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電子工程學系電子研究所

碩士論文

具有鈷/鈦/鋁催化金屬和新穎自動聚焦閘極結

構之奈米碳管場發射元件之研究



**Study on the Carbon-Nanotube Field Emission
Devices with the Co/Ti/Al Catalyst and Novel
Self-Focusing Gate Structure**

研究生：陳俠威

指導教授：鄭晃忠 博士

中華民國九十六年七月

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Advisor : Dr. Huang-Chung Cheng



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摘 要

本實驗室已經研究多層催化金屬在低溫下合成奈米碳管，其中以鈷/鈦/鋁和鈷/鉻/鋁表現最好，然而在 550°C 下，中間層鈦金屬的場發射特性表現又明顯優於鉻，但是，尤其在更低溫 500°C 下，它們的特性並沒有在我們預期中的好，因此，本篇論文主要在解決奈米碳管在 500°C 下，有效率與最佳化的合成，最佳化的合成條件是利用乙烯、氫氣和氮氣的流量控制達成的，經由電子顯微鏡、拉曼光譜以及場發射特性的量測可以達到證實，我們甚至在 370°C 的超低溫下利用熱化學氣相沉積法合成出奈米碳管，未來如更降低此沈積溫度，將可以運用在塑膠軟板上，當作量產化的生化感應晶片使用。

利用熱化學氣相沉積法合成出奈米碳管，多層催化金屬鈷/鈦/鋁有相當高的成長速率，利用最佳化的成長條件與在不同的溫度下合

成奈米碳管，求得多層催化金屬的活化能，由實驗結果得知，多層催化金屬的活化能為 0.89 電子伏特，相對的低於單層催化金屬的 1.54 電子伏特，活化能的降低是多層催化金屬能夠在低溫下成長出奈米碳管的一個證據之一，利用原子力顯微鏡，可以證實金屬鋁為平均分散奈米顆粒的效果，利用沒有中間層鈦的方式，間接證實鈦的功能是增加鈦原子的析出，此三層金屬在低溫下合成奈米碳管缺一不可，由於它們有各自的功能。

利用上述在低溫合成催化金屬的技巧，使用多層催化金屬，研發出一種以奈米碳管為場發射源的自動聚焦閘極結構，這種三極結構的閘極，可以有擷取出電子束的功能，同時，又可以擁有電子束聚焦的功能，不像其他運用在場發射上元件上的結構，需要多一層聚焦層，不但增加成本，且複雜了製程；本發明只需要簡單的結構就可以達到聚焦功能，對稱長條狀的閘極設計，是經由多次的模擬所得到最佳化的設計，經過實驗結果與發光效果驗證它的可行性。在模擬中，傳統三極結構的亮點長達 622 μm ，相反的，新穎自動聚焦閘極結構只有 232 μm ，實際成品，也相差不遠；未來在大面積場發射螢幕上的運用，由於此新穎的結構具有製程簡單以及成本便宜的優勢下，非常大有可為。

Study on the Carbon Nanotubes Field Emission Devices with the Co/Ti/Al Catalyst and Novel Self-Focusing Gate Structure

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In order to decrease the cost and improve the uniformity of CNT-FEDs, the CVDs process is necessary for the synthesis of CNTs on glass substrate at low temperatures below the softening point of glass ($\sim 550^{\circ}\text{C}$). In this thesis, the thermal CVD was employed to synthesize CNTs at temperatures (lower than 500°C). As a multilayer catalyst, Co/Ti/Al, has been successfully utilized to synthesize carbon nanotubes (CNTs) at 550°C by thermal CVD previously. Its morphologies and field emission characteristics are not as good as we thought. Therefore, the objective of this thesis is optimizing and achieving an effective growth of CNTs using Co/Ti/Al catalyst at 500°C or even lower temperatures to improve the characteristics of CNTs by controlling the flow rates of ethylene, hydrogen and nitrogen. According to the experimental results, the optimum flow rates of hydrogen, nitrogen, and ethylene are

10, 100, and 125 sccm, respectively. It is also found that nanotubes grown using this recipe at 500 °C exhibited excellent field emission characteristics.

Co/Ti/Al is used to synthesize CNTs at atmospheric pressure by thermal CVD. The relative growth rates, calculated on the basis of the average lengths of nanotubes grown at different temperatures, are utilized to estimate an activation energy of 0.89 eV for the multilayer catalyst as compared with 1.54 eV for the single Co catalyst. Low activation energy is the indirect evidence for CNTs grown at low temperature. Such a low activation energy implies that the nucleation and growth of nanotubes could be effectively enhanced via the multilayer catalyst due to the well-distributed small catalytic nanoparticles by Al supporting layer and higher activity from Ti layer.

A self-focusing gate structure is fabricated for the CNT devices with growth conditions is as the same as that mentioned above. The symmetric gate electrode can be employed to extract electrons; meanwhile, it can also act as a focus lens. According to the simulation results and luminescent images, this self-focusing gate structure has a well controllability on the trajectory of electrons emitted from CNTs, and therefore represents a smaller luminescent spot size than conventional structure; that is, it shows an excellent focusing effect. The novel gate structure which only adopts a simple fabrication process has the advantages of low-cost manufacturing and scalability, and is promising for the application in field emission displays.

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畢業是另一段旅程的開始，希望大家在未來都可以發揮所長，一路順風。

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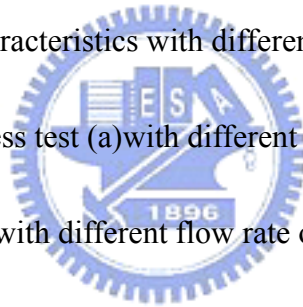


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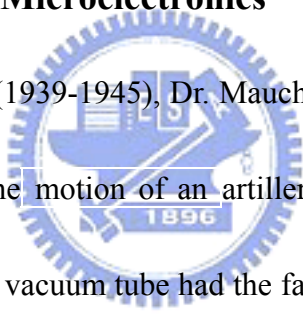


Chapter 1

Introduction

1.1 Overview of Vacuum Microelectronics

1.1.1 History of Vacuum Microelectronics

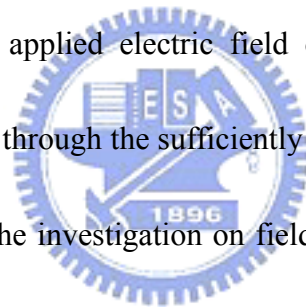


During the World War 2 (1939-1945), Dr. Mauchly and Eckert designed vacuum tubes in place of relays for the motion of an artillery projectile. Although the first generation computer using the vacuum tube had the fast operation speed, its computer occupied the huge space (1500ft²) and wasted most electricity. In addition, the vacuum tube was very fragile. In 1948, the first semiconductor transistor was invented by Bardeen, Brattain, and Shockley [1.1], and integrated circuits were subsequently developed in the 1960s [1.2]. This was a great revolution of the electrical applications from vacuum tubes to semiconductor transistors. People generally thought that the time of using vacuum tubes was over. Gradually, vacuum tubes were replaced by solid state electronic devices due to their tiny volume, low cost, better reliability, and high power efficiency. In the past decades, the semiconductor manufacturing technology

from SSI to ULSI had a great improvement. Then, this mature technology gave a new life to vacuum electronics for the professional micro fabrication process to fabricate tiny vacuum devices, which is now called vacuum microelectronics. “Vacuum state” devices have a great deal of superior advantages as compared with solid-state devices, including fast carrier drift velocity, radiation hardness, and temperature insensitivity. Investigation on Table 1.1 that compares the characteristics of vacuum microelectronic devices with semiconductor devices, it becomes quite clear that vacuum state is a vastly superior transport medium compared to solids. The electron velocity in vacuum can approach the speed of light (3×10^{10} cm/s), while the saturation velocity in solid state devices is typically limited to 10^7 cm/s by collisions with optical and acoustic phonons [1.3]. Therefore, various forms of electron-beam devices can be by taking advantage of vacuum as the electron transport medium, and electro-components such as lenses, reflectors, and deflectors can also be made. Furthermore, temporary or permanent radiation effect is negligible in vacuum devices for no medium being damaged. Additionally, the effect of temperature on performance is reduced in vacuum devices simply for no medium causing the temperature effect in semiconductor, such as increased lattice scattering or bulk carrier generation/recombination.

In order to accomplish better understanding of the advantages of vacuum

microelectronics, a brief history of the field emission theory and vacuum microelectronic devices is necessary. Recent developments in vacuum microelectronics started in 1928 when R. H. Fowler and L. W. Nordheim published the first theory of electron field emission from metals using quantum mechanics [1.4]. Charbonnier had given a clear description of the transition from field emission to thermionic emission [1.5]. Fowler-Nordheim theory was different from thermionic emission, which metal has to be heated so that some of the electrons in the metal gain enough thermal energy to overcome the metal/vacuum barrier; according to the Fowler-Nordheim theory, an applied electric field of approximately 10^3 V/ μm is needed for electrons to tunnel through the sufficiently narrow barrier [1.4]. In 1961, K. R. Shoulders first published the investigation on field emission sources based on the Fowler-Nordheim theory [1.6]. In 1968, C. A. Spindt successfully contributed the semiconductor technology to the vacuum microelectronics, called the Spindt-type cold cathode [1.7]. Since the Spindt-type triode came up, many scholar and scientists devoted their innovation to its field emission field. In 1972, R. N. Thomas and H. C. Nathanson brought up the new Spindt type made of silicon [1.8]. For the better field emission characteristics, scientists wanted to enhance emission currents by coating different metals, such as W, Mo, Ta, Pt etc., even diamond on field emission arrays [1.9-1.11]. Then, in the past 30 years, field emission cold cathode materials became a



promising technology and hundreds of research organizations devoted their money and innovation to its field emission applications.

1.1.2 Theory Background

Electron field emission is a quantum mechanical tunneling phenomenon of electrons extracted from the conductive solid surface, such as a metal or a semiconductor, where the surface electric field is extremely high. If a sufficient electric field is applied on the emitter surface, electrons will be emitting through the surface potential barrier into vacuum, even under a very low temperature. In contrast, thermionic emission is the hot electron emission under high temperature and low electric field. Fig. 1-1(a) demonstrates the band diagram of a metal-vacuum system.

Here W_0 is the energy difference between an electron at rest outside the metal and an electron at rest inside, whereas W_f is the energy difference between the Fermi level and the bottom of the conduction band. The work function ϕ is defined as $\phi = W_0 - W_f$. If an external bias is applied, vacuum energy level is reduced and the potential barrier at the surface becomes thinner as shown in Fig. 1-1(b). Then, an electron having energy “W” has a finite probability of tunneling through the surface barrier. Fowler and Nordheim derive the famous F-N equation (1.1) as follow [1.4]:

$$J = \frac{aE^2}{\phi t^2(y)} \exp[-b\phi^{\frac{3}{2}}v(y)/E], \quad (1-1)$$

where J is the current density (A/cm^2). E is the applied electric field (V/cm), ϕ is the work function (in eV), $a = 1.56 \times 10^{-6}$, $b = -6.831 \times 10^{-7}$, $y = 3.79 \times 10^{-4} \times 10^{-4} E^{1/2} / \phi$, $t^2(y) \sim 1.1$ and $v(y)$ can be approximated as [1.12]

$$v(y) = \cos(0.5\pi y), \quad (1-2)$$

or

$$v(y) = 0.95 - y^2. \quad (1-3)$$

Typically, the field emission current I is measured as a function of the applied voltage V . Substituting relationships of $J = I/\alpha$ and $E = \beta V$ into Eq.(1-1), where α is the emitting area and β is the local field enhancement factor of the emitting surface, the following equation can be obtained

$$I = \frac{A\alpha\beta^2 V^2}{\phi t^2(y)} \exp\left[-bv(y) \frac{\phi^{3/2}}{\beta V}\right]. \quad (1-4)$$

Then taking the log. form of Eq. (1-4) and $v(y) \sim 1$

$$\log\left(\frac{I}{V^2}\right) = \log\left[1.54 \times 10^{-6} \frac{\alpha\beta^2}{\phi t^2(y)}\right] - 2.97 \times 10^7 \left(\frac{\phi^{3/2} v(y)}{\beta V}\right), \quad (1-5)$$

from Eq. (1-5), the slope of a Fowler-Nordheim (F-N) plot is given by

$$S \equiv slope_{FN} = 2.97 \times 10^7 \left(\frac{\phi^{3/2}}{\beta}\right), \quad (1-6)$$

The parameter β can be evaluated from the slope S of the measured F-N plot if the work function ϕ was known

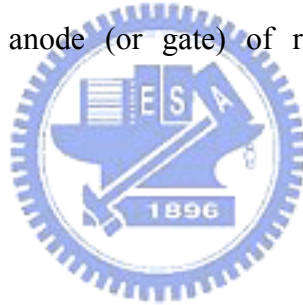
$$\beta = -2.97 \times 10^7 \left(\frac{\phi^2}{S} \right)^{\frac{3}{2}} \text{ (cm}^{-1}\text{)}. \quad (1-7)$$

The emission area α can be subsequently extracted from a rearrangement of Eq. (1-5)

$$\alpha = \left(\frac{I}{V^2} \right) \frac{\phi}{1.4 \times 10^{-6} \beta^2} \exp\left(\frac{-9.89}{\sqrt{\phi}}\right) \exp\left(\frac{6.53 \times 10^7 \phi^{\frac{3}{2}}}{\beta V}\right) \text{ (cm}^2\text{)}. \quad (1-8)$$

For example, the electric field at the surface of a spherical emitter of radius r concentric with a spherical anode (or gate) of radius $r+d$ can be represented analytically by

$$E = \frac{V}{r} \left(\frac{r+d}{d} \right). \quad (1-9)$$



Though a realistic electric field in the emitter tip is more complicated than above equation, we can multiply Eq.(1-9) by a geometric factor β' to approximate the real condition.

$$E_{tip} \equiv \text{function of (r,d)} = \beta' \cdot \frac{V}{r} \left(\frac{r+d}{d} \right), \quad (1-10)$$

where r is the tip radius of emitter tip, d is the emitter-anode(gate) distance and β' is a geometric correction factor [1.13].

For a very sharp conical tip emitter, where $d \gg r$, E_{tip} approaches to $\beta'(V/r)$.

And for $r \gg d$, E_{tip} approaches to $\beta'(V/d)$ which is the solution for a parallel-plate capacitor and for a diode operation in a small anode-to-cathode spacing.

As the gated FEA with very sharp tip radius, Eq. (1-10) can be approximated as:

$$E_{tip} = \beta'(V/r). \quad (1-11)$$

Combining $E = \beta V$ and Eq. (1-11), we can obtain the relationship:

$$E_{tip} = \beta V = \beta'(V/r), \text{ and } \beta' = \beta r. \quad (1-12)$$

The tip radius r is usually in the range from a few nm to 50 nm, corresponding to the parameter β' ranging from 10^{-1} to 10^{-2} .

Besides, transconductance g_m of a field emission device is defined as the change in anode current due to the change in gate voltage [1.3] and is expressed as:

$$g_m = \left. \frac{\partial I_C}{\partial V_g} \right|_{V_c}. \quad (1-13)$$

Transconductance of an FED is a figure of merit that gives as an indication of the amount of current charge that can be accomplished by a given change in grid voltage. The transconductance can be increased by using multiple tips or by decreasing the gate-to-cathode spacing for a given anode-to-cathode spacing.

According to the equations above mentioned (especially Eq.1-5), the following

approaches may therefore be taken to reduce the operating voltage of the field emission devices:

- 1) Find techniques to reproducibly sharpen the tips to the atomic level (increase β).
- 2) Lower the work function of the tip (ϕ).
- 3) Narrow the cone angle (increase β).
- 4) Reduce the gate-opening diameter (increase β).



1.2 The Structure and Technology Trends for Field Emission Display

1.2.1 Applications of Vacuum Microelectronics

Due to the superior properties of vacuum microelectronic devices, potential applications had been invented, including high brightness flat-panel display [1.14-1.18], high efficiency microwave amplifier and generator [1.19-1.21], ultra-fast computer, intense electron/ion sources [1.22-1.23], scanning electron microscopy, electron beam lithography, micro-sensor [1.24-1.25], temperature insensitive electronics, electron/ion sources, excitation sources, and radiation hardness analog and digital circuits.



Among wide range applications of the vacuum microelectronics, flat-panel display exhibits superior advantages inclusive of super thin panel, high brightness, high contrast, large view angles, high response speed, low driving voltages, low power consumption, and a self-luminary. Most importantly FPD can be operated in the worst environment compared to the solid state electronics. Fig. 1-2 showed the applications of flat panel display.

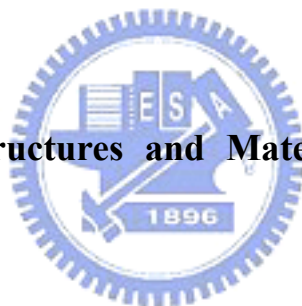
Various kinds of flat-panel displays can be classified into two groups: Emissive and Non-Emissive type. Liquid crystal display (LCD) belongs to the Non-Emissive type. However, organic light emission display (OLED), vacuum fluorescent display

(VFD), plasma display panel (PDP), and field emission display (FED) belong to the Emissive type. They all were developed for the better characteristics of small volume, light weight, and low power consumption.

LCDs have some drawbacks. First, the poor response speed results from the relationship between liquid crystal, environment temperatures, and operation voltages. Second, low brightness and high costs arise from the backlight model. Last, the view angle is not large. However, based on the mature semiconductor technology, LCDs are most popular displays in the world. OLEDs have many advantages, such as no needs for the backlight model, low cost, low power consumption, high view angles, high contrast, and high response speed. Most importantly OLEDs can be fabricated to be a full color and large scale panel, even for the flexible panel applications. But, OLEDs should overcome the worst drawback “short life time”. The theory of luminescence of FEDs is very similar to the Cathode Ray Tube (CRT). The cathode of CRT generates thermionic electrons by heating, then offset voltages accelerate and concentrate the electrons, then the magnet controls the direction of electrons, and electrons scan the phosphor back and forth. The anode of FED can be applied at suitable turn-on electrical field and the cathodes emit electrons based on the FN tunneling. FED features all the pros of the CRTs in image quality and possesses flat and small volume. The schematic comparisons were revealed in Fig. 1-3.

As the all of the above saying and the Table 1.2, FEDs possess the advantages, such as low weight and small volume, high brightness, high contrast, large view angles, high response speed, low driving voltage, low power consumption, self-emission, and normal operation in the worst environment. But, some critical issues should be overcome like short life time, uniformity and stability of emission currents, and vacuum package. However, in the display field, LCDs and PDP still dominate the market of the small and large panel display, respectively. With the revolution and innovation of new technology, we believe FEDs will be a promising display in the future.

1.2.2 Cold Cathode Structures and Materials for Field Emission Display



With the improvement on the semiconductor fabrication technology, FED is one of the most promising applications of flat panel displays, which can overcome the drawbacks of TFT-LCD. The features of FEDs are small volume, low power consumption, fast response speed, color performance similar to CRT, large view angles, and low cost. Otherwise, FEDs have some drawbacks, such as the uniformity of brightness, high breakdown voltage, the package spacer charging, short life time, and vacuum package, which are all serious problems. In the past 30 years, so many applications of FEDs were demonstrated by the academia and noted laboratories.

Table 1.3 showed the comparison of the FEDs. Based on the emitting methods, we could separate the FEDs into two groups: direct and fractional emitters. The CNTs and Spindt type can emit electrons directly from the emitters, so they belong to the direct emitters. Moreover, the BSD, MIM, and SED emit electrons from dispersed nano-particles of the thin films, and they belong to the fractional emitters.

A. Spindt-Type Field Emitters

Since 1960s, the concepts of FEDs were demonstrated, and many scientists contributed their innovation to the FED applications. Ken Shoulders published the first micro-electric device based on the F-N tunneling theory [1.26]. However, Capp Spindt who successfully fabricated and operated the FEA (Field Emission Array) contributed the semiconductor technology to the vacuum microelectronics, called the Spindt-type cold cathode [1.7]. The Spindt-type is micro-meter scale emitters and possess self aligned metal pyramids and gates [1.27]. The Fig. 1-4 was Spindt-type triode structure fabricated by Capp Spindt. From then on, many applications of FEDs were demonstrated by the academia and noted laboratories. The Spindt-type has some advantages, such as high thermal stability, high emission reliability, low cost, and larger area displays of many tip arrays. In addition, the most importance is Spindt-type can be easily fabricated in the general semiconductor fabrication technology.

However, the most popular Spindt-type material is silicon tip emitters. Fig. 1-5 is silicon tip diagrams. The most desirable characteristics of silicon tips are the low operation voltage, emission stability, long durability, and high emission current density. These properties are strongly dependent on the tip curvature radius (related to the field enhancement factor), emission material (determines the work function), and surface conditions. According to Eq.(1-1), the methods to enhance the emission currents are increasing the work function of cathode materials and increasing the field emission factor (β). We had to decrease the work function of silicon tips and coat conduction layers, such as DLC (Diamond Like Carbon), carbon films, Mo....etc [1.9-1.11,1-29]. Conduction layers can decrease the silicon work function and enhance the emission efficiency. However, there are some existing drawbacks of Spindt type field emitters when fabricating Spindt type FED such as (1) high gate driving voltage required; for a Spindt type field emission triode with 4 μm gate aperture, the driving voltage is typically more than 60 V, which results in the high cost of the driving circuits. To reduce the gate driving voltage, (1) frontier lithography technologies such as E beam lithography must be applied to reduce the gate aperture to the sub-micron level and (2) huge and expensive high vacuum deposition system is required during fabricating large area Spindt type FED.

Some research groups had successfully fabricated commercial FED products

based on Spindt type field emitters such as Futaba, Sony/Candesent, Futaba and Pixtech [1.30], the products above mentioned companies were shown in Fig. 1-6.

B. BSD Field Emitters

BSD (Ballistic Electron Surface Emitting Device) was developed by Panasonic and Tokyo University of Agriculture and Technology. The operation diagram of BSD was shown in the Fig. 1-7. The PPS (porous poly-Si) of cathodes of BSD have micro-crystal particles, which have a thin oxide film on itself. The offset voltages are applied between the anode and cathode, the electrons emitted by the cathode inject into the PPS, the electrons accelerated by the PPS interactions gain high energies and emit, and the emission efficiency of electrons is about 2%. Because the external voltages are focused on the oxide surface of the micro-crystal particles, then the thin film surface forms strong electrical fields, and the electrons can be emitted. However, the phenomenon called “Ballistic Electron Conduction”. In addition, the high active electrons are emitted from the cathode in the vertical direction without the deviation adjustment. The BSD has the advantages, such as simple structures, but it is very sensitive to the ion bombardments and has serious drawbacks like the poor emission efficiency.

C. MIM Field Emitters

MIM [1.31] (Metal Insulator Metal) technology was investigated by Hitachi and LGE, but they didn't publish the detailed technology researches. According to the paper survey, MIM technology is the hot electron generation in the dielectric and the structure are shown in Fig. 1-8. The features of MIM are the low degrees of electron dispersion, low driving voltages, and high current density, but it is easily affected by the ion bombardments. However, the structure and fabrication of MIM are very complicated.

D. SCE Field Emitters

Canon and Toshiba co-operated the whole new 36 inches planar panel display called "SCE (Surface Conduction Emitter)" and published a plan for large productions in Sep 14 2004 [1.32]. Fig. 1-9 was the base structure of SCE. At SID 2005, Canon showed the SCE display specifications, including 400 cd/m² peak luminance, high contrast about 10000:1, response speed below 1ms, and panel thickness about 7.3 mm. By the way, it showed the superior emission stability

As Fig. 1-9, the mechanism of emission of SCE is the external voltage is applied on the PdO particles located in the extreme narrow gaps, the electrons emit from the extreme narrow gaps based on tunneling effect, and electrons hit onto the phosphors of anodes. Moreover, the PdO thin film was coated by the ink-jet methods, and its

thickness was about 10nm. The currents passed through the PdO thin film and about 2% electrons emitted into the vacuum. Its emission efficiency compared to other field emission emitters is much better. The features of SCE are the simple structure, low cost and large panel display. But, in accord with the other FEDs, some essential properties like stable emission currents, low driving voltages, low cost fabrications, and longer operation life are still needed to be resolved.



1.3 The Promising Field Emission Technology – Carbon Nanotubes

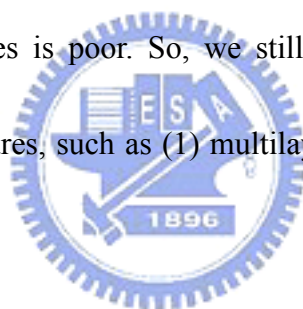
Since the discovery of carbon nanotubes (CNTs) by Iijima in 1991, [1.33] CNTs have attracted considerable interests because of their unique physical properties and many potential applications [1.34]. CNTs have numerous potential applications in nanoelectronics, nanometer-scale structural materials, hydrogen storage, field-emission devices, and so on. Among these applications, CNTs seem to be very promising as electron emitters for field-emission displays (FEDs).

1.3.1 The Synthesis Methods of Carbon Nanotubes

Carbon nanotubes (CNTs) have been extensively investigated for the synthesis using arc discharge, laser vaporization, pyrolysis, solar energy, and plasma-enhanced chemical vapor deposition (CVD), for its unique physical and chemical properties and for applications to nanoscale devices. However, common methods of CNT synthesis include: (1) arc-discharge [1.35], (2) laser ablation [1.36], (3) thermal CVD [1.37-1-39], and (4) plasma enhanced CVD [1.40-1-41].

The laser ablation can synthesize pure carbon nanotubes in high fabrication temperature, but large scale display panel can not be fabricated in the high fabrication temperature above the melting point of glass substrate. The arc discharge can synthesize carbon nanotubes in shorter fabrication times, but it has some issues, such

as (1) poor purity, (2) hard to control growth orientations of carbon nanotubes, and (3) poor emission uniformity. Compared to laser ablation and arc discharge, using CVD for carbon nanotube growth has some features, such as (1) high purity carbon nanotubes, (2) selective growth only for catalyst metal, (3) controlling growth direction, and (4) much suitable to semiconductor fabrication procedure. However, with the display technology trend, it is the time for large panel display. We need to synthesize carbon nanotubes using CVDs on large panel substrates. For this reason, carbon nanotube growth at low temperatures is unavoidable, but the purity of carbon nanotubes at low temperatures is poor. So, we still try some methods to increase growth rates at low temperatures, such as (1) multilayer catalysts, (2) plasma CVDs, (3) post-treatment, and so on.



1.3.2 Applications of Carbon Nanotubes

Since the discovery of CNTs in 1991, CNTs had attracted much attention for their unique physical and chemical properties. Their extensively potential applications lead them to become a super star of nano technology, which cover: (1) Chemical sensor [1.42], (2) IR detector, (3) Nano-conducting Wire, (4) Vehicles for Hydrogen Storage [1.43], (5) Field Effect Transistor [1.44], (6) Field Emission Display (FED), (7) Probe of AFM and etc.

In the wide-ranging applications of CNTs, FED arouses researchers' interest particularly. In virtue of the superior field emission characteristics, CNTs are applied to the emitting source of cold cathode. The advantages of FED are its low response time, wide view angle, high brightness, high working temperature range and well combination with mature phosphor technology. However, a major problem needs to be solved in this field. It is not allowed to effectively analyze CNTs on a flat panel at relatively lower temperature ($<500^{\circ}\text{C}$) and this barrier restriction obstructs the development of CNT-FED so far.



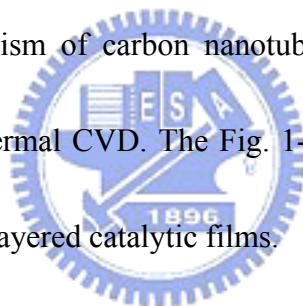
1.4 Motivation

In the growth reaction of CNTs, the diffusion of carbon in the catalyst metal has been believed to be the rate-determining step. The growth rate of CNTs can be described by an Arrhenius equation that the activation energy is the diffusion energy of carbon in the metal [1.45]. According to the Fig. 1-10, PECVD is more suitable method for CNT synthesis because PECVD has the much lower activation energy compared to thermal CVD [1.46]. However PECVD has some drawbacks like plasma uniformity and large panel display fabrication.

