

# 國立交通大學

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碩士論文

廣義的 Shuffle-Exchange 網路之

最佳全體對全體私人化交換

Optimal All-to-All Personalized  
Exchange in General

Shuffle-Exchange Networks

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中華民國九十六年六月

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## 摘要

全體對全體私人化交換溝通 (all-to-all personalized exchange communication) 出現在許多平行與分散式處理系統之應用。在文獻 [12] 中，Yang 以及 Wang 運用拉丁方陣的技巧，針對了具有 unique-path 以及 self-routable 性質的多級式連接網路，提出了時間複雜度為  $O(N)$  的最佳全體對全體私人化交換演算法。所有在文獻 [12] 中被討論到的網路 (包括 shuffle-exchange 網路)，皆滿足  $N = 2^{n+1}$  ( $N$  表示多級式網路的輸入及輸出端的個數， $n+1$  是多級式網路的階級數)。值得注意的是，Yang 以及 Wang 的演算法要求多級式網路中的每一階級裡的所有交換器的狀態都必須相同；換句話說，Yang 以及 Wang 的演算法使用階級控制技術。在文獻 [7] 中，Padmanabham 提出了廣義的 shuffle-exchange 網路；在廣義的 shuffle-exchange 網路中， $2^n < N \leq 2^{n+1}$ ，不再要求  $N = 2^{n+1}$ 。由於廣義的 shuffle-exchange 網路不一定具有 unique-path 性質，因此無法使用 Yang 以及 Wang 的演算法。本論文的目的即在於：針對廣義的 shuffle-exchange 網路，提出兩個最佳全體對全體私人化交換演算法。和 Yang 以及 Wang 的演算法不同的是，我們的演算法沒有使用拉丁方陣，也不要求網路要具有 unique-path 性質。我們的第一個演算法使用階級控制技術，而且適用於任何的  $N$ ；我們證明了：當要求使用階級控制技術、而且  $2^{n-1} + 2^n \leq N \leq 2^{n+1}$  時，此演算法是最佳的。我們的第二個演算法不使用階級控制技術、而且只適用於  $N = 2^n + 2$  時；我們證明了，此演算法是最佳的。

關鍵詞：多級式網路，平行與交換式計算，全體對全體溝通，全體對全體私人化交換。

中華民國九十六年六月

# Optimal All-to-All Personalized Exchange in General Shuffle-Exchange Networks

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## Abstract

All-to-all personalized exchange communication has been widely applied in many parallel and distributed processing applications. In [14], by the Latin square method, Yang and Wang proposed an optimal all-to-all personalized exchange algorithm for the unique-path, self-routable multistage interconnection networks (MINs). All the networks considered in [14], including the famous shuffle-exchange networks, satisfy  $N = 2^{n+1}$ , in which  $N$  is the number of inputs (outputs) and  $n + 1$  is the number of stages of the network. Do notice that Yang and Wang's algorithm requires the states of all the switches of a stage to be identical; i.e., the stage control technique is used. In [9], Padmanabham proposed the general shuffle-exchange network (GSEN) with  $2^n < N \leq 2^{n+1}$ . Since a GSEN is not necessarily a unique-path MIN, Yang and Wang's algorithm may not apply. The purpose of this paper is to propose two optimal all-to-all personalized exchange algorithms for GSENs. Unlike Yang and Wang's algorithm, we abandon the Latin square method and the requirement on the unique-path property. The first algorithm uses the stage control technique and works for arbitrary  $N$ . We will prove it is optimal when the stage control technique is assumed for  $2^{n-1} + 2^n \leq N \leq 2^{n+1}$ . On the contrary, the second algorithm does not use the stage control technique and works only for  $N = 2^n + 2$ . We will prove that it is optimal.

**Keywords:** multistage interconnection network, parallel and distributed computing, all-to-all communication, all-to-all personalized exchange.

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# 1 Introduction

Processors in a parallel and distributed processing system often need to communicate with other processors. The communication among these processors could be *one-to-one*, *one-to-many*, or *all-to-all*. In particular, all-to-all communication can be further classified into *all-to-all broadcast* and *all-to-all personalized exchange*. In all-to-all broadcast, each processor sends the same message to all other processors; while in all-to-all personalized exchange, each processor sends a specific message to every other processor. This paper focuses on all-to-all personalized exchange.

All-to-all personalized exchange occurs in many important applications (for example, matrix transposition and fast Fourier transform (FFT)) in parallel and distributed computing. Since a processor can send only one message in each time unit, the time to complete all-to-all personalized exchange is  $\Omega(N)$ , where  $N$  is the number of processors in the given network. The all-to-all personalized exchange problem has been extensively studied for hypercubes, meshes, and tori; see [8, 14] for details. As was mentioned in [14], although the algorithm for a hypercube achieves optimal time complexity, a hypercube suffers from unbounded node degrees and therefore has poor scalability. On the other hand, although a mesh or torus has a constant node degree and better scalability, its algorithm has a higher time complexity [14]. An MIN (defined later) is considered to be a better choice for implementing all-to-all personalized exchange due to its shorter communication delay and better scalability.

Given  $N$  processors  $P_0, P_1, \dots, P_{N-1}$ , an  $N \times N$  multistage interconnection network (MIN) can be used for communication among these processors as shown in Figure 1, where  $N \times N$  means  $N$  inputs and  $N$  outputs. Figure 2 shows an example of a  $10 \times 10$  MIN. A column in an MIN is called a *stage* and the nodes in an MIN are called *switches* (or *switching elements* or *crossbars*). Throughout this paper, an MIN means an  $N \times N$  MINs and each switch of an MIN is assumed to be of size  $2 \times 2$  (hence  $N$  is even); see also



[1, 2, 3, 5, 7] for switches of other sizes. It is well known that a  $2 \times 2$  switch has only two possible states: *straight* or *cross*, as shown in Figure 3.

