# 國立交通大學

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### 碩士論文

智財碼和非覆集合族的關連探討

Codes and Cover-Free Families for Copyright Protection

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### Codes and Cover-Free Families for Copyright Protection





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## 智財碼與非覆蓋集合族的關連探討

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TA 碼, IPP 碼, SFP 碼和 FP 碼的應用在數位資料的保護上有著重要的價值,目的在預防未授權產品的非法拷貝。在此論文中,我們造 了些上述碼,並研究碼的基本性質和探討碼與 cover-free family 的 關係。根據 cover-free family 的定義,我們構造了些新的關係矩陣, 並証明上述矩陣為 disjunct matrix。用布林代數的語言,即我們允 許某種程度上的容錯率。文末我們蒐集了前人關於 SFP 碼及 IPP 碼 簡單且重要的構造法。

### Codes and Cover-free Families for Copyright Protection

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The applications of TA codes, IPP codes, SFP codes and FP codes play an important role in the protection of digital data. The destination of these codes is to prevent an unauthorized copy. Some new and old examples of these codes are given. This thesis studies basic properties of the above codes and the relationships between theses codes and cover-free families. Therefore, we construct some new incidence matrices and prove these matrices are disjunct matrices. According to our constructions, in the language of pooling design, the construction allows some test errors. In the end, we collect some simple and important constructions of SFP codes and IPP codes.

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### 1 Introduction

To protect an electronic product, such as digital data, a distributor marks each copy with some codeword and then ships each user his data "marked" with that codeword. This marking, a "digital fingerprint", permits the distributor to detect any unauthorized copy and trace it back to the user that created it. This will prevent users from releasing an unauthorized copy. A coalition of users, yet, may detect some of the marks where their copies differ. They can then change these marks arbitrarily. Boneh and Shaw (1995)[2] defined "w-frame proof codes" as preventing users from "framing" another user. A w-frame proof code possesses the property that no coalition of at most w can frame another registered user. In Stinson and Wei (1998)[15], combinatorial methods are used to further probe frame proof codes. Several constructions of w-frame proof codes are given in Boneh and Shaw (1995)[2], Chee (1996)[4] and Stinson and Wei (1998)[15]. In Chapter 2, we introduce five classes of codes w-TA codes, w-IPP codes, w-SFP codes, and w-FP codes from the most to the least restrictive. By above codes, we define the registered user, unregistered user, and guilty user in order to apply to copyright protection. We provide examples and counter examples for theses definition originally introduced by D.R. Stinson, Tran van Trung and R. Wei (2000)[13]. Define desc<sup>-1</sup>(x) consisting of all the coalitions of size at most w that could framed x and suppose x is an unregistered user in 2-SFP code C ( $x \notin C$ ). Since desc<sup>-1</sup>(x) consists of a collection of 2-subsets of C, we can view it as the set of edges of a graph on vertex set C. That is, we can give the link from a 2-SFP code to a star graph (i.e. there exists a vertex that is incident to every edges) and  $K_3$  (the complete graph on three vertices).

In Chapter 3, we first introduce the set system  $(P, \mathcal{B})$  and the  $(w; \alpha)$ cover-free family. Lemma 3.2 give relationships between a cover-free family
and a w-FP code. By above lemma, we generalize a w-FP code to a  $(w; \alpha)$ FP code in our new Definition 3.3. Finally, we analyse minimum distance d
and  $\alpha$  of a  $(w; \alpha)$ -FP code and reprove Corollary 3.6.(Staddon, Stinson and
Wei, 2001)[14].

In Chapter 4 and 5, in our language, we generalize a  $(w; \alpha)$ -cover-free family to an  $(\ell, s; e)$ -cover-free family in Definition 4.1. Our treatment simplifies the original definition of an  $(\ell, s)$ -sandwich-free family in [13]. Theorem 4.2 which connects a w-SFP code with a cover-free family is similiar to lemma 3.2. We research the properties relating to w-SFP codes. In Theorem 4.5, we construct some new incidence matrices and prove these matrices are disjunct matrices. Recalling the definition of a  $(w; \alpha)$ -FP code, we construct a  $(w; \alpha)$ -CFF in Theorem 5.1 by means of the disjunct matrix. This tells us, in the language of pooling design, the construction allows some test errors.

In Chapter 6 and 7, we collect and introduce some simple constructions of SFP and IPP codes. In Chapter 7, let  $C_1$  and  $C_2$  be two different codes with the same length. Bush (1952)[3] proved the existence of combination of  $C_1$  and  $C_2$  in Theorem 7.6. Further, Tran and Sosina (2004) [16] constructed a similiar one, but more general with distinct length in Theorem 7.4. Based on above two theorems, Tran and Sosina (2005)[17] used concatenation technique to construct a new w-IPP code with the same parameter  $q_2$  in Theorem 7.14.

# 2 Codes for copyright protection

**Definition 2.1.** Let Q denote a set of q elements. A subset  $C \subseteq Q^n$  is called a code of length n over Q. The elements in C are called codewords. The number of codewords in C is called the size of C. C is called an (n, N, q)-code over Q if |C| = N and Q is the set of alphabets. An (n, N, 2)-code is called an (n, N)-code for short.

To reveal the application for codes to copyright protection, an element in  $Q^n$  is also called a *user*, in C is a *registered user*, and in  $Q^n - C$  is an unregistered user, or an illegal copy.

**Definition 2.2.** Let C denote an (n, N, q)-code over Q. For  $X \subseteq C$ , the set of *descendants* of X is the subset

$$\operatorname{desc}(X) := X_1 \times X_2 \times \cdots \times X_n$$

of  $Q^n$ , where  $X_i := \{c_i \mid c \in X\}$  is the set of alphabets used in the *i*th coordinate of X.

An element in desc(X) is referred to as a user framed by the coalition X. For  $x \in desc(X)$ , X is called the set of parents of x. The set  $X \subseteq C$  is intercepted as a family of registered users and  $x \in desc(X) - C$  is an illegal copy produced by X. It is clear that  $C \subseteq desc(C)$ . We see an example before going to our new definition. Example 2.3. Set  $Q = \{0, 1\}$ , and

$$C = \{(0,0,0), (1,0,0)(0,1,0)(0,0,1)\} \subseteq Q^3.$$

Then C is an (3,4,2)-code. Observe  $\operatorname{desc}(C) = Q^3$ .

Throughout the remaining of the section, C is an (n, N, q)-code over  $Q := \{1, 2, ..., q\}$  and  $w \leq N$  is a positive integer.

**Definition 2.4.** For  $x, y \in Q^n$ , define the Hamming distance  $\partial(x, y)$  to be the number of different positions in x, y. That is

$$\partial(x, y) := |\{i \mid x_i \neq y_i\}|$$

for  $x, y \in Q^n$ . An (n, N, q; d)-code C is an (n, N, q)-code with

$$d = \min\{\partial(x, y) \mid x, y \in C, x \neq y\}$$

Now we are ready to introduce the first class of codes.