There are many methods to enhance the growth rate of carbon nanotubes by thermal CVD, such as (1) finding out the catalyst alloys with the extreme low melting point, (2) nano-size particles preparation, (3) two zone heating method [1.47-1.48], and adding carbon atoms into the pretreatment [1.49-1.51] ... and so on.

We have investigated the “nano-size” method to accomplish carbon nanotube growth at low temperature because its method has three advantages from paper survey. First, nano-size catalyst particles are more active compared to bulk catalyst metals and exhibit the nano-phenomenon effectively. Second, the melting point decreases as the catalyst particle sizes decrease [1.52-1.53]. In particular, K.K. Nanda et al. [1.54] published the model for the relationship between melting point and particle

sizes....Co metal for example Fig. 1-11. Last, at low temperature the solubility of carbon atoms in catalyst metals is low, so the amount of carbon diffusing through the particles is very limited. This suggests growth occurs by surface diffusion of carbon on catalyst metals [1.55-1.56]. As the Fig. 1-12, surface diffusion or surface premelting of nano-size particles takes place when the temperature is raised above 500° C whose temperature is close to 0.4T_m. We supposed that the multilayered catalytic films which can form nano-particles have all the above advantages and synthesized the carbon nanotubes at low temperature (400° C). In addition, we hoped the surface diffusion mechanism of carbon nanotubes using multilayered catalytic films could be realized by thermal CVD. The Fig. 1-13 is the growth mechanism of carbon nanotubes using multilayered catalytic films.

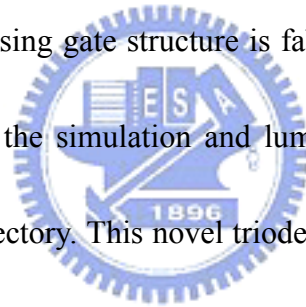


A multilayer catalyst, Co/Ti/Al, was successfully employed to synthesize CNTs at 550°C and 500°C by thermal CVD previously. But morphologies and field emission characteristics are not as good as we thought. Therefore, the priority work is optimum of CNTs using Co/Ti/Al at the low temperature to improve the characteristics of CNTs. Then, using the optimum condition drives down the growth temperature.

The phosphors provide high efficiency into light by bombardments of electrons at enough high voltages of the anode plate. The high-anode voltage improves the qualities of FEDs in color purity and high brightness. The high-anode voltage requires

a large vacuum gap between the cathode and anode plates. The large vacuum gap may cause a problem of beam spreading. Therefore, a focusing structure which could well control the trajectory of electrons and reduce the cross-talk noisy is necessary. Several focusing structures of FED have been announced to overcome the issue of electron spreading, such as planar-electrode type (Fig. 1-14), double-gate type (Fig. 1-15), and mesh-electrode type (Fig. 1-16). Those structures have some drawbacks, such as the complication in the manufacturing process and the reduction in emission current owing to the focusing electrodes.

In this thesis, a self-focusing gate structure is fabricated with simple fabrication processes, and the results of the simulation and luminescent images shows a good controllability in electron trajectory. This novel triode structure with simple processes is promising for the application of FED. We only change the shape of the gate to get the focusing effect. It is simple, but work well.



Chapter 2

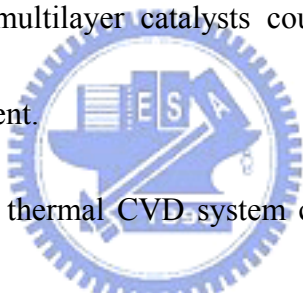
Study on the Carbon Nanotubes Synthesized Using Co/Ti/Al Multilayer Catalyst at Low Temperatures

2.1 Introduction

First of all, we used titanium as the interlayer of the multilayer catalysts for CNT synthesis because it was found the most fitting one for CNT growth at low temperatures. Then, we fabricated diode structure on silicon substrate to improve the morphologies of CNTs. Our group has done the research on multilayer catalysts, but the characteristics were not as good as we thought. So it is important to optimize CNTs at low temperature. Finally, we accomplished kinds of analysis for the above mentioned. The scheme of the whole experimental procedures was shown in Fig. 2-1.

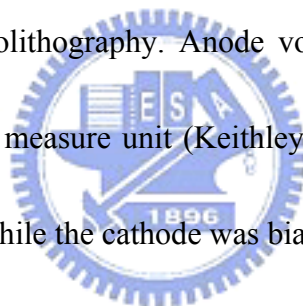
2.2 Experimental Procedure

An n-type silicon wafer with (100) orientation was prepared for the substrate. After the RCA clean and lithography processes, we defined three kinds of patterns (squares of $1000 \cdot 100 \cdot 10 \text{ um}^2$) for CNT field emission arrays. A 2000 \AA Cr layer was deposited by dual E-gun evaporation (JAPAN ULVAC EBX-10C) as the cathode between the substrate and catalysts. The multilayer catalyst (Co/Ti/Al) formed of Al (10 nm), Ti (3 nm), and Co (2 nm) were sequentially deposited on substrates by magnetron sputtering (Ion Tech Microvac 450CB) at the pressure of 7.6×10^{-2} Torr at room temperature. Because the sputtering system consisted of three sputtering sources, the multilayer catalysts could be sequentially sputtered without breaking the vacuum environment.



The atmospheric pressure thermal CVD system consists of a 2-in.-diameter horizontal quartz tube, an electric heating system, reaction gas supply and related mass flow controllers (Fig. 2-2). Samples loaded into the quartz tube were heated to the predetermined temperatures in the range from 370 to 750°C in a nitrogen flow as an oxygen-free ambient. Prior to the CNT growth, hydrogen gas with a flow rate of 50sccm was fed into the reaction tube for 5 min to reduce the catalyst metal to the metallic phase, meanwhile transforming into nanoparticles. Then, carbon nanotubes were grown at designated temperatures with reaction gas, ethylene, at a flow rate of 50sccm for 30 mins; with nitrogen and hydrogen were introduced. After that, samples were furnace-cooled to room temperature in nitrogen flow to fully exhaust the reaction and byproduct gases.

The morphologies of the samples were characterized by scanning electron microscopy (SEM; Hitachi S-4700I). The fine internal structures of nanotubes and catalytic elements of nanoparticles were examined by high-resolution transmission electron microscopy (HRTEM; JEOL JEM-2000EX) and X-ray energy dispersive spectroscopy (EDS), respectively. Field emission characteristics of CNTs were measured with a parallel diode-type configuration in a high-vacuum chamber with the pressure of 5×10^{-6} Torr. A glass substrate coated with indium tin oxide (ITO) and P22 phosphor (ZnS: Cu, Al) was used as the anode plate, and the gap between the cathode and the anode plate was set to be 160 μm . The emitting area was well defined as 0.25 mm^2 by photolithography. Anode voltages up to 1000 V were applied at intervals of 5 V with a source measure unit (Keithley 237) (Fig. 2-3) for the verification of field emission characteristics while the cathode was biased at 0 V.



2.3 Experimental Design

2.3.1 Optimization of CNTs Grown using Co/Ti/Al Multilayer Catalyst

Films

In order to achieve an effective growth of CNTs, it is very important to maintain the optimum carbon concentration in the catalyst by controlling the flow rate of ethylene, hydrogen and nitrogen. The multilayer catalyst (Co/Ti/Al) formed of Al (10 nm), Ti (3 nm), and Co (2 nm) were sequentially deposited on substrates by magnetron sputtering. The whole experimental process was presented schematically in Fig. 2-4. Prior to CNT growth, the catalysts' nano-films were pretreated at 500°C for 5 minutes with 50 sccm H₂, and for 5 minutes with 1000sccm N₂, respectively. Then, we try the different flow rate of N₂, H₂ and C₂H₄ to grow CNTs at 500°C for 30 minutes. First, the growth recipes were fixed the flow rate of C₂H₄ with 75 sccm and changed the ratio of the flow rate of H₂ and N₂ between 0/1000 and 50/950 to find out the best flow rate of the H₂ (Fig. 2-5). Next, we change the flow rate of N₂ ranged from 0 sccm to 5000 sccm to find out the best flow rate of N₂ (Fig. 2-6). Afterwards, we fixed the flow rate of N₂ and N₂ and changed the flow rate of C₂H₄ ranged from 25 sccm to 138 sccm (maximum value) (Fig. 2-7). Finally, this method should be successful to find out the optimum flow rate of reaction gases grown CNTs using multilayer catalyst films with Ti interlayer at 500°C.

2.3.2 Electrical Stress Test

The growth recipe and samples are the same as 2.3.1 for stress test. The electric characteristics are measured by Keithley 237 in a 10^{-6} torr chamber for stress test by 1 hr. We also applied an ITO glass with phosphor coating on it as the anode to observe the luminescent image.

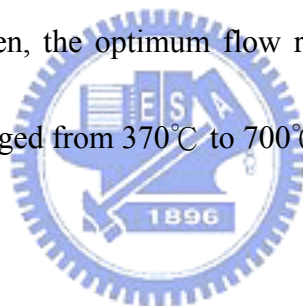
2.3.3 Determined Activation Energy for Co/Ti/Al Multilayer Catalyst

It has been shown that the activation energy characterized for the growth of CNTs by PECVD was lower than that by thermal CVD [2.1] and that the growth limiting step was determined by the diffusion of carbon on catalysts: surface diffusion for PECVD and bulk diffusion for thermal CVD [2.2]. This indicates that effective decomposition of carbon precursors by plasma could enhance the growth rates at low temperatures due to a lower activation energy. Since thermal CVD utilizes thermal energy to assist the decomposition of carbon precursors, a highly active catalyst with a low activation energy is essential for low-temperature synthesis of CNTs. Moreover, the investigation of the activation energy of CNTs grown with highly active catalysts in a thermal CVD system is also necessary for the clarification of the growth mechanism. The multilayer catalyst (Co 2 nm/Ti 3 nm/Al 10 nm) Samples loaded into the quartz tube were heated to the predetermined temperatures in the range from 370 to 700°C in a nitrogen flow as an oxygen-free ambient. Prior to the CNT growth, hydrogen gas with a flow rate of 50sccm was fed into the reaction tube for 5 min to reduce the catalyst metal to the metallic phase, meanwhile transforming into nanoparticles.

Then, carbon nanotubes were grown at designated temperatures with reaction gas, ethylene, nitrogen and hydrogen at the flow rate of optimum value determined by 2.3.1. After that, samples were furnace-cooled to room temperature in nitrogen flow to fully exhaust the reaction and byproduct gases.

2.3.4 Determined Activation Energy of Single and Bilayer catalyst

For comparison, a conventional catalyst of Co with the thickness of 2 nm and the bilayer catalyst (Co 2 nm/Ti 3 nm and Co 2 nm/Al 3 nm) were used as reference samples. The nano-films of catalysts were pretreated for 5 minutes with 50sccm H₂, and for 5 minutes with 1000sccm N₂, respectively. Then, the optimum flow rate of C₂H₄, H₂ and N₂ was added to grow CNTs at temperatures ranged from 370°C to 700°C for 30 minutes.



2.3.5 AFM Analysis

The growth samples are multilayer catalyst (Co/Ti/Al), bilayer catalyst (Co/Ti and Co/Al) and single layer catalyst (Co) as the same thickness mentioned before. The samples are pretreated the 50sccm hydrogen for 5 minutes, then 1000sccm nitrogen for 5 minutes. Finally, the samples are analyzed by AFM for investigation the surface roughness.

2.4 Results and Discussion

2.4.1 Effects of the Flow Rate and Gases

Figure 2-8 (a)-(d) show cross-sectional SEM images of samples deposited at different H_2/N_2 Ratio for the multilayer catalyst (Co/Ti/Al). The corresponding tilt-view image of each sample is shown in the inset. It is clear that the length of nanotubes are changed from different H_2/N_2 ratio and that the cross-sectional morphology changes from short spaghetti-like orientation to vertical forest-like alignment to amorphous carbon film. For experiments where only ethylene is present or adds hydrogen, morphologies are also very different. Thus it appears that atomic hydrogen is important to growth. Excess amounts appear to result in slower growth CNTs and deposition of the amorphous carbon film. Optimum growth rates are likely to be achieved with specific ratios of hydrogen and nitrogen. Surprisingly, the growth rate is less when hydrogen is provided increasingly. This may result from increased levels of atomic hydrogen can act to reduce the rate of decomposition of ethylene on the catalyst surface, but increase the rate of deposition of amorphous carbon(Fig. 2-8(d)). However, if we don't provide hydrogen (Fig. 2-8(a)), there are some amorphous carbon deposited and morphology of CNTs are not good. Therefore, it is a critical role for hydrogen and sensitive to its flow rate. This means that the hydrogen plays a crucial role in the growth of carbon nanotubes, since it dilutes the ethylene gas and cleans the surface of the catalyst.

Figures 2-9 and 2-10 are the field emission characteristics and Raman spectrum of the

samples. The G peak and D peak located on 1580 cm^{-1} and 1350 cm^{-1} . It is well known that the Raman spectrum monitors the state of the sp^2 -bond and sp^3 -bond graphite structure. Most of the carbon atoms in graphite form a sp^2 -bond matrix (G) and the remainders are sites (D). It is very clear that the sample of the ratio of 10sccm hydrogen and 990sccm nitrogen has the best morphology (Fig. 2-8(b)) and characteristics (Table 2.1). So we find out the property flow rate of hydrogen may be 10sccm.

Figure 2-11 (a)-(i) show cross-sectional SEM images of samples deposited at different flow rate of N_2 for the multilayer catalyst (Co/Ti/Al). The corresponding tilt-view image of each sample is shown in the inset. It's obvious that the optimum flow rate of nitrogen is 1000 sccm. Other group says the nitrogen in CNT growth or bamboo-like CNT formation is basically to prolong the passivation of the front catalyst surface to enhance carbon diffusion [2.3]. However, we think the nitrogen is only the role of the carrier gas but nothing else. When its flow rate is too less (Fig. 2-11(a)-(c)), the amorphous carbon is deposited and morphologies are bad. When its flow is too much, the hydrocarbon gas dilutes with too much nitrogen so that the morphologies are not so well (Fig. 2-11(f)-(i)). Fig. 2-12 and Table 2.2 are the field emission characteristics for different flow rate of nitrogen and the Fig. 2-13 is Raman spectrum. The results are obviously clear that the optimum flow rate of the nitrogen is 1000 sccm.

Figure 2-14 (a)-(g) show cross-sectional SEM images of samples deposited at different

flow rate of C_2H_4 for the multilayer catalyst (Co/Ti/Al). It's clear that the ethylene is source of the carbon atoms. There must be some trade off flow rate of C_2H_4 . Too much (Fig. 2-14(g)) or too less source gas (Fig. 2-14(a)-(c)) is not good for CNTs growth. Too less ethylene is not sufficient carbon atoms for CNTs growth. On the contrary, too much C_2H_4 is deposited amorphous carbon film. Fig. 2-15 shows the evidence that the proper flow rate of C_2H_4 effect the crystallization of CNTs and the field emission characteristic (Fig. 2-16) is correspondent to the Raman spectrum. The lower I_D/I_G is, the better field emission characteristic is. The best field emission current density reach to 26.5 mA/cm^2 at 6.25 V/\mu m and turn-on voltage is relatively low (Table 2.3).

According to the results above, we decide the flow rate of hydrogen is 10 sccm, 1000 sccm for nitrogen and 125 sccm for ethylene. The rest of experiments will utilize this optimum value and change the growth time.

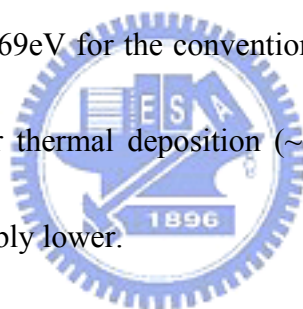
The thermal CVD method has been used to investigate the effects of hydrogen. It appears that atomic hydrogen is important to both nucleation and growth. Optimum growth rates are likely to be achieved with specific flow rate of hydrogen, nitrogen, and ethylene. The growth rate is less when only C_2H_4 is provided without the presence of hydrogen. Excess amounts appear to result in slower growth rates and form the carbon films. As a results, the role of hydrogen during catalyst pretreatment and stage of CNT growth is to promote nano-particle agglomeration and activate the catalyst. The presence of nitrogen can enhance the carbon

dilution and diffuse in the catalyst surface. But, too much N_2 gas caused over-diluted. Ethylene is nothing but only carbon source. The specific flow rates of the gases will influence the crystallization of the CNTs and growth rate.

2.4.2 Activation Energy of Multilayer, Bilayered and Single Catalyst

The growth samples are multilayer catalyst (Co/Ti/Al), bilayer catalyst (Co/Ti and Co/Al) and single layer catalyst (Co) as the same thickness mentioned before. The samples are pretreated the 50 sccm hydrogen for 5 minutes, then 1000 sccm nitrogen for 5 minutes and grow with optimum condition between 370°C and 700°C . Fig. 2-17(a)-1(d) and Fig. 2-18(a)-1(h) show cross-sectional SEM images of samples deposited at different temperatures ($370, 400, 450, 500, 550, 600, 650,$ and 700°C) for the conventional catalyst (Co) and the multilayer one (Co/Ti/Al), respectively. 450 to 700°C grow 30 minutes. Only 370 and 400°C grow 2 and 4 hours, respectively. The corresponding tilt-view image of each sample is shown in the inset. It is clear that the length of nanotubes increases with temperature. It is generally known that as the temperature increases, the activity of catalysts and reactivity of carbon precursors become higher, and thus the growth rates could be greatly enhanced. Therefore, more active nucleation sites could be formed at higher temperatures, resulting in higher densities of CNTs. A high nanotube nucleation density combined with fast growth rates would contribute to the vertical alignment of CNTs due to a steric effect (crowding effect): the confinement by neighboring nanotubes leads to a preferred growth direction perpendicular

to the substrates [2.4]. It is worth noting that CNTs could not be grown at the low temperatures of 400 and 370°C for the samples of conventional catalyst (compared with those of the multilayer catalyst). Despite some curly or wavy nanotubes grown at different temperatures, a reasonable estimation of the average length and corresponding growth rates can be obtained from the cross-sectional images, and the average growth rate of CNTs as a function of temperature, that is, Arrhenius plots of growth rate (nm/s) vs $1/T$ (K^{-1}), are plotted in Fig. 2-19, and used to derive the activation energy. The data fit well to a linear interpolation, providing an effective activation energy of 0.903eV for the multilayer catalyst, and the activation energy of 1.69eV for the conventional catalyst in this work is close to the diffusion energy of carbon for thermal deposition (~ 1.2 - 1.8 eV) [2.2], whereas that for the multilayer catalyst is considerably lower.



Figures 2-20 and 2-21 show cross-sectional SEM images of samples deposited at different temperatures (500, 550, 600, 650, and 700°C) for the bilayer catalyst (Co/Ti) and the bilayer one (Co/Al), respectively. The data fit well to a linear interpolation, providing an effective activation energy of 1.04eV for the bilayer catalyst (Co/Ti), and the activation energy of 1.01 eV for the bilayer one (Co/Al) (Fig. 2-22).

Figure 2-23 shows HRTEM images of nanotubes grown with the multilayer catalyst at 400, 450, 500, 550, and 700°C, and reveals a closed tip filled with catalytic metal particles and a multiwalled structure consisting of the wavy graphite sheets aligned parallel to the tube

axis. The outer graphitic sheets are usually less crystalline than the inner ones, and more defects are shown in the outer surface of nanotubes. The corresponding EDS analysis of the catalytic particle is shown in Fig. 2-24, confirming that the particle is composed of cobalt. Neither Al nor Ti peak appears in the figure, and the Cu signal originates from the TEM microgrid. This result is similar to those of other literature in which binary catalysts were employed [2.5-2.6], and indicates that only Co particles directly participate in the growth of nanotubes and that Ti and Al thin films are not involved in the growth of nanotubes but have other functions instead.

2.4.3 Reliability for Electrical Stress Test

Figure 2-25 shows samples from 2.3.1 test for stress and Table 2.4 presents the statistic data. The reliability increase when the process gases tend to optimum flow rate. It is correspondent to Raman spectrum because the crystallized CNTs are more reliable than amorphous one.

2.4.4 Roles of Al and Ti Determined by AFM and SEM

An intermediate layer, Ti, is generally used as a buffer layer to improve the adhesion of nanostructured carbon films by the formation of chemical bonds with carbon, and hence stable carbide compound (TiC), according to the C-Ti phase diagram (Fig. 2-26). Moreover, we assume that Ti used as a co-catalyst tended to decrease the growth temperature of curled nanofibers to 400°C because of more carbon supply. It is conjectured that carbon precursors

decomposed by the Ti co-catalyst thin film provide more atomic carbon for Co catalytic nanoparticles, thereby enhancing the growth of carbon nanotubes at low temperatures. Therefore, the Ti intermediate layer serves as a growth-enhancing layer. Without the intermediate layer (Ti), nanotubes could not grow well at a low temperature of 500°C. Consequently, the employment of the Ti layer would effectively enhance the activity of catalysts at low temperatures.

Figure 2-27 shows the AFM images of the samples fabricated with the conventional catalyst, the bilayer catalyst and the multilayer catalyst, after pretreatment processes at 500°C.

It is obvious that well-distributed nanoparticles could be achieved in the sample with the multilayer catalyst, whereas that prepared with the conventional catalyst shows unwell-distributed and even morphologies. It is conjectured that the thin aluminum layer transforms into aluminum oxide during the heating step due to the residual oxygen in the reaction chamber [2.7]. Jodin *et al.* [2.8] reported that catalysts combining with fumed alumina nanoparticles acting as a support could prevent agglomeration during the CVD growth process, that is, the alumina support facilitates the uniform distribution of the catalytic nanoparticles and preserves their small sizes for CNT growth. Moreover, compared with a flat substrate coated with metal catalyst clusters, a buffer layer-supported catalyst has the advantage of a higher active surface area, thus more active sites for nucleation [2.9-2.10]. The bilayer catalyst is worse than the multilayer one because it is lack of aluminum for uniform

distribute. And without Al, its surface roughness decreases (Fig. 2-27(b)). Therefore, aluminum is a good support layer for enhancing the growth of nanotubes. However, bilayer catalyst is better than single layer one. It is indirect to prove that the Ti layer improves the growth of CNTs by form TiC. From Fig. 2-28, the X-ray photoelectron spectroscopy (XPS) analyzes the TiC phase exist on multilayer catalyst after growth at 500°C. From the Fig. 2-29, the SEM images show the Al layer plays a role of the uniformity of the particles. Meanwhile, it can also be function as shrinking down the particle size. The results correspond to the AFM images.



Chapter 3

A Field Emission Device with the Novel Self-Focusing Gate Structure

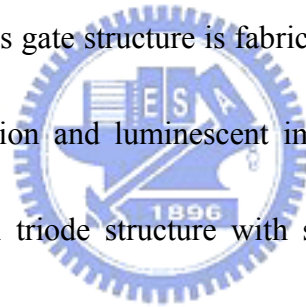
3.1 Introduction

In most of field emission device (FED) panels the phosphor materials used in CRT screens are still used. These phosphors provide high efficiency into light by bombardments of electrons at enough high voltages of the anode plate. The high-anode voltage improves the qualities of FEDs in color purity and high brightness. The high-anode voltage requires a large vacuum gap between the cathode and anode plates. The large vacuum gap may cause a problem of beam spreading. Therefore, a focusing structure which could well control the trajectory of electrons and reduce the cross-talk noisy is necessary. Several focusing structures of FED have been announced to overcome the issue of electron spreading, such as planar-electrode type [3.1], double-gate type [3.2-3.4], and mesh-electrode type [3.5]. In the double-gate type, a focusing electrode is stacked on the gate electrode with an additional insulating layer. In this structure the additional insulating layer is required to sustain electrical breakdown between gate and focusing voltages. If thick film is used as the insulating layer,

there may occur to several problems, such as the deformation of substrate due to the additional thermal process, the change of material property of gate electrodes, and difficulty of formation of CNT emitters within gate apertures.

The planar electrode locates on the coplane with the gate electrodes. This structure is simple in manufacturing process, but is restricted in high resolution. In the mesh-electrode type, a metal mesh is inserted between the cathode and anode. The mesh type is effective in focusing scheme, but could be restrictive in the extension to a large-size panel and difficulty in assembly.

In this chapter, a self-focus gate structure is fabricated with simple fabrication processes, and the results of the simulation and luminescent images shows a good controllability in electron trajectory. This novel triode structure with simple processes is promising for the application of FED. We only change the shape of the gate to get the focusing effect. It is simple, but work well. This can make this structure scalable in extension to a large-area panel and feasible in manufacturing high-resolution devices. This self-focus cathode structure is expected to have an excellent focusing effect in spite of the simple process and structure.



3.2 Simulations

3.2.1 Devices Design and Simulations

Simulations were performed to investigate the beam spreading of emission electrons with commercial software (SIMION-3D) using the finite element method. It was found that an initial energy and divergence angles of e-beams at the moment of emission from CNTs were 5 eV and $-90^{\circ}\sim+90^{\circ}$, respectively [3.6]. The thickness of silicon oxide layer formed by plasma-enhanced chemical vapor deposition (PECVD) is 1 μm . In simulations, emission of electrons is assumed on a flat surface of CNT emitters. The voltage between the cathode and anode plates is applied with 1 kV with the spacing of 550 μm , while the gate voltage is applied at 80 V.



The conventional device [3.7] has a surrounding gate so that electrons are emitted from the peripheral area of the extraction gate. Fig. 3-1 shows the cross-section view of the device. The spot size has strong relation to gate length. From Fig. 3-2, when the length of the extraction gate (red area) is ranged from 20 μm to infinite, the simulations are clear that the range of the electron beam from emission site (green area) is strongly related to the gate length which can change the electric potential. The red lines are equipotential lines.

It very clear that the gate length correlate to the electric potential which can influence the electron beam trajectories. So if there is a way to design a proper shape of the gate, the focusing effect may improve by easier method. The whole idea is that utilized a

non-symmetric gate area to impact the trajectories of the electron beam. Fig. 3-3 shows the emission sites (green area) are by the both side of extraction gate (red area). However, the focusing effect is unsatisfied. The problem is that extraction gate area is too large so that it creates too strong electric field. Therefore, if gate area can be designed properly, the focusing effect can be achieved.

Figure 3-4 shows the novel self-focusing structure which designs to achieve the focusing effect. The simulation (Fig. 3-5) reveals that is perfect impact on trajectories of the electron beam when the extraction gate splits into two pieces. The self-focusing gate structure has a symmetric extraction gate area, which consists of a pair of linear electrodes closed to the emission region of CNTs. The spot size shrinks down to the 232 μm . It is astonishing that can change the spot size so easily by this simple method.

Simulation results show that the self-focus structure has a spot size of 232 μm in length on the anodic plate, while conventional one has 622 μm in length. It's clear that the novel structure could effectively reduce the spot size on the anodic palate, therefore achieving a better focusing effect.