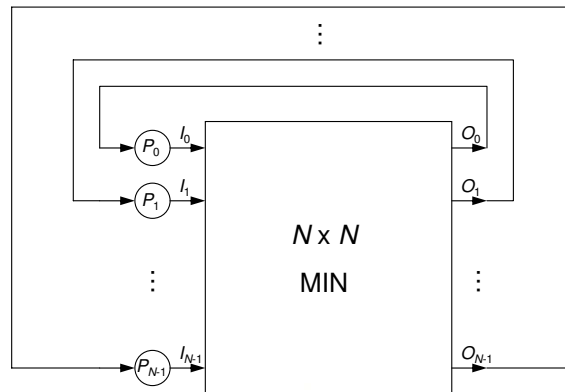


Figure 1: Communications among processors using an MIN.

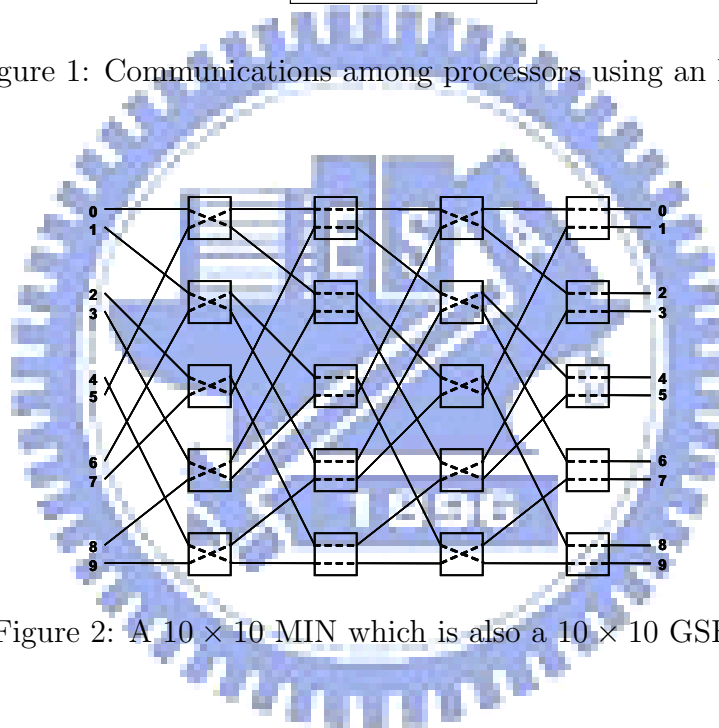


Figure 2: A  $10 \times 10$  MIN which is also a  $10 \times 10$  GSEN.

Obviously, it is meaningless to consider a network that does not have a path between an arbitrary pair of input and output. An MIN is *unique-path* if there is a unique path between each pair of input and output. An MIN is *self-routable* if the routing decision at a switch depends only on the addresses of the source and the destination. In [14], Yang and Wang proposed an optimal all-to-all personalized exchange algorithm for a class of unique-path, self-routable MINs.

Yang and Wang's algorithm [14] uses stage control (see [10]), which is a commonly used technique to reduce the cost of the network setting for all-to-all personalized exchange

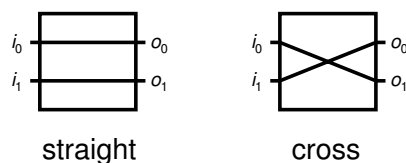


Figure 3: The states of a  $2 \times 2$  switch.

communication. Stage control means that the states of all the switches of a stage have to be identical. With stage control, a single control bit (0 for straight and 1 for cross), or in other words, one electronic driver circuit, can be used to control all the switches of a stage. Thus the number of expensive electronic driver circuits needed is significantly lower than that of individual switch control.

Throughout this paper,  $N$  denotes the number of processors in a given MIN and  $n + 1$  is the number of stages in a given MIN. Since each switch is of size  $2 \times 2$ ,  $N$  is an even integer. All the networks considered in [14], including the famous shuffle-exchange networks, satisfy  $N = 2^{n+1}$ . Shuffle-exchange networks have been proposed as a popular architecture for MINs; see [4, 5, 6, 9, 11]. In [9], Padmanabhan proposed the *general shuffle-exchange network* (GSEN) with  $2^n < N \leq 2^{n+1}$ . The  $N$  terminals in an  $N \times N$  GSEN are numbered  $0, 1, \dots, N - 1$  and the *shuffle-exchange operation* on  $N$  terminals is the permutation  $\pi$  defined by

$$\pi(i) = (2i + \left\lfloor \frac{2i}{N} \right\rfloor) \bmod N, \quad 0 \leq i \leq N - 1.$$

See Figure 2 for an example. In the remaining part of this paper, we will simply use a GSEN to denote an  $N \times N$  GSEN. Notice that in a shuffle-exchange network,  $N = 2^{n+1}$ , while in a GSEN,  $2^n < N \leq 2^{n+1}$ .

Although Yang and Wang's algorithm [14] is optimal, it works only for unique-path MINs. Since a GSEN is not necessarily a unique-path MIN, Yang and Wang's algorithm may not apply. Besides, Yang and Wang's algorithm requires constructing a Latin square

in advance and allocating memory for storing the Latin square. In [14], the time for constructing the Latin square is not counted in the optimal  $O(N)$  communication delay. The purpose of this paper is to propose two optimal all-to-all personalized exchange algorithms for GSENs. Unlike Yang and Wang's algorithm, we abandon the Latin square method and the requirement on the unique-path property. The first algorithm uses the stage control technique and works for arbitrary  $N$ . We will prove it is optimal when the stage control technique is assumed for  $2^{n-1} + 2^n \leq N \leq 2^{n+1}$ . On the contrary, the second algorithm does not use the stage control technique and works only for  $N = 2^n + 2$ . We will prove that it is optimal.

This paper is organized as follows: Section 2 gives some preliminaries. Section 3 is our first all-to-all personalized exchange algorithm. Section 4 is our second all-to-all personalized exchange algorithm. Concluding remarks are given in the final section.

## 2 Some preliminaries

In a GSEN, the switches are aligned in  $n + 1$  stages: stage 0, stage 1,  $\dots$ , stage  $n$ . Each stage  $\ell$  consists of  $N/2$  switches denoted as  $s_0^\ell, s_1^\ell, \dots, s_{N/2-1}^\ell$  and  $s_{(i+1) \bmod N}^\ell$  is considered to be the successive switch of  $s_i^\ell$ .