**Definition 2.5.** C is a *w*-traceability code (*w*-TA code) whenever for any  $X \subseteq C$  with  $|X| \leq w$  and for any  $x \in \operatorname{desc}(X)$ ,

$$\partial(x, X) < \partial(x, C - X),$$
(2.1)

where  $\partial(x, X) := \min\{\partial(x, y) \mid y \in X\}.$ 

Note that every code is 1-TA code. In a w-TA code,  $desc(X) \cap C = X$ for any  $X \subseteq C$  with  $|X| \leq w$ .

A code is w-TA if, for any n-tuple x framed by a set X of w parents, the nearest codeword to the x is taken from the set of parents. In particular, the register users with minimum Hamming distance to x are all in X. Hence we can trace some register users in X from an illegal copy x. Hence TA codes are designed to be used in schemes that protect copyrighted digital data against piracy.

#### Example 2.6. Set

$$C = \{(1, 1, \dots, 1, i) \mid i \in Q\} \subseteq Q^n,$$

observe  $\operatorname{desc}(X) = X$  for any  $X \subseteq C$ . Then C is a q-TA code.

The following property of w-TA codes will give link to our next definition.

**Lemma 2.7.** Suppose C is a w-TA code. Then for any  $X, Y \subseteq C$  with  $|X|, |Y| \leq w$  and for any  $x \in \operatorname{desc}(X) \cap \operatorname{desc}(Y)$ ,

$$\{y \in X \mid \partial(y, x) = \partial(X, x)\} \subseteq Y.$$
(2.2)

*Proof.* Assume that there exists  $y \in X$  with  $\partial(y, x) = \partial(X, x)$  and there exists  $Y \subseteq C$  with  $|Y| \leq w, x \in \operatorname{desc}(X) \cap \operatorname{desc}(Y)$  and  $y \notin Y$ . Then

$$\partial(x,y) < \partial(x,C-X)$$
  
 $< \partial(x,Y-X)$ 

and

$$\begin{array}{l} \partial(x,y) &= \ \partial(x,X) \\ &\leq \ \partial(x,Y\cap X). \end{array}$$
  
Hence  $\partial(x,y) \leq \partial(x,Y)$ , a contradiction.  $\Box$   
Now we give the second class of codes.

**Definition 2.8.** C is a *w*-identifiable parent property code (*w*-IPP code) whenever for all  $x \in \text{desc}(C)$ ,

$$\bigcap Y \neq \emptyset, \tag{2.3}$$

where  $Y \in \operatorname{desc}^{-1}(x)$ .

An registered user  $y \in \cap Y$  in (2.3) is called a *guilty user* for x. An w-IPP code is also called a code with traceability. If there is no  $Y \subseteq C$  with  $|Y| \leq w$  and  $x \in \operatorname{desc}(Y)$  in the above definition then in convention we realize  $\bigcap Y$  as  $Q^n$ .

A code is w-IPP if for all  $x \in \operatorname{desc}(C)$ , then there exists a quilty user for x. Hence IPP codes are introduced to provide protection against illegal producing of copyrighted digital material.

Observe that if  $x \in C$  then the set in (2.3) is  $\{x\}$  since we can choose one of the Y to be  $\{x\}$ . By Lemma 2.7, we have

Corollary 2.9. A w-TA code is a w-IPP code.

We see two examples.

#### Example 2.10. Set

$$C = \{1212, 2121, 4343, 3434, 1144\}.$$
  
It is easy to see that C is a 2-IPP (4, 5, 4)-code. If we set  
 $X = \{1212, 2121\} \subseteq C,$   
 $x = 1111 \in \operatorname{desc}(X), \text{ then } d(x, X) = 2 \not\leq 2 = \operatorname{desc}(x, C - X).$  Hence C is not  
a 2-TA code.  
Example 2.11. Set  
 $C := \{(i, i, \dots, i) \mid i \in Q\} \subseteq Q^n.$ 

Then C is an (n, q, q)-code. Observe  $\operatorname{desc}(C) = Q^n$ , and for any  $x = (x_1, x_2, \ldots, x_n) \in Q^n$ ,

$$\bigcap Y = \{(i, i, \dots, i) \mid i \in \{x_1, x_2, \dots, x_n\}\}$$
$$\neq \emptyset.$$

where the intersection is taking for all  $Y \subseteq C$  and  $x \in desc(Y)$ . Hence C is a *w*-IPP code for any *w*. Now we are ready to give the 3th and 4th class of codes.

**Definition 2.12.** *C* is a *w*-secure frame proof code (*w*-SFP code) whenever for any  $X, Y \subseteq C$  with  $|X|, |Y| \leq w$ ,

 $\operatorname{desc}(X) \cap \operatorname{desc}(Y) \neq \emptyset \implies X \cap Y \neq \emptyset.$ 

Note that  $\operatorname{desc}(X) \cap \operatorname{desc}(Y) = \phi$  iff  $X_i \cap Y_i = \phi$  for some *i*.

A code is w-SFP if no two disjoint coalitions of size at most w can frame a common user.

**Definition 2.13.** Suppose that C is a (n, N)-code and for any  $x \in \{0, 1\}^n$ , define

$$\operatorname{desc}^{-1}(x) = \{ X \subseteq C \mid |X| \le w \text{ and } x \in \operatorname{desc}(X) \}.$$

Evidently,  $\operatorname{desc}^{-1}(x)$  consists of all the coalitions of size at most w that could have framed x.

A w-SFP (n, N)-code does not permit traceability, but it does afford some security, as follows:

- (i) It is impossible for a coalition C<sub>1</sub> of size at most w to implicate a disjoint coalition C<sub>2</sub> of size at most w by constructing an unregistered user x ∈ desc(C<sub>1</sub>).
- (ii) If x is an unregistered user that has been constructed by a coalition of size at most w, then any X ∈ desc<sup>-1</sup>(x) contains at least one guilty user.

From (2.3) we have

Corollary 2.14. A w-IPP code is w-SFP code.

Example 2.15. Set

$$C = \{(1, 0, 1), (1, 1, 0), (0, 1, 1)\}.$$

Then C is a 2-SFP code over  $\{0, 1\}$ . Note that C is not a 2-IPP code because for

$$Y = \{(1, 0, 1), (1, 1, 0)\}$$

and  $W = \{(1,1,0), (0,1,1)\},$ we have  $(1,1,1) \in \operatorname{desc}(Y) \cap \operatorname{desc}(Z) \cap \operatorname{desc}(W)$  and  $Y \cap Z \cap W = \emptyset$ . Definition 2.16. *C* is a *w*-frame proof code (*w*-FP code) whenever for any  $X \subseteq C$  with  $|X| \leq w$ , we have  $\operatorname{desc}(X) \cap C = X$ .

A code is w-FP if no coalition of size at most w can frame another registered user.

FP codes were introduced by Boneh and Shaw[2] as a method of "digital fingerprinting" which prevents a coalition of a special size w from framing a user not in the coalition. Stinson and Wei [15] then gave a combinatorial

formulation of the problem in terms of certain types of extremal set systems. We study FP codes that provide a certain (weak) form of traceability.

Lemma 2.17. A w-SFP code is w-FP code.