3.3 Experimental Procedure

Fig. 3-4 illustrates the schematic diagrams of the top and cross-sectional views of the CNT field emission devices self-focusing gate structures. The conventional gate structure shown in Fig. 3-1 has a square area of CNT emitters within the gate aperture, that is, the emitters were surrounded with the gate electrode, which is similar to the normal gate structure. The fabrication processes are similar to previous work described in detail elsewhere [3.8]. The fabrication procedures of the triode structure for CNT-FED were shown in Fig. 3-6. A (100) n-type silicon wafer as the substrate was cleaned by RCA clean. As shown in Fig. 3-6(a), 2000Å Cr as the cathode, 1 μm SiO₂, and 2000Å Cr as the gate were deposited layer by layer using the E Gun, Plasma Enhanced Chemical Vapor Deposition (PECVD), and E Gun, respectively. Then there are two masks in the lithography process as Fig. 3-6(b). One whose shape is stripe is to define the gate region and isolate the neighbor devices. The other one whose shape is square is to define the catalyst metal deposition region. As described in Fig. 3-6(c), the gate and SiO₂ were etched in the wet and dry etching (HDP-RIE), respectively. With the previously patterned photoresist layer as the shadow mask, 100Å Al, 30Å Ti, and 20Å Co were deposited on the patterned Cr cathode by Sputter (Fig. 3-6(d)). Finally, the Al and catalyst layers on photoresist were removed by the lift-off method as presented in Fig. 3-6(e), and transferred into the thermal CVD chamber for CNT growth immediately (Fig. 3-6(f)).

CNTs were grown with a multilayer catalyst at atmospheric pressure by thermal CVD. The multilayer catalyst (Co/Ti/Al) form of Al (10nm), Ti (3nm), and Co (2nm) were sequentially deposited by magnetron sputtering. Samples with catalysts loaded into the quartz tube were heated to the designate temperature of 500°C in a nitrogen flow, and followed by a pretreatment process with hydrogen gas of 50scm. Then, nanotubes were synthesized with reaction gases, ethylene and hydrogen, at flow rates of 10 and 125 sccm, respectively for 30 minutes. The whole growth recipe is the same as mentioned before (chapter 2).



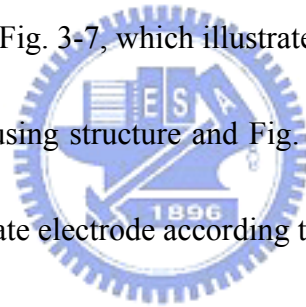
3.4 Results and Discussion

Field emission devices with a novel self-focusing gate structure using CNTs as emitters have been fabricated. Without additional focusing electrodes, the self-focusing gate structure utilizes a pair of gate electrodes parallel with the vicinity of emitters, which results in an asymmetric emission area as compared with the conventional gate structure. Therefore, electrons emit from the emitters give rise to an overlapping region on the anode plate so that a reduction of spot size has been achieved. According to the simulation results and luminescent images, this self-focusing gate structure had a well controllability on the trajectory of electrons, and therefore showed a smaller luminescent spot size than the conventional one. Because of the overlapping of electron beams, the luminescent spot sizes could be remarkably reduced to 232 μm in x direction as compared with 622 μm for the conventional gate structure which had a serious issue of beam divergence.

Figure 2-23 shows a HRTEM image of nanotubes grown with the multilayer catalyst at 500 $^{\circ}\text{C}$, which reveals a closed tip filled with catalytic metal particles and a multiwalled structure consisting of the wavy graphite sheets aligned parallel to the tube axis. The inner and outer diameters are about 10 nm and 25 nm, respectively. The correlative Raman spectrum of nanotubes shown in Fig. 2-15(a) indicates that the intensity of D-band (1250~1450 cm^{-1}) is larger than that of G-band (1550~1600 cm^{-1}). It is well known that the crystallinity of nanotubes synthesized at low temperatures is poorer than those grown at

higher temperatures due to the formation of vacancies, grain boundaries or other defects, and furthermore the deposition of amorphous carbon in outer walls. It is noted that the fine structure of nanotubes shown in Fig. 2-23 consists of an outer layer of amorphous carbon, which is conjectured to be the factor giving rise to a high intensity of D-band.

The conventional device has a surrounding gate so that electrons are emitted from the peripheral area of the extraction gate. In the contrary, the self-focusing gate structure has a symmetric extraction gate area, which consists of a pair of linear electrodes closed to the emission region of CNTs. The SEM micrograph of the self-focusing gate structure and conventional one are shown in Fig. 3-7, which illustrates the top view of the device. Fig. 3-8(a) is shown the zoom-in self-focusing structure and Fig. 3-8(b) is the cross-section view of the CNT emitters adjacent to the gate electrode according to area circled in Fig. 3-8(a).



The field emission characteristics of devices with conventional and self-focusing gate structures are measured in a high-vacuum chamber with the pressure of 5×10^{-6} Torr. The field emission curve of current density versus extraction gate voltage is shown in Fig. 3-9, and the inset represents the corresponding FN plot. Although conventional structure has a high emission current density than novel one, there is no enormous difference between them.

The photo-luminescent images taken via CCD camera are shown in Fig. 3-10. The spot sizes are consistent with the simulation results, indicating that the self-focusing structure has good functionality in controlling the spot size of electron beams. The conventional gate

structure without focusing electrodes could not well confine the electron beam due to the divergence of electron trajectories so as to give rise to a large spot (Fig. 3-10(a)) on the plate, which would result in a serious cross-talk noise between pixels. Therefore, it is elucidated that the self-focusing structure without additional focusing electrodes could efficiently reduce the spot size (Fig. 3-10(b)) on the anodic plate, thus alleviating the issue of the electron beam divergence.



Chapter 4

Summary and Conclusions

4 Summary and Conclusions

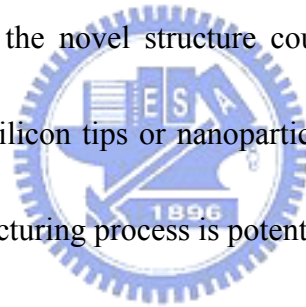
The direct growth of CNTs on various substrates by thermal CVD at low temperatures has been researched for the fabrication of field emission displays. Owing to the higher throughput and better uniformity, thermal CVD is still the most attractive method for CNT growth. Although using soda lime glass substrate indeed costs down, the melting point of 550 °C restricts the processing temperature at which CNTs are synthesized by thermal CVD. The growth conditions of the CNTs have been successfully optimized for thermal CVD. In order to achieve an effective growth of CNTs, it is very important to control the optimum carbon concentration in the catalyst by controlling the flow rates of ethylene, hydrogen, and nitrogen. According to the experimental results, the appropriate choice of the flow rates of hydrogen, nitrogen and ethylene are 10, 100, and 125 sccm, respectively. The optimum flow rate condition is testified by SEM, Raman spectrum and CNTs' field emission characteristics included density and stress. The thermal CVD method has been used to investigate the effects of hydrogen. It appears that atomic hydrogen is important to both nucleation and growth.

Optimum growth rates are likely to be achieved with specific flow rate of hydrogen, nitrogen, and ethylene. The growth rate is less when only C₂H₄ is provided without the presence of hydrogen. Excess amounts appear to result in slower growth rates and form the carbon films. As a results, the role of hydrogen during catalyst pretreatment and stage of CNT growth is to promote nano-particle agglomeration and activate the catalyst. The presence of nitrogen can enhance the carbon dilution and diffuse in the catalyst surface. But, too much N₂ gas caused over-diluted. Ethylene is nothing but only carbon source.

A multilayer catalyst (Co/Ti/Al) can be employed to enhance the growth of CNTs at a low temperature of 500°C, even at 370°C, in the atmospheric-pressure thermal CVD with optimum growth condition is as mentioned above. The temperature-dependent growth rate in the Arrhenius plot for the multilayer catalyst revealed an activation energy of 0.89eV, which is much lower than that required for the conventional catalyst in thermal decomposition (1.54eV). The fact that CNTs could be deposited at low temperatures with multilayer catalyst was ascribe to the combination of well-distributed small catalytic nanoparticles due to the Al supporting layer and the higher activity due to the Ti interlayer. CNTs grown at 500°C with the multilayer catalyst exhibited a high emission current density of 26.5mA/cm² which appears to be promising for their application to FEDs.

A novel self-focusing gate structure with CNT emitters has been shown to have shows a good controllability in luminescent spot size as compared with those with conventional

structure. The results of simulations and luminescent images clearly indicate that the self-focusing gate structure employed a pair of gate electrodes close to the emitters could cause an asymmetric emission area and the emitted electrons traveling through the spacing between cathode and anode plates would give rise to an overlapping region on the anode plate. Because of the overlapping of electron beams, the luminescent spot size could be reduced as compared with conventional gate structure which has a serious issue of beam divergence. The spot sizes of the conventional structures are improved from 622 μm to 440 μm which correspond to gate lengths. In contrast, the spot size of the novel structure can shrink down to 232 μm . In addition to CNTs, the novel structure could be applied to all kinds of emitter materials, such as ZnO rods, silicon tips or nanoparticles. As a result, the self-focusing gate structure with a simple manufacturing process is potential for the applications in FEDs.



Tables

- **Table 1.1 Comparison between vacuum microelectronics and solid-state electronics**

Items	Solid State Microelectronics	Vacuum Microelectronics
Current Density	$10^4 - 10^5$ (A/cm ²)	similar
Turn-on Voltage	0.1 – 0.7 V	5 – 300 V
Structure	solid/solid interface	solid/vacuum interface
Electron Transport	in solid	in vacuum
Electron Velocity	3×10^7 (cm/sec)	3×10^{10} (cm/sec)
Flicker Noise	due to interface	due to emission
Thermal & Short Noise	comparable	comparable
Electron Energy	< 0.3 eV	a few to 1000 eV
Cut-off Frequency	< 20 GHz (Si) & 100 GHz (GaAs)	< 100 – 1000 GHz
Power	small – medium	medium – large
Radiation Hardness	poor	excellent
Temperature Effect	-30 – 50 °C	< 500 °C
Fabrication & Materials	well established (Si) & fairly well (GaAs)	not well established

- Table 1.2 Some comparison of several kinds of flat panel displays

Types	CRT	OLED	TFT-LCD	PDP
Thin panel	Poor	Best	Better	Good
Large panel	Good	Good	Good	Best
View angle	Better	Best	Good	Better
Response speed	Better	Best	Good	Better
lightness	Best	Better	Better	Better
High resolution	Better	Best	Best	Good
Low power consumption	Good	Good	Better	Good
Life	Best	Good	Better	Better
Low cost	Best	Good	Better	Poor
Contrast	Best	Good	Better	Better
Color modulation	Best	Good	Better	Best

● Table 1.3 Some comparison of several kinds of field emission displays

表 1 各種 FED 技術比較

方式	BSD 型	Spindt 型	SED 型	MIS 型	MIM 型	Diamond 粒子型	CNT 型
放射機制	彈道電導效果	高電場放出	MIM 構造 donor 電子引出	Host 電子 tunnel 效果	Host 電子 tunnel 效果	高電場放出	高電場放出
動作電壓	15~30V	30~80V	10~20V	80~110V	10V (+5)	3500V	數百~數千 V
放射電流	2.6mA / cm ²	50A / cm ² (NEC)	2mA / cm ²	1.4mA / cm ²	5.8mA / cm ²	1mA / cm ²	0.01~1A / cm ²
真空度依存性	1~10Pa	10-5Pa 以下	10-6Pa	10-4Pa	10-4Pa	~ 4x10-5Pa	10-5 ~ 10-6Pa
製法	陽極氧化	微機械	網版印刷	CVD	陽極氧化	微波 PCVD	印刷法, CVD
放射效率	1%	--	<1%	28%	0.5%	--	--
現況	2.6 吋 53x40 畫素 彩色面板製作中	15 吋 320x240 畫素 彩色面板試作	10 吋 240x240 畫素 全彩面板試作	面發光確認 面板試作	20x60 畫素 彩色面板試作	面發光確認	Display 試作
開發廠商	松下電工	PixTech, Candescant Motorola (USA), 東芝 雙葉電子, Sony, NEC Fujitsu 三菱電機, Samsung,	Canon, 東芝	Pioneer	日立	松下電器, SI Diamond Tech (USA)	伊勢電子, NEC, Samsung, ERSO / ITRI

資料來源：Toray Research Center / PIDA

- **Table 2.1 Field emission characteristics with different ratio of H₂/N₂**

H ₂ / N ₂ (sccm)	0 / 1000	10 / 990	20 / 980	50 / 950
Turn on Field (J≈10uA/cm ²)	3.46 V/um	3.43 V/um	4.28 V/um	N/A
Anode current (E≈6 V/um)	4.72 mA/cm ²	16.2 mA/cm ²	1.43 mA/cm ²	N/A

- **Table 2.2 Field emission characteristics with different flow rate of N₂**



N ₂	0	250	500	750
Turn on Field	3.69 V/um	4.48 V/um	4.12 V/um	2.84 V/um
Anode Current	8.28 mA/cm ²	0.69 mA/cm ²	3.35 mA/cm ²	11.92 mA/cm ²
1000	1250	1500	2000	5000
2.27 V/um	3.71 V/um	3.75 V/um	4.81 V/um	5.78 V/um
17.52 mA/cm ²	0.96 mA/cm ²	1.48 mA/cm ²	0.37 mA/cm ²	0.04 mA/cm ²

- Table 2.3 Field emission characteristics with different flow rate of C₂H₄

C ₂ H ₄ (sccm)	25	50	75	100
Turn on Field (J≈10uA/cm ²)	4.46 V/um	3.91 V/um	2.53 V/um	2.01 V/um
Anode Current (E≈6.25 V/um)	0.58 mA/cm ²	6.84 mA/cm ²	17.44 mA/cm ²	19.4 mA/cm ²

125	138
1.81 V/um	3.41 V/um
26.5 mA/cm ²	22.1 mA/cm ²

- Table 2.4 Field emission stress test (a) with different ratio H₂/N₂, (b) with different flow rate of N₂ (c) with different flow rate of C₂H₄

(a)

H ₂ / N ₂ (sccm)	0/1000	10/990	20/980	50/950
fluctuation	42.5%	33.78%	52.04%	N/A

(b)

N ₂ (sccm)	0	250	500	750
fluctuation	89.2%	92.2%	31.8%	41.4%
1000	1250	1500	2000	5000
30.2%	98.2%	88.2%	81.7%	70.1%

(c)

C ₂ H ₄ (sccm)	25	50	75	100	125	138
fluctuation	68.2%	49.2%	34.2 %	62.2 %	30.1 %	39.7%

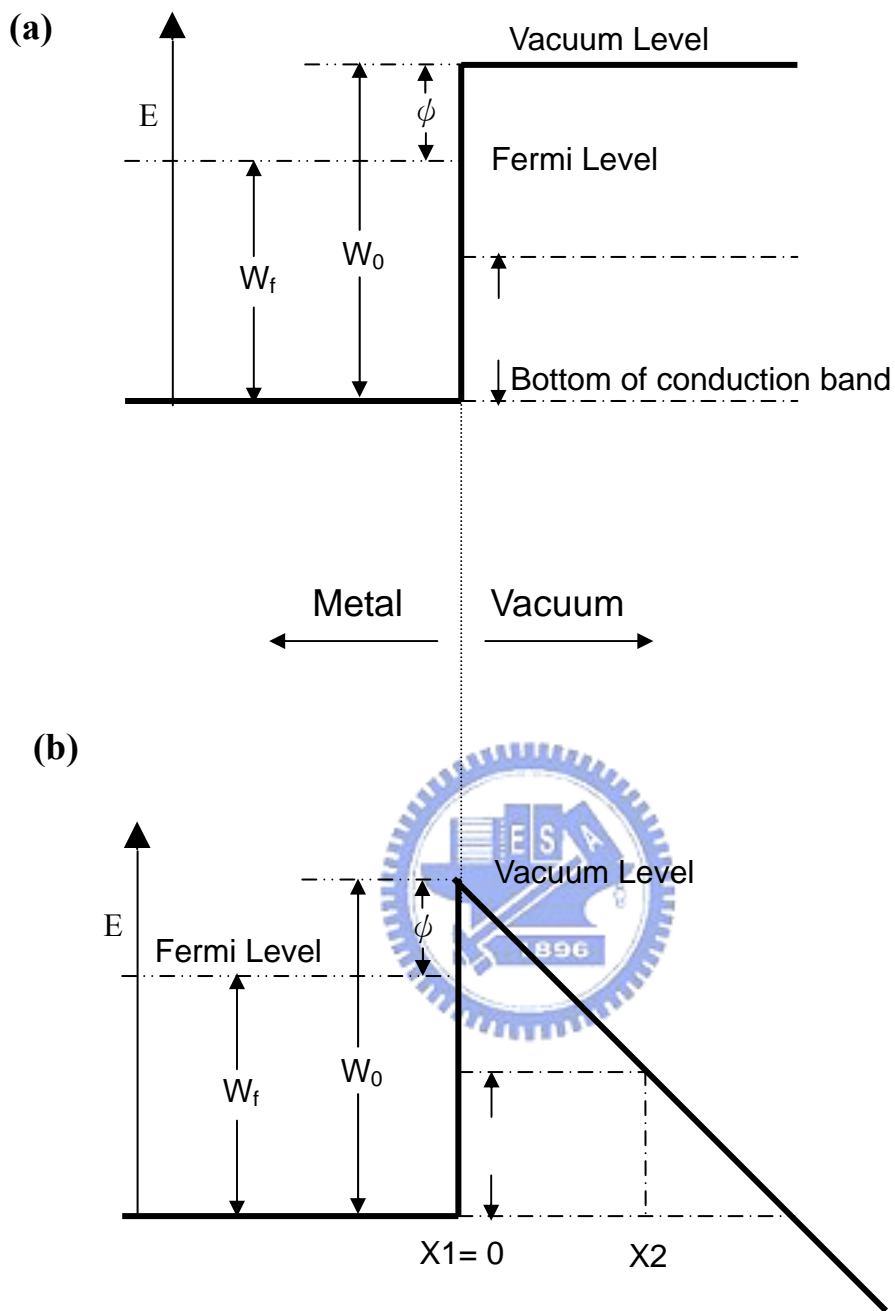


Fig. 1-1 Energy diagrams of vacuum-metal boundary: (a) without external electric field; and (b) with an external electric field.



Fig. 1-2 The figure is the applications of flat panel display

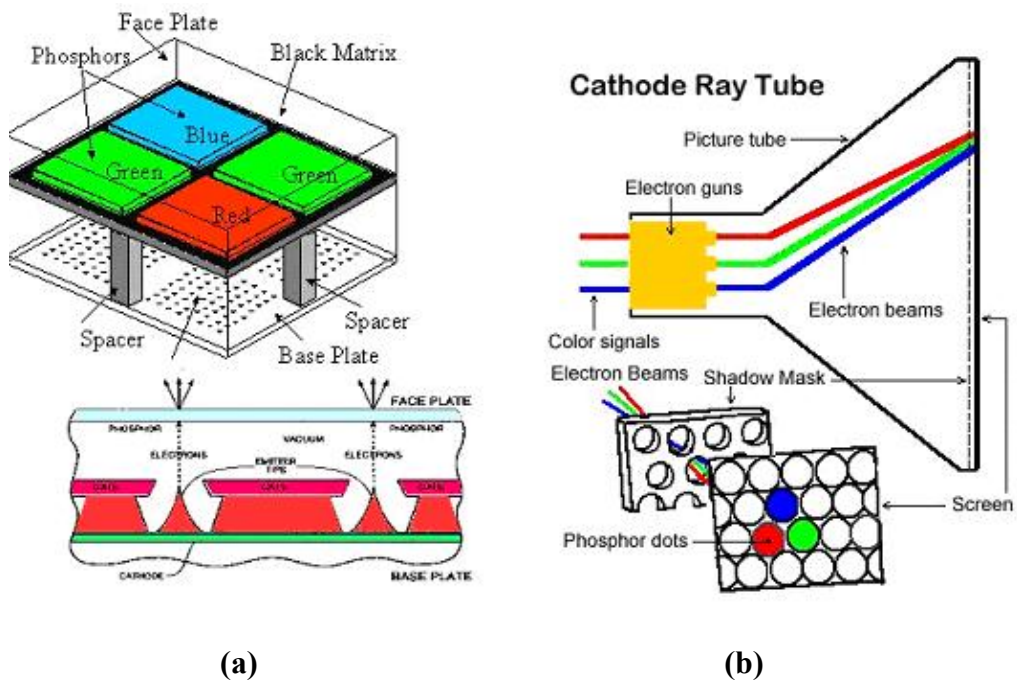
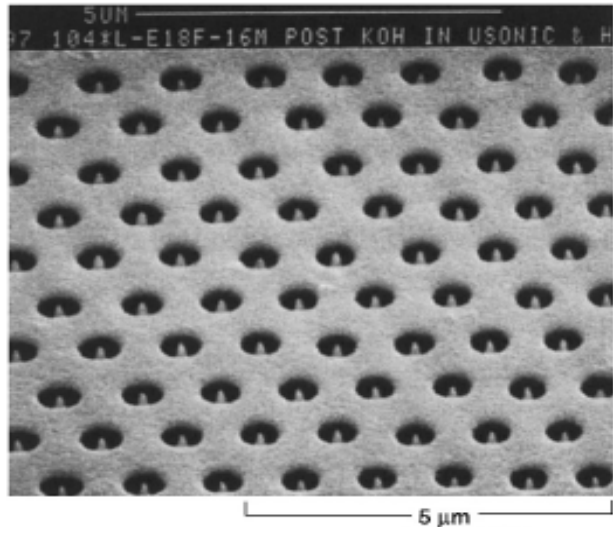
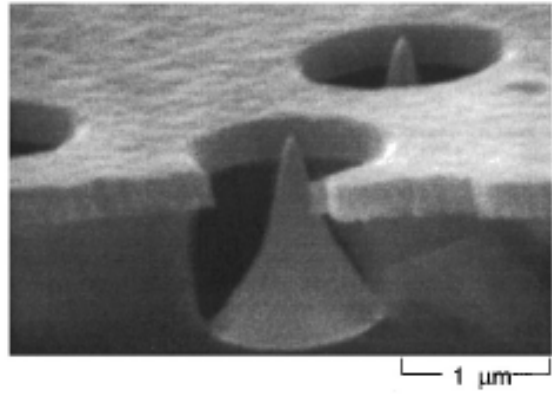


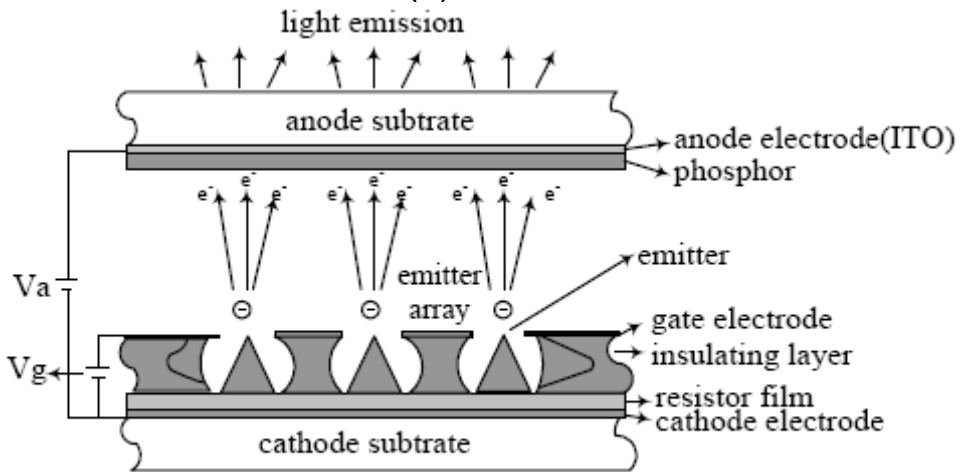
Fig. 1-3 The schematic diagram of (a) field emission arrays (FEAs), (b) conventional cathode ray tube (CRT)



(a)

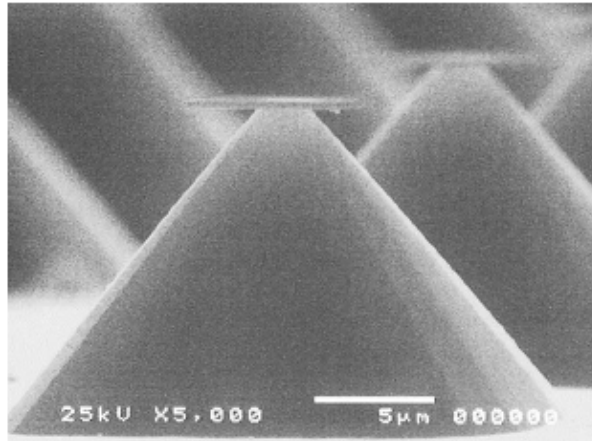


(b)

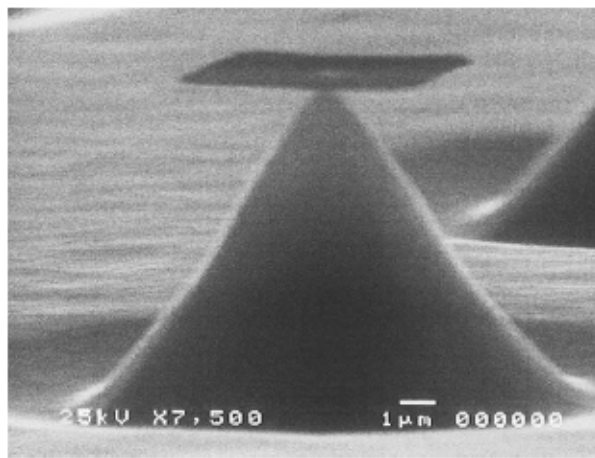


資料來源：Futaba / PIDA 整理

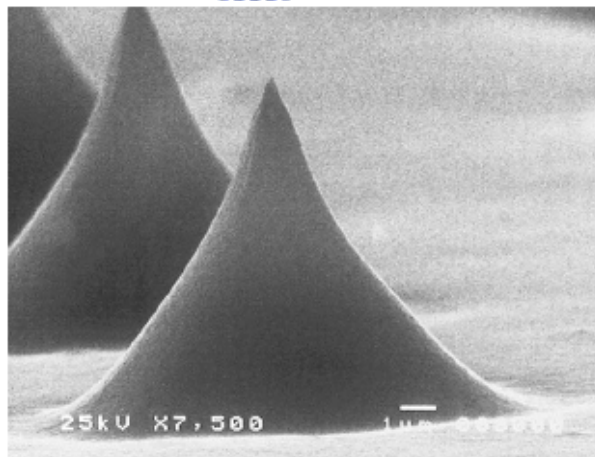
Fig. 1-4 The SEM micrograph of (a) Spindt type triodes array, (b) Spindt type field emission triode, and the schematic image of (c) Spindt type triode diagram



(a)



(b)



(c)

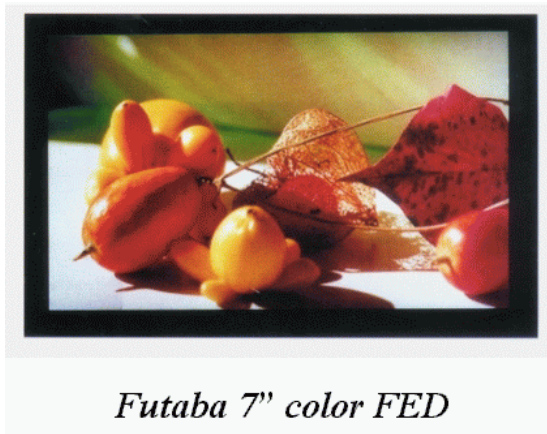
Fig. 1-5 The SEM images of (a) pyramidal structures formed by wet etching, (b) the silicon tips formed by SF₆ plasma etching ,(c) silicon tips sharpened by thermal oxidation



(a)



(b)