The *network configuration* of an MIN is defined by the states of its switches. Since a GSEN has  $\frac{N}{2} \times (n + 1)$  switches, the network configuration of a GSEN can be represented by an  $\frac{N}{2} \times (n + 1)$  matrix in which each entry is defined by the state of its corresponding switch. And, when the stage control technique is used, the network configuration of a GSEN can be represented by a number between 0 and  $2^{n+1} - 1$ . For example, the network configuration of the GSEN in Figure 2 can be represented by the matrix in Figure 4 or by the number 10, which is  $(1010)_2$ .

A *permutation* of an MIN is one-to-one mapping between the inputs and outputs. For an MIN, if there is a permutation that maps input  $i$  to output  $p(i)$ , where  $p(i) \in$

$$\begin{bmatrix} 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

Figure 4: The network configuration of the GSEN in Figure 2.

$\{0, 1, \dots, N - 1\}$  for  $i = 0, 1, \dots, N - 1$ , then we will use

$$\begin{pmatrix} 0 & 1 & \dots & N - 1 \\ p(0) & p(1) & \dots & p(N - 1) \end{pmatrix}$$

or simply use

$$p(0) \ p(1) \ \dots \ p(N - 1)$$

to denote the the permutation. Given a network configuration of an MIN, a permutation can be obtained. For example, the network configuration shown in Figure 2 maps input 0 to output 1, input 1 to output 4, input 2 to output 6,  $\dots$ , and input 9 to output 8; this configuration obtains the permutation

$$1 \ 4 \ 6 \ 0 \ 7 \ 2 \ 9 \ 3 \ 5 \ 8.$$

Permutations realizable by an MIN are called *admissible permutations*. Not all of the  $N!$  permutations are realizable by an MIN. For example, the identity permutation is not realizable by the MIN in Figure 2.

An  $N \times N$  *Latin square* is an  $N \times N$  matrix  $\mathcal{A} = (a_{i,j})$ ,  $i, j = 0, 1, \dots, N - 1$ , such that entries  $a_{i,j}$  are in the set  $\{0, 1, \dots, N - 1\}$  and no two entries in a row or a column are identical. In [14], Yang and Wang found that: to realize all-to-all personalized exchange for a unique-path, self-routable MIN, one only needs to arrange  $N$  network configurations so that their corresponding admissible permutations form an  $N \times N$  Latin square. By using this Latin square method, Yang and Wang [14] proposed an optimal all-to-all personalized exchange algorithm for a class of unique-path, self-routable MINs; see also [7, 8, 12, 13, 15].

In this paper,  $\oplus$  denotes the XOR operation. As a reference,

$$0 \oplus 0 = 0, 0 \oplus 1 = 1, 1 \oplus 0 = 1, 1 \oplus 1 = 0.$$

### 3 All-to-all personalized exchange in GSENs with stage control

In a GSEN, the messages are transmitted in a pipelining pattern. In the following, a *round* means a process to transmit all the messages of the current stage to the next stage. Before proposing our first all-to-all personalized exchange algorithm for GSENs, we will prove that when  $2^n + 2^{n-1} \leq N \leq 2^{n+1}$  and the stage control technique is used, at least  $2^{n+1} + n$  rounds are required to complete all-to-all personalized exchange in a GSEN. The following lemma plays an important role in the remaining proofs.

**Lemma 1.** *If the network configuration  $x$  maps input 0 to output  $j$ , then the network configuration  $(x + 2^n) \bmod 2^{n+1}$  maps input  $N/2$  to the same output  $j$ . Moreover,  $x$  and  $(x + 2^n) \bmod 2^{n+1}$  differ only in the setting of stage 0.*

**Proof.** Since the shuffle pattern makes input 0 and input  $N/2$  link to the same switch of the stage 0, we have the lemma. ■

See Figure 2 for an illustration of this lemma. It is not difficult to see that the network configuration 10 maps input 0 to output 1 and the network configuration  $(10+8) \bmod 16$ , which equals 2, maps input 5 to the same output 1. Before going further, we introduce a definition. Output  $j$  is called a *unique-path output of input  $i$*  if the path between them is unique.

**Lemma 2.** *If  $j$  is a unique-output of input 0, then  $j$  is also a unique-path output of input  $N/2$ .*

**Proof.** Suppose to the contrary that the path between input  $N/2$  and output  $j$  is not unique. Then by Lemma 1, the path between input 0 and output  $j$  will not be unique. ■

**Lemma 3.** *Input 0 has exactly  $2N - 2^{n+1}$  unique-path outputs; these unique-path outputs are consecutive and they are  $2^{n+1} - N, 2^{n+1} - N + 1, \dots, N - 1$ .*

**Proof.** Since the path between 0 and the switch  $s_i^n$  is unique for  $2^n - \frac{N}{2} \leq i \leq \frac{N}{2} - 1$ , we have this lemma. ■

The following lemma is obvious and its proof is omitted.

**Lemma 4.** *Suppose  $j$  is a unique-path output of input 0. Then when the stage control technique is used, the network configuration that maps 0 to  $j$  is exactly  $j$ .*

**Corollary 5.** *Suppose  $j$  is a unique-path output of input 0. Then when the stage control technique is used, the network configuration that maps  $N/2$  to  $j$  is exactly  $(j + 2^n) \bmod 2^{n+1}$ .*

**Proof.** This corollary follows directly from Lemma 1, Lemma 2, and Lemma 4. ■

We now derive a lower bound on the number of rounds required to complete all-to-all personalized exchange in a GSEN.