*Proof.*  $X \subseteq \operatorname{desc}(X) \cap C$  is clear. Suppose  $y \in (\operatorname{desc}(X) \cap C) - X$ . Then by setting  $Y = \{y\}$  in Definition 2.12 we find  $X \cap \{y\} = \emptyset$ , a contradiction.  $\Box$ 

We see an example.

#### Example 2.18. Set

 $C = \{111, 123, 132, 222, 213, 231, 333, 312, 321\}.$ 

It is easy to see that C is a 2-FP (3, 9, 3)-code. If we set

$$X = \{111, 123\}, Y = \{132, 321\},\$$

then  $X \cap Y = \phi$ , but  $\operatorname{desc}(X) \cap \operatorname{desc}(Y) = \{121\} \neq \phi$ . Hence C is not a 2-SFP (3,9,3)-code.

Related questions, including generalizations of frame proof codes to the setting of public-key, cryptography, have been studied in Biehl and Meyer (1997) [1], Chor et al. (1994)[5], Pfitzmann (1996)[10], and Pfitzmann and Waidner (1997a,b) [11], [12].

Suppose that C is a w-FP (n, N)-code and  $x \in \{0, 1\}^n \setminus C$  (i.e., x is an unregistered user). If it happened that  $|\operatorname{desc}^{-1}(x)| = 1$ , say  $\operatorname{desc}^{-1}(x) = \{X\}$ , then we could conclude that X was the coalition that constructed x (assuming, of course, that all coalitions have size at most w). More generally, if desc<sup>-1</sup>(x)  $\neq \emptyset$  and there exists a codeword  $c^{(j)}$  such that  $c^{(j)} \in X$  for all  $X \in \text{desc}^{-1}(x)$ , then we would at least be able to identify user j as being guilty. Unfortunately, as shown in Boneh and Shaw (1995)[2], this is hoping for too much. The following theorem is a simple generalization of (Boneh and Shaw, 1995 [2], Theorem 11), which concerned the case w = 2.

A w-FP (n, N)-code is not necessary to permit traceability. D.R. Stinson, Tran van Trung and R. Wei (2000) [13] claimed why in following.

**Theorem 2.19.** (D.R. Stinson, Tran van Trung and R. Wei, 2000)[13]. Suppose C is a w-FP (n, N)-code with  $N \ge 2w - 1$ . Suppose  $D \subseteq C$  with |D| = 2w - 1. Let  $\operatorname{maj}(D) \in \{0, 1\}^n$  be defined as

$$\mathbf{maj}(D)_i = \begin{cases} 1, & \text{if } |\{ \ c \in D \ | \ c_i = 1 \ \}| \ge w, \\ 0, & \text{if } |\{ \ c \in D \ | \ c_i = 0 \ \}| \ge w. \end{cases}$$

Then maj(D) is an unregistered user and  $maj(D) \in desc(X)$  for all  $X \subseteq D$ with |X| = w. That is, C does not permit traceability.

*Proof.* It is easy to see that  $\operatorname{maj}(D) \in \operatorname{desc}(X)$  for all  $X \subseteq D$  with |X| = w. It remains to show that  $\operatorname{maj}(D)$  is an unregistered user. Suppose not; then  $\operatorname{maj}(D) = c^{(u)}$  for some u. Let

$$X \subseteq D \setminus \{c^{(u)}\}$$
 with  $|X| = w$ .

Then  $c^{(u)} \in \operatorname{desc}(X) \cap C = X$ , which contradicts the fact that C is a w-FP code.

The above theorem says that we cannot be guaranteed of identifying a guilty user in a w-FP (n, N)-code. For, if  $x = \operatorname{maj}(D)$  for some D where |D| = 2w - 1, then

$$\bigcap_{X \in \operatorname{desc}^{-1}(x)} X = \emptyset$$

Corollary 2.20. Any w-IPP (n, N)-codes have N < 2w - 1.

We now consider 2-SFP (n, N)-code in more detail. Suppose that C is a 2-SFP (n, N)-code, suppose that x is an unregistered user, and suppose that  $X \in \operatorname{desc}^{-1}(x)$  with  $|X| \leq 2$ . Since x is an unregistered user,  $|X| \neq 1$ . Therefore, |X| = 2.

Since  $\operatorname{desc}^{-1}(x)$  consists of a collection of 2-subsets of C, we can view it as the set of edges of a graph on vertex set C. Since C is a 2-SFP code, it must be the case that any two distinct edges in  $\operatorname{dese}^{-1}(x)$  are incident. From this it is easily seen that one of two possibilities must occur:

- (i)  $\operatorname{desc}^{-1}(x)$  is a *star graph* (i.e., there exists a vertex that is incident to every edge of  $\operatorname{desc}^{-1}(x)$ ).
- (ii)  $\operatorname{desc}^{-1}(x)$  is isomorphic to  $K_3$  (the complete graph on three vertices).

As a consequence of this characterization of  $\operatorname{desc}^{-1}(x)$  in the case w = 2, we obtain the following result.

**Theorem 2.21.** (D.R. Stinson, Tran van Trung and R. Wei, 2000)[13]. Suppose that C is a 2-SFP (n, N)-code and suppose that x is an unregistered user that is produced by a coalition of size at most two. Then one of the following two possibilities must occur:

- (i) at least one guilty user can be identified; or
- (ii) a set of three user can be identified, two of which must be guilty.

Since its inception in the early 1980's, the field of copyright and distribution rights protection of multimedia documents has become an essential concern to companies that distribute digital documents. This is the case of Networked University for e-Learning. Independently of the use of the documents and the type of organization (public or private) the authors of educational documents have to be protected against dishonest users. The possibility of making copies of these documents without a quality degradation constitutes a severe threat to authors rights.

The security mechanism in this environment must be more strict than in the e-commerce market with physical goods delivered to the user using traditional networks. Cryptographic techniques are insufficient because the lack of confidence about the receiver behavior. The most acceptable techniques to solve this situation are watermarking and fingerprinting. Both techniques are based on embedding an imperceptible mark in the document. In the case of fingerprinting, analogously to the human fingerprint, the mark is unique for every legally distributed copy with the aim of discovering fraudulent redistributors.

### **3** Cover-Free Families

We first define some terminologies concerning set systems. A set system is a pair  $(P, \mathcal{B})$  where P is a set of elements called *points*, and  $\mathcal{B}$  is a set consisting of subsets of P, the members of  $\mathcal{B}$  which are called *blocks*.

Let  $(P, \mathcal{B})$  be a set system with  $|\mathcal{B}| = N$ . Fix  $w \leq N$ .