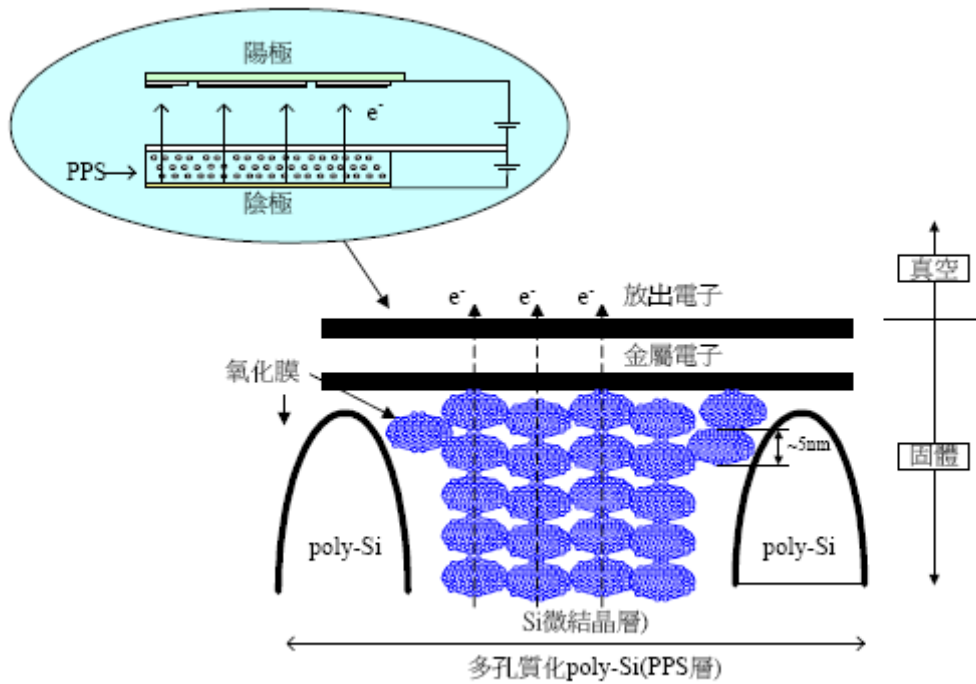


(c)



(d)

Fig. 1-6 The FED products based on Spindt type field emitters, (a) motorola 5.6" color FED, (b) Pixtech 15" color FED, (c) Futaba 7" color FED and (d) Sony/Candescent 13.1" color FED



資料來源：Toray Research Center / PIDA 重製

Fig. 1-7 This diagram is the field emission mechanism of BSD

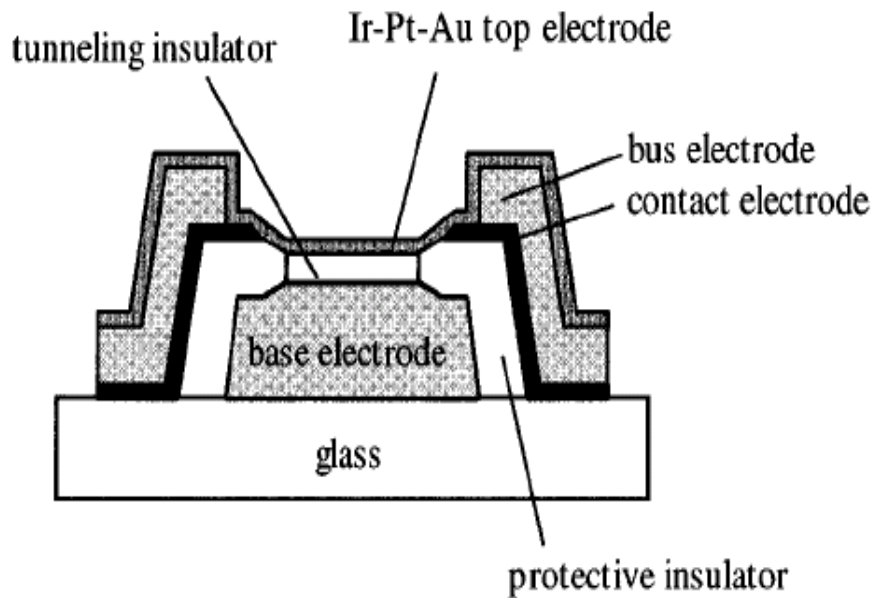


Fig. 1-8 Structure of an MIM device

圖 6 SCE 型 FED 結構圖

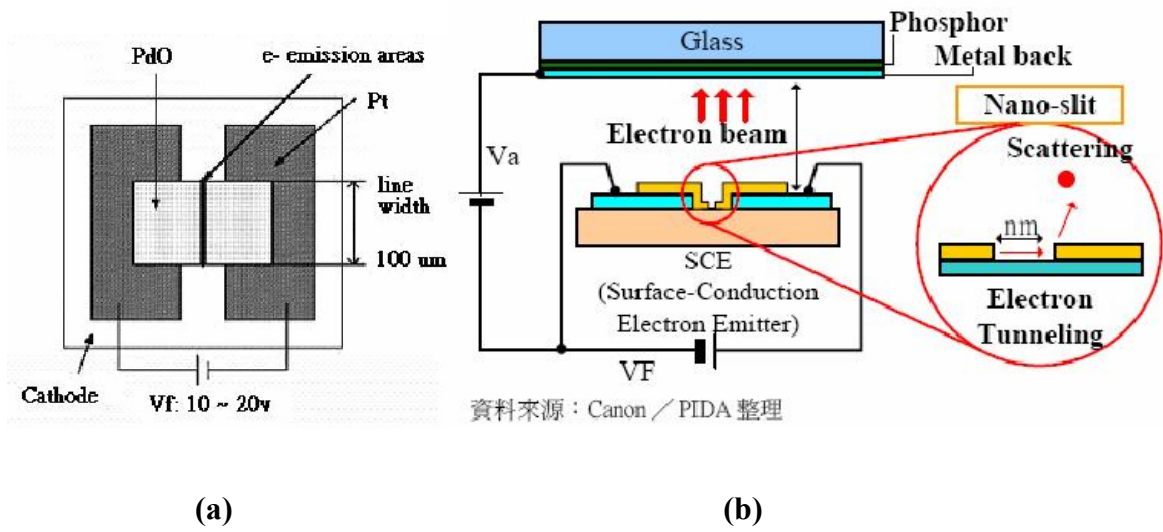


Fig. 1-9 (a) The SCE top view, (b) the field emission operation diagram of SCE

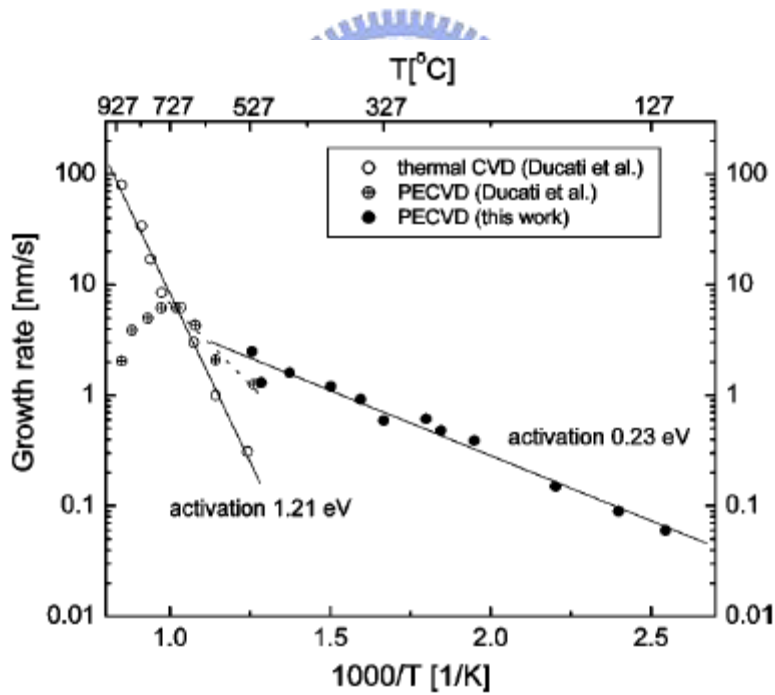


Fig. 1-10 The growth rate variation with temperature for thermal CVD and PECVD.

The data points for thermal CVD and high temperature PECVD are from previous data by Ducati *et al.*. The values for the activation energies were calculated from a linear interpolation of the slopes

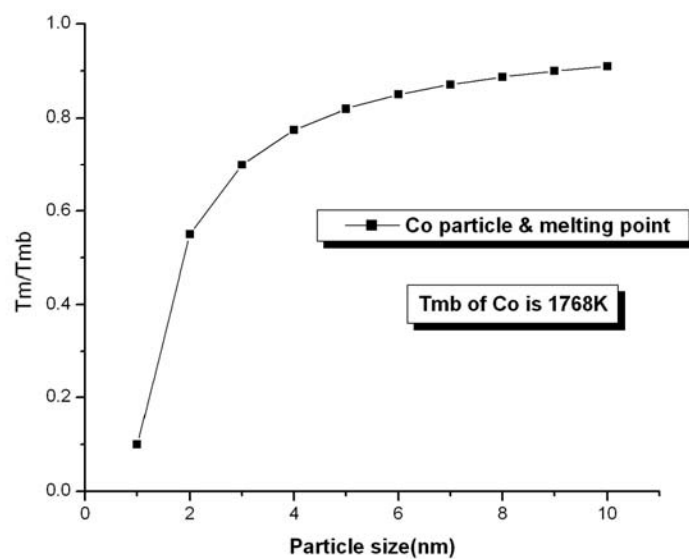


Fig. 1-11 The relationship between melting point and Co particle sizes.

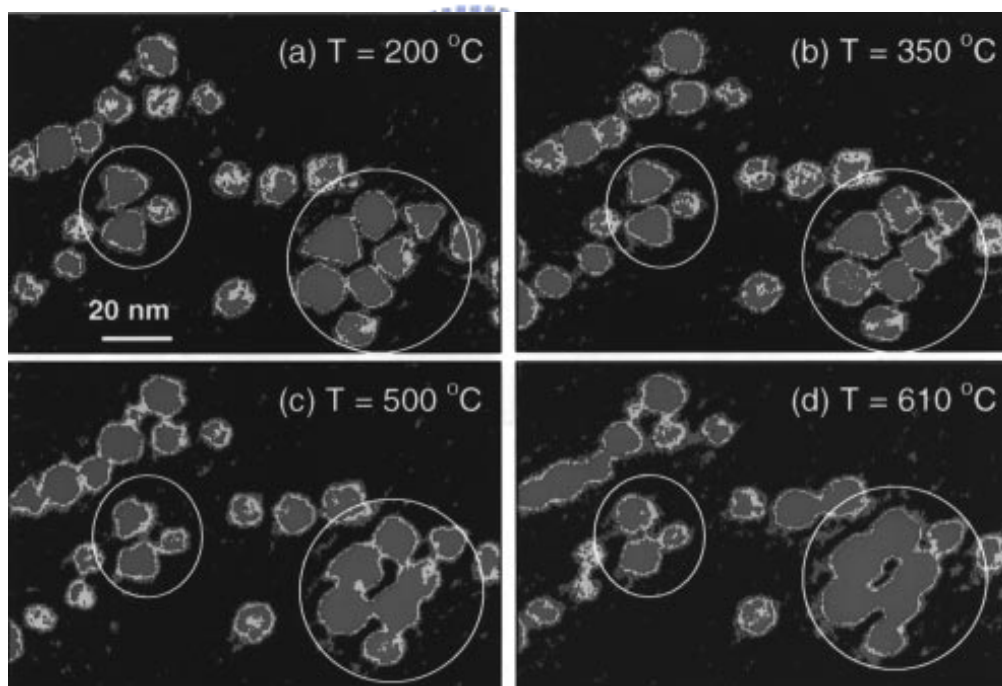


Fig. 1-12 In-situ TEM images recorded from a region of capped Pt nanocrystals at various specimen temperatures. Surface diffusion or surface premelting of nano-size particles takes place when the temperature is raised above 500° C.[2.41]

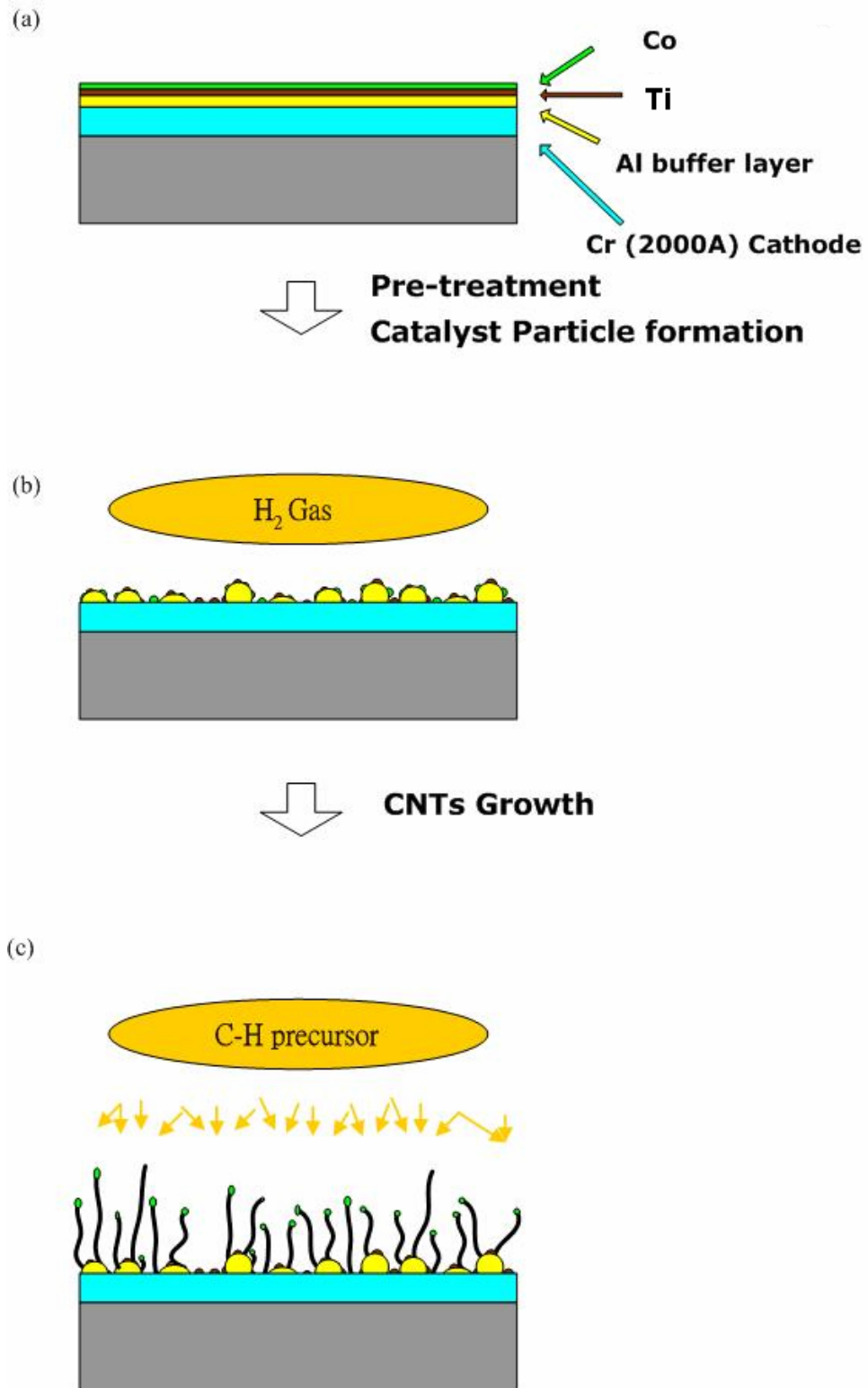


Fig. 1-13 The schematic diagram for the CNT growth using multilayered catalyst (a) Multilayer deposition (b) Catalyst particles formation after pretreatment (c) CNT growth.

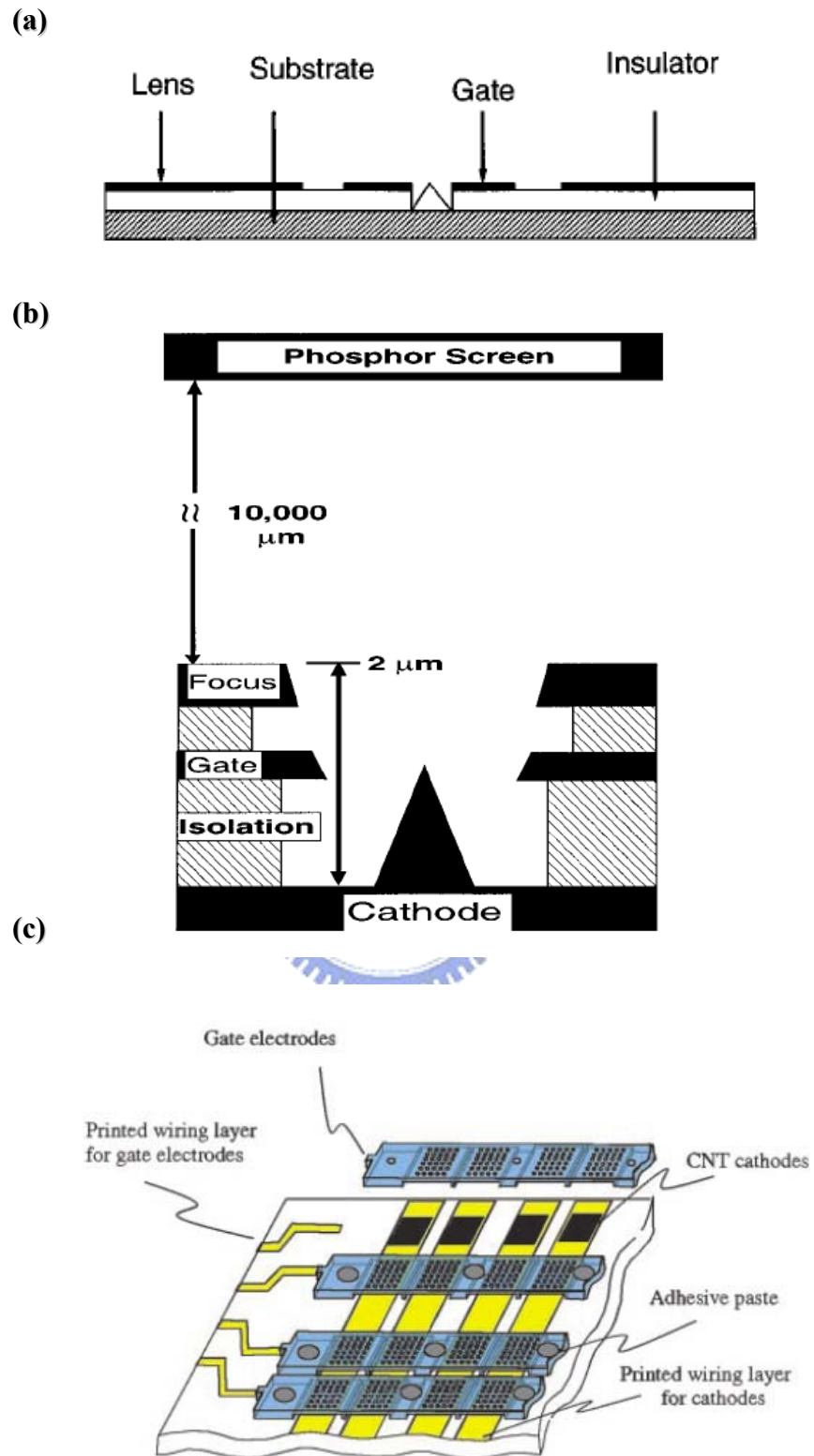


Fig. 1-14 Examples of various structures (a) planar gate [3-1] (b) double-gate [3-2] (c) mesh gate [3-5]

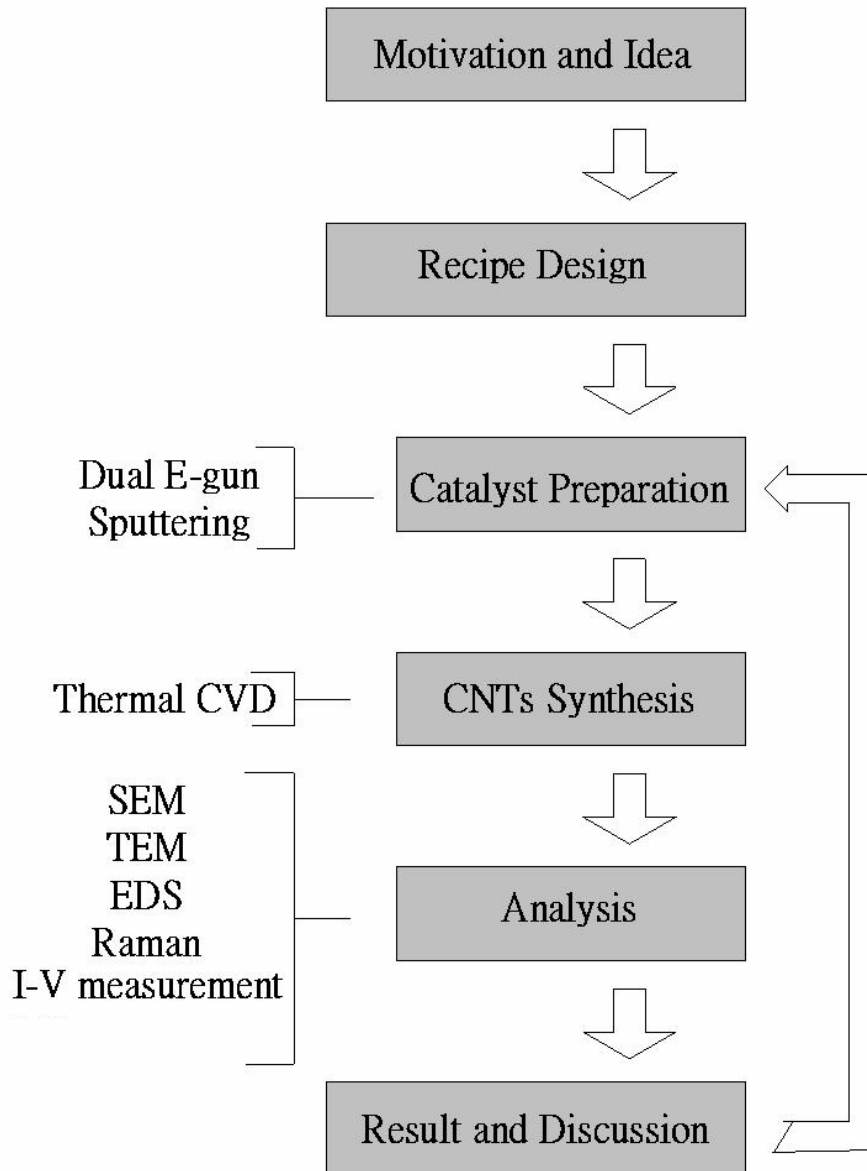
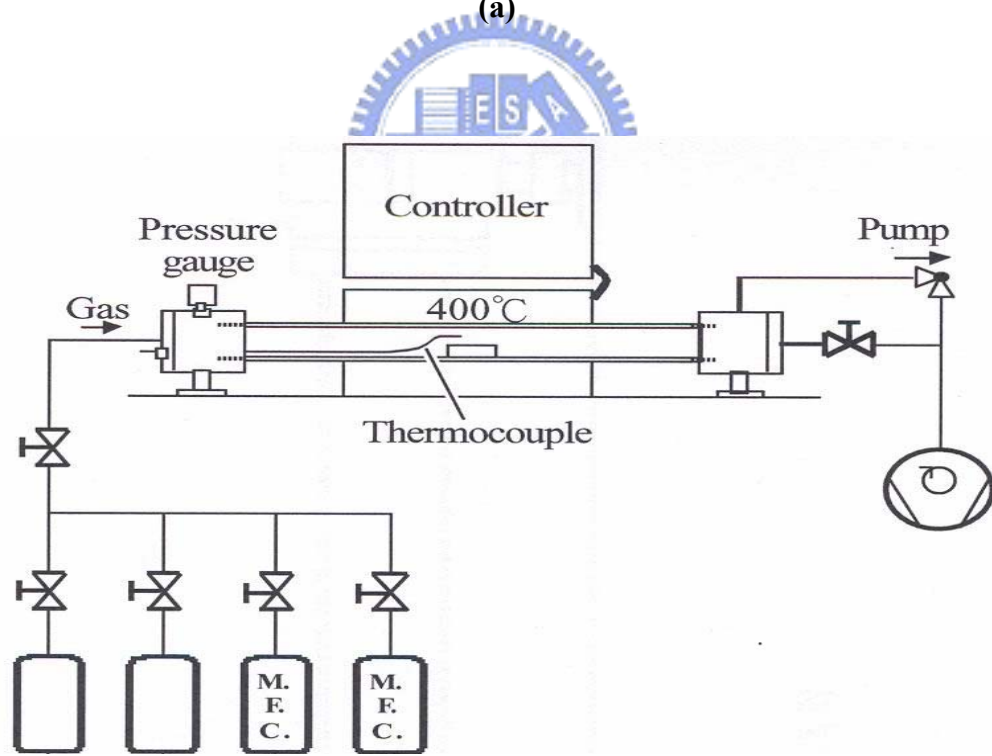


Fig. 2-1 Schematic experimental procedures



(a)



(b)

Fig. 2-2 A (a) photo and (b) schematic picture of thermal CVD

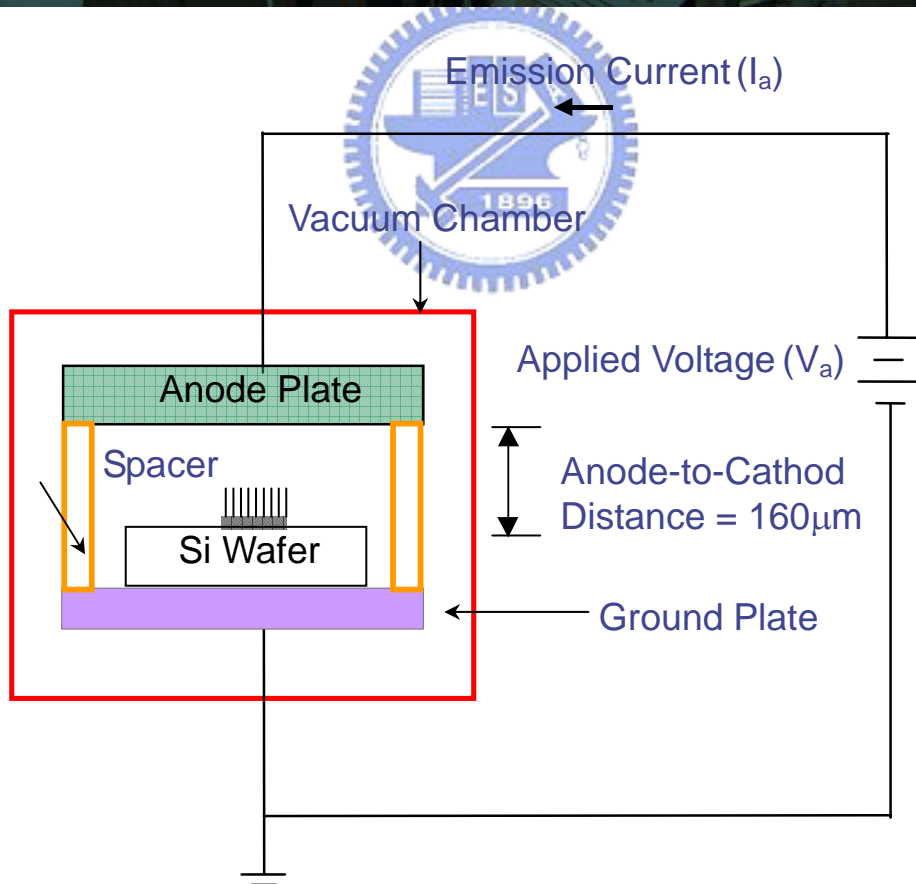
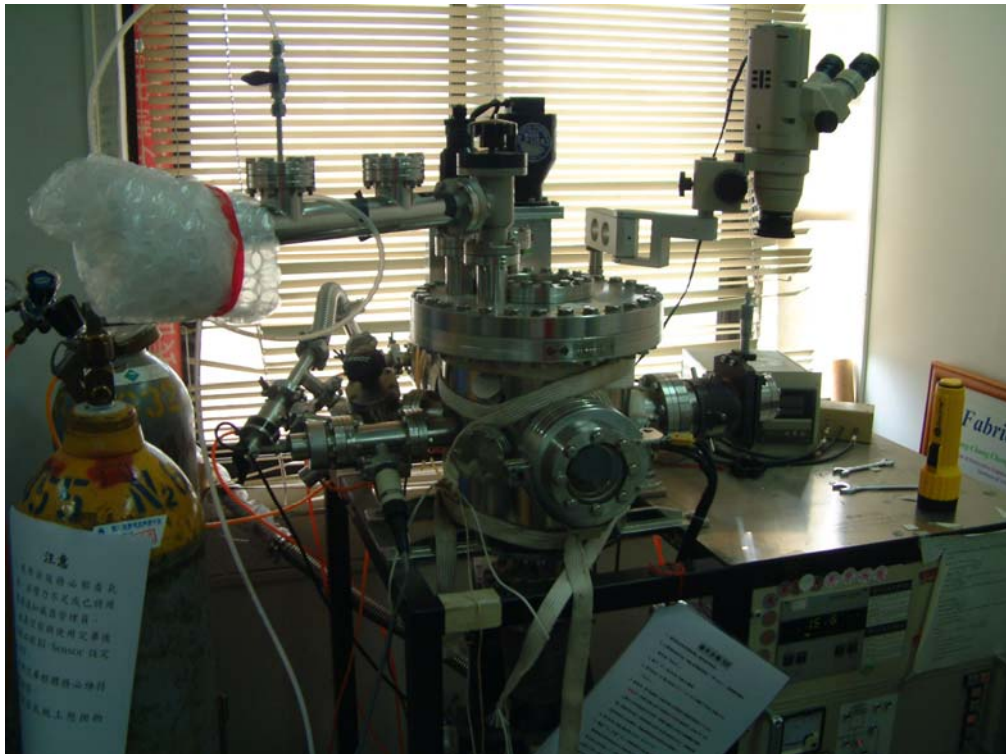