**Theorem 6.** *When  $2^n + 2^{n-1} \leq N \leq 2^{n+1}$  and the stage control technique is used, at least  $2^{n+1} + n$  rounds are required to complete all-to-all personalized exchange in a GSEN.*

**Proof.** By Lemma 3,  $U = \{2^{n+1} - N, 2^{n+1} - N + 1, \dots, N - 1\}$  is the set of unique-path outputs of input 0. When  $2^n + 2^{n-1} \leq N \leq 2^{n+1}$ , we have  $S = \{2^{n-1}, 2^{n-1} + 1, \dots, 2^{n-1} + 2^n - 1\} \subseteq U$ . Note that  $|S| = 2^n$ . Let

$$S_1 = \{2^{n-1}, 2^{n-1} + 1, \dots, 2^{n-1} + 2^n - 1\}$$

and

$$S_2 = \{2^{n-1} + 2^n, 2^{n-1} + 2^n + 1, \dots, 2^{n+1} - 1, 0, 1, \dots, 2^{n-1} - 1\}.$$

By Lemma 4, the  $2^n$  network configurations in  $S_1$  are required for input 0 to get to all the outputs in  $S$ . By Corollary 5, the  $2^n$  network configurations in  $S_2$  are required for input  $N/2$  to get to all the outputs in  $S$ . Since

$$S_1 \cup S_2 = \{0, 1, \dots, 2^{n+1} - 1\},$$

when the stage control technique is used, at least  $2^{n+1}$  network configurations are required to complete all-to-all personalized exchange. Since at least  $2^{n+1}$  network configurations are required and it takes  $n + 1$  rounds for a message to travel through a GSEN, we have this theorem. ■

We are now ready to propose our first all-to-all personalized exchange algorithm for GSENs. This algorithm uses the stage control technique and has two phases. The first phase is the message preparing phase and in this phase, personalized messages that need to be sent out from each processor are inserted into the message queue of that processor. The second phase is the message sending phase and in this phase, personalized messages are sent out from the message queue of each processor.

### **Algorithm GSEN-ATA-with-Stage-Control.**

#### **Phase 1: The message preparing phase.**

- The  $(n+1)$ -digit binary representations  $x_n x_{n-1} \dots x_0$  of numbers  $0, 1, \dots, 2^{n+1} - 1$  are sequentially generated and the labels of every input of the GSEN (the label of input 0 is 0, the label of input 1 is 1, etc) are equipped with the current binary representation  $x_n x_{n-1} \dots x_0$ .
- Before a label enters switch  $s_i^j$ ,  $s_i^j$  is set to straight if  $x_{n-j} = 0$  and set to cross if  $x_{n-j} = 1$ .

- When a label reaches an output, a personalized message is prepared; in particular, if label  $s$  reaches output  $t$ , then a personalized message that processor  $s$  wants to send to processor  $t$  is prepared and is inserted into the message queue of processor  $s$ .

**Phase 2: The message sending phase.**

- The  $(n+1)$ -digit binary representations  $x_n x_{n-1} \cdots x_0$  of numbers  $0, 1, \dots, 2^{n+1} - 1$  are sequentially generated and the personalized messages in the message queue of every input of the GSEN are equipped with the current binary representation  $x_n x_{n-1} \cdots x_0$ .
- Before a message enters switch  $s_i^j$ ,  $s_i^j$  is set according to the rules used in phase 1.
- When a message reaches an output, that output receives a personalized message for it.

**End of the algorithm.**

**Theorem 7.** *Algorithm GSEN-ATA-with-Stage-Control is correct and takes  $2(2^{n+1} + n)$  rounds.*

**Proof.** To prove the correctness of this algorithm, it is sufficient to prove that for an arbitrary pair of input  $i$  and output  $j$ ,  $i$  can get to  $j$ . Since the stage control technique is used, there are only  $2^{n+1}$  possible network configurations. The network configuration for  $i$  to get to  $j$  is therefore a number in  $0, 1, \dots, 2^{n+1} - 1$ . Since Algorithm GSEN-ATA-with-Stage-Control uses every number in  $0, 1, \dots, 2^{n+1} - 1$  as one of its network configurations,  $i$  can get to  $j$ . It is obvious that the above algorithm takes  $2(2^{n+1} + n)$  rounds. ■

**Corollary 8.** *When  $2^n + 2^{n-1} \leq N \leq 2^{n+1}$  and the stage control technique is used, Algorithm GSEN-ATA-with-Stage-Control is optimal.*



**Proof.** By Theorem 7, Algorithm GSEN-ATA-with-Stage-Control takes  $O(2^{n+1} + n)$  rounds. By Theorem 6, when the stage control technique is used, the number of rounds required to complete all-to-all personalized exchange in a GSEN is  $\Omega(2^{n+1} + n)$ . We now have this corollary. ■

## 4 All-to-all personalized exchange in GSENs with $N = 2^n + 2$

In this section, we will propose our second all-to-all personalized exchange algorithm for GSENs and we will assume that the given GSEN has exactly  $N = 2^n + 2$  nodes. The differences between our two algorithms are: The first algorithm uses the stage control technique and each phase of the algorithm requires  $2^{n+1} + n$  rounds (notice that  $2^n < N \leq 2^{n+1}$ ). On the contrary, each phase of the second algorithm requires only  $N + n$  rounds and only the first  $2^n$  (note that  $N = 2^n + 2$ ) rounds use the stage control technique. The following is the second algorithm; it also has two phases: the message preparing phase and the message sending phase.

### Algorithm GSEN-ATA-2.

#### Phase 1: The message preparing phase.

- The  $(n + 1)$ -digit binary representations  $x_n x_{n-1} \cdots x_0$  of numbers  $0, 1, \dots, 2^n - 1, 2^n + 2^{n-1},$  and  $2^n + 2^{n-1} + 1$  are sequentially generated and the labels of every input of the GSEN (the label of input 0 is 0, the label of input 1 is 1, etc) are equipped with the current binary representation  $x_n x_{n-1} \cdots x_0$ .
- Before a label enters switch  $s_i^j$ ,  $s_i^j$  is set according to the number  $x$  with which the label is equipped.

If  $x$  is neither  $2^n + 2^{n-1}$  nor  $2^n + 2^{n-1} + 1$ , then:

$s_i^j$  is set to straight if  $x_{n-j} = 0$  and set to cross if  $x_{n-j} = 1$ .