**Definition 3.1.** A set system  $(P, \mathcal{B})$  is a  $(w; \alpha)$ -cover-free family  $((w; \alpha)$ -CFF) whenever for any  $\mathcal{X} \subseteq \mathcal{B}$  with  $|\mathcal{X}| \leq w$  and any  $A \in \mathcal{B} - \mathcal{X}$ ,

$$|A - \bigcup_{X \in \mathcal{X}} X| \ge \alpha + 1.$$

We refer a (w; 0)-CFF to w-CFF for short.  $(P, \mathcal{B})$  is k-uniform whenever |B| = k for any  $B \in \mathcal{B}$ . Let C denote an (n, N, q)-code over Q. For each  $c \in C$ , set  $B_{e} := \{(i, c_{i}) \mid 1 \leq i \leq n\} \subseteq [n] \times Q$ . Then  $([n] \times Q, \{B_{c}\}_{c \in C})$  is an n-uniform family. Observe for any  $x, y \in C$ ,

$$B_x = B_y$$
 iff  $x = y$ ,

and for  $X \subseteq C, x \in Q^n$ , we have

$$B_x \subseteq \bigcup_{c \in X} B_c$$
 iff  $x \in \operatorname{desc}(X)$ .

Then we immediately have

**Lemma 3.2.** Let C be an (n, N, q)-code over Q. Then the set system  $([n] \times Q, \{B_c\}_{c \in C})$  is a w-CFF if and only if C is a w-FP code.

*Proof.* ( $\Longrightarrow$ ) Suppose a set system ( $[n] \times Q, \{B_c\}_{c \in C}$ ) is a *w*-CFF. Fix  $X \subseteq C$  with  $|X| \leq w$ , and given any codeword  $x \in \operatorname{desc}(X) \cap C$ . Hence

$$B_x \subseteq \bigcup_{c \in X} B_c$$

and  $x \in C$ . Since  $([n] \times Q, \{B_c\}_{c \in C})$  is a w-CFF, we know  $x \in X$ .

( $\Leftarrow$ ) Suppose C is a w-FP code. Given any  $X \subseteq C$  with  $|X| \leq w$ , and pick any  $y \in C - X$ . Since C is a w-FP code, we know  $\operatorname{desc}(X) \cap C = X$ . Thus

$$y \notin \operatorname{desc}(X)$$
 implies  $B_y \nsubseteq \bigcup_{x \in X} B_x$ .

Hence  $|B_y - \bigcup_{x \in X} B_x| \ge 1$ 

It is natural to generalize the definition of a w-FP code to

**Definition 3.3.** An (n, N, q)-code C is a  $(w; \alpha)$ -frame proof code  $((w; \alpha)$ -FP code) whenever  $([n] \times Q, \{B_c\}_{c \in C})$  is a  $(w; \alpha)$ -CFF.

Hence a (w; 0)-FP code is a w-FP code.

**Proposition 3.4.** Suppose C is an (n, N, q; d)-code, where  $d > n(1 - \frac{1}{w^2})$ . Then C is a  $(w; \alpha)$ -FP code where

$$\alpha = \left[ n(1 - \frac{1}{w}) \right].$$

*Proof.* Fix  $\mathcal{X} \subseteq \{B_c\}_{c \in C}$  with  $|\mathcal{X}| \leq w$  and  $B \in \{B_c\}_{c \in C} - \mathcal{X}$ . Observe  $|B \cap B'| \leq n - d$  for any  $B' \in \mathcal{X}$ . Hence

$$|B - \bigcup_{B' \in \mathcal{X}} B'| \geq n - w(n - d)$$
  
>  $n(1 - \frac{1}{w}).$ 

Since  $|B - \bigcup_{B' \in \mathcal{X}} B'|$  is an integer, we have

$$|B - \bigcup_{B' \in \mathcal{X}} B'| \ge \left[ n(1 - \frac{1}{w}) \right] + 1.$$

**Proposition 3.5.** Suppose that an (n, N, q)-code C is a  $(w; \alpha)$ -FP code, where

$$\alpha = \left[ n(1 - \frac{1}{w}) \right].$$

Then C is a w-TA code.

*Proof.* Fix  $X \subseteq C$  with  $|X| \leq w$  and  $x \in \operatorname{desc}(X)$ . Since  $x \in \operatorname{desc}(X)$ , there exists  $y \in X$  such that  $|B_x \cap B_y| \geq n/w$ . Hence  $\partial(x, X) \leq \alpha$ . Since C is a  $(w; \alpha)$ -FP code,



From the above two Propositions, we reprove the following results.

**Corollary 3.6.** (Staddon, Stinson and Wei, 2001)[14] Suppose C is an (n, N, q; d)-code with  $d > n(1 - \frac{1}{w^2})$ . Then C is an w-TA (n, N, q)-code.  $\Box$ 

### 4 Complexes

**Definition 4.1.** A set system  $(P, \mathcal{B})$  is an  $(\ell, s; e)$ -cover-free family  $((\ell, s; e)$ -CFF) whenever for any  $\ell$  members  $A_1, A_2, \ldots, A_\ell \in \mathcal{B}$  and any other s members  $B_1, B_2, \ldots, B_s \in \mathcal{B}$ ,

$$\left|\bigcap_{i=1}^{\ell} A_i - \bigcup_{j=1}^{s} B_j\right| \ge e+1.$$

By an  $(\ell, s; e)$ -disjunct matrix M we mean an incidence matrix of some  $(\ell, s; e)$ -cover-free family  $(P, \mathcal{B})$ , i.e. M is a binary matrix with rows and columns indexed by  $\mathcal{B}$  and P respectively such that

$$M_{ij} = \begin{cases} 1, & \text{if } j \in i; \\ 0, & \text{if } j \notin i. \end{cases}$$

Our matrix is the transpose of the one studied in pooling designs [6].

In the language of pooling designs, the above  $\ell$  is refer to the size of *complexes*, s to the number of *positive complexes*, e to the number of allowed *test errors*, |P| to the number of *tests*, and  $|\mathcal{B}|$  to the number of *items* respectively. **Theorem 4.2.** Let C be an (n, N)-code. Then the set system  $([n] \times Q, \{B_c\}_{c \in C})$ is an (w, w; 0)-CFF if and only if C is an w-SFP code for  $1 \le w \le n - 1$ . Proof.  $(\Longrightarrow)$  Pick any  $X, Y \subseteq C$  with  $|X|, |Y| \le w$  and  $X \cap Y = \emptyset$ . Then  $\bigcap_{x \in X} B_x - \bigcup_{y \in Y} B_y = \emptyset$  by assumption. Choose

$$(i, c_i) \in \bigcap_{x \in X} B_x - \bigcup_{y \in Y} B_y.$$

Then with referring to the Definition 2.2,  $X_i = \{c_i\}$  and  $c_i \notin Y_i$ . Hence  $X_i \cap Y_i = \emptyset$ . Thus  $\operatorname{desc}(X) \cap \operatorname{desc}(Y) = \emptyset$ .

( $\Leftarrow$ ) Pick any  $X, Y \subseteq C$  with  $|X|, |Y| \leq w$  and  $X \cap Y = \emptyset$ . Then  $\operatorname{desc}(X) \cap \operatorname{desc}(Y) = \emptyset$ . That is

$$X_i \cap Y_i = \emptyset$$
 for some *i*.

Note that  $X_i \neq \{0,1\}, X_i \neq \emptyset$ , and similarly for  $Y_i$ . Hence we can assume  $X_i = \{0\}$  and  $Y_i = \{1\}$ . Then  $(i, 0) \in \bigcap_{x \in X} B_x - \bigcup_{y \in Y} B_y$ . 

