = 1542.5 \text{ nm}$ .....	35
Fig. 4-1.2 The cad file of photonic crystal design.....	39
Fig. 4-1.3 Defined pattern 300um length on PMMA and writing magnification is $\sim 300\times$ ..	40
Fig. 4-1.4 The SEM figure of successful undercut sample which soaked time is 80mins...	41
Fig. 4-1.5 The device we have cut the wafer.....	41
Fig. 4-2.1 The schematic of the microscopic system for the top and back views of the PC waveguide device.....	42
Fig. 4-2.3 (a) The top view of the microscopic system. (b) The back view of the microscopic system.....	43
Fig. 4-2.4 The processes of the PC waveguide measurement.....	44
Fig. 4-2.5 (a) the spot is focused by the fiber upper the waveguide, and the light is scattered from the top of the device. (b) the spot is focused down, the power will transfer by the InP substrate.....	44
Fig. 4-2.6 The measurement data in different wavelength region (a) 1475~1515 nm with step of 1nm. (b) 1545~1560nm with step of 0.5 nm.....	45
Fig 4-2.7 The PC waveguide device.....	46
Fig. 4-2.8 The graph of the simulation and measurement of single line defect waveguide.	47