If  $x$  is  $2^n + 2^{n-1}$  or  $2^n + 2^{n-1} + 1$ , then:

if  $j = 0$  or  $j = n$ , then  $s_i^j$  is set to straight if  $x_{n-j} = 0$  and set to cross if  $x_{n-j} = 1$ ; otherwise,  $s_i^j$  is set to straight if  $i \oplus x_{n-j} = 0$  and set to cross if  $i \oplus x_{n-j} = 1$ .

- When a label reaches an output, a personalized message is prepared; in particular, if label  $s$  reaches output  $t$ , then a personalized message that processor  $s$  wants to send to processor  $t$  is prepared and is inserted into the message queue of processor  $s$ .

### Phase 2: The message sending phase.

- The  $(n + 1)$ -digit binary representations  $x_n x_{n-1} \cdots x_0$  of numbers  $0, 1, \dots, 2^n - 1, 2^n + 2^{n-1}$ , and  $2^n + 2^{n-1} + 1$  are sequentially generated and the personalized messages in the message queue of every input of the GSEN are equipped with the current binary representation  $x_n x_{n-1} \cdots x_0$ .
- Before a message enters switch  $s_i^j$ , the switch is set according to the rules used in phase 1.
- When a message reaches an output, that output receives a personalized message for it.

### End of the algorithm.

Phase 2 of Algorithm GSEN-ATA-2 is similar to phase 1 of Algorithm GSEN-ATA-2 except that a personalized message (instead of the label  $i$ ) is sent from input  $i$ . So we only give an example for phase 1; see Figures 5 and 6. In these two figures, each 0-1 string is the binary representation of the number  $x$  with which a label is equipped. From these

two figures, the labels arriving at the outputs are as follows.

for output 0 : 0 4 8 5 7 6 1 3 2 9  
for output 1 : 4 0 5 8 6 7 3 1 9 2  
for output 2 : 8 3 0 2 1 5 7 9 6 4  
for output 3 : 3 8 2 0 5 1 9 7 4 6  
for output 4 : 7 2 6 3 0 9 4 5 1 8  
for output 5 : 2 7 3 6 9 0 5 4 8 1  
for output 6 : 6 1 7 9 4 8 0 2 5 3  
for output 7 : 1 6 9 7 8 4 2 0 3 5  
for output 8 : 5 9 4 1 3 2 6 8 0 7  
for output 9 : 9 5 1 4 2 3 8 6 7 0

It is not difficult to see that Algorithm GSEN-ATA-2 completes all-to-all personalized exchange for a GSEN with  $N = 10$  nodes.

In the remaining part of this section, we will prove that Algorithm GSEN-ATA-2 is correct and optimal. Recall that the switches of stage  $\ell$  are  $s_0^\ell, s_1^\ell, \dots, s_{N/2-1}^\ell$  and  $s_0^\ell$  is considered to be the successive switch of  $s_{N/2-1}^{\ell-1}$ . The following two observations are based on the assumption that *the setting of every switch of stage 0 is straight*:

**Observation 1.** At stage 1, only one switch is reachable from input  $i$ . At stage 2, exactly 2 switches are reachable from input  $i$  and these switches are consecutive. In general, at stage  $\ell$ ,  $0 \leq \ell \leq n$ , exactly  $2^{\ell-1}$  switches are reachable from input  $i$  and these switches are consecutive. At stage  $n$  (i.e., the last stage), exactly  $2^{n-1}$  switches are reachable from input  $i$  and these switches are consecutive.

Since the switches of stage  $\ell$  that are reachable from input  $i$  are consecutive, we only need to know the first one; suppose  $s_{C_\ell}^\ell$  is this switch. Then we have the following observation.

**Observation 2.**

$$C_\ell = \begin{cases} i \bmod N/2 & \text{if } \ell = 0, \\ 2^{\ell-1}(2i + \lfloor \frac{2i}{N} \rfloor) \bmod N/2 & \text{if } 1 \leq \ell \leq n. \end{cases}$$

We now use the above two observations to prove a lemma.

**Lemma 9.** *If  $i \leq N/2 - 1$ , then for each phase of Algorithm GSEN-ATA-2, after performing the first  $N + n - 2$  rounds, only one switch of stage  $n$  (the last stage) is not reachable from input  $i$ . Moreover, if this unique switch is  $s_{q_i}^n$ , then  $q_i = (2^{n-1} - 2i) \bmod N/2$ .*

**Proof.** When the stage control technique is used and the setting of every switch of stage 0 is straight, there are only  $2^n$  possible network configurations:  $0, 1, \dots, 2^n - 1$ . For each phase of Algorithm GSEN-ATA-2, its first  $2^n$  rounds use the stage control technique and the switches are set according to the  $(n + 1)$ -digit binary representations  $x_n x_{n-1} \dots x_0$  of the numbers  $0, 1, \dots, 2^n - 1$ . So by Observation 1, for each phase of Algorithm GSEN-ATA-2, after performing the first  $2^n + n = N + n - 2$  rounds, the number of switches of stage  $n$  that are reachable from input  $i$  is  $2^{n-1}$ . Since each stage consists of  $2^{n-1} + 1$  switches, only one switch of stage  $n$  is not reachable from  $i$ . By Observation 2, if this unique switch is  $s_{q_i}^n$ , then  $q_i = (C_n + 2^{n-1}) \bmod N/2$ , i.e.,  $q_i = (2^{n-1} - 2i) \bmod N/2$ . ■

The following corollary follows directly from Lemma 9.

**Corollary 10.**  $q_0 = 2^{n-1}$  and  $q_i = (q_{i-1} - 2) \bmod N/2$  for  $i = 1, 2, \dots, N/2 - 1$ .

The proof of the following lemma is similar to that of Lemma 9 and is omitted here.

**Lemma 11.** *If  $i \geq N/2$ , then for each phase of Algorithm GSEN-ATA-2, after performing the first  $N + n - 2$  rounds, only one switch of stage  $n$  (the last stage) is not reachable from input  $i$ . Moreover, if this unique switch is  $s_{q_i}^n$ , then  $q_i = (2^{n-1} - 2i - 1) \bmod N/2$ .*

The following corollary follows directly from Lemma 11.