Unlike Lemma 3.2, here we only can consider the binary code in Theorem 4.2.

Example 4.3. Set

$$C = \{100, 010, 001, 111\}.$$

It is easy to see that C is a 2-SFP (3,4)-code by computing desc(X)  $\cap$  $\operatorname{desc}(Y) = \emptyset$  for all  $X, Y \subseteq C$  with |X| = |Y| = 2. The following (2, 2; 0)-CFF is equivalent to the 2-SFP (3,4)-code presented

$$P = \{(1,0), (1,1), (2,0), (2,1), (3,0)(3,1)\},\$$
$$\mathcal{B} = \{\{(1,1), (2,0), (3,0)\}, \{(1,0), (2,1), (3,0)\},\$$
$$\{(1,0), (2,0), (3,1)\}, \{(1,1), (2,1), (3,1)\}\}.$$

**Lemma 4.4.** Set  $P = [n] = \{1, 2, \dots, n\}$  and  $\mathcal{B} = \begin{pmatrix} [n] \\ n-1 \end{pmatrix}$ , the set of (n-1)-subsets of P. Then  $(P, \mathcal{B})$  is an  $(\ell, 1; 0)$ -CFF.

*Proof.* For any  $\ell$  members  $A_1, A_2, \cdots, A_\ell \in \binom{[n]}{n-1}$ , and other  $B \in$ 1

$$\begin{pmatrix} [n]\\ n-1 \end{pmatrix}, \text{ note that}$$
$$\bigcap_{i=1}^{\ell} A_i \in \begin{pmatrix} [n]\\ n-\ell \end{pmatrix},$$
and  $|\bigcap_{i=1}^{\ell} A_i - B| = 1.$ 

and  $||_{i=1} A_i - D| - 1.$ 

Motivated by the above fact  $B \not\subseteq \bigcap_{i=1}^{\ell} A_i$  in the proof of Lemma 4.4, we immediately have the following theorem.

**Theorem 4.5.** Fix 
$$n - \ell \leq n - 1$$
. Let  $M$  denote the incidence matrix  
of  $\binom{[n]}{n-1}$  and  $\binom{[n]}{n-\ell}$  i.e.  $M$  is a binary matrix with rows and  
columns indexed by  $\binom{[n]}{n-1}$  and  $\binom{[n]}{n-\ell}$  respectively such that  $M_{ij} =$   
 $\begin{cases} 1, & \text{if } j \subseteq i; \\ 0, & \text{if } j \notin i. \end{cases}$ ; Then  $M$  is an  $(\ell, s; 0)$ -disjunct matrix of size  $n \times \binom{n}{\ell}$ ,  
where  $\ell + s \leq n$ .

Note that when  $\ell = 1$  the above M is an identity matrix, hence we refer this construction as a *trivial construction*.

# 5 Allowing Test Errors

Recalling the definition of  $(w; \alpha)$ -FP code, we want to construct  $(w; \alpha)$ -CFF by means of the disjunct matrix. In the study of pooling design, this  $\alpha$  is related to the error correcting ability [8]. The following theorem give a construction of disjunct matrices with some error correcting ability.

**Theorem 5.1.** Fix  $s < n - \ell \le n - 1$ . Let M denote the incidence matrix of  $\binom{[n]}{n-1}$  and  $\binom{[n]}{n-\ell-1}$ . Then M is an  $(\ell, s; n-\ell-s-2)$ -disjunct matrix of size  $n \times \binom{n}{\ell+1}$ .

*Proof.* Pick any distinct  $A_1, A_2, \dots, A_\ell, B_1, B_2, \dots, B_s \in \binom{[n]}{n-1}$ . Then  $(\bigcap_i^\ell A_i) \cap B_j \in \binom{[n]}{n-\ell-1}$ 

for any  $1 \leq j \leq s$ . Note that there are  $n - \ell$   $(n - \ell - 1)$ -subsets contained in  $\bigcap_{i}^{\ell} A_{i}$  and s of then are contained in some  $B_{j}$  for  $1 \leq j \leq s$ . Hence we still can pick  $e + 1 = n - \ell - 1 - s$   $(n - \ell - 1)$ -subsets which are contained in each of  $A_{i}$ , but none of  $B_{j}$ .

We believe the existence of a  $(\ell, s; e)$ -disjunct matrix is applicable to the study of codes for copyright protection with error correcting ability. Further study is necessary.

# 6 A Simple Construction of SFP codes

An (n, N)-code C can be depicted as an  $N \times n$  binary matrix M, where each row of the matrix corresponds to one of the codewords.

**Example 6.1.** Let  $C = \{c^{(1)} = 111, c^{(2)} = 100, c^{(3)} = 010, c^{(4)} = 001\}$ , and C can be depicted as

$$M = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

We will show that C is a 2-SFP (3, 4)-code by computing desc(X) for all X with |X| = 2:

$$desc(\{c^{(1)}, c^{(2)}\}) = \{100, 111, 101, 110\}, \\ desc(\{c^{(1)}, c^{(3)}\}) = \{010, 111, 011, 110\}, \\ desc(\{c^{(1)}, c^{(4)}\}) = \{001, 111, 011, 101\}, \\ desc(\{c^{(2)}, c^{(3)}\}) = \{100, 010, 110, 000\}, \\ desc(\{c^{(2)}, c^{(4)}\}) = \{100, 001, 101, 000\}, \\ desc(\{c^{(3)}, c^{(4)}\}) = \{100, 001, 101, 000\}, \\ desc(\{c^{(4)}, c^{(4)}\}) = \{100, 001, 100, 001, 100, 000\}, \\ desc(\{c^{(4)}, c^{(4)}\}) = \{100, 001, 100, 00$$

and



Next, we collect some direct and explicit constructions for secure frame proof codes.

**Theorem 6.2.** (D.R. Stinson, Tran van Trung and R. Wei, 2000)[13]. For any integer  $w \ge 2$ , there is a w-SFP  $\begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$ , 2w)-code. Proof. We define a binary matrix M and the rows of M will be a w-SFP  $\begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$ , 2w)-code. The rows of M are indexed by the elements in the set  $\{1, \ldots, 2w\}$ , and the columns are indexed by the w-subsets  $S \subseteq \{1, \ldots, 2w\}$  such that  $1 \in S$ . Denote these subsets as  $S_1, \ldots, S_n$ , where  $n = \begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$ . Now, the entry in row i and column j of M is defined to be

$$M_{ij} = \begin{cases} 1 & \text{if } i \in j, \\ 0 & \text{if } i \notin j. \end{cases}$$

We show that  $C = \{c^{(1)}, \ldots, c^{(2w)}\}$  is a w-SFP  $\begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$ , 2w)-code. It suffices to verify that Definition is satisfied for all  $X, Y \subseteq C$  such that |X| = |Y| = w and  $X \cap Y = \emptyset$ . Since N = 2w, it follows that  $Y = C \setminus X$ . Without loss of generality, suppose that  $c^{(1)} \in X$ . Now, there is a unique bit position i such that  $X_i = \{1\}$  and  $Y_i = \{0\}$  which implies  $X_i \cap Y_i = \emptyset$ . Hence,  $\operatorname{desc}(X) \cap \operatorname{desc}(Y) = \emptyset$ , as desired.  $\Box$ Example 6.3. The 2-SFP (3, 4)-code given in Example 6.1 is constructed

**Example 6.3.** The 2-SFP (3,4)-code given in Example 6.1 is constructed by the method of Theorem 6.2.

**Example 6.4.** We present a 3-SFP (10, 6)-code constructed using the method

described in Theorem 6.2. The binary matrix M is as follows:

The following result can be proved in a similar way.