Fig. 2-3 High vacuum measurement system

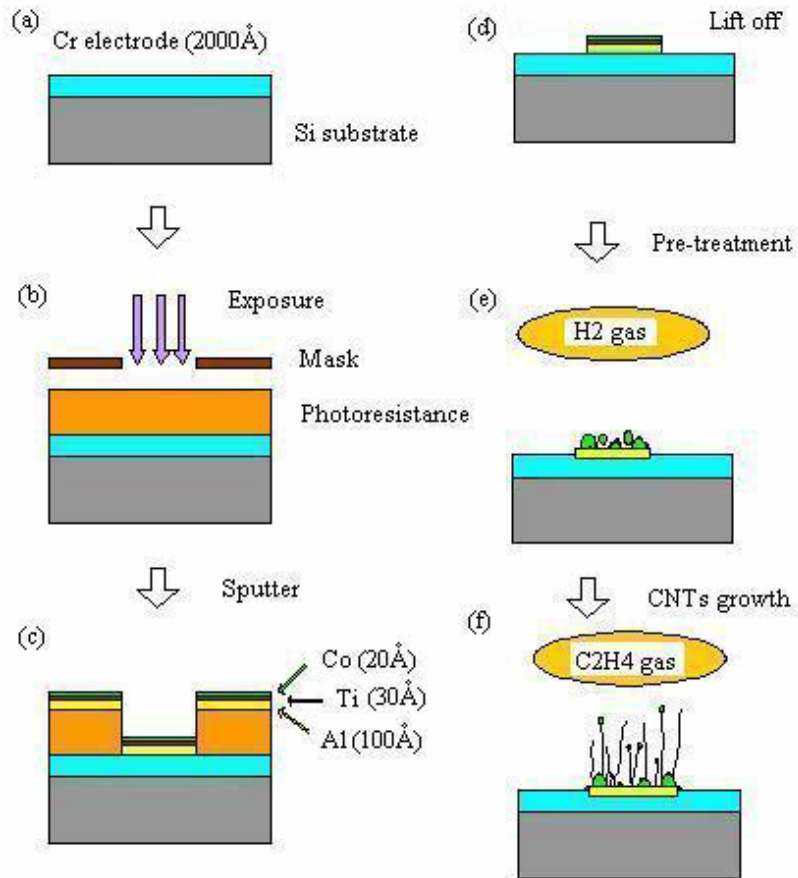


Fig. 2-4 Diode structure fabrication flow diagrams (a) 2000Å Cr cathode deposition by Egun, (b) Lithography procedure (c) Multilayer catalysts deposition, (d) Lift photoresist off, (e) Pretreatment, and (f) CNT growth.

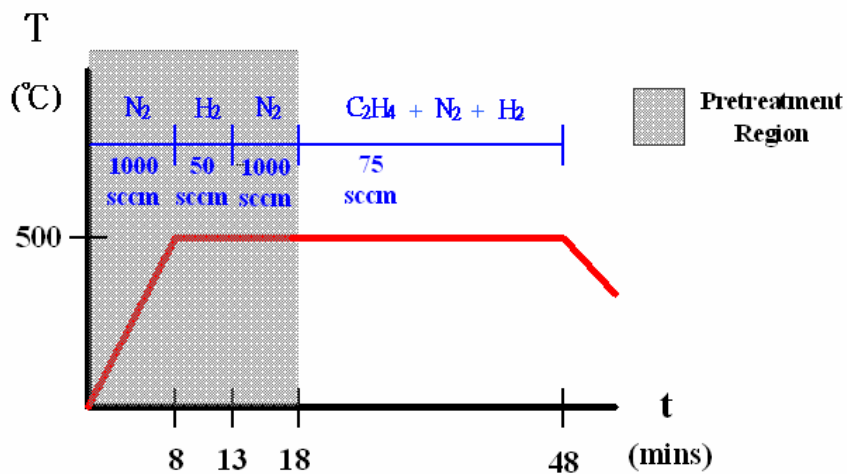


Fig. 2-5 Process of Experiment A with fixed the flow of C_2H_4

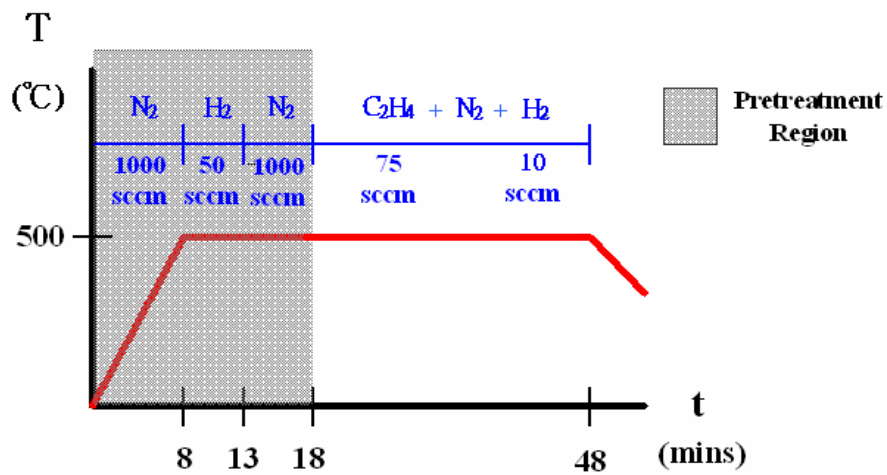


Fig. 2-6 Process of Experiment A with different flow of N_2

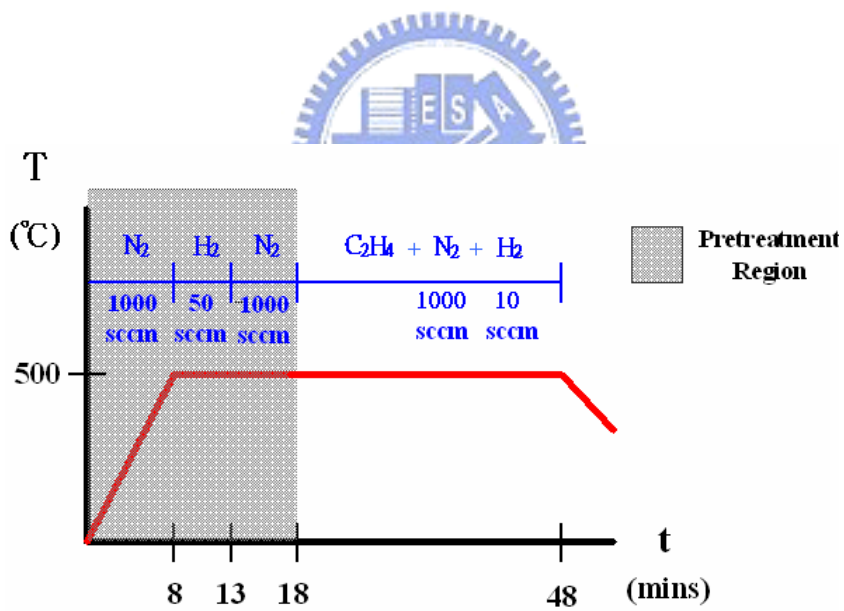


Fig. 2-7 Process of Experiment A with different flow of C_2H_4

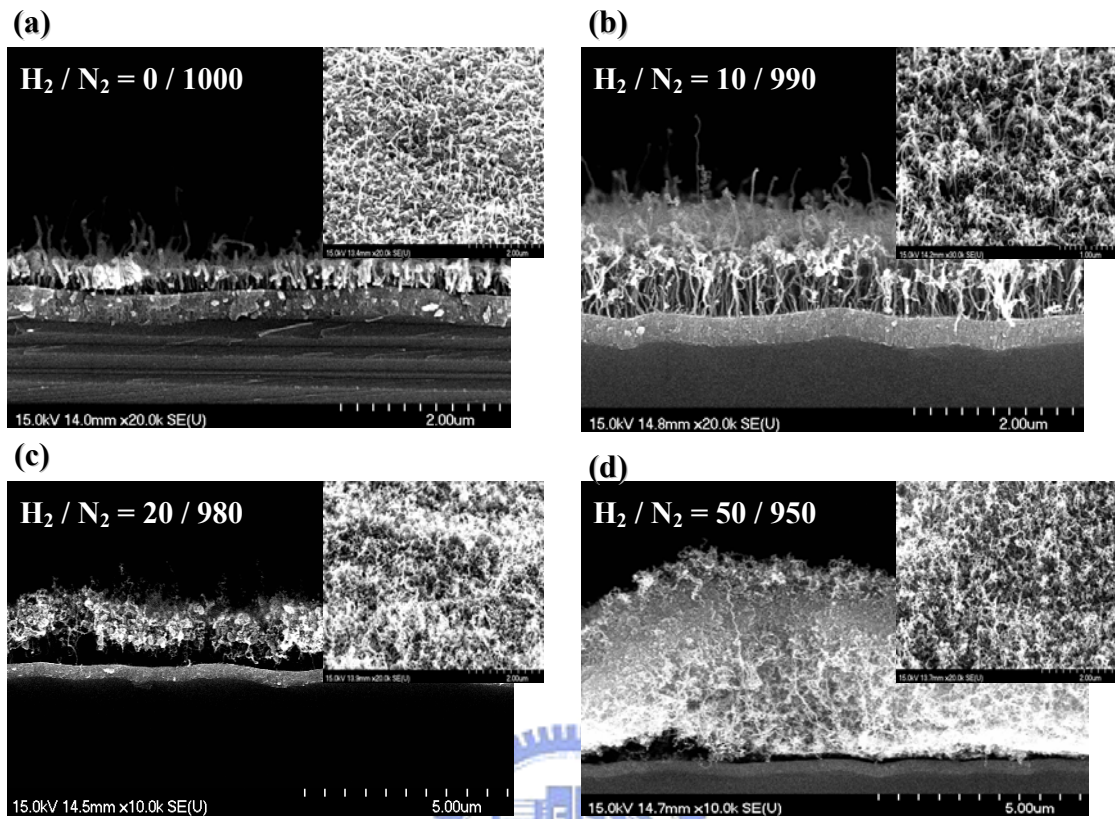


Fig. 2-8 SEM micrographs of samples with the multilayer catalyst [(a)-(b)] after CNTs deposition processes at different H₂/N₂ Ratio. The inset of each figure shows the corresponding magnification tilted view.

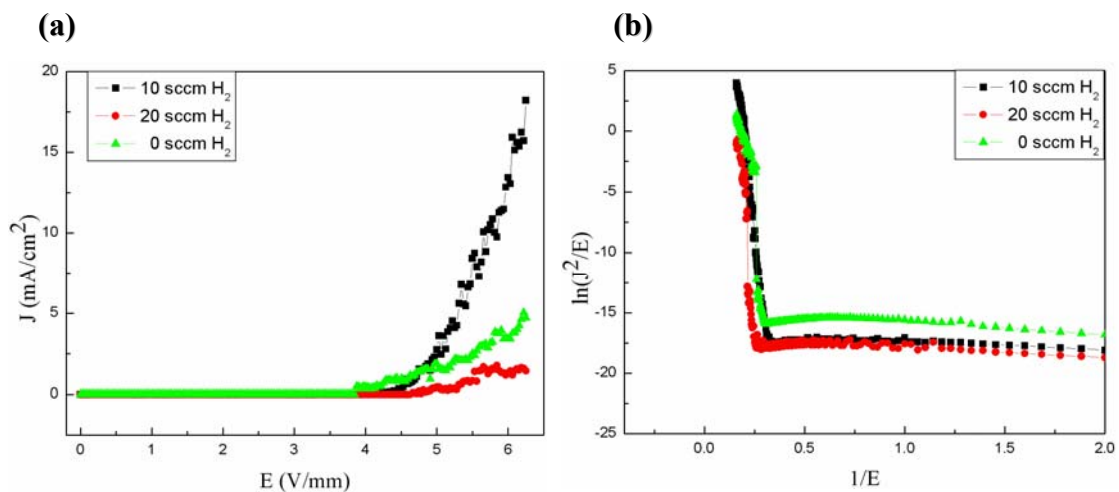


Fig. 2-9 (a) I-V plot with the different ratio of H₂/N₂ and (b) its F-N plot

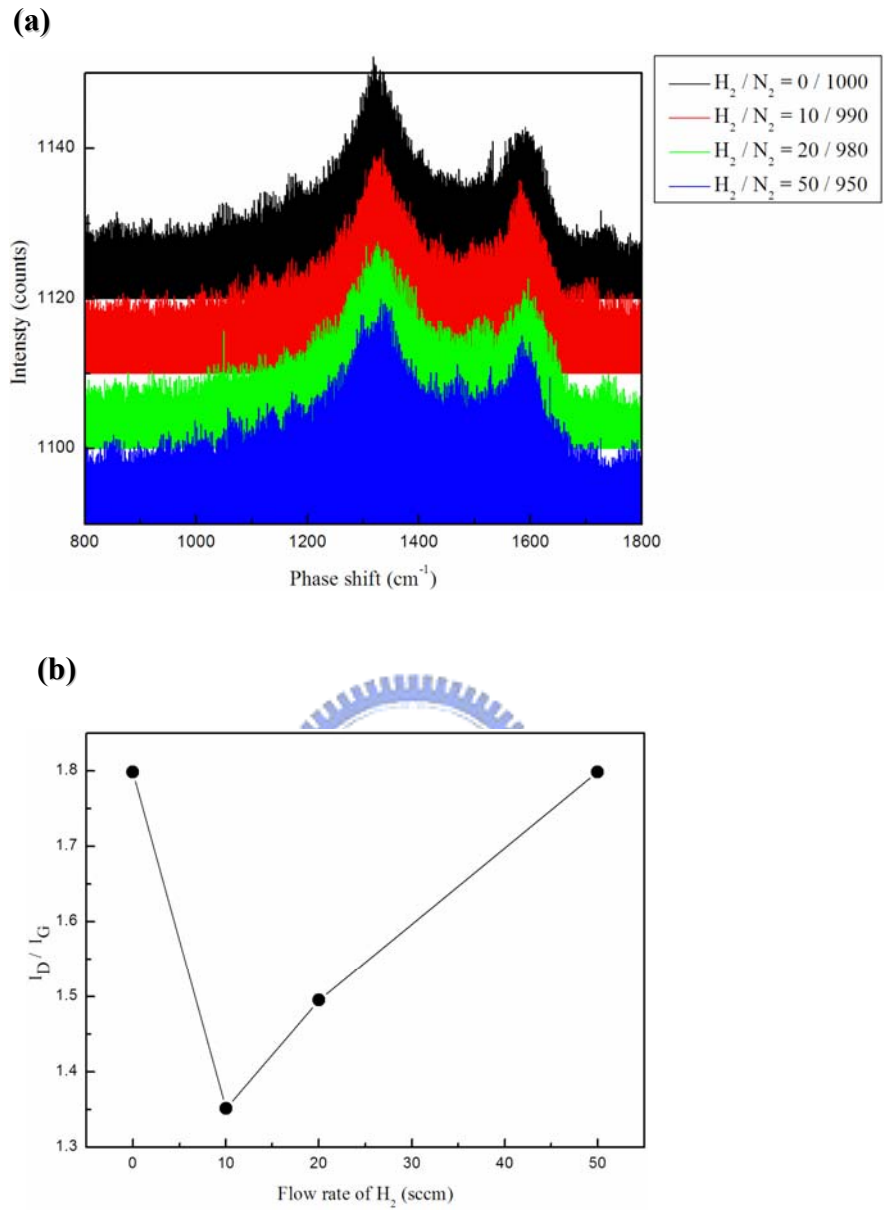
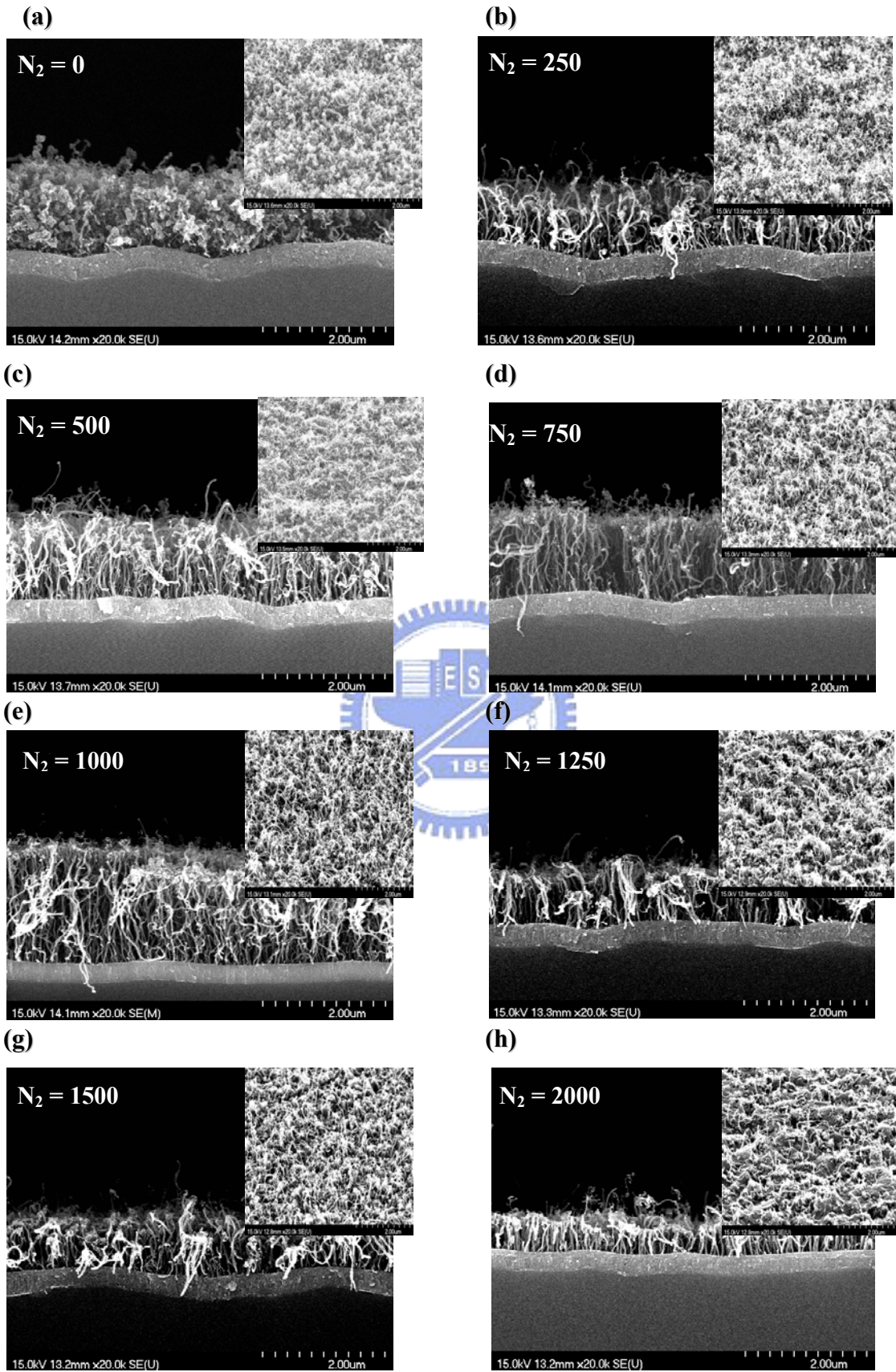


Fig. 2-10 (a) Raman spectrum with the different ratio of H_2/N_2 and (b) its I_D/I_G



(i)

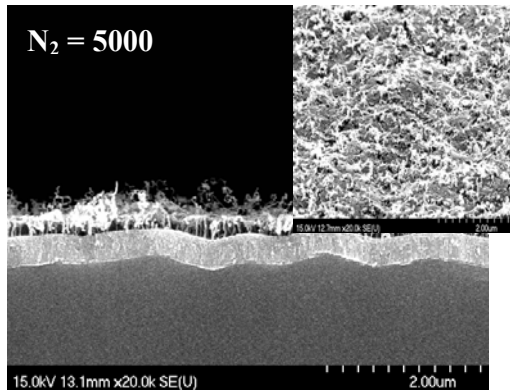


Fig. 2-11 SEM micrographs of samples with the multilayer catalyst [(a)-(i)] after CNTs deposition processes at different flow rate of N_2 . The inset of each figure shows the corresponding magnification tilted view.

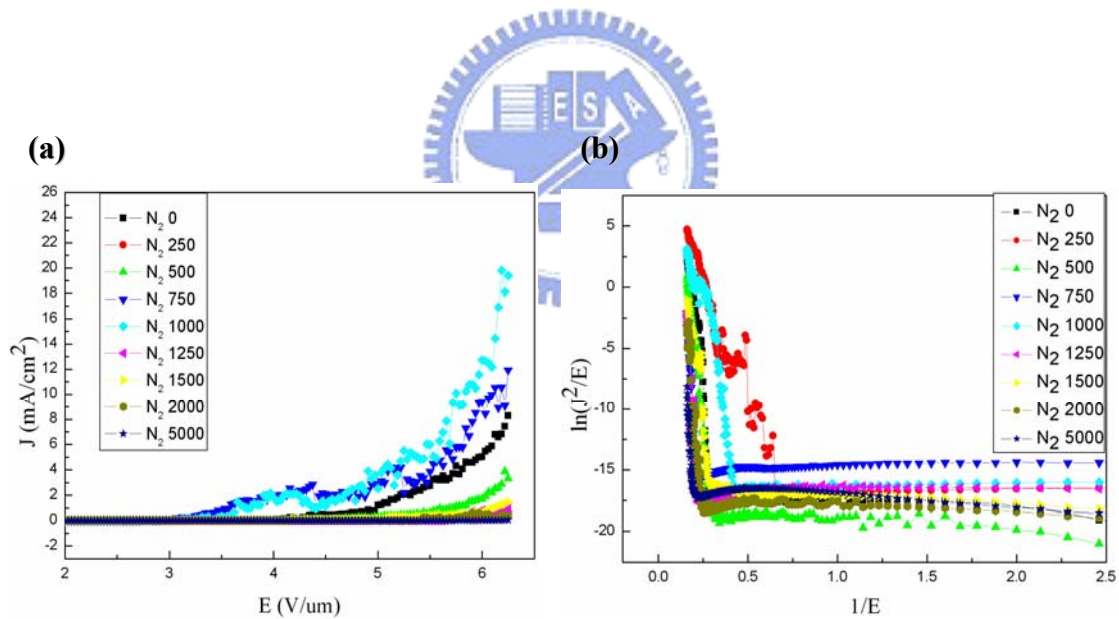


Fig. 2-12 (a) I-V plot with the different flow rate of N_2 and (b) its F-N plot

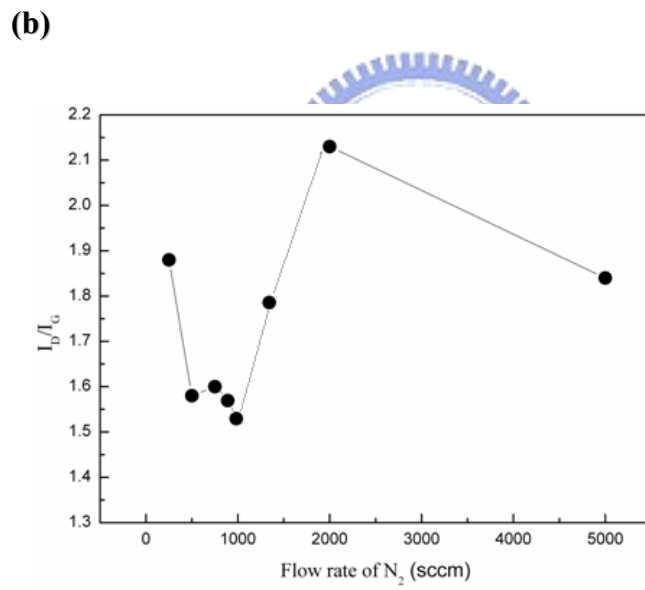
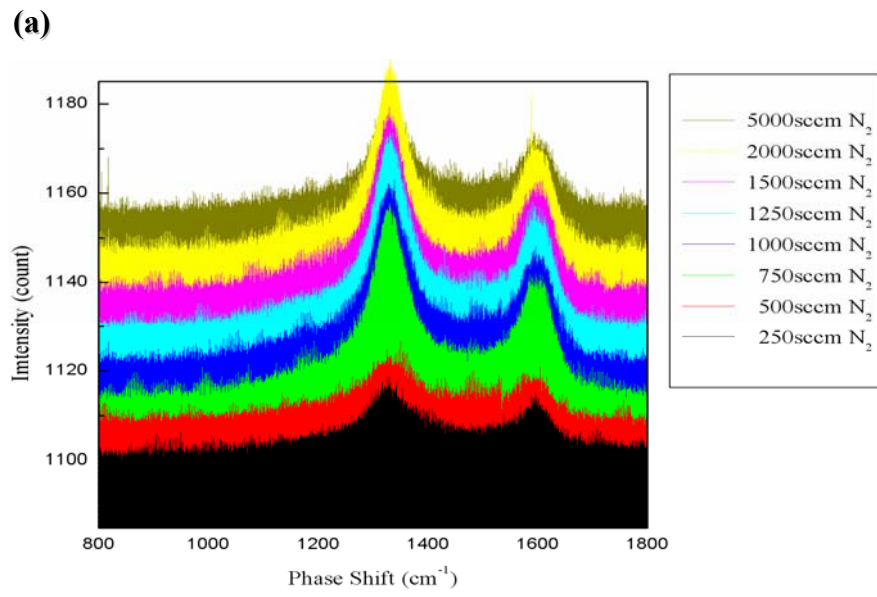


Fig. 2-13 (a)Raman spectrum with the different flow rates of N_2 and (b) its I_D/I_G

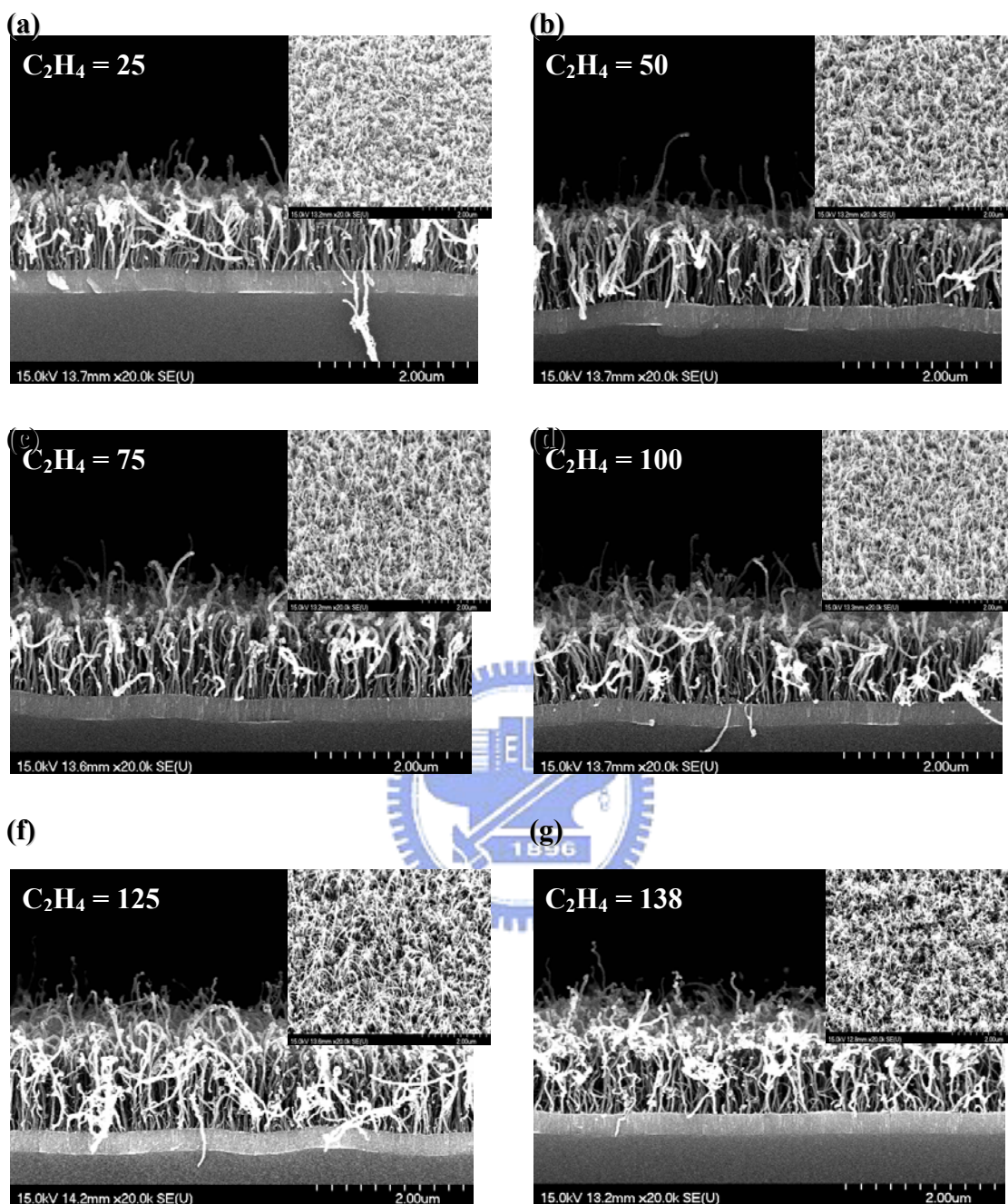


Fig. 2-14 SEM micrographs of samples with the multilayer catalyst [(a)-(g)] after CNTs deposition processes at different flow rate of C_2H_4 . The inset of each figure shows the corresponding magnification tilted view.