**Corollary 12.**  $q_{N/2} = 2^{n-1} - 1$  and  $q_i = (q_{i-1} - 2) \bmod N/2$  for  $i = N/2 + 1, N/2 + 2, \dots, N - 1$ .

Let  $\mathcal{M}_1$  ( $\mathcal{M}_2$ ), an  $\frac{N}{2} \times (n + 1)$  0-1 matrix, be the network configuration defined as follows. For each  $0 \leq \ell \leq n$ , column  $\ell$  of  $\mathcal{M}_1$  ( $\mathcal{M}_2$ ) contains the setting of switches of stage  $\ell$  at round  $2^n + \ell + 1$  ( $2^n + \ell + 2$ ). When we do not want to specify which one of  $\mathcal{M}_1$  and  $\mathcal{M}_2$  is used, we will simply use  $\mathcal{M}$  to denote either  $\mathcal{M}_1$  or  $\mathcal{M}_2$ . From Algorithm GSEN-ATA-2,  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are defined by the  $(n + 1)$ -digit binary representations  $x_n x_{n-1} \dots x_0$

of  $2^n + 1$  and  $2^n + 2$ , respectively. Since  $2^n + 1 = (1100 \cdots 00)_2$  and  $2^n + 2 = (1100 \cdots 01)_2$ , the first  $n$  columns of  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are identical and

- (i) each entry in column 0 of  $\mathcal{M}$  is 1,
- (ii) 1 and 0 appear alternatively in column 1 of  $\mathcal{M}$ ,
- (iii) 0 and 1 appear alternatively in column 2, column 3,  $\dots$ , column  $n - 1$  of  $\mathcal{M}$ ,
- (iv) each entry in column  $n$  of  $\mathcal{M}_1$  ( $\mathcal{M}_2$ ) is 0 (1).

See the following for an illustration.

$$\mathcal{M}_1 = \begin{bmatrix} 1 & 1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 0 \\ \vdots & & & & \cdots & & & \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 0 \end{bmatrix} \quad \mathcal{M}_2 = \begin{bmatrix} 1 & 1 & 0 & 0 & \cdots & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & \cdots & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 1 \\ \vdots & & & & \cdots & & & \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & \cdots & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & \cdots & 1 & 1 & 1 \end{bmatrix}$$

For convenience, denote the two subports on the left-hand (right-hand) side of a  $2 \times 2$  switch  $i_0$  and  $i_1$  ( $o_0$  and  $o_1$ ); see Figure 3. In a GSEN, the right-hand side of every stage has exactly  $N$  ports: port 0, port 1,  $\dots$ , port  $N - 1$ . For convenience, let  $p_i^\ell$  denote the label of the port on the right-hand side of stage  $\ell$  that is reachable from input  $i$ . When the network configuration  $\mathcal{M}$  is used, the following two properties hold.

**Property A.** If  $i \leq N/2 - 1$  and  $1 \leq \ell < n$ , then port  $p_i^\ell$  is an  $o_0$ -subport.

**Property B.** If  $i \geq N/2$  and  $1 \leq \ell < n$ , then port  $p_i^\ell$  is an  $o_1$ -subport.

The following two lemmas will be used to prove that input  $i$  can reach switch  $s_{q_i}^n$  by using the network configuration  $\mathcal{M}$ .

**Lemma 13.** *If  $i \leq N/2 - 1$ , then input  $i$  can reach switch  $s_{q_i}^n$  by using the network configuration  $\mathcal{M}$ . Moreover, input  $i$  can get to outputs  $2q_i$  and  $2q_i + 1$  (the two outputs connecting to  $s_{q_i}^n$ ) by using  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , respectively.*

**Proof.** Let  $s_{t_i}^n$  be the switch of stage  $n$  (the last stage) that is reachable from input  $i$  when the network configuration  $\mathcal{M}$  is used. First consider the case that  $i = 0$ . Clearly, input 0 reaches switch  $s_0^0$  via  $i_0$ -subport. Since input 0 reaches  $s_0^0$  via  $i_0$ -subport and the setting of  $s_0^0$  is cross, input 0 reaches switch  $s_{2 \cdot 0 + 1}^1$  (i.e.,  $s_1^1$ ) via  $i_0$ -subport. Since input 0 reaches  $s_1^1$  via  $i_0$ -subport and the setting of  $s_1^1$  is straight, input 0 reaches switch  $s_{2 \cdot 1 + 0}^2$  (i.e.,  $s_2^2$ ) via  $i_0$ -subport. For  $\ell = 2, 3, \dots, n-1$ , since input 0 reaches  $s_{2^{\ell-1}}^{\ell-1}$  via  $i_0$ -subport and the setting of  $s_{2^{\ell-1}}^{\ell-1}$  is straight, input 0 reaches switch  $s_{2 \cdot 2^{\ell-1} + 0}^{\ell}$  (i.e.,  $s_{2^\ell}^{\ell}$ ) via  $i_0$ -subport. In particular, when  $\ell = n-1$ , input 0 reaches switch  $s_{2^{n-1}}^{n-1}$ , which is switch  $s_{q_0}^n$ . So  $t_0 = q_0$ .

Next consider the case that  $0 < i \leq N/2 - 1$ . By Corollary 10, to prove this lemma, it remains to prove that

$$t_i = (t_{i-1} - 2) \bmod N/2 \text{ for } i = 1, 2, \dots, N/2 - 1.$$

To prove the above statement, it suffices to prove that

$$p_i^{n-1} = (p_{i-1}^{n-1} - 2) \bmod N \text{ for } i = 1, 2, \dots, N/2 - 1.$$

Again, to prove this statement, it suffices to prove that

$$(*) \quad p_i^\ell = (p_{i-1}^\ell + 2^{\ell+1}) \bmod N \text{ for } 1 \leq \ell \leq n-1.$$

We will prove (\*) by induction on  $\ell$ . It is not difficult to see that (\*) holds when  $\ell = 1$  or 2. Suppose  $\ell \geq 3$  and (\*) holds for  $\ell - 1$ . Note that  $p_i^{\ell-1} = (p_{i-1}^{\ell-1} + 2^\ell) \bmod N$ . Since Property A holds,  $p_i^\ell = 2p_i^{\ell-1} \bmod N$  and  $p_{i-1}^\ell = 2p_{i-1}^{\ell-1} \bmod N$ . So

$$p_i^\ell = 2p_i^{\ell-1} \bmod N = 2(p_{i-1}^{\ell-1} + 2^\ell) \bmod N = (p_{i-1}^\ell + 2^{\ell+1}) \bmod N$$

and (\*) holds.