Theorem 6.5. (D.R. Stinson, Tran van Trung and R. Wei, 2000)[13]. For  
any integer 
$$w \ge 2$$
, there is a w-SFP  $\begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$ ,  $2w+1$ )-code.  
Proof. Let the  $2w \times \begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$  matrix  $M$  be defined as in Theorem 6.2.  
Then construct a  $(2w+1) \times 2\begin{pmatrix} 2w-1 \\ w-1 \end{pmatrix}$  matrix  $M'$  as follows:  
 $M' = \begin{bmatrix} M & M \\ 0 \cdots 0 & 1 \cdots 1 \end{bmatrix}$ .

It is not hard to show that the set of rows in M' is the incidence matrix of a *w*-SFP  $\left(2\begin{pmatrix} 2w-1\\ w-1 \end{pmatrix}, 2w+1\right)$ -code.

### 7 A Simple Construction of IPP Codes

We depict an (n, N, q; d)-code C as an  $N \times n$  matrix M(C) on q symbols, where each row of the matrix corresponds to one of the codewords of C. For any  $a \in Q$ , define

$$m_j(a) = |\{i \mid M(C)_{ij} = a\}|,$$

i.e., $m_j(a)$  is the frequency of a on the *j*-th column of M(C). Define

$$m(C) = \max_{1 \le j \le n, a \in Q}(m_j(a)).$$

Example 7.1. Set

$$M(C) = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix},$$

then  $m_1(0) = 2, m_2(0) = 2, m_3(0) = 0$  and  $m_1(1) = 1, m_2(1) = 1, m_3(1) = 3$ . So m(C) = 3.

**Definition 7.2.** Let C be an (n, N, q; d)-code. We say that C has an  $\sigma$ resolution if the codewords of C can be partitioned into s subsets  $A_1, \ldots, A_s$ , where  $|A_i| = \sigma$ , for  $i = 1, \ldots, s$ , in such a way that each  $A_i$  is a code of minimum distance equal to n, i.e., any two codewords of  $A_i$  agree in no position.

We see an example.

Example 7.3. Set

$$C = \{123, 132, 213, 231, 312, 321\}$$

be a (3, 6, 3; 2)-code. Set

$$A_1 = \{123, 231, 312\}, A_2 = \{132, 321, 213\}.$$

Since C can be partitioned into 2-subsets  $A_1, A_2$ , and the minimum distance of  $A_1$  and  $A_2$  are equal to n = 3, we say C has a 3-resolution.

**Theorem 7.4.** (Tran and Sosina, 2004)[16]. Let  $C_1$  be an  $(n_1, N_1, q_1; d_1)$ code over  $Q_1$  and let  $C_2$  be an  $(n_2, N_2, q_2; d_2)$ -code over  $Q_2$  with a  $\sigma$ -resolution  $A_1, \ldots, A_s$  such that  $s \ge m(C_1)$ . Then the following hold.

- (i) there exist an  $(n_1n_2, \sigma N_1, q_1q_2; n_1n_2 (n_1 d_1)(n_2 d_2))$  code C.
- (ii) Further, if  $q_1q_2 \ge N_1$ , then C can be extended to a code C<sup>\*</sup> having parameters  $(n_1n_2 + 1, \sigma N_1, q_1q_2; d)$ , where  $d = \min\{n_1n_2; n_1n_2 + 1 - (n_1 - d_1)(n_2 - d_2)\}$ .

Proof. Let  $C_1$  be an  $(n_1, N_1, q_1; d_1)$ -code over  $Q_1$ . Let  $C_2$  be an  $(n_2, N_2, q_2; d_2)$ code over  $Q_2$  with a  $\sigma$ -resolution  $A_1, \ldots, A_s$ . Suppose  $s \ge m(C_1)$ . For each  $a \in Q_1$  denote by  $C_2(a)$  a copy of  $C_2$  defined over Q(a) such that

$$Q(a_1) \cap Q(a_2) = \emptyset \text{ if } a_1, a_2 \in Q_1 \text{ and } a_1 \neq a_2.$$

Denote by  $A_1(a), \ldots, A_s(a)$  a  $\sigma$ -resolution of  $C_2(a)$ .

Let  $col_j = (a_{1,j}, a_{2,j}, \ldots, a_{b_1,j})^T$  be the *j*-th column of  $M(C_1), 1 \leq j \leq n_1$ . Let  $a(1), \ldots, a(t)$ , say, be *t* positions of  $col_j$  at which symbol  $a \in Q_1$  appears. Note that  $t \leq m(C_1)$ . Now replace *a* at position a(1) by  $A_1(a)$ , *a* at position a(2) by  $A_1(a)$ , etc., and *a* at position a(t) by  $A_t(a)$ . Perform this process for every symbol of  $Q_1$  and for every column of  $M(C_1)$ . The resulting code *C* obtained by this replacement has parameters  $(n_1n_2, \sigma N_1, q_1q_2; n_1n_2 - (n_1 - d_1)(n_2 - d_2))$ .

Obviously, the length and the number of codewords of C is  $n_1n_2$  and  $\sigma N_1$  respectively. Further, any two codewords  $c_1, c_2 \in C_1$  agree in at most

 $(n_1 - d_2)$  positions. After replacement  $c_1$  and  $c_2$  correspond to two subsets  $R_1$  and  $R_2$  of  $\sigma$  codewords each. Any two codewords in  $R_1$  (resp.  $R_2$ ) agree in no position, whereas a codeword from  $R_1$  and a codeword from  $R_2$  agree in at most  $(n_1 - d_1)(n_2 - d_2)$  positions. Hence the minimum distance of C is  $n_1n_2 - (n_1 - d_1)(n_2 - d_2)$ , as stated.