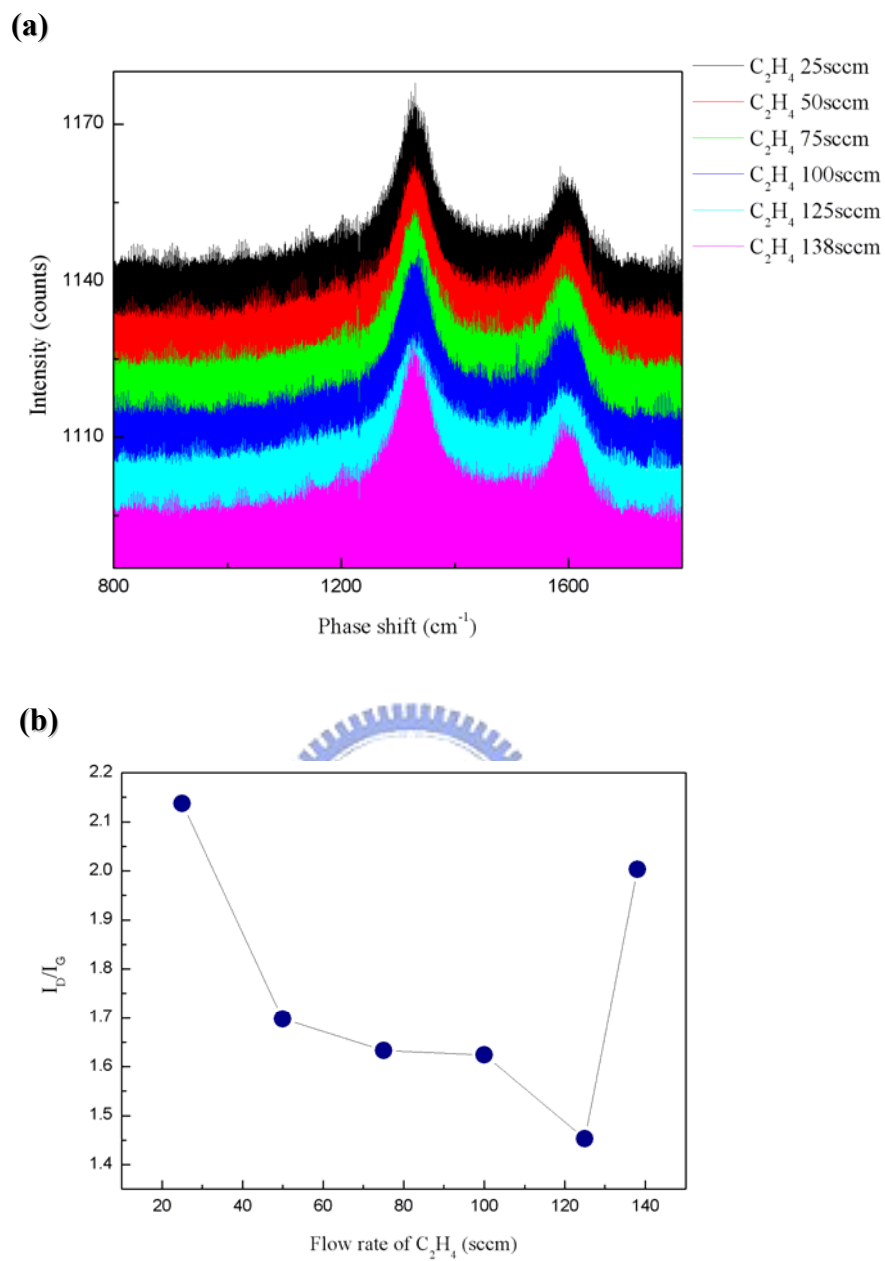


Fig. 2-15 (a) Raman spectrum with the different flow rate of C_2H_4 and (b) its I_D/I_G

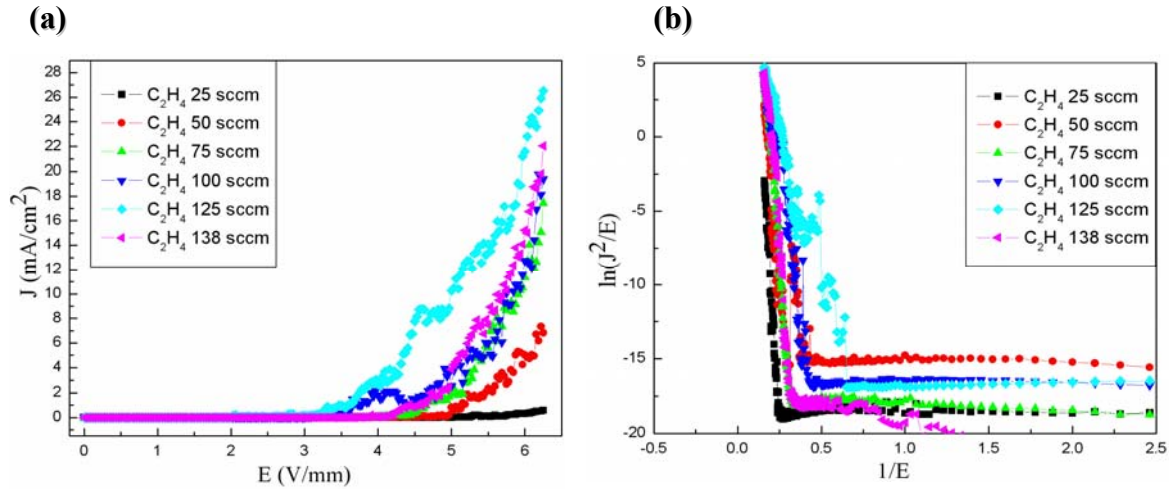


Fig. 2-16 (a) I-V plot with the different flow rate of C_2N_4 and (b) its F-N plot

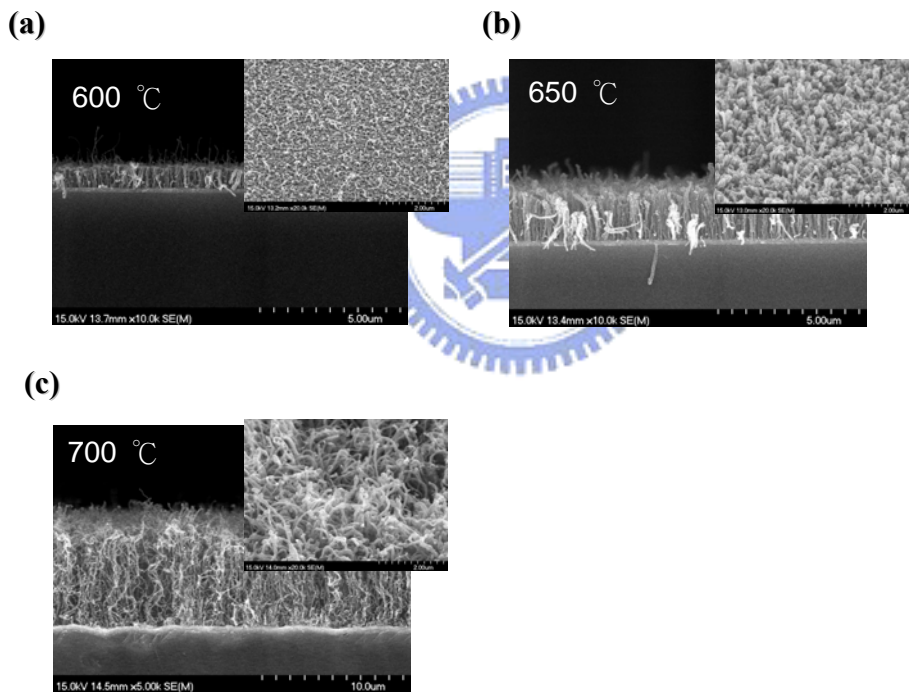


Fig. 2-17 SEM micrographs of samples with Co catalyst [(a)-(c)] after CNTs deposition processes at different temperatures. The inset of each figure shows the corresponding magnification tilted view.

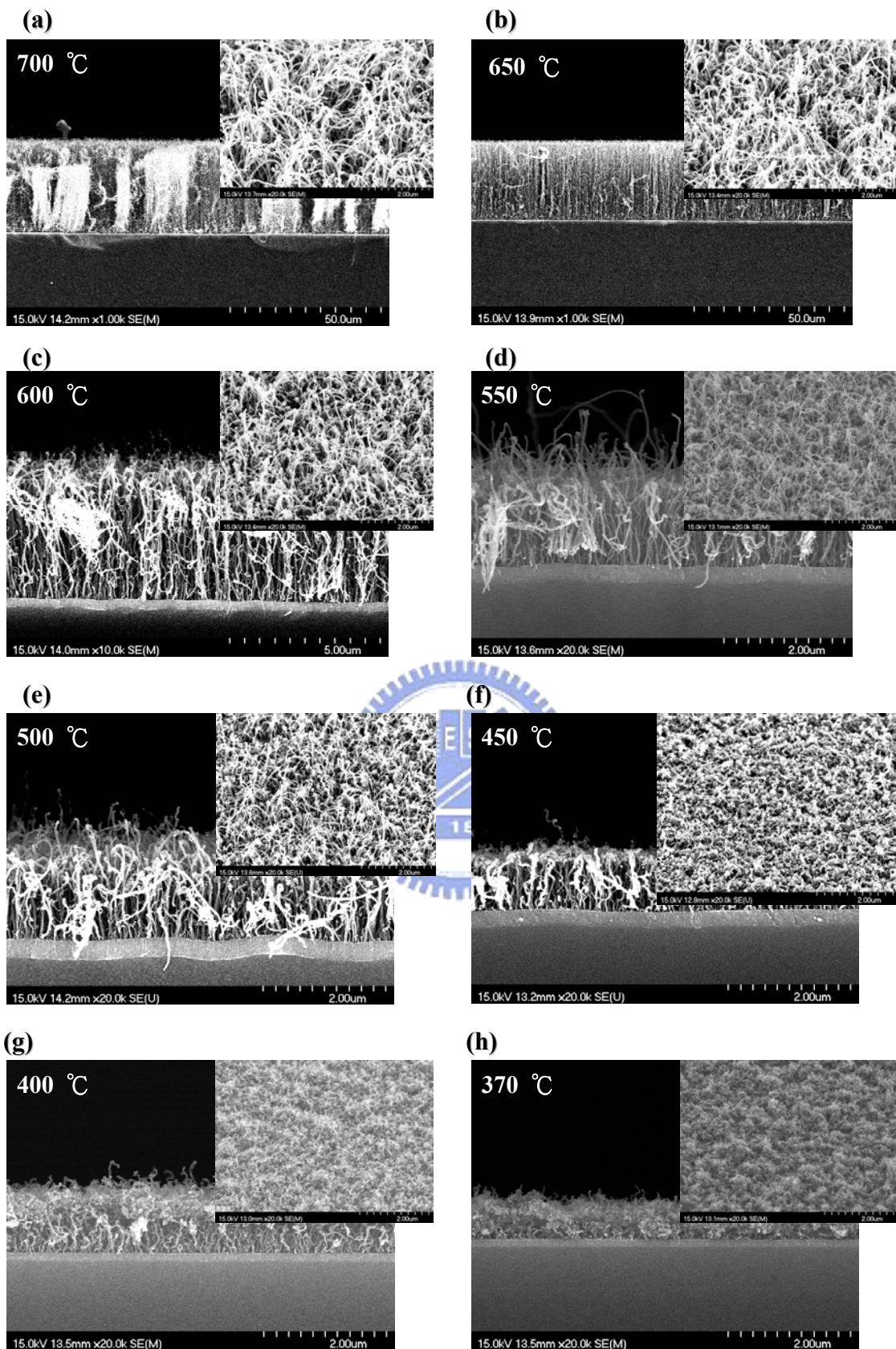
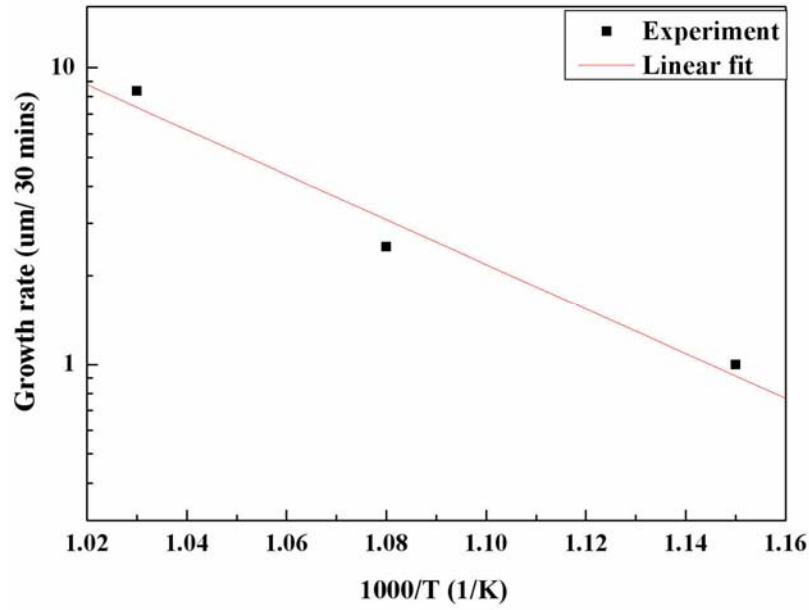


Fig. 2-18 SEM micrographs of samples with Co/Ti/Al [(a)-(g)] after CNTs deposition processes at different temperatures. The inset of each figure shows the corresponding magnification tilted view.

(a)



(b)

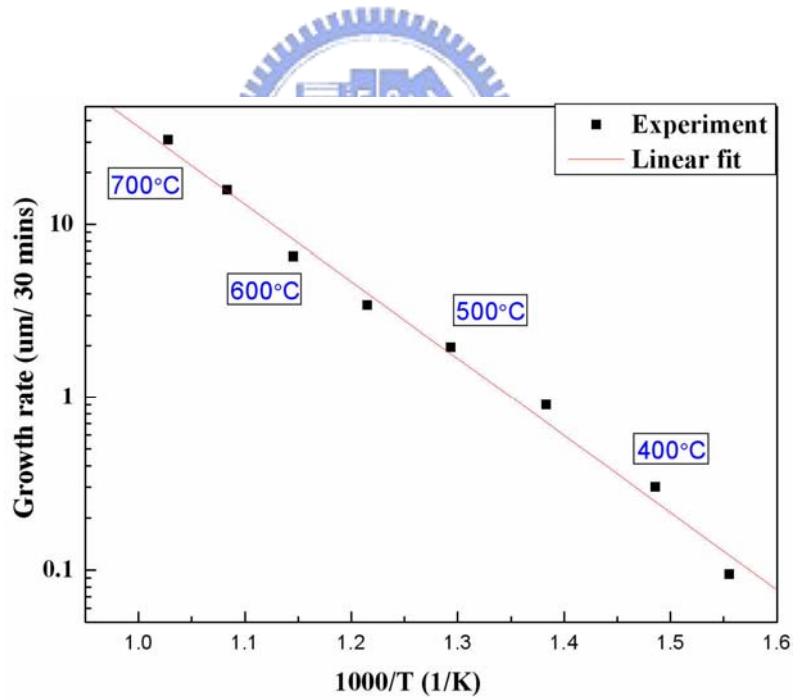


Fig. 2-19 The temperature-dependent growth rates of CNTs synthesized with (a) the conventional catalyst (Co) and with (b) the multilayer catalyst (Co/Ti/Al). The activation energies are calculated from the slope of the linear fit to the data.

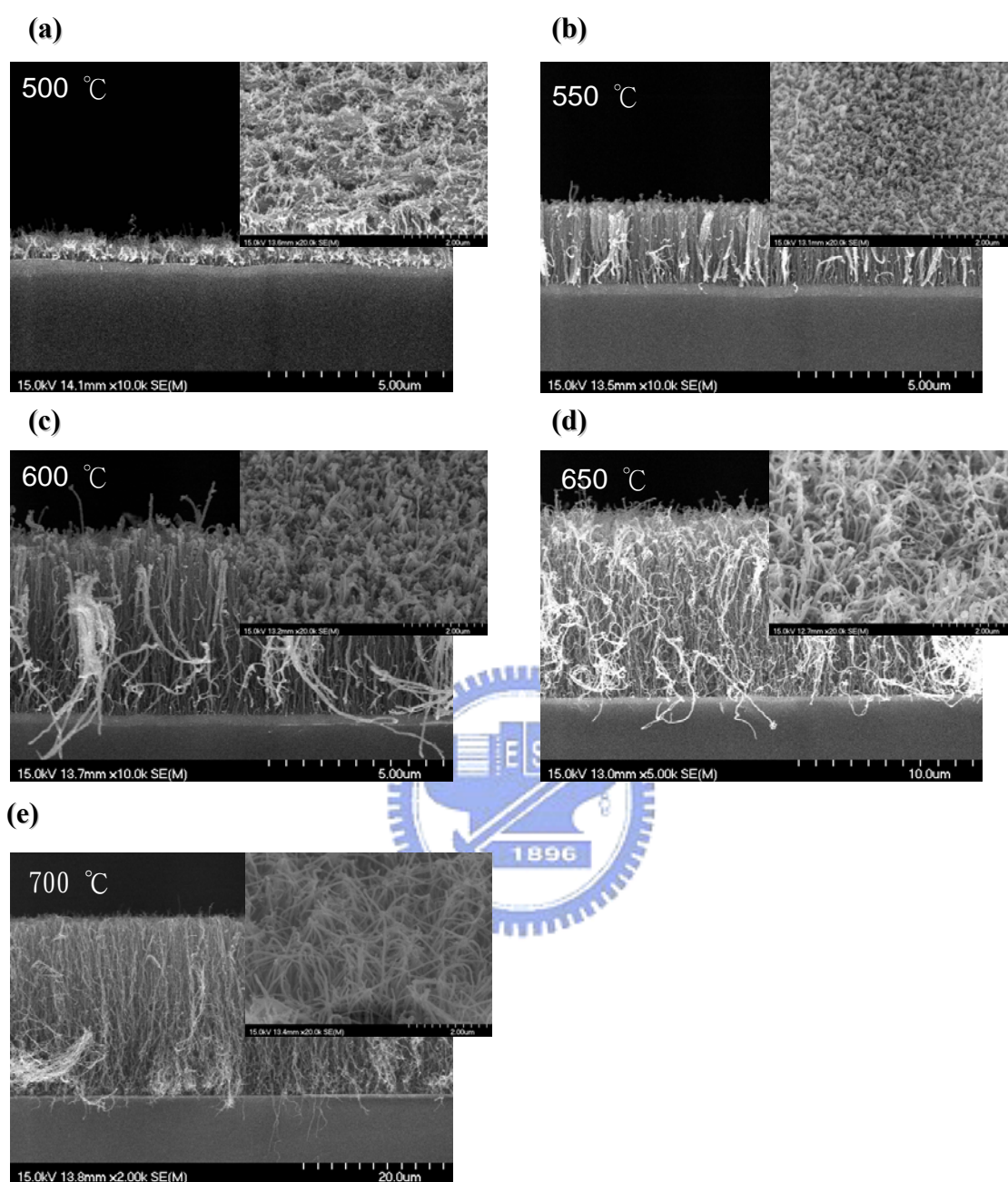


Fig. 2-20 SEM micrographs of samples with the bilayer catalyst (Co/ Ti) [(a)-(e)] after CNTs deposition processes at different temperatures. The inset of each figure shows the corresponding magnification tilted view.

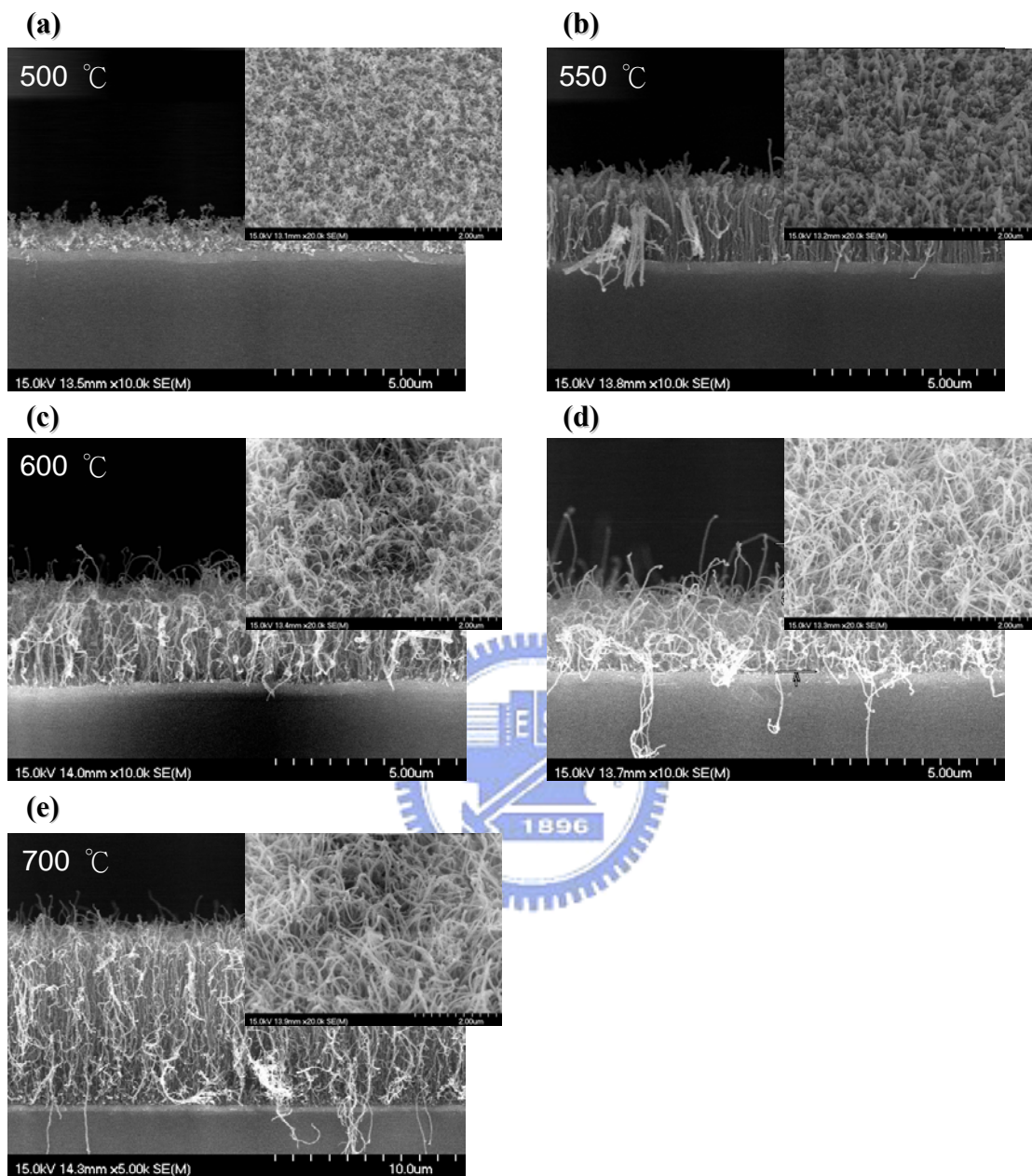
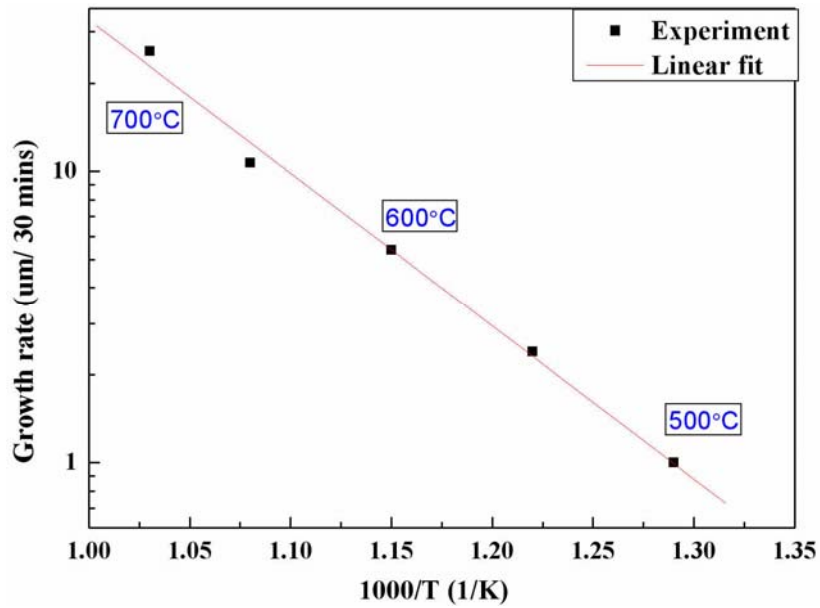


Fig. 2-21 SEM micrographs of samples with the bilayer catalyst (Co/Al) [(a)-(e)] after CNTs deposition processes at different temperatures. The inset of each figure shows the corresponding magnification tilted view.