In the above discussion, we have proven that input  $i$  can reach switch  $s_{q_i}^n$  by using  $\mathcal{M}_1$  or  $\mathcal{M}_2$ . Since the two outputs connecting to  $s_{q_i}^n$  are  $2q_i$  and  $2q_i + 1$  and  $s_{q_i}^n$  is set to be straight by  $\mathcal{M}_1$  and cross by  $\mathcal{M}_2$ , input  $i$  can get to outputs  $2q_i$  and  $2q_i + 1$  by using  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , respectively. ■

**Lemma 14.** *If  $i \geq N/2$ , then input  $i$  can reach switch  $s_{q_i}^n$  by using the network configuration  $\mathcal{M}$ . Moreover, input  $i$  can get to outputs  $2q_i$  and  $2q_i + 1$  (the two outputs connecting to  $s_{q_i}^n$ ) by using  $\mathcal{M}_1$  and  $\mathcal{M}_2$ , respectively.*

**Proof.** The proof of this lemma is similar to that of the previous lemma except that Property B is used instead of Property A; hence the proof is omitted here. ■

**Theorem 15.** *Algorithm GSEN-ATA-2 is correct and takes  $2(N + n)$  rounds.*

**Proof.** By Lemmas 9, 11, 13, and 14, each input  $i$  reaches each output  $j$  and hence Algorithm GSEN-ATA-2 is correct. It is obvious that each phase of Algorithm GSEN-ATA-2 takes  $N + n$  and the whole algorithm takes  $2(N + n)$  rounds. ■

**Corollary 16.** *Algorithm GSEN-ATA-2 is optimal.*

**Proof.** By Theorem 15, Algorithm GSEN-ATA-2 takes  $O(N)$  rounds. Since the number of rounds required to complete all-to-all personalized exchange in a GSEN is  $\Omega(N)$ , we have this corollary. ■

## 5 Concluding remarks

In [14], Yang and Wang proposed an optimal all-to-all personalized exchange algorithm, called ATAPE, for a class of unique-path, self-routable multistage interconnection networks (MINs). The MINs considered in [14] include the famous shuffle-exchange networks. Algorithm ATAPE works only for unique-path MINs and requires constructing a Latin square in advance and allocating memory for storing the Latin square. Yang and Wang thought that the Latin square construction needs to be run only once at the time a network is built. Thus the Latin square associated with the network can be viewed as one of the system parameters and the time for constructing the Latin square is not counted in their communication delay analysis.

In this paper, we consider the general shuffle-exchange networks (GSENs). A GSEN is not necessarily a unique-path MIN and hence Algorithm ATAPE may not apply. We have proposed two optimal all-to-all personalized exchange algorithms for GSENs. Each of the two algorithms consists of two phases: the message preparing phase and the message sending phase. Algorithm ATAPE also consists of two (main) steps: Steps 1 and 2, which correspond to the message preparing phase and message sending phase of our algorithms, respectively. Unlike Algorithm ATAPE, we abandon the Latin square method and the requirement on the unique-path property.

Our first algorithm uses the stage control technique and works for arbitrary  $N$ . We have proven that it is optimal when the stage control technique is assumed for  $2^{n-1} + 2^n \leq N \leq 2^{n+1}$ . However, an output may receive more than one (identical) message from the same input when the algorithm is executed. These overhead can be avoided and we do not discuss on this topic in this paper. Our second algorithm does not use the stage control technique and works only for  $N = 2^n + 2$ . We have also proven that it is optimal.