Further, if  $q_1q_2 \ge N_1$  then C can be extended to a code  $C^*$  having parameters  $(n_1n_2+1, \sigma N_1, q_1q_2; d)$ , where  $d = \min\{n_1n_2, n_1n_2+1-(n_1-d_1)(n_2-d_2)\}$ . Let  $Q = \{a_1, a_2, \ldots, a_{q_1q_2}\}$  be the alphabet of C and let  $C_1 = \{c_1, c_2, \ldots, c_{N_1}\}$ . By construction, any codeword  $c_i \in C_1$  corresponds to a subset  $R_i$  of  $\sigma$  codewords. For any  $i = 1, \ldots, N_1$ , we add symbol  $a_i$  to the  $(n_1n_2+1)$ -th column of each codeword of  $R_i$ . This forms a set  $R_i^*$ . The collection of all  $R_i^*$  forms an  $(n_1n_2+1, \sigma N_1, q_1q_2; d)$  code  $C^*$  with  $d = \min\{n_1n_2, n_1n_2+1-(n_1-d_1)(n_2-d_2)\}$ . This can be seen as follows. Any two codewords  $x^*$  and  $y^*$  of  $C^*$  belong either to some  $R_i^*$  or to two different  $R_i^*$  and  $R_j^*$ . In the first case their distance is  $n_1n_2$  because their components agree only at the  $(n_1n_2+1)$ -th column, and in the second case their distance is at least  $n_1n_2 + 1 - (n_1 - d_1)(n_2 - d_2)$  because their components at the  $(n_1n_2+1)$ -th column are distinct.

We illustrate the construction in Theorem 7.4 by the following example.

**Example 7.5.** Let  $C_1$  be a (3, 4, 2; 2)-code over  $Q_1 = \{0, 1\}$  given by

$$M(C_1) = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Let  $C_2(0)$  be a (3, 6, 3; 2)-code over  $\{1, 2, 3\}$  with a 3-resolution  $A_1(0)$  and

 $A_2(0)$ :

$$A_{1}(0) = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \\ 3 & 1 & 2 \end{bmatrix}, A_{2}(0) = \begin{bmatrix} 1 & 3 & 2 \\ 3 & 2 & 1 \\ 2 & 1 & 3 \end{bmatrix}$$

Let  $C_2(1)$  be a copy of  $C_2(0)$  over  $\{4, 5, 6\}$  with a 3-resolution

$$A_{1}(1) = \begin{bmatrix} 4 & 5 & 6 \\ 5 & 6 & 4 \\ 6 & 4 & 5 \end{bmatrix}, A_{2}(0) = \begin{bmatrix} 4 & 6 & 5 \\ 6 & 5 & 4 \\ 5 & 4 & 6 \end{bmatrix}.$$

Replacing entries of  $M(C_1)$  by  $A_i(j)$  gives



Thus, we obtain a (9, 12, 6; 8)-code C. Now, since the condition  $q_1q_2 > N_1$ is satisfied, C can be extended to a (10, 12, 6; 9)-code  $C^*$ .



$$M(C^*) = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 4 & 5 & 6 & 1 \\ 2 & 3 & 1 & 5 & 6 & 4 & 5 & 6 & 4 & 1 \\ 3 & 1 & 2 & 6 & 4 & 5 & 6 & 4 & 5 & 1 \\ 4 & 5 & 6 & 2 & 3 & 1 & 6 & 5 & 4 & 2 \\ 5 & 6 & 4 & 2 & 3 & 1 & 6 & 5 & 4 & 2 \\ 6 & 4 & 5 & 3 & 1 & 2 & 5 & 4 & 6 & 2 \\ 4 & 6 & 5 & 4 & 6 & 5 & 1 & 2 & 3 & 3 \\ 6 & 5 & 4 & 6 & 5 & 4 & 2 & 3 & 1 & 3 \\ 5 & 4 & 6 & 5 & 4 & 6 & 3 & 1 & 2 & 3 \\ 1 & 3 & 2 & 1 & 3 & 2 & 1 & 3 & 2 & 4 \\ 3 & 2 & 1 & 3 & 2 & 1 & 3 & 2 & 1 & 4 \\ 2 & 1 & 3 & 2 & 1 & 3 & 2 & 1 & 3 & 4 \end{bmatrix}$$

We describe a simple construction for q-ary codes which has been presented by Bush (1952)[3] for orthogonal arrays.

**Theorem 7.6.** (Bush, 1952)[3]. Let  $C_1$  be an  $(n, N_1, q_1; d_1)$ -code over  $Q_1$ and  $C_2$  be an  $(n, N_2, q_2; d_2)$ -code. Then there exists an  $(n, N_1N_2, q_1q_2; d)$ -code, where  $d = \min\{d_1, d_2\}$ .

*Proof.* Let  $C_2$  be an  $(n, N_1, q_1; d_1)$ -code over  $Q_1$  and let  $C_2$  be an  $(n, N_2, q_2; d_2)$ code over  $Q_2$ . Let  $Q = Q_1 \times Q_2$ . We define a code C over Q as follows. For any pair of codewords  $\mathbf{a} = (a_1, ..., a_n) \in C_1$  and  $\mathbf{b} = (b_1, ..., b_n) \in C_2$  we construct a vector

$$\mathbf{c(a,b)} = ((a_1, b_1), ..., (a_n, b_n)) \in Q^n.$$

Then it is easy to verify that

$$C = \{ \mathbf{c}(\mathbf{a}, \mathbf{b}) \mid \mathbf{a} \in C_1, \mathbf{b} \in C_2 \} \subseteq Q^n$$

is an  $(n, N_1N_2, q_1q_2; d)$ -code, where  $d = \min\{d_1, d_2\}$ .

**Definition 7.7.** A code  $C \subseteq F_q^n$  is a [n, k, d]-linear code if C is a subspace of  $F_q^n$  with dimension k and minimum distance d.

**Definition 7.8.** A [n, k, d]-linear code with d = n-k+1 is called a maximum distance separable code, denoted MDS codes.

Theorem 7.6 can be used to construct q-ary codes achieving MDS codes, for which q is not a prime power, in the language of orthogonal arrays an (n, N, q; d) MDS code is an  $\overline{OA}_1(n - d + 1, n, q)$ ; here we have  $N = q^{n-d+1}$ .

We record this special case of the Bush construction in the following theorem.

**Theorem 7.9.** (Bush, 1952)[3] The existence of  $(n, q_1^k, q_1; d)$  and  $(n, q_2^k, q_2; d)$ MDS codes having the same d = n - k + 1 implies the existence of an  $(n, (q_1q_2)^k, q_1q_2; d)$  MDS code.

As a consequence of Theorem 7.9, we have the following corollary.

**Corollary 7.10.** For any integer  $n \ge 2$  and s with a prime factorization  $s = p_1^{e_1} \dots p_r^{e_r}$  such that  $n \le p_i^{e_i}$ ,  $i = 1, 2, \dots, r$ , there is an  $(n, s^k, s)$  MDS codes, for all  $2 \le k \le n$ .

*Proof.* The corollary follows from the existence of  $(n, (p_i^{e_i})^k, (p_i^{e_i}))$  MDS codes for i = 1, ..., r.

By combining Corollary 7.10 and Corollary 3.6 we obtain the following theorem.

**Theorem 7.11.** Let  $w \ge 2$  be any given integer. For any integer  $n > w^2$ and s having  $s = p_1^{e_1} \dots p_k^{e_k}$  as its prime factorization with  $n \le p_i^{e_i}$  for all  $i = 1, \dots, k$  there exists an w-IPP (n, N, s)-code, where  $N = s^{\lceil \frac{n}{w^2} \rceil}$ .