(a)



(b)

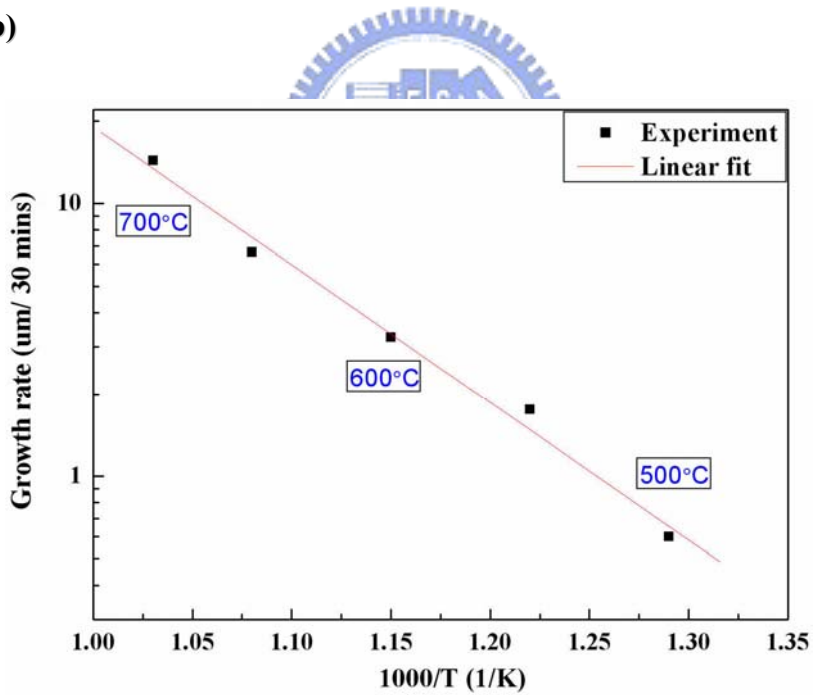


Fig. 2-22 The temperature-dependent growth rates of CNTs synthesized with (a) the bilayer catalyst (Co/Ti) and with (b) the bilayer catalyst (Co/Al). The activation energies are calculated from the slope of the linear fit to the data.

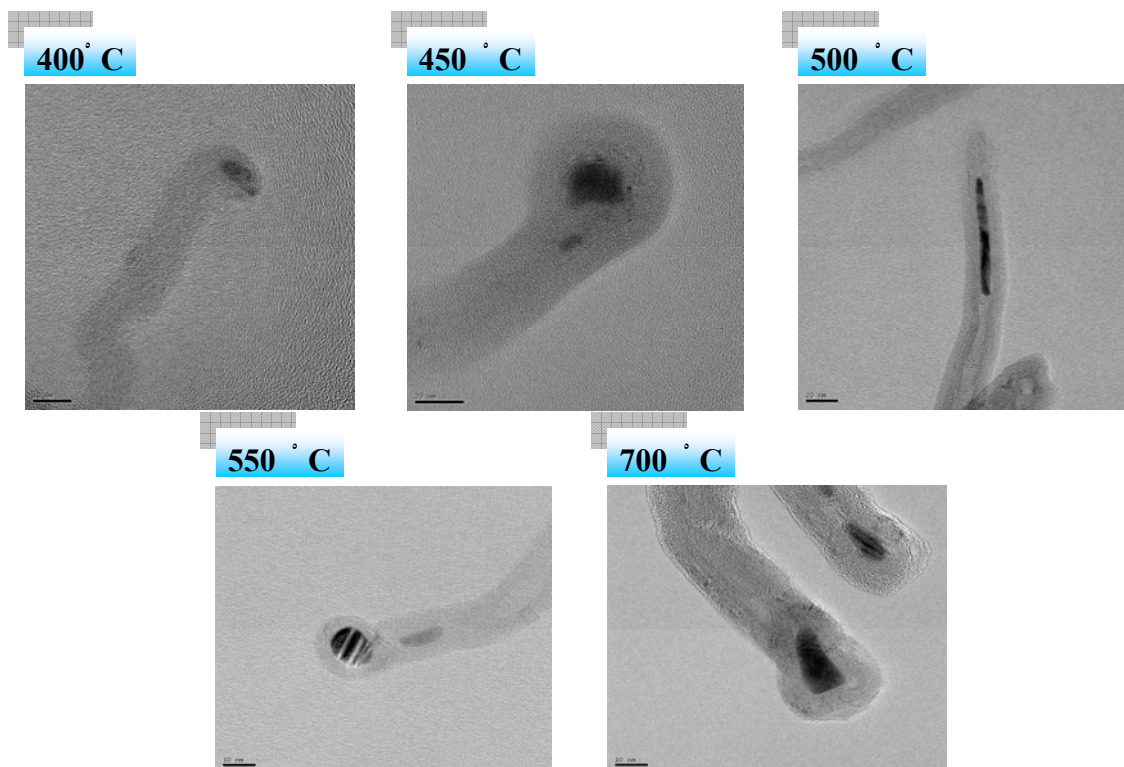


Fig. 2-23 A TEM image of CNTs deposited at 400 to 700°C with the multilayer catalyst

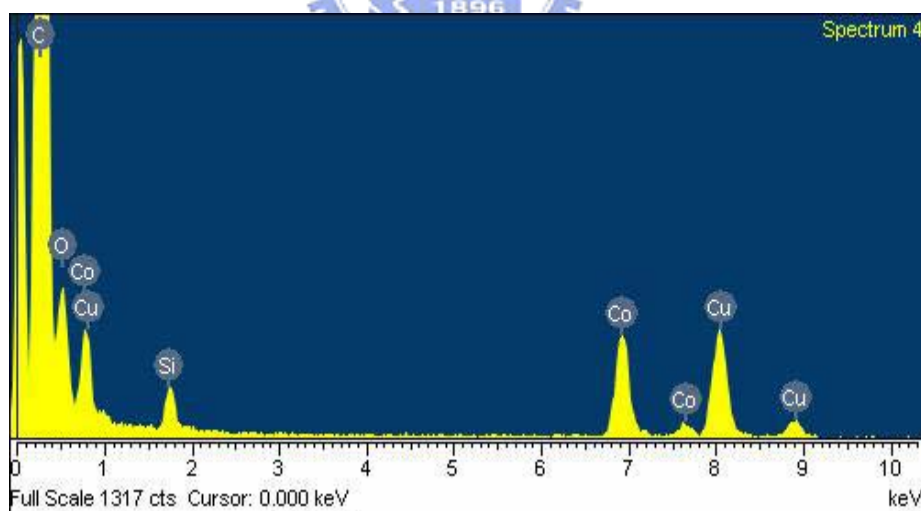


Fig. 2-24 The corresponding EDS analysis of the catalytic nanoparticle in Fig. 2-23 (500°C)

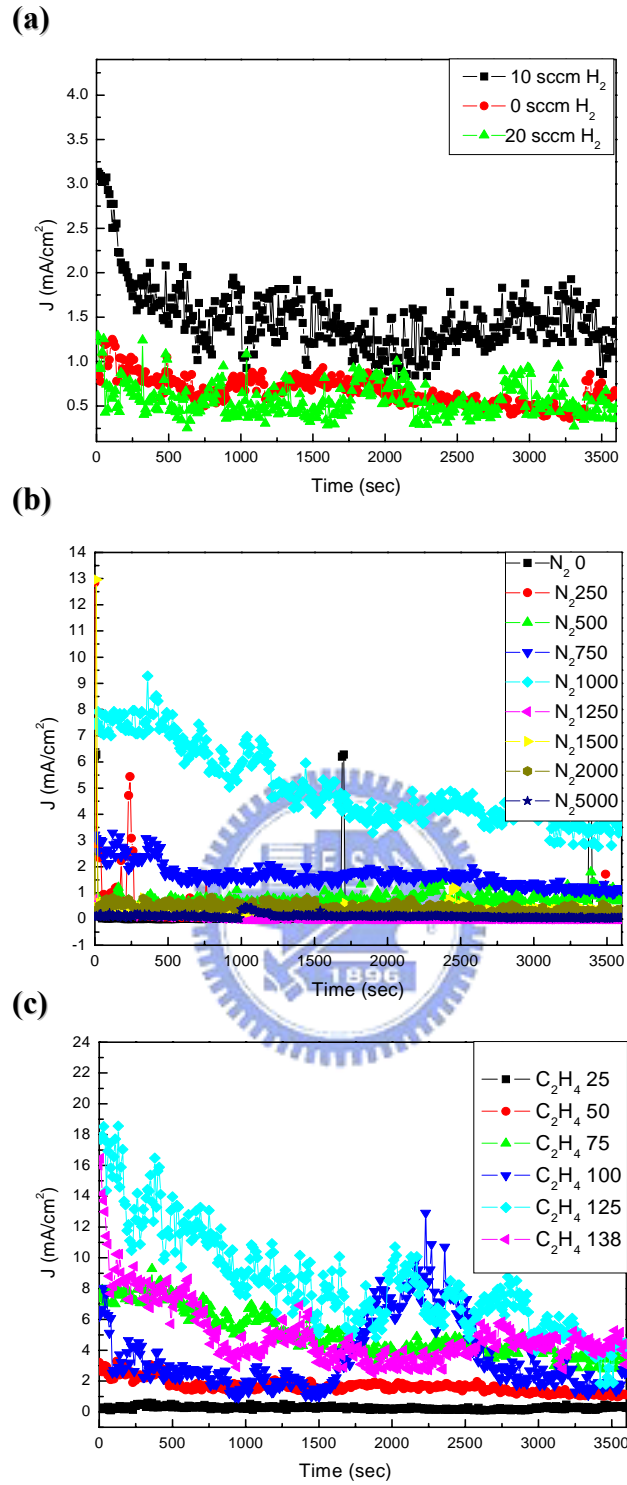


Fig. 2-25 The Stress test for experiment A samples (a) with different ratio H_2/N_2 , (b) with different flow rate of N_2 (c) with different flow rate of C_2H_4

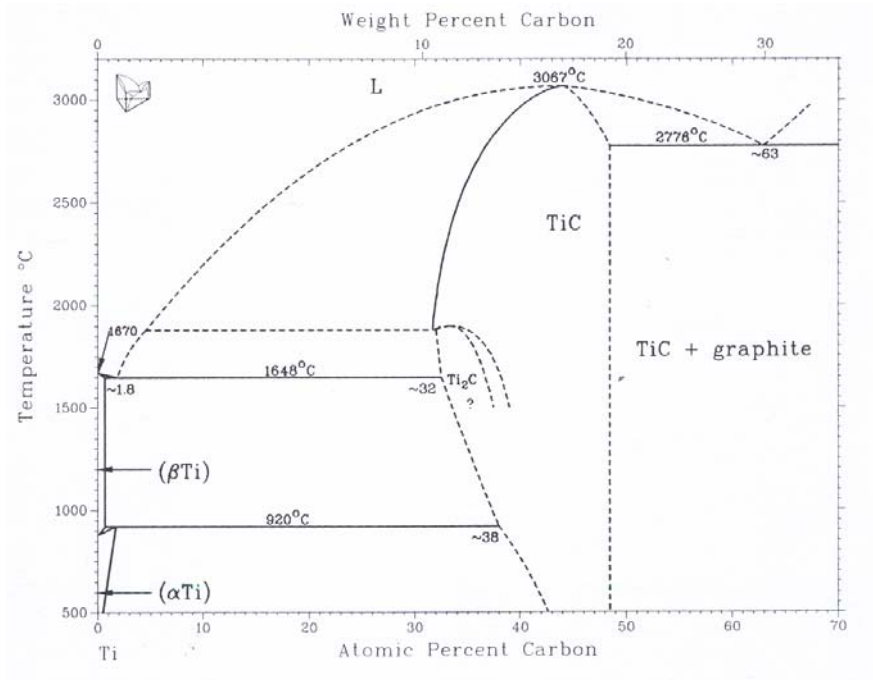
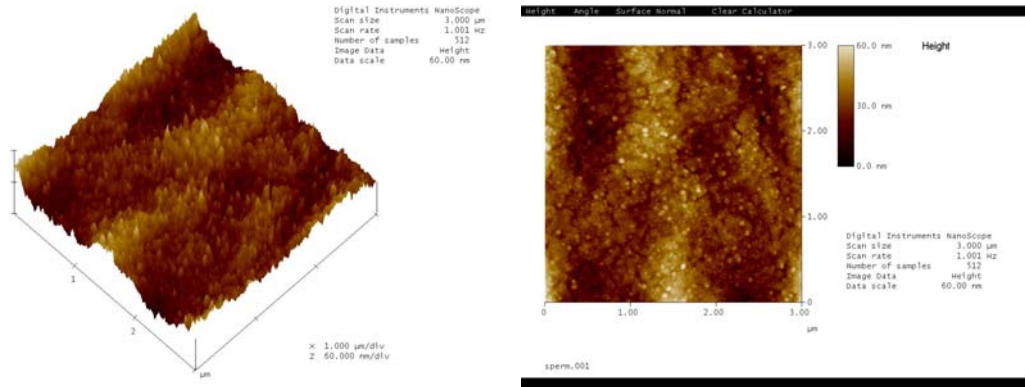
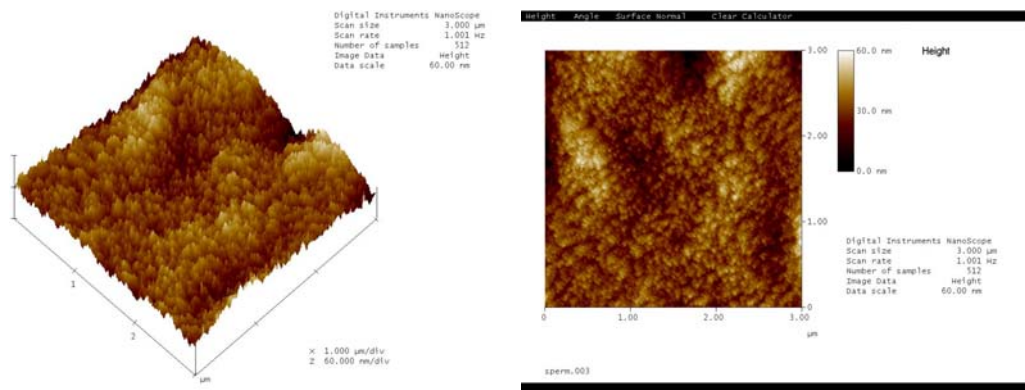


Fig. 2-26 The phase diagram for Ti and C

(a)



(b)



(c)

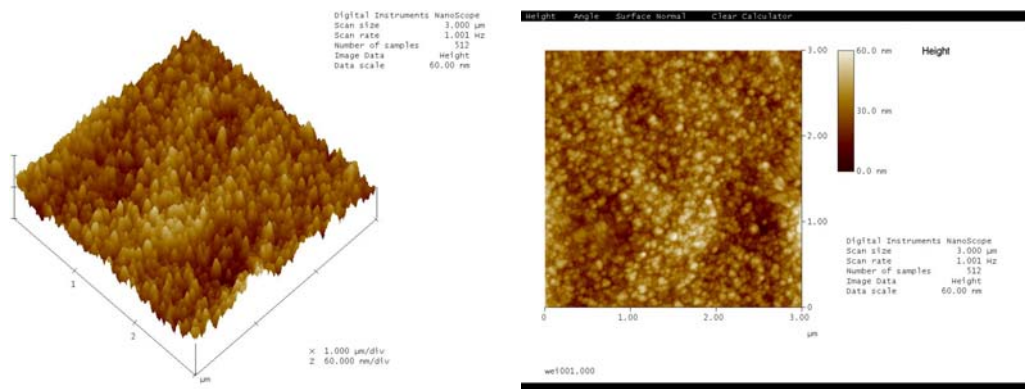


Fig. 2-27 AFM diagrams (a) with Al 10nm (b) with Co 2nm/ Ti 3nm and (c) with Co 2nm/ Ti 3nm/ Al 10nm

XPS ANALYSER SOURCE LABEL
TI A:\D28005\TI.DTS
CAE = 20eV STEP = 100 meV SCANS = 60 TIME = 20m 6.00s
Al K-alpha Standard
TI AM 09-29-2006

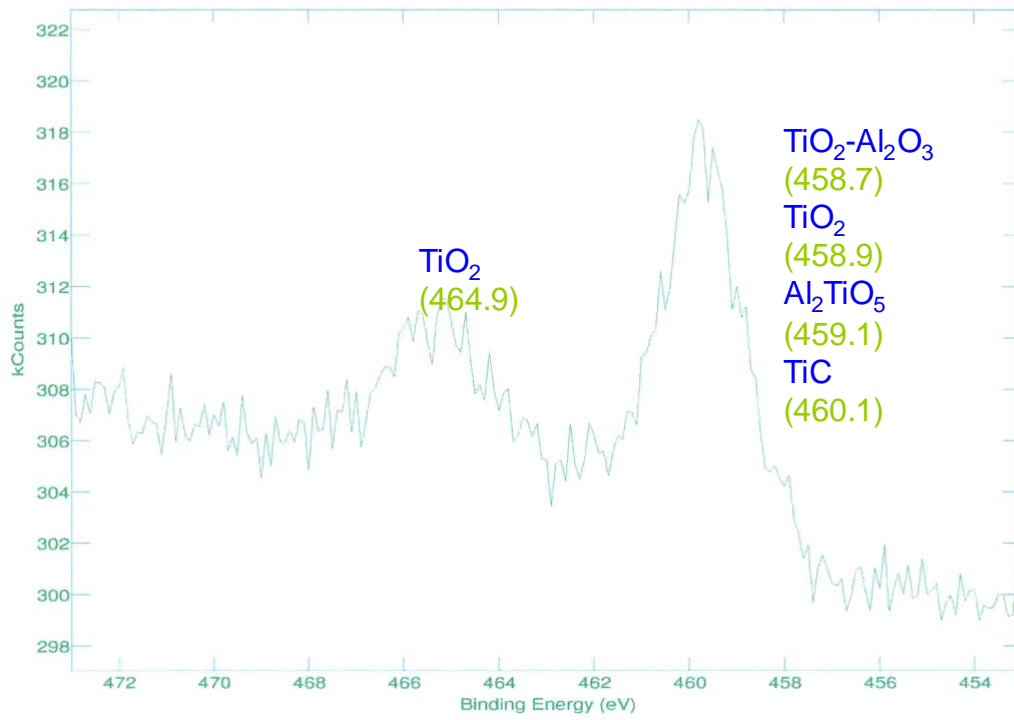
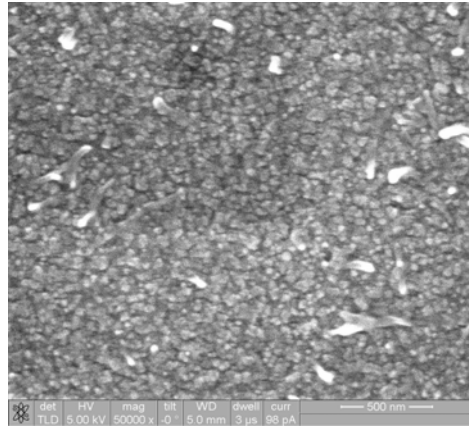
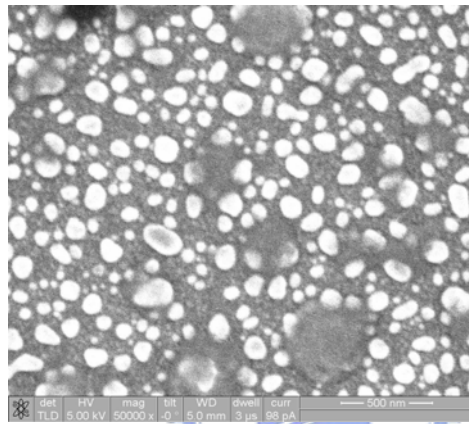


Fig. 2-28 XPS diagram with Co 2nm/ Ti 3nm/ Al 10nm grows at 500°C

(a)



(b)



(c)

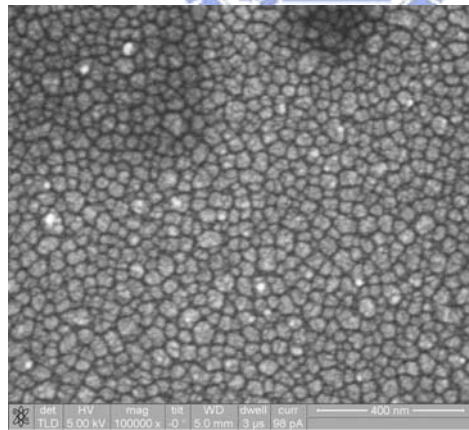
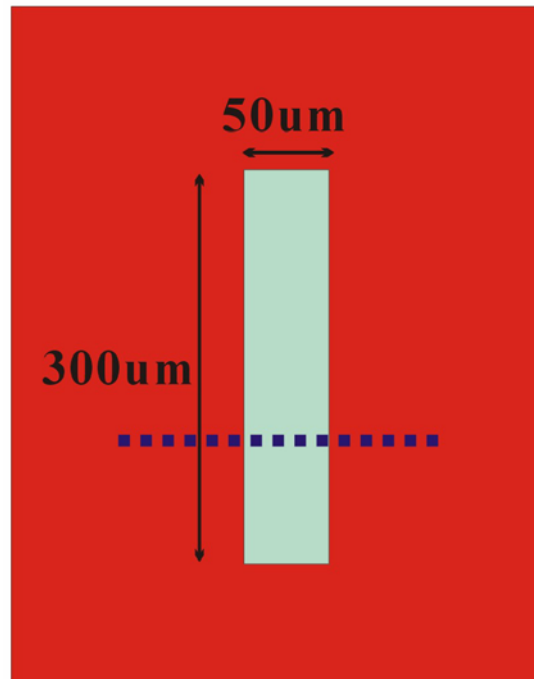


Fig. 2-29 The SEM images of (a) Co 2nm, (b) Co 2nm/Ti 3nm, and (c) Co 2nm/Ti 3nm/Al 10nm after 500°C pretreatment for 10 minutes

(a)



(b)

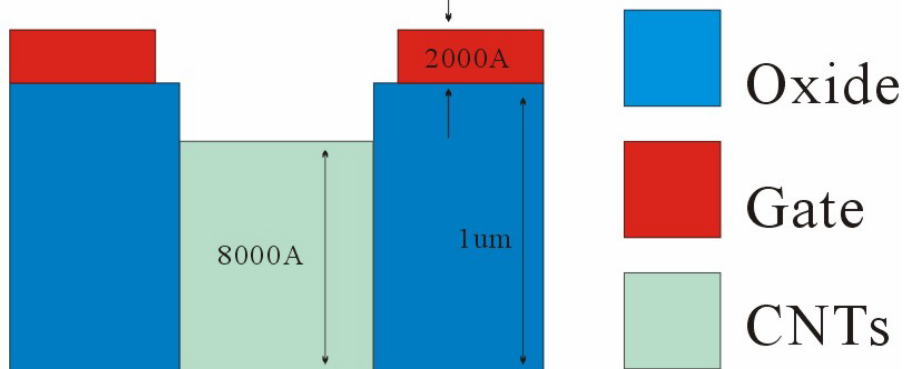


Fig. 3-1 (a) The top view of the conventional structure, and (b) its cross-section view of the place of dash line

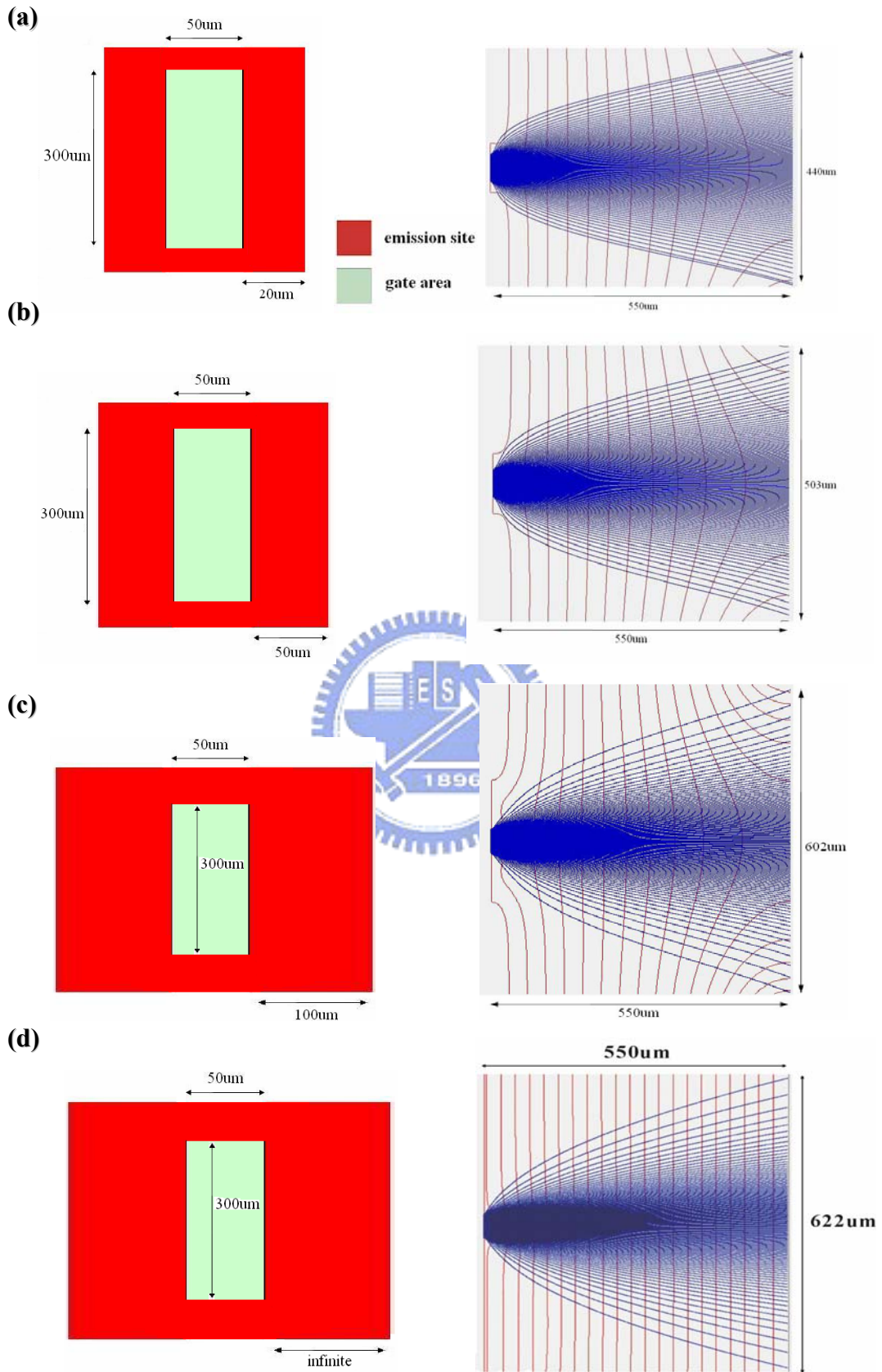


Fig. 3-2 The top view of the conventional structure (left) and its simulation (right) (a) with 20um (b) 50um (c) 100um (d) infinite gate length