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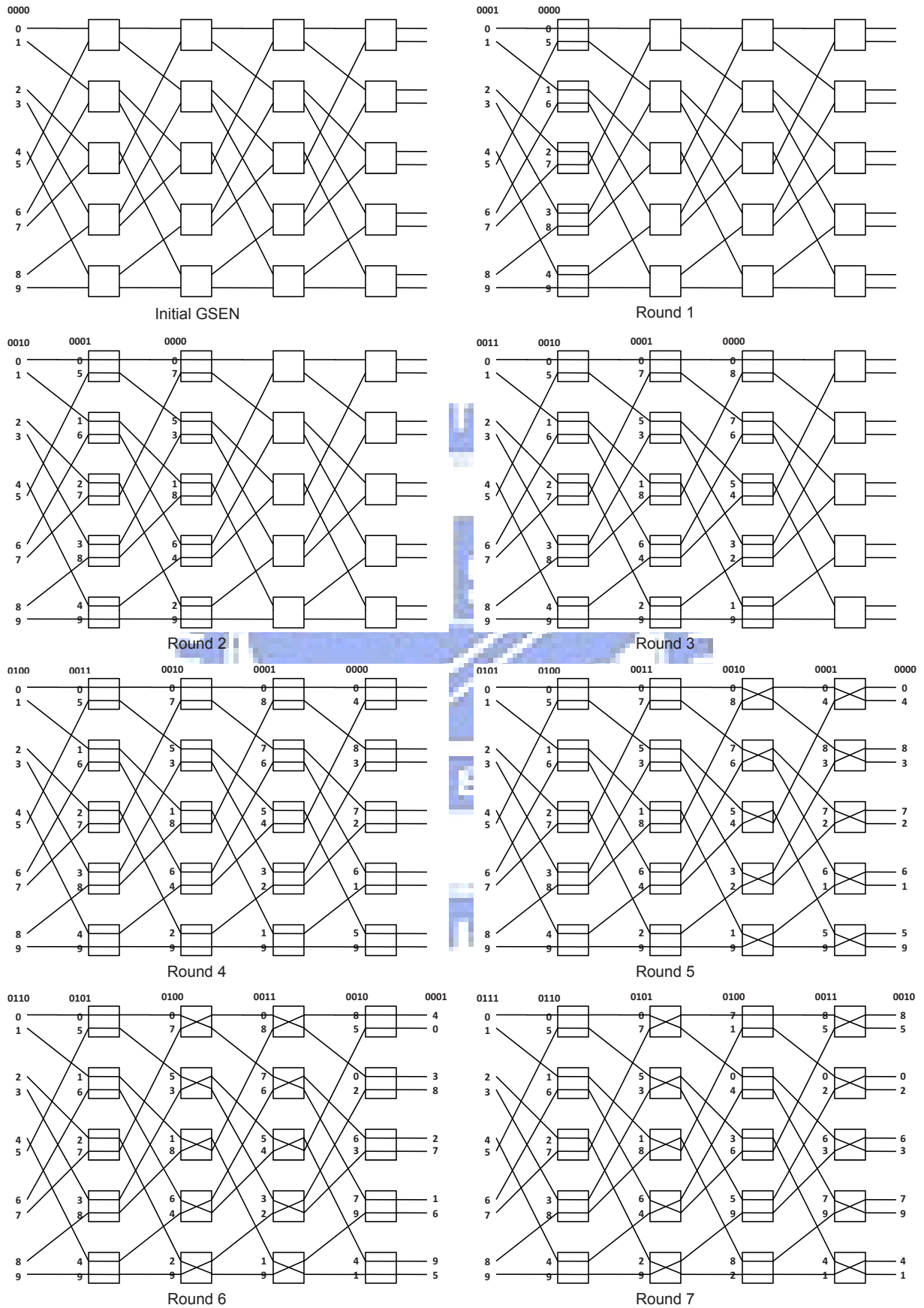


Figure 5: An example of phase 1 of Algorithm GSEN-ATA-2.

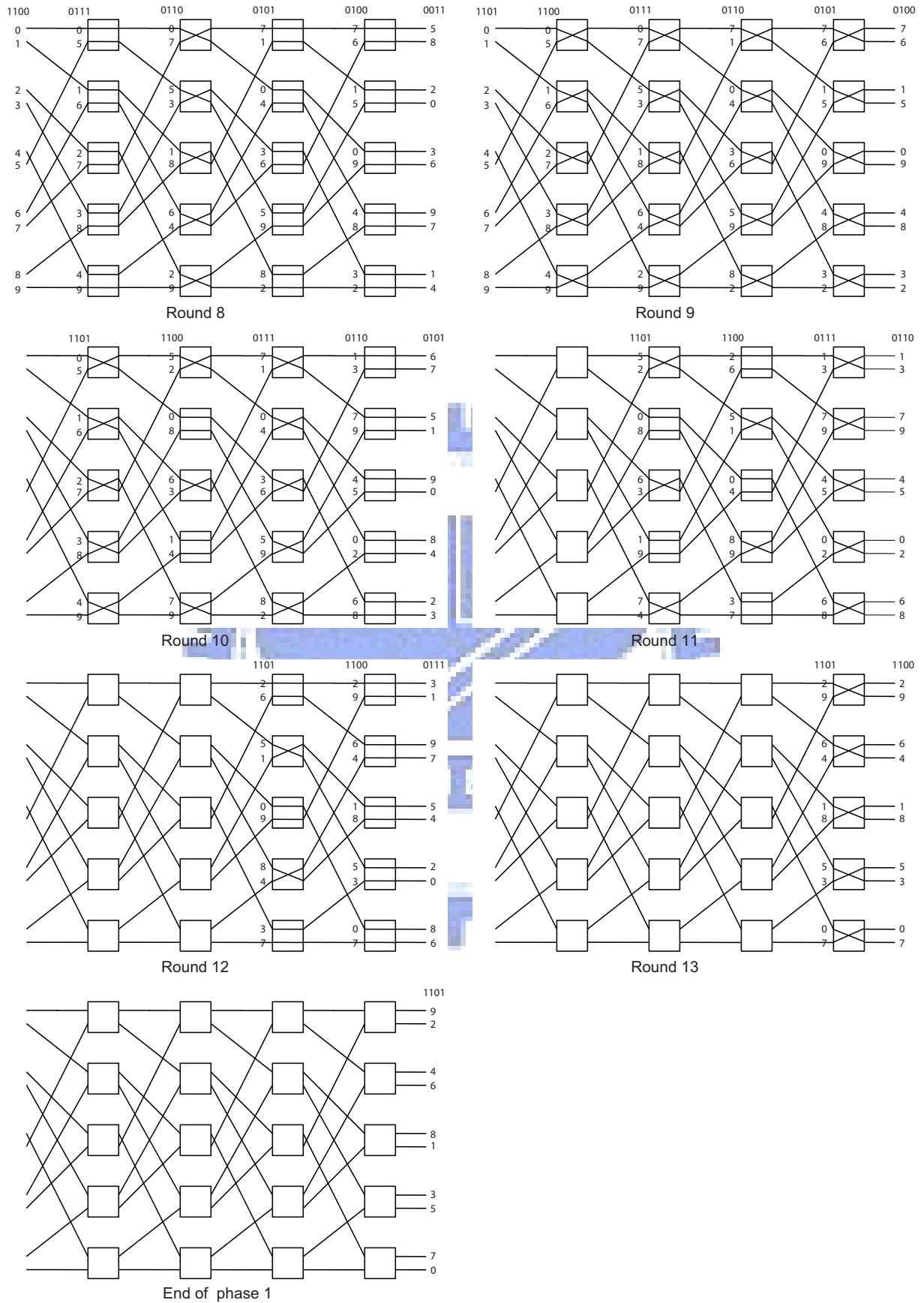


Figure 6: An example of phase 1 of Algorithm GSEN-ATA-2 (continued).