**Definition 7.12.** Let  $C_1$  be an  $(n_2, N_2, q_2)$ -code over  $Q_2$  and let  $C_2$  be an  $(n_1, q_2, q_1)$ -code over  $Q_1$ . We define the concatenated code of  $C_1$  and  $C_2$  as following: Let  $Q_2 = \{a_1, ..., a_{q_2}\}$  and let  $C_2 = \{\mathbf{b_1}, ..., \mathbf{b_{q_2}}\}$ . Let  $\theta : Q_2 \to C_2$  be the one-to-one mapping defined by

for 
$$1 \le i \le q_2$$
. For any codeword  $\mathbf{a} = (a_1, ..., a_{n_2}) \in C_1$  we denote by  

$$\mathbf{\tilde{a}} = (\theta(a_1), ..., \theta(a_{n_2})) = (\mathbf{b_1}, ..., \mathbf{b_{n_2}})$$

the  $q_1$ -ary sequence of length  $n_1n_2$  obtained from **a** by using  $\theta$ . The set

$$C = { \mathbf{\tilde{a}} = (\mathbf{b_1}, ..., \mathbf{b_{n_2}}) \mid \mathbf{a} = (a_1, ..., a_{n_2}) \in C_1 }$$

is an  $(n_1n_2, N_2, q_1)$ -code, called the concatenated code of  $C_1$  and  $C_2$ .

#### Example 7.13. Set

$$C_1 = \{12, 13, 23\}$$

be a (2,3,3)-code over  $\{a_1 = 1, a_2 = 2, a_3 = 3\}$ . Set

$$C_2 = \{b_1 = 445, b_2 = 455, b_3 = 555\}$$

be a (3, 3, 2)-code over  $\{4, 5\}$ . Define  $\theta$  be the one to one mapping by  $\theta(a_i) = b_i$  for i = 1, 2, 3. Then the concatenated code C of  $C_1$  and  $C_2$  presented

$$C = \{(445, 455), (445, 555), (455, 555)\}$$

be a (6, 3, 2)-code.

Next important theorem shows that the concatenation technique works for IPP codes.

**Theorem 7.14.** (Tran and Sosina, 2005)[17]. Let  $C_1$  be an w-IPP  $(n_2, N_2, q_2)$ code over  $Q_2$  and let  $C_2$  be an w-IPP  $(n_1, q_2, q_1)$ -code over  $Q_1$ . Then the concatenated code C of  $C_1$  and  $C_2$  is an w-IPP  $(n_1n_2, N_2, q_1)$ -code.

Proof. Let  $\mathbf{x} = (x_1, ..., x_{n_1n_2}) \in Q_1^{n_1n_2}$ . We partition  $\mathbf{x}$  into  $n_2$  blocks  $\mathbf{x_1}, ..., \mathbf{x_{n_2}}$ with  $\mathbf{x}_i = (x_{(i-1)n_1+1}, ..., x_{in_1}) \in Q_1^{n_1}, 1 \leq i \leq n_2$ . We will write  $\mathbf{x} = (\mathbf{x}_1, ..., \mathbf{x}_{n_2})$ . Specially, if  $\mathbf{x} = \mathbf{c} = (\mathbf{b_1}, ..., \mathbf{b_{n_2}}) \in C$ , then  $\mathbf{b}_i's$  are themselves blocks of the partition of  $\mathbf{c}$ .

Suppose  $\mathbf{x} \in \operatorname{desc}(C_i)$ ,  $1 \leq i \leq r$ , where  $C_i \subseteq C$  with  $|C_i| = \alpha_i \leq w$ . We prove that  $\bigcap_{1 \leq i \leq r} (C_i) \neq \emptyset$ , i.e. C is a *w*-IPP code.

Let  $C_i = {\mathbf{c}_1^{(i)}, ..., \mathbf{c}_{\alpha_i}^{(i)}} \subseteq C$ , where  $\mathbf{c}_j^{(i)} = {\mathbf{b}_{j1}^{(i)}, ..., \mathbf{b}_{jn_2}^{(i)}}$ . For any  $1 \leq i \leq r$ and any  $1 \leq \ell \leq n_2$  define  $D_{\ell}^{(i)} = {\mathbf{b}_{1\ell}^{(i)}, ..., \mathbf{b}_{\alpha_i\ell}^{(i)}}$ , i.e.  $D_{\ell}^{(i)}$  is the collection of all  $\ell$ th blocks of the codewords of  $C_i$ . In other words,  $D_{\ell}^{(i)} \subseteq C_2$  is a subset of  $\alpha_i$  codewords. As  $\mathbf{x} \in \text{desc}(C_i)$  by the assumption, we have  $\mathbf{x}_{\ell} \in \text{desc}(D_{\ell}^{(i)})$ for  $1 \leq i \leq r$  and  $1 \leq \ell \leq n_2$ . Since  $C_2$  is a *w*-IPP code, we have

$$\bigcap_{1 \le i \le r} D_{\ell}^{(i)} \neq \emptyset$$

Let  $\mathbf{b}_{\ell} \in \bigcap_{1 \leq i \leq r} D_{\ell}^{(i)}$  be an arbitrary but fixed codeword, i.e.  $\mathbf{b}_{\ell}$  is a guilty user for  $\mathbf{x}_{\ell}$  in code  $C_2$ . Set  $\mathbf{y} = (\mathbf{b}_1, ..., \mathbf{b}_{n_2})$ . Let  $\bar{\mathbf{y}} = (a_1, ..., a_{n_2}) \in Q^{n_2}$  be the corresponding sequence obtained from  $\mathbf{y}$  using  $\theta$ , i.e.  $a_i = \theta^{-1}(\mathbf{b}_i)$ . In the same way let  $\bar{C}_i = \{\bar{\mathbf{c}}_1^{(i)}, ..., \bar{\mathbf{c}}_{\alpha_i}^{(i)}\} \subseteq C_1$  denote the corresponding subset of  $C_i$ .

Since  $\mathbf{y} \in \operatorname{desc}(C_i)$  by the construction, we have  $\bar{\mathbf{y}} \in \operatorname{desc}(\bar{C}_i)$ . for  $1 \leq i \leq r$ . Hence

$$\bar{\mathbf{y}} \in \bigcap_{1 \le i \le r} \operatorname{desc}(\bar{C}_i).$$

Since  $C_1$  is a *w*-IPP code, we have

$$\bigcap_{1 \le i \le r} \bar{C}_i \neq \emptyset.$$

Let  $\bar{\mathbf{z}}' = (a'_1, ..., a'_{n_2}) \in \bigcap_{1 \leq i \leq r} (\bar{C}_i)$  be a guilty user for  $\bar{\mathbf{y}}$  in  $C_1$ . Then  $\mathbf{z}' = (\mathbf{b}'_1, ..., \mathbf{b}'_{n_2}) \in C_i$  for  $1 \leq i \leq r$ , where  $\mathbf{z}'$  the codeword of C corresponding to  $\bar{\mathbf{z}}'$ . Therefore Thus C is an w-IPP code.

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