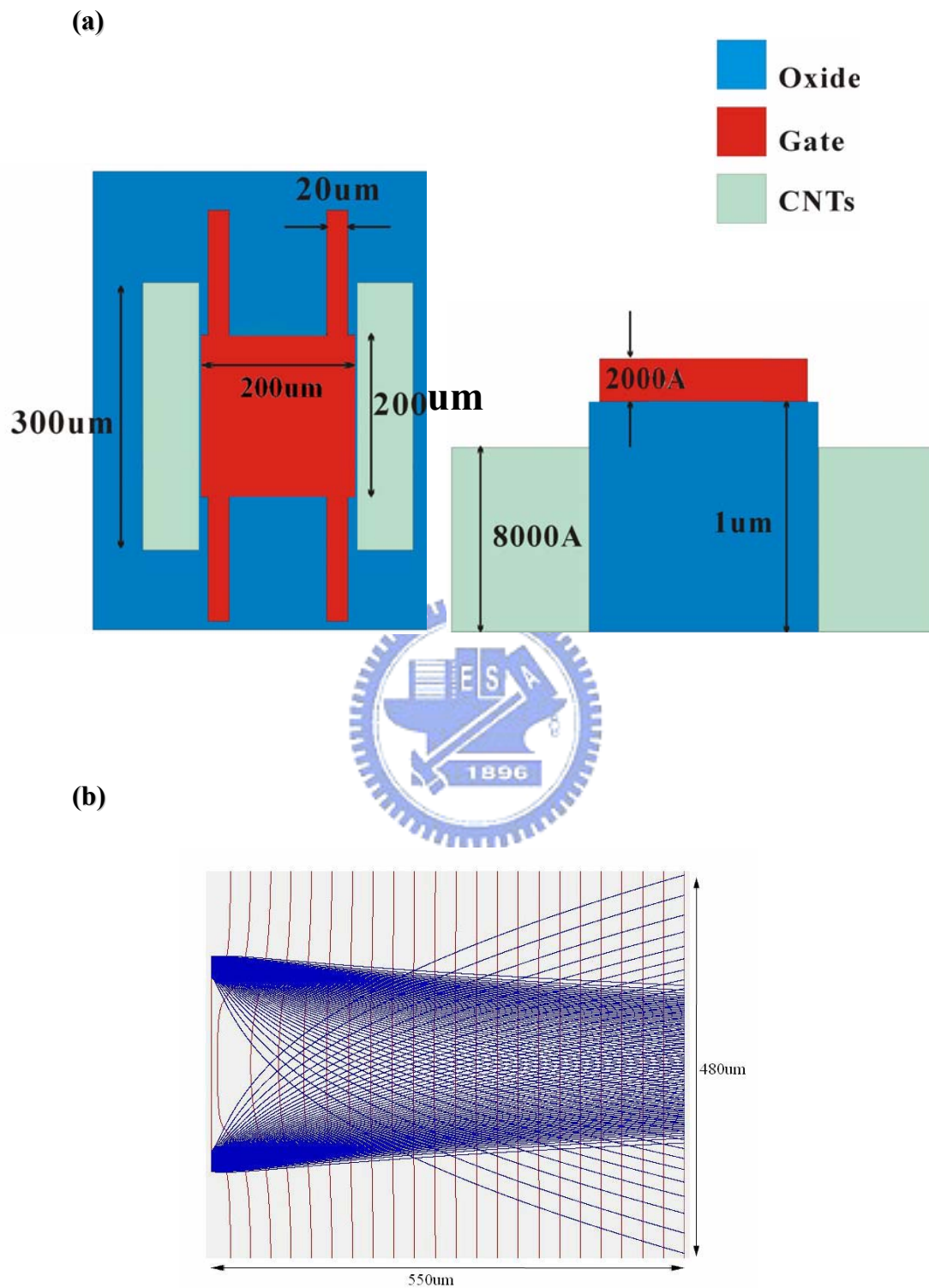


Fig. 3-3 (a) The top view of the non-symmetric structure (left) and its cross-sectional view (right) and (b) its simulation

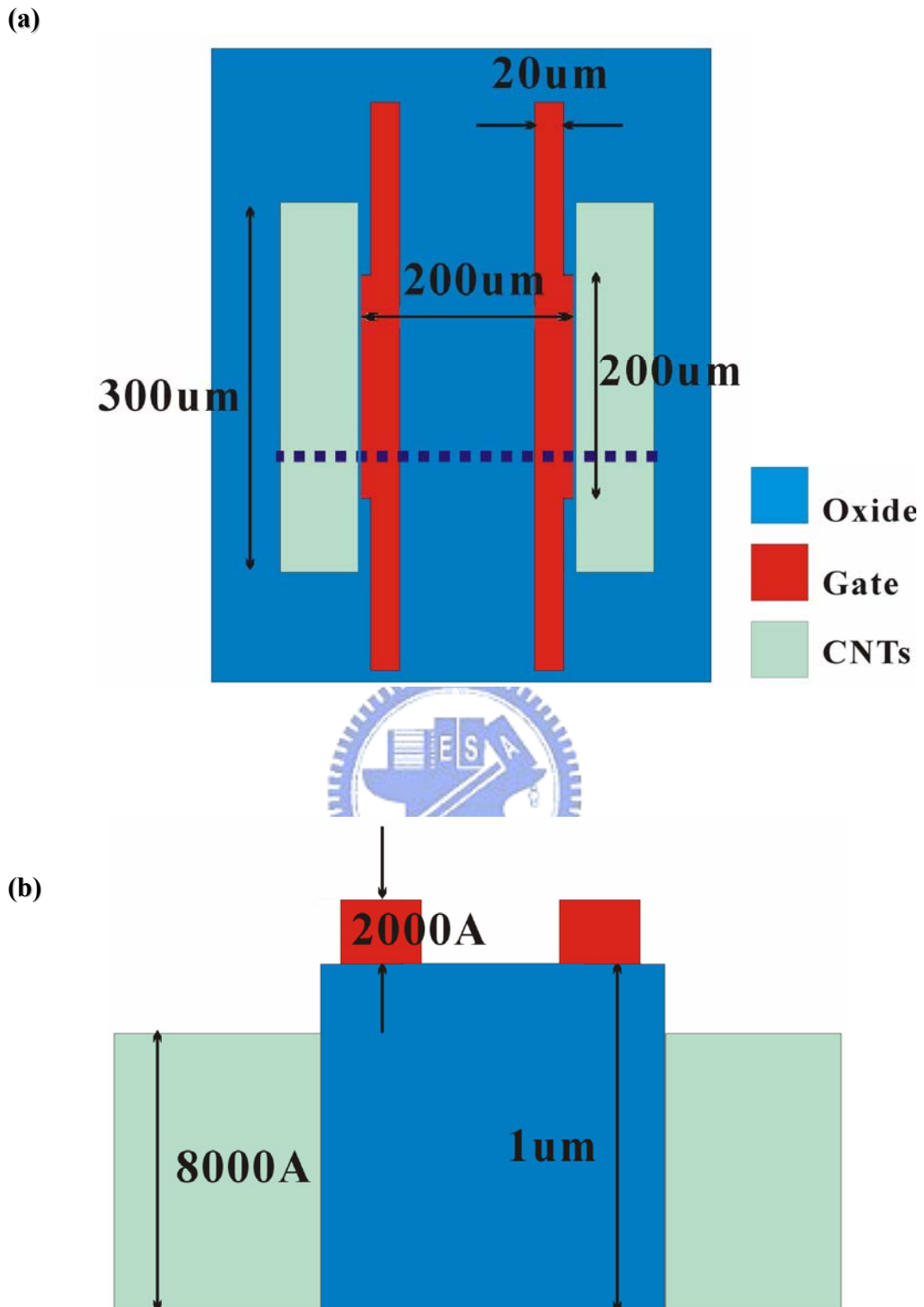


Fig. 3-4 (a) The top view of the novel self-focusing structure, and (b) its cross-section view of the place of dash line

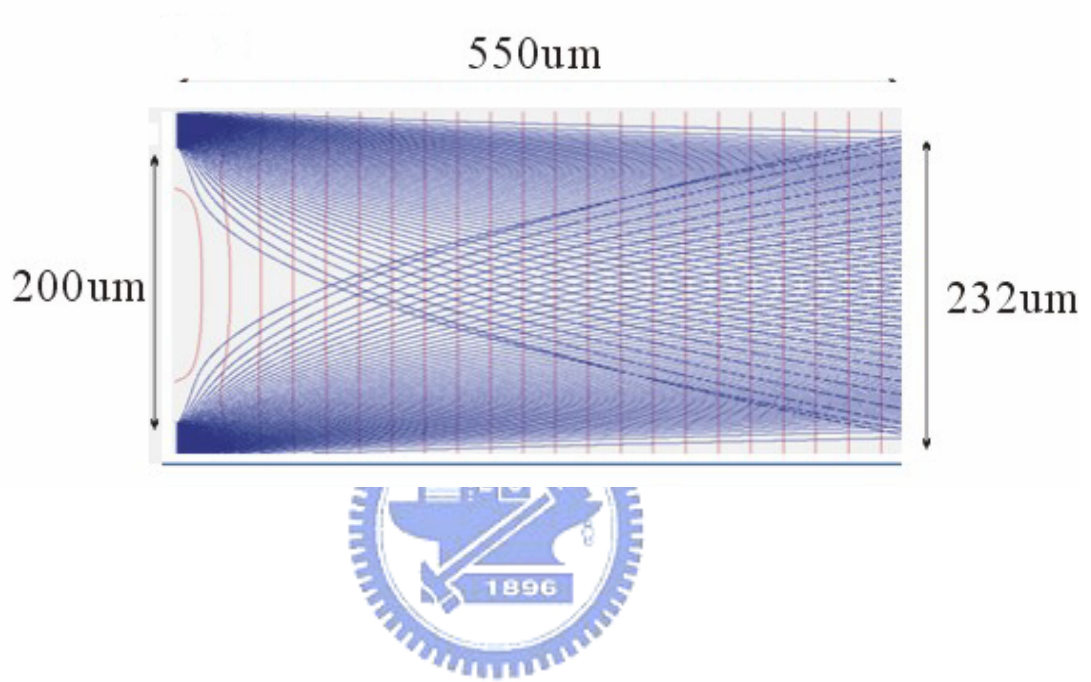


Fig. 3-5 The simulation of the novel self-focusing structure

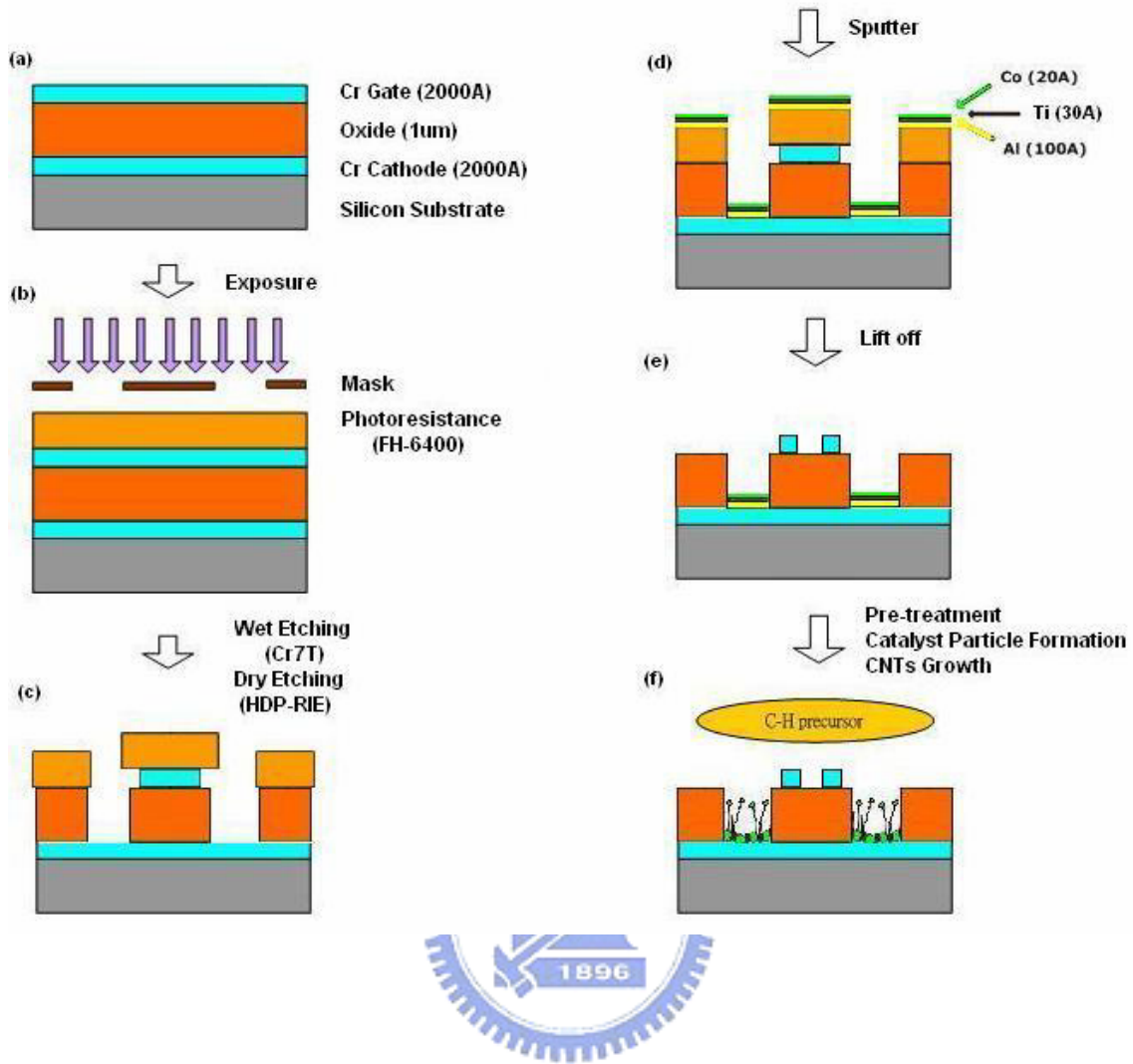
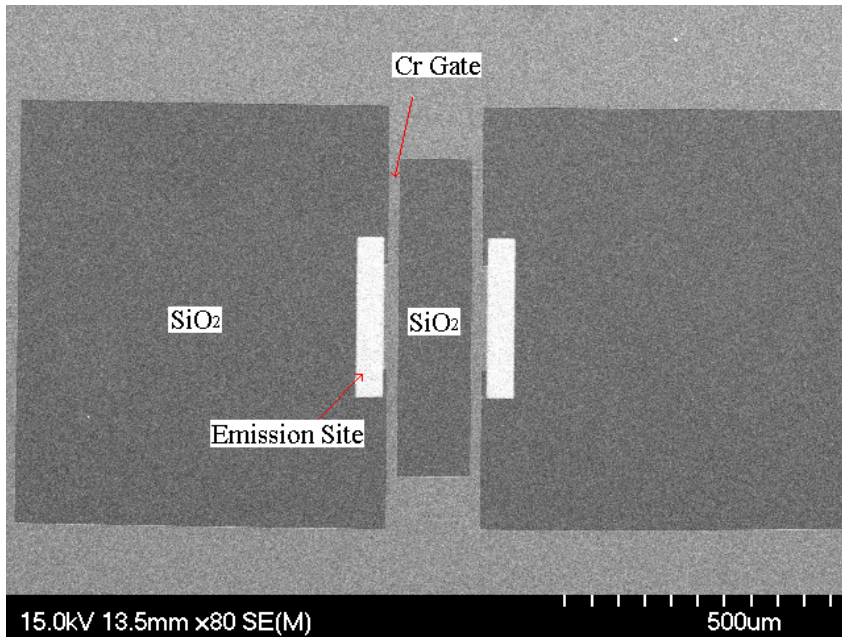


Fig. 3-6 Triode structure fabrication flow diagrams (a) 2000Å Cr cathode, 1µm SiO₂, and 2000Å Cr gate deposition by Egun, PECVD, and Egun, respectively. (b) lithography procedure, (c) define the gate and spacer by wet and dry etching, respectively (d) multilayer catalysts deposition, (e) lift photoresist off, (f) pretreatment, and CNTs growth

(a)



(b)

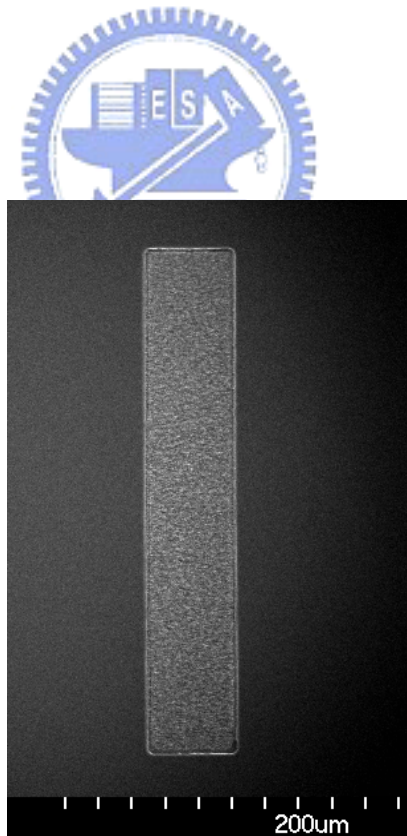
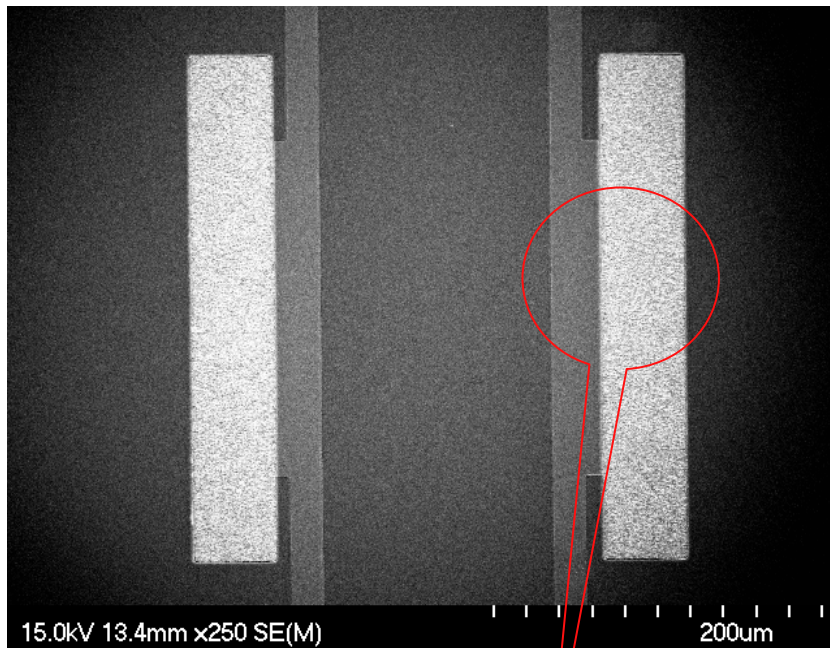


Fig. 3-7 The SEM micrograph of the top view of the (a) self-focusing structure, and (b) conventional structure

(a)



(b)

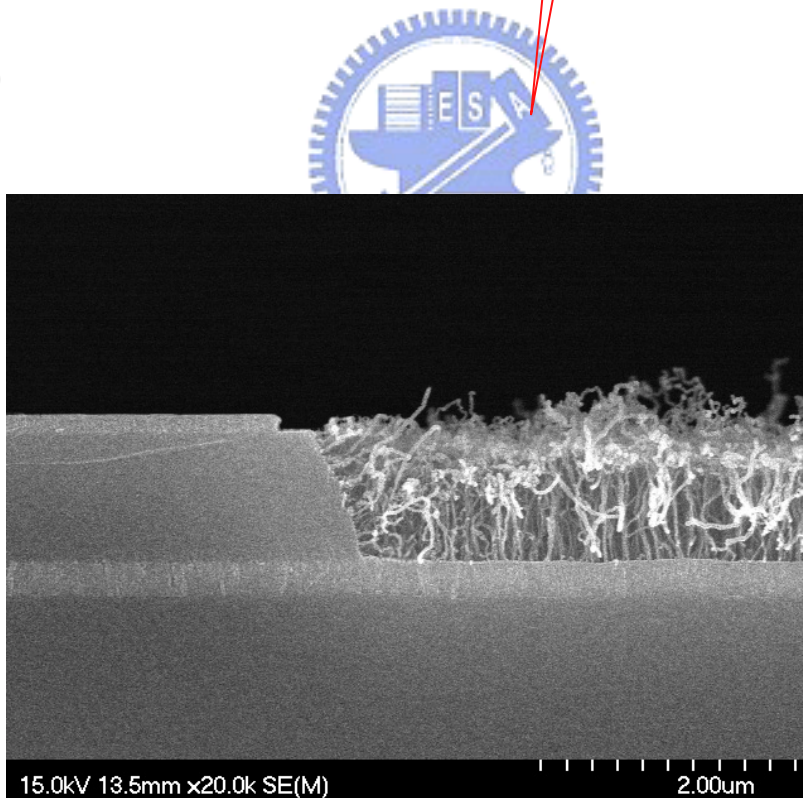


Fig. 3-8 (a) It is shown the zoom-in self-focusing structure and (b) is the cross-section view of the CNT emitters adjacent to the gate electrode according to area circled in Fig. 3-8(a)

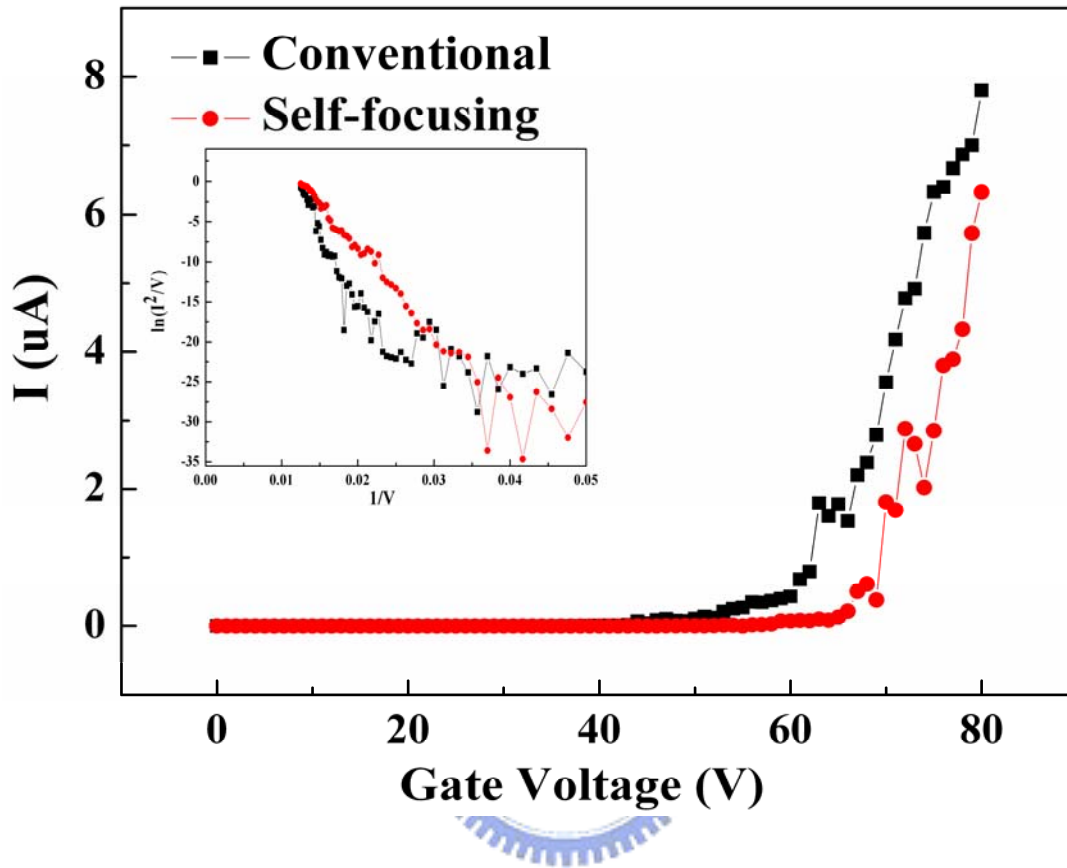
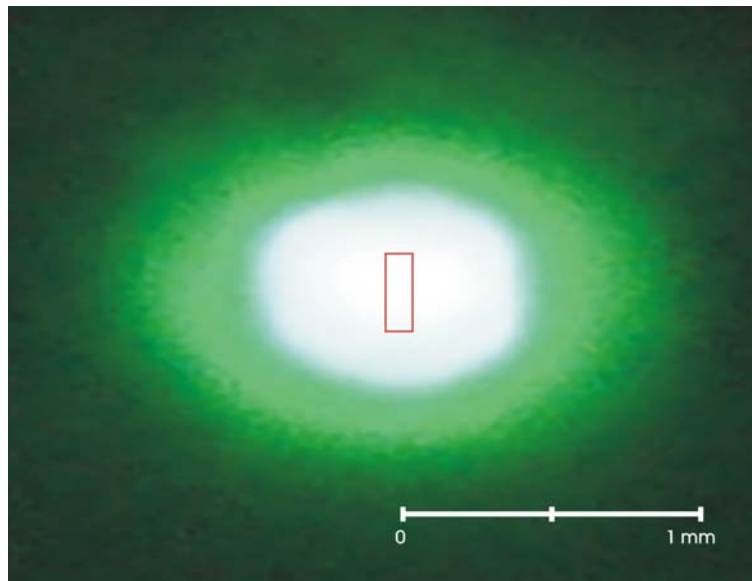


Fig. 3-9 I-V plots for self-focusing gate structure (red curve) and conventional (black curve). The insert is F-N plot

(a)



(b)

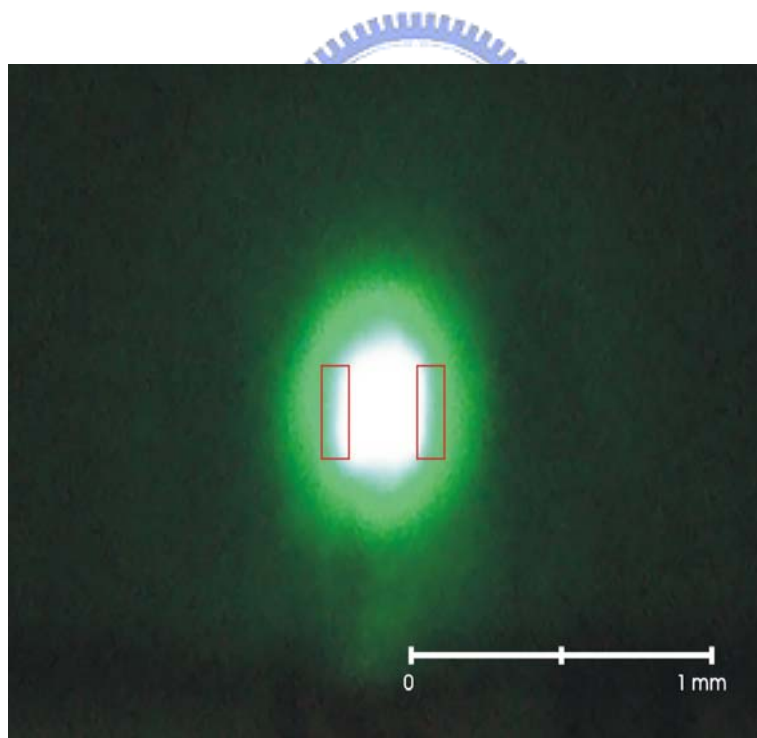


Fig. 3-10 The luminescent images of the conventional structure (a) and the novel self-focusing one (b). (The red rectangles are emission sites)

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Chapter 